

# *Ulk4* Is Essential for Ciliogenesis and CSF Flow

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Ciliopathies are an emerging class of devastating disorders with pleiotropic symptoms affecting both the central and peripheral systems and commonly associated with hydrocephalus. Even though ciliary components and three master transcriptional regulators have been identified, little is known about the signaling molecules involved. We previously identified a novel gene, *Unc51-like-kinase 4* (*ULK4*), as a risk factor of neurodevelopmental disorders. Here we took multidisciplinary approaches and uncovered essential roles of *Ulk4* in ciliogenesis. We show that *Ulk4* is predominantly expressed in the ventricular system, and *Ulk4*<sup>tm1a/tm1a</sup> ependymal cells display reduced/disorganized cilia with abnormal axonemes. *Ulk4*<sup>tm1a/tm1a</sup> mice exhibit dysfunctional subcommissural organs, obstructive aqueducts, and impaired CSF flow. Mechanistically, we performed whole-genome RNA sequencing and discovered that *Ulk4* regulates the *Foxj1* pathway specifically and an array of other ciliogenesis molecules. This is the first evidence demonstrating that *ULK4* plays a vital role in ciliogenesis and that deficiency of *ULK4* can cause hydrocephalus and ciliopathy-related disorders.

**Key words:** ciliogenesis; CSF; hydrocephalus; hypomorph mouse; neurodevelopmental disorder; *Ulk4*

## Significance Statement

Ciliopathies are an emerging class of devastating disorders with pleiotropic symptoms affecting both the central and peripheral systems. Ciliopathies are commonly associated with hydrocephalus, and *Unc51-like-kinase 4* (*Ulk4*) has been identified as one of 12 genes causing hydrocephalus in mutants. Here we uncover an essential role of *Ulk4* in ciliogenesis. *Ulk4* is predominantly expressed in the ventricles, and mutant ependymal cells display reduced/disorganized/nonfunctional motile cilia with abnormal axonemes and impaired CSF flow. *Ulk4* modulates expression of the master regulator of ciliogenesis, *Foxj1*, and other ciliogenesis molecules. This is the first report demonstrating a vital role of *Ulk4* in ciliogenesis. *ULK4* deficiency may be implicated in human hydrocephalus and other ciliopathy-related disorders.

## Introduction

Ciliopathies, which are associated with neurodevelopmental disorders of pleiotropic clinical symptoms, include Joubert syn-

drome, Bardet–Biedl syndrome, Meckel–Gruber syndrome, oral–facial–digital syndrome type 1, and nephronophthisis, all of which are commonly associated with hydrocephalus (Lee and Gleeson, 2011). Handedness, linked to dyslexia and schizophrenia, is also shown to involve ciliopathies (Brandler and Paracchini, 2014). MIPT3, an interactive protein of disrupted-in-schizophrenia 1 (*DISC1*), which is truncated in a large Scottish schizophrenic family (Millar et al., 2000), functions synergistically with the Bardet–Biedl syndrome protein *Bbs4* and plays a critical role in assembling intraflagellar transport particle complexes (Li et al., 2008). Remarkably, when 41 candidate genes associated with schizophrenia, bipolar affective disorder, autism spectrum disorder, and intellectual disability are investigated, 23 are found to regulate cilium length in cultured cells (Marley and von Zastrow, 2012).

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We recently demonstrated that the *Unc51-like-kinase 4* (*ULK4*) gene is a rare risk factor for schizophrenia, autism, and bipolar disorder (Lang et al., 2014). Our unpublished data show that *ULK4* is deleted in some patients with heterogeneous clinical features, including developmental delay, language delay, and severe intellectual disability (Liu et al., 2016, in press). Depleted *ULK4* expression in neuroblastoma cells disrupts microtubular composition, compromises neurite outgrowth and cell motility, and modulates multiple signaling pathways, which are associated with schizophrenia. *Ulk4* is developmentally regulated by morphogens, and there is a switch in *Ulk4* isoforms during mouse brain formation and neuronal maturation. Targeted *Ulk4* deletion compromises corpus callosum integrity in mice (Lang et al., 2014), and agenesis of the corpus callosum is a frequent brain disorder found in >80 human congenital syndromes, including ciliopathies and neurodevelopmental disorders (Laclef et al., 2015).

To investigate the role of *Ulk4* during brain formation, we recently characterized *Ulk4* gene expression during *Xenopus* development, and found coexpression of *Ulk4* mRNA with *Sox3* (a neural progenitor cell marker) and *Blbp* (a radial glial marker) in the ventricular zone of the forebrain (Domínguez et al., 2015). To understand the consequence of genetic lesions, here we systematically investigated *Ulk4<sup>tm1a/tm1a</sup>* mice. We demonstrate that *Ulk4* is predominantly expressed in the cells lining the ventricles and is essential for ciliogenesis. *Ulk4<sup>tm1a/tm1a</sup>* mice exhibit dysfunctional subcommissural organs (SCOs), obstructive aqueducts, and noncommunicating hydrocephalus. The CSF flow is impaired, and *Ulk4<sup>tm1a/tm1a</sup>* ependymal cells display reduced/dysorganized/dysfunctional cilia with abnormal axonemes, which are required for coordinated beating of ependymal cilia and directional flow of CSF. Furthermore, we present the molecular mechanism that *Ulk4* may regulate expression of *Foxj1*, a master switch of ciliogenesis, and numerous other ciliogenesis genes. Therefore, our findings indicate that *Ulk4* may act as a scaffold protein regulating different processes of ciliogenesis and coordinated ciliary beating, and *ULK4* may be associated with human diseases of heterogeneous clinical symptoms in relation to cilia dysfunction.

## Materials and Methods

***Ulk4 hypomorph mice.*** The DNA sequence of the knock-out-first construct for creating the *Ulk4<sup>tm1a(KOMP)Wtsi</sup>* (shortened as *Ulk4<sup>tm1a</sup>* in this article) mutants was published online (<http://www.ncbi.nlm.nih.gov/nucleotide/JN950132.1>). In the targeted allele, the intron 6 of sequence 5'-tctgtctgaagaaggaggccgccaggatcag-3' was replaced by the *FRT-En2SA-IRES-LacZ-PA-hBactP-Neo-PA-FRT-loxP* cassette, so that a fusion mRNA containing *Ulk4* exons 1–6 and *En2SA-IRES-LacZ-PA* could be transcribed. The intron 7 sequence of 5'-cagcctcctgcaactgcgattacagatcaataccac-3' was replaced by a second *loxP* site, to enable deletion of the critical exon 7 (76 bp) by the Cre-LoxP system if needed.

The *Ulk4* mutant strain was created from ES cell clone EPD0182\_4\_E12 generated by the Wellcome Trust Sanger Institute and made into mice by the Knockout Mouse Project (KOMP) Repository ([www.komp.org](http://www.komp.org)) and the Mouse Biology Program ([www.mousebiology.org](http://www.mousebiology.org)) at the University of California, Davis. Methods used to create the (CHORI/Sanger/UC Davis)-targeted alleles were published previously (Skarnes et al., 2011). Breeding pairs of *Ulk4<sup>+/tm1a</sup>* mice on C57BL/6N background were purchased from the KOMP Repository.

All experimental procedures were approved by both the Irish Department of Health and Children in accordance with Cruelty to Animals Act of 1876 with license number B100/4504; and by the institutional Animal Care and Research Ethics Committee with certificate number 12/SEP/02. *Ulk4<sup>tm1a/tm1a</sup>* and wild-type (WT) littermates were obtained from *Ulk4<sup>+/tm1a</sup> × Ulk4<sup>+/tm1a</sup>* mating. Each mouse was genotyped by genomic

PCR with two pairs of primers at the same time. The WT allele was detected by a 271 bp DNA fragment using *Ulk4EndE7For* (5'-TAACTTGCTGGACGGATTGCTG-3' in exon 7) and *Ulk4EndIn7Rev* (5'-TGATCTGTAATCGCAGTGCAGG-3', nested within the sequence of 5'-CAGCCTCCTGCACTGCGATTACAGATCAATACCAC-3' deleted in the *Ulk4<sup>tm1a</sup>* allele). The mutant allele was identified by a 621 bp PCR fragment using *Ulk4KOMPFor* (5'-GAGATGGCGCAACGCAATTAATG-3', which was present only in the synthetic cassette) and *Ulk4KOMPRev* (5'-CTGAGGAGACAATGTAACCAGC-3' from intron 7).

***Histology.*** P12 *Ulk4<sup>tm1a/tm1a</sup>* (three females and one male) and littermate controls (one female and three males) were deeply anesthetized and intracardially perfused with 20 ml of 4% paraformaldehyde (PFA) in PBS. The brains were dissected, postfixed in 4% PFA for 24 h, and embedded into paraffin blocks. Serial coronal sections at 10  $\mu$ m were produced with a microtome (SM2000R, Leica Instruments), stained with hematoxylin and eosin (H&E) and imaged under a bright-field microscope (IX41, Olympus) equipped with a camera.

***CSF circulation assay.*** Three *Ulk4<sup>tm1a/tm1a</sup>* (one female and two males) and four littermate controls (two females and two males) postnatal day (P) 12 mice [three were anesthetized by intraperitoneal injection of ketamine (150 mg/kg)/xylazine (15 mg/kg)]. The 30 gauge needle attached to a 10  $\mu$ l glass syringe was positioned at 0.1 mm posterior and 1.0 mm lateral to the bregma on the head. Five microliters of Evans blue dye (4% in PBS) were slowly injected into the lateral ventricle (LV) of mice, which were killed 20 min later. Whole brains with a portion of the spinal cord were dissected and fixed in 4% PFA. Coronal sections at 1 mm thickness were generated by tissue chopper 48 h later. Images at 1 $\times$  magnification were captured by a stereomicroscope with a digital camera.

***X-gal staining.*** Five P14 male mice were perfused, and brains were dissected, postfixed for 10 min in 4% PFA, and washed in PBS twice for 5 min. They were cryoprotected in 30% sucrose in PBS overnight at 4°C until sinking to the bottom of the tube, embedded in Optimal Cutting Temperature compound, and immersed in isopentane bath prechilled with liquid nitrogen. The samples were sectioned at 30  $\mu$ m on a cryostat and mounted onto precoated slides. The sections were postfixed in 4% PFA for 10 min on ice, rinsed in PBS on ice for 2  $\times$  10 min, and washed in PBS containing 2 mM MgCl<sub>2</sub>, 0.02% Nonidet P-40, 0.01% sodium deoxycholate for 10 min on ice. The sections were incubated in the dark with X-gal (5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactoside) staining solution (1 mg/ml X-gal, 5 mM potassium ferricyanide, 5 mM potassium ferrocyanide, 2 mM MgCl<sub>2</sub>, 0.02% Nonidet P-40, 0.01% sodium deoxycholate in PBS) overnight at 37°C. The slides were then washed in PBS for 2  $\times$  5 min at room temperature, quickly rinsed in distilled H<sub>2</sub>O, and counter-stained for 30 s in the eosin. They were subsequently washed in distilled H<sub>2</sub>O for 3  $\times$  5 min, dehydrated through methanol (5 min each in 50, 70, and 100% methanol), cleared for 2  $\times$  5 min in xylene, and mounted with mount medium (Sigma-Aldrich).

