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Targetable genetic alterations of *TCF4* (E2-2) drive immunoglobulin expression in diffuse large B-cell lymphoma

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AUTHOR CONTRIBUTIONS

NJ, KH, ST, WF, and OH performed experiments. NJ, KH, ST, KB and MRG analyzed data and wrote the manuscript. MJM, AB, TH, QD, DM, CP, CLL, AG, MB, SR, JI analyzed or interpreted data. AA provided computational resources. EH, RK, KES, GJ, RR, RDG, AR, JV, ML, and TG provided samples or data. MRG conceived and supervised the study. All authors read and approved the manuscript.

COMPETING INTERESTS

The authors have no competing interests to declare.

AVAILABILITY OF DATA

The data produced in this study are available in the gene-expression omnibus (www.ncbi.nlm.nih.gov/geo/), accession number GSE119241. The SNP and gene expression microarray accessions for the previously published data are listed in table S1. Raw next generation sequencing data will be provided upon reasonable request to the corresponding author.

SUPPLEMENTARY MATERIALS

Supplementary Materials and Methods

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Abstract

The activated B-cell (ABC-like) subtype of diffuse large B-cell lymphoma (DLBCL) is characterized by the chronic activation of signaling initiated by immunoglobulin- μ (IgM). By analyzing DNA copy profiles of 1,000 DLBCLs, we identified gains of 18q21.2 as the most frequent genetic alteration in ABC-like DLBCL. Using integrative analysis of matched gene expression profiling data we found that the *TCF4* (E2-2) transcription factor gene is the target of these alterations. Over-expression of *TCF4* led to its occupancy on immunoglobulin and *MYC* gene enhancers and increased their expression at the transcript and protein level. Inhibition of *TCF4* activity with dominant-negative constructs was synthetically lethal to ABC-like DLBCL cell lines harboring *TCF4* DNA copy gains, highlighting it as an attractive therapeutic target. Furthermore, the *TCF4* gene is one of the top BRD4-regulated genes in DLBCL and a BET proteolysis-targeting chimera (PROTAC) extinguished *TCF4*, *MYC* and IgM expression and killed ABC-like DLBCL cells *in vitro* and *in vivo*. This highlights a novel genetic mechanism for promoting immunoglobulin signaling in ABC-like DLBCL and provides a functional rationale for the use of BET inhibitors in this disease.

Summary Sentence:

Targetable DNA copy number gains of the *TCF4* gene are the most frequent genetic alteration in ABC-like DLBCL and promote immunoglobulin expression.

Keywords

DLBCL; *TCF4*; IgM; BET inhibitor

INTRODUCTION

Diffuse large B-cell lymphoma (DLBCL) is the most common form of lymphoma and is curable in ~60% of patients using a combination chemo-immunotherapy regimen, R-CHOP (1, 2). However, those that are refractory to, or relapse following, first-line therapy have a dismal outcome (3). Chimeric antigen receptor (CAR)-T cells are likely to change the landscape of outcomes in relapsed/refractory patients, but a large number of patients are not eligible for CAR-T therapy and ~50% of those that received CAR-T progress within 12 months (4). Novel rationally-targeted therapeutic strategies are therefore needed for DLBCL.

The clinical heterogeneity of DLBCL is underpinned by molecular heterogeneity, with the major distinction being between the germinal center B-cell (GCB)-like and activated B-cell (ABC)-like 'cell of origin' (COO) subtypes that were identified by gene expression profiling (5). The GCB-like subtype shows transcriptional similarities to normal germinal center B-cells, whereas the ABC-like subtype shows transcriptional similarities to CD40-activated B-cells or plasmablasts. Patients with ABC-like DLBCL have significantly worse overall survival compared to patients with GCB-like DLBCL, when treated with the standard-of-care combination chemotherapy (CHOP) plus rituximab (R-CHOP) regimen (6). The ABC-like DLBCL subtype expresses immunoglobulin μ (IgM) (7) in >90% of cases, which forms the B-cell receptor (BCR) signaling complex in association with CD79A and CD79B and drives chronically active BCR signaling. Several genetic alterations have been shown to promote this signaling, including mutations of the *CD79A*, *CD79B*, *CARD11*, and *MYD88* genes (8–11). However, these mutations only account for approximately two thirds of ABC-like DLBCL cases(12), suggesting that one or more significant genetic drivers remain to be defined.

A common mechanism for tumorigenesis is the gain or loss of DNA encoding oncogenes or tumor suppressor genes, respectively. These copy number alterations (CNAs) perturb a higher fraction of the cancer genome than somatic nucleotide variants (SNVs) and small insertion/deletions (InDels) and are critically important to cancer etiology (13). Here, we have integrated multiple datasets, including DNA copy number profiles of 1,000 DLBCLs, and identified DNA copy number gain of the E2 transcription factor *TCF4* as the most frequent genetic alteration in ABC-like DLBCL. We show that *TCF4* is capable of driving IgM expression and is amenable to therapeutic targeting through BET inhibition. These data therefore highlight a novel genetic basis for ABC-like DLBCL with potential implications for future clinical studies.

RESULTS

DNA copy number gains of 18q are the most frequent genetic alteration in the ABC-like subtype of DLBCL.

In order to identify significant CNAs in DLBCL, we interrogated the genomic profiles of 1,000 DLBCLs using the GISTIC2 algorithm (14). These included high-resolution SNP microarrays from 860 previously published cases, in addition to next generation sequencing (NGS)-derived profiles from our own cohort of 140 cases (table S1 and S2). The GISTIC

analysis revealed 20 significant DNA copy number gains and 21 significant DNA copy number losses (FDR<0.1; Fig. 1A and table S3). As previously observed, 19.1% of tumors (191/1000) did not bear any of these DNA copy number alterations (15). Using a subset of 448 cases with COO subtype available, we identified 9 CNAs that were significantly more frequent in ABC-like DLBCL and 11 that were significantly more frequent in GCB-DLBCL (Fisher Q-value<0.1; Fig. 1B and fig. S1 and table S4). The most frequent genetic alteration in ABC-like DLBCL was gain of 18q21.2, which was observed in 44% of tumors. In line with the enrichment in ABC-like DLBCL, 18q21.2 gains were associated with significantly reduced overall survival in both CHOP (log-rank P=0.0068) and R-CHOP (log rank P=0.0034) treated patients for which data were available (Fig. 1, C and D). However, this effect was not independent of cell of origin subtype (Fig. S2). Using 199 tumors with matched COO subtype, DNA copy number data and mutation status for 40 genes, we observed that the frequency of 18q21 gains (23.1% of all tumors; 40.7% of ABC-like tumors) were higher than other ABC-like DLBCL-associated somatic mutations including *MYD88* mutation (16.6% of all tumors; 33.3% of ABC-like tumors), *CD79B* mutation (7.5% of all tumors; 18.5% of ABC-like tumors) and other ABC-associated genes (Fig. 1E and fig. S3 and table S5). Because multiple genetic alterations are associated with ABC-like DLBCL, we employed the REVEALER algorithm (16) to identify the set of genetic alterations that best explained ABC-like DLBCL signature. Using a set of 87 CNAs and recurrently mutated genes as the feature set, and *MYD88* mutations as the seed feature, REVEALER identified an additional 4 genetic alterations including 18q21.2 gain as those best associating with the ABC-like signature (Fig. 1F). Gains of 18q21 are therefore the most frequent genetic feature of ABC-like DLBCL and are predicted to contribute to this molecular phenotype.

The *TCF4* (E2–2) transcription factor is a novel oncogene in ABC-like DLBCL

Gains of 18q have been previously attributed to the *BCL2* oncogene (15, 17). However, our analysis of this large cohort provided the resolution to identify two significant peaks of DNA copy gain on chromosome 18; 18q21.2 (16 genes, $Q=4.8\times 10^{-14}$) and 18q22.1 (70 genes, $Q=1.1\times 10^{-7}$; table S3). We further integrated GEP data from 249 tumors to identify the likely targets of these lesions by testing for the increase in expression of genes within the most significant peaks of DNA copy gain. This highlighted *TCF4* and *BCL2* as likely targets of the 18q21.2 and 18q22.1 gains, respectively (Fig. 2A and table S6). Notably, most 18q CNAs incorporated both of these genes (Fig 2, A to B), with only 7.3% or 1.0% of ABC-like DLBCLs having excisional CNAs targeting *TCF4* or *BCL2* alone, respectively (Fig. 2B). Using paired gene expression profiling data on 249 tumors, we observed that DNA copy number gains were associated with significantly higher *TCF4* transcript abundance when comparing within COO subtypes ($P<0.001$). However, the expression of *TCF4* in ABC-like DLBCL tumors was higher than that in GCB-like tumors, such that ABC-like tumors with diploid 18q21.2 had equivalent *TCF4* expression to GCB-like tumors with 18q21.2 gain (Fig. 2C). In line with this, ABC-like DLBCL cell lines expressed *TCF4* protein at a higher level than GCB-like DLBCL cell lines irrespective of DNA copy number, and these levels were significantly increased by DNA copy gain (Fig. 2, D and E). Therefore, *TCF4* is more highly expressed in ABC-like DLBCL compared to GCB-like DLBCL, and is further

increased by DNA copy gain. However, this observation should be prospectively validated by immunohistochemistry in patient tumors when appropriate antibodies are available.

