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Patient-reported quality of life following stereotactic body radiotherapy and conventionally-fractionated external beam radiotherapy compared to active surveillance among men with localized prostate cancer

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Abstract

Background: Evidence supporting the efficacy of stereotactic body radiotherapy (SBRT) for localized prostate cancer is accumulating, but comparative studies of patient-reported quality of life (QOL) following SBRT versus conventionally-fractionated external beam radiotherapy (EBRT) or active surveillance (AS) are limited.

Objective: To compare QOL of patients pursuing SBRT and EBRT vs. AS.

Design, Setting, and Participants: Population-based cohort of 680 men with newly-diagnosed localized prostate cancer was prospectively enrolled from 2011–2013.

Intervention: SBRT, EBRT without androgen deprivation therapy, or AS

Outcome Measurements and Statistical Analysis: QOL was prospectively assessed before treatment (baseline), and at 3, 12, and 24 months after treatment using the validated Prostate Cancer Symptom Indices (PCSI), which contains 4 domains: sexual dysfunction, urinary obstruction/irritation, urinary incontinence, and bowel problems. Propensity weighting via logistic regression models was used to balance baseline characteristics, and the mean QOL scores of EBRT and SBRT patients were compared against AS as the control group.

Results and Limitations: Compared to AS, EBRT patients had worse urinary obstructive/irritative symptoms and sexual dysfunction at 3 months, and worse bowel symptoms at 3 and 24 months. SBRT patients had similar scores as AS in all domains across at all time points; however, due to small sample size, worse sexual function and urinary incontinence in SBRT patients cannot be ruled out. Further research is needed to assess long-term outcomes.

Conclusions: In a non-randomized cohort of men with localized prostate cancer, SBRT appeared to result in favorable QOL results through 2 years of follow-up, but worse sexual function and urinary incontinence compared to AS cannot be completely ruled out. Larger studies with longer follow-up are needed to confirm these findings.

Patient Summary: SBRT and AS appear to have similar QOL outcomes through 2 years, although worse sexual function and urinary incontinence from SBRT cannot be completely ruled out.

Keywords

Stereotactic body radiotherapy; External beam radiotherapy; Intensity-modulated radiotherapy; Active surveillance; Localized prostate cancer; Patient-reported quality of life

Introduction

Patients with localized prostate cancer often have excellent survival outcomes. As a result, quality of life (QOL) is an important factor in the patient's decision-making process concerning treatment options. One option is active surveillance (AS), which is surveillance without immediate treatment, and delays treatment-related adverse effects without compromising long-term survival in select patients [1]. For patients receiving radiotherapy (RT), it is most commonly delivered using small daily doses of RT over several weeks (termed "conventional fractionation"). Continued technological developments have more recently allowed the use of stereotactic body RT (SBRT) to deliver extremely hypofractionated treatment using large daily doses and completing RT within 5 treatments.

As an evolving treatment option, SBRT comparative outcomes versus other modalities are limited but of substantial clinical interest. While some studies have reported that SBRT is safe and effective [2,3], others have raised concerns regarding its toxicity profile. A Phase I trial of dose escalation from 45 Gy to 50 Gy in five fractions reported 18% and 31% of grade 2 gastrointestinal (GI) and genitourinary (GU) toxicity, respectively [4]; and another dose escalation study reported a 10% grade 3 rectal toxicity in the 50 Gy cohort with many requiring a diverting colostomy [5]. A claims data-based analysis suggested increased GU toxicity following SBRT compared to intensity-modulated radiotherapy (IMRT) at 6 and 24 months [6]. It is well-recognized that in prostate cancer, patient-reported QOL provides valid and more comprehensive data regarding treatment-related side effects than physician assessments and claims data [7,8]. To inform patients and physicians about treatment-related side effects related to SBRT, the goal of this study was to compare QOL of SBRT patients versus those who received conventional fractionation RT and AS.

