

De-escalating chemotherapy for stage II colon cancer?

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Abstract

Background: Although adjuvant chemotherapy is recommended for patients with stage II colon cancer characterized by poor prognostic features, its pros and cons remain a controversial issue. We aim to evaluate the real effectiveness of chemotherapy on stage II colon cancer as well as select suitable patients.

Methods: Patients during 1988–2013 were identified from the Surveillance, Epidemiology, and End Results (SEER) database. The competing risk regression model and propensity score matching method were used to evaluate colon-cancer-specific death (CCSD) and non-CCSD. Also, a competing-risk nomogram was constructed to identify risk of patients. Risk score (RS) was calculated according to nomogram.

Results: A total of 58,133 patients were included, 25.66% received chemotherapy, and 74.34% were without chemotherapy. In total, 19.95% and 25.78% of patients died of CCSD and non-CCSD, respectively. Univariate and multivariate analyses showed that receiving chemotherapy appears to be associated with more CCSD and less non-CCSD (HR 1.23, 95% CI 1.18–1.28; HR 0.45, 95% CI 0.43–0.47, respectively), even after adjustment for covariates and propensity score weighting. A competing-risk nomogram was established; the model was relatively good with a C-index of 0.661. Based on the RS, risk stage could only predict prognosis but failed to predict the benefit from chemotherapy.

Conclusions: The value of chemotherapy is much less than we thought. It is time to deescalate chemotherapy for stage II colon cancer. CCSD, rather than overall survival, should be considered as an appropriate primary end point for future trials in stage II colon cancer.

Keywords: chemotherapy, colon cancer, competing, nomogram, SEER, survival

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Introduction

Colon cancer is the second leading cause of death from cancer, and it is estimated that 95,270 new cases were diagnosed in the United States in 2016. Among patients with colon cancer, approximately one-third of cases are diagnosed with stage II disease. Generally, surgery is the curative treatment for stage I colon cancer, and adjuvant chemotherapy is widely accepted as the standard of care for patients with stage III colon cancer on the basis of improved survival outcomes from large trials and the pivotal IMPACT meta-analysis. However, the role of adjuvant chemotherapy in patients with stage II colon cancer remains an area

of controversy as different studies present inconsistent results. The Quick and Simple and Reliable (QUASAR) trial showed that chemotherapy has small survival benefit in patients with stage II cancer,⁴ while some clinical studies concluded that adjuvant chemotherapy fail to improve overall survival (OS) or disease-free survival (DFS).^{5–8} Also, several studies have suggested that a subset of patients with stage II colon cancer with highrisk features might achieve improved survival with adjuvant chemotherapy.^{9–11} Two recent studies^{12,13} derived from the National Cancer Institute's Surveillance, Epidemiology and End Results (SEER)-Medicare database, both indicated that

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Division of Oncology, Johns Hopkins University School of Medicine, Baltimore, MD, USA adjuvant chemotherapy does not improve survival, regardless of the presence of poor prognostic features or tumor location. Guidelines of the American Society of Clinical Oncology (ASCO) and the European Society for Medical Oncology (ESMO) recommend that chemotherapy might be considered in stage II colon cancer with highrisk prognostic characteristics, including T4 lesion, poorly differentiated tumors, lymphovascular or perineural invasion, obstruction or perforation, positive margins, and inadequate (<12) number of lymph nodes analyzed after surgery. 14,15 However, the benefit of adjuvant chemotherapy is still lacking solid evidence in stage II colon cancer. Furthermore, prognostic factors do not always correctly predict the benefit of adjuvant chemotherapy.¹³ Several microarray-based multigene assays that can predict prognosis also fail to predict the benefit from chemotherapy.¹⁶

Previously studies have addressed the significance of covariates in identifying prognostic and predictive markers, but they all failed to consider the rationality of the endpoints such as OS or DFS. The incidence of OS or DFS is generally estimated by the Kaplan-Meier method. It is not possible to test for covariate effects on subdistribution in causespecific hazard analysis. OS generally means the absolute risk of death, which fails to differentiate the cause of death [colon-cancer-specific death (CCSD) or noncancer specific death (non-CCSD)]. Patients with stage II colon cancer have favorable prognosis, and where long-term survival from cancer is expected, are at a greater risk of non-CCSD. That is, the OS will be diluted by other causes of death and fails to correctly interpret the real effectiveness of chemotherapy. The benefits of disease-free DFS or OS in stage II patients may not translate into real cancer-related survival benefits.

Moreover, in view of the high 5-year survival probability of patients with stage II colon cancer, the short- and long-term toxicities, adverse events, expense, and inconvenience of adjuvant chemotherapy, especially chemotherapy-related mortality, should also be incorporated into the decision-making process.