***Immunohistochemistry.*** P12 juvenile mutants (three females and one male) and WT littermates (one female and three males) were killed with overdoses of sodium pentobarbitone. Brains were postfixed in PBS containing 4% PFA at 4°C overnight, embedded in paraffin, and sectioned at 10  $\mu$ m. The sections were immunostained using primary mouse monoclonal antibody against acetylated  $\alpha$ -tubulin (1:1000; Sigma-Aldrich) and secondary goat anti-mouse antibody conjugated to Alexa Fluor 555 (1:500; Cell Signaling Technology), and mounted with mounting medium containing DAPI (Sigma-Aldrich).

***Scanning electron microscopy.*** P18 mice were perfused with 2.5% glutaraldehyde/2% PFA in PBS and brains postfixed in 2.5% glutaraldehyde/2% PFA in 0.1 M sodium cacodylate/HCl buffer, pH 7.2, overnight at 4°C. LVs were exposed by dissection under stereomicroscope. After dehydration through graded alcohols, tissue samples were transferred to hexamethyl disilazane for 2  $\times$  15 min. The samples were then allowed to dry overnight in a fume hood, fixed to metal stubs using silver DAG paint, gold sputter coated, and viewed on a Hitachi S2600N variable-pressure scanning electron microscope.

***Transmission electron microscopy.*** LV walls were dissected from P18 brains fixed in 2.5% glutaraldehyde/2% paraformaldehyde/0.1 M sodium cacodylate/HCl buffer, pH 7.2, overnight at 4°C. Tissues were subse-

quently immersed in secondary fixative solution containing 1% osmium tetroxide in 0.1 M sodium cacodylate/HCl buffer, pH 7.2, for 4 h. They were then dehydrated through a series of graded alcohols (50, 70, 90, 95, and 100%), placed in propylene oxide, and transferred to a series of resin and propylene oxide mixtures (50:50, 75:25, pure resin). Finally, tissues were transferred to flat embedding molds, clearly labeled, and placed in 65°C oven for 48 h to polymerize. After polymerization, blocks were sectioned at 100 nm, lifted onto 3 mm copper grids, and stained for 30 min in 1.5% aqueous uranyl acetate for 10 min in lead citrate. Sections were dried and viewed on the Hitachi H7000 transmission electron microscope.

**Whole-genome RNA sequencing.** Three pairs of P12 *Ulk4*<sup>tm1a/tm1a</sup> mice and WT controls were killed, and cortexes were quickly dissected and snap frozen in liquid nitrogen. RNA was extracted using RNeasy Kit (Qiagen) according to the manufacturer's instructions. RNA concentration was determined by the NanoDrop spectrophotometer. Equal amounts of RNA (~6 μg/mouse, *n* = 3 each) were sent to BGI for whole-genome RNA sequencing. Libraries were constructed to convert RNA into cDNA, and quantitative RNA sequencing was performed by using the Illumina HiSeq2000 next-generation sequencer. Using bioinformatics tools and databases, 19,652 genes were identified from the P12 mouse cortex.

Relative gene expression was quantified and normalized in a format of FPKM (fragments per kilobase of transcript per million mapped reads). *P* values of multiple testing and false discovery rates (FDRs) were analyzed and supplied by BGI. We also used one-way ANOVA to statistically analyze the differential expression with two tails. *p* < 0.05 indicates statistical significance. The 414 cilia-associated genes were further analyzed statistically, and 34 *Ulk4* targets were analyzed with the STRING database for pathway association and protein–protein interaction.

**Quantitative RT-PCR.** Quantitative RT-PCR (qRT-PCR) was performed to validate expression of key *Ulk4* targets detected by whole-genome RNA sequencing. Single-strand cDNA was synthesized from P14 cortical RNA of WT and *Ulk4*<sup>tm1a/tm1a</sup> mice. Triple qRT-PCRs were performed for each primer pair. *Gapdh*FOR 5'-CTCATGACCACAGTC CATGC-3' and *Gapdh*REV 5'-CACATTGGGGGTAGGAACAC-3' were used as cDNA loading control for each qRT-PCR plate. The *Ulk4* RT-PCR primers were *Ulk4E6*FOR 5'-CCCCATTCTTCTCAGAAA CG-3' and *Ulk4E8*REV 5'-GTCTTCCAGAATGGGTGCT-3' (197 bp); *Ulk4E21*FOR 5'-TTGATAGTCCGTCCTCC-3' and *Ulk4E23*REV 5'-CATGGGAGACACATCTTCA-3' (189 bp); and *Ulk4E34*FOR 5'-CCGAGAGAACATGGTGACCT-3' and *Ulk4E36*REV 5'-CGATAGTGT CCGACGGGTAG-3' (197 bp). Other primers include *Foxj1*FOR 5'-GGCCACCAAGATCACTCTGT-3' and *Foxj1*REV 5'-CTTCTTGAAG GCCCACTGA-3' (223 bp); *Rfx3*FOR 5'-TGCTAGCTTTGGCTCCT TTC-3' and *Rfx3*REV 5'-GATTTCCGGGAGATACAGCA-3' (152 bp); *Vangl2*FOR 5'-GCAGGAAGAGGAGCAGAAGA-3' and *Vangl2*REV 5'-AATGCAGAACTCCAGGTGCT-3' (166bp); *Pcm1*FOR 5'-TCCTGTGGA CATCCAGACTTC-3' and *Pcm1*REV 5'-GCGAGTCCTTATGGGTA GCA-3' (233 bp); *Tubb4a*FOR 5'-GGTCAATGCGGTAACCAGAT-3' and *Tubb4a*REV 5'-CACGCTCTGGGAACATAGT-3' (165 bp); *Rsph9*FOR 5'-CCACTTCAGGGAGGCTATTG-3' and *Rsph9*REV 5'-GAGCGTGGTAGAAGGTGAGG-3' (194bp); *Gsn*FOR 5'-GACTCTTTG CCTGCTCCAAC-3' and *Gsn*REV 5'-TTGCTGGATCTGTCTCGA TG-3' (207 bp); *Poc5*FOR 5'-CAGTCCGCTGATTTTGGT-3' and *Poc5*REV 5'-CTGCTTTCAGGATGGATGGT (172 bp); *Cep120*FOR 5'-AAACAGCAGGAGGAGTTGGA-3' and *Cep120*REV 5'-GCTTCCCAC TTGCAATCTCT-3' (172 bp); *Kif5a*FOR 5'-TCCTACCAGAAGGCCAA CAT-3' and *Kif5a*REV 5'-CTGCAGCTACTGAAAGTGC-3' (178 bp); *Spag6*FOR 5'-ACATGTTGTTGGCAGTTCAG-3' and *Spag6*REV 5'-TTGGTAGCTGTCCACCCTCT-3' (226bp); and *B9d2*FOR 5'-TTCTCG GAAAGCAGCCTCTT-3' and *B9d2*REV 5'-TAGCGAAGTGCAGGTCA ATG-3' (154 bp). Relative RNA abundance in the *Ulk4*<sup>tm1a/tm1a</sup> cortex was calculated using 2<sup>ΔΔCt</sup>, with average expression level of the corresponding gene in the WT littermates as 100%. The data were presented as mean ± SEM, *n* = 3 each (\**p* < 0.05, \*\**p* < 0.01).

## Results

### *Ulk4* deficiency causes growth retardation and preweaning loss

The *Ulk4* mutant strain (*Ulk4*<sup>+/tm1a</sup>) was created by the KOMP Repository using the knock-out-first strategy (Fig. 1*A,B*). A cassette of *FRT-En2SA-IRES-LacZ-PA-hBactP-Neo-PA-FRT-loxP-Exon7-loxP* was inserted into intron 6, so that *Ulk4* exons 1–6 could be spliced with *En2SA-IRES-LacZ-PA* to generate a chimeric *Ulk4-SA-IRES-LacZ-PA* mRNA. Although a polyA signal was followed the *lacZ* reporter gene, the *En2* splice acceptor site might not be fully efficient to knock-out *Ulk4* gene completely. We evaluated *Ulk4* mRNA expression in the *Ulk4*<sup>tm1a/tm1a</sup> mutants by qRT-PCR, using primers derived from the targeting region (exon 6–8), the middle (exon 21–23), and the 3'-end of the *Ulk4* gene (exon 34–36). The *Ulk4* mRNA expression was reduced to 4.0 ± 24.6% (*p* < 0.01, *n* = 3 each; Fig. 1*D*), 15.8 ± 23.5% (*p* = 0.01; Fig. 1*E*), and 22.8 ± 8.9% (*p* = 0.02; Fig. 1*F*) respectively in *Ulk4*<sup>tm1a/tm1a</sup> mice, showing an average 78–96% reduction of *Ulk4* expression in the mutants. It is unknown whether the *Ulk4* mRNA is generated from the leaky system of *En2SA* and/or from natural *Ulk4* splicing variants using alternative promoter(s). It is also unclear whether they encode functional proteins. Nevertheless, these data demonstrate that the *Ulk4*<sup>tm1a/tm1a</sup> strain is a “hypomorph” model, not a “null” mutant. This further highlights the importance of the *Ulk4* gene for brain development and function, given that 78–96% depletion of *Ulk4* mRNA already resulted in striking phenotypes described in this article.

