

# Retinal and optic nerve degeneration in liver X receptor $\beta$ knockout mice

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The retina is an extension of the brain. Like the brain, neurodegeneration of the retina occurs with age and is the cause of several retinal diseases including optic neuritis, macular degeneration, and glaucoma. Liver X receptors (LXRs) are expressed in the brain where they play a key role in maintenance of cerebrospinal fluid and the health of dopaminergic neurons. Herein, we report that LXRs are expressed in the retina and optic nerve and that loss of LXR $\beta$ , but not LXR $\alpha$ , leads to loss of ganglion cells in the retina. In the retina of LXR $\beta^{-/-}$  mice, there is an increase in amyloid A4 and deposition of beta-amyloid (A<sub>β</sub>) aggregates but no change in the level of apoptosis or autophagy in the ganglion cells and no activation of microglia or astrocytes. However, in the optic nerve there is a loss of aquaporin 4 (AQP4) in astrocytes and an increase in activation of microglia. Since loss of AQP4 and microglial activation in the optic nerve precedes the loss of ganglion cells, and accumulation of  $A\beta$  in the retina, the cause of the neuronal loss appears to be optic nerve degeneration. In patients with optic neuritis there are frequently AQP4 autoantibodies which block the function of AQP4. LXR $\beta^{-/-}$  mouse is another model of optic neuritis in which AQP4 antibodies are not detectable, but AQP4 function is lost because of reduction in its expression.

retinal degeneration | optic neuritis | aquaporin 4 |  $\beta$  amyloid | nuclear receptor

**N** euromyelitis optica is an inflammatory disease of the central nervous system (CNS) that selectively affects the optic nerves and spinal cord (1). This disorder is a well-known cause of optic neuritis, an inflammatory disease of the optic nerve, which leads to death of retinal ganglion cells (RGCs) and even severe visual loss (2). Aquaporin 4 (AQP4) water channel antibody (AQP4-IgG) is the biomarker of optic neuritis (3, 4). It is thought that autoantibodies against AQP4 inhibit the activity of this water channel. Thus, a functional AQP4 is essential for the maintenance of the function of the optic nerve.

Liver X receptor  $\alpha$  (LXR $\alpha$ ) and LXR $\beta$  are members of the nuclear receptor supergene family of ligand-activated transcription factors (5, 6). Both LXRs play crucial roles in lipid metabolism, glucose homeostasis, inflammation (7–9), CNS functions (10–12), and water transport (13, 14). LXR $\alpha^{-/-}\beta^{-/-}$  mice showed retinal vascular injury and diabetic retinopathy (15). LXR $\alpha$  and LXR $\beta$  have been detected in the RGCs and have been reported to protect against retinal degeneration (16–19). However, the functions of LXRs in the eye have not been fully investigated.

Beta-amyloid (A $\beta$ ), the protein which is deposited in the brain of patients with Alzheimer's disease (AD), has been implicated in the development of optical disorders (20, 21). Indeed, retinal dysfunction such as loss of RGCs and reduced thickness of the retinal nerve fiber layer are observed in AD patients (22, 23). In addition, in mice overexpressing amyloid precursor protein (APP), the loss of LXR $\beta$  in the brain results in deposition of A $\beta$ aggregates (24). Thus, it appears that one common dysfunction between AD and retinal degeneration is disruption of LXR $\beta$ signaling. In the present study, we confirm that both LXR $\alpha$  and LXR $\beta$  are expressed in the retina and optic nerve and that loss of LXR $\beta$  leads to loss of ganglion cells and accumulation of A $\beta$  in the retina. AQP4 expression decreased in the optic nerve, but no AQP4 antibodies were detected in the serum of LXR $\beta^{-/-}$  mice. These data suggest that the cause of the neuronal loss in the retina of LXR $\beta^{-/-}$  mice is due to optic nerve degeneration and that optic neuritis in these mice is caused by loss of AQP4 expression.