Patients and Methods

Patient cohort

Population-based prospective cohort of patients with newly diagnosed prostate cancer was enrolled in collaboration with the Rapid Case Ascertainment system of the North Carolina Central Cancer Registry. From January 2011 to June 2013, patients with newly-diagnosed localized prostate cancer were identified from across all 100 counties of North Carolina by the Cancer Registry within a median of 1–2 weeks of diagnosis, and contacted by the study team for enrollment on a prospective observational cohort. Patient enrollment details were described previously [9]. All patients were enrolled and baseline data collected *prior* to any treatment.

Because SBRT was a newer modality with relatively lower use, the study also collaborated with three institutions outside of North Carolina to enroll additional patients receiving SBRT to enrich this cohort. Eligibility criteria and study methodology were identical between North Carolina patients and additional SBRT patients in this study. SBRT patients were treated with the Accuray CyberKnife system.

The study was approved by the University of North Carolina institutional review board. All patients enrolled on the study provided written informed consent.

Data collection

Patient's demographic information, including age, race, health insurance status, education level, household income, and marital status were collected by patient report at baseline. Medical records were collected from all patients, and abstracted to determine treatment received; if medical record was not available, cancer registry data were used to determine treatment.

Quality of life (QOL) assessment

Quality of life was assessed prospectively using the validated Prostate Cancer Symptom Indices (PCSI) [10]. PCSI assesses 4 domains including sexual dysfunction, urinary obstruction and irritation, urinary incontinence, and bowel problems with each domain scored from 0 to 100, where a higher score represents *worse* dysfunction. All surveys were conducted by telephone in a similar process as previously described [11] at baseline (pre-treatment), and at 3, 12, and 24 months after completion of treatment. For patients on AS, timing of follow-up surveys was calculated from an anchor date of 3 months after initial diagnosis.

Statistical analysis

The primary goal of this study was to compare patients who received SBRT and conventionally-fractionated RT to those who pursued AS as the “control” group. None of the SBRT patients received androgen deprivation therapy (ADT), and therefore only EBRT patients who did not receive ADT were included. Among EBRT patients, 79% received intensity-modulated RT (IMRT).

In order to adjust for potential differences in baseline characteristics, propensity score weighting was used as previously described [12] contrasting AS against each of the RT groups. In brief, propensity scores were estimated using logistic regression models incorporating age, race, health insurance status, education level, household income, marital status, year of diagnosis, baseline 12-Item Short Form (SF-12) QOL, and baseline PCSI domain scores. Propensity score odds were used to assign weights relative to AS to balance potential confounders [13], and standardized differences [14] were calculated to assess and verify that the balancing was adequate. Missing data were multiply imputed using the fully conditional specification approach as previously described [12]. The imputation model included as many relevant baseline characteristics as possible (including age, race, education, household income, health insurance, employment status, and QOL scores at baseline or at the preceding time point) in order to make the data most likely to satisfy the missing at random assumption [15].

Propensity score-weighted PCSI domain scores were calculated for each time point, and the mean difference of each of the RT groups was assessed in comparison to the AS group. More specifically, the PCSI domain score of a treatment group was compared to the AS group by conducting a simple regression, in which the treatment type was entered as a binary indicator. In these regression analyses, inverse probability of treatment-weighted estimates were used for the respective treatment types and robust standard errors were used for the computation of confidence intervals (CI).

In addition to the primary analysis described above, we also report PCSI scores without imputation or propensity weighting, in order to examine consistency in results and our overall conclusions.

All tests used a 2-sided $p < 0.05$ for statistical significance. All statistical analyses were performed using SAS (SAS Institute, version 9.4).

Results

Baseline characteristics

The cohort includes 387 patients who pursued AS, 189 patients who received EBRT without ADT, and 104 patients who underwent SBRT. Among 680 total patients, median age was 65–66 years in all 3 groups and 72–82% were married (Table 1). Propensity score weighting was used to balance baseline patient characteristics. A majority of patients on AS had low risk disease (76%), while 57% of EBRT patients and 41% of SBRT patients had intermediate risk disease (Supplemental Table 1). Characteristics of patients who reported data only at baseline and those who reported follow-up data are summarized in Supplemental Table 6. In active surveillance and EBRT groups, there appears to be more missing data in racial minority patients; there are also more missing data in non-married patients within the EBRT group.