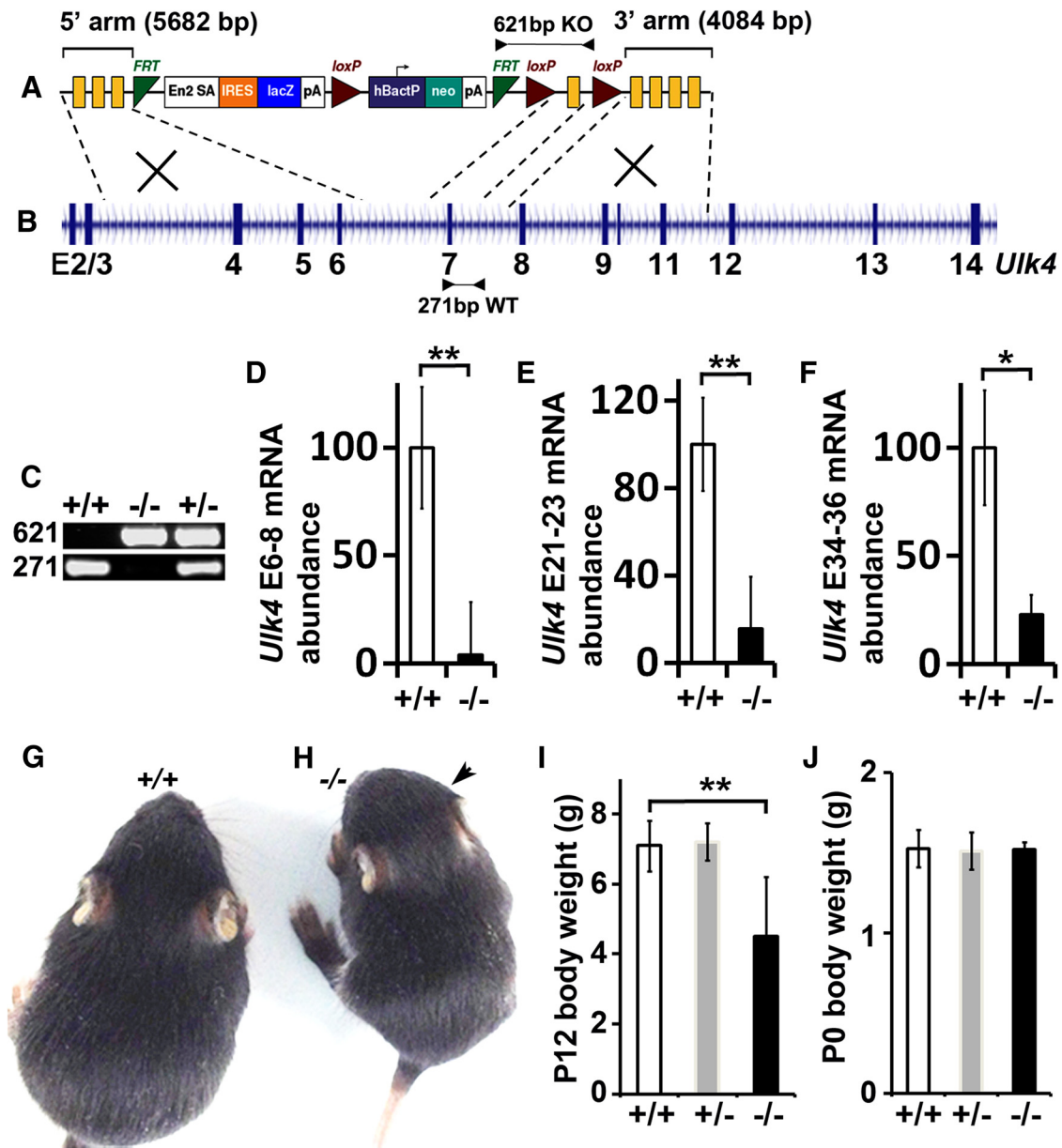
To investigate *Ulk4* gene function, we first bred *Ulk4*<sup>+/tm1a</sup> (Fig. 1*A–C*) males with WT (*Ulk4*<sup>+/+</sup>) females and observed the expected Mendelian ratio of *Ulk4*<sup>+/tm1a</sup> mice in offspring. For example, 117 pups were born from 19 litters with a standard litter size for C57BL/6N (6.2 ± 0.6, mean ± SEM). Of the 111 (95%) that survived to weaning, 52 were WT and 59 were heterozygous *Ulk4*<sup>+/tm1a</sup>. Therefore, deletion of one copy of *Ulk4* gene did not affect mouse survival, sexual development, or reproduction.

However, *Ulk4*<sup>+/tm1a</sup> × *Ulk4*<sup>+/tm1a</sup> mating showed a significant preweaning loss. Among the 82 pups born from 12 litters (6.8 ± 0.5/litter), only 63 (76.8%) survived to weaning, with a *Ulk4*<sup>+/+</sup>-to-*Ulk4*<sup>+/tm1a</sup>-to-*Ulk4*<sup>tm1a/tm1a</sup> ratio of 16:37:10. To determine whether *Ulk4*<sup>tm1a/tm1a</sup> mice were embryonic lethal, we genotyped four litters of 28 pups (seven per litter) at birth (P0), and detected a *Ulk4*<sup>+/+</sup>:*Ulk4*<sup>+/tm1a</sup>:*Ulk4*<sup>tm1a/tm1a</sup> ratio of 9:11:8, showing that *Ulk4*<sup>tm1a/tm1a</sup> mice were not under-represented at birth. Subsequent genotyping further confirmed a Mendelian ratio of *Ulk4*<sup>tm1a/tm1a</sup> mice at birth, but they rarely survived to P28.

*Ulk4*<sup>tm1a/tm1a</sup> mice displayed marked growth retardation. At P12, their body weight was 5.10 ± 0.65 g (*n* = 5, *p* < 0.01), ~30% lighter than that of *Ulk4*<sup>+/+</sup> (7.10 ± 0.15 g, *n* = 12; Fig. 1*E–G*) or *Ulk4*<sup>+/tm1a</sup> littermates (7.20 ± 0.21 g, *n* = 12). However at P0, they (1.52 ± 0.02 g, *n* = 5) did not differ from WT (1.53 ± 0.04 g, *n* = 8; Fig. 1*H*) or *Ulk4*<sup>+/tm1a</sup> (1.51 ± 0.04 g, *n* = 9) mice. Therefore, the *Ulk4* gene is essential for postnatal growth and survival.

### *Ulk4*<sup>tm1a/tm1a</sup> mice develop hydrocephalus

All *Ulk4*<sup>tm1a/tm1a</sup> mice exhibited hydrocephalic appearance with domed-shaped heads 2 weeks after birth (Fig. 1*F*, arrow). To investigate the neuropathology, four P12 *Ulk4*<sup>tm1a/tm1a</sup> and four *Ulk4*<sup>+/+</sup> littermates were histologically investigated. Ventriculomegaly was evident in *Ulk4*<sup>tm1a/tm1a</sup> mice, with an LV size of 7.00 ± 1.48 mm<sup>2</sup> at the anterior commissural level, which was 17.5-fold larger (*p* < 0.01) than that in WT littermates (0.40 ± 0.11 mm<sup>2</sup>; Fig. 2*R*). The *Ulk4*<sup>tm1a/tm1a</sup> third ventricle (3V; 0.122 ±



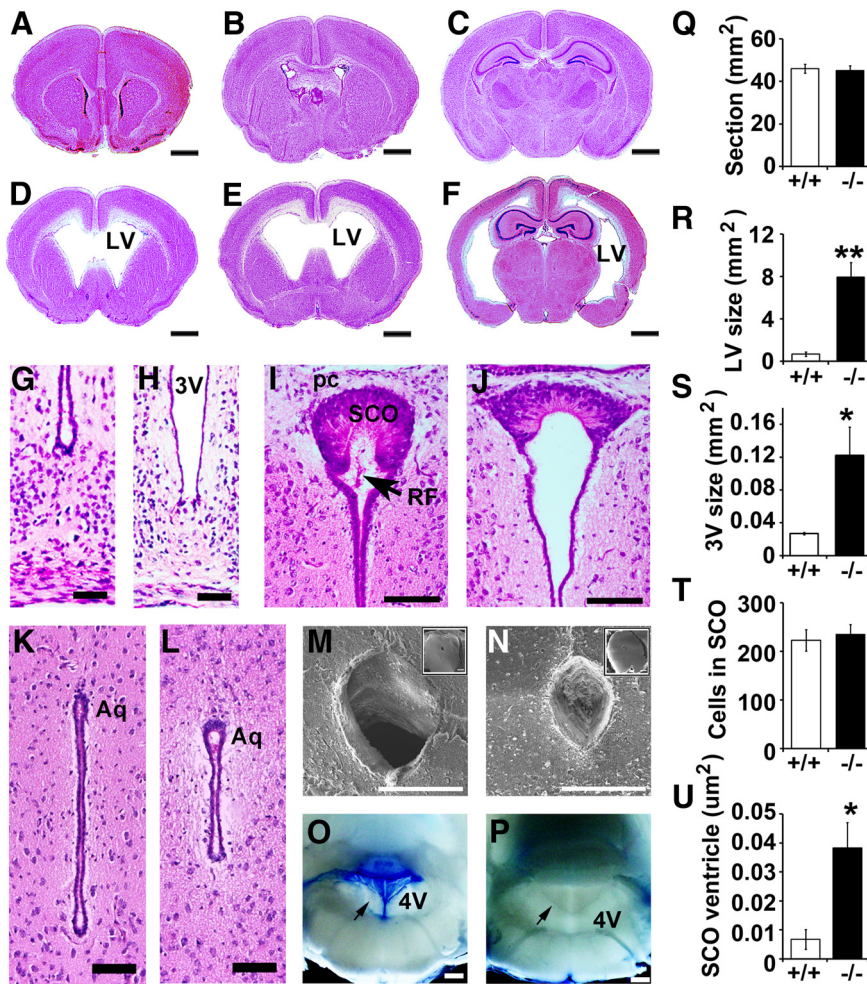
**Figure 1.** *Ulk4* is essential for postnatal growth and survival. **A**, The *Ulk4* knock-in construct harboring a cassette of *FRT-En2SA-IRES-LacZ-PA-hBactP-Neo-PA-FRT-loxP-Exon7-loxP*. The 5' targeting arm was a 5682 bp fragment containing exons 4–6 of *Ulk4*, and the 3' arm was a 4084 bp fragment containing exons 7–11. Exon 7 was considered a critical exon flanked by two *loxP* sites. **B**, The mouse *Ulk4* genomic organization. Arrowheads indicate the primer sequence location. **C**, For mouse genotyping, the targeted allele was identified by a 621 bp PCR band with *Ulk4*KOMPKOFor and *Ulk4*KOMPKORev. The WT allele was detected by 271 bp PCR product with *Ulk4*E7For and *Ulk4*In7Rev. **D–F**, *Ulk4* mRNA expression was assessed by qRT-PCR using primers derived from exon 6–8 (**D**; WT,  $100.0 \pm 28.2\%$ ; *Ulk4*<sup>tm1a/tm1a</sup>,  $4.0 \pm 24.6\%$ ,  $p < 0.01$ ,  $n = 3$  each), exon 21–23 (**E**; WT,  $100.0 \pm 21.4\%$ ; *Ulk4*<sup>tm1a/tm1a</sup>,  $15.8 \pm 23.5\%$ ,  $p = 0.01$ ,  $n = 3$  each), and exon 34–36 (**F**; WT,  $100.0 \pm 26.5\%$ ; *Ulk4*<sup>tm1a/tm1a</sup>,  $22.8 \pm 8.9\%$ ,  $p = 0.02$ ,  $n = 3$  each), showing a minimal 78% reduction of *Ulk4* expression in *Ulk4*<sup>tm1a/tm1a</sup> mice. **G, H**, P12 WT (**G**; +/+) and *Ulk4*<sup>tm1a/tm1a</sup> (**H**) littermate mice with significantly reduced body size. The arrow in **H** highlights a domed-shaped head. **I**, The body weight of P12 (*Ulk4*<sup>+/+</sup>,  $n = 12$ ; *Ulk4*<sup>+/-</sup>,  $n = 12$ ; *Ulk4*<sup>tm1a/tm1a</sup>,  $n = 5$ ;  $p < 0.01$ ) mice. **J**, The body weight of P0 mice (*Ulk4*<sup>+/+</sup>,  $n = 8$ ; *Ulk4*<sup>+/-</sup>,  $n = 9$ ; *Ulk4*<sup>tm1a/tm1a</sup>,  $n = 5$ ) were quantified statistically, showing no significant difference. +/+, WT *Ulk4*<sup>+/+</sup>; +/-, heterozygote *Ulk4*<sup>+/-</sup>; -/-, homozygote *Ulk4*<sup>tm1a/tm1a</sup>, \*\* $p < 0.01$ .