In 2016, the 21st Century Cures Act was passed by the House of Representatives, which approved using real-world evidence as an expanded evidence for clinical decisions. At the same time, the United States Food and Drug Administration (FDA) published guidance for using real-world evidence.¹⁷ Therefore, the aim of the present study was to describe the real impact of chemotherapy on cause-specific death (CCSD or non-CCSD) by using the competing-risk survival model, and to develop a convenient individual assessment model for clinicians to speculate whether these patients, whose prognosis is extremely favorable, merit further adjuvant therapy at all.

Materials and methods

Data collection

Data on colon cancer records were obtained from a total of 18 cancer registries utilizing the National Cancer Institute's SEER Cancer database released in April 2017, based on the November 2017 submission (http://seer.cancer.gov/). We received permission to access the research data (Account Number: 14075-Nov2015) and treatment data was obtained *via* further application. SEER*Stat software was utilized to identify patients with Stage II colon cancer. Chemotherapy information was obtained by submitting a special data request to the SEER program. The study was approved by the ethics committee of Zhejiang University Jinhua hospital.

Specific inclusion criteria were as follows: years of diagnosis 1988–2013; patients diagnosed with stage II colon cancer; histological type ICD-O-3 limited to 8140/3, 8480/3, 8481/3 and 8490/3. Exclusion criteria were as follows: patients lacking documentation of age at diagnosis, gender, race, marital status, differentiated grade, and classification T; patients younger than 20 years old or older than 80 years old; patients with multiple primary tumors; patients who survived less than 1 month.

Statistical analyses

Age was classified into young (≤60 years old) and old (>60 years old) groups. Race was divided into White, Black, and Other. Marital status was regrouped as married, single (never married or domestic partner), or divorce (separated, single, and divorced). Tumor location was grouped as left colon and right colon. Left colon includes rectosigmoid junction, sigmoid colon, descending colon, and splenic flexure. Right colon includes transverse colon, hepatic flexure, ascending colon, cecum, and appendix. Histological type was grouped as adenocarcinoma, mucinous adenocarcinoma, and ring signet cell cancer. All cases were

regrouped according to the 7th American Joint Committee on Cancer (AJCC) TNM staging system. Number of lymph nodes (nLN) sampled was regrouped as 0, 1-3, 4-6, 7-11, and ≥ 12 according to X-tile program. The variable chemotherapy was classified as chemotherapy 'yes' or 'no/ unknown' according to the SEER program. Year of diagnosis was divided into two periods: 1988–2004 and 2005–2013.

The distribution of chemotherapy subgroups was analyzed using Chi-squared tests. The time to CCSD, the primary endpoint, was calculated from the date of diagnosis to the date of death from cancer. The time to non-CCSD, as the second endpoint, was calculated from the date of diagnosis to the date of death of a cause other than cancer. The 5- and 10-year probability of CCSD were calculated by the Gray test.²⁰ CCSD was the failure event, while non-CCSD was the competing event, and vice versa. The subdistribution hazard ratio (SHR) of variables for causespecific death was estimated by the Fine and Gray proportional hazard model.21 A stacked cumulative incidence function plot was used to describe the actual prognosis of specific causes of death.²² Propensity scores were calculated for each patient using models to estimate each patient's probability of receiving adjuvant chemotherapy conditional on the clinical characteristics most related to survival outcome.²³ Pair-matching used the nearest neighbor method within the calipers of a width of 0.2 of the standard deviation of the logit of the propensity score. 24,25

A competing-risk nomogram was constructed based on the results of multivariate analysis for CCSD using the package of *rms* and *cmprsk* in R software (http://www.r-project.org/). The nomogram performance was evaluated in terms of the concordance index (C-index) and calibration performance. Patients were further divided into three groups according to quartiles of predicted risk. When the two-sided *p* value was less than 0.05, the difference was considered statistically significant. All statistical analyses were performed using R software (version 3.5.3).

Results

Demographic and characteristics of patients

A total of 58,133 eligible patients were included in the analysis. The latest follow-up date was

November 2017, and the median follow-up time was 82.0 months (range 1–335 months). Of all the patients in the study, 14,917 (25.66%) patients received chemotherapy, and 43,216 (74.34%) patients were without chemotherapy. Patients with chemotherapy were younger, more often male, more often White, to a higher percentage married, had more often left cancer, presented more often with advanced T classification, and had a poorer differentiated grade. The detailed clinicopathological characteristics of the chemotherapy subgroups are presented in Table 1.