Sexual dysfunction

Propensity score-weighted mean QOL domain scores of each group and the mean difference score vs. AS are shown in Table 2. For the sexual dysfunction domain, patients on AS had a

baseline mean score of 44.7 (standard deviation [SD] 38.0) with a gradual worsening to 56.7 (SD 38.1) by 24 months. At 3 months, patients who received EBRT without ADT had statistically significantly worse sexual dysfunction compared to those on AS with a mean difference of 8.0 (95% CI 0.5–15.6). Otherwise, there was no statistically significant difference at baseline, 12 months, or 24 months between the two groups. For patients who received SBRT, there was no statistically significant difference in sexual dysfunction scores compared to those on AS at all times points of follow-up. However, with an upper bound of the 95% CI ranging from 8.0–14.2, the possibility of SBRT resulting in worse sexual dysfunction compared to active surveillance cannot be completely ruled out. We performed a subgroup analysis of EBRT patients who received IMRT in comparison to those electing for AS, which is summarized in Supplemental Table 2.

Urinary obstruction and irritation

For the urinary obstruction and irritation domain, patients on AS had a baseline mean score of 23.4 (SD 14.0), which remained relatively stable throughout the 24-month follow-up. Patients who received EBRT had worse urinary obstruction and irritation at 3 months compared to those on AS with a mean difference of 10.8 (95% CI 7.5–14.2) (Table 2; Figure 1B). Otherwise, there was no difference at baseline, 12 months, or 24 months between the two groups. For patients who received SBRT, there was no difference in urinary obstruction and irritation score compared to those on AS at all times points.

Urinary incontinence

Patients on AS had a baseline mean score of 11.1 (SD 20.7) on the urinary incontinence domain with increase over time to 17.8 (SD 23.8) by 24 months. Patients receiving EBRT without ADT and SBRT had no statistically significant difference in urinary incontinence at all time points assessed compared to those on AS. However, with an upper bound of the 95% CI as high as 11.0, the possibility of SBRT resulting in worse urinary incontinence compared to active surveillance cannot be completely ruled out.

Bowel problems

Overall, patients had minimal bowel problems at baseline with mean scores of 6.1 (SD 8.3), 5.8 (SD 12.1), and 4.4 (SD 12.5) for patients on AS, EBRT without ADT, and SBRT, respectively. Compared to AS, those who received EBRT had statistically significantly worse bowel scores at 3 months with a mean difference score of 4.6 (95% CI 2.0–7.3), and at 24 months with a mean difference score of 3.2 (95% CI 0.2–6.2). Patients who received SBRT had statistically lower (better) bowel problem scores at 3, 12, and 24 months compared to those on AS, although the magnitudes of these score differences are small.

Sensitivity Analysis

Supplemental Table 4 summarizes QOL scores without propensity score weighting or imputation, for patients with complete data throughout all assessment time points. Supplemental Table 5 summarizes QOL scores without propensity score weighting or imputation for all patients with completed data at each time point. These results are consistent with the data reported in Table 2.

Discussion

There is intense research interest in shortening the RT course in prostate cancer due to the improved patient convenience of fewer treatments, associated cost-savings, and potential radiobiological advantages of delivering high doses per fraction [16]. Nine randomized trials have been published comparing conventionally-fractionated RT (8–9 weeks) to moderately hypofractionated RT (4–5 weeks), demonstrating similar cancer-control outcomes, though some trials have shown increased toxicity from hypofractionation [17]. There are multiple ongoing trials now comparing longer duration RT with extreme hypofractionation (1–2 weeks) including HYPO-RT-PC (ISRCTN45905321), HEAT (NCT01794403), NRG-GU005 (NCT03367702), and PACE (NCT01584258). Results of the Scandinavian non-inferiority Phase III trial (HYPO-RT-PC) randomizing 1200 patients with intermediate risk disease to 42.7 Gy in 7 fractions vs. 78 Gy in 39 fractions were recently reported, showing non-inferior freedom from biochemical or clinical failure at 5 years with hypofractionation (83.7% vs. 83.8%) [18]. In addition, a large pooled-analysis of multiple Phase II trials including 1100 patients receiving 35–40 Gy in 4–5 fractions showed 5-year biochemical relapse-free survival rates of 95% and 84% for low and intermediate risk patients, respectively [19]. As published evidence accumulates demonstrating the efficacy of extreme hypofractionation including SBRT (5 fractions), its use has continued to increase [20,21], with demand driven by the convenience of a 1–2 week treatment compared to a conventional 8–9 week course.