0.070 mm<sup>2</sup>; Fig. 2H,S) was also 4.7-fold dilated (Fig. 2H;  $p = 0.03$ ) compared with that of the controls ( $0.026 \pm 0.003$  mm<sup>2</sup>; Fig. 2G).

The SCO is important for CSF flow, and the relationship between abnormal SCO and hydrocephalus has been well documented in animals (Lee et al., 2012). We quantified the number of SCO cells and found no significant difference between *Ulk4*<sup>tm1a/tm1a</sup> and WT mice (Fig. 2I,J,T;  $p = 0.67$ ). However, the ventricle at the posterior commissural level was fivefold dilated in *Ulk4*<sup>tm1a/tm1a</sup> mice (Fig. 2I,J,U;  $p < 0.05$ ). The SCO generates and secretes Reissner's fiber (RF) into the CSF, which extends cau-

dally to the aqueduct, fourth ventricle (4V), and spinal cord. Impaired RF production/secretion can lead to aqueduct stenosis, causing obstructive hydrocephalus (McAllister, 2012). Whereas the RF was apparent in WT littermates (Fig. 2I, arrow), we failed to detect RF extension in *Ulk4*<sup>tm1a/tm1a</sup> mice (Fig. 2J), suggesting a dysfunction of *Ulk4*<sup>tm1a/tm1a</sup> SCO.

The aqueduct is a narrow channel connecting the 3V and 4V. Remarkably, in *Ulk4*<sup>tm1a/tm1a</sup> mice, the aqueduct was not overtly dilated (Fig. 2L,K). Scanning electron microscopy revealed aqueduct blockage in the mutants (Fig. 2N,P), which was not seen in the WT aqueduct (Fig. 2M,O). These data demonstrate that



**Figure 2.** *Ulk4<sup>tm1a/tm1a</sup>* mice display hydrocephalus phenotype at P12. **A–L**, H&E-stained brain sections of WT littermate mice (**A–C**, **G**, **I**, **K**) and P12 *Ulk4<sup>tm1a/tm1a</sup>* brain sections (**D–F**, **H**, **J**, **L**). **M**, **N**, Scanning electron microscopy images of WT (**M**) and *Ulk4<sup>tm1a/tm1a</sup>* (**N**) aqueduct, showing aqueductal blockage in the mutant (**N**). **O**, **P**, Images of brain slice at the 4V (arrow) from WT (**O**) and mutant (**P**). **Q–U**, Statistical quantifications show significantly enlarged LV (**R**), 3V (**S**), and SCO ventricles (**U**). Scale bars: **A–F**, 1 mm; **G–J**, 50 μm; **K**, **L**, 100 μm; **M**, **N**, insets, 500 μm; **M–P**, 200 μm. \**p* < 0.05; \*\**p* < 0.01. Aq, Aqueduct; LV, lateral ventricle; pc, posterior commissure; RF, Reissner's fiber; SCO, subcommissural organ; +/+, *Ulk4<sup>+/+</sup>*; -/-, *Ulk4<sup>tm1a/tm1a</sup>*.

*Ulk4<sup>tm1a/tm1a</sup>* hydrocephalus was associated with SCO dysfunction and aqueduct stenosis.

### The hydrocephalus phenotype is present in P0 *Ulk4<sup>tm1a/tm1a</sup>* mice

Congenital hydrocephalus accounts for ~55% of all hydrocephalus (Carter et al., 2012). To determine the nature of *Ulk4<sup>tm1a/tm1a</sup>* hydrocephalus, we histologically analyzed *Ulk4<sup>tm1a/tm1a</sup>* brains and littermate controls at P0, when *Ulk4<sup>tm1a/tm1a</sup>* mice did not show gross abnormality. A significant dilation of the LV and 3V was detected (Fig. 3). However, the enlargement at P0 (Fig. 3E; 5.0-fold) was not as dramatic as at P12 (Fig. 2R; 17.5-fold). These results showed that *Ulk4<sup>tm1a/tm1a</sup>* hydrocephalus was congenital, but the phenotype was progressively worsened during the early postnatal period, which coincided with the development and maturation of ependymal cilia.

### CSF flow is obstructed in the *Ulk4<sup>tm1a/tm1a</sup>* aqueduct

CSF flows sequentially from the LV to the 3V, aqueduct, and 4V, before entering the subarachnoid space and draining into the blood primarily through arachnoid granulations. Ciliary beating

and CSF flow may be visualized on brain slices *in vitro* (Sawamoto et al., 2006). Evans blue is an azo dye that binds with high affinity to serum albumin, flows with the CSF, and is also used to trace CSF flow in animals (Kim et al., 2012).

We investigated CSF flow with Evans blue in three P12 *Ulk4<sup>tm1a/tm1a</sup>* mice and four WT littermate controls by injecting 5 μl of the dye (4% in PBS) into the LV (Fig. 4). The mice were kept in anesthetized status for 20 min to permit the dye to flow with CSF *in vivo*. Mice were then terminated and examined for dye distribution. The dye was detected throughout all ventricles in WT littermates (Fig. 4, top, W1–W4; *n* = 4), including the LV (Fig. 4, A), 3V (Fig. 4, B), SCO (Fig. 4, C), aqueduct (Fig. 4 D, Aq), 4V (Fig. 4, E), and spinal canal (Fig. 4, F, SC).

In contrast to well restrained dye distribution in the WT littermates from the LV to the spinal canal, there was little evidence of CSF flow in *Ulk4<sup>tm1a/tm1a</sup>* brains (*n* = 3; Fig. 4). The dye was detected in the LV (Fig. 4, A, M1–M3) and 3V (Fig. 4, B). However, little dye reached the SCO ventricle (Fig. 4, C), and no dye was seen in the *Ulk4<sup>tm1a/tm1a</sup>* aqueduct (Fig. 4, D), 4V (Fig. 4, E) or spinal canal (Fig. 4, F, SC). These data consistently demonstrated that the injected Evans blue was passively diffused from the LV to the 3V in *Ulk4<sup>tm1a/tm1a</sup>* mice. *Ulk4* deficiency therefore functionally impairs the CSF circulation, leading to hydrocephalus in the mutants.

### *Ulk4* is predominantly expressed in the ventricular system

The impaired CSF flow suggested a failure of ependymal function in five *Ulk4<sup>tm1a/tm1a</sup>* male mice. To explore pathological mechanisms of *Ulk4<sup>tm1a/tm1a</sup>* hydrocephalus, we first investigated the expression pattern of *Ulk4* in mice (Fig. 5). The *Ulk4<sup>tm1a/tm1a</sup>* mice were created by replacing exon 7 of *Ulk4* with an *IRES-lacZ* reporter (Fig. 1A, B). Therefore an *Ulk4-IRES-lacZ* fusion mRNA was anticipated to be transcribed from the *Ulk4* promoter. We stained P14 brain sections with X-gal and observed weak β-gal activity in the choroid plexus, where the CSF was produced (Fig. 5A, CP), and in the subfornical organ (Fig. 5C, SFO), which has been reported to be involved in hydrocephalus.

The most intense lacZ staining was detected in the ventricular system, including ependymal cells in the LV, 3V, SCO, and aqueduct (Aq; Fig. 5B–F). Notably, *Ulk4* was highly expressed in the lateral wall of the aqueduct with motile cilia (Fig. 5F, arrowheads), but not on the roof of the aqueduct, which was occupied by nonciliated cells (Collins, 1983). The predominant *Ulk4* expression in mature ependymal cells suggests that *Ulk4* plays a vital role in CSF circulation.

### *Ulk4*<sup>tm1a/tm1a</sup> mice display impaired motile cilia

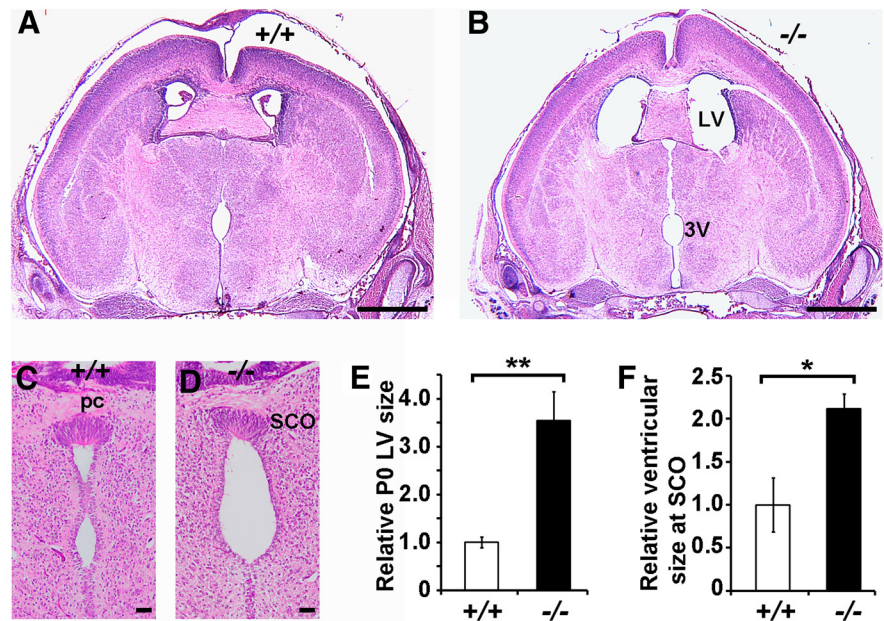
CSF circulation is largely considered unidirectional, and directional flow is driven by coordinated beating of ependymal cilia. To investigate ciliary development, we first immunohistochemically stained P12 brains with antiacetylated  $\alpha$ -tubulin, a ciliary marker, when motile cilia were fully developed and matured. Highly organized cilium bundles were found to be arrayed in a linear fashion on the WT ependymal wall (Fig. 5G, arrowhead). In contrast, *Ulk4*<sup>tm1a/tm1a</sup> cells exhibited disorganized and less dense cilia (Fig. 5H, arrowhead), or absence of cilia (Fig. 5H, arrow). However, the cilia length appeared comparable (Fig. 5G–J).