# Results

**Loss of Ganglion Cells in the Retina of LXR** $\beta^{-/-}$  **Mice.** To evaluate morphological alterations in the retina of LXR $\beta^{-/-}$  mice, sections of eyes were stained with hematoxylin. In 6-mo-old WT and LXR $\beta^{-/-}$  mice ganglion cells are normal and the nerve fiber layer (arrow) is visible. There is no detectable difference between WT and LXR $\beta^{-/-}$  mice at this age (Fig. 1 *A*–*D*). At 16 mo of age, ganglion cells are still normal and the nerve fiber layer is still visible in WT mice (Fig. 1 *E* and *F*), but in LXR $\beta^{-/-}$  mice at this age, the number of ganglion cells is markedly reduced as is the nerve fiber layer (Fig. 1 *G*–*I*). The loss of ganglion cells from the retina in 16-mo-old LXR $\beta^{-/-}$  mouse eye is very clear upon NeuN staining (*SI Appendix*, Fig. S1).

**Expression of LXR\alpha and LXR\beta in the Retina and Optic Nerve.** To detect expression of LXRs in the retina and optic nerve of WT mice, we used our in-house antibodies which have been well characterized for staining LXRs in the CNS (14, 23–25). We also

### **Significance**

Optic neuritis, an inflammatory disease of the optic nerve, leads to death of retinal ganglion cells (RGC) and impaired vision. Both LXR $\alpha$  and LXR $\beta$  are expressed in the retina and optic nerve. The present study reveals that deletion of LXR $\beta$  from the mouse genome leads to loss of RGC. Immunostaining of LXR $\beta$  knockout mouse eyes showed that loss of AQP4 expression and activation of microglia in the optic nerve precedes the loss of RGC. The conclusion is that RGC loss is secondary to optic nerve degeneration and that LXR $\beta$  is a promising target for the treatment of retinal neurodegenerative diseases.

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**Fig. 1.** Loss of ganglion cells in LXR $\beta^{-/-}$  mice. The ganglion cells appear normal in both 6-mo-old WT and LXR $\beta^{-/-}$  mice, and the nerve layer (arrow) is visible in both (*A*–*D*). In 16-mo-old WT mouse eyes, the ganglion cells are still normal, and the nerve fiber layer is visible (*E* and *F*). In 16-mo-old LXR $\beta^{-/-}$  mouse eyes, the number of ganglion cells is markedly reduced (\*\*\**P* < 0.001) as is the nerve fiber layer (*G*–*I*). *n* = 4. (Scale bars: *A*, *C*, *E*, and *G*, 200 µm; *B*, *D*, *F*, and *H*, 50 µm.)

used the commercially available LXR antibodies. We found that ganglion cells express both LXR $\alpha$  (cytoplasm) and LXR $\beta$  (nucleus). In the retina, LXR $\alpha$  is expressed in the ganglion and amacrine cells but not in bipolar cells (Fig. 2 *A* and *B*). The number of LXR $\alpha$ -positive amacrine cells is reduced with age. LXR $\beta$  is expressed in the ganglion cells, but there are fewer LXR $\beta$ -positive than LXR $\alpha$ -positive amacrine cells. In addition, some bipolar cells express LXR $\beta$  (Fig. 2 *C* and *D*). In the optic nerve, small glial cells express both LXR $\alpha$  and LXR $\beta$  (Fig. 2 *E*–*H*).

Glial Cells in the Retina of LXR $\beta^{-/-}$  Mice. There were very few microglia (Iba1-positive cells) in the retina of 6-mo-old WT or LXR $\beta^{-/-}$  mice (Fig. 3 *A* and *B*). The number and activation of microglia did not change with age (Fig. 3 *C* and *D*). GFAP (astrocyte marker) was expressed mainly in the nerve fiber layer and around the blood vessels. There was no detectable difference between WT and LXR $\beta^{-/-}$  mice at either 6- or 16-mo of age mice in expression of GFAP-positive astrocytes in the retina (Fig. 3 *E*-*H*). There were no significant differences in the activation of glial cells or in inflammatory mediators including NF- $\kappa$ B, IL-1 $\beta$ , IL-6, and iNOS in the retina between WT and LXR $\beta^{-/-}$  mice (*SI Appendix*, Fig. S2).