The *TCF4* gene encodes an E2 family transcription factor, E2–2. Mutations of another E2 transcription factor, *TCF3*, and its negative regulators *ID2* and *ID3* are frequent in Burkitt's lymphoma (BL) and promote immunoglobulin signaling (18, 19). We therefore interrogated the mutation status of these genes and *TCF4* copy gains in our cohort of 140 DLBCLs and a prior cohort of 108 BLs that were sequenced and analyzed with the same approach (20). We did not observe recurrent mutations of *TCF4* or *ID2* in this BL cohort, and mutations of *TCF3* and *ID3* were infrequent in DLBCL. However, gains of *TCF4* were present at the same frequency as *TCF3* mutations in BL (18%). Furthermore, *TCF4* gains were significantly mutually-exclusive from *TCF3* and *ID3* mutations (Fisher P=0.019; Fig. 2F), suggesting that *TCF4* gains may serve a similar function as *TCF3/ID3* mutations in promoting immunoglobulin signaling. We speculate based upon gene expression data that the preference for mutating *TCF3* and *ID3* in BL is due to their higher expression in BL compared to DLBCL, which may be related to the germinal center B-cell specific expression of *TCF3* (Fig. S4). In contrast, *TCF4* is variably expressed in both BL and DLBCL, and does not decline in expression in normal B-cells following germinal center exit (Fig. S4). These data therefore show that the *TCF4* gene is highly expressed in ABC-like DLBCL, with expression further promoted by frequent 18q21.2 DNA copy gains, and implicates *TCF4* in immunoglobulin signaling.

TCF4 regulates *IgM* and *MYC* expression in ABC-like DLBCL

To identify potential target genes of TCF4, we performed differential gene expression analysis of primary DLBCL tumors with *TCF4* DNA copy gain (n=51) compared to those without (n=59). This was limited to on ABC-like tumors so as to eliminate the confounding effect of genes that differ in expression between COO subtypes. A total of 355 genes (472 probe-sets) and 87 genes (107 probe-sets) were found to be expressed at significantly higher or lower levels in tumors with *TCF4* gain, respectively (Q<0.1, fold-change 1.2; Fig. 3A and table S7). We performed ChIP-seq of ABC-like DLBCL cell lines, SUDHL2 and TMD8, with tetracycline-inducible Myc-DDK-tagged *TCF4* in order to define whether these genes were direct transcriptional targets of TCF4 (Fig. 3A). Importantly, *TCF4* was expressed at a level comparable to that in the U2932 cell line with *TCF4* copy gain (Fig. S5). Using the intersection of significant peaks from both cell lines, we identified TCF4 binding proximal to 180/355 genes with increased expression and 46/87 genes with decreased expression in tumors with *TCF4* copy gain (Fig. 3, A and B and table S8). These peaks showed a significant enrichment of motifs containing E-box consensus sequences (CANNTG; Q-value<0.1; Fig. S6), and many of the same regions are also bound by TCF4 in plasmacytoid dendritic cell neoplasm (21) (Fig. S6), providing strong evidence that we detected on-target binding. Among the most significant ChIP-seq peaks were those within the immunoglobulin heavy chain locus (Fig. 3B), in line with the significantly higher expression of *IGHM* in ABC-like DLBCL tumors with *TCF4* copy gain (Fig. 3C). This included peaks immediately upstream and downstream of the *IGHM* and *IGHD* genes, respectively, in regions with corresponding H3K27Ac in normal CD20+ B-cells that indicates they are *bona fide* enhancers (Fig. 3D). We validated TCF4 binding to these two

immunoglobulin loci, as well as a downstream enhancer of the *MYC* gene, using CHIP-qPCR. This confirmed binding to all 3 loci that was significantly above that of a control IgG antibody ($P < 0.001$) in 3 cell lines with tetracycline-inducible expression of TCF4 (SUDHL2, TMD8 and HBL1) and 2 cell lines with high baseline expression of TCF4 (U2932 and RIVA) ($P < 0.001$; Fig. 3E). Immunoglobulin and *MYC* genes are therefore likely targets of the TCF4 transcription factor in ABC-like DLBCL.

Tetracycline-inducible expression of *TCF4* in ABC-like DLBCL cell lines led to a marked increase in *IGHM* at the transcript (Fig. 4A) and protein level (Fig. 4B). Confocal microscopy showed that the TCF4-induced IgM protein accumulated on the cell surface as well as in intracellular compartments (Fig. S7). In comparison, *BCL2* expression was not induced by TCF4 over-expression and *MYC* induction was restricted to the two cell lines (SUDHL2 and HBL1; Fig. S8). To assess the consequence of increased IgM expression on BCR signaling we performed BCR crosslinking with anti-IgM Fab, with or without tetracycline-inducible TCF4 expression, and assessed the activation of the proximal BCR signaling kinases BTK and BLNK. In the absence of BCR crosslinking, TCF4-induced IgM expression had no detectable effect on BTK or BLNK phosphorylation. However, following BCR crosslinking the increase of BTK and BLNK phosphorylation could be significantly potentiated by TCF4 over-expression ($P < 0.05$; Fig. 4C and D). These data therefore show that TCF4-induced IgM expression can promote BCR signaling in ABC-like DLBCL in the presence of BCR stimulation.

TCF4 is a dependency in ABC-like DLBCLs with 18q gain.

To further validate functional dependencies upon TCF4 in ABC-like DLBCL, we generated two unique *TCF4* dominant-negative (dn) constructs, which encode a mutant protein that is capable of sequestering endogenous wild-type TCF4 into DNA-binding incompetent heterodimers. The *TCF4^{BR}* mutant has a deletion of the basic region (amino acids 567–581) prior to the helix-loop-helix domain, which eliminates DNA binding. The *TCF4^{R582P}* mutation within the helix-loop-helix domain was previously identified in Pitt-Hopkins Syndrome (22) and shown to completely abrogate the binding of TCF4 to DNA (Fig 5A). These TCF4dn proteins were expressed in DLBCL cell lines using tetracycline-inducible expression construct and used in a competition assay with parental cells. Therein, the expression of either *TCF4^{BR}* or *TCF4^{R582P}* was found to cause a time-dependent relative depletion of ABC-like DLBCL cell lines with *TCF4* copy gain (U2932, RIVA, OCI-Ly10 and TMD8), but did not have a marked effect on the relative cell growth of ABC-like cell lines with diploid *TCF4* (HBL1 and SUDHL2) or GCB-like cell lines (SUDHL4, KARPAS-422, OCI-Ly1; Fig 5B). We performed functional analysis of the effect of TCF4dn at the 48h time-point prior to them inducing toxicity. Using CHIP-qPCR, we confirmed that both constructs significantly inhibited binding of TCF4 to the IgM and *MYC* enhancer elements in the RIVA and U2932 cell lines ($P < 0.01$; Fig. 5C). This in turn led to a significant reduction in the expression of both IgM and *MYC* at the protein level, independently of a change in BRD4 expression ($P < 0.05$; Fig. 5, D and E). This trend was also observed using shRNA knock-down of TCF4 (Fig. S9). Therefore, IgM and *MYC* expression is dependent upon TCF4 function in ABC-like DLBCLs with *TCF4* gain, and elimination of this activity is associated with a competitive disadvantage to these cells.

TCF4 is critically regulated by BRD4 and can be targeted by BET proteolysis-targeting chimera (PROTAC)

The *TCF4* gene is one of the most highly BRD4-loaded genes in DLBCL, including in ABC-like DLBCL cell lines with *TCF4* copy gain (Fig. S9). Furthermore, knock-down of BRD4 in U2932 and RIVA markedly reduces TCF4 expression (Fig. S10). We therefore evaluated small molecule BET inhibitors and a BET protein degrader, ARV771, as a potential avenue for reducing TCF4 expression in ABC-like DLBCL cell lines with high-copy number of *TCF4*. The small molecule BET inhibitors, JQ1 and OTX015, resulted in an up-regulation of BRD4 that was not observed with ARV771 due to its role as a sub-stoichiometric BRD4 degrader (Fig. 6A and Fig. S11). This was associated with a greater efficacy of ARV771 in reducing the BRD4 target genes, MYC and TCF4 (Fig. 6A). In line with prior observations using small molecule inhibitors (23, 24), ARV771 also induced apoptosis of these cell lines (Fig. S12).

Reductions of TCF4 with ARV771 treatment were accompanied by reduced expression of the TCF4 target genes. This included significant reductions of IgM at the transcript (Q-value<0.1; Fig. 6B) and protein level (P<0.01; Fig. 6, D to F and Table S9) and a coordinate and significant down-regulation of the set of genes that were identified as being increased in association with *TCF4* DNA copy number gain in primary tumors (U2932, FDR=0.148; RIVA, FDR=0.201; Fig. 6C). This pattern was not observed in GCB-like DLBCL cell lines (Fig. S13). The *MYC* gene is a direct target of BRD4 (25), and immunoglobulin genes are also regulated by OCA-B which is reduced by BET inhibition (26). We therefore aimed to determine whether the reduction of MYC and IgM expression, and the induction of apoptosis in by ARV-771 in the cell lines with high DNA copy number of *TCF4* were directly related to reduction of TCF4 expression. We investigated this with a rescue experiment, in which TCF4 expression was maintained in RIVA and U2932 cells during ARV771 treatment using the tetracycline-inducible expression construct. The rescue of TCF4 expression significantly reduced the percentage of apoptotic cells following ARV771 treatment in both cell lines (P<0.05), but did not eliminate the cytotoxicity of the compound (Fig. 6G). In addition, enforced TCF4 expression during ARV771 treatment significantly rescued the expression of IgM and MYC (Fig. 6, D to F). Together, these data show that reduction of TCF4 is one of the mechanisms by which BET inhibition reduces IgM and MYC expression and induces apoptosis in ABC-like DLBCL cells with *TCF4* DNA copy number gain.