We subsequently performed scanning electron microscopy to examine the LV surface (Fig. 5I, J). On the *Ulk4*<sup>+/+tm1a</sup> LV wall, the ependymal cilia were organized into bundles and orientated in the same direction (Fig. 5I), indicative of coordinated/directional beating. In the *Ulk4*<sup>tm1a/tm1a</sup> mice, the number of cilia bundles was dramatically reduced (Fig. 5J), and a large proportion of cells did not have cilia arrays, suggestive of underdevelopment of motile cilia. The *Ulk4*<sup>tm1a/tm1a</sup> cilia were highly disorganized and randomly scattered on the surface of the ependymal wall, a sign of dysfunctional cilia with no directional beating (Fig. 5J).

### *Ulk4*<sup>tm1a/tm1a</sup> mice display major defects in basal body orientation and axonemal organization

During ciliogenesis, the basal bodies (BBs) from centrioles migrate toward the surface of the cell. Along the way, the BBs attach to membrane vesicles and form a BB–vesicle complex. The vesicle membrane in the complex fuses with the plasma membrane, and axonemal microtubules then extend from the BB. To investigate the underlying mechanism of disorganized cilia in *Ulk4*<sup>tm1a/tm1a</sup> mice, we examined the BB position, which determines the cilium orientation. In WT ependymal cells, multicilia were fully developed, matured, clustered, and oriented in a same direction (Fig. 6A, D). In line with this, all BBs were aligned in parallel and leaned in the same direction (Fig. 6A, D, arrows).

The length of the *Ulk4*<sup>tm1a/tm1a</sup> cilia (Fig. 6A, ) was not markedly shortened. However, fewer cilia or the absence of cilia were observed on *Ulk4*<sup>tm1a/tm1a</sup> ependymal cells. Instead, microvilli of smaller and shorter projections abundantly occupied the *Ulk4*<sup>tm1a/tm1a</sup> ependymal cell surface (Fig. 6B, C, E, F, MV). The cilia development appeared delayed also in the *Ulk4*<sup>tm1a/tm1a</sup> mice, as the BB–vesicle complex was still present beneath the P18 *Ulk4*<sup>tm1a/tm1a</sup> ependymal membrane (Fig. 6B, F, black box). Dispersed and randomly orientated BBs were present in *Ulk4*<sup>tm1a/tm1a</sup> mice (Fig. 6B, C, E, F). Some adjacent BBs were oriented in completely different directions, and this was evident from the appearance of cross-sectional view of BB feet (Fig. 6E, black box). BB–vesicle fusion complexes with 90° difference to the normal orientation were also detected in *Ulk4*<sup>tm1a/tm1a</sup> ependymal cells (Fig. 6F). Collectively these data point to the delayed cilia development and misalignment of BBs in *Ulk4*<sup>tm1a/tm1a</sup> mice.



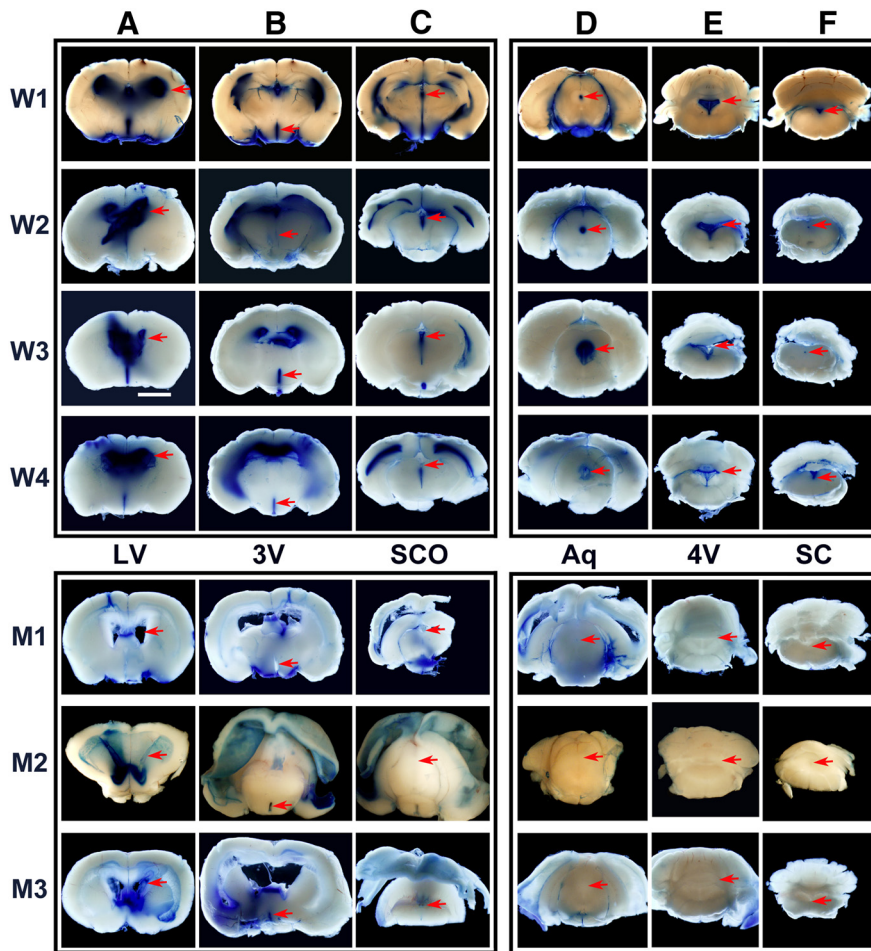
**Figure 3.** The *Ulk4*<sup>tm1a/tm1a</sup> hydrocephalus is congenital. **A, C**, H&E-stained brain sections of P0 WT mice. **B, D**, H&E-stained brain sections of *Ulk4*<sup>tm1a/tm1a</sup> mice. **E, F**, The relative size of LV (**E**) and 3V (**F**) at the SCO position were quantified. \* $p < 0.05$ , \*\* $p < 0.01$ . Scale bars: **A, B**, 1 mm; **C, D**, 50  $\mu$ m. 3V, third ventricle; LV, lateral ventricle; pc, Posterior commissure; SCO, subcommissural organ; +/+, *Ulk4*<sup>+/+</sup>; -/-, *Ulk4*<sup>tm1a/tm1a</sup>.

Motile cilia have a basic axonemal structure with nine peripheral doublets and a central pair of microtubules, termed 9 + 2, which is different from primary cilia lacking the central pair (9 + 0). To further investigate effects of *Ulk4* on microtubular ultrastructure of ependymal cilia, we performed transmission electron microscopy on P18 mice. In WT mice, all axonemes exhibited typical 9 + 2 ultrastructure across sections (Fig. 6G). In *Ulk4*<sup>tm1a/tm1a</sup> mice, some exhibited normal structure (Fig. 6H), whereas others showed various defects in axonemes, i.e., missing the central doublets (9 + 0; Fig. 6I), with a disorganized peripheral doublet also lacking the central pair (also 9 + 0; Fig. 6J), losing a peripheral doublet but gaining a supernumerary central pair (8 + 4; Fig. 6K), or missing the central and a peripheral doublets (8 + 0; Fig. 6L). Together, these data showed that *Ulk4*<sup>tm1a/tm1a</sup> ependymal cilia were underdeveloped, disorganized, structurally disassembled, and functionally impaired.

### *Ulk4* deficiency dysregulates *Foxj1* and other ciliogenesis molecules

*Ulk4* is a relatively novel Ser/Thr kinase and nothing is known about it at the molecular level. To understand molecular mechanisms of cilium dysfunction in *Ulk4*<sup>tm1a/tm1a</sup> mice, we performed whole-genome RNA sequencing and obtained quantitative reads from 19,652 genes in three *Ulk4*<sup>tm1a/tm1a</sup> and three WT controls. Relative mRNA abundance was quantified, normalized, and expressed in FPKM. The data were analyzed by multiple testing, FDR, log<sub>2</sub> ratio (knock-out/WT), mean, SEM, and one-way ANOVA, which identified 1824 genes significantly ( $p < 0.05$ ) upregulated and 1005 genes significantly downregulated in P12 *Ulk4*<sup>tm1a/tm1a</sup> cortices.

We first compared expression of three master regulators of ciliogenesis, *Foxj1*, *Rfx3*, and *Mcidas*. None of the 8 *Rfx* family members (*Rfx1*–*8*,  $p > 0.05$ ) nor *Mcidas* (30% reduced,  $p = 0.10$ ) was significantly altered in *Ulk4*<sup>tm1a/tm1a</sup> mice (Fig. 7A, C). However, the expression of *Foxj1* and *Foxj3* increased by 1.59-fold ( $p = 0.03$ ) and 1.33-fold ( $p = 0.04$ ), respectively (Fig. 7A), sug-



**Figure 4.** Impaired CSF circulation in *Ulk4<sup>tm1a/tm1a</sup>* mouse brain. Four P12 WT (W1–W4) and three mutant (M1–M3) mice were intraventricularly injected with 5  $\mu$ l of Evans blue dye into the dorsal cortex (4% in PBS). The dye was allowed to flow with the CSF *in vivo* for 20 min. Mouse brains were subsequently fixed, sectioned, and imaged at the comparable levels of LV (A), 3V (B), SCO (C), aqueduct (Aq; D), 4V (E), and spinal canal (SC; F). Arrows indicate the anatomical positions of the LV, 3V, SCO, Aq, 4V, and SC of WT littermate and *Ulk4<sup>tm1a/tm1a</sup>* mutant brains. Note that in the WT brains, the dye flowed from the LV to SC with defined localization in the ventricles (W1–W4, A–F). In three mutants, the dye was largely diffused from LV to 3V passively, with little dye reaching the SCO and no dye reaching the Aq, 4V, or SC of the *Ulk4<sup>tm1a/tm1a</sup>* brain (D–F, bottom right), demonstrating an impaired CSF flow in the *Ulk4<sup>tm1a/tm1a</sup>* mice. Scale bar, 600  $\mu$ m. +/+, WT *Ulk4<sup>+/+</sup>*; –/–, *Ulk4<sup>tm1a/tm1a</sup>*.

gesting a specific effect on the *Foxj1* pathway in *Ulk4<sup>tm1a/tm1a</sup>* mice. Though no current literature suggests the involvement of *Foxj3* in ciliogenesis, *Foxj1* is overwhelmingly shown as a master switch that controls a series of ciliogenesis genes (Yu et al., 2008; Thomas et al., 2010; Choksi et al., 2014). We next pulled out 414 cilia-related genes from 19,652 transcripts and compared their expression levels, and identified 66 genes (15.94%,  $p < 0.05$ ) that were significantly altered, with 18 downregulated and 48 upregulated. The bioinformatic analyses by the STRING database gave rise to a ciliary pathway with a  $p$  value of  $1.949 \times 10^{-35}$ .

