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## **High-Risk Social Drinkers and Heavy Drinkers Display Similar Rates of Alcohol Consumption**

**Matthew E. Sloan, MD MSc**#1, **Joshua L. Gowin, PhD**#1, **Roshni Janakiraman, BA**2, **Corbin D. Ester, BSc**1, **Joel Stoddard, MD**3, **Bethany Stangl, PhD**1, **Vijay A. Ramchandani, PhD**<sup>1</sup>

<sup>1</sup>Section on Human Psychopharmacology, National Institute on Alcohol Abuse and Alcoholism, Bethesda, Maryland, USA

<sup>2</sup>Office of the Clinical Director, National Institute on Alcohol Abuse and Alcoholism, Bethesda, Maryland, USA

<sup>3</sup>Department of Psychiatry, School of Medicine, University of Colorado, Denver, Colorado, USA

# These authors contributed equally to this work.

## **Abstract**

Alcohol consumption is often assessed over weeks to months, but few attempts have been made to characterize rate of alcohol consumption at the level of an individual drinking session. Here, we aimed to compare the rate of alcohol consumption in social drinkers at high risk for alcohol use disorder (AUD) and heavy drinkers. One hundred and sixty social drinkers and 48 heavy drinkers participated in an alcohol self-administration study. Social drinkers were classified as low-risk or high-risk for AUD based on sex, impulsivity, and family history of alcoholism. Participants received a priming dose of intravenous alcohol to assess alcohol-induced craving and completed a 125-minute intravenous alcohol self-administration session to assess rate of achieving a bingelevel exposure (blood alcohol concentration  $80mg\%$ ). There were no differences between rates of binging in high-risk and heavy drinkers (hazard ratio  $= 0.87, 95\%$  CI 0.48 to 1.56). Heavy drinkers reported higher levels of craving than high-risk and low-risk drinkers at baseline. However, following a priming dose of alcohol, there were no longer differences in craving between high-risk and heavy drinkers. These results indicate that high-risk social drinkers demonstrate binging behavior that is similar to heavy drinkers, which may be driven by alcoholinduced craving. Prospective studies are needed to elucidate whether these patterns of craving and consumption in high-risk social drinkers are predictive of future AUD.

Corresponding author: Vijay Ramchandani, Ph.D., Address: 10 Center Drive, Rm 2-2352, Bethesda, MD 20892-1540, USA, vijayr@mail.nih.gov, Telephone: +1-301-402-8527, Fax: +1-301-402-0445.

Author Contributions

MES and JLG conceptualized the manuscript, analyzed the data, and drafted the manuscript. RJ, CE, and JS assisted with data analysis and figure preparation. BLS supervised the collection of intravenous alcohol self-administration data. VAR assisted with the conceptualization and development of the manuscript and was the Principal Investigator of the clinical studies. All authors revised the manuscript, critically reviewed content, and approved the final version for publication.

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## **Keywords**

Addictive Behavior; Alcohol Self-Administration; Alcoholism; Binge Drinking; Craving; Risk Factors

## **INTRODUCTION**

Drinking patterns have profound effects on morbidity and mortality (Laramee, Leonard, Buchanan-Hughes et al., 2015). Whereas low to moderate consumption, such as a daily glass of wine, is linked to lower risk of cardiovascular disease and a longer life (Gaziano, Gaziano, Glynn et al., 2000; Ronksley, Brien, Turner et al., 2011; Stampfer, Colditz, Willett et al., 1988), heavier drinking has been associated with hepatic disease (Hart, Morrison, Batty et al., 2010; Savolainen, Liesto, Mannikko et al., 1993) and increased mortality (Smyth, Teo, Rangarajan et al., 2015). Alarmingly, the prevalence of both binge drinking and alcohol use disorder has increased in recent decades (Grant, Chou, Saha et al., 2017). While epidemiological studies have elucidated how broad patterns of drinking such as weekly and annual consumption levels affect health, few attempts have been made to characterize inter-individual differences in alcohol consumption patterns during a single occasion. Recent work has shown that healthy young adults with a greater number of risk factors for alcohol use disorder have markedly higher rates of binging during a two-hour alcohol self-administration session (Gowin, Sloan, Stangl et al., 2017). However, it is not clear how drinking patterns differ between high-risk social drinkers and those who have already developed heavy drinking patterns.

