

# Neuronal Stimulation Induces Autophagy in Hippocampal Neurons That Is Involved in AMPA Receptor Degradation after Chemical Long-Term Depression

Mohammad Shehata,<sup>1,2,3</sup> Hiroyuki Matsumura,<sup>2</sup> Reiko Okubo-Suzuki,<sup>1,2</sup> Noriaki Ohkawa,<sup>1,2</sup> and Kaoru Inokuchi<sup>1,2</sup>

<sup>1</sup>Department of Biochemistry, Graduate School of Medicine and Pharmaceutical Sciences, University of Toyama, Toyama 930-0194, Japan, <sup>2</sup>Japan Science and Technology Agency, CREST, Kawaguchi 332-0012, Japan, and <sup>3</sup>Department of Biochemistry, Faculty of Pharmacy, Cairo University, Kasr El-Aini, Cairo 11562, Egypt

Many studies have reported the roles played by regulated proteolysis in synaptic plasticity and memory, but the role of autophagy in neurons remains unclear. In mammalian cells, autophagy functions in the clearance of long-lived proteins and organelles and in adaptation to starvation. In neurons, although autophagy-related proteins (ATGs) are highly expressed, autophagic activity markers, autophagosome (AP) number, and light chain protein 3-II (LC3-II) are low compared with other cell types. In contrast, conditional knock-out of ATG5 or ATG7 in mouse brain causes neurodegeneration and behavioral deficits. Therefore, this study aimed to test whether autophagy is especially regulated in neurons to adapt to brain functions. In cultured rat hippocampal neurons, we found that KCl depolarization transiently increased LC3-II and AP number, which was partially inhibited with APV, an NMDA receptor (NMDAR) inhibitor. Brief low-dose NMDA, a model of chemical long-term depression (chem-LTD), increased LC3-II with a time course coincident with Akt and mammalian target of rapamycin (mTOR) dephosphorylation and degradation of GluR1, an AMPA receptor (AMPA) subunit. Downstream of NMDAR, the protein phosphatase 1 inhibitor okadaic acid, PTEN inhibitor bpV(Hop), autophagy inhibitor wortmannin, and short hairpin RNA-mediated knockdown of ATG7 blocked chem-LTD-induced autophagy and partially recovered GluR1 levels. After chem-LTD, GFP-LC3 puncta increased in spines and in dendrites when AP-lysosome fusion was blocked. These results indicate that neuronal stimulation induces NMDAR-dependent autophagy through PI3K–Akt–mTOR pathway inhibition, which may function in AMPAR degradation, thus suggesting autophagy as a contributor to NMDAR-dependent synaptic plasticity and brain functions.

## Introduction

Protein degradation plays crucial roles in neuronal physiology and pathology (Bingol and Sheng, 2011). Early evidence in *Aplysia* linked synaptic plasticity and memory to neural activity-regulated proteolysis via the ubiquitin–proteasome system (Hegde et al., 1993, 1997), which degrades short-lived proteins and is now known as an important modulator of synaptic plasticity, learning and memory, and neurodegeneration (Yao et al.,

2007; Yi and Ehlers, 2007; Lee et al., 2008; Tai and Schuman, 2008). Neurons also use the lysosome system, which involves endocytosis to degrade proteins, to affect synaptic plasticity through receptor trafficking, particularly of AMPA-type glutamate receptors (AMPA) (Ehlers, 2000; Collingridge et al., 2004; Lee et al., 2004; Hirling, 2009).

Macro-autophagy (hereafter autophagy) is another major protein degradation pathway in which isolated membrane sequesters part of the cytoplasm to form a double-membrane vesicle, called autophagosome (AP), that fuses with lysosomes for the degradation of its contents. Basally, autophagy functions in clearance of long-lived cytoplasmic proteins or damaged organelles to maintain normal cell homeostasis. Inducible autophagy in response to some physiological stress conditions also functions in survival during starvation, tumor suppression, and protection against neurodegenerative diseases and oxidative stress (Kuma et al., 2004; Mathew et al., 2009; Yue et al., 2009; Lee and Koh, 2010).

Autophagy-related proteins (ATGs) mediate AP formation. Several ATG proteins function in light chain protein 3 (LC3, or ATG8) conjugation to phosphatidylethanolamine, thereby converting the inactive form, LC3-I, to the lipidated active form, LC3-II. This activation process occurs on isolated membranes at

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Correspondence should be addressed to Kaoru Inokuchi, Department of Biochemistry, Graduate School of Medicine and Pharmaceutical Sciences, University of Toyama, 2630 Sugitani, Toyama 930-0194, Japan. E-mail: inokuchi@med.u-toyama.ac.jp.

H. Matsumura's present address: Department of Stem Cell Medicine, Medical Research Institute, Tokyo Medical and Dental University, Bunkyo-ku, Tokyo 113-8510, Japan.

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the initial stages of vesicle formation and ends with LC3-II bound to the outer and inner layers of the complete AP double-membrane vesicle, representing a marker for AP or other autophagic compartments derived from AP (Mizushima et al., 2010). AP initiation requires PI3K class III activity and is inhibited by mammalian target of rapamycin (mTOR) kinase activity. Therefore, AP formation can be modulated by mTOR-dependent pathways (such as in starvation) or, in some cases, by mTOR-independent pathways (Ravikumar et al., 2009).

Autophagy function in mature neurons remains controversial. In rodent brains, although ATG proteins are highly expressed, autophagic activity markers (AP number and LC3-II) are low compared with other organs. Although it was difficult to detect autophagy induction after starvation in GFP-LC3 transgenic mouse brains compared with other organs (Mizushima et al., 2004), recent more sensitive methods could detect such induction (Alirezai et al., 2010; Kaushik et al., 2011). In contrast, conditional knock-out of ATG5 or ATG7, which are essential for AP formation, in mouse brains causes neurodegeneration and behavioral deficits (Hara et al., 2006; Komatsu et al., 2006), and blockade of AP-lysosomal fusion induces AP accumulation in cultured cortical neurons, indicating efficient constitutive autophagy (Boland et al., 2008).

Few reports have indicated that autophagy may contribute to synapse remodeling. In *Caenorhabditis elegans*, endocytosed GABA<sub>A</sub> receptors, but not acetylcholine receptors, are targeted to autophagosomes (Rowland et al., 2006). In *Drosophila*, autophagy promotes synapse growth (Shen and Ganetzky, 2009). Whether autophagy has such contributions in mammals remains unclear.

This study investigated whether autophagy is a component of neural activity-regulated proteolysis with a physiological role in synaptic plasticity using primary cultured hippocampal neurons, used previously to model synaptic remodeling, through various neuronal stimulation protocols (Okubo-Suzuki et al., 2008; Okada et al., 2009).