Ciliogenesis is a complicated and precisely controlled process, and amplification of tens to hundreds of centrioles is an initiation step. *Gsn*, *Tmem67*, *Katnb1*, *Asap1*, *Ptpn23*, *Poc1b*, *Ccdc78*, *Poc5*, *Cep120*, *Cep152*, and *Plk4* have been implicated in centriole amplification (Arts et al., 2007; Lee and Gleeson, 2011). Among them, *Gsn* was 40% downregulated ( $p = 0.03$ ; Fig. 7E), and *Poc1b* 20% reduced ( $p = 0.07$ ). On the other hand, *Tmem67* (1.28-fold,  $p = 0.01$ ), *Poc5* (1.27-fold,  $p = 0.037$ ), *Cep120* (1.19-fold,  $p = 0.04$ ), and *Cep152* (1.26-fold,  $p < 0.05$ ) were significantly upregulated (Fig. 7E). The ependymal cilia should be fully matured at the time of analysis. Increased expression of procentriole com-

ponents (Cep152, Cep120, and Poc5) in P12 *Ulk4<sup>tm1a/tm1a</sup>* cortex also suggested a delay of ciliogenesis in *Ulk4<sup>tm1a/tm1a</sup>* mice.

Transmission electron microscopy analyses showed misalignment of BBs in the *Ulk4<sup>tm1a/tm1a</sup>* ependymal cells. We next examined genes *Odf2*, *Nphp4*, *Tmem67*, *Vangl1*, *Pcm1*, *Nedd1*, *Celsr2*, and *Celsr3*, which were shown to regulate BB orientation (Ansley et al., 2003; Arts et al., 2007; Manning et al., 2008; Tissir et al., 2010; Kunimoto et al., 2012; Vladar et al., 2012; Leightner et al., 2013). Whereas expression of *Odf2* (1.11-fold,  $p < 0.05$ ), *Nphp4* (1.43-fold,  $p = 0.02$ ), and *Tmem67* (1.28-fold,  $p = 0.01$ ) increased, *Vangl1* was significantly downregulated in *Ulk4<sup>tm1a/tm1a</sup>* mice (33%,  $p = 0.02$ ; Fig. 7B), which is consistent with a previous report that cilia were randomly positioned in *Vangl1<sup>-/-</sup>*/*Vangl2<sup>-/-</sup>* mice (Song et al., 2010).

A centriolar satellite component, *PCM1*, was mutated in a family with ciliary defects and body-axis asymmetry (Ansley et al., 2003), and shown to colocalize and interact with BBS8 in centrosomes and BBs. *Pcm1* increased 19% in *Ulk4<sup>tm1a/tm1a</sup>* mice ( $p = 0.01$ ; Fig. 7B). On the other hand, *Nedd1*, a key component of the BB localized at the root of ciliated microtubules (Manning et al., 2008), was 30% reduced ( $p = 0.03$ ; Fig. 7B). *Gsn* also regulates the cilia number by severing actin filaments (Kim et al., 2010), and the expression of *Gsn* was decreased by 40% in *Ulk4<sup>tm1a/tm1a</sup>* mice ( $p = 0.03$ , Fig. 7E).

The transmission electron microscopy data also revealed defects in axonemal assembly of microtubular doublets. Microtubules are heterodimers of  $\alpha$ -tubulins and  $\beta$ -tubulins, and *Tubb4a* is the predominant subtype in the brain, which represents 46% of all  $\beta$ -tubulins (Leandro-Garcia et al., 2010). In *Ulk4<sup>tm1a/tm1a</sup>* mice, *Tubb4a* was 16% reduced ( $p < 0.01$ ; Fig. 7D). *Spag6*, *Rsph4a*, *Rsph9*, and *Rsph1* encode central pair components (Lee et al., 2012). RNA sequencing data showed that *Foxj1* and three targets, *Spag6* (1.46-fold,  $p < 0.001$ ), *Rsph4a* (1.75-fold,  $p < 0.01$ ), and *Rsph9* (1.31-fold,  $p < 0.01$ ), were significantly upregulated. *Dnah9* and *Dnal1* encode axonemal dynein heavy chain 9 and dynein light chain 1, respectively, as key components of the outer dyneins arm. Interestingly, the low-abundance gene *Dnah9* was 2.1-fold increased ( $p < 0.01$ ; Fig. 7D), whereas highly abundant *Dnal1* was 10% reduced in the *Ulk4<sup>tm1a/tm1a</sup>* brain ( $p = 0.04$ , Fig. 7F).

CSF flow was impaired in *Ulk4<sup>tm1a/tm1a</sup>* mice and we next analyzed *Odf2*, *Spag6*, *Lgals3*, *Tekt2*, *Dnah10*, *Dnal1*, *Ttll6*, and *Drc1*, which were known to be involved in cilia beating (Fig. 7F; Mitchell et al., 2007; Suryavanshi et al., 2010; Mazor et al., 2011; Kunimoto et al., 2012; Clare et al., 2014). Four of them, *Spag6* (1.46-fold,  $p < 0.01$ ), *Tekt2* (1.38-fold,  $p = 0.03$ ), *Odf2* (1.11-fold,  $p = 0.05$ ), *Lgals3* (7.48-fold,  $p < 0.01$ ), and related *Lgals3bp* (1.59-fold,  $p < 0.01$ ), were significantly upregulated. In contrast, *Ttll6*, encoding a tubulin tyrosine ligase, was 49% reduced ( $p =$

0.06). *Kif5a* encodes a member of the kinesin family and functions as a microtubule motor. Clustering of kinesin-1 was shown to cause cilia-like beating of active microtubule bundles (31). In consistent with impaired CSF flow in *Ulk4<sup>tm1a/tm1a</sup>* mice, *Kif5a* expression was 19% reduced ( $p < 0.01$ ; Fig. 7F).

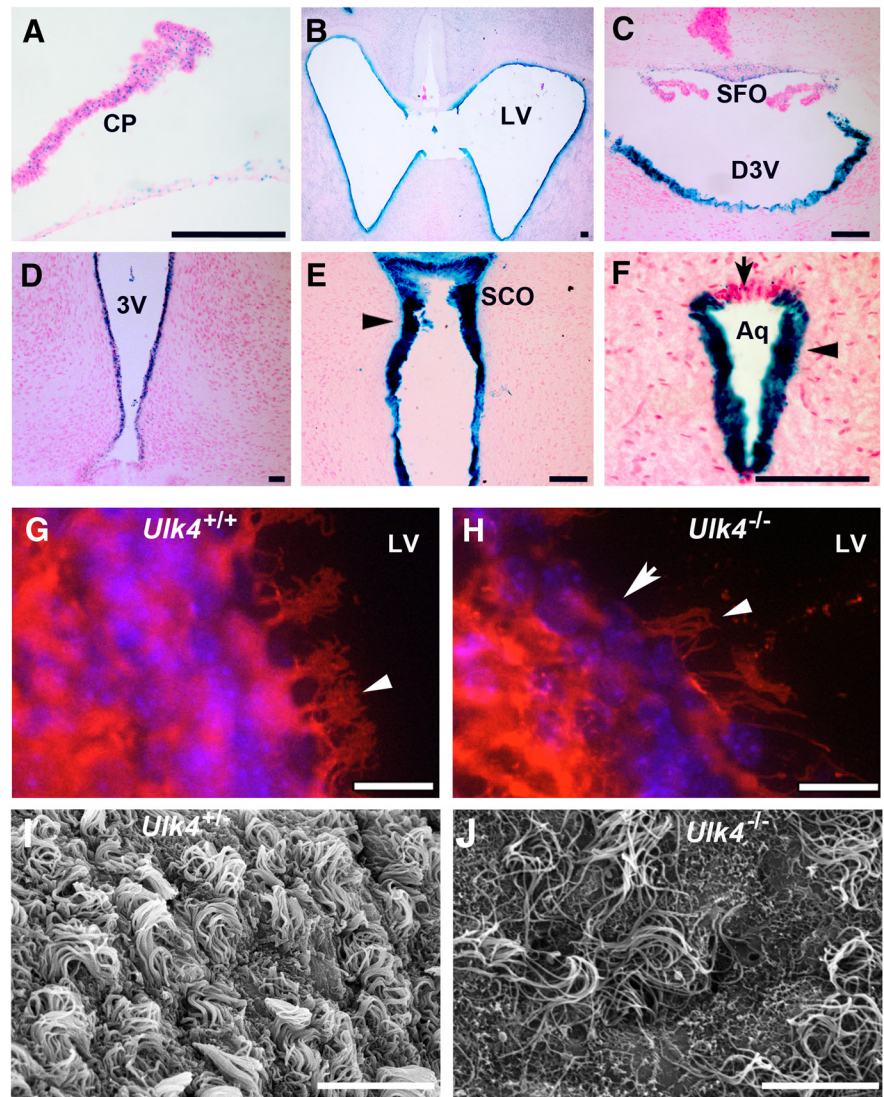
Analyses of the RNA expression (FPKM) by log<sub>2</sub> ratio, multiple testing ( $p < 0.05$ ), and FDR ( $< 0.01$ ) confirmed significant changes of *Foxj1*, *Pcm1*, *Tubb4a*, *Dnah9*, *Rsph4a*, *Gsn*, *Kif5a*, *Lgals3*, *Lgals3bp*, and *Dnal1* genes in *Ulk4<sup>tm1a/tm1a</sup>* mice. Together, these data showed that *Ulk4* deficiency disturbed expression of the *Foxj1* gene and a series of ciliogenesis genes, which consequently compromised ciliary development, axonema structure, coordinated beating, and CSF flow, which resulted in hydrocephalus in *Ulk4<sup>tm1a/tm1a</sup>* mice.

## Discussion

Ciliopathies are pathogenic features of numerous human diseases, including hydrocephalus. Here we characterized the hypomorph *Ulk4<sup>tm1a/tm1a</sup>* mutant mouse strain with 4–22% of *Ulk4* mRNA expressed. *Ulk4<sup>tm1a/tm1a</sup>* mice showed congenital hydrocephalus, which became severer postnatally with impaired CSF flow. The mutants displayed reduced/disorganized/nonfunctional ependymal cilia with defective axonemes. RNA sequencing analyses uncovered dysregulation of *Foxj1* and other ciliogenesis genes, and the majority of them are interconnected by the STRING analysis (Fig. 7H). Therefore, *Ulk4* is likely to act as an essential scaffold protein regulating ciliogenesis.