Univariate and multivariate analysis for CCSD and non-CCSD based on competing-risk survival regression model

In total, 11,600 (19.95%) and 14,987(25.78%) patients died of CCSD and non-CCSD, respectively. Based on Gray method, the 3-, 5-, and 10-year probabilities of CCSD, were 10.20%, 15.27%, and 21.01%, respectively; and the 3-, 5-, and 10-year probabilities of non-CCSD were 6.32%, 10.23%, and 21.42%, respectively. Patients with stage II colon cancer having long-term survival are at a greater risk of non-CCSD (Figure 1). When compared with the Kaplan-Meier method, the Gray test could correct the issue of overestimation of probability of death.

Univariate and multivariate analyses showed that chemotherapy was associated with both CCSD and non-CCSD (Table 2). Unexpectedly, receiving chemotherapy appears to be associated with more CCSD and less non-CCSD (HR = 1.23, 95% CI = 1.18– 1.28, p < 0.001, HR = 0.45, 95% CI = 0.43–0.47, p < 0.001, respectively) (Table 2). Young, Black race, single marital status, poorly differentiated grade, signet ring cell cancer, larger tumor, and less lymph node sampled were other independent factors for greater CCSD (Table 2).

Analysis of CCSD and non-CCSD after propensity score matching

To further corroborate the findings from univariate and multivariable competing-risk survival regression analyses, a propensity score matching (PSM) method was performed to eliminate the bias caused by differences in characteristics of patients with chemotherapy and without chemotherapy. During the propensity score analysis, 30,521 patients without chemotherapy were

Table 1. The characteristics of patients in chemotherapy and nonchemotherapy subgroups.

Risk factors	n (%)	Nonchemotherapy n (%)	Chemotherapy n (%)	p*
Total	58,133	43,216 (74.34)	14,917 (25.66)	
Age				< 0.001
≤60years	18,885	11,437 (26.46)	7448 (49.93)	
>60 years	39,248	31,779 (73.54)	7469 (50.07)	
Gender				< 0.001
Female	28,820	21,647 (50.09)	7173 (48.09)	
Male	29,313	21,569 (49.91)	7744 (51.91)	
Marital status				< 0.001
Married	35,203	25,562 (59.15)	9641 (64.63)	
Unmarried	8056	5905 (13.66)	2151 (14.42)	
Divorced	14,874	11,749 (27.19)	3125 (20.95)	
Race				0.011
White	46,664	34,666 (80.22)	11,998 (80.43)	
Black	6519	4928 (11.40)	1591 (10.67)	
Other	4950	3622 (8.38)	1328 (8.90)	
Location ^{\$}				< 0.001
Left colon	27,564	19,255 (44.56)	8309 (55.70)	
Right colon	30,569	23,961 (55.44)	6608 (44.30)	
Histology				< 0.001
Adenocarcinoma	50,390	37,578 (86.95)	12,812 (85.89)	
Mucinous adenocarcinoma	7452	5444 (12.60)	2008 (13.46)	
Signet ring cell carcinoma	291	194 (0.45)	97 (0.65)	
Differential grade				< 0.001
Grade I	4775	3693 (8.55)	1082 (7.25)	
Grade II	44,445	33,313 (77.08)	11,132 (74.63)	
Grade III	8913	6210 (14.37)	2703 (18.12)	
T classification‡				< 0.001
Т3	48,883	37,689 (87.21)	11,194 (75.04)	
T4	9250	5527 (12.79)	3723 (24.96)	

(Continued)

Table 1. (Continued)

Risk factors	n (%)	Nonchemotherapy <i>n</i> (%)	Chemotherapy n (%)	p*
nLN				<0.001
0	1346	905 (2.09)	441 (2.96)	
0–3	1879	1373 (3.18)	506 (3.39)	
3–6	5004	3716 (8.60)	1288 (8.63)	
6–12	14,479	10,770 (24.92)	3709 (24.86)	
≥12	35,425	26,452 (61.21)	8973 (60.15)	
Year of diagnosis				0.741
1988–2004	32,386	24,093 (55.75)	8293 (55.59)	
2005–2013	25,747	19,123 (44.25)	6624 (44.41)	

nLN, number of lymph nodes.

[‡]T classification according to 7th AJCC staging system.

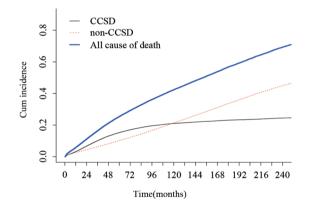


Figure 1. Stacked cumulative incidence plots. For patients with stage II colon cancer, the non-CCSD and CCSD curves crossed at approximately 120 months. Non-CCSD constituted almost 50% of deaths in the later period. CCSD, colon-cancer-specific death.

excluded from the analysis because no counterpart propensity score was identified. Finally, a total of 13,806 patients with chemotherapy were matched with 13,806 patients without chemotherapy. Supplemental Figure S1 displays the distribution of the propensity scores of the two groups prior to, and after, PSM and weighting. Supplemental Table S1 summarizes patient characteristics after propensity score weighting. The matched data demonstrate an identical distribution of all

confounders. When performing a univariable and multivariable competing-risk survival regression analysis after PSM, chemotherapy persisted to be associated with more CCSD and less non-CCSD (HR = 1.16, 95% CI = 1.10– 1.22, p < 0.001 and HR = 0.60, 95% CI = 0.57–0.63, p < 0.001, respectively). The results after PSM and weighting are listed in Supplemental Table S2.