On the other hand, some published studies have raised concerns about SBRT delivering large doses of RT with each treatment. Medicare claims-based study reported higher rates of GU toxicity (as determined by diagnoses and diagnostic procedures performed) with SBRT vs. IMRT [6], while a Phase I/II trial reported 6 cases of grade 3 rectal toxicity among 61 patients treated at the 50 Gy in 5 fractions dose level, 2 of whom suffered a severe rectourethral fistula and 5 required a diverting colostomy [5]. However, the limitations of using claims data as surrogates for treatment-related toxicity is well-recognized [22,23], and the toxicity observed in a dose-escalation trial is likely explained by the dose-finding nature of that study. To date, there is a paucity of studies comparing QOL for patients receiving SBRT vs. conventionally-fractionated EBRT or AS. This is important information in the patient's decision-making process.

To fulfill this knowledge gap, we prospectively enrolled patients with newly-diagnosed prostate cancer to assess QOL changes from before to after treatment. To our knowledge, this is the first comparative study between SBRT, EBRT, and AS. AS serves as an important “control” group often lacking in previous studies. A prior study by Evans *et al.* assessed QOL using the Expanded Prostate Cancer Index Composite (EPIC)-26 questionnaire in 381 SBRT patients, 160 IMRT patients, and 262 brachytherapy patients [24]. This study showed better bowel QOL for SBRT patients compared to IMRT. Another study by Johnson *et al.* compared QOL between SBRT and moderately hypofractionated RT in 912 men [25]. The latter had worse urinary symptoms, and there was no difference in bowel or sexual domains. Our results are consistent with these prior studies in that EBRT caused worse urinary and bowel QOL compared to AS, but SBRT was not worse than AS. These findings require further validation through randomized studies, and multiple on-going trials including

HYPO-RT-PC, HEAT, NRG-GU005, and PACE are collecting QOL data. However, it may take several years for these data to be reported, and again, these randomized studies do not have an AS arm for comparison.

Improved QOL for SBRT compared to EBRT may stem from underlying radiobiology. Prostate cancer has a relatively low alpha-beta ratio (α/β) compared to other malignancies and even in relation to dose-limiting adjacent normal tissues including rectum and bladder [17]. This suggests that the therapeutic ratio can be augmented with larger doses per fraction, i.e. prostate cancer cells are more sensitive to hypofractionation than the surrounding organs at risk. In addition, SBRT by definition uses higher-precision patient immobilization, organ motion tracking and radiation targeting than conventional RT [26], which may be translating to a clinical QOL benefit.

There are several strengths and limitations of this study. The population-based cohort is a strength. However, because patient recruitment was statewide, details of specific RT dosimetry such as total dose, fractionation, and seminal vesicle coverage were not available. Further, we did not assess QOL of patients who received moderately hypofractionated RT, as this was not commonly used during 2011–2013 when patients were enrolled. Although we used propensity score weighting to account for potential differences in baseline characteristics, the study is not randomized and cannot account for uncontrolled confounders. Further, missing data is a limitation and appeared to occur more often in racial minority (active surveillance and EBRT) and non-married (EBRT) patients, which can introduce bias. Another strength is that all data were collected prospectively (including all baseline QOL collected prior to treatment); to our knowledge, this is the only population-based prostate cancer cohort for which this is true. However, individual patients received treatment as deemed appropriate by the radiation oncologist and/or urologist, and it is not possible to distinguish the role of the natural course of radiation effects vs. symptom-directed therapy on QOL in this study. Finally, while we report QOL results through 2 years after treatment, long-term outcomes may differ and requires further research. However, prior studies have demonstrated little QOL change after 2 years for EBRT [27] and SBRT [28]. Specifically, a single-institutional cohort of 230 patients treated with SBRT demonstrated that urinary and bowel QOL assessed by the EPIC questionnaire changed little from 1 year out to 8 years [28]. More studies are needed to confirm these findings.