The promising *in vitro* activity of ARV771 led us to test whether this compound would be efficacious *in vivo*. In xenografts of the U2932 (Fig. 7, A to E) and RIVA (Fig. 7, F to K) cell lines that express high levels of TCF4, we observed that ARV771 was able to significantly reduce tumor growth. At the end of treatment, tumors were significantly smaller in ARV771-treated mice (P<0.05; Fig 7, B and G) and showed reduced levels of BRD4, TCF4, IgM and MYC expression (Fig. 7, C and H), which demonstrates that the molecule could reach the tumor site at a sufficient concentration to have a functional effect. There were not any signs of toxicity within these mice, and treatment with ARV-771 was associated with a significant prolongation of their survival (P<0.05). Our data provide a clear functional rationale for BET inhibition in ABC-like DLBCL and show that ARV771 is

effective at eliminating TCF4 and its target genes and treating ABC-like cell lines DLBCL *in vitro* and *in vivo*.

DISCUSSION

The ABC-like subtype is one of two major molecular subtypes of DLBCL that is recognized by the WHO classification (27). These tumors are driven by chronic active BCR signaling that emanates from autoreactive IgM that is localized to the cell surface and intracellular lysosomes (8, 28–30). Mutations in *CD79B* and *MYD88* deregulate this signaling through the reduction of LYN-mediated negative feedback and by activation of the IRAK signaling, respectively (8, 10). However, recent murine studies have shown that *MYD88* mutation alone drove a phenotype that was reminiscent of peripheral tolerance, and this was only relieved by the combination of *MYD88* and *CD79B* mutations together, or by increased expression of surface IgM (31). The ABC-like phenotype is therefore the result of cumulative epistatic genetic alterations, rather than a single dominant driver mutation. In further support of this notion, recent genomic studies have defined co-associated sets of genetic alterations that co-segregate with unique genetic subsets of ABC-like DLBCL (32). The “cluster 5” subset of ABC-like DLBCL included frequent *MYD88* and *CD79B* mutations, but the most frequent genetic alteration in this subtype was DNA copy number gain of 18q (32).

We identified the *TCF4* (aka E2–2) gene as the most significant target of 18q DNA copy number gains in DLBCL. The *TCF4* gene is closely related to *TCF3* (aka E2A), with both encoding basic helix-loop-helix (bHLH) transcription factors that form dimers and recognize E-box consensus sequences (CANNTG) (33). Murine conditional knock-out studies showed that *Tcf3* and *Tcf4* are critical regulators of germinal center B-cell and plasma cell development, in part due to their role in activating immunoglobulin heavy- and light-chain enhancer elements (34, 35). The ID2 and ID3 proteins bind to and inhibit the activity of TCF3 and TCF4 by forming heterodimers that are incapable of binding DNA (33). The *TCF3* and *ID3* genes are recurrently mutated in another form of B-cell lymphoma, Burkitt lymphoma (BL), with the mutations residing in the interface between *TCF3* and *ID3* and preventing their interaction (18, 19). We observed that *TCF4* DNA copy number gains are also frequent in BL, and that they mutually exclude *TCF3* and *ID3* mutations, providing further evidence for the importance of *TCF3/TCF4* deregulation in this disease. In contrast to BL, *TCF3* and *ID3* mutations are rare in ABC-like DLBCL, but *TCF4* DNA copy number gains are present at more than twice the frequency. The higher mutation rate for *ID3* and *TCF3* in BL may be due to a higher expression of these genes in BL and an associated greater reliance on TCF3 activity for survival. However, as *TCF4* is also variably expressed in these tumors, and *ID3* mutations may presumably also reduce the interaction between ID3 and TCF4, the propensity to mutate *ID3* at a much higher frequency in BL compared *TCF3* may be associated with the ability of these mutations to deregulate both TCF3 and TCF4 activity. We speculate based upon their different expression patterns during B-cell development and phenotypes from single-gene conditional knock-out studies (34, 35) that *TCF3* and *TCF4* may have some non-redundant roles, with TCF3 being more important in germinal center B-cells and TCF4 playing a more prominent role in post-germinal center B-

cells. This may explain the propensity for TCF4 deregulation in ABC-like DLBCL, which align with activated B-cells that are primed for germinal center exit.

In line with the murine studies, we observed a marked up-regulation of IgM transcript expression in primary tumors with *TCF4* DNA copy number gain. We identified binding sites for TCF4 in the immunoglobulin heavy chain locus and showed that experimental modulation of TCF4 abundance or activity was sufficient to control IgM expression in ABC-like DLBCL cell lines. Together, this provides strong evidence for a functional role of TCF4 in promoting IgM expression in ABC-like DLBCL. This is particularly important in this disease, because >90% of ABC-like DLBCL cases express IgM and the disease etiology centers on pathogenic signaling downstream of this receptor (7, 8). Notably, *TCF4* was more highly expressed in ABC-like DLBCL compared to GCB-like DLBCL generally, even in cases without DNA copy number gain of the locus. This suggests that this axis may be active in all ABC-like DLBCLs, and further enhanced in the ~40% that harbor 18q DNA copy number gains. This is akin to the role of EZH2 in GCB-like DLBCL, which promotes the survival and proliferation of all germinal center B-cells but has enhanced activity in the context of activating somatic mutations (36, 37). We therefore hypothesize that *TCF4* may participate in a critical functional axis of immunoglobulin regulation in all ABC-like DLBCL.

Proteins in the BET family, including BRD4, are attractive therapeutic targets in cancer due to their role in the transcriptional activation of oncogenes such as *MYC* (25, 38). In DLBCL, BRD4 targets include key transcription factors such as *BCL6*, *PAX5* and *IRF4* (26). Furthermore, prior studies have shown that BET inhibition is preferentially cytotoxic to ABC-like compared to GCB-like DLBCL cell lines (23, 24) and reduces NF κ B signaling (23). However, the mechanism underlying these observations has not been defined. We have highlighted *TCF4* as another prominent target of BRD4 in DLBCL, as has been previously described in plasmacytoid dendritic cell neoplasms (21). Due to the difficulty in directly drugging transcription factors, BET inhibition therefore represents a logical avenue for reducing TCF4 expression in ABC-like DLBCL. However, small molecule inhibitors have also been shown to result in the up-regulation of BRD4 expression (39). We therefore evaluated a novel BET protein PROTAC, ARV771, which combines a BET-targeting warhead from OTX015 with a moiety that recruits the VHL ubiquitin ligase (39). Because the PROTAC is not degraded, this results in the sub-stoichiometric proteolysis of BET proteins, including BRD4. As such, we found that ARV771 was able to inhibit the expression of TCF4 at 10-fold lower concentrations than small molecule BET inhibitors and showed *in vivo* efficacy against ABC-like cell lines with high *TCF4* copy gain. The inhibition of TCF4 expression in these cell lines was accompanied by the coordinate down-regulation of genes that were highly expressed in primary tumors with *TCF4* DNA copy gain, such as IgM and *MYC*. Moreover, the expression of these genes could be rescued by enforced expression of *TCF4* during BET inhibition. This was a surprising observation for the *MYC* gene, which is also a direct BRD4 target (25). Thus, although the effects of BET inhibition are multi-faceted, our data show that a portion of the broad transcriptional changes and toxicity mediated by BET inhibition in ABC-like DLBCLs with 18q DNA copy number gains can be attributed to the downstream effects of reduced *TCF4* expression. Together, our data therefore highlight *TCF4* DNA copy gains as a functional rationale for BET inhibition

in ABC-like DLBCL and show that the BET PROTAC ARV771 has significant activity in this context. However, the over-expression of BCL2 has been described as a resistance mechanism for BET inhibitors (40) and we observed that the majority of 18q DNA copy number gains in DLBCL encompass both the *TCF4* and the *BCL2* gene. We therefore posit that the promising activity of BET inhibitors in ABC-like DLBCL may be further enhanced by combination with a BCL2 inhibitor such as Venetoclax. In support of this, BET inhibitors have been shown to act synergistically with Venetoclax in myeloid leukemia (40) and in another form of B-cell lymphoma, mantle cell lymphoma (41). Combination of BET and BCL2 inhibition therefore represents an attractive therapeutic avenue for future investigation in ABC-like DLBCL.

There are some caveats to this study that should be addressed in future. We showed *TCF4* expression at the transcript level in primary tumor samples, but were unable to perform immunohistochemical staining for TCF4 on these tumors due to the poor performance of available monoclonal antibodies. Prospective validation of TCF4 protein expression and its association with clinical outcome should therefore be performed in a large series of primary DLBCL tumor samples. In addition, we showed a clear functional rationale for targeting TCF4 using BET inhibitors and showed that this was efficacious in *in vitro* and using cell line xenografts. As xenografts do not predict clinical outcomes, the association between *TCF4* DNA copy number status or COO subtype and response to BET inhibitors would be best evaluated as a correlate in future clinical trials. Due to the potential toxicities associated with BET inhibition, it would also be desirable to identify a more direct avenue for specifically targeting TCF4.

In conclusions, we have identified DNA copy number gains of *TCF4* as the most frequent genetic alteration in ABC-like DLBCL, and highlighted TCF4 as synthetic dependency in this subset of tumors. Increased expression of TCF4 leads to its occupancy on IgM and MYC enhancer elements and increased expression of these genes at the transcript and protein level. We have shown that BET-targeting PROTACs efficiently reduce the expression of TCF4 and its target genes, induce apoptosis in ABC-like DLBCL cells, and prolong the life of mice bearing ABC-like DLBCL tumors. This study therefore highlights the BRD4-regulated *TCF4* and IgM axis as a novel oncogenic pathway and a functional rationale for the use of BET inhibitors in ABC-like DLBCL.

MATERIALS AND METHODS

Study Design

The objective of this work is to define the genetic etiology of the ABC-like subtype of DLBCL. We used integrative genomic analysis of a 1,000 primary DLBCL tumors, *in vitro* analysis of TCF4 function using tetracycline-inducible expression of TCF4 or TCF4 dominant-negative constructs in ABC-like DLBCL cell lines, and *in vitro* and *in vivo* testing of BET inhibitors as a therapeutic avenue to directly target TCF4 expression.

