

Early Striatal Dendrite Deficits followed by Neuron Loss with Advanced Age in the Absence of Anterograde Cortical Brain-Derived Neurotrophic Factor

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Brain-derived neurotrophic factor (BDNF), a member of the neurotrophin family, modulates neuronal survival, differentiation, and synaptic function. Reduced BDNF expression in the cortex caused by mutation of the *huntingtin* gene has been suggested to play a role in the striatal degeneration observed in Huntington's disease. BDNF expression rises dramatically in the cortex during the first few weeks of postnatal life in mice. Previously, it has been impossible to study the specific long-term effects of BDNF absence on CNS structures because of the early postnatal lethality of *BDNF*^{-/-} mice. Mice harboring a floxed *BDNF* gene were bred with *Emx1*^{IR^{ES}Cre/+} mice to generate *Emx-BDNF*^{KO} mice that lack cortical BDNF but are viable. Adult *Emx-BDNF*^{KO} mice display a hindlimb clasping phenotype similar to that observed in mouse models of Huntington's disease. The striatum of postnatal *Emx-BDNF*^{KO} mice was reduced in volume compared with controls, and the most abundant neuron type of the striatum, medium spiny neurons (MSNs), had shrunken cell somas, thinner dendrites, and fewer dendritic spines at 35 d of age. Although significant striatal neuron losses were not detected at 35 or 120 d postnatal, 35% of striatal neurons were missing in *Emx-BDNF*^{KO} mice aged beyond 1 year. Thus, cortical BDNF, although not required for the generation or near-term survival of MSN, is necessary for normal striatal neuron dendrite morphology during the period when BDNF expression rises in the cortex. Furthermore, a long-term *in vivo* requirement for cortical BDNF in supporting the survival of MSNs is revealed.

Key words: BDNF; Huntington's disease; dendrite; striatum; Cre-lox; neurotrophin; mouse; mutant

Introduction

Huntington's disease (HD), an inherited neurodegenerative disorder, results from the expansion of a CAG trinucleotide repeat region in the *huntingtin* gene, which lengthens a glutamine stretch in the Huntingtin protein (Htt) (Andrew et al., 1993; Benitez et al., 1994). Mutant Htt causes the death of neurons, particularly medium spiny neurons (MSNs), which account for ~90% of striatal neurons. The demise of MSNs causes motor, cognitive, and behavioral dysfunction (Reiner et al., 1988). One possible mechanism leading to cell loss in HD is a reduction of neurotrophic factor function (Cattaneo et al., 2001).

Mammalian neurons depend on neurotrophic factors for sur-

vival, differentiation, and proper function. An important family of neurotrophic factors, the neurotrophins, includes brain-derived neurotrophic factor (BDNF), which is believed to act in many autocrine, paracrine, retrograde, and anterograde neural signaling pathways by activating the TrkB receptor tyrosine kinase (von Bartheld et al., 1996; Bibel and Barde, 2000; Huang and Reichardt, 2001). Although BDNF and TrkB coexpress within some brain regions, they do not always overlap. Notably, in the intact striatum, TrkB mRNA is present but BDNF mRNA is not, and from cortical ablations it was concluded that most striatal BDNF is anterogradely transported from the cortex (Altar et al., 1997).

Loss of BDNF through cortical ablation or gene mutation in the mouse resulted in reduced expression of neuronal markers, including parvalbumin, calbindin, and the dopamine-regulated phosphoprotein, DARPP-32, within the striatum (Jones et al., 1994; Altar et al., 1997; Ivkovic and Ehrlich, 1999). Striatal MSNs express TrkB (Merlio et al., 1992; Costantini et al., 1999) and display BDNF immunoreactivity (Ferrer et al., 2000). *In vitro* and *in vivo* studies indicate that BDNF can influence MSN survival and differentiation (Mizuno et al., 1994; Widmer and Hefti, 1994; Nakao et al., 1995; Ventimiglia et al., 1995; Alcantara et al., 1997; Ivkovic and Ehrlich, 1999); however, these experiments do not directly examine the long-term consequences of the loss of cortical BDNF on MSNs.