Conclusions

In a prospective, non-randomized cohort of men with prostate cancer, patients treated with conventionally-fractionated EBRT experienced worse sexual dysfunction and urinary obstruction/irritation compared to AS at 3 months. They also experienced worse bowel symptoms at 3 and 24 months, although the magnitudes of differences in the bowel domain were small. Patients who received SBRT appeared to have favorable outcomes similar to AS in all domains and across all time points through 2 years, although a difference in sexual dysfunction and urinary incontinence cannot be completely ruled out. Larger studies with longer follow-up are needed to confirm these findings.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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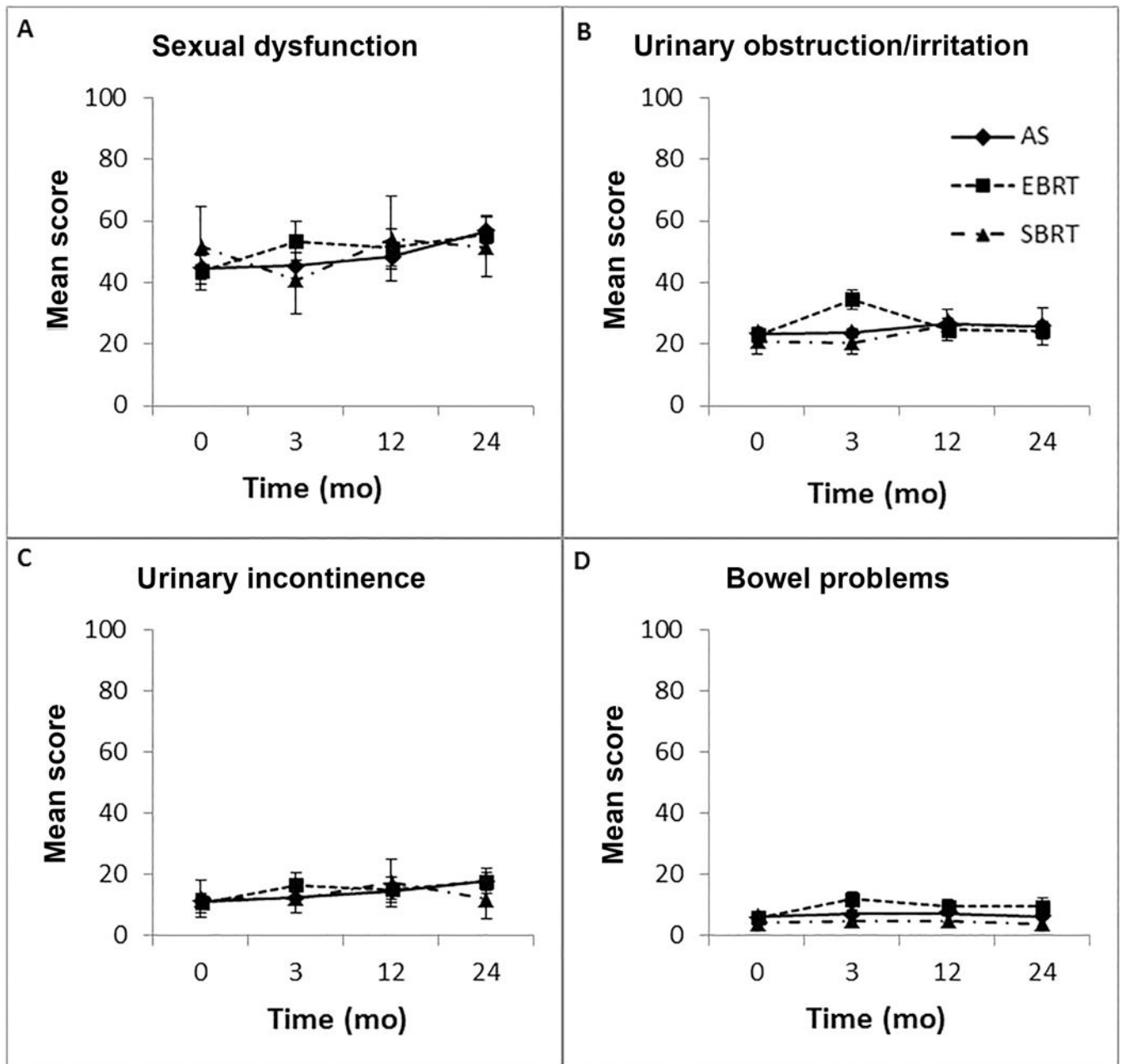


