Neuropathologic correlates of amyloid and dopamine transporter imaging in Lewy body disease

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Abstract

Objective

To develop imaging biomarkers of diseases in the Lewy body spectrum and to validate these markers against postmortem neuropathologic findings.

Methods

Four cognitively normal participants with Parkinson disease (PD), 4 with PD with cognitive impairments, and 10 with dementia with Lewy bodies underwent amyloid imaging with $|11C|$ Pittsburgh compound B (PiB) and dopamine transporter (DAT) imaging with [11C]Altropane. All 18 had annual neurologic examinations. All cognitively normal participants with PD developed cognitive impairment before death. Neuropathologic examinations assessed and scored Braak Lewy bodies, Thal distribution of amyloid, Consortium to Establish a Registry for Alzheimer's Disease neuritic amyloid plaques, Braak neurofibrillary tangles, and cerebral amyloid angiopathy, as well as total amyloid plaque burden in the superior frontal, superior parietal, occipital, and inferior temporal cortical regions. PET data were expressed as the standardized uptake value ratio with cerebellar reference. Analyses accounted for the interval between imaging and autopsy.

Results

All 18 patients met neuropathologic criteria for Lewy body disease; the DAT concentration was low in each case. All patients with elevated [11C]PiB retention measured in a neocortical aggregate had β-amyloid deposits at autopsy. [11C]PiB retention significantly correlated with neuritic plaque burden and with total plaque burden. [11C]PiB retention also significantly correlated with the severity of both Braak stages of neurofibrillary tangle and Lewy body scores. Neuritic plaque burden was significantly associated with neurofibrillary tangle pathology.

Conclusion

Antemortem [11C]Altropane PET is a sensitive measure of substantia nigra degeneration. [11C]PiB scans accurately reflect cortical amyloid deposits seen at autopsy. These findings support the use of molecular imaging in the evaluation of patients with Lewy body diseases.

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Glossary

 $A\beta = \beta$ -amyloid; AD = Alzheimer disease; CERAD = Consortium to Establish a Registry for Alzheimer's Disease; DAT = dopamine transporter; $DLB =$ dementia with Lewy bodies; $FLR =$ frontal, lateral temporal, and retrosplenial regions; LBD = Lewy body disease; MCI = mild cognitive impairment; NFT = neurofibrillary tangles; PD = Parkinson disease; PDD = Parkinson disease with dementia; PiB = Pittsburgh compound B; SUVR = standardized uptake value ratio.

The Lewy body spectrum of diseases include Parkinson disease (PD), PD with dementia (PDD), and dementia with Lewy bodies (DLB). They all share underlying pathologic changes, including aggregates of α-synuclein that accumulate in substantia nigra neurons; in PDD and DLB, synuclein containing neurons and neurites spread into cortical regions. $1-3$ In addition, neuropathologic changes characteristic of Alzheimer disease (AD), including β-amyloid (Aβ) plaques and neurofibrillary tangles (NFT), are commonly observed at autopsy in both PDD and DLB.⁴⁻⁶ Because of these overlapping pathologic findings, the differential diagnosis between PDD/DLB and AD is often uncertain. In 2016, the Alzheimer's Disease-Related Dementias summit report identified several key challenges for Lewy body disease (LBD) research, including developing neuroimaging biomarkers to aid in the diagnosis and validating such markers against postmortem neuropathology.⁷ In initial steps to address these goals, we and others have previously shown that amyloid burden measured in life with the PET radioligand [11C] Pittsburgh compound B (PiB) was increased in DLB and to a lesser extent in PDD compared to normal healthy elderly patients and patients with PD with normal cognition.⁸⁻¹¹ Furthermore, high levels of amyloid in PD, PDD, and DLB were also associated with faster rates of cognitive decline.^{12,13} We and others have also demonstrated that dopamine transporter (DAT) imaging aids in discriminating PDD and DLB from AD.¹⁴⁻¹⁷ Validation of these biomarkers, however, requires postmortem verification. With this goal in mind, we describe the concordance between molecular imaging and neuropathologic findings in a cohort of parkinsonian patients with cognitive impairments and dementia.