In this study, drinking patterns between high-risk social drinkers and heavy drinkers were examined using a human laboratory paradigm that has several important advantages. We used intravenous alcohol administration, which greatly reduces variability in alcohol exposure compared to oral administration by bypassing absorption and first-pass metabolism and controlling for pharmacokinetic factors that affect alcohol distribution and brain exposure (Ramchandani, Bolane, Li et al., 1999). Intravenous alcohol self-administration uses real-time breathalyzer readings to automatically adjust alcohol infusion rates, enabling precisely controlled, standardized alcohol exposure following each infusion. Intravenous self-administration also eliminates cues such as smell, taste, and appearance, so consumption should be primarily driven by the pharmacodynamic effects of alcohol, such as dopamine release in the nucleus accumbens (Ramchandani, Umhau, Pavon et al., 2011). This paradigm has been used to test the effects of medications on self-administration (Vatsalya, Gowin, Schwandt et al., 2015), to show that males consume more than females (Junger, Gan, Mick et al., 2016; Stangl, Vatsalya, Zametkin et al., 2017), and to examine genetic effects on alcohol consumption and sensitivity (Hendershot, Wardell, McPhee et al., 2017; Sloan, Klepp, Gowin et al., 2018). There is evidence that phenotypes elucidated in the laboratory have important clinical implications. For example, subjective response to alcohol in a laboratory setting prospectively predicted AUD symptom counts throughout six years of follow up (King, McNamara, Hasin et al., 2014). Furthermore, medications that reduce alcohol craving and self-administration in human laboratory studies (O'Malley, Krishnan-

Sarin, Farren et al., 2002) have been shown to be effective in clinical trials (Anton, O'Malley, Ciraulo et al., 2006).

In the present study, we recruited the largest sample to date of social and heavy drinkers to participate in an intravenous alcohol self-administration session. We examined both alcohol consumption patterns and self-reported alcohol craving as there has been evidence that risky drinking is driven by higher levels of craving (Fatseas, Serre, Alexandre et al., 2015). Highrisk drinkers were determined based on three of the genetic and physiological risk factors for alcohol use disorder listed in DSM-5: male sex (Dawson & Grant, 1998), family history of alcoholism (Dawson & Grant, 1998), and high levels of impulsivity (Caspi, Moffitt, Newman et al., 1996; Gowin, Sloan, Swan et al., 2018). These risk factors have previously been shown to affect alcohol self-administration in social drinkers (Gowin, Sloan, Stangl et al., 2017). During the alcohol self-administration session, we hypothesized that high-risk social drinkers would exhibit greater craving and rates of binging than low-risk social drinkers but lower craving and rates of binging than heavy drinkers.

## **METHODS AND MATERIALS**

#### **Participant Characteristics**

One hundred and sixty social drinkers and 48 heavy drinkers were recruited to participate in an alcohol self-administration paradigm as part of three studies. The purpose of the first study (Gowin, Sloan, Stangl et al., 2017; Stangl, Vatsalya, Zametkin et al., 2017) was to examine determinants of intravenous alcohol self-administration in social drinkers. The second and third studies sought to examine the effects of medications on intravenous alcohol self-administration in heavy drinkers (Gowin, Vatsalya, Westman et al., 2016; Vatsalya, Gowin, Schwandt et al., 2015). Participants in all three studies completed a similar intravenous alcohol self-administration session which was used to compare alcohol consumption across groups. For the medication studies, the session occurred prior to receiving the study drug.

Participants initially completed a phone screen and were then asked to complete an inperson clinical screening evaluation and a psychiatric assessment for Axis-I disorders using the Structured Clinical Interview for DSM-IV (SCID-IV) (First, Spitzer, Gibbon et al., 2002) at the National Institutes of Health Clinical Center in Bethesda, MD. To be included, participants were required to have consumed at least five standard drinks on one occasion in their lifetime, where a standard drink was defined as 14 grams of pure ethanol. Participants in the social drinking groups consumed less than 14 drinks per week for females and 21 drinkers per week for males and were excluded if they had met criteria for alcohol dependence at any point in their lifetime. Participants in the heavy drinking group were required to consume an average of  $\frac{14 \text{ trinks}}{21 \text{ trinks}}$  per week for females and  $\frac{21 \text{ trinks}}{21 \text{ trinks}}$ week for males. Participants in both groups were excluded if they met any of the following criteria: (1) lifetime history of Axis I mood, anxiety or psychotic disorders based on SCID-IV interview; (2) current substance dependence other than alcohol or nicotine; (3) recent or regular use of illicit or non-prescribed psychoactive substances (e.g. positive urine toxicology screen); (4) history of clinically significant alcohol withdrawal (CIinical Institute Withdrawal Assessment for Alcohol Scale Revised (Sullivan, Sykora, Schneiderman et al.,