## Materials and Methods

### Chemical reagents

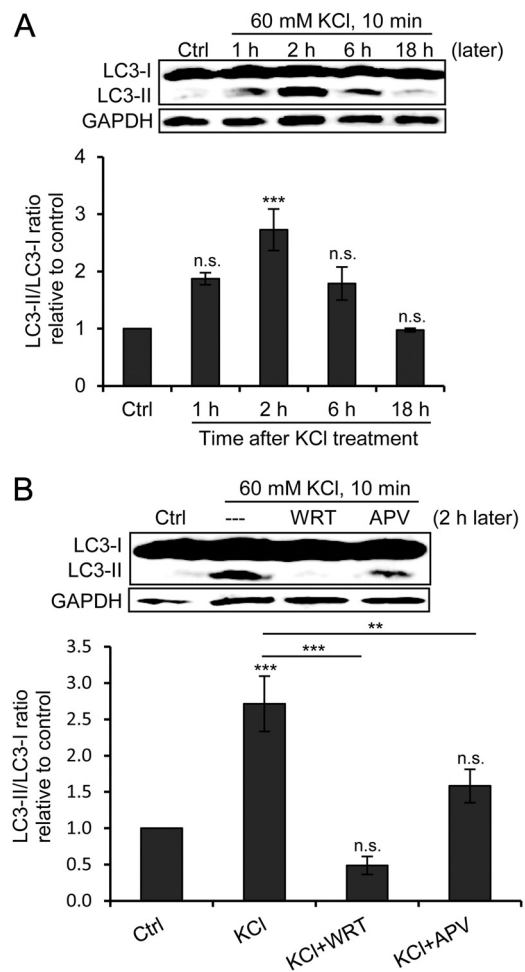
Potassium chloride (KCl), NMDA, wortmannin (WRT), D-(–)-2-amino-5-phosphonovaleric acid (APV), and bafilomycin A (Baf) were from Sigma-Aldrich. Okadaic acid (Oka) and dipotassium bisperoxo(5-hydroxypyridine-2-carboxyl) oxovanadate V [bpV(HOpic)] were from Calbiochem. Propidium iodide (PI) solution was from BD Biosciences, and 4',6-diamidino-2-phenylindole dihydrochloride (DAPI), wheat germ agglutinin conjugated to Alexa Fluor 488 (WGA-Alex488), and LysoTracker Red were from Invitrogen.

### Primary cultured hippocampal neurons

Culture was performed as described previously with slight modifications (Okubo-Suzuki et al., 2008). Hippocampi were dissected from Wistar/ST rats of either sex on embryonic day 18 and dissociated using the Sumilon Nerve Cell Dissociation kit (Sumitomo Bakelite). Neurons were suspended in MEM (Invitrogen) supplemented with 5% horse serum, 5% fetal calf serum, 2 mM L-glutamine, and 1 mM pyruvic acid. The dissociated cells were plated onto poly-L-lysine-coated six-well plates at a density of  $4 \times 10^4$  cells/cm<sup>2</sup> for lysate preparation or onto glass coverslips in six-well plates at a density of  $7 \times 10^4$  cells/cm<sup>2</sup> for microscopic examination. On day *in vitro* 1 (DIV1), the medium was replaced with MEM containing B27 supplement (Invitrogen) and 0.5 mM L-glutamine (MEM/B27) and maintained until DIV20–26.

### Neuronal stimulation treatment

For KCl depolarization, neurons were treated with MEM/B27 containing 60 mM KCl for 10 min, followed by washing and medium exchange with fresh MEM/B27 (without KCl). Neurons were returned to a CO<sub>2</sub> incu-

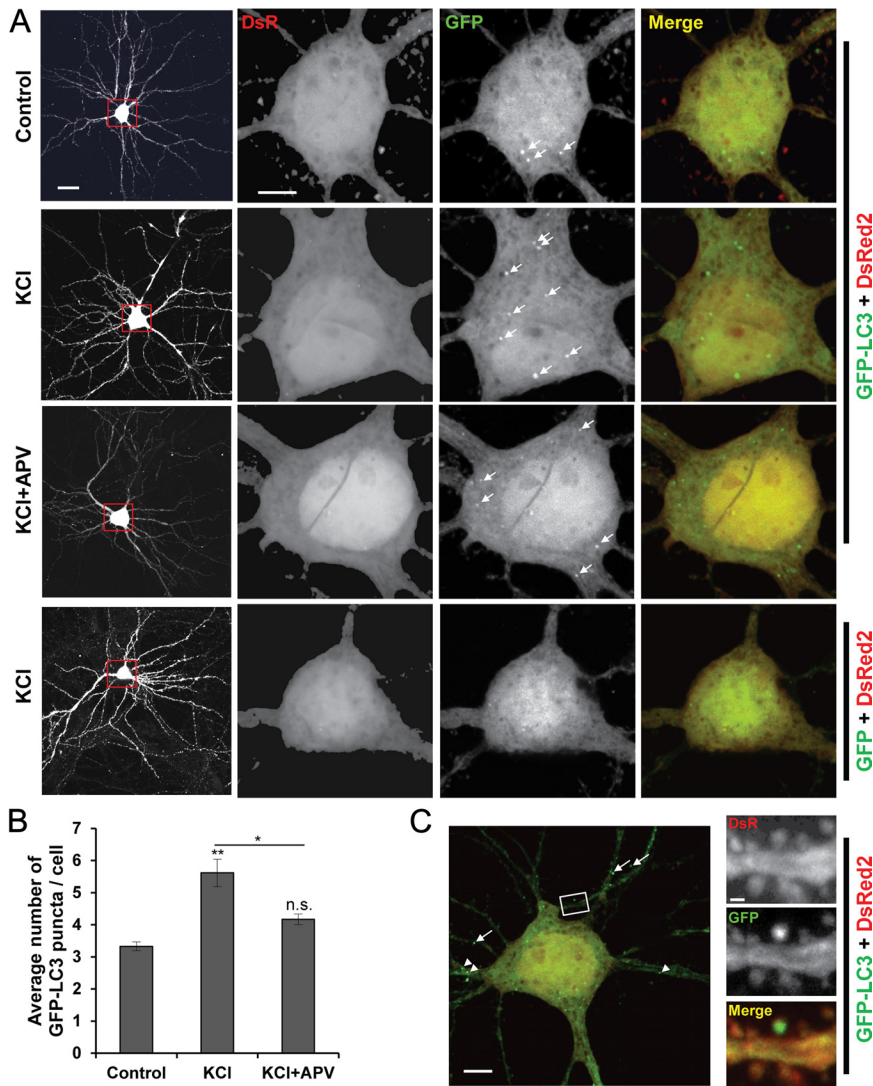


**Figure 1.** KCl depolarization transiently increases LC3-II level in an NMDAR-dependent manner. **A**, Representative LC3 and GAPDH (loading control) immunoblots of cultured hippocampal neurons treated with 60 mM KCl for 10 min and from which lysates were prepared 1, 2, 6, or 18 h after treatment (later). The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $***p < 0.001$ , one-way ANOVA with Tukey's *post hoc* test compared with control). **B**, Representative LC3 and GAPDH (loading control) immunoblots of cultured hippocampal neurons treated with 60 mM KCl for 10 min alone or with 500 nM WRT or 100  $\mu$ M APV; lysates were prepared 2 h after treatment (2 h later). The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $**p < 0.01$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control unless indicated). Error bars indicate mean  $\pm$  SE. n.s., No significant difference; Ctrl, control.

ator at 37°C for 1, 2, 6, or 18 h (as indicated). In inhibition experiments, 500 nM WRT or 100  $\mu$ M APV was added 15 min before KCl depolarization with continued exposure until analysis. For chemical long-term depression (chem-LTD), neurons were treated with MEM/B27 containing 20, 50, or 300  $\mu$ M NMDA for 5 min, followed by washing and medium exchange with fresh MEM/B27. Neurons were returned to a CO<sub>2</sub> incubator at 37°C for 15 or 30 min or 2, 6, or 18 h (as indicated). In inhibition experiments, before chem-LTD, inhibitors were added for 15 min for 500 nM WRT, 30 min for 100 nM Baf, or 1 h for 20 nM Oka or 50 nM bpV(HOpic), with continued exposure until analysis.