Accumulation of  $A\beta$  in the Retina of LXR $\beta^{-/-}$  Mice. The deposition of  $A\beta$  in plaques is a definitive feature of AD, and  $A\beta$  has been reported to be implicated in the development of optical disorders. At 6 mo of age, there was no  $A\beta$  deposition in the retina of either WT or LXR $\beta^{-/-}$  mice (Fig. 4*A*–*D*). In the 16-mo-old WT mouse retina (Fig. 4*E*), there was  $A\beta$  deposition in the outer plexiform layer, but not around the RGCs. In the LXR $\beta^{-/-}$  mouse retina (Fig. 4*G*), there was pronounced staining around the RGCs.  $A\beta$  deposits could be occasionally found in WT mice, but the deposits were smaller than those in LXR $\beta^{-/-}$ mice (Fig. 4*E*–*I*). There

was also an up-regulation of amyloid A4 in LXR $\beta^{-/-}$  mice. The accumulation of amyloid A4 increased with age as shown in *SI Appendix*, Fig. S3.

Despite the presence of  $A\beta$  deposits, no changes in apoptosis (TUNEL-positive) or cleaved-caspase 3-positive RGCs (*SI Appendix*, Fig. S4) were detectable, and there was no change in autophagy (LC3B and Beclin 1 staining) (*SI Appendix*, Fig. S5).

Loss of AQP4 in Astrocytes in the Optic Nerve of LXR $\beta^{-/-}$  Mice. Optic neuritis is characterized by the presence of antibodies to the water channel AQP4. AQP4 expression was abundant at the end feet and branches of astrocytes (GFAP-positive) in the optic nerve of 6-mo-old WT mice (Fig. 5 *A*–*C*). However, in 6-mo-old LXR $\beta^{-/-}$  mice, although there was no significant change in the expression of GFAP, there was a marked decrease in AQP4 expression (Fig. 5 *D*–*F*). AQP4 was strongly expressed in the optic nerve of 16-mo-old WT mice, where it was colocalized with GFAP in astrocytes (Fig. 5 *G*–*I*). However, immunoreactivity of both AQP4 and GFAP was markedly reduced in the optic nerve of 16-mo-old LXR $\beta^{-/-}$  mice (Fig. 5 *J*–*M*).

**Increased Activation of Microglia in the Optic Nerve of LXR** $\beta^{-/-}$  **Mice.** Resting microglia are small cells with long and thin ramified processes, while activated microglia are characterized by larger cell bodies and poorly ramified, short, and thick processes. Based on morphology, the few microglia detected in the retina of 6- and 16-mo-old WT mice were resting microglia (Fig. 6 *A*, *B*, *E*, and *F*). However, in the optic nerve of 6- and 16-mo-old LXR $\beta^{-/-}$  mice, there were more microglia and these were activated (Fig. 6 *C*, *D*, *G*, and *H*).

Loss of Oligodendrocytes in the Optic Nerve of LXR $\beta^{-/-}$  Mice. At 6 mo of age, there were numerous oligodendrocytes (Oligo2-positive) in the optic nerve of both WT and LXR $\beta^{-/-}$  mice (Fig. 7 A and



**Fig. 2.** Expression of LXR $\alpha$  and LXR $\beta$  in the retina and optic nerve. In the retina, LXR $\alpha$  is expressed in the ganglion and amacrine cells. There is no expression in the bipolar cells (A and B). LXR $\beta$  is expressed in the ganglion cells but its expression in amacrine cells is more limited than LXR $\alpha$ . Some bipolar cells express LXR $\beta$  (C and D). Small cells in the optic nerve express both LXR $\alpha$  and LXR $\beta$  (*E*–*H*). *n* = 4. (Scale bars: *A*–*H*, 50 µm.)

*D*). However, in 6-mo-old  $LXR\beta^{-/-}$  mice staining for glutamine synthetase (GS), a marker for functional oligodendrocytes, was lower than that in WT mice indicating dysfunction of oligodendrocyte maturation in the optic nerve (Fig. 7 *A*–*F*). Oligodendrocyte number as well as oligodendrocyte impairment increased with age, as indicated by the reduction in Oligo2 and GS staining in 16-mo-old  $LXR\beta^{-/-}$  mice (Fig. 7 *G*–*M*).

## Discussion

In the present study, we found that there is inflammation of the optic nerve and loss of ganglion cells from the retina with age in  $LXR\beta^{-/-}$  mice. This was accompanied by deposition of A $\beta$  in the retina, increase in activated microglia, and decrease in oligodendrocytes and glutamine synthetase in the optic nerve. No AQP4 antibodies were detected in the  $LXR\beta^{-/-}$  mice, but there was loss of AQP4 expression in the optic nerve.