Methods

Eighteen participants in the Lewy body spectrum of disease were recruited from Massachusetts General Hospital's Movement and Memory Disorder Units into a longitudinal study from 2006 to 2011 (table 1). All 18 underwent [11C] PiB imaging, neurologic examination, and detailed neuropsychological evaluation at their first visit, followed by annual clinical and cognitive testing.¹⁰ Ten of these participants also underwent DAT imaging with [11C] Altropane.¹⁸ At baseline examination and imaging, the clinical diagnoses were DLB in 10, PD with normal cognition in 4, PD with mild cognitive impairment (MCI) in 3, and PDD in 1. At the final study visit, the diagnoses were DLB in 10, PD-MCI in 4, and PDD in 4. Participants with DLB met clinical consensus criteria for probable DLB, including the

presence of at least 2 of the following: parkinsonism, visual hallucinations, fluctuations of cognition, and REM sleep behavioral disorder.² Participants with PD met the diagnostic criteria for idiopathic PD of the United Kingdom Parkinson's Disease Society Brain Bank Diagnostic Criteria.¹⁹ Participants with PD-MCI and PDD met respective level II PD-MCI²⁰ and PDD²¹ diagnostic criteria of the Movement Disorders Society, with objective impairment in at least 2 cognitive domains. In contrast to subjects with PD-MCI, those with PDD demonstrated impairment in daily function on the basis of their cognitive impairment. Interviews with caregivers were acquired in all cases.

In all participants but 1, [11C]PiB PET was acquired on a Siemens/CTI ECAT HR+ scanner (63 parallel planes, axial field of view 15.2 cm, in-plane resolution 4.1-mm full width at half-maximum, slice width 2.4 mm; Siemens, Munich, Germany). In 1 participant, [11C]PiB was acquired on a GE PC4096 scanner (2D mode, 15 image planes, 10.0-cm axial field of view, 7.0-mm transaxial resolution, 6.0-mm slice interval, 39 frames, 8×15 seconds, 4 \times 60 seconds, 27 \times 120 seconds; GE, Milwaukee, WI). [11C]PiB data were acquired with a 39-frame dynamic protocol (8 \times 15 seconds, 4 \times 60 seconds, and 27 \times 120 seconds), reconstructed, and corrected for scatter, attenuation, and randoms with vendor-supplied software. [11C] PiB PET data were spatially transformed into the PET native space with Statistical Parametric Mapping (SPM12, Wellcome Trust Centre for Neuroimaging, London, UK) using early frame data (0–8 minutes after injection). [11C] PiB data were recorded as the standardized uptake value ratio (SUVR) with whole cerebellar reference that included gray and white matter, as reported²² using data from 40 to 60 minutes after injection. A probabilistic template space atlas based on the FreeSurfer GTM segmentation 23 was used to define regions of interest. For comparison with global neuropathologic measures of amyloid deposition, we evaluated an aggregate region of interest comprising the frontal, lateral temporal, and retrosplenial regions (FLR). 24 FLR binding for the 1 dataset acquired on the GE PC4096 scanner was interpolated from precuneus binding, a site of early and robust amyloid deposition that correlates strongly (>0.9) with FLR cortical [11C]PiB retention ($r = 0.92$ in this dataset). Regional PiB binding was unavailable for this participant. High [11C]PiB binding was taken as FLR SUVR ≥1.2 on the basis of a gaussian mixture model on a reference dataset of clinically normal elderly.²⁵

Abbreviations: DLB = dementia with Lewy bodies; MMSE = Mini-Mental State Examination; PD-D = Parkinson disease with dementia; PD-MCI = Parkinson disease with mild cognitive impairment; PD-N = cognitively normal Parkinson disease; PiB = $[1^1C]$ Pittsburgh compound B.

We assessed striatal DAT concentration with Altropane [2β-carbomethoxy-3β(4-fluorophenyl)-n-(1-iodoprop-1 en-3-yl) nortropane], a cocaine analog DAT ligand with fast kinetics²⁶ and high DAT selectivity.²⁷ [11C] Altropane PET was acquired on a Siemens/CTI ECAT HR+ scanner, as described previously, 18 and compared to 20 agematched healthy controls (age 72.7 ± 8.4 years; 7 male, 13 female; Mini-Mental State Examination score 29.1 ± 1.4). Briefly, [11C]Altropane was prepared onsite, and 15 mCi [11C]Altropane was injected as a bolus, followed by a 60-minute dynamic acquisition. PET data were reconstructed and corrected for attenuation with vendorprovided software. The DAT concentration was estimated with specific binding of [11C]Altropane, which was computed in regions of interest, including the putamen, using the SUVR measured between 40 and 60 minutes after injection, with whole cerebellar reference.