Stratified analyses according to administration of chemotherapy

To gain a deeper understanding of the chemotherapeutic impacts on CCSD and non-CCSD, we performed further subgroup analyses based on the competing-risk survival regression model (Figure 2). Surprisingly, the forest plot clearly showed that, for all subgroups, receiving chemotherapy had more risk of CCSD, while receiving chemotherapy appeared to have a protective role on non-CCSD with fewer patients dying of non-CCSD. In different age subgroups, chemotherapy was associated with less non-CCSD.

Construction and validation of a competing risk nomogram

Prognostic factors (except for chemotherapy) associated with CCSD were included in the

^{*}p values obtained from the χ^2 test. All statistical tests were two-sided.

^{\$\(\)}Left colon includes rectosigmoid junction, sigmoid colon, descending colon and splenic flexure; right colon includes transverse colon, hepatic flexure, ascending colon, cecum, and appendix.

Table 2. CCSD and non-CCSD in univariate and multivariate analyses.

Risk factors	CCSD				Non-CCSD			
	Univariate analyses	v	Multivariate analyses	S	Univariate analyses		Multivariate analyses	
	SHR (95% CI)	р	SHR (95% CI)	р	SHR (95% CI)	р	SHR (95% CI)	р
Age								
09≽	_	ı	1	ı	-	I	_	I
09<	1.34 (1.28– 1.39)	0.000	1.38 (1.32– 1.44)	0.000	4.73 [4.49- 4.98]	0.000	4.08 (3.87- 4.30)	0.000
Gender								
Female	-	ı	_	ı	-	ı	_	1
Male	1.10 (1.06– 1.14)	0.000	1.16 (1.12– 1.21)	0.000	1.11 (1.08– 1.15)	0.000	1.32 (1.28– 1.37)	0.000
Marital status								
Married	—	1	1	1	←	1	_	ı
Unmarried	1.29 (1.23– 1.37)	0.000	1.32 (1.25– 1.39)	0.000	0.98 (0.92- 1.03)	0.322	1.21 (1.15– 1.27)	0.000
Divorced	1.27 (1.22– 1.33)	0.000	1.24 (1.18– 1.29)	0.000	1.51 [1.46- 1.57]	0.000	1.38 (1.33– 1.44)	0.000
Race								
White	_	1	1	1	-	1	_	ı
Black	1.31 (1.25– 1.39)	0.000	1.35 (1.28– 1.42)	0.000	0.81 (0.77- 0.86)	0.000	0.90 (0.85- 0.95)	0.000
Other	0.87 (0.81- 0.93)	0.000	0.90 (0.84- 0.97)	0.004	0.65 [0.61-0.70]	0.000	0.73 (0.69-0.78)	0.000
Location⁵								
Left colon	_	ı	1	ı	1	ı	_	ı
Right colon	0.76 (0.73-0.79)	0.000	0.81 (0.78- 0.85)	0.000	1.22 (1.18– 1.25)	0.000	1.12 (1.09– 1.16)	0.000
Histology								
Adenocarcinoma	_	ı	1	ı	-	I	I	1
Mucinous adenocarcinoma	0.99 [0.94–1.05]	0.728	0.99 (0.94– 1.05)	0.849	0.99 (0.95– 1.05)	0.792	ı	I
Signet ring cell carcinoma	1.50 [1.19– 1.89]	0.001	1.37 [1.10– 1.71]	90000	1.02 [0.80- 1.30]	0.893	1	ı

Table 2. (Continued)