In brain, both LXR $\alpha$  and LXR $\beta$  are expressed in the nuclei of glial cells of both cortex and substantia nigra (11), but not in neurons. Neurons in the paraventricular nucleus (PVN) express both LXR $\beta$  and LXR $\alpha$ . In addition, LXR $\beta$  expression in PVN is higher than that of LXR $\alpha$ . In retina, ganglion cells express both LXR $\alpha$  and LXR $\beta$ , and LXR $\alpha$  is expressed in the cytoplasm. Cytosolic expression of nuclear receptors is not unusual. It could

indicate a lack of a specific ligand or the inability of the receptor to be transported into the nucleus or the exit of the receptor from the nucleus. This is a matter that is presently under investigation.

Death by apoptosis causes loss of ganglion cells (18). No increase in the level of apoptosis was detected in the present study, but, since apoptotic cells are rapidly cleared, it cannot be ruled out as a cause of death in the ganglion cells of  $LXR\beta^{-/-}$  mice as only two time points were examined: 6 and 16 mo of age.

Å $\beta$  is a pathogenic peptide associated with AD. Increasing evidence suggests that there are similarities between AD and retinal degeneration. It has been demonstrated that A $\beta$ -related amyloidosis also occurs during several ocular diseases including Alzheimer's-related optic neuropathy, glaucoma, and age-related macular degeneration (25–27). As other researchers have pointed out, understanding optic neuropathy is the key to broadly understanding the pathogenesis of neurodegenerative disorders and ultimately to developing outcome measures to evaluate therapies (28). Genetic loss of either LXR $\alpha$  or LXR $\beta$  in APP/PS1 transgenic mice results in increased amyloid plaque load (24), and activation of LXR with an agonist protects against retinal damage by inhibiting



**Fig. 3.** Microglia and astrocytes in the retina of  $LXR\beta^{-/-}$  mice. There were few microglial (lba1-positive) cells in the retina of 6-mo-old WT or  $LXR\beta^{-/-}$  mice (*A* and *B*), and there was no increase in the number of these cells in16-mo-old mice of either genotype (*C* and *D*). At either 6 mo (*E* and *F*) or 16 mo (*G* and *H*) of age, there were few astrocytes in the retina, and there was no detectable difference between WT and  $LXR\beta^{-/-}$  mouse retinas. n = 4. (Scale bars: A-H, 20  $\mu$ m.)



**Fig. 4.** Accumulation of Aβ in the retina of LXRβ<sup>-/-</sup> mice. There were only occasional Aβ deposits in the retina of 6-mo-old WT or LXRβ<sup>-/-</sup> mice (A–D). However, in 16-mo-old mice there was a large amount of Aβ deposition in and around the ganglion cells in the retina of LXRβ<sup>-/-</sup> mice but very little in the WT mice at this age. (\*\*\**P* < 0.001) (*E*–*I*). *n* = 4. (Scale bars: *A*, *C*, *E*, and *G*, 50 μm; *B*, *D*, *F*, and *H*, 20 μm.)

A $\beta$  accumulation (18, 21). In the present study, we have shown that A $\beta$  is a likely mediator of ganglion cell death and that it could be a potential target for therapeutic intervention in both the eye and the brain. These results provide a general mechanism for the role of LXR efficacy in chronic diseases such as AD and retinal degeneration.

Optic neuritis is a type of retinal degeneration initiated by degeneration of the optic nerve. It is an autoimmune inflammatory disease characterized by the presence of antibodies to AQP4. Both the optic nerve and spinal cord are affected. AQP4 IgG binds to AQP4 on the surface of astrocytes and disrupts its polarized expression, causing cellular cytotoxicity and inflammation (1). AQP4 is expressed in astrocytes throughout the CNS, including brain, spinal cord, and optic nerve. In the brain, AQP4 is expressed on perivascular end feet of astrocytes at the border between the brain parenchyma and the cerebrospinal fluid or blood (29). We have reported that in LXR $\alpha^{-/-}\beta^{-/-}$  mice AQP4 expression is increased in white matter surrounding the lateral ventricles (14). Expression of AQP4 regulates substances moving in and out of the brain (30).