By the time of death, all participants with DLB and PD were cognitively impaired. Postmortem brain tissue was examined to determine the accuracy of the clinical diagnosis and to rate the severity of LBD and AD pathologic changes. At the time of autopsy, brains were divided at the midline, with one-half then cut into coronal slabs for freezing at −80°C and the other half fixed in 10% buffered formalin. Tissue blocks were prepared from the formalin-fixed side after 10 to 14 days of fixation, processed on a Thermo Scientific Excelsior ES tissue processor (Thermo Fisher Scientific, Waltham, MA), and embedded in paraffin. All sections were cut on a microtome at 7 μmol/L and stained with Luxol fast blue/hematoxylin & eosin for routine assessment. Bielschowsky silver stain was performed on sections from select blocks. Immunohistochemistry for hyperphosphorylated tau (polyclonal rabbit anti-human tau, Dako, Glostrup, Denmark) at a titration of 1:6,000, Aβ (monoclonal mouse anti-human Aβ clone 6F/3D, Dako, Glostrup, Denmark) at a titration of 1: 600, and α-synuclein (mouse anti-α-synuclein LB509, Life Technologies Corp, Frederick, MD) at a titration of 1:200 was also performed on sections from select blocks and processed on a Leica Bond RX automated stainer (Leica Biosystems, Wetzlar, Germany) according to the manufacturer's instructions.

AD neuropathologic changes were scored according to the current National Institute on Aging–Alzheimer's Association's neuropathologic assessment guidelines²⁸ with the ABC rating scale, where A refers to Thal amyloid phase, 29 a measure of the hierarchical involvement of brain regions of

Table 2 PET and neuropathologic findings

Abbreviations: CAA = cerebral amyloid angiopathy; DAT = Dopamine Transporter; LBD = Lewy body disease; NFT = neurofibrillary tangle; PiB = [¹¹C]Pittsburgh compound B; SUVR = standardized uptake value ratio.

any plaque (Thal range 0–5, A range 0–3); B is a measure of NFT pathology based on Braak staging (Braak NFT stage range 0–6, B range 0–3)³⁰; and C is a numeric equivalent of the Consortium to Establish a Registry for Alzheimer's Disease (CERAD) neuritic plaque score (range $0-3$).³¹ Cerebral amyloid angiopathy severity was rated with the Vonsattel score (range $0-3$).³² Lewy body pathology was scored with the Braak staging system on a scale from 0 to 6 $(table 2).^{1,33}$

In addition, because the currently used CERAD scoring system for regional plaque burden is restricted to the evaluation of neuritic and cored plaques (using Bielschowsky silver stain and immunohistochemistry for hyperphosphorylated tau in our institution), 31 we measured total regional amyloid plaque burden (using immunohistochemistry for Aβ), accounting for both neuritic/cored and nonneuritic/noncored plaques containing fibrillar amyloid. We evaluated regional amyloid severity on a 4-point scale $(0-3)$, where $0 =$ absent, $1 =$ sparse, $2 =$ moderate, and $3 =$ severe plaque pathology within a tissue section. To enable regional cortical correlations of total amyloid plaque burden with [11C]PiB retention, 2 neuropathologists (N.C., M.F.) independently assigned a regional amyloid severity score in the superior frontal, superior parietal, pericalcarine, and inferior temporal regions while blinded to each other's score. Scores were then reviewed for consensus. Of the 72 blind assessments, there was complete agreement in 60 (83%). The remaining 12 (17%) showed a difference in score in each instance of only 1 degree, and these were modified to reflect consensus among the 2 pathologists. Regional amyloid severity measurements were not available for the 1 participant acquired on the GE PC4096 scanner. To facilitate comparison of PiB correlations with CERAD and with total amyloid plaque burden, we computed average CERAD scores and average regional amyloid severity scores across the same regions. All neuropathologic evaluations were blinded to clinical diagnosis, DAT concentration, and [11C] PiB retention.

Neuropathology correlates of [11C]PiB retention were investigated with partial Spearman correlations that controlled for the interval between imaging and autopsy. These adjusted correlations are reported in the text. Significant correlations were further explored by incorporating Vonsattel scores as covariates. For standard neuropathologic measures, [11C]PiB retention in the FLR aggregate region was used. For regional amyloid severity measurement, the regional imaging correlate was matched to hemisphere and to the region of interest. Correlations between neuropathology measures were assessed via Spearman coefficients. Differences between neuropathology measures based on disease status and differences in DAT concentration between imaged cases and healthy participants were evaluated with Student 2-tailed t tests and Mann-Whitney U tests as appropriate. All analyses were conducted with R Software.

Standard protocol approvals, registrations, and patient consents

This study was approved by the Institutional Review Board of Partners Healthcare, Inc. All participants gave written informed consent and received a small stipend for participation.