DNA copy number data acquisition and processing

Publicly available data for single nucleotide polymorphism microarrays and array comparative genome hybridization platforms with >200,000 markers were downloaded from the gene expression omnibus (15, 17, 42–48) (table S1; www.ncbi.nlm.nih.gov/geo/). Data for all arrays were represented as Log₂ copy number change and segmented using the circular binary segmentation (CBS) tool on GenePattern (49). Peaks of significant DNA copy number loss and gain were identified using GISTIC2.0 (14). The thresholds utilized for DNA copy number gain and loss were 0.2 copies over a region encompassing 100 markers. Peaks with a residual Q-value <0.1 were considered significant.

Cell of Origin Subtyping and Integrative Analysis of Gene Expression Profiling Data

Raw cel files for matched Affymetrix U133 Plus 2.0 gene expression microarray data were obtained for 249 previously published DLBCLs (GSE11318¹⁶ and GSE34171¹⁹) and an additional 98 tumors from UNMC. Data were RMA normalized using the ExpressionFileCreator module of GenePattern (49). The GSE11318 and GSE34171 datasets were batch-corrected and combined using ComBat (50). The additional dataset could not be batch corrected and was analyzed separately only for COO subtype. Cell of origin subtype was determined using a previously described Bayesian classifier (51) and validated using available outcome data (fig. S1 and table S1). For 108 cases, the previously reported Nanostring-derived COO classification was used (44).

The targets of DNA copy number alterations were determined using integrative analysis, as previously described (52). In brief, differential gene expression analysis was performed between tumors (n=249) with or without each lesion, limited to the set of genes within the GISTIC-defined peak (table S6). To identify the signature associated with 18q21 DNA copy number gain, differential gene expression analysis was performed within ABC-like DLBCL cases (n=110). Genes with a fold-change ≥ 1.2 in the direction of the copy number alteration and a FDR Q-value <0.1 were considered statistically significant. Association between CNAs and COO subtype were determined using a Fisher exact test.

Survival and Cell of Origin Subtype Association

Overall survival data was collated from previous studies for 232 DLBCL patients treated with CHOP combination chemotherapy (15, 17), and 240 DLBCL patients treated with CHOP combination chemotherapy plus the anti-CD20 monoclonal antibody rituximab (R-CHOP) (15, 53) using a log-rank test. Associations between DNA copy number alterations or mutations and COO subtype were determined using a Fishers Exact test, corrected for multiple hypothesis testing using the qvalue R package. The REVEALER tool (16) was implemented in GenePattern, using a set of 98 tumors for which gene expression microarray and targeted NGS data were available (table S1). The seed feature was selected as *MYD88* mutation due to its significant association with the ABC-like DLBCL subtype by Fisher analysis (fig. S2). Additional features included all significant DNA copy number alterations (n=24) and recurrently mutated genes (n=63) that were present in 5% or more of the 98 tumors. A total of 10 iterations of feature selection were performed using the ABC probability score as the phenotype and the ABC-like subtype as class.

Targeted next generation sequencing (NGS) and variant calling

Genomic DNA for 140 fresh/frozen DLBCL tumors were obtained from the UNMC lymphoma tissue bank (IRB 161–95-EP) and interrogated by targeted sequencing of a panel of 5.3Mbp hybrid capture panel (Nimblegen) encompassing the full coding regions of 380 genes. Library preparation and data analysis were performed using a previously validated approach (20) that is detailed in the supplementary methods. The average deduplicated sequencing depth was 623X. Variants were called by consensus between VarScan2 and GATK Unified Genotyper, with additional previously described filtering criteria to remove germline variants.

DLBCL Cell Lines

The SU-DHL-2 cell line was obtained from ATCC. The RIVA (aka RI-1), HBL1, TMD8, U2932 and OCI-Ly10 cell lines were obtained from DSMZ. The DNA copy number profile of DLBCL cell lines were derived from previously reported SNP6.0 data (52) or targeted next generation sequencing, as described above. Cell of origin subtype was determined according to previous descriptions (8). U2932, RIVA, TMD8, HBL1, SUDHL2, SUDHL4, SUDHL6, SUDHL8 and KARPAS-422 were maintained in RPMI-1640 media with 10% FBS and 1% penicillin/streptomycin. OCI-LY1, OCI-LY7 and OCI-LY10 were maintained in IMDM supplemented with 20% human serum and 1% penicillin/streptomycin. Cell lines were regularly tested for mycoplasma, and identity confirmation by Short Tandem Repeat at core facility of MD Anderson Cancer Center.

Cloning and Tetracycline Inducible Expression of TCF4

The *TCF4* gene was cloned into sleeping beauty vector (pSBtet-GP; Addgene 60495) by replacing luciferase gene with *TCF4*. Tetracycline based TCF4 expressing DLBCL cell lines (HBL1, TMD8 and SU-DHL-2) were generated by co-transfecting transposase expressing vector (pCMV-CATT7-SB100; Addgene 34879) and sleeping beauty vector expressing TCF4 using neon transfection system according to the manufacturer instructions (Neon transfection system; Invitrogen, CA, USA). Transfected cells were selected for stable cell line generation with puromycin (1 μ g/ml) for one week and maintained in 10% tetracycline negative FBS (Corning; MT35075CV) containing RPMI media. The dose of tetracycline required for physiologically relevant protein expression of TCF4 was determined by a dose titration relative to the expression level in the U2932 cell line (fig. S3). All experiments were performed with 24h of tetracycline treatment at the doses specified in each experiment.

Western blotting

For immunoblotting, cells were lysed in RIPA buffer supplemented with protease inhibitor cocktail and 1mM PMSF. Equal amounts of proteins were resolved using 4–20% sodium dodecyl sulfate-polyacrylamide gel electrophoresis, transferred to PVDF membranes and probed for following specific primary antibodies: TCF4 (SAB1404449), IgM (ab200541), BCL2 (2872), MYC (13987), BRD4 (13440), and actin (12620). Western blots quantification was performed using ImageJ software, a Java-based image analysis widely used for measurement of density profiles. The intensity of each band was normalized with the intensity of corresponding loading control and calculated as fold changes with respect to

control/no-treated samples. All cells were confirmed as being >80% viable by trypan blue exclusion using a Countess FL (Life Technologies) instrument prior to performing analysis of protein expression by western blot.

Quantitative real-time PCR

Total RNA from cell lines were extracted using AllPrep DNA/RNA mini kit (Qiagen; 80204). For each sample, 1µg of RNA was converted into cDNA using Super ScriptTMIII first strand synthesis system (Thermo Fisher; 18080051) and quantitative real time PCR analysis was performed using thermal cycler (Applied Biosystems 7500) and SYBR Green/ROX qPCR Master Mix (Applied Biosystem; 4309155). Analysis was performed with the Ct method and GAPDH was used for normalization. The list of primers used for qPCR have been provided in table S10.

TCF4 ChIP-seq

TMD8-TCF4 or SU-DHL-2-TCF4 cell lines were treated with doxycycline (60ng/ml) for 24 hours to induce TCF4 expression. Five million of cells were fixed with 1% formaldehyde for 10 min, chromatin sheared by sonication, and ChIP performed with anti-TCF4 (21) or control rabbit IgG bound to dynabeads Protein-G. The protein-bound DNA was purified and libraries constructed, with KAPA HyperPrep kits (Roche) and TruSeq indexes. Sequencing reads were aligned to the human genome (hg19) using BWA-Mem (54), realigned around InDels using GATK (55), sorted and deduplicated using Piccard tools. Peaks were called in TCF4 ChIP samples compared to their input control using EaSeq with global thresholding, and annotated according to the transcription start site of the nearest RefSeq gene and filtered. Significantly over-represented DNA sequence motifs (FDR<0.05) were identified in TCF4 ChIP-seq peaks compared to the reference genome (hg19) using CisFinder (56) with the default settings. Motifs with 75% homology were collapsed to motif clusters. For details, refer to supplementary methods.

BRD4 and TCF4 ChIP-qPCR

For ChIP-PCR, chromatin immuno-precipitation was performed with BRD4 antibody (Bethyl, Cat No. A301-985A) or TCF4 antibody (Ceribelli et al) or control rabbit IgG following the protocol as described above for TCF4. Chromatin DNA was also purified from the input samples. The purified DNA was used to perform quantitative PCR using SYBR Green/ROX qPCR Master Mix (Applied Biosystem; 4309155). Percentage of input was quantified from the adjusted input Ct values and further used to determine Ct values for BRD4 or TCF4 or IgG ChIP. List of primers used ChIP-PCR have been listed in table S10.

BET Inhibitors and Treatments

BET inhibitors JQ1 and OTX015 were obtained from Selleck Chemicals. BET-PROTAC (ARV771) was provided by Arvinas, Inc. (New Haven, CT). U2932 and RIVA cell lines were treated with indicated concentrations of BET-inhibitors (JQ1, OTX015) or BRD4-PROTAC (ARV771) for 24 hours before immunoblotting. Apoptosis was interrogated by Annexin V (Thermo Fisher; A35122)/To-PRO-3 staining and analyzed using flow cytometry (BD LSRFortessa) and FlowJo software. For gene expression analysis, cell lines (U2932 and

RIVA) were un-treated or treated with ARV771 (50ng/ml) for 24 hours, RNA-sequencing libraries constructed using KAPA RNA HyperPrep kit with RiboErase (KK8560), and sequenced on a HiSeq 4000 instrument at the MD Anderson Sequencing and Microarray Core Facility. Fastq files were aligned to the GRCh37 assembly using STAR 2.6.0c, pre-processed with RSEM, quantified with rsem-calculate-expression. DESeq2 (v1.18.1) was used to identify differentially expressed genes using a two-variable (Cell line and Treatment) analysis with default settings. Gene set enrichment analysis (57) was performed using GenePattern and a list of all genes from RNA-seq ranked by the fold-change in expression following ARV771 treatment. The gene set consisted of all genes that showed significantly higher expression in ABC-like DLBCL tumors with *TCF4* DNA copy number gain compared to those without, as shown in Figure 3.