Chronic depletion of BDNF likely contributes to HD pathol-

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ogy. Absence of wild-type Htt results in decreased expression of BDNF within the cortex, and one important function of Htt may be activation of BDNF transcription (Zuccato et al., 2001). The majority of cortical neurons expressing BDNF also express Htt, and in a rat model of HD, reduction of Htt levels precedes reduction of BDNF levels (Fusco et al., 2003). Patients with HD have reduced BDNF transcript in the cortex and decreased BDNF protein in cortex and striatum (Ferrer et al., 2000; Zuccato et al., 2001). In addition to directly affecting MSN survival and differentiation, reduced striatal BDNF could lead to increased susceptibility of MSNs to excitotoxicity and other insults (Duan et al., 2003). To elucidate specific BDNF functions in the development of the striatum and the pathology of HD, we have analyzed the consequences of an absence of cortical BDNF for MSNs using forebrain-specific BDNF mutant mice (Gorski et al., 2003a). We report here that cortical BDNF has a relatively minor role in determining the number of MSNs early in life but plays a major role in MSN dendritic structure. Moreover, the forebrain-specific BDNF mutant mice display aspects of behavioral and anatomical abnormalities seen in mouse models of HD.

Materials and Methods

Generation of forebrain-restricted BDNF mutant mice. All animal procedures were conducted in accord with Public Health Service guidelines and with the approval of the University of Colorado Institutional Animal Care and Use Committee. *Emx1*^{IRESCre/+} induces efficient forebrain-specific, Cre-mediated loxP recombination (Gorski et al., 2002). *Emx1*^{IRESCre/+}; *BDNF*^{neo/+} mice, where *BDNF*^{neo} is a null allele that has a neomycin cassette in place of the *BDNF* coding exon (Jones et al., 1994), and *BDNF*^{lox/+} mice were bred; one-eighth of the offspring contain all three mutated alleles, i.e., *Emx1*^{IRESCre/+}; *BDNF*^{neo/lox}, and are referred to as “*Emx*-*BDNF*^{KO}.” The mice used here were backcrossed at least 6–10 generations onto the C57BL/6J strain. Both *BDNF*^{+/+} and *Emx1*^{IRESCre/+}; *BDNF*^{+/+} were considered wild type in data collection, because no abnormalities were detected in the *Emx1*^{IRESCre/+}; *BDNF*^{+/+} genotype. Heterozygous mice were *BDNF*^{neo/lox}. PCR analysis was used to determine genotype. BDNF protein was quantified using the BDNF Emax™ ImmunoAssay System (Promega, Madison, WI). Tissue was dissected and weighed. Protein was extracted and quantified as per manufacturer's protocol, except for a change in lysis buffer (to 50 mM Tris-HCl, 0.6 M NaCl, 0.2% Triton X-100, 1% BSA, 0.1 M benzothion chloride, 1 mM benzimidazole, 0.1 mM PMSF, pH 7.4). All samples from an individual animal were run in triplicate, and resulting quantities were averaged.

Immunocytochemistry and X-gal stain. Postnatal mice below the age of 8 d were anesthetized by hypothermia on wet ice. Older mice were heavily sedated by intraperitoneal injection of 2.5% avertin (20 μ l/gm) in PBS, pH 7.4 (100% avertin is 10 gm tribromoethanol, 10 ml tert-amyl alcohol) and then treated in the same manner as described previously (Vigers et al., 2000). Primary antibodies included mouse monoclonal anti-NeuN (neuronal-specific nuclear protein) (1:1000; Chemicon, Temecula, CA) and a rabbit-anti ChAT antibody (Ab) (1:1000; Chemicon). The secondary antibodies used were biotinylated goat anti-rabbit or biotinylated goat anti-mouse Ab (Jackson ImmunoResearch Laboratories, West Grove, PA) diluted 1:250 in blocking solution. Visualization was by diaminobenzidine reaction as described in Vigers et al. (2000). Slides were counterstained, when appropriate, with cresyl violet. β -gal expression in CNS tissues was visualized histochemically by staining with X-gal as described previously (Vigers et al., 2000).

Behavioral analysis. Wild-type, heterozygous, and *Emx*-*BDNF*^{KO} mice at various ages were analyzed for limb clasping by suspending them from their tails at least 1 foot above a surface for 1 min. A clasping event was defined by the retraction of either or both hindlimbs into the body and toward the midline. Mice were scored on a simple “yes” or “no” basis, with the investigator being blind to genotype.