Data availability

Data are available on request.

Results

The clinical diagnosis of PD or DLB was confirmed neuropathologically in all 18 cases on the basis of extensive neuronal loss in the substantia nigra with Lewy bodies (table 2). All cases had Braak Lewy body scores \geq 3, but the Braak stage was significantly higher ($p = 0.002$) in the DLB group than in the PD-MCI and PDD groups. The [11C]Altropane DAT PET reflected the resultant dopamine deficiency; putamen uptake was reduced in all 10 cases acquired (mean \pm SD 1.67 \pm 0.24 SUVR) compared with healthy controls (mean \pm SD 3.03 \pm 0.39 SUVR, $p < 0.0001$). Other neuropathologic findings were similar across all 18 participants. Specifically, no diagnostic group differences were noted for ABC scores and estimates of cerebral amyloid angiopathy.

Most participants (17 of 18) had evidence for Aβ deposits, as measured by CERAD neuritic plaque scores ≥1 and Thal amyloid distribution scores ≥ 1 . In most cases, [11C]PiB retention accurately reflected the extent of amyloid deposits. One participant had no neuritic plaques and Thal phase 0; in this case, [11C]PiB retention was minimal (SUVR <1.00, patient 1 [DLB]. Of note, comparably low [11C]PiB retention was also observed in 4 participants with sparse or moderate neuritic plaque burden (CERAD scores of 1–2) and Thal phase A scores ranging from 1 to 3 (participants 11 and 14–16). At PET, 2 of these mismatched cases were cognitively normal with PD and 2 had PD-MCI; by the time of death, all had developed cognitive impairment. In these instances, the median interval between [11C]PiB scan and death was 6.0 (range 4.7–7.5) years compared to the overall median of 4.4 (range 0.2–7.6) years.

[11C]PiB retention correlated with CERAD neuritic plaques score $(r = 0.62, p = 0.0075)$ (figure 1A). [11C]PiB retention lacked a significant correlation with Thal amyloid phase $(r = 0.45, p = 0.07;$ figure 1B). Because [11C]PiB labels nonneuritic and neuritic plaques, 11 the CERAD score is likely an underestimate of total amyloid burden. We therefore measured the total amyloid plaque burden neuritic and nonneuritic Aβ—in each cortical lobe on a scale of 0 to 3+. [11C]PiB retention correlated with this measure of regional amyloid severity averaged over the 4 regions sampled ($r = 0.49$, $p = 0.046$). By region, local [11C]PiB retention correlated with regional total amyloid burden in occipital ($r = 0.68$, $p = 0.0035$) and superior parietal ($r =$ 0.56, $p = 0.025$) but not in inferior temporal or superior

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Figure 1 Boxplots of [11C]PiB retention as a function of neuritic plaque score and Thal amyloid phase in Lewy body disease

frontal regions (figure 2). The correlation in the occipital region remained significant after controlling for multiple comparisons (Bonferroni, $p = 0.013$). To directly compare the [11C]PiB correlation with CERAD to the [11C]PiB correlation with total amyloid plaque burden, we computed average CERAD scores across the same regions. [11C] PiB retention correlated with average CERAD scores as well

 $(r = 0.68, p = 0.0028)$. Thus, [11C]PiB retention significantly correlated with measures of amyloid plaque burden.

An intriguing finding was the relationship between [11C]PiB uptake and non-Aβ pathologic findings. Braak neurofibrillary stage (and B score) correlated strongly with [11C]PiB retention ($r = 0.73$, $p = 0.001$) and with Braak Lewy body stage

 $(r = 0.54, p = 0.025)$, with the latter result largely driven by the DLB group of participants (figure 3). In contrast, [11C] Altropane retention was not significantly correlated with Braak Lewy body stage in this cohort $(p = 0.21)$ (figure 3). Across the established neuropathology metrics of ABC and Braak Lewy body stages, only the CERAD neuritic score and Braak NFT stages were significantly correlated $(r = 0.50,$ $p = 0.04$).

Figure 3 β-Amyloid as detected by [11C]PiB was positively correlated with both neurofibrillary tangles and Lewy bodies

Partial correlations controlling for the interval between PET and autopsy: (A) neurofibrillary tangles (NFT) stage with [¹¹C]Pittsburgh compound B (PiB) (r = 0.73, p = 0.001), (B) Lewy body stage with PiB (r = 0.54, p = 0.025), and (C) Lewy body stage with [11C]Altropane ($p = 0.21$). Filled circles indicate dementia with Lewy bodies. Open diamonds, Parkinson disease with mild cognitive impairment and Parkinson disease with dementia. DAT = dopamine transporter; SUVR = standard uptake value ratio.