Figure 1. Patient-reported quality of life (with 95% confidence intervals) over time for A) sexual dysfunction, B) urinary obstruction/irritation, C) urinary incontinence, and D) bowel problems. Abbreviations: AS, active surveillance; EBRT, conventionally-fractionated external-beam radiotherapy; SBRT, stereotactic body radiotherapy

Table 1. Patient demographics and baseline characteristics across different treatment groups

	Before propensity weighting, No. (%)			After propensity weighting *, %		
	Active Surveillance (n = 387)	EBRT without ADT (n = 189)	SBRT (n = 104)	Active Surveillance	EBRT without ADT	SBRT
Age at diagnosis, mean (SD)	66 (7.5)	66 (6.9)	65 (6.8)	66 (7.5)	67 (7.2)	65 (7.8)
Race						
White	286 (74)	124 (66)	100 (96)	74	75	72
Black/Other	101 (26)	65 (34)	4 (4)	26	25	28
Health Insurance						
Medicare	187 (48)	98 (52)	52 (50)	48	48	44
Private	123 (32)	51 (27)	45 (43)	32	32	39
Medicaid/None	77 (20)	40 (21)	7 (7)	20	20	16
Education						
High school	126 (33)	70 (37)	9 (9)	33	32	29
Some college	103 (27)	53 (28)	30 (29)	27	28	24
College graduate	158 (41)	66 (35)	65 (63)	41	40	46
Household income, \$/year						
<40,000	164 (42)	92 (49)	19 (18)	42	41	51
40,000–70,000	97 (25)	47 (25)	22 (21)	25	27	16
70,001–90,000	49 (13)	19 (10)	18 (17)	13	13	13
>90,000	77 (20)	31 (16)	45 (43)	20	20	21
Marital status						
Married	313 (81)	136 (72)	85 (82)	81	80	87
Not married	74 (19)	53 (28)	19 (18)	19	20	13
Baseline QOL Scores						
SF-12, mean (SD)						
Physical	47.7 (11.3)	47.6 (11.4)	52.1 (8.4)	47.7 (11.3)	47.8 (11.6)	49.9 (11.8)
Mental	54.6 (8.4)	53.6 (9.3)	53.2 (7.6)	54.6 (8.4)	54.8 (8.4)	55.1 (6.2)
PCSI, mean (SD)						
Sexual dysfunction	44.7 (38.0)	49.9 (39.1)	42.0 (38.0)	44.7 (38.0)	43.6 (39.2)	52.0 (39.5)
Urinary obstruction/irritation	23.4 (14.0)	22.6 (14.7)	22.9 (13.2)	23.4 (14.0)	22.9 (15.2)	20.9 (12.9)
Urinary incontinence	11.1 (20.7)	12.5 (22.3)	8.3 (16.3)	11.1 (20.7)	10.7 (21.1)	12.0 (18.2)
Bowel problems	6.1 (8.3)	6.9 (10.2)	5.5 (6.9)	6.1 (8.3)	5.8 (8.6)	4.4 (6.7)

* Sample sizes after propensity weighting are not provided because they often involve decimal points (non-whole numbers).

Abbreviations: EBRT, external beam radiation treatment; ADT, androgen deprivation therapy; SBRT, stereotactic body radiotherapy; QOL, quality of life; PCSI, Prostate Cancer Symptom Indices.

Table 2.