AQP4 is expressed in Müller cells in the retina and in fibrous astrocytes in the optic nerve (31, 32). In LXR $\beta^{-/-}$  mice, no AQP4 antibodies were detected, but there was loss of AQP4 expression in the optic nerve. We conclude that, in addition to the presence of AQP4 antibodies, which affect the function of AQP4, optic neuritis can be caused by loss of expression of AQP4. This year Saadane et al. (33) showed that inactivation of CYP46, which is responsible for the synthesis of 24-hydroxy cholesterol, caused a retinal phenotype typical of diabetic retinopathy. In



**Fig. 5.** AQP4 in astrocytes in the optic nerve. In 6-mo-old WT mice, GFAP (green) and AQP4 (red) were strongly expressed at the feet and branches of astrocytes in the optic nerve (*A*–C). AQP4 expression was lower in the optic nerve of 6-mo-old LXR $\beta^{-/-}$  mice (*D*–*F*). In 16-mo-old mice, GFAP and AQP4 were still strongly expressed in the optic nerve of WT mice (*G*–*I*), but their expression was reduced in the optic nerve of LXR $\beta^{-/-}$  mice (\**P* < 0.05) (*J*–*M*). GFAP (green) was colocalized with AQP4 (red); the colocalized color is or ange. The nuclei are counterstained with DAPI (*C*, *F*, *I*, and *L*). *n* = 4. (Scale bars: *A*–*L*, 50 µm.)



**Fig. 6.** Increased activation of microglia in the optic nerve of  $LXR\beta^{-/-}$  mice. There were Iba1-positive resting microglial cells in the optic nerve of 6-moold WT mice (*A* and *B*). The Iba1-1-positive microglial cells in the optic nerve of 6-mo-old  $LXR\beta^{-/-}$  mice are ameboid in shape, indicating that they are activated (*C* and *D*). In 16-mo-old mice, the number of active Iba1-positive microglial cells was higher in  $LXR\beta^{-/-}$  than in WT mice (\*\*\**P* < 0.001) (*E*-*I*). *n* = 4. (Scale bars: *A*, *C*, *E*, and *G*, 50 µm; *B*, *D*, *F*, and *H*, 20 µm.)

that study LXR expression was higher than in WT mice, and expression of LXR-induced genes was normal. However, LXRrepressed genes (proinflammatory genes) were overexpressed. AQP4 was not down-regulated but was phosphorylated. It is not yet clear whether phosphorylation of AQP4 inactivates it as it does other aquaporins (34).

Inflammation is associated with many neurodegenerative diseases, including AD, amyotrophic lateral sclerosis, multiple sclerosis, and retinal neurodegeneration (35, 36). Microglia play a key role in neuroinflammation. LXR agonists exhibit an antiinflammatory effect by inhibiting activation of microglia and astrocytes in the CNS (11, 37, 38). LXR modulates retinal disorders partially through inhibition of inflammation (15, 16, 18, 27). In the present study, although there was no significant change in the activation of glial cells or in the expression of inflammatory mediators in the retina, we found a significant increase in the number of activated microglia in the optic nerve of  $LXR\beta^{-/-}$  mice. Optic nerve inflammation contributes to optic nerve degeneration. GS catalyzes the condensation of glutamate and ammonia to form glutamine (39). GS immunoreactivity in oligodendrocytes has been previously demonstrated in the optic nerve and optic chiasm (40, 41). GS is involved in the function of oligodendrocytes in rat brain, optic nerve, and retina (42). In  $LXR\beta^{-/-}$  mice, there was decreased expression of Oligo2 and GS in the optic nerve, indicating that there is optic nerve degeneration of  $LXR\beta^{-/-}$  mice.

In this study, global knockout mice were used, and developmental effects cannot be ruled out. The use of cell-type–specific and inducible knockout will facilitate the identification of the cells in the retina, the functions of which are changed by loss of LXR $\beta$ , and the understanding of how LXR $\beta$  exerts a protective effect. To this end we have created mice in which loxP sites have been inserted around the LXR $\beta$  gene, and these mice will permit cell-specific knockout of LXR.