Motor tests were performed with 1-, 2-, and 4-month-old mice. The rotarod apparatus (San Diego Instruments, San Diego, CA) was used in

an acceleration mode gradually increasing from 4 to 40 rpm over the course of 5 min. Mice were placed on the apparatus, and rotation was initiated. Latency to fall was recorded automatically. Mice that were still on the wheel at 5 min were considered complete responders. They were removed from the wheel, and their time was noted as 300 sec. Trials were given ~1 hr apart, with three trials per day for 3 d. All trials were undertaken in the morning before noon. The three trials for any given mouse were averaged for that day to give the mouse a single time for that day. Experiments were run with the investigator blind to genotype.

Volumetric and morphometric analyses. Brains from the various ages were sectioned sagittally at 100 μ m thickness to facilitate measurements. Strict morphological criteria were used consistently in all mice to determine the boundaries of these brain regions. Briefly, the superior boundary of the striatum was defined by the corpus callosum, the lateral boundary by the external capsule, and the medial boundary by the lateral ventricle and the corpus callosum. The ventral boundary of the striatum was delineated by the anterior commissure, excluding the nucleus accumbens. For the cortex, the primary ventral boundary was the corpus callosum. A line connecting the rhinal fissure to the corpus callosum was used in more medial sections to define the anterior ventral portion of the neocortex. Entorhinal cortex was also included in cortical volume measurements. Hippocampal outlines encompassed the dentate gyrus, the CA1–3 fields of Ammon's horn, and the subiculum, but not the presubiculum or fimbria hippocampus. Starting with one of the four most lateral sections, selected on a random basis across brains, every fourth section through the lateromedial extent of a hemisphere of the brain was analyzed. Both hemispheres of a given brain were analyzed separately; using this sampling strategy, ~20 histological sections per brain were analyzed. All volumetric quantifications were performed with a Nikon Eclipse E600 with a 4 \times /0.20 objective, a motorized Biopoint XYZ axis computer-controlled stage (Ludl Electronics, Hawthorne, NY), a CCD MTI video camera (Dage-MTI, Michigan City, IN), and StereoInvestigator, a morphometry and stereology software package (v4.37, MicroBrightField, Colchester, VT). When calculating the volume of neocortex, hippocampus, and neostriatum, the boundaries were defined and the volumes were determined with the StereoInvestigator software according to the Cavalieri principle (Regeur and Pakkenberg, 1989). The Gundersen estimated coefficient of error ranged from 0.03 to 0.05. All morphometric quantification was conducted with the investigator blind to genotype.

Cell counting. The total number of neurons in each striatum was estimated using a fractionator sampling design (Gundersen et al., 1988; West et al., 1991). Serial coronal sections (40 μ m) were obtained from postnatal day (P) 35, ~P120, and 1- to 1.5-year-old mutant and control mice using a cryostat (Leica, Bannockburn, IL), and anti-NeuN immunocytochemistry was performed to identify neurons (Mullen et al., 1992). Counterstaining with cresyl violet allowed delineation of the area of the striatum in each section, defined by the boundaries of the lateral ventricle and outer edge of the globus pallidus medially, the external capsule laterally, and the anterior commissure ventrally. In each of the sections sampled, striatal neurons were counted, using NeuN-positive nuclei as the counting unit according to optical disector rules (Gundersen et al., 1988). Labeled profiles were counted only if the first recognizable profile of the cell came into focus within the counting frame (West et al., 1991). NeuN-positive cells were counted on every 12th coronal section, extending from the most rostral to the most caudal parts of the striatum. Counts were made at regular predetermined intervals ($x = 550 \mu$ m; $y = 550 \mu$ m) within an unbiased counting frame of known area (30 \times 30 μ m = 900 μ m²) that was superimposed on the image of the tissue sections viewed under a 60 \times , numerical aperture 1.40 oil-immersion objective with microscope, camera, and stage the same as for the volumetric analysis. The frame was positioned randomly by StereoInvestigator software (MicroBrightField), leading to a systematic sample of the area occupied by the striatum; 20 μ m was defined for the z-dimension of the counting brick with a 2 μ m guard on either side. Because of the limited availability, cost, and difficulty in obtaining older mice, 1- to 1.5-year-old brains were cut at the midline, and only one hemisphere per mouse was used for the purposes of cell counts.