NISK IACIOIS	252				Moll-cc3D			
	Univariate analyses	S	Multivariate analyses	ses	Univariate analyses	S	Multivariate analyses	S
	SHR (95% CI)	р	SHR (95% CI)	р	SHR (95% CI)	р	SHR (95% CI)	р
Differential grade								
Grade I	1	I	_	1	_	ı	I	I
Grade II	1.01 (0.95- 1.08)	0.712	1.08 (1.01– 1.16)	0.023	0.97 (0.92- 1.03)	0.328	I	ı
Grade III	1.17 (1.09– 1.27)	0.000	1.25 (1.16– 1.36)	0.000	0.97 (0.91–1.04)	0.449	ı	ı
T classification [‡]								
Т3	_	ı	_	ı	_	I	-	I
7 L	2.30 (2.21– 2.40)	0.000	2.13 (2.04-2.22)	0.000	0.79 (0.76-0.83)	0.000	0.84 (0.80-0.88)	0.000
nLN								
0	1	ı	_	1	_	I	_	1
0-3	0.60 (0.54- 0.68)	0.000	0.63 (0.56-0.71)	0.000	1.30 (1.14– 1.48)	0.000	1.13 (0.99– 1.29)	0.065
3-6	0.54 [0.49-0.60]	0.000	0.58 [0.53-0.64]	0.000	1.33 (1.19– 1.50)	0.000	1.15 (1.03- 1.30)	0.016
6–12	0.41 (0.37-0.45)	0.000	0.46 (0.42-0.51)	0.000	1.27 (1.14– 1.42)	0.000	1.14 (1.02– 1.27)	0.024
≥12	0.28 (0.25-0.30)	0.000	0.34 [0.31-0.37]	0.000	0.99 [0.89–1.11]	906.0	1.03 (0.92– 1.15)	0.584
Chemotherapy								
Nonchemotherapy	1	ı	_	ı	1	I	-	1
Chemotherapy	1.23 (1.19– 1.28)	0.000	1.19 (1.15– 1.25)	0.000	0.45 [0.43-0.47]	0.000	0.59 (0.56- 0.61)	0.000
Year of diagnosis								
1988–2004	_		_		_		_	
2005-2013	0.72 (0.69-0.74)	0.000	0.85 (0.81-0.88)	0.000	0.60 (0.57-0.62)	0.000	0.64 [0.61-0.67]	0.000

CCSD, colon cancer specific death; nLN, number of lymph nodes; SHR, subdistribution hazard ratio. *Left colon includes rectosigmoid junction, sigmoid colon, descending colon and splenic flexure; Right colon includes transverse colon, hepatic flexure, ascending colon, cecum, and

appendix. [‡]T classification according to 7th AJCC staging system.

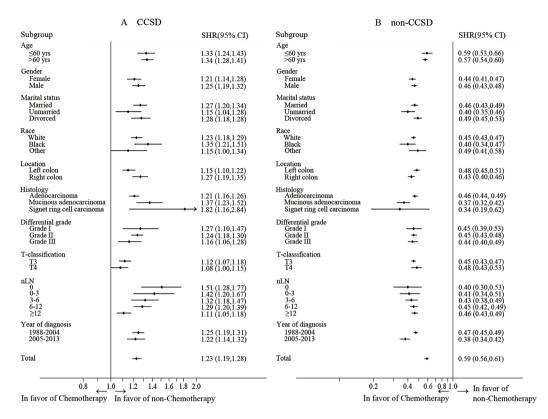


Figure 2. Stratified analyses according to administration of chemotherapy.

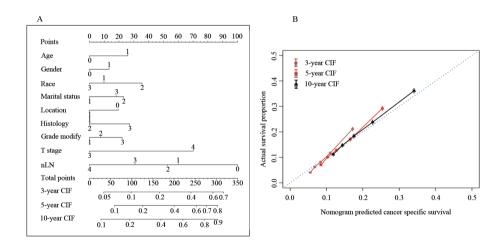


Figure 3. Nomogram model for patients with stage II colon cancer. (A) Individual patient's values are located on each variable axis, and a line is drawn upward to determine the number of points received for each variable value. The sum of these numbers is located on the Total Points axis, and a line is drawn downward to the survival axes to determine the likelihood of 3-, 5-, or 10-year CCSD. (B) Calibration curve for predicting patient survival at 3-, 5-, and 10-years.

 ${\tt CCSD, colon-cancer-specific death; CIF, cumulative incidence function, nLN number of lymph nodes.}$

competing-risk survival regression model used to construct a nomogram (Figure 3A). Age, race, gender, marital status, tumor location, histological type, differential grade, T classification, and nLN were incorporated into the model. Beta-coefficients from the model were used for allocation of scale (Table 3). By adding up the total scales and locating them on the total points, we could easily draw

Table 3. Point assignment and prognostic score in nomogram.