### Lentiviral Atg7 knockdown

To generate small hairpin RNA (shRNA) against Atg7 (shATG7), the sequence of siRNA (Accell SMARTpool, A-095596-15, LOC312647 rat; Thermo Fisher Scientific) was used, and for scrambled shRNA (shScrmB), the following sequence (sense-loop-antisense) was used: 5'-GAT CCGATCGCTGGCGCTATCTAGACCACTCTTCCTGTCAGAAGT GGTCTAGATAGCGCCAGCGATCCTTTTTG-3' (sense) and 5'-AATTCAAAAAGGATCGCTGGCGCTATCTAGACCACTTCTGACAGG



**Figure 2.** KCl depolarization increases GFP-LC3 puncta in pyramidal neurons. Cultured hippocampal neurons were cotransfected at DIV9 with pDsRed2 and pGFP-LC3 or pGFP-C1, and treatments were performed at DIV20–26. **A**, Representative images of fully mature pyramidal neurons (left; scale bar, 50  $\mu$ m) and magnified cell soma (scale bar, 5  $\mu$ m) showing GFP-LC3 puncta as a marker of AP (arrows) in control or 2 h after treatment with 60 mM KCl for 10 min alone or with 100  $\mu$ M APV. **B**, Quantitation of the average number of GFP-LC3 puncta/pyramidal cell soma ( $n = 3$  independent experiments, each representing an average of 10 neurons per treatment; \* $p < 0.05$ ; \*\* $p < 0.01$ ; one-way ANOVA with Fisher's PLSD *post hoc* compared with control except where indicated; n.s., no significant difference; error bars indicate mean  $\pm$  SE). **C**, A representative cell treated with KCl showing GFP-LC3 puncta in dendrites (arrowheads) and spines (arrows and magnified inset). Scale bars: 5  $\mu$ m; inset, 0.5  $\mu$ m.

AAGAGTGGTCTAGATAGCGCCAGCGATCCG-3' (antisense). Oligonucleotides were annealed and cloned into pSIH-H1 lentivector according to the manufacturer's instructions (System Biosciences). Production of VSV-G pseudotyped lentivirus was performed according to the manufacturer's instructions (Invitrogen), with some modifications. 293FT producer cells (Invitrogen) were seeded to a 100 mm dish with culture medium (DMEM with 1 mM sodium pyruvate/4 mM L-glutamine containing 10% FBS, 2 mM L-glutamine, 0.1 mM MEM nonessential amino acids, 500  $\mu$ g/ml Geneticin, and penicillin/streptomycin) at 24 h before transfection. Four hours before transfection, the culture medium was replaced with new culture medium without Geneticin. Five micrograms of the lentiviral vectors (shATG7 or shScrb) were cotransfected with 12  $\mu$ g of the mixture of the packaging vectors (pLP1, pLP2, and pLP/VSVG; Invitrogen) into the 293FT cells, using 36  $\mu$ l of Lipofectamine2000 (Invitrogen). The medium was replaced at 24 h after transfection with UltraCULTURE medium (Lonza) containing 4 mM L-glutamine, 2 mM GlutaMAX, 0.1 M nonessential amino acids, 1 mM sodium pyruvate, and penicillin/streptomycin. After 72 h from transfection, supernatants were collected by low-speed centrifugation (2000 rpm, 15 min),

filtered through 0.45 mm filters (Millipore), and concentrated with PEGit Virus Precipitation Solution (System Biosciences). Solutions were titrated in HeLa cells by GFP expression from transgene of lentiviral vector encoding shRNAs. Cultured neurons were infected on DIV17, and treatment was done on DIV22 as described previously.

#### Immunoblot analysis

After the indicated treatments, neuronal cells were harvested in RIPA buffer (50 mM Tris-HCl, pH 7.5, 150 mM NaCl, 2 mM EDTA, 1% NP-40, 0.5% sodium deoxycholate, 0.1% SDS, 50 mM NaF) containing 1 $\times$  protease inhibitor mixture, complete ULTRA tablets, and, in the case of phosphorylated protein detection, phosphatase inhibitor mixture (PhosSTOP tablets; Roche Applied Science). Samples were then vortexed at 4°C for 15 min and centrifuged at 14,000 rpm for 15 min at 4°C, and supernatants were stored at -30°C until use. After measurement of protein concentration using a protein assay bicinchoninate kit (Nacalai Tesque), lysates were subjected to SDS-PAGE and immunoblotting using the iBlot Dry Blotting System (Invitrogen). The appropriate primary antibodies, rabbit polyclonal anti-LC3 (1:1000; Abcam), rabbit polyclonal anti-Akt (1:1000; Cell Signaling Technology), mouse monoclonal anti-phospho-Akt (Ser473; 1:1000; Cell Signaling Technology), rabbit polyclonal anti-mTOR (1:1000; Cell Signaling Technology), rabbit polyclonal anti-phospho-mTOR (Ser2448; 1:1000; Cell Signaling Technology), rabbit polyclonal anti-GluR1 (1:1000; Millipore), rabbit polyclonal anti-ATG7 (1:1000; Cell Signaling Technology), and rabbit polyclonal anti- $\alpha$ -tubulin (1:1000; Cell Signaling Technology), and the corresponding horseradish peroxidase (HRP)-conjugated secondary antibodies (1:5000) were diluted in TBST-5% skim milk, applied sequentially to the blots and analyzed with the ECL Plus chemiluminescence detection system (GE Healthcare). An ImageQuant LAS 4000 mini imager (GE Healthcare) was used for blot imaging and quantification. The anti-Akt and anti-mTOR antibodies were applied to the same blots after stripping of anti-phospho-Akt and anti-phospho-mTOR antibodies, respectively. In Figure 5, total GluR1 and ATG7 are normalized to  $\alpha$ -tubulin and/or total Akt as loading controls. The data are represented relative to the data for the control treatment. In Figures 1 and 3D, we also calculated the LC3-II/GAPDH ratio, which gave us similar results (data not shown).

#### Plasmids

**Construction of pEGFP-LC3B.** cDNA encoding rat LC3B (Wu et al., 2006) (GenBank accession number AY392036) was obtained by RT-PCR from rat total cDNA with LC3BF1 primer (5'-TATAGATCTGCCGCCATGCGTCCGAGAAGACCTTC-3') and LC3BR1 primer (5'-GGAATTCTTACACAGCCAGTGCTGTCCCGA-3'). To obtain the pEGFP-LC3B plasmid, the LC3 cDNA product was directly inserted into BglII and EcoRI sites of the pEGFP-C1 vector (Clontech), and the sequence was confirmed with an ABI Prism 3700 DNA Sequencer (Applied Biosystems).

**Construction of pmCherry- $\beta$ -actin.** Rat  $\beta$ -actin cDNA prepared from rat PC-12 cell mRNAs was kindly provided by Prof. M. Takahashi (Kitasato University, Kanazawa, Japan). The  $\beta$ -actin open-reading-frame cDNA was subcloned into EcoRI and BamHI sites of pEGFP-C1 vector (Clontech) to



produce pEGFP- $\beta$ -actin. mCherry cDNA was kindly provided by Prof. R. Tsien (University of California at San Diego, La Jolla, CA) and amplified using 5'mCherry FW *Eco47IV* primer (5'-AAAGCGCTACCGGTCGCCACCATGGT-GAGCAAGGCGGAGGAGGAT-3') and 3'mCherry RV *BglII* primer (5'-AAAGATCT-GAGTCCGGCCGACTTGTACAGCTCGTC-CATGCCGC-3'). EGFP of pEGFP- $\beta$ -actin was replaced by mCherry cDNA through *Eco47IV* and *BglII* sites to produce pmCherry- $\beta$ -actin.