DiOlistics and analysis of cell morphology. Gene gun cartridges were prepared, and 200 μ m coronal brain slices were blasted with DiI- and

DiO-coated tungsten beads as described (Gorski et al., 2003a). Images taken at 0.5 μm intervals at 1000 \times magnification were obtained on a Zeiss Axioplan deconvolution microscope (Zeiss, Oberkochen, Germany) equipped with a Hamamatsu C4742–95 digital camera (Hamamatsu, Bridgewater, NJ). Adjacent images were deconvolved to nearest neighbors and analyzed using the Open Lab software suite (Improvision, Coventry, UK). Quantification was performed on digitally magnified images (2 \times).

Cell soma cross-sectional areas were measured as described previously (Gorski et al., 2003a). Stereotypical MSNs were chosen as cells with a roundish soma and multiple dendrites with numerous spines. Measurements were taken in the image plane where both the edge of the nucleus and plasma membrane were in sharp focus (three mice per genotype; 12 cells per mouse). Dendrite branch diameter was measured 5–10 μm from the soma for primary dendrites, or from the previous branch point for higher-order dendrites, and where the edges of the dendrite were in focus. Dendritic spines of striatal medium spiny neurons were defined as protuberances extending 0.5–5 μm from the dendrite (where measurements were from base to tip of protrusion) for the purposes of length and density measurements. Only well defined spines extending parallel to the plane of the captured image were measured for length. Spine density was defined as the number of spines along the length of the 1 $^{\circ}$, 2 $^{\circ}$, 3 $^{\circ}$, 4 $^{\circ}$, and 5 $^{\circ}$ dendrite segments divided by the length of that dendrite segment. Calculations were limited to spines found to be at least 20 μm away from the origin of the 1 $^{\circ}$ dendrite and only for dendritic segment lengths of ≥ 10 μm . Spine density measurements for a given genotype were binned into 0.5 μm groups to calculate the distribution of spine densities. Statistical analyses were done using the Prism (version 2.0; Graph-Pad, San Diego, CA) software one-way ANOVA test with *p* values reported as determined by the Newman–Keuls *post hoc* test. A total of three mice per genotype and 10–20 cells per animal were analyzed for dendritic thickness, spine length, and density; 2708 spines were quantified for wild type, 3454 for heterozygous, and 2013 for mutant.

Results

BDNF expression is not apparent in the striatum

To study the developmental timing of possible sources of BDNF relevant to the mouse striatum, we used a *BDNF^{lacZ/+}* mouse line in which *lacZ* is targeted to the BDNF gene (Bennett et al., 1999). No traces of blue staining were found in the striatum at any age examined, including embryonic day (E) 15.5, E17.5, and birth (see supplemental Fig. 1, available at www.jneurosci.org) or P4, P8, P15, and P35 (Fig. 1). BDNF expression, as visualized by X-gal staining, was limited to a small number of cells in the hippocampus at E15.5. By E17.5, there is expression in the piriform cortex, hippocampus, thalamus, hypothalamus, and amygdaloid nucleus, but only rare stained cells are seen in neocortex and none in striatum. This was much the same for P0 (see supplemental Fig. 1, available at www.jneurosci.org). Neocortical expression was apparent in the deep layers V–VI of the neocortex as early as