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1989) score greater than eight); (5) current or chronic medical conditions requiring inpatient treatment or frequent medical visits; (6) use of medications known to interact with alcohol within 2 weeks prior to the study (including antidepressants, anticonvulsants, opioids, benzodiazepines, medications for diabetes, and medications that affect alcohol metabolism); (7) history of clinically significant withdrawal symptoms (e.g. withdrawal seizures) . Further, females were excluded if they were breastfeeding, pregnant, or intended to become pregnant. All study protocols were approved by the NIH Addictions Institutional Review Board and participants were enrolled after providing written informed consent.

#### **Measures**

Recent alcohol consumption was assessed using the 90-day Timeline Followback interview (Sobell & Sobell, 1992), which asks participants to retrospectively assess daily drinking over the previous 90 days using a calendar format to enhance recall. Participants also completed the Alcohol Use Disorders Identification Test (AUDIT) (Saunders, Aasland, Babor et al., 1993). Nicotine dependence was assessed using the Fagerström Test for Nicotine Dependence (Heatherton, Kozlowski, Frecker et al., 1991). Since this measure only assigns scores to individuals who smoke, we scored non-smokers as 0 in order to use this measure in continuous analyses.

Sex, impulsivity, and family history of alcoholism were used as markers of risk for alcohol use disorder based on risk factors listed in the DSM-5 and on our previous findings (Gowin, Sloan, Stangl et al., 2017). Family history of alcoholism was assessed via the Family Tree Questionnaire (Vogel-Sprott, Chipperfield & Hart, 1985) and defined as the presence of alcoholism in a biological parent, sibling, or grandparent. Impulsivity was measured using a monetary delay discounting task (Gowin, Sloan, Stangl et al., 2017; Richards, Zhang, Mitchell et al., 1999) in which participants expressed their preference for a larger amount of money to be received after a delay or a smaller, immediately available amount of money. Two versions of the task were used. In the first version of the task, immediate values ranged from \$0 to \$10.50, and in the second version, values range from \$0 to \$100. The delay periods ranged from seven to 365 days in the first version, and from seven to 30 days in the second version of the task. The degree of discounting delayed rewards, k, was calculated using a hyperbolic decay function (Mazur, 1987), but as  $k$  was not normally distributed, we used the natural log transformed values,  $\ln(k)$ . 18 participants did not complete this task and were therefore excluded from analysis.

#### **Categorizing Social Drinkers as High Versus Low Risk**

Social drinkers were divided into high-risk and low-risk social drinkers based on the presence of three AUD risk factors: male sex, family history of alcoholism, and high impulsivity. As we previously found that social drinkers with 2 or more AUD risk factors have higher rates of alcohol consumption, we divided our sample into a low-risk group  $(0-1)$ risk factors,  $N = 92$ ) and a high-risk group (2–3 risk factors,  $N = 50$ ). High impulsivity was determined by a median split of  $\ln(k)$ . Median splits were done separately for each version of the task as the median value for  $ln (k)$  varied by task. Individuals who did not complete the delay discounting task  $(N = 18)$  were excluded from this categorization. For a comparison of groups, see Table 1.