#### Analysis of autophagosome and lysosome number

For autophagosome detection, neurons were cotransfected with 1  $\mu$ g of pEGFP-LC3B or pEGFP-N1 and 1  $\mu$ g of pDsRed2-N1 plasmids (Clontech) for the KCl depolarization experiment, or cotransfected with 1  $\mu$ g of pEGFP-LC3B and 1  $\mu$ g of pmCherry- $\beta$ -actin for the chem-LTD experiment. Transfection was performed using Lipofectamine 2000 (Invitrogen) on DIV9, and neurons were treated on DIV20–26. For lysosome detection, nontransfected neurons (DIV20–26) were exposed to 200 nM LysoTracker Red for 1 h before chem-LTD treatment. Neurons were then washed and incubated with fresh MEM/B27 containing WGA-Alexa488 (to monitor cell morphology) for 15 min. In both cases, neurons were fixed with 4% paraformaldehyde in PBS, pH 7.4, for 10 min at 4°C, washed three times with cold Dulbecco's PBS (Invitrogen), and mounted with ProLong Gold Antifade Reagent (Invitrogen) before examination with an LSM700 confocal laser-scanning microscope (Carl Zeiss). Stacked images of consecutive focal planes at 0.4  $\mu$ m intervals (*z*-series sections) were reconstructed into a 2D projection image using the LSM Image Browser software (Carl Zeiss).

#### Analysis of cell death

Treated cultured neurons (DIV20–26) were washed with warm DBPS and incubated with cell death assay mixture [PI, WGA-Alex488, and DAPI (40:4:1) in DBPS] for 10 min at 37°C. Images of the neurons were taken within 15 min by a BioRevo microscope (KEYENCE Corporation). PI-positive nuclei were manually counted and represented as a percentage of the total number of DAPI-positive nuclei. WGA-Alex488 was used to monitor cell morphology.

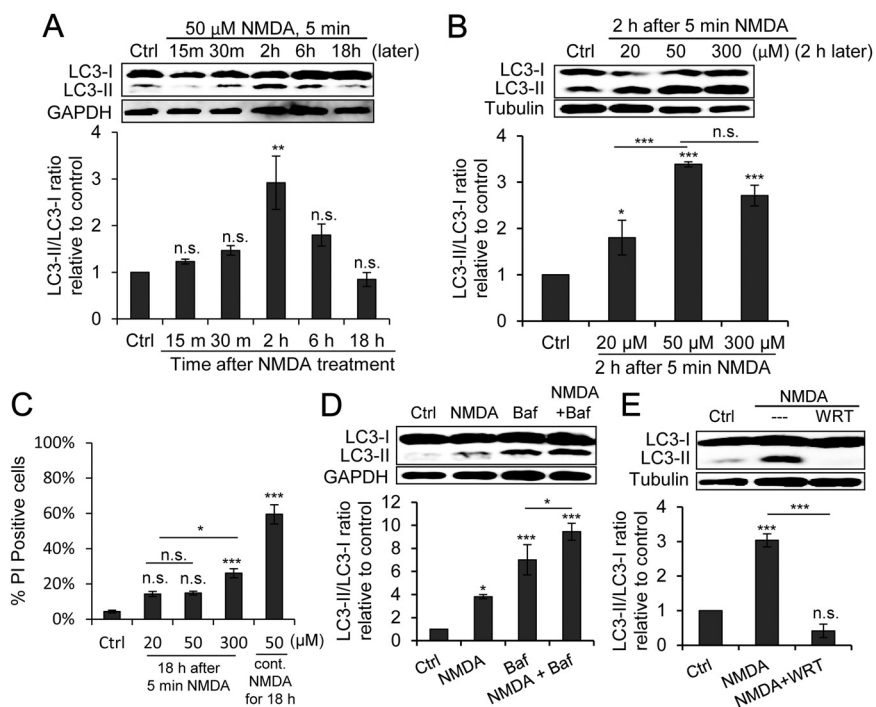
#### Statistical analysis

All statistical analyses were performed using InStat version 3.1 (GraphPad Software). Comparisons between data from two groups were analyzed by the two-tailed Student's *t* test. Multiple-group comparisons were assessed using a one-way ANOVA, followed by Tukey's or Fisher's PLSD *post hoc* tests. The null hypothesis was rejected at  $p < 0.05$ . Quantitative data are presented as mean  $\pm$  SEM.

## Results

### KCl depolarization of hippocampal neurons causes a transient NMDAR-dependent increase in LC3-II/LC3-I ratio

Neuronal stimulation with KCl causes depolarization, activation of voltage-gated receptors, and induction of immediate-early genes simulating physiological stimulation (Bading et al., 1993). Primary cultured hippocampal neurons (DIV20–26) treated with 60 mM KCl for 10 min (KCl depolarization) showed a sig-



**Figure 3.** Chemical LTD increases LC3-II level. **A**, Representative LC3 and GAPDH (loading control) immunoblots of cultured hippocampal neurons treated with 50  $\mu$ M NMDA for 5 min (chem-LTD) and from which lysates were prepared 15 or 30 min or 2, 6, or 18 h after treatment (later). The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $**p < 0.01$ , one-way ANOVA with Tukey's *post hoc* test compared with the control). **B**, Representative LC3 and tubulin (loading control) immunoblots of cultured hippocampal neurons treated with 20, 50, or 300  $\mu$ M NMDA for 5 min and from which lysates were prepared 2 h after treatment (2 h later). The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $*p < 0.05$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with the control unless where indicated). **C**, Percentage of neurons showing PI uptake, indicating cellular injury, 18 h after treatment with 20, 50, or 300  $\mu$ M NMDA for 5 min or directly after continuous (cont.) treatment with 50  $\mu$ M NMDA for 18 h ( $n = 24$  fields from 3 independent experiments;  $*p < 0.05$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with the control unless where indicated). **D**, Representative LC3 and GAPDH (loading control) immunoblots of cultured hippocampal neurons treated with 50  $\mu$ M NMDA for 5 min alone, combined with 100 nM Baf, or with Baf alone, from which lysates were prepared 2 h after NMDA treatment. The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $*p < 0.05$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control unless where indicated). **E**, Representative LC3 and tubulin (loading control) immunoblots of cultured hippocampal neurons treated with 50  $\mu$ M NMDA for 5 min alone or with 500 nM WRT, from which lysates were prepared 2 h after NMDA treatment. The graph shows the results of densitometric quantitation of immunoblots represented as the LC3-II/LC3-I ratio relative to the control ( $n = 3$ ;  $***p < 0.001$ , one-way ANOVA with Tukey's *post hoc* test compared to control). Error bars indicate mean  $\pm$  SE. n.s., No significant difference; Ctrl, control.

nificant increase in the LC3-II/LC3-I ratio 2 h after treatment, which returned to the control level within 18 h (Fig. 1A). When APV, a NMDAR inhibitor, was added 15 min before and throughout KCl depolarization treatment, it partially blocked the increase in the LC3-II/LC3-I ratio observed 2 h after KCl treatment, reducing it to approximately half. Moreover, the addition of WRT, a PI3K inhibitor and common autophagy inhibitor, completely blocked the increase in the LC3-II/LC3-I ratio in a similar manner (Fig. 1B). The partial blockade by APV indicates the involvement of NMDAR in addition to other voltage-gated receptors.