The *Ulk4* family consists of five members. *Ulk1* and *Ulk2* are major regulators of autophagy (Egan et al., 2011; Lee and Tournier, 2011), whereas *Ulk3* is involved in Shh signaling (Fuccillo et al., 2006). We previously reported the role of *ULK4* in neuritogenesis, and *ULK4* deficiency compromised neurite outgrowth in neuroblastoma cells and corpus callosum integrity in mice (Lang et al., 2014). *Stk36* deficiency causes hydrocephalus in mice (Merchant et al., 2005), and this is confirmed by Lexicon Pharmaceuticals through screening of 4650 mouse models, which identify *Stk36* and *Ulk4* as risk factors among *Ak7*, *Ak8*, *Celsr2*, *Dpzd*, *FZD3*, *Kif27*, *Mboat7*, *Nme5*, *Nme7*, and *RIKEN 4930444A02* genes. However, the pathological details or molecular mechanisms of these mutations remain elusive (Vogel et al., 2012). We show that neither *Stk36* nor other family members is compensated for the *Ulk4* hypomorph. Therefore both *Ulk4* and *Stk36* are indispensable for proper CSF circulation.

*Ulk4* hydrocephaly is congenital as mild neuropathology appears at birth, which is worsened postnatally with aqueduct stenosis, dysfunctional SCO, and, more importantly, defective

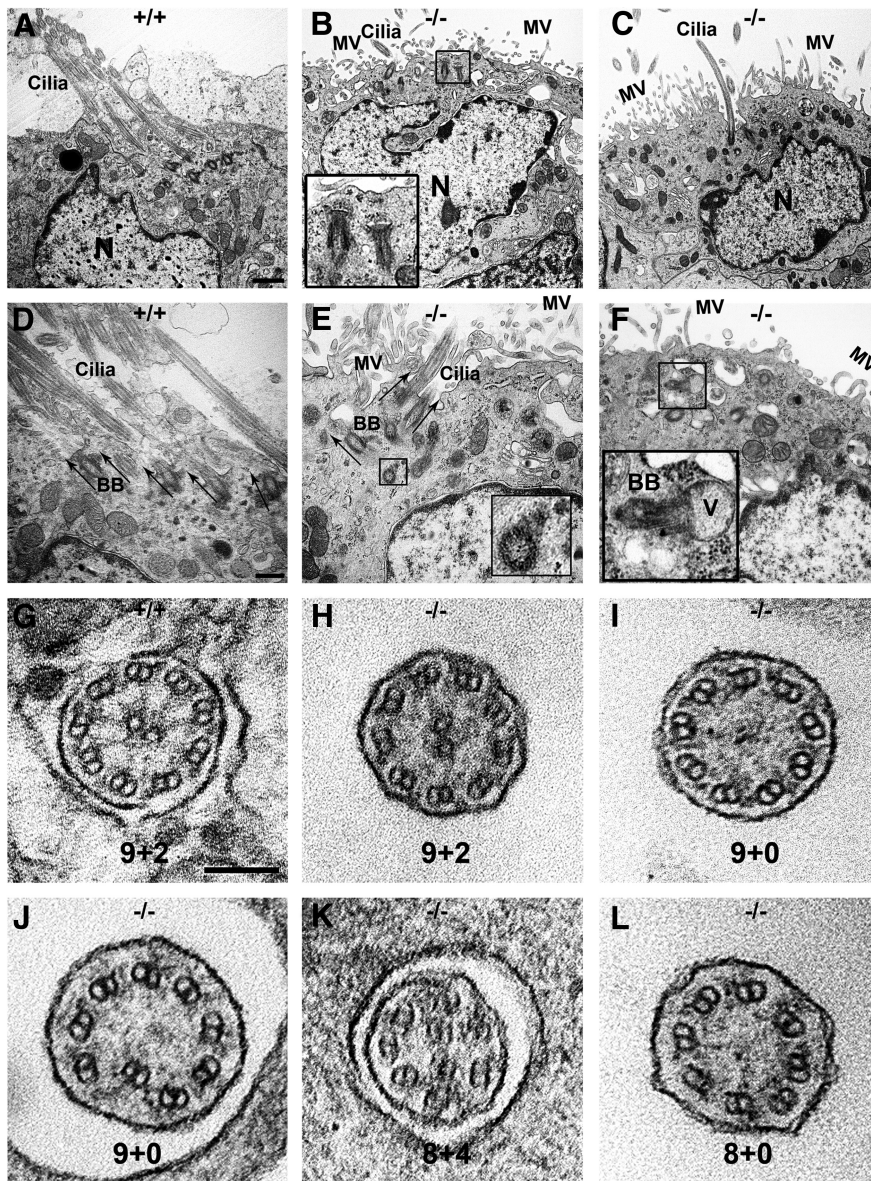


**Figure 5.** *Ulk4* is expressed in the ventricular system, and *Ulk4<sup>tm1a/tm1a</sup>* ependymal cilia are disorganized. **A–F**, X-gal staining (in blue) was performed to reveal the *Ulk4* expression pattern. A moderate level of lacZ expression was observed in the choroid plexus (**A**, CP) and subfornical organ (**C**, SFO), and a high level of  $\beta$ -gal activity was detected in the ependymal cells along the LV (**B**), dorsal 3V (**C**, D3V), 3V (**D**), SCO (**E**), and aqueduct (**F**, Aq). Black arrow in **F** highlights no expression in the roof of aqueduct, which was occupied by nonciliated ependymal cells. Black arrowheads show strong expression at the lateral wall of the aqueduct with motile cilia. **G, H**, Immunohistochemical staining with an antiacetylated  $\alpha$ -tubulin showed densely clustered cilia in the WT (**G**, white arrowhead), but fewer and disorganized cilia (**H**, white arrowhead) and absence of cilia (**H**, white arrow) in *Ulk4<sup>tm1a/tm1a</sup>* mice. **I, J**, Scanning electron microscopy confirmed highly organized cilia in *Ulk4<sup>+/tm1a</sup>* (**I**) mice, but disorganized/dysfunctional cilia, with the absence of cilia in large areas of *Ulk4<sup>tm1a/tm1a</sup>* (**J**) mice. +/+, *Ulk4<sup>+/+</sup>*; +/-, *Ulk4<sup>+/tm1a</sup>*; -/-, *Ulk4<sup>tm1a/tm1a</sup>*. Scale bars: **A–J**, 100  $\mu$ m.

motile cilia. SCO cells secrete high-molecular-weight glycoproteins to maintain aqueduct patency. Impaired RF production/secretion can lead to obstructive hydrocephalus (McAllister, 2012), and abnormal RF and SCO dysplasia are associated with obstructive hydrocephalus in *Sox3*-overexpressing mice (Lee et al., 2012). *Ulk4* protein colocalizes with *Sox3* (Domínguez et al., 2015), but *Sox3* expression (87%,  $p = 0.34$ ) is not significantly altered in *Ulk4<sup>tm1a/tm1a</sup>* mice.

The characteristic feature of hydrocephalus is the ventricular dilation with excessive CSF. The Evans blue injection demonstrates no directional CSF flow, but passive diffusion in the *Ulk4<sup>tm1a/tm1a</sup>* brain. Directional CSF flow requires ependymal motile cilia, which are developed within the first postnatal week and coincide with progressive severity of the *Ulk4<sup>tm1a/tm1a</sup>* phe-





**Figure 6.** *Ulk4* deficiency impairs axonemal structure and ciliary function. **A–F**, Transmission electron microscopic images of radial sections of ependymal cells. Clusters of multicilia with the same orientation appeared on P18 WT ependymal cells (**A**, **D**, arrows), but reduced (**B**, **C**, **E**) or absent (**F**) in *Ulk4<sup>tm1a/tm1a</sup>* cells (**B**, **C**, **E**, **F**). The black boxes in **B** and **F** show an immature cilium, namely a BB-vesicle fusion complex, beneath the *Ulk4<sup>tm1a/tm1a</sup>* cell membrane. Cilium length was not shortened in the *Ulk4<sup>tm1a/tm1a</sup>* mice (**A**, **C–E**), but the BB orientation was dispersed in the *Ulk4<sup>tm1a/tm1a</sup>* cells (**A**, **D**). Arrows show normally embedded BBs in WT (**D**), but differently oriented in the *Ulk4<sup>tm1a/tm1a</sup>* cells (**E**). The black box in **E** shows cross-sectional view of a BB foot in a vertical orientation. The black box in **F** shows a BB–vesicle fusion complex oriented parallel to the ependymal membrane, which varies by 90° from the normal orientation. **G**, WT littermates presented normal axonemes of 9 + 2. **H–L**, Some *Ulk4<sup>tm1a/tm1a</sup>* motile cilia exhibited 9 + 2 (**H**), but others either lost the central doublets without (9 + 0; **I**) or with disorganization of a peripheral doublet (also 9 + 0; **J**), or missed a peripheral doublet but had a supernumerary central doublet (8 + 4; **K**), or lost peripheral and central doublets (8 + 0; **L**). Scale bars: **A–C**, 1000 nm; **D–F**, 500 nm; **G–L**, 100 nm. Insets in **B**, **E**, and **F** were magnified three times from the respective images. MV, Microvilli; N, nucleus; V, vesicle; +/+, *Ulk4<sup>+/+</sup>*; –/–, *Ulk4<sup>tm1a/tm1a</sup>*.

notype. *Ulk4* is highly expressed in ependymal cells, SCO, and aqueducts consisting of multiciliated cells, but not on the roof of the aqueduct which is populated by nonciliated cells, highlighting the importance of *Ulk4* for motile cilia.

The coordinated/directional ciliary beating from multiple ependymal cells produces stereotypical CSF flow (Breunig et al., 2010), and abnormal cilia are associated with hydrocephalus in patients (Fliegau et al., 2007; Lee and Gleeson, 2011) and in mice with *Foxj1*, *Ro1*, *Polaris*/*Ift188*, *Mdnah5*, *Hydin*, or *Spag6* muta-

tion (Huh et al., 2009). We first observe abnormal and disorganized cilia in *Ulk4* mice by anti- $\alpha$ -tubulin staining, which is supported by scanning and transmission electron microscopy data. Many *Ulk4<sup>tm1a/tm1a</sup>* ependymal cells lack motile cilia, and mutant cilia are highly disorganized and randomly scattered, display no consistent orientation, and are strikingly different from uniformly oriented and tightly clustered cilia, which are signs of coordinated beating, in WT littermates. The transmission electron microscopy reveals fewer cilia and misaligned BBs, subcellular mechanisms for disorganized cilia bundles. The 9 + 2 microtubular ultrastructure is the distinguishing feature of motile cilia. The central pair is crucial for beating. However, *Ulk4<sup>tm1a/tm1a</sup>* axonemes exhibit an array of 9 + 0, 8 + 4, and 8 + 0 ultrastructures. Together, these data show the fundamental importance of *Ulk4* in ciliary development and function.