Murine Xenograft Experiments

All animal studies were performed under a protocol approved by the IACUC at M.D. Anderson Cancer Center, an AAALAC-accredited institution. Five million luciferase-expressing RIVA or U2932 cells (mixed with Matrigel at a volume ratio of 1:1) were s.c. injected in the left flank of male athymic nude mice (nu/nu) (n = 8 per group). Mice were treated with vehicle (10% [1:1 solutol: ethanol] and 90% D5-water, s.c. daily x 5 days per week) or ARV-771 (30 mg/kg, s.c., daily x 5 per week). The RIVA mouse model was treated for two weeks. Due to slower tumor growth, the U2932 mouse model was treated for three weeks. Tumor were monitored by bioluminescent imaging and mice bearing tumors greater than 1500 mm³ were removed from study and humanely euthanized. Tumor size was compared among cohorts by unpaired t-test. The survival of the mice is represented by a Kaplan Meier plot. Differences in survival were calculated by a Mantel-Cox log-rank test. For additional details, refer to supplementary methods.

Statistical Analysis

For the genomic studies we present the significance of tests as a false discovery rate Q-value following correction for multiple hypothesis testing using a Benjamini-Hochberg correction. In addition, the means and standard deviations for each group from differential gene expression profiling analyses are presented in supplementary tables. Categorical variables were tested using a Fisher exact test and continuous variables were tested with a Student's T-test, each with multiple hypothesis testing correction when appropriate.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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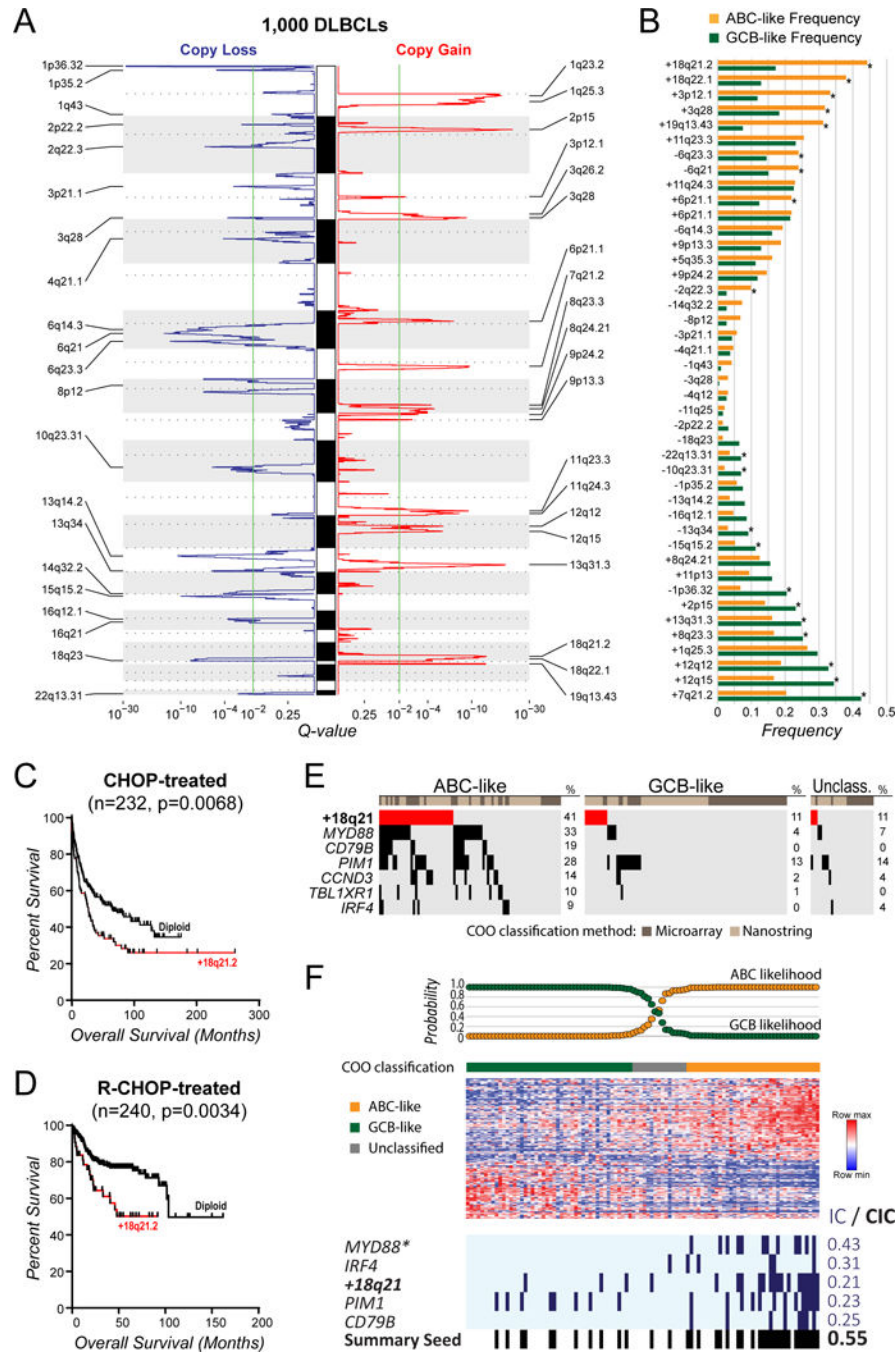


Figure 1: DNA copy number gains of 18q21.2 are the most frequent genetic alteration in ABC-like DLBCL.

(A) GISTIC analysis of DNA copy number profiles from 1,000 DLBCL tumors identified 21 peaks of DNA copy loss (blue, left) and 20 peaks of DNA copy gain (red, right). The green line indicates the significance threshold of q-value = 0.1. (B) The GISTIC peaks from (A) are shown with reference to their frequency in ABC-like (orange) compared to GCB-like (green) cell of origin subtypes (*Q-value<0.1). DNA copy gains of 18q21.2 were the most frequent alteration in ABC-like DLBCL cases. (C-D) A Kaplan-Meier plot of overall

survival for patients treated with CHOP combination chemotherapy (C) or CHOP plus Rituximab (D) shows that the presence of 18q21.2 gain is associated with poor outcome. (E) The frequency of 18q21 gains is shown relative to other somatic mutations that are significantly associated with the ABC-like DLBCL subtype. This shows that gains of 18q21 are the most frequent genetic alteration in ABC-like DLBCL. (F) REVEALER analysis was performed to identify the set functionally-complementary genetic features that likely contribute to the ABC-like DLBCL molecular phenotype. Mutations of *MYD88* were used as the seed feature. Mutations of *IRF4*, *PIMI* and *CD79B*, and DNA copy gains of 18q21 were selected as additional features that likely also contribute to the phenotype (*Seed feature; IC, information coefficient; CIC, conditional information coefficient).