Propensity-weighted prostate cancer symptoms indices scores for sexual, urinary, and bowel symptoms across different treatment groups at baseline, and at 3, 12, and 24 months

	Active surveillance		EBRT without ADT			SBRT		
	No. of patients	Mean score (SD)	No. of patients	Mean score (SD)	Mean difference score vs active surveillance (95% CI)	No. of patients	Mean score (SD)	Mean difference score vs active surveillance (95% CI)
Sexual dysfunction								
Baseline	382	44.7 (38.0)	182	43.6 (55.6)	-1.0 (-8.1, 6.1)	100	44.2 [†] (67.3)	-0.5 (-12.1, 11.1)
3 months	299	45.5 (38.9)	134	53.5 (55.2)	8.0* (0.5, 15.6)	95	43.2 [†] (65.7)	-2.2 (-13.6, 9.1)
12 months	272	48.3 (37.5)	128	51.3 (52.9)	3.0 (-4.3, 10.3)	92	51.2 [†] (65.2)	2.8 (-8.5, 14.2)
24 months	233	56.7 (38.1)	117	55.5 (52.4)	-1.2 (-8.7, 6.3)	74	52.9 [†] (62.5)	-3.9 (-15.7, 8.0)
Urinary obstruction/irritation								
Baseline	379	23.4 (14.0)	182	22.9 (21.5)	-0.5 (-3.4, 2.3)	102	20.9 (23.9)	-2.5 (-6.7, 1.6)
3 months	298	23.6 (13.1)	140	34.4 (27.3)	10.8* (7.5, 14.2)	95	20.5 (33.0)	-3.1 (-7.1, 1.0)
12 months	278	26.6 (15.5)	129	24.5 (20.3)	-2.0 (-5.0, 0.9)	94	26.1 (38.6)	-0.4 (-5.9, 5.1)
24 months	225	25.7 (14.3)	120	24.4 (21.4)	-1.3 (-4.6, 2.1)	78	25.6 (28.0)	0 (-6.6, 6.5)
Urinary incontinence								
Baseline	379	11.1 (20.7)	182	10.7 (29.9)	-0.3 (-4.0, 3.3)	102	12.0 (33.9)	1.0 (-5.4, 7.4)
3 months	301	12.6 (20.8)	136	16.7 (34.5)	4.0 (-0.5, 8.6)	95	12.3 (31.3)	-0.4 (-5.5, 4.8)
12 months	276	14.5 (22.6)	130	15.1 (33.8)	0.7 (-4.2, 5.5)	94	17.3 (43.6)	2.8 (-5.3, 11.0)
24 months	230	17.8 (23.8)	120	17.8 (35.0)	-0.1 (-5.2, 5.1)	79	11.9 (36.9)	-5.9 (-12.5, 0.7)

	Active surveillance			EBRT without ADT			SBRT		
	No. of patients	Mean score (SD)	No. of patients	Mean score (SD)	Mean difference score vs active surveillance (95% CI)	No. of patients	Mean score (SD)	Mean difference score vs active surveillance (95% CI)	
Bowel problems									
Baseline	387	6.1 (8.3)	182	5.8 (12.1)	-0.3 (-1.8, 1.1)	102	4.4 (12.5)	-1.7 (-3.8, 0.4)	
3 months	302	7.3 (9.8)	140	11.9 (21.2)	4.6* (2.0, 7.3)	97	4.9 (11.8)	-2.4* (-4.4, -0.5)	
12 months	279	7.5 (10.4)	130	9.5 (19.0)	2.0 (-0.5, 4.5)	95	4.7 (13.5)	-2.8* (-5.1, -0.5)	
24 months	233	6.5 (7.2)	120	9.7 (21.4)	3.2* (0.2, 6.2)	79	4.0 (9.3)	-2.5* (-4.3, -0.7)	

Domain scores range from 0 to 100, with higher score indicating worse symptoms.

* Statistically significant difference vs active surveillance.

† Analysis of the Sexual Dysfunction score for SBRT patients excluded 2 outliers who required very high propensity weights if included in the analysis.

Abbreviations: EBRT, external beam radiation therapy; ADT, androgen deprivation therapy; SBRT, stereotactic body radiotherapy; SD, standard deviation; CI, confidence interval