*Ulk4* is a hypothetical kinase and nothing is known about its substrates or interactive partners. Other family members possess a conserved lysine at ULK1<sup>K46</sup>, ULK2<sup>K39</sup>, ULK3<sup>K26</sup>, and STK36<sup>K33</sup>, whereas the respective residue 33 in *Ulk4* is leucine. Mutations of ULK1<sup>K46N</sup> or ULK2<sup>K39T</sup> dominantly inactivate the kinase activity (Chan et al., 2009). It would be interesting to determine ULK4 kinase activity.

In the absence of known *Ulk4* substrates or binding partners, we performed whole-genome sequencing and identified dysregulated genes. While *Foxj1* was specifically altered in the *Ulk4<sup>tm1a/tm1a</sup>* brain, *Rfx3* and *Mcidas*, two other master genes of ciliogenesis, were not (Yu et al., 2008; El Zein et al., 2009; Thomas et al., 2010; Choksi et al., 2014). This is the first evidence suggesting that elevated *Foxj1* signaling may also be pathogenic to the ciliogenesis and function. Consistent with *Foxj1* upregulation, a whole array of ciliogenesis genes were modulated in *Ulk4<sup>tm1a/tm1a</sup>* mice. For example, among genes involved in centriole amplification (Keller et al., 2009; Al Jord et al., 2014), *Tmem67*, *Poc5*, *Cep120*, and *Cep152* are upregulated, and *Gsn* is 40% downregulated, which is consistent with reduced ciliated cell numbers in *Gsn* knockdown cells (Kim et al., 2010).

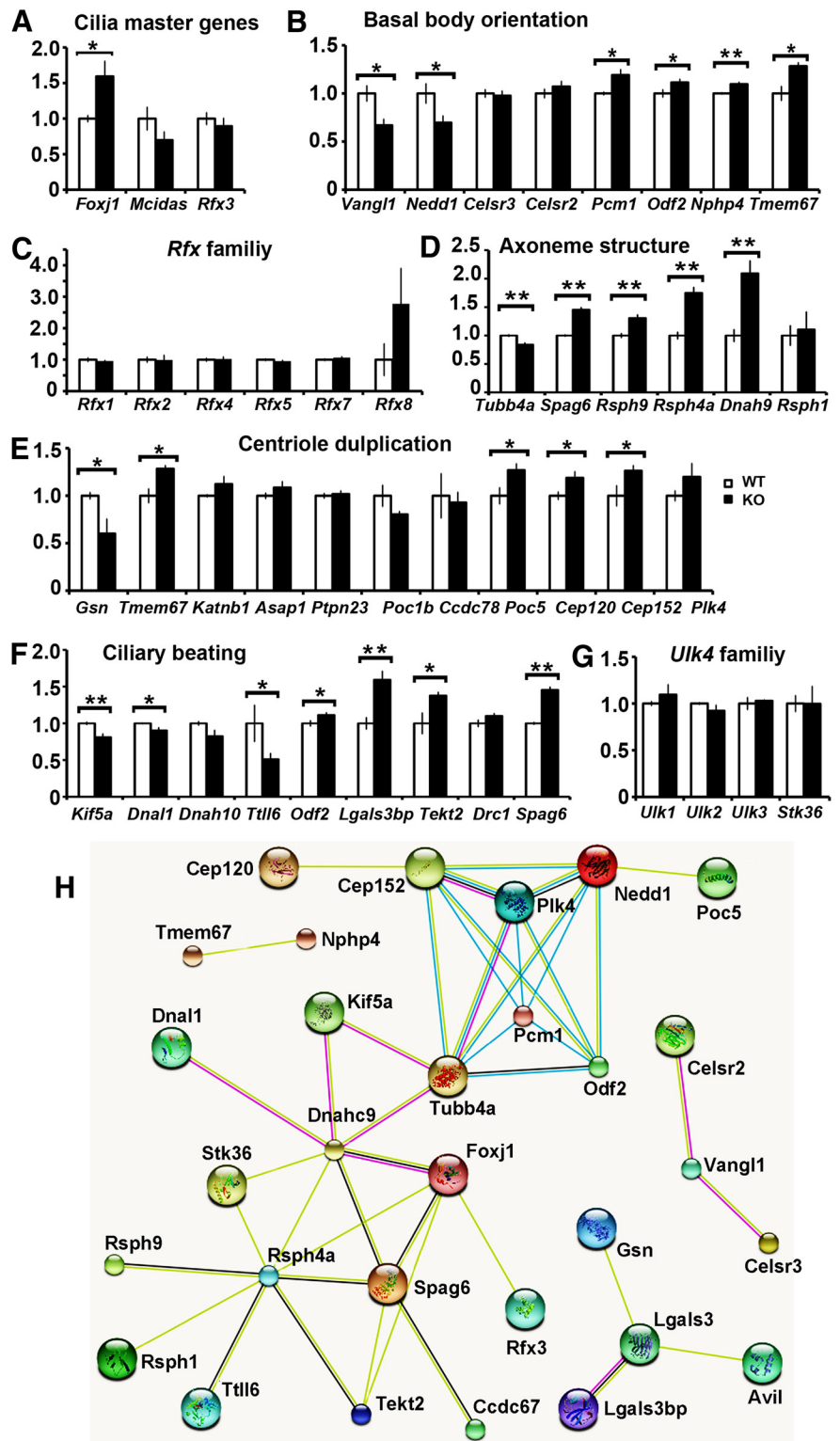
The BBs are disorientated in *Ulk4<sup>tm1a/tm1a</sup>* mice. *Odf2*, *Nphp4*, *Pcm1*, and *Tmem67* are involved in BB formation and orientation (Ansley et al., 2003; Arts et al., 2007; Kunimoto et al., 2012; Leightner et al., 2013), and their expression is significantly increased in *Ulk4<sup>tm1a/tm1a</sup>* mice. In contrast, *Nedd1* is 30% downregulated, in line with its critical role as a BB component at the root of ciliated microtubules (Manning et al., 2008). *Vangl1* is also 33% downregulated. Interestingly, mutations of *VANGL1*

have been identified in sporadic and familial neural tube defects (Kibar et al., 2007), and loss of *Vangl2* causes neural tube defects in *Lp/Lp* mice (Kibar et al., 2001). Therefore decreased expression of *Nedd1* and *Vangl1* may contribute to misaligned BBs in *Ulk4* mutants.

*Ulk4<sup>tm1a/tm1a</sup>* mice show defects in axoneme and motor function. *Tubb4a*, the predominant subtype of  $\beta$ -tubulins in the brain (Leandro-Garcia et al., 2010) is significantly reduced in *Ulk4* mutants, and kinesin protein *Kif5a* as a microtubule motor is 19% decreased. *Dnal1* and *Ttll6* are downregulated by 10 and 49%, respectively, which is consistent with roles of *Ttll6* in ciliary motility by modifying inner dynein arms (Suryavanshi et al., 2010), of DNAL1 as a component of outer dynein arms (Mazor et al., 2011), and identification of *DNAL1* mutations in patients with CILD16 and motile cilia abnormalities (Mazor et al., 2011). Together, these data show that *Ulk4* disruption results in disturbed balance of ciliogenesis genes, which consequently impairs cilia development, axoneme structure, and coordinated beating.

*Ulk4<sup>tm1a/tm1a</sup>* mice also die early postnatally. Hydrocephalus may partially contribute to this, but peripheral defects are likely. ULK4 is associated with hypertension (Levy et al., 2009) and multiple myeloma (Broderick et al., 2012), but we have no evidence of tumor formation, or gross pathology of heart, liver, lung, kidney, and spleen. The stomachs of *Ulk4<sup>tm1a/tm1a</sup>* mice are smaller than normal and colored white, suggestive of reduced food intake. Functional disturbance of lung and kidney is likely, as motile cilia are critical for these organs. Interestingly, *Stumpy* (*B9D2*) mutation also leads to preweaning loss, perinatal hydrocephaly, defective ciliogenesis, as well as polycystic kidney disease (Town et al., 2008). In addition, *Stumpy* mutation compromises hippocampal neurogenesis (Breunig et al., 2008), and we discover a reduced neural stem cell pool in the *Ulk4* mutants (Liu et al., 2016). However, *Ulk4* does not appear to directly regulate *B9D2*, and 109% ( $p = 0.16$ ) of *B9D2* mRNA is detected in *Ulk4* mutants.

The RNA sequencing data suggest that *Ulk4* regulates *Foxj1* expression, modulates *Foxj1* target genes, including *Spag6*, *Rsph9*, *Rsph4a*, *Dnah9*, *Dnal1*, *Ttll6* and *Tekt2*, and influences axoneme structure. *Ulk4* may also modify expression of many other ciliogenesis genes (i.e., *Vangl1*, *Nedd1*, *Odf2*, *Nphp4*, *Tmem67*, *Pcm1*, *Poc1b*, *Poc5*, *Cep120*, *Cep152*; *Tubb4a*,



**Figure 7.** Changes in mRNA expression of genes associated with ciliary formation and function in *Ulk4<sup>tm1a/tm1a</sup>* mice. Whole-genome RNA sequencing was performed with P12 RNA from 3 WT and 3 knock-out (KO) cortices. Data were presented with folds of changes in the *Ulk4<sup>tm1a/tm1a</sup>* mice (KO/WT, mean  $\pm$  SEM). **A**, *Ulk4* regulates *Foxj1* but not other (*Rfx3*, *Mcidas*) master ciliogenesis genes. **C**, None of the other *Rfx3* family members was significantly altered. **B**, **D–F**, Key genes involved in (**E**) centriole amplification, (**D**) axoneme ultrastructure, (**B**) BB orientation, and (**F**) ciliary beating were statistically analyzed. **G**, The *Ulk4* family members showed no compensation changes in expression. **H**, STRING GO analyses of the 38 *Ulk4* dysregulated genes showed that 32 of them are interconnected. \* $p < 0.05$ ; \*\* $p < 0.01$ .

*Gsn*, *Kif5a*, *Lgals3*, *Lgals3b*) and thereby affect BB alignment, ciliary number, maturation, and beating. In summary, the current study revealed defects in the structure, abundance, organization, and function of ependymal motile cilia in *Ulk4<sup>tm1a/tm1a</sup>* mice, together with aqueduct obstruction, SCO dysfunction, and impaired CSF flow. This report, the first to comprehensively demonstrate that *Ulk4* is crucial for survival, postnatal growth, and ciliogenesis, supports broad implications for the *ULK4* gene in human conditions.

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