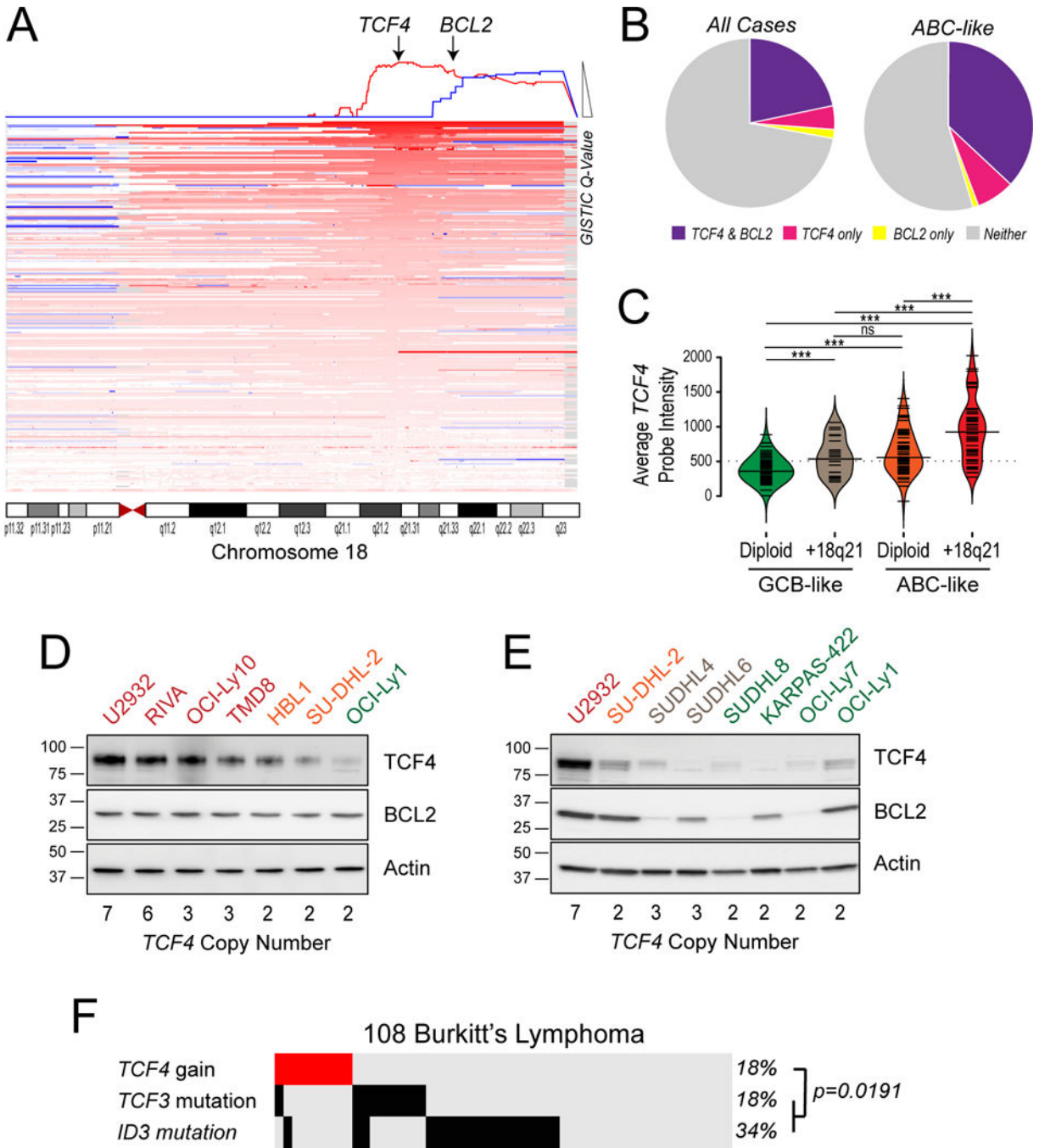


Figure 2: The *TCF4* gene is the most significant target of 18q DNA copy number gains. (A) A schematic of 18q DNA copy number gains is shown, with each line representing a single tumor and deeper shades of red indicating higher DNA copy number. The GISTIC q-value is shown at the top of the diagram and the two significant peaks are highlighted with arrows. The most statistically significant peak harbors the *TCF4* gene, while the less significant peak harbors the *BCL2* gene. However, it can be seen that in many cases the DNA copy number gains span both the *TCF4* and *BCL2* regions. (B) The frequency of tumors with DNA copy number gains that include both the *TCF4* and *BCL2* genes (purple),

the *TCF4* gene and not the *BCL2* gene (pink) or the *BCL2* gene and not the *TCF4* gene (yellow) are shown for all tumors (left) and for the ABC-like only (right). This shows that the majority of 18q DNA copy gains include both *TCF4* and *BCL2*, but *TCF4* is more frequently gained independently of *BCL2* than vice versa. (C) The gene expression level from microarrays are shown for GCB-like DLBCL with diploid 18q (green), GCB-like DLBCL with 18q copy gain (beige), ABC-like DLBCL tumors with diploid for 18q (orange), and ABC-like DLBCL tumors with 18q DNA copy number gains (red). The expression of *TCF4* is significantly higher in ABC-like DLBCL compared to GCB-like DLBCL in diploid cases and further significantly increased by DNA copy number gain. P-values are from students T-test, $p > 0.05$ is denoted as ns, $***p < 0.001$. (D) The protein level of *TCF4* and *BCL2* are shown in ABC-like DLBCL cell lines, ordered according to increasing DNA copy number of the *TCF4* locus. There is a visible relationship between *TCF4* protein abundance and DNA copy number that is less clear for *BCL2*. (E) The protein level of *TCF4* and *BCL2* are shown in GCB-like DLBCL cell lines, with the ABC-like cell lines with the highest (U2932) and lowest (SUDHL2) *TCF4* expression shown as reference. All of the GCB-like DLBCL cell lines show lower expression of *TCF4*, and there is no clear relationship with 18q DNA copy number. (F) The frequency of *TCF4* DNA copy gains, *TCF3* mutation and *ID3* mutation are shown for a cohort of 108 Burkitt lymphoma tumors. Gains of the *TCF4* locus are present at the same frequency of *TCF3* mutations and are significantly mutually exclusive from *TCF3* and *ID3* mutations.

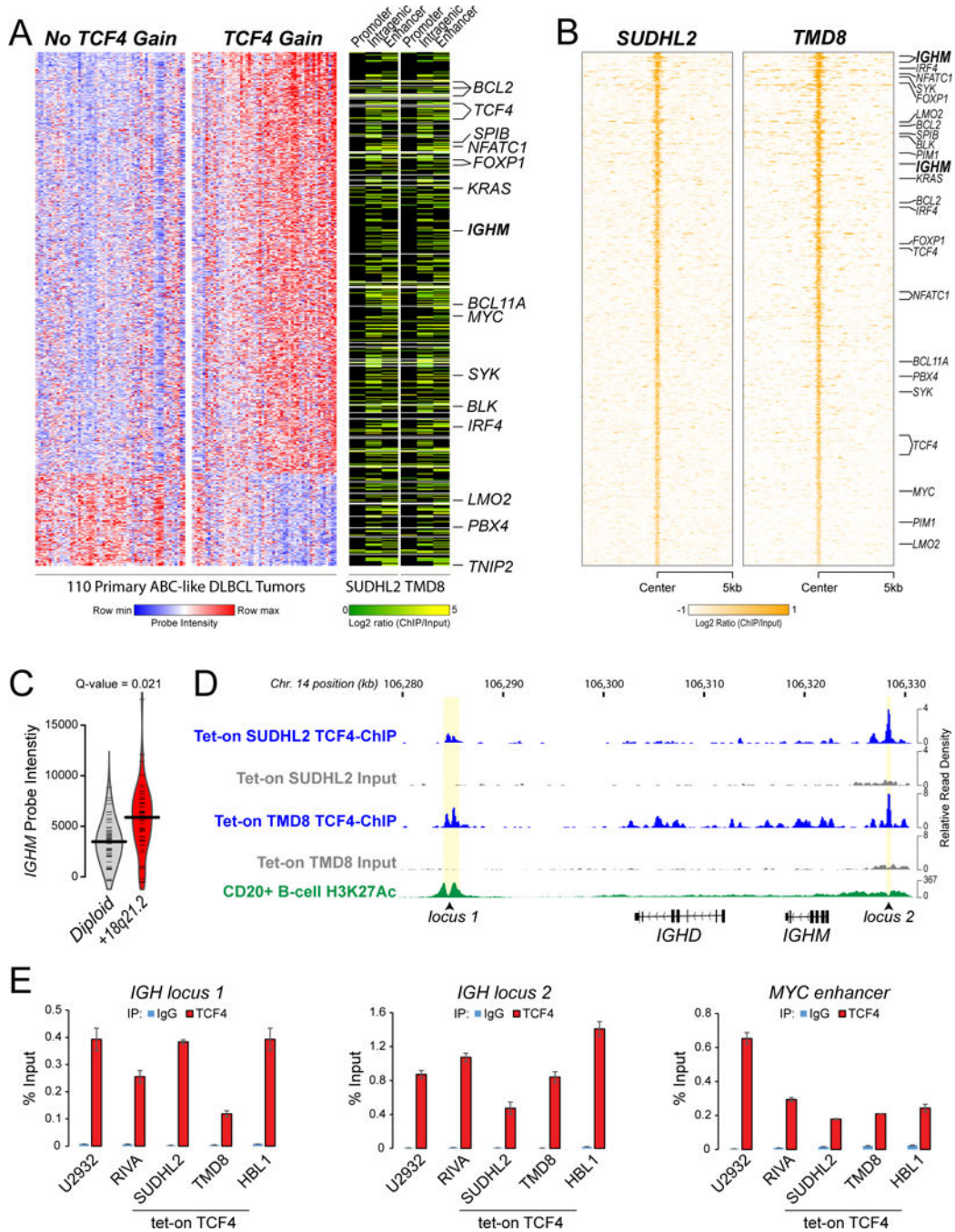


Figure 3: TCF4 regulates IgM expression in ABC-like DLBCL.

(A) Differential gene expression analysis of 110 primary ABC-like DLBCL tumors with or without *TCF4* DNA copy number gain identified a large set of genes with increased expression associated with *TCF4* gain. This included the direct targets of 18q DNA copy number gains, *TCF4* and *BCL2*, and multiple genes with an important role in the pathophysiology of DLBCL, such as *IRF4*, *MYC* and the immunoglobulin heavy chain μ (*IGHM*), that are upregulated as a secondary effect of *TCF4* gain. ChIP-seq of TCF4 from SUDHL2 and TMD8 cell lines showed that the majority of these genes were marked with

TCF4 binding in intragenic or distant enhancer elements, suggesting that their up-regulation may be driven by transcriptional activation by *TCF4*. **(B)** The significant *TCF4* ChIP-seq peaks from *SUDHL2* and *TMD8* are shown, ordered from strongest (top) to weakest (bottom) signal ratio compared to the input control. Significant peaks were detected for important genes such as *MYC* and *IRF4*, but multiple *IGHM* peaks were amongst those with the highest *TCF4* binding. **(C)** A violin plot shows that primary ABC-like DLBCL tumors with 18q21 gain express significantly higher transcript levels of *IGHM* compared to ABC-like DLBCL tumors with diploid 18q21. **(D)** Two of the *TCF4* peaks at the immunoglobulin heavy chain locus are shown for *TCF4* ChIP (blue) compared to the equivalent input control (grey). Yellow shading indicates the statistically significant peak. For reference, ENCODE data for H3K27 acetylation (H3K27Ac) ChIP-seq in CD20+ B-cells is shown, which support the *TCF4* bound regions as bona fide enhancer elements in B-cells. **(E)** The binding of *TCF4* to the two immunoglobulin heavy chain loci and the *MYC* enhancer locus was confirmed by ChIP-qPCR in two cell lines with high *TCF4* DNA copy number and protein expression (U2932 and RIVA) and three cell lines with tetracycline-inducible *TCF4* expression (*SUDHL2*, *TMD8*, *HBL1*). The signal was significantly above that of the isotype control IgG antibody for all cell lines and loci ($P < 0.001$). Each bar represents the mean \pm S.E.M. of 3 independent experiments.