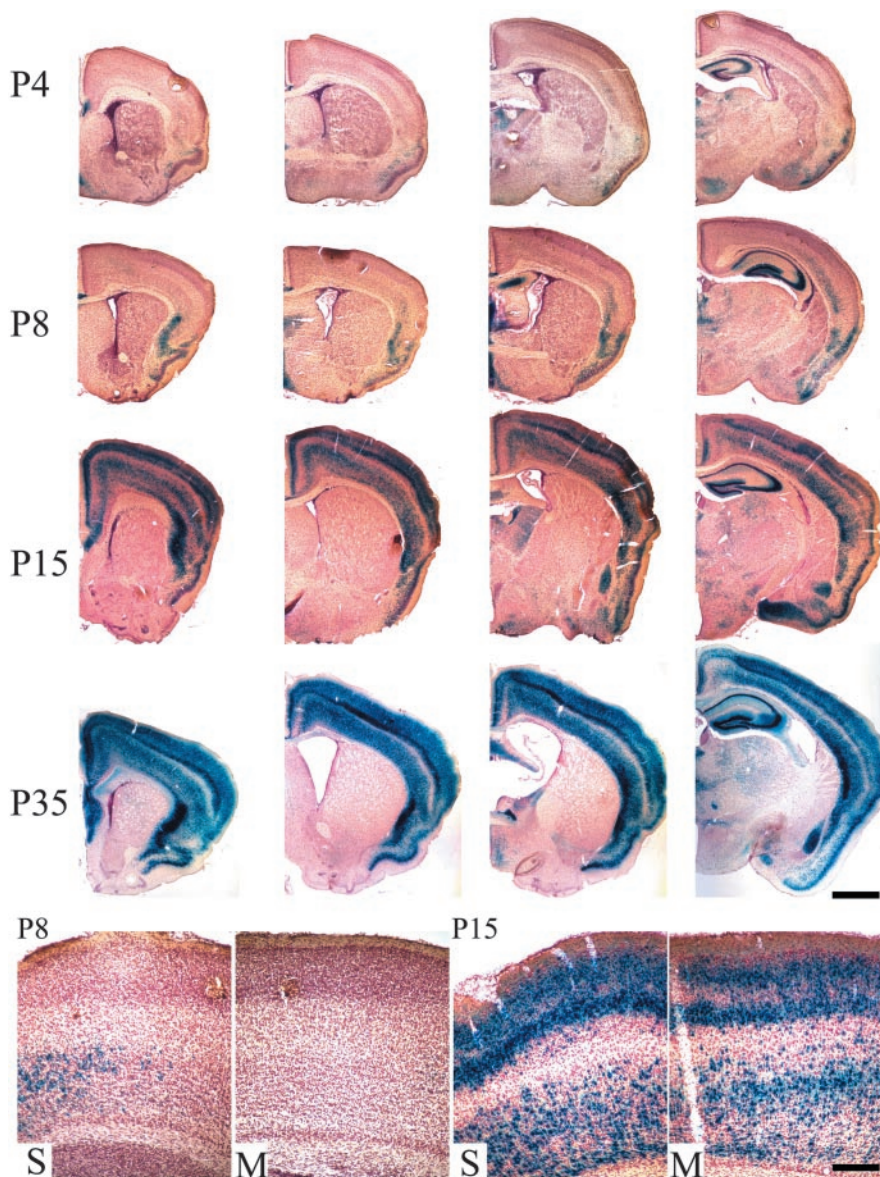


Figure 1. The striatum lacks apparent BDNF expression. *BDNF^{lacZ/+}* mice demonstrate substantial expression of the BDNF gene within the cortex with undetectable levels within the striatum. Shown are images (20 \times) of 40 μm coronal sections in anterior to posterior series from P4, P8, P15, and P35 mice stained with X-gal and counterstained with Neutral Red. Scale bar, 1 mm. At the bottom, 100 \times images of somatosensory (S) and motor (M) cortices of P8 and P15 mice. Scale bar, 200 μm .

P4 and became increasingly prevalent with age (Fig. 1). Expression is initially seen rostrally and then rises in a rostral to caudal manner with increasing age, mirroring developmental maturation of the cortex. At P8 there are scattered X-gal-positive cells in somatosensory cortex that become more numerous and cover a wider area caudally. By P15, there is extensive staining in both somatosensory and motor cortices and in all cortical layers, although fewer cells in layer V. Starting at \sim P2, some layer V cortical axon collaterals form connections with striatal neurons (Nisenbaum et al., 1998; Sheth et al., 1998) and thus could provide BDNF to the striatum beginning with the onset of cortical BDNF expression at \sim P4.

Most striatal BDNF is derived from the cortex

On the basis of lesion experiments, cortical neurons are considered to be the primary source of BDNF for the striatum (Altar et al., 1997). *Emx-BDNF^{KO}* mice have near-complete elimination of cortical BDNF protein because of Cre-mediated recombination

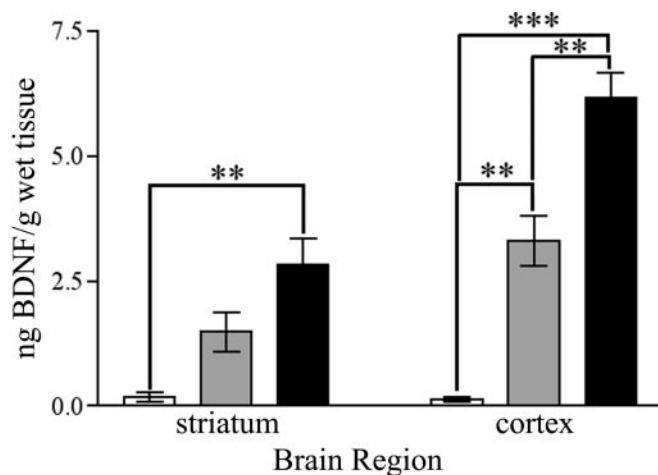


Figure 2. Quantification of BDNF protein in cortex and striatum at P35. BDNF protein was quantified by ELISA for striatum and dorsal cortex and expressed as nanograms of BDNF protein per gram of wet tissue. These extracts were obtained from P35 mice; $n = 3$ mice per genotype ($*p < 0.05$; $**p < 0.01$; $***p < 0.001$; one-way ANOVA with a Tukey *post hoc* test). White bars are *Emx-BDNF^{KO}*, gray bars are heterozygotes, and black bars are wild type.