#### **Alcohol Self-Administration Paradigm**

Participants were instructed not to drink alcohol in the 48 hours prior to study procedures. Smokers were allowed to smoke one cigarette one hour before the start of the paradigm to reduce nicotine craving and withdrawal effects. Participants arrived around 9:00 AM and provided a baseline breathalyzer reading (Drager Safety Inc., Irving, TX) and a urine drug screen that tested for cannabis, cocaine, amphetamines, opioids, and benzodiazepines. Both tests needed to be negative to proceed with the study session. In addition, females had to provide a negative urine pregnancy test to proceed with the session. CIinical Institute Withdrawal Assessment for Alcohol Scale Revised (CIWA-Ar) scores were measured in all but two of the heavy drinkers prior to the alcohol self-administration session. CIWA-Ar scores ranged from 0 to 5 with a median score of 1 ( $IQR = 2$ ), indicating that these participants were not experiencing clinically significant withdrawal. After eating a standardized 350 kcal meal, an intravenous catheter was inserted into a vein in the forearm. Intravenous alcohol self-administration was conducted using the Computer Assisted Infusion System (CAIS), which computes the rate of infusion for each individual using a physiologically-based, pharmacokinetic model for alcohol that accounts for sex, age, height and weight (Ramchandani, Bolane, Li et al., 1999).

The intravenous alcohol self-administration session lasted 2.5 hours and consisted of a 25 minute priming phase followed by a 125-minute free access phase. During the priming phase, the software prompted participants to infuse alcohol four times over a 10-minute period. Each infusion raised the estimated arterial blood alcohol concentration (BAC) by approximately 7.5 mg% in 2.5 minutes, resulting in a median BAC of 23.41 mg% ( $IQR =$ 5.20) after 10 minutes. During the next 15 minutes, the button remained inactive to allow participants to feel the alcohol's effects. Then, the free access phase began, and participants had 125 minutes to self-administer alcohol infusions *ad libitum*. To prevent adverse events, a safety limit was imposed such that estimated BAC could not exceed 100mg% for the participants recruited as social drinkers or 120mg% for the participants recruited as heavy drinkers. Blood alcohol concentration was estimated continuously by the infusion software and a readout was provided at 30-second intervals. Breathalyzer readings were obtained at 15-minute intervals to confirm the software's estimates and to calibrate the rate of infusion.

Craving at a given timepoint was assessed using the Alcohol Urge Questionnaire (Bohn, Krahn & Staehler, 1995), which consists of eight items rated on a seven-point likert scale. The total score ranges from 8 to 56, with higher scores indicating higher levels of craving. The questionnaire was administered at baseline, prior the priming dose of alcohol, and at minute 20, following the priming dose of alcohol.

#### **Statistical Analysis**

Cox proportional hazards models were used to assess whether rate of binging during the session differed across low-risk drinkers, high-risk drinkers, and heavy drinkers while controlling for age and nicotine dependence severity. Binging was defined as achieving an estimated blood alcohol concentration of 80mg%. We also assessed whether drinking groups and risk groups differed in their craving during the priming phase of the session and in their alcohol consumption during first 30 minutes of the free access phase of the session. We

analyzed the differences in craving (at baseline and following the priming dose of alcohol) and change in blood alcohol concentration (from minute 0 to minute 30 of the free access phase) between groups using Kruskal-Wallis tests followed by Bonferonni-corrected pairwise comparisons using Mann-Whitney tests.

## **RESULTS**

#### **Rates of Binging in Low-Risk, High-Risk, and Heavy Drinkers**

We plotted Kaplan-Meier survival curves to assess the effects of risk group on rate of binging (Figure 1A). There were significant differences between risk groups ( $log-rank test =$ 17.34,  $p < 0.001$ ), with a higher percentage of bingers among heavy drinkers (62.5%) and high-risk drinkers (58.0%) relative to low-risk drinkers (31.5%).

A Cox proportional hazards model was conducted to assess the effect of drinking group on rate of binging throughout the session while controlling for age and nicotine dependence severity. Relative to low-risk drinkers, both high-risk drinkers (hazard ratio = 2.42, 95% CI 1.44 to 4.06,  $p = 0.001$ ) and heavy drinkers (hazard ratio = 2.80, 95% CI 1.54 to 5.08,  $p =$ 0.001) had significantly higher rates of binging during the session. Rates of binging did not significantly differ between high-risk drinkers and heavy drinkers (hazard ratio = 0.87, 95% CI 0.48 to 1.56,  $p = 0.630$ , Table 2).

#### **Effect of Risk Group on Alcohol Exposure**

Heavy drinkers and high-risk social drinkers had greater increases in blood alcohol concentration across the first 30 minutes of the alcohol self-administration session than lowrisk drinkers, with median increases of 28.2 mg%, 23.6 mg%, and of 8.6 mg% respectively. There was a significant effect of risk group on the distribution of change in blood alcohol level  $(H(2) = 15.15, p < 0.001$ , Figure 1B). Bonferroni-corrected pairwise comparisons indicated that the distribution of change in blood alcohol concentration was significantly different between high-risk and low-risk drinkers ( $p < 0.01$ ) and heavy and low-risk drinkers  $(p < 0.01)$  drinkers. High-risk drinkers did not significantly differ from heavy drinkers.