Discussion

The results of this study indicate that DAT and amyloid molecular neuroimaging reflects the underlying pathologic changes that characterize the Lewy body spectrum of diseases. Using DAT imaging to support the diagnosis of PD is well documented $17,34$ and approaches 90% in sensitivity and specificity. In the present study, [11C]Altropane uptake was decreased in all 10 cases in whom it was performed, with no differences across the diagnostic groups.

The place of amyloid scans in the Lewy body set of diseases is less well documented and accepted. One of the first autopsies to examine the accuracy of [11C]PiB for detecting Aβ amyloid was in fact a case with DLB.³⁵ This article was a single case report, and 2 small studies in PDD have since been completed.^{36,37} In contrast to LBD, however, there have been ample reports affirming [11C]PiB accuracy in detecting antemortem the amyloid deposits seen at autopsy in elderly participants with MCI and AD.^{22,38-40} Overall, the sensitivity and specificity have been very high, with demonstrated binding to both neuritic and nonneuritic yet fibrillar forms of $\mathsf{A}\beta$.^{41–43} Our findings in the Lewy body spectrum of diseases largely confirm these reports and extend them to specify the nature and distribution of the [11C]PiB-neuropathology relationship.

PiB binds all fibrillar forms of amyloid, avidly staining both cored neuritic plaques and nonneuritic fibrillar diffuse plaques that are frequently present in both PDD and DLB. Because the CERAD plaque score measures only neuritic plaques, it is likely an underestimate of the total amyloid burden. Even so, [11C]PiB retention was significantly correlated with the postmortem CERAD score. To gain a more comprehensive understanding of [11C]PiB-amyloid correlations, we generated a total plaque score in 4 cortical regions that combined neuritic and nonneuritic fibrillar Aβ. [11C]PiB retention correlated with this total plaque score. When we analyzed each region individually, [11C]PiB retention and the total plaque score correlated significantly in the occipital region after multiple comparison testing and nominally in the superior parietal cortical region. Regional atrophy, which would reduce [11C]PiB retention by partial volume averaging with CSF, may have degraded regional correlations.

In this LBD cohort, [11C]PiB uptake did not increase monotonically with increasing Thal amyloid phase, a measure developed to capture the sequential spread of amyloid deposits across brain regions over the course of AD.²⁹ This unexpected finding contrasts with prior reports in $AD₁^{22,38,44}$ raising the possibility that the hierarchical involvement of amyloid plaque topography in LBD may be distinct from AD. Even so, there was a moderately strong correlation of marginal significance between [11C]PiB uptake and Thal amyloid phase that would require a larger sample to evaluate at full power. These results suggest that the conventional neuropathologic measure of Thal amyloid phase may not readily

capture cortical [11C]PiB retention in LBD, reinforcing the value of the total plaque score, a metric that accounts for combined neuritic and nonneuritic fibrillar plaque counts.

In this study, [11C]PiB uptake was also related to non-Aβ pathologic changes. There was a strong correlation between [11C]PiB retention and NFT severity. Because neuritic plaques and NFT are significantly correlated in postmortem studies, 4 this finding further validates [11C]PiB imaging for detecting this relationship in life. We also found a significant correlation between [11C]PiB retention and Lewy body stages: the higher the Lewy body score, the higher the [11C] PiB uptake. This observation also is consistent with underlying pathologic changes known from PD and DLB postmortem studies $4,45$ and contrasted with the lack of significant correlation between [11C]Altropane uptake and Lewy body stages. These correlations support the view that Aβ, tau, and α-synuclein have the capacity to promote each other's aggregation.⁴⁶⁻⁴⁹ It is in this sense that $[11C]$ PiB as a biomarker of Aβ shows additional promise as an index of NFT and Lewy bodies in PDD and DLB.

The strengths of this study include a well-characterized clinical population who underwent state-of-the-art neuroimaging and then, at death, careful neuropathologic examinations. Most of the patients were men, and all were white, so how well these findings relate to a more diverse population remains unknown. Lack of autopsy data on the healthy controls who underwent [11C]Altropane imaging is another theoretical limitation, although the absence of parkinsonism in otherwise healthy controls is likely to be a reliable predictor of an intact substantia nigra. Another possible weakness is the relatively small sample size, but there were enough patients to render unequivocal conclusions about the fidelity of amyloid brain scans to detect pathologic changes in the Lewy body spectrum of diseases.

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Continued

Appendix (continued)

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