### KCl depolarization increases GFP-LC3 puncta in hippocampal pyramidal neurons

Primary cultured hippocampal neurons are mainly neurons with a small fraction of glial cells. To confirm that neuronal stimulation-induced autophagy occurred in neurons, we focused on pyramidal cells, the main neuron type involved in hippocampal memory function and easily morphologically identifiable in the mature state by their multiple spiny dendrites (Sekiguchi et

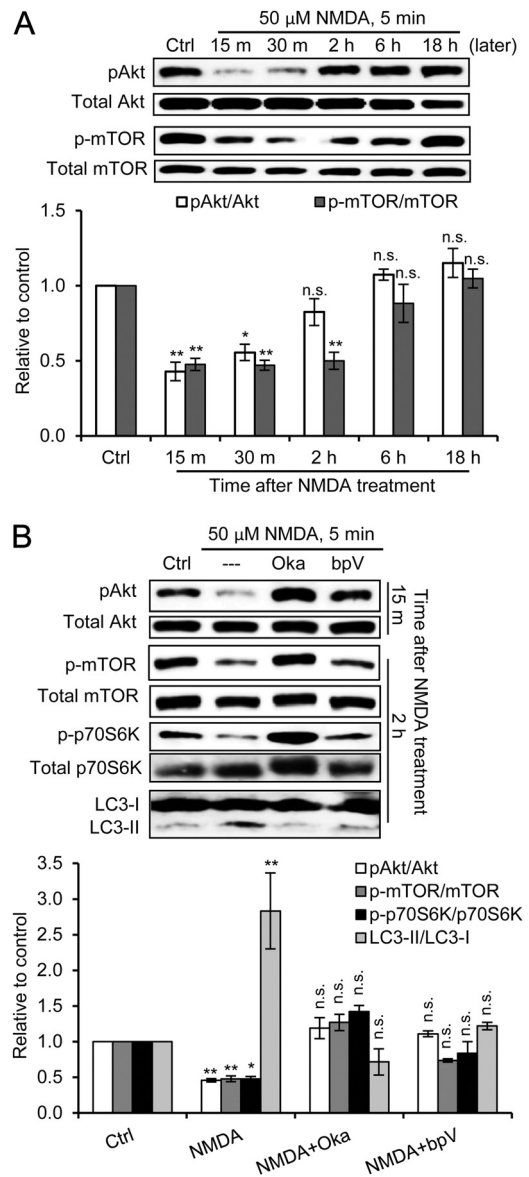
al., 2009). Primary cultured hippocampal neurons cotransfected with pGFP fused to LC3 (GFP-LC3), as an AP marker, and pDsRed, as a morphology marker, were observed 2 h after KCl depolarization. KCl significantly increased the average number of GFP-LC3 puncta in pyramidal cell soma, which was partially blocked by APV (Fig. 2*A, B*). Moreover, in some KCl-stimulated pyramidal neurons (~3 of 30 neurons), we noticed GFP-LC3 puncta in dendritic shafts and spines (Fig. 2*C*), indicating that AP may increase in dendrites after KCl depolarization. However, the scarcity of such AP hindered quantitation of the results. These results indicate that neuronal stimulation via KCl depolarization induces autophagy in pyramidal neurons.

### Brief low-dose NMDA (chem-LTD) induces autophagy

How does activation of NMDAR induce autophagy? NMDAR activation in neurons can elicit two opposing forms of synaptic modifications: long-term potentiation (LTP) and LTD (Bliss and Collingridge, 1993; Malenka and Nicoll, 1999; Collingridge et al., 2010). LTP is associated with an increase in synaptic strength as well as spine formation and enlargement (Fukazawa et al., 2003; Abraham and Williams, 2008; Bramham, 2008). In contrast, LTD is associated with weakening in synaptic strength and spine shrinkage and pruning, suggesting a role for protein degradation (Segal, 2005; Bingol and Sheng, 2011). We tested whether NMDAR-dependent LTD induces autophagy by stimulating neurons with brief low-dose NMDA, an agonist of NMDAR, as a model of chem-LTD in hippocampal neurons (Hsin et al., 2010; Li et al., 2010). As observed with KCl depolarization, bath application of 50  $\mu$ M NMDA for 5 min (hereafter, chem-LTD refers to 50  $\mu$ M of NMDA for a 5 min treatment, unless where otherwise described) significantly increased the LC3-II/LC3-I ratio 2 h after treatment, which returned to normal levels within 18 h (Fig. 3*A*).

High doses and/or long incubation of NMDA are excitotoxic to neurons, and autophagy may be induced under these conditions in response to excitotoxicity (Borsello et al., 2003; Shacka et al., 2007; Chakrabarti et al., 2009; Sadasivan et al., 2010). However, the chem-LTD protocol was previously reported not to be excitotoxic (Li et al., 2010). To confirm that brief low-dose, NMDA-induced autophagy was not a consequence of excitotoxicity, increasing doses of NMDA were applied for 5 min, and their effects on the LC3-II/LC3-I ratio and PI uptake (as a measure of cell membrane injury) 2 h (data not shown) and 18 h after exposure were compared. Low doses of NMDA (20 and 50  $\mu$ M) induced dose-dependent significant increases in the LC3-II/LC3-I ratio with a nonsignificant change in PI uptake. However, 300  $\mu$ M NMDA did not further increase the LC3-II/LC3-I ratio while leading to a significant increase in PI uptake (Fig. 3*B, C*). These data indicate that the brief low-dose, NMDA-induced autophagy is a consequence of NMDAR activation, rather than secondary to cellular injury.

Monitoring autophagic flux is recommended, because the increase in the LC3-II/LC3-I ratio may be attributable to either the induction of AP formation or the blockade of AP-lysosomal fusion (Mizushima and Yoshimori, 2007). Therefore, 100 nM Baf (saturating dose; data not shown), which blocks AP-lysosomal fusion, was applied starting 30 min before and throughout the chem-LTD protocol. The combined treatment resulted in an additive increase of the LC3-II/LC3-I ratio over that of Baf alone, thus indicating increased AP production (Fig. 3*D*). Finally, WRT completely blocked the chem-LTD-induced autophagy (Fig. 3*E*). Collectively, these results indicate that neuronal stimulation via chem-LTD induces autophagy.



**Figure 4.** Akt and mTOR dephosphorylation by protein phosphatases mediates chem-LTD-induced autophagy. **A**, Representative immunoblots and quantitation for the time course of phospho-Ser473-Akt (pAkt), total Akt, phospho-Ser2448-mTOR (p-mTOR), and total mTOR after chem-LTD in cultured hippocampal neurons ( $n = 3$ ;  $*p < 0.05$ ;  $**p < 0.01$ ; one-way ANOVA with Tukey's *post hoc* test compared with the control). **B**, Representative immunoblots and quantitation of pAkt, and total Akt 15 min after chem-LTD, and of p-mTOR, total mTOR, phospho-Thr389-p70S6K (p-p70S6K), total p70S6K, and LC3 2 h after chem-LTD alone or with 20 nM Oka or 50 nM bpV (HOpic) (bpV) ( $n = 3$  per time point;  $*p < 0.05$ ;  $**p < 0.01$ , one-way ANOVA with Tukey's *post hoc* test compared with control). Error bars indicate mean  $\pm$  SE. n.s., No significant difference; Ctrl, control.