Variables	Score	Estimated 5-year CIF (%)
Age		
≤60	0	
>60	26	
Gender		
Female	0	
Male	13	
Race		
White	10	
Black	36	
Other	0	
Marital status		
Married	0	
Unmarried	23	
Divorced	18	
Location ^{\$}		
Left colon	20	
Right colon	0	
Histology		
Adenocarcinoma	0	
Mucinous adenocarcinoma	0	
Signet ring cell carcinoma	27	
Differential grade		
Grade I	0	
Grade II	8	
Grade III	22	
T classification‡		
T3	0	
T4	70	
nLN		

(Continued)

Table 3. (Continued)

Variables	Score	Estimated 5-year CIF (%)
0	100	
0-3	60	
3–6	53	
6–12	31	
≥12	0	
Total prognostic score (5- year CIF)		
59		0.1
126		0.2
200		0.4
253		0.6
277		0.7
303		0.8

CIF, cumulative incidence function; nLN, number of lymph nodes.

\$Left colon includes rectosigmoid junction, sigmoid colon, descending colon and splenic flexure; Right colon includes transverse colon, hepatic flexure, ascending colon, cecum, and appendix.

‡T classification according to 7th AJCC staging system.

a straight line to give estimates of 3-, 5-, or 10-year predicted CCSD. In the model, differentiated grade and nLN were the largest contributors to prognosis. The model demonstrated good accuracy for predicting CCSD, with a C-index of 0.661 (95% CI, 0.650–0.671). The calibration plots presented excellent agreement between the nomogram prediction and actual observations for 3-, 5-, and 10-year CCSD (Figure 3B).

CCSD-risk score to predict efficacy of chemotherapy and prognosis

The risk score (RS) of CCSD for each case was calculated by adding the scale of all variables incorporated into nomogram. Using two cut-off values of 25% and 75% of RS, the cohort was classified into three subgroups: low CCSD–RS: 0–58, medium CCSD–RS: 59–115, and high CCSD–RS: >116. CCSD–RS acted as a strong prognostic factor to discriminate the whole cohort;

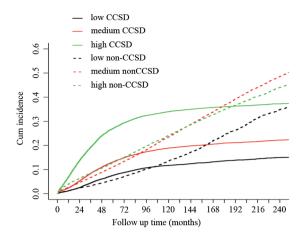


Figure 4. Non-CCSD and CCSD of different risk subgroups according to the Gray method. CCSD, colon-cancer-specific death.

the 5- and 10-year probabilities of CCSD were 7.61% and 11.71% in the low subgroup, 13.18% and 18.92% in the medium subgroup, and 27.10% and 33.97% in the high subgroup, with statistical significance (p < 0.001) (Figure 4).

Further, the association between CCSD-RS and chemotherapy was also analyzed; 4488 patients (30.93%), 6531 patients (22.98%), and 3898 patients (25.64%) received chemotherapy in the high, medium, and low CCSD-RS subgroups, respectively, with significant difference (p < 0.001). To our surprise, chemotherapy increased the risk of CCSD in high CCSD-RS and medium CCSD-RS subgroups (high: HR 1.24, 95% CI 1.16–1.31, p < 0.001; medium: HR 1.18, 95% CI 1.10–1.25, p < 0.001), while, in the low RS subgroup, chemotherapy was not associate with higher CCSD (p = 0.454). (Figure 5, Supplemental Figure S4)

Discussion

In most clinical trials, OS is widely accepted as the primary end point. OS is based on absolute risk of death, which does not take into account any competing cause of death (cancer-specific death or noncancer-specific death).²⁶ As patients with stage II colon cancer have a favorable prognosis, they are expected to survive long after their diagnosis of cancer, and, inevitably, are at a greater risk of noncancer-specific death. Based on our results, for patients with stage II colon cancer, the risk of noncancer specific death is comparable with, or even exceeds, the risk of cancer-specific death. When a competing risk model was applied,

chemotherapy proved to have less value in stage II colon cancer, even in the high-risk subset.

Casadaban and colleagues analyzed data from the National Cancer Data Base,6 and further revealed that improved OS was associated with adjuvant chemotherapy, regardless of treatment regimen, patient age, or high-risk pathologic risk features. Several previous studies have also suggested that a subset of patients (T4 classification) with stage II colon cancer with high-risk features might achieve improved survival with adjuvant chemotherapy.9-11 Surprisingly, our results indicated that chemotherapy failed to have any benefits, and might even be associated with poorer cancer-specific survival outcome. Furthermore, to corroborate our findings from univariate and multivariable competing-risk survival regression analyses, PSM was performed to minimize the bias caused by differences in characteristics of patients with chemotherapy and without chemotherapy. The results before and after PSM were almost the same. In addition, we developed an individual assessment model to evaluate the real benefit of chemotherapy for high-risk patients; no survival benefit was shown in 'high-risk' patients. The main difference between previous studies and our research lies in the end point. As is shown visually in Figure 1, the risk of CCSD was exceeded by non-CCSD at the very beginning, making non-CCSD the main contributor to OS. If OS is chosen as the endpoint of interest, an incorrect interpretation will inevitably be made. The 'benefit' of chemotherapy might be a false impression. Furthermore, to further revalidate the difference, a sensitivity analysis using our data showed that chemotherapy indeed provided a modest benefit for OS (results not shown), but when cause-specific death accounting for non-CCSD was evaluated, we found that chemotherapy significantly decreased the incidence of non-CCSD but not CCSD. Therefore, we recommend choosing CCSD as the first endpoint instead of OS in further clinical trials on stage II colon cancer.