In summary, we have shown that loss of  $LXR\beta^{-/-}$  in mice results in loss of RGCs in the retina with age. From the temporal changes occurring in the eye, it appears to be the increase in



**Fig. 7.** Loss of oligodendrocytes in the optic nerve of  $LXR\beta^{-/-}$  mice. There were Oligo2-positive (red) cells and GS-positive (green) oligodendrocyte cells in the optic nerve of 6-mo-old WT mice (*A*–*C*). Although there was no difference in the number of Oligo2-positive cells in the optic nerve of 6-mo-old  $LXR\beta^{-/-}$  mice, there were fewer GS-positive oligodendrocytes (*D*–*F*). In 16-mo-old mice, there were fewer Oligo2-positive and GS-positive oligoden-drocytes (\*\*\**P* < 0.001) in the optic nerve of 16-mo-old  $LXR\beta^{-/-}$  mice (*G*–*M*). *n* = 4. (Scale bars: *A*–*L*, 50 µm.)

inflammation and decrease in AQP4 expression in the optic nerve. Our study highlights the protective effect of LXR $\beta$  in the eye. Therefore, LXR $\beta$  is a potential target for the treatment of retinal neurodegenerative diseases. Further characterization of the role of LXR $\beta$  in the eye could lead to new insights into the etiology and treatment of some retinal disorders.

### **Materials and Methods**

**Animals.** Female C57BL/6 WT and LXR $\beta^{-/-}$  mice were used for experiments. LXR $\beta^{-/-}$  mice on the C57BL/6 genetic background have been described (43). Mice were housed in a room of standard temperature (22  $\pm$  1 °C) with a regular 12-h light, 12-h dark cycle and given free access to water and standard rodent chow. All animal experiments were carried out according to the standards and recommendations set forth in the National Research Council's 1996 Guide for the Care and Use of Laboratory Animals (44) and in accordance with the University of Houston guidelines on the use of laboratory animals.

**Immunohistochemistry.** Paraffin-embedded sections were deparaffinized in xylene, rehydrated through grade alcohol, and processed for antigen retrieval in 10 mM citrate buffer (pH 6.0) for 12–15 min at 97 °C with pretreatment module. Sections were incubated in 0.3% H<sub>2</sub>O<sub>2</sub> for 30 min at room temperature to quench endogenous peroxidase. To block nonspecific binding, sections were incubated in 3% BSA for 30 min, and then a biotin blocking system (Dako) was used to block endogenous biotin. Sections were then incubated overnight with antibodies specific for LXR $\alpha$  and LXR $\beta$  (1:1,000; made in our laboratory), LXR $\alpha$  (1:500; Novus), LXR $\beta$  (1:500; Abcam),

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LXR $\alpha$ / $\beta$  (1:200; Life Span Biosciences), Iba1 (1:1,000; Abcam), GFAP (1:1,000; Santa Cruz Biotechnology), caspase3 (1:100; Zymed Laboratories), LC3B (1:600; Abcam), Beclin1 (1:500; Abcam), NF+ $\kappa$ B (1; 200; Abcam), IL-1 $\beta$  (1:100; Abcam), IL-6 (1:100; Santa Cruz Biotechnology), iNOS (1:100; Abcam), Amyloid A4 (1:40; Santa Cruz Biotechnology), and A $\beta$  (1:40; Immuno-Biological Laboratories). Primary antibodies were replaced with BSA as negative controls. Sections were incubated with biotinylated secondary antibody (1:200) for 1 h at room temperature, and then the Vectastain Avidin-Biotin Complex kit (Vector Laboratories) was used according to the manufacturer's instruction, followed by 3,3-diaminobenzidine staining (Thermo Fisher Scientific) and counterstaining with Mayer's hematoxylin (Sigma-Aldrich).

For immunofluorescence, quenching of endogenous peroxidase and blocking of endogenous biotin were omitted. Sections were incubated with anti-Oligo2, anti-GS, anti-GFAP, anti-AQP4, anti-TUNEL, and anti-NeuN. Primary antibodies were detected with fluorescent secondary antibodies. Sections were later counterstained with Vectashield mounting medium containing DAPI (Vector Laboratories) to label nuclei.

**Data Analysis.** Data are expressed as mean  $\pm$  SD. Statistical comparisons were done by using a one-way ANOVA followed by the Newman–Keuls post hoc test. P < 0.05 was considered to indicate statistical significance.

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