### Dephosphorylation of Akt and mTOR by protein phosphatases mediates chem-LTD-induced autophagy

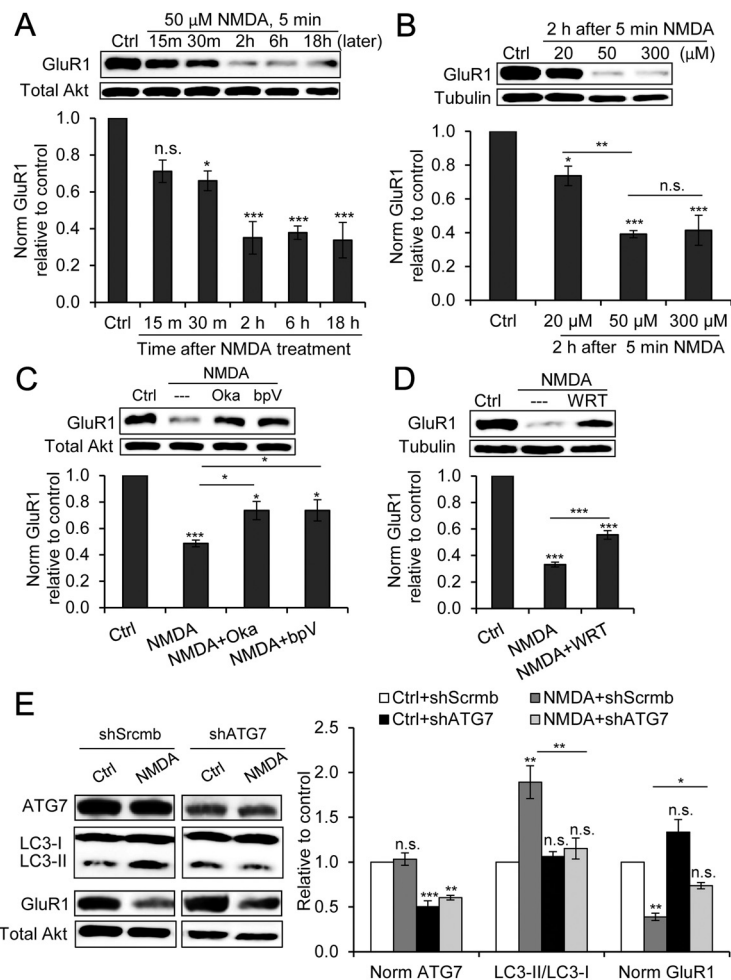
Next we aimed to determine which signaling pathway downstream of NMDAR leads to autophagy induction. The inhibition of mTOR activity represents the mTOR-dependent autophagy induction pathway (Ravikumar et al., 2009). NMDAR-dependent LTD-inducing stimuli activate protein phosphatase 1 (PP1), a key enzyme in LTD, which dephosphorylates Akt and in turn may inactivate mTOR (Collingridge et al., 2010). In addition, PTEN, a known regulator of Akt phosphorylation, is recruited at synapses by NMDAR-dependent LTD-inducing

stimuli, and its activity is necessary for LTD (Jurado et al., 2010). Therefore, we investigated the possibility that chem-LTD induces autophagy by inhibiting the PI3K–Akt–mTOR pathway.

In the time course of Akt and mTOR phosphorylation after chem-LTD, phosphorylation of both proteins significantly decreased 15 and 30 min after NMDA treatment. The decrease in mTOR was maintained for 2 h, whereas both phosphorylated proteins returned to normal levels within 18 h (Fig. 4A,B). This time course coincided with that of the increase in the LC3-II/LC3-I ratio after chem-LTD. Moreover, we tested the effect of Oka, a specific inhibitor of PP1, and bpV(Hopic), a specific inhibitor of PTEN, on chem-LTD-induced autophagy. Both reagents inhibited NMDAR-dependent LTD when induced electrophysiologically in hippocampal slices (Mulkey et al., 1993; Jurado et al., 2010). The addition of either inhibitor 1 h before and throughout chem-LTD recovered the phosphorylation of Akt (at 15 min) and mTOR and its substrate p70S6K (at 2 h) after NMDA treatment (Fig. 4C). Interestingly, each inhibitor alone, especially Oka, could completely recover the phosphorylation levels. Oka or bpV(Hopic) completely blocked the increase in the LC3-II/LC3-I ratio 2 h after NMDA treatment (Fig. 4D). These data indicate that chem-LTD induces mTOR-dependent autophagy by activating protein phosphatases.

### Autophagy induction by chem-LTD contributes to AMPAR degradation

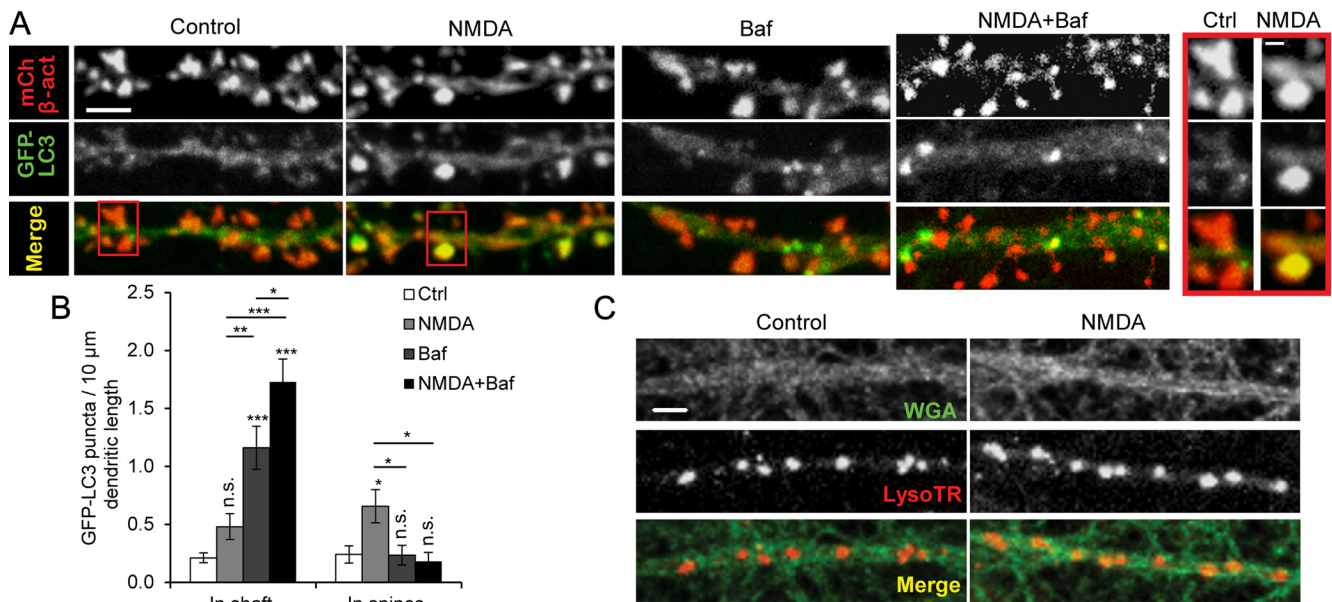
To gain some insight into possible functions of neuronal stimulation-induced autophagy, we investigated its relationship to AMPAR degradation. After LTD stimulation, AMPARs are internalized by endocytosis and are then either redirected back to the synapse via recycling endosomes or taken up by lysosomes for final degradation (Collingridge et al., 2004; Hirling, 2009). AMPARs are heterotetrameric complexes composed of various combinations of four subunits (GluR1–4). Two major subtypes of AMPAR are present in adult hippocampus: GluR1/2 and GluR2/3 heteromeric receptors. The GluR1 subunit acts dominantly over other subunits to drive AMPAR to the surface in response to NMDAR stimulation, resulting in synaptic potentiation (Shi et al., 2001). GluR1/2 addition to synapses is believed to occur during plasticity, whereas GluR2/3 continuously cycles between synapse and intracellular compartments. GluR2 is thought to control the recycling or lysosomal degradation of AMPAR after internalization (Lee et al., 2004). Therefore, monitoring GluR1/2 by detecting GluR1 degradation is more informative concerning how AMPAR sorting in response to NMDAR stimulation relates to synaptic plasticity (Ehlers, 2000; Lee et al., 2004).



**Figure 5.** Autophagy induced by chem-LTD contributes to AMPAR degradation. **A**, Representative immunoblots and quantitation of the time course of GluR1 and total Akt (loading control) after chem-LTD ( $n = 3$ ;  $*p < 0.05$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with the control). **B**, Representative immunoblots and quantitation of GluR1 and tubulin (loading control) 2 h after 20, 50, and 300  $\mu\text{M}$  NMDA for 5 min ( $n = 3$ ;  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control unless where indicated). **C**, Representative immunoblots and quantitation of GluR1 and total Akt (loading control) 2 h after chem-LTD alone or with 20 nM Oka or 50 nM bpV(Hopic) (bpV) ( $n = 3$ ;  $*p < 0.05$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control unless where indicated). **D**, Representative immunoblots and quantitation of GluR1 and tubulin (loading control) 2 h after chem-LTD alone or with 500 nM WRT ( $n = 3$ ;  $***p < 0.001$ , one-way ANOVA with Tukey's *post hoc* test compared with control unless where indicated). **E**, Representative immunoblots and quantitation of ATG7, LC3, GluR1, and total Akt (loading control) 2 h after chem-LTD of neurons infected by lentivirus encoding shScrmB or shRNA against ATG7 (shATG7) ( $n = 3$ ;  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control unless where indicated). We also calculated NMDA/Ctrl per trial for each shRNA (shScrmB,  $0.39 \pm 0.04$ ; shATG7,  $0.56 \pm 0.07$ ;  $p < 0.05$ , paired *t* test;  $n = 3$ ). Error bars indicate mean  $\pm$  SE. n.s., No significant difference; Ctrl, control.