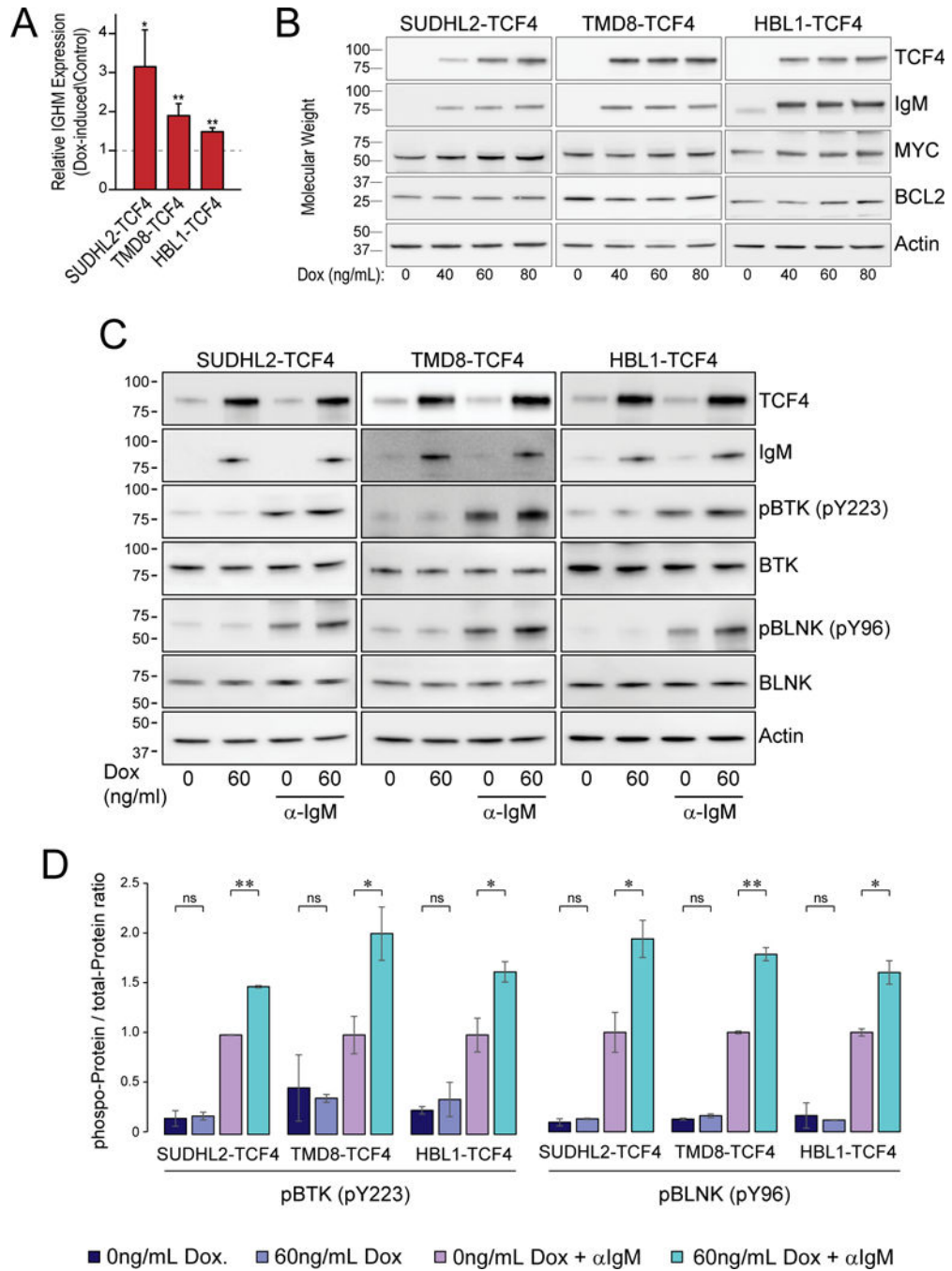


Figure 4: Induced expression of TCF4 in ABC-like DLBCL cell lines drives MYC and IgM expression and potentiates BCR signaling.

(A) Tetracycline-induced expression of TCF4 in ABC-like DLBCL cell lines with low *TCF4* copy number resulted in a significant increase in IGHM transcript compared to control cells.

(B) Tetracycline-induced expression of TCF4 led to a marked increase in IgM protein in ABC-like DLBCL cell lines with low *TCF4* copy number. An increase in MYC was also observed in SUDHL2 and HBL1 cell line, but was not significant in TMD8. No change was observed for BCL2. The quantification of triplicate experiments is shown in Figure S5. (C)

A representative western blot shows the phosphorylation of downstream kinases from IgM, BTK and BLNK, with or without tetracycline-induced *TCF4* and/or BCR stimulation with an α IgM cross-linking antibody. TCF4-induced up-regulation of IgM alone did not significantly increase BTK or BLNK phosphorylation, but it significantly enhanced phosphorylation following BCR stimulation. **(D)** The quantification of western blots from triplicate experiments combining *TCF4* induction and BCR stimulation, as shown in C, demonstrate the significant increase in BCR-induced signaling through BTK and BLNK when *TCF4* is over-expressed in each of 3 ABC-like DLBCL cell lines. Each bar represents the mean \pm S.E.M. of 3 independent experiments. Groups were compared by Student's T-test. P-value $>$ 0.05 is denoted as ns. * p $<$ 0.05, ** p $<$ 0.01.

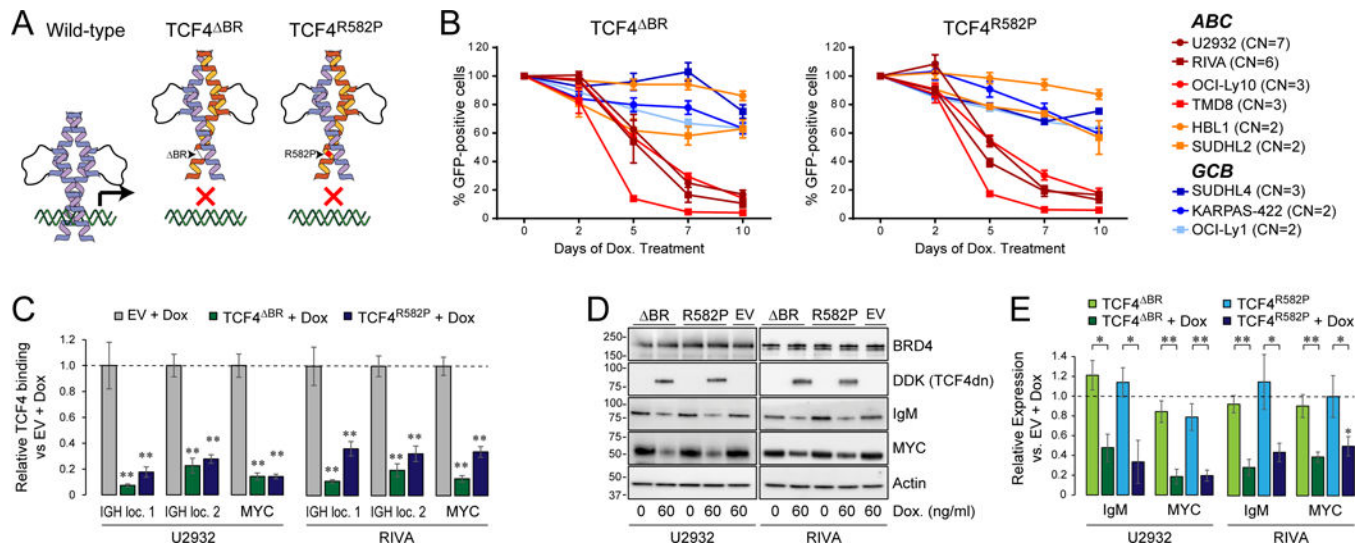


Figure 5: Functional dependency upon TCF4 in ABC-like DLBCL.

(A) A schematic of the two TCF4 dominant-negative (TCF4dn) constructs. The *TCF4^{BR}* construct has an in-frame deletion of the basic region prior to the helix-loop-helix domain. The *TCF4^{R582P}* construct has a single amino acid change within the helix-loop-helix domain. The TCF4dn constructs (orange) are predicted to form heterodimers with wild-type TCF4 (purple) and inhibit DNA binding. (B) A cell competition assay was performed by mixing equal fractions of GFP+ cells possessing the either the *TCF4^{BR}* or *TCF4^{R582P}* tetracycline-inducible dominant-negative construct, with the parental cell line. Cells were exposed to doxycycline and the GFP+ fraction measured every 2–3 days for 10 days. The ABC-like DLBCL cell lines with high-level (maroon) or low-level (red) *TCF4* DNA copy number gain declined over time with expression of either TCF4dn. This was not observed for ABC-like DLBCL cell lines with diploid *TCF4* (orange) or GCB-like DLBCL cell lines selected based upon variable copy number and *TCF4* expression. Each point represents the mean \pm S.E.M. of 3 independent experiments. (C) TCF4 ChIP-qPCR was performed for the two immunoglobulin enhancers and the MYC enhancer in the presence or absence of dominant-negative constructs. Both constructs significantly inhibited TCF4 binding at these loci in the U2932 and RIVA cell lines with high *TCF4* DNA copy number gain and protein expression. Each bar represented the mean \pm S.E.M. of 3 independent experiments, with statistical significance assessed by Student's T-test compared to empty vector (EV) control. ** $p < 0.01$. (D) A representative western blot shows the expression of the DDK-tagged TCF4dn and the TCF4 target genes, IgM and MYC. Tetracycline-inducible expression of either TCF4dn constructs reduced IgM and MYC expression relative to uninduced cells and doxycycline-treated EV control cells. (E) Quantification of triplicate experiments from D shows that the attenuation of IgM and MYC expression is statistically significant for both of the unique TCF4dn constructs and in both the U2932 and RIVA ABC-like DLBCL cell lines.