#### **Effect of Risk Group on Alcohol-Induced Craving**

Craving scores differed between groups at baseline  $(H(2) = 11.32, p = 0.003)$  and following the priming exposure of alcohol ( $H(2) = 13.42$ ,  $p = 0.001$ ). At baseline, Bonferronicorrected pairwise comparisons revealed higher craving scores in heavy versus low-risk drinkers ( $p < 0.01$ ), but no differences in craving scores between high-risk and low-risk drinkers. Following the priming dose of alcohol, craving scores were higher in both highrisk and heavy drinkers than in low-risk drinkers ( $p < 0.05$  for both comparisons, Figure 2), however there was no difference in craving scores between high-risk and heavy drinkers.

To determine whether craving following the priming dose of alcohol was associated with rate of binging, a Cox proportional hazards model was conducted including post-prime craving score, drinking group, age, and nicotine dependence severity as independent variables. Craving was significantly associated with rate of binging (hazard ratio = 1.05, 95% CI 1.02 to 1.07,  $p < 0.001$ , Table 2).

## **DISCUSSION**

Our study shows that social drinkers at high-risk for AUD show similar rates of binging to heavy drinkers during an alcohol self-administration session. Rapid alcohol selfadministration in high-risk individuals may be partially explained by inherent differences in their response to alcohol. Despite similar baseline craving between low- and high-risk groups, high-risk drinkers reported craving comparable to heavy drinkers following alcohol exposure, while low-risk drinkers continued to report lower levels of craving. Our findings therefore indicate that high-risk drinkers display psychopharmacological and behavioral responses to alcohol that are similar to heavy drinkers prior to the development of alcoholrelated problems.

These variations in psychopharmacological response may be due to inherent differences in reward signaling between high- and low-risk individuals. Both blunted striatal activity during reward anticipation and greater discounting of delayed rewards at age 14 have been shown to predict problematic drug use (Buchel, Peters, Banaschewski et al., 2017). Young adults with a family history of substance use disorder also demonstrate reduced amphetamine-induced dopamine release in the ventral striatum compared to age- and substance-use matched controls (Casey, Benkelfat, Cherkasova et al., 2014). Attenuated reward signaling may alter the rate of drug self-administration, especially given that increasing the rate of brain exposure to a drug appears to change its reinforcing properties and liability for abuse (Henningfield  $&$  Keenan, 1993). We did not systematically acquire measures of neural response to reward in our study, but protocols investigating correlations between neural response to reward and alcohol self-administration in low- and high-risk individuals are warranted. Other explanations for differing rates of self-administration could include personality differences between high- and low-risk individuals. For example, highrisk individuals may display higher levels of impulsivity and novelty seeking, which may predispose them to self-administer alcohol at binge-levels. Determining the underlying mechanisms by which sex, family history of alcoholism, and delay discounting impact craving and alcohol self-administration will be an important goal of future studies.

The observed similarity in alcohol self-administration between high-risk and heavy drinkers requires validation in real-world settings. Detailed characterization of drinking patterns in the field has been difficult in the past, but may be facilitated by recent technological advances. For example, ecological momentary assessment has been used to provide temporal and geospatial profiles of drug and alcohol use (Epstein, Tyburski, Craig et al., 2014; Preston, Jobes, Phillips et al., 2016). Such assessments should eventually be coupled with real-time blood alcohol level measurement using wearable biosensors (Leffingwell, Cooney, Murphy et al., 2013). These technologies may prove useful in stratifying clinical risk and providing individually-tailored interventions (Nahum-Shani, Smith, Spring et al., 2016).