The definition of N1c (no regional lymph nodes are positive, but there are tumor deposits in the subserosa, mesentery, or nonperitonealized pericolic, or perirectal/mesorectal tissues) was put forward in 2010 and our main data were collected prior to 2010. It is possible that Stage II patients identified in our data might be mixed up with some stage III (TXN1cM0) patients. However, this selection bias does not affect our results. Until

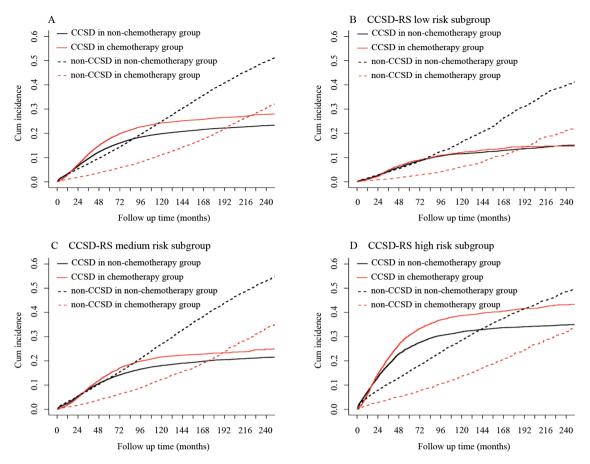


Figure 5. Effects of chemotherapy on CCSD and non-CCSD in different risk subgroups. CCSD, colon-cancer-specific death.

now, the important milestones of chemotherapy benefit in a stage II setting were prospective data demonstrating OS improvement derived from the QUASAR trial in which the median number of resected lymph nodes was 6, far less than the desired 12.²⁷ This suggested that the stage II population was likely contaminated by stage III patients. For stage III disease, significant survival benefit of chemotherapy is evident.^{4,28,29} Also, analysis of another stage III cohort also revealed a consistent survival benefit of CCSD (data not shown). A stage II population, if mixed with several unrecognized stage III patients, is more likely to register a positive outcome of chemotherapy.

Several previous studies of stage II disease have actually indicated that chemotherapy was ineffective for patients with high-frequency microsatellite instability, 30,31 and patients without poor prognosis. 3 O'Connor's study, using the SEER-Medicare dataset, indicated that adjuvant chemotherapy did not substantially improve OS for

patients older than 65 years. 13 Their study did not account for the effect of non-CCSD on CCSD, and benefits of treatment may thus be overestimated, as we have illustrated above. Once CCSD and non-CCSD are taken into consideration, the real effect of adjuvant chemotherapy will be seen to be less. Furthermore, at the most recent 2019 ASCO Gastrointestinal (GI) Meeting, Galon and colleagues revealed the surprising result that patients older than 70 years old with high immunoscore who did not receive chemotherapy exhibited better survival than those who received chemotherapy (for patients with low immunoscore, there is no obvious benefit of chemotherapy either).³² That is to say, chemotherapy is detrimental in some stage II patients. To some extent, their research support the main points of our findings. Furthermore, among patients with high-risk features, there is a group of patients with low immunoscore whose prognosis is quite poor.³² Though the value of chemotherapy is quite limited in our results, we propose that

chemotherapy may still benefit a certain group of patients (e.g. those with low immunoscore). Despite the presence of high-risk clinic-pathological features that usually trigger adjuvant chemotherapy, maybe it is really time to reconsider the latter's clinical utility!