The observation of the time course of GluR1 degradation after chem-LTD revealed that GluR1 levels began to decrease significantly 30 min after NMDA treatment, reaching a maximum decrease by 2 h (Fig. 5A), which was concomitant with the maximum increase in the LC3-II/LC3-I ratio (Fig. 3A). Comparing different doses of NMDA 2 h after chem-LTD, we found that 20 and 50  $\mu\text{M}$  NMDA induced a significant dose-dependent decrease in GluR1 levels, whereas 300  $\mu\text{M}$  NMDA did not lead to any further decrease (Fig. 5B). These results coincided with the increase in the LC3-II/LC3-I ratio, but not with PI uptake using the same NMDA doses (Fig. 3B,C), indicating that autophagy induction correlates with GluR1 degradation but not with cellular injury. Moreover, WRT, Oka, and bpV(Hopic), which inhibited chem-LTD-induced autophagy (Figs. 3E, 4D), partially recovered GluR1 levels 2 h after chem-LTD. These findings





**Figure 6.** Detection of GFP-LC3 puncta in dendrites and spines after chem-LTD. Cultured hippocampal neurons were cotransfected at DIV9 with pmCherry- $\beta$ -actin and pGFP-LC3, and treatments were performed at DIV20–26. **A**, Representative images of dendrites from fully mature pyramidal neurons after control, 2 h after 5 min of 20  $\mu$ M NMDA, 100 nM bafilomycin A for 2.5 h (Baf), or combined NMDA and Baf (NMDA + Baf) treatments. Scale bars, 2  $\mu$ m. **B**, Quantitation of results in **A** represented as the average number of GFP-LC3 puncta in the dendritic shaft or spines (colocalized with mCherry- $\beta$ -actin)/10  $\mu$ m dendritic length [ $n = 16$ –31 from 3 independent experiments each representing the average of 3–5 proximal dendrites (20–50  $\mu$ m) per neuron; \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; one-way ANOVA with Tukey's *post hoc* test compared with control except where indicated]. **C**, Representative image of a neuronal dendritic shaft and lysosomes 2 h after 5 min of 20  $\mu$ M NMDA (NMDA) or under control conditions (control). Lysosomes were detected by LysoTracker Red (LysoTR), and dendrite morphology was visualized using WGA-Alexa488 (WGA). Scale bar, 5  $\mu$ m. Results were quantitated as the average number of LysoTR puncta in dendritic shaft/10  $\mu$ m dendritic length [control,  $1.17 \pm 0.09$ ; NMDA,  $1.49 \pm 0.06$ ;  $p < 0.01$ , unpaired *t* test;  $n = 20$ –22 from 3 independent experiments, each representing the average of 3–8 dendrites (50–180  $\mu$ m) per neuron]. Error bars indicate mean  $\pm$  SE. n.s., No significant difference; Ctrl, control.

strongly suggest that chem-LTD-induced autophagy at least contributes to AMPAR degradation.

Although the addition of Oka or bpV(HOPic) resulted in complete recovery of Akt, mTOR, and p70S6K phosphorylation (Fig. 4C), chem-LTD-induced AMPAR degradation was only partially recovered. This observation possibly implies the involvement of alternative pathways other than protein phosphatase signaling in AMPAR degradation. WRT inhibits autophagy by inhibiting PI3K III, but it also nonspecifically inhibits PI3K class I. PI3K I inhibition decreases Akt phosphorylation and signals for AMPAR internalization and degradation. These two opposing effects may account for the partial recovery of GluR1 levels by WRT after chem-LTD.

To specifically inhibit autophagy, lentiviral shRNA was used to knockdown ATG7, which is necessary for LC3-I conversion to LC3-II. Neurons infected with shRNA against ATG7 (shATG7) showed ATG7 levels about half compared with neurons infected with shScrm. Two hours after chem-LTD, the increase in the LC3-II/LC3-I ratio was blocked by shATG7, and GluR1 levels were partially recovered (Fig. 5E). The ratio of normalized GluR1 level in chem-LTD treated (NMDA) to control neurons were higher in shATG7 compared with shScrm-infected neurons (shScrm,  $0.39 \pm 0.04$ ; shATG7,  $0.56 \pm 0.07$ ;  $p < 0.05$ , paired *t* test;  $n = 3$ ). The aforementioned results demonstrate that one function of neuronal stimulation-induced autophagy is contribution to AMPAR degradation after chem-LTD.

#### GFP-LC3 puncta increase in the spines and dendritic shafts after chem-LTD

We tested whether AP can be detected in dendritic shaft and spines to facilitate AMPAR lysosomal degradation. Neurons were cotransfected with pGFP-LC3 and pmCherry- $\beta$ -actin as spine

marker. F-actin is a major cytoskeletal structure in dendritic spines, and  $\beta$ -actin conjugated to fluorescent proteins allows visualization of spines (Fischer et al., 1998). GFP-LC3 puncta were rarely detected in dendritic shaft or spines in control neurons. After NMDA treatment, we detected significant increase of GFP-LC3 puncta colocalized with mCherry- $\beta$ -actin signal but only slight a nonsignificant increase of GFP-LC3 puncta in dendritic shafts after NMDA treatment (Fig. 6A,B). The rapid dynamics of AP, i.e., their rapid formation and fusion to lysosomes, may account for this rareness (Boland et al., 2008). Treatment of neurons with Baf for only 2.5 h allowed the detection of a considerable number of GFP-LC3 puncta in the dendritic shafts of pyramidal neurons even in the absence of neuronal stimulation. This observation indicates that APs are present in dendritic shafts even under control conditions, but their rapid fusion to lysosomes hinders their detection. NMDA treatment in the presence of Baf led to a significant increase in GFP-LC3 puncta in the dendritic shaft compared with treatment with Baf alone (Fig. 6A,B). In addition, an increase in lysosome number in the dendritic shaft was detected after NMDA treatment (Fig. 6C), implying that the increase in lysosomes after NMDA treatment maintains the rapid dynamics of AP degradation. Interestingly, the number of GFP-LC3 puncta colocalized with the mCherry- $\beta$ -actin signal did not increase after Baf alone or when NMDA treatment was combined with Baf (Fig. 6A,B).