This study has several limitations. The study design was cross-sectional, so it remains unknown how alcohol self-administration patterns progress over time and predict addiction liability. Given that the median age of our heavy drinkers was older than that of our social drinkers, it is possible that certain high-risk drinkers would have progressed to heavy drinking status as they reached a comparable age. Furthermore, given that we used sex as a

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risk-factor and the majority of our high-risk subjects were male, these findings may not be readily extrapolated to females. Future studies should stratify recruitment by sex to assess the effects of these risk factors in females. It is also necessary to assess how other risk factors for AUD, such as comorbid mental illness, influence consumption. Additionally, it remains unclear whether nicotine use affects binging rates in the laboratory. Almost a third of our heavy drinkers were smokers  $(N = 15)$ , whereas a much smaller proportion of our social drinkers reported nicotine use  $(N = 2)$ . A study with a balanced proportion of smokers and non-smokers in each drinking group would be better suited to answer this question. Finally, although intravenous alcohol self-administration eliminates much of the pharmacokinetic variability associated with oral self-administration, it also lacks important social and environmental cues associated with real-world drinking.

The results of this study indicate that alcohol craving and consumption patterns are similar in high-risk social drinkers and heavy drinkers during an intravenous alcohol selfadministration session. Problematic drinking patterns in young adults may therefore provide valuable prognostic information, but this remains to be determined in prospective studies. Understanding why high-risk drinkers consume alcohol differently than low-risk drinkers and finding ways to prevent the transition to heavy drinking will be of crucial importance in order to reduce the public health burden associated with alcohol use disorder.

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**Figure 1. Alcohol Self-Administration Patterns in Low-Risk, High-Risk, and Heavy Drinkers** (A) Cumulative probability of achieving a binge-level exposure (estimated blood alcohol concentration of 80mg%) during the alcohol self-administration session. Cumulative probability of achieving a binge-level exposure was similar in heavy drinkers and high-risk social drinkers. (B) Total alcohol consumption during the first 30 minutes of the alcohol selfadministration session. High-risk social drinkers and heavy drinkers had similar increases in blood alcohol concentration. Lines represent mean blood alcohol concentration for the respective groups.

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#### **Figure 2. Alcohol Craving in Low-Risk, High-Risk, and Heavy Drinkers**

Self-reported alcohol craving was measured using the Alcohol Urge Questionnaire at the beginning of the alcohol self-administration session (baseline) and after the participants received a priming dose of alcohol (post-alcohol prime, at minute 20). High-risk social drinkers reported similar levels of craving to low-risk social drinkers at baseline. After receiving a priming dose of alcohol, they reported similar levels of craving to heavy drinkers. \*p < 0.01.

#### **Table 1.**

## Characteristics of Low-Risk and High-Risk Social Drinkers and Heavy Drinkers<sup>a</sup>



a Social drinkers at high risk for alcohol use disorder had 2 or more of the following risk factors: male sex, family history of alcoholism, or high impulsivity (as indexed by greater discounting of delayed rewards). Social drinkers at low risk for alcohol use disorder had 0–1 risk factors.

b<br>Drinking groups had significantly different distributions of age (H(2) = 24.6, p < 0.01).

<sup>c</sup>There was a significant relationship between drinking group and sex ( $\chi^2(2) = 56.9$ , p < 0.01).

 $d$ There was a significant relationship between drinking group and family history of alcoholism (χ<sup>2</sup>(2) = 21.0, p < 0.01).

 $\epsilon_{\text{Drinking groups had significantly different distributions of ln}(k)$  for Task 1 (H(2) = 16.2, p < 0.01) and for Task 2 (H(2) = 18.7, p < 0.01).

f<br>Drinking groups had significantly different distributions of total drinks (H(2) = 105.3, p < 0.01).

 ${}^g$ Drinking groups had significantly different distributions of binge drinking days (H(2) = 90.5, p < 0.01).

 $h$ <br>Drinking groups had significantly different distributions of nicotine dependence severity scores (H(2) = 39.1, p < 0.01).

i Drinking groups had significantly different distributions of craving scores at baseline (H(2) = 11.3, p < 0.01).

 $\dot{J}$ Drinking groups had significantly different distributions of craving score post-alcohol prime (H(2) = 13.4, p < 0.01).

 $k$ There was a significant relationship between drinking group and alcohol abuse diagnosis ( $\chi^2(2) = 9.2$ , p = 0.01).

There was a significant relationship between drinking group and alcohol dependence diagnosis ( $\chi^2(2) = 51.7$ , p < 0.01).

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### **Table 2.**

Cox Proportional Hazards Models Examining Rate of Binging in Low-Risk, High-Risk, and Heavy Drinkers