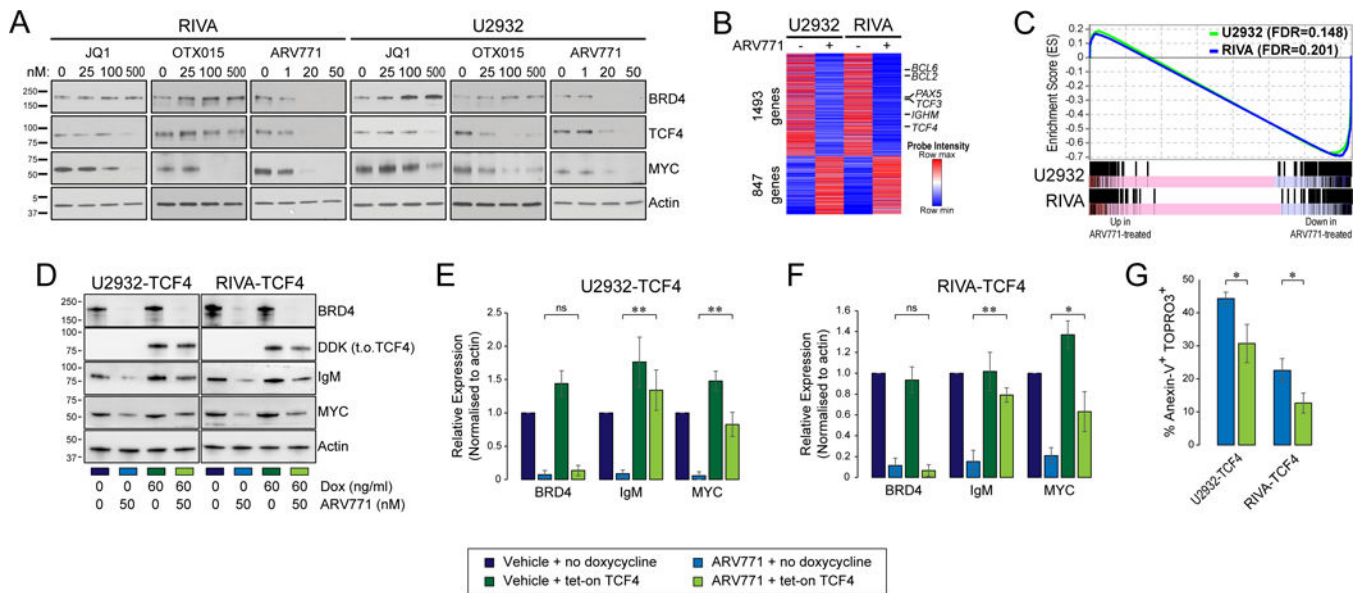


Figure 6: BET proteolysis-targeting chimeras (PROTAC) effectively inhibit TCF4.

(A) The treatment of ABC-like DLBCL cell lines with high *TCF4* DNA copy number using small molecule BET inhibitors, JQ1 and OTX015, leads to an accumulation of BRD4 but can reduce the BRD4-targets TCF4 and MYC. The BET PROTAC, ARV771, effectively degrades BRD4 and leads to a more potent reduction of TCF4 and MYC at 10-fold lower doses than the small molecule inhibitors. (B) The treatment of U2932 and RIVA cell lines with 50nM of ARV771 for 24h led to broad changes in transcript levels. This included the down-regulation of known BRD4 target genes, *BCL6* and *PAX5*, as well as the down-regulation of *TCF4* and its target gene, *IGHM*. (C) Gene set enrichment analyses are overlaid for U2932 (green) and RIVA (blue) for the set of genes that were more highly expressed in primary ABC-like tumors with *TCF4* DNA copy number gain compared to those tumors without DNA copy number gain, as shown in Figure 3A. Treatment with ARV771 led to a significant and coordinate down-regulation of this TCF4-associated signature in both the U2932 and RIVA cell lines. (D) The TCF4 target genes IgM and MYC are reduced by ARV771 treatment, but can be partially rescued by enforced expression of DDK-tagged tetracycline-inducible TCF4 (t.o.TCF4). As expected, the expression of BRD4 was not rescued. (E-F) Quantification of triplicate experiments for U2932 (E) and RIVA (F) shows that IgM and MYC expression are significantly rescued by enforced expression of *TCF4*. Each bar represents the mean \pm S.E.M. of 3 independent experiments, compared with a Student's T-test. * $p < 0.05$, ** $p < 0.01$. (G) The rescue of TCF4 expression ameliorated, but did not eliminate, the apoptosis induced by ARV771 treatment, as shown by the percentage of Annexin-V⁺ TOPRO-3⁺ cells with or without TCF4 rescue by doxycycline-induced expression. Bars represented the mean \pm S.E.M., compared using a Student's T-test. * $p < 0.05$.

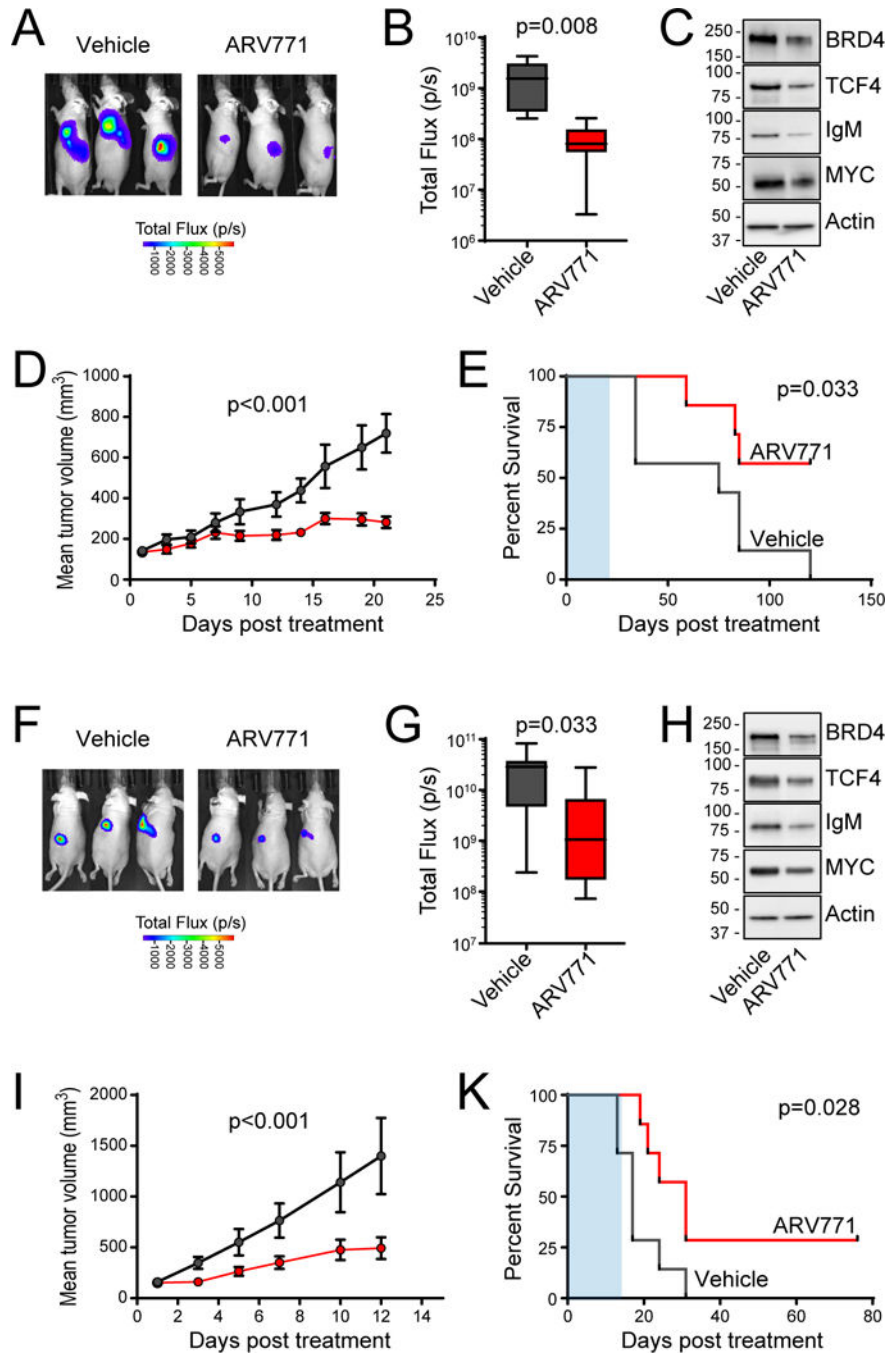


Figure 7: *In vivo* activity of ARV771 in ABC-like DLBCL.

(A-E) Murine xenografts of the U2932 cell line were allowed to become established and then treated with 30mg/kg of ARV771 daily x 5 days per week for 3 weeks. At the end of treatment the luminescence was significantly lower in ARV771-treated mice compared to vehicle control (A, B) and a representative tumor shows on-target reduction of BRD4, TCF4, IgM and MYC expression (C). Treatment significantly inhibited tumor growth (D) and led to significantly prolonged survival in ARV771-treated mice (E) despite the short duration of treatment (E, shaded blue). (F-K) Murine xenografts of the RIVA cell line were allowed to

become established and then treated with 30mg/kg of ARV771 daily x 5 days per week for 2 weeks. At the end of treatment the luminescence was significantly lower in ARV771-treated mice compared to vehicle control (F, G) and a representative tumor shows on-target reduction of BRD4, TCF4, IgM and MYC expression (H). Treatment significantly inhibited tumor growth (I) and led to significantly prolonged survival in ARV771-treated mice (K) despite the short duration of treatment (K, shaded blue).

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