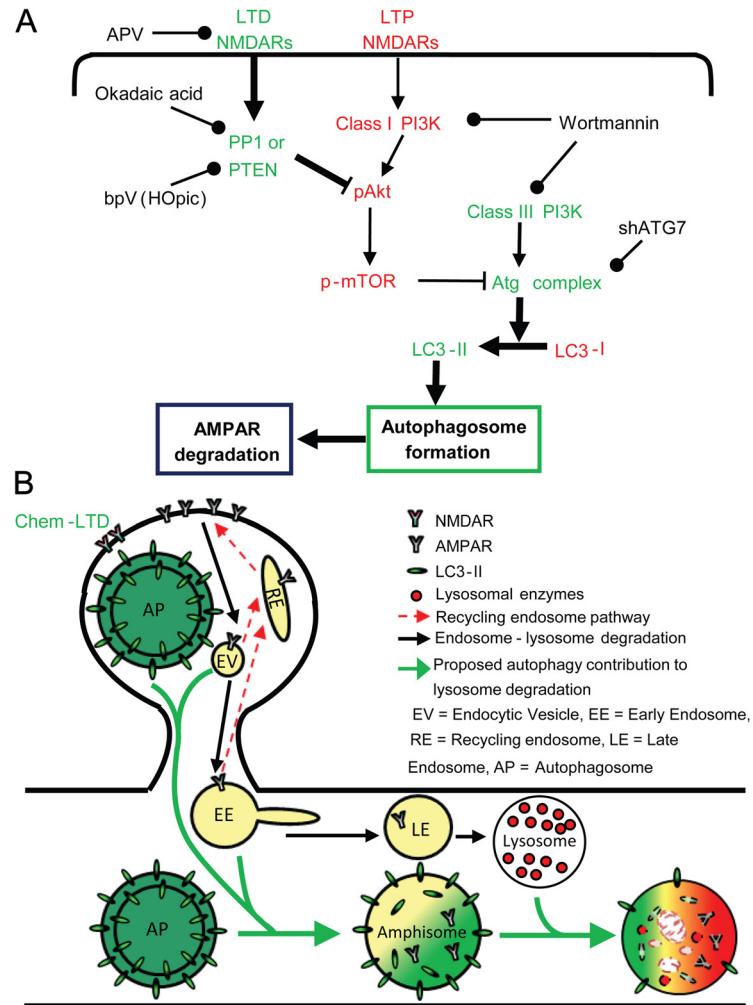
#### Discussion

This study demonstrated that KCl depolarization, as a general neuronal stimulation protocol, and the specific chem-LTD protocol induced autophagy with the involvement of NMDAR. However, our data do not exclude the induction of autophagy in response to other neuronal activity-related receptors or other

forms of plasticity, such as LTP. In fact, we present some evidence that other voltage-gated receptors, e.g., voltage-gated calcium channel receptors, may induce autophagy, as APV only partially inhibited KCl-induced autophagy (Fig. 1B). Additional studies are needed to clarify precisely how neural activity regulates autophagy.

mTOR is known to contribute to synaptic plasticity and memory through the regulation of local translation (Hoeffer and Klann, 2010). In addition, here we demonstrate that mTOR also regulates chem-LTD-induced autophagy (Fig. 7A). Therefore, mTOR regulates protein turnover in neurons by functioning at the intersection between protein synthesis and degradation. Pharmacological reagents, such as rapamycin or WRT, modulate mTOR activity and are known to have roles in synaptic plasticity and memory (Dash et al., 2002; Cammalleri et al., 2003; Hoeffer et al., 2008). Their effects have been explained only on the basis of local translation, although these reagents are common autophagy modulators. Therefore, we suggest that mTOR may act as the switch between protein synthesis and degradation depending on the neural function involved, a speculation that requires further investigation in the context of synaptic plasticity and memory.

Despite having a common fate, endosomes and autophagosomes possess different origins and pathways, indicating that their role in synaptic plasticity should not be functional redundancy. However, dissociating their endosomal and autophagosomal roles, as in AMPAR degradation, may be challenging because of the lack of pharmacological inhibitors specific for each pathway. Most inhibitors used to study the endosomal pathway are also known to be autophagy inhibitors, such as chloroquine, ammonium chloride, and leupeptin (Klionsky et al., 2008). Previous studies revealed that these reagents rescued AMPAR from lysosomal degradation; however, they interpreted these data only on the basis of the endosomal role, probably because autophagy involvement in such processes had not yet been proposed (Ehlers, 2000; Lee et al., 2004). However, in the context of the work presented in this study, these previous studies may now be interpreted as an indirect proof of the contribution of autophagy to AMPAR degradation. The present study introduced genetic manipulation to specifically inhibit autophagy through knockdown of ATG7, which is involved in the autophagosome formation process but excluded from endocytosis. ATG7 knockdown resulted in partial recovery of GluR1 levels after chem-LTD, which was attributed to decreased autophagic activity as measured by LC3-II levels (Fig. 5E). These data indicate that autophagy takes a part in AMPAR degradation after chem-LTD. However, the fact that LC3-II levels returned to



**Figure 7.** Proposed model for neuronal stimulation-induced autophagy. **A**, Regulation of chem-LTD autophagy induction; during LTD, NMDA receptors activate protein phosphatases, including protein phosphatase 1 and PTEN, which in turn dephosphorylate Akt and mTOR. This process deactivates mTOR and releases the inhibition of the Atg complex, thus increasing autophagosome formation, which may have a role in AMPAR degradation. Proteins printed in red share in inhibiting autophagy, and those in green share in autophagy induction. **B**, Possible scenario for autophagy contribution to AMPAR degradation after chem-LTD. Black arrows represent the known pathway for AMPAR degradation after endocytosis, where endocytic vesicles (EV) proceed to early endosomes (EE) and then late endosomes (LE) before final fusion to lysosomes and degradation by acid hydrolases. Red arrows represent the possible recycling of AMPAR from EV or EE back to the synapse through recycling endosomes (RE). Green arrows represent autophagosomes (AP) fusing with EV or EE to form amphisomes, before their final fusion to lysosomes, resulting in the formation of autolysosomes and degradation of the inner contents. Increased AP in dendrites and spines was observed after chem-LTD. AP may fuse with endosomes to increase their targeting for lysosome degradation (bold green arrows) and to decrease their possible recycling (dashed red arrows).

basal levels after ATG7 knockdown, and yet AMPAR degradation was only partially inhibited, suggests an autophagy-independent contribution and the possibility that autophagy contributes by enhancing the endosome–lysosome AMPAR degradation, as will be discussed below.

Furthermore, we demonstrated an increase in GFP-LC3 puncta in dendritic shafts and spines after chem-LTD that may account for the involvement of autophagy in the degradation of AMPAR. One possible scenario for this involvement may be a change in the kinetics of endosome cycling. AP fuses with endosomes to form amphisomes (Eskelinen, 2005; Mizushima, 2007), which dictate the final fate of endosome-to-lysosomal degradation (Fig. 7B). Increasing the number of AP decreases the possibility for recycling endosome formation and directs more AMPAR-containing endosomes to lysosomal degradation. An-



other possibility may involve p62 protein, also known as sequestrin 1 (SQSTM1), which is commonly found in inclusion bodies containing polyubiquitinated protein aggregates and is a common target of the AP (Klionsky et al., 2008; Mizushima et al., 2010). Interestingly, p62 is also important for LTP and spatial memory (Ramesh Babu et al., 2008). It interacts with AMPAR and is required for its trafficking (Jiang et al., 2009). It is thus probable that increased levels of AP trap AMPAR via p62 interaction with GluR1, a role that may accompany the previously suggested change in endosome recycling rate or work independently to mediate AMPAR degradation. This scenario could provide a deeper insight into the underlying mechanism if proven true in future studies.

In conclusion, we demonstrated that autophagy is regulated by neuronal activity and is a new form of regulated proteolysis. After neuronal stimulation by chem-LTD, autophagosome formation increases in pyramidal neuron dendrites and spines, contributes to directing internalized AMPAR toward lysosome degradation, and thus may be involved in the maintenance of LTD. Therefore, our study adds to the understanding of the physiological role of autophagy in neurons and proposes that autophagy is involved in synaptic plasticity. Whether autophagy plays such a physiological role in synaptic plasticity needs proof using electrophysiological experiments in slices or *in vivo*. Finally, because autophagy is now a candidate for the treatment of neurodegenerative diseases, autophagic inducers may be applied clinically for this purpose (Cheung and Ip, 2009; García-Arencibia et al., 2010). Understanding the precise mechanisms underlying autophagy in the brain and its effects on memory are of great clinical importance.

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