Why, then, does chemotherapy have a harmful effect on patients with stage II colon cancer. Firstly, the antitumor immune response characterized by the lymphocytic infiltrate characteristic of tumors might be abrogated by the immunosuppressive effects of chemotherapy.³³ On the other hand, lymphopenia is a common side effect of many anticancer drugs, and has also been assumed to be detrimental to any potential immune response.34 Secondly, clinically, patients with chemotherapy are more prone to exhibit high-risk features that will cause a higher incidence of CCSD. Furthermore, patients not offered chemotherapy usually had some degree of frailty, postoperative complication, or pre therapy comorbidity (14.96% and 29.51% of patients died of noncancer specific death in the chemotherapy and nonchemotherapy subgroups, respectively). If one is more likely to die of non-CCSD due to frailty, then one is less likely to die from tumor-related consequences, or, for that matter, to have been followed up as rigorously to identify lower CCSD as opposed to higher non-CCSD. This phenomenon would be more obvious in a high-risk group (in the high-risk group, 17.62% and 37.05% of patients died of noncancer-specific death in the chemotherapy and nonchemotherapy subgroups, respectively). To evaluate the value of chemotherapy on high-risk patients more accurately, we developed two models to evaluate the risk of CCSD and non-CCSD, respectively. We screened out patients with high CCSD risk features and low non-CCSD risk features based on the two models. In the high CCSD/ low non-CCSD risk subcohort, patients often were high risk, but without the influence of noncancerspecific death, which the analysis of chemotherapy made more accurate. The results showed that, in the high CCSD/low non-CCSD risk subgroup, the SHR of chemotherapy is 1.11 (95% CI = 0.97– 1.28), without statistical significance (p = 0.129) (Supplemental Figure S5). That is, in the high-risk patients (with low risk of non-CCCSD), the value of chemotherapy is still quite limited.

Another issue is that the impact of chemotherapy on non-CCSD is still unclear. Most previous studies focused mainly on the benefit of chemotherapy,

and relatively few were designed to discuss the real risks and evaluate the side-effects of chemotherapy balanced against the potential minimal improvements in OS. The short- and long-term toxicities, adverse events, expense, and inconvenience caused by adjuvant chemotherapy, especially oxaliplatin, can result in significant patient morbidity. Our non-CCSD results revealed that chemotherapy would not result in an increase in drug-related death. Conversely, before and after PSM, patients treated with chemotherapy consistently lowered the rate of non-CCSD by about 50%. This can be explained by the following biases. Patients without chemotherapy were always weaker. The effect of this frailty on the incidence of non-CCSD is higher, while suggesting that chemotherapy is in some way protective against non-CCSD. Therefore, we hypothesized that chemotherapy was spuriously associated with non-CCSD through some additional unmeasured confounder related with the patient's state of health. Based on these vital findings, we further performed a sensitive analysis of non-CCSD in a stage III setting; the outcome showed a similar trend that chemotherapy led to less non-CCSD (data not shown).

As mentioned above, patients with stage II colon cancer might exhibit weaker signs of overall benefits than we thought, and this is an inevitable bias in a non-RCT study. However, as is well known, clinical trials always enrol patients with quite strict inclusion and exclusion criteria (in relatively well condition). How can results from patients who are well be applied to more complex patients in reality. In this sense, our analysis based on a large cohort might reflect the 'real effect' of controversial chemotherapy of stage II colon cancer. The results indicated that the incidence of CCSD in patients with stage II colon cancer remained higher by 20% in the higher CCSD-RS subgroup than in the low CCSD-RS subgroup. Andre and colleagues³⁵ reported that the adverse effects (peripheral sensory neuropathy) of oxaliplatin can last as long as 4 years. As few as 1% of patients, or even less, enjoy any survival benefit of chemotherapy; it is definitely not advisable to subject 99% patients to higher non-CCSD levels or long-term side-effects of chemotherapy. Thus, for most patients, chemotherapy should be applied conservatively.

Our study is not devoid of limitations. We analyzed the effect of chemotherapy only by comparing a chemotherapy group with one without chemotherapy, without estimating the detailed

role of different chemotherapy. Also, the information on chemotherapy from the SEER dataset will inevitably cause a confounding bias, with a sensitivity of 72.1%. ¹⁹ Because the inclusion of patients dated from 1988 to 2013, there remains the possibility of error related to miscoding and selection biases. Important information related to stage II colon cancer, such as lymphovascular invasion, perineural invasion, surgical margin, and presence of obstruction or perforation, is not available in the SEER dataset. Information on microsatellite instability (MSI) was also not available in most institutions from 1988 to 2013.

To the best of our knowledge, this is the first study to describe real-world numbers of tumorand nontumor-related death in stage II colon cancer as well as to evaluate the side-effects of chemotherapy. The value of chemotherapy is much lower than we thought. Coincidently, The Adjuvant Therapy (IDEA) demonstrated that a lower duration of chemotherapy for stage III colon cancer might be optional.³⁶ From our point of view, a decreased necessity for chemotherapy for stage II colon cancer should be accepted. Maybe it is time to de-escalate chemotherapy as standard care for stage II colon cancer, applying chemotherapy more individually based on further biomarkers. Also, CCSD, rather than OS, should be considered as an appropriate primary end point for future adjuvant trials in stage II colon cancer. More prospective validation warranted.

Authors' note

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Methodology and Statistical review: Jianfei Fu, Lunpo Wu and Wei Fu

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Conflict of interest statement

The authors declare that there is no conflict of interest.

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Supplemental material

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