by \sim E10.5 (Gorski et al., 2003a). ELISA analysis demonstrated a \sim 95% reduction in BDNF protein concentration in the striatum of P35 *Emx-BDNF^{KO}* mice compared with wild-type littermates (Fig. 2) ($p < 0.01$; $n = 3$ mice per genotype). In addition to the cortex, the thalamus and midbrain–hindbrain (specifically substantia nigra) produce BDNF (Hofer et al., 1990; Seroogy et al., 1994) and send projections to the striatum. Little recombination of the *BDNF^{lox}* allele occurs in the thalamus and midbrain–hindbrain of *Emx-BDNF^{KO}* mice, however, and *Emx-BDNF^{KO}* mice retain near-heterozygous levels of BDNF protein in these structures (Gorski et al., 2003a). Because striatal cells do not express detectable levels of BDNF mRNA in the intact animal (Altar et al., 1997), and there is also little recombination of the *BDNF^{lox}* allele in the striatum of *Emx-BDNF^{KO}* mice, we also conclude that the primary source of striatal BDNF protein is from the cortex. The small amount of residual BDNF in the striatum of *Emx-BDNF^{KO}* mice is likely caused by anterograde delivery from subcortical striatal afferents, such as those from the substantia nigra.

Emx-BDNF^{KO} mice display phenotypic behavior indicative of motor dysfunction

Hindlimb and forelimb claspings have been observed in transgenic lines in which there is motor dysfunction or degeneration, including HD mouse models expressing mutant huntingtin, *Hoxb8* knock-outs, and *staggerer* mutants in the *RORalpha* gene (Lalonde, 1987; Hamilton et al., 1996; van den Akker et al., 1999; Auerbach et al., 2001; Guidetti et al., 2001; van Dellen et al., 2001). Given the proposed role of Htt as an activator of cortical BDNF transcription (Zuccato et al., 2001), we tested the response of *Emx-BDNF^{KO}* mice to tail suspension at varying ages (1–12 months). Wild-type and heterozygous mice often splay their legs out when suspended, and never more than half would exhibit claspings at any age studied (Fig. 3A). A majority of *Emx-BDNF^{KO}* mice clasped at all ages during tail suspension, and all did at \sim P120 and older. As *Emx-BDNF^{KO}* mice age, the claspings behavior progresses from just involving the hindlimbs to the forelimbs as well. It is interesting to note that when these mice were tested for motor function on the accelerating rotarod at three different ages (1, 2, and 4 months), they displayed no severe abnormality (Fig. 3B–D). In fact, at 1 month, *Emx-BDNF^{KO}* mice were signif-

icantly better at rotarod than wild-type and heterozygous littermates, perhaps surprising in light of the anatomical studies described below.

Emx-BDNF^{KO} mice have reduced CNS volumes

To generally assess the morphological effects that *Emx1^{IRESCre}*-mediated loss of BDNF has on the mouse CNS, the volumes of hippocampus, neocortex, and striatum of the mice were measured. All three regions express TrkB mRNA and protein, and cells in these regions are known to respond to BDNF. Three ages (P14, P35, and \sim P120) were examined to determine how the absence of BDNF affects these regions over time. At P14, a statistically significant 12% reduction in hippocampal volumes was detected in *Emx-BDNF^{KO}* compared with wild-type controls. At P35, the hippocampal volumes of *Emx-BDNF^{KO}* mice were 10% less than wild-type volumes. By \sim P120, average hippocampal volumes were within \sim 3% of wild-type values (Fig. 4A). Neither difference (at P35 and \sim P120) was statistically significant.

In contrast to the hippocampus, the cortical volume of *Emx-BDNF^{KO}* mice was smaller than controls at all ages examined (Fig. 4B). At P14, a statistically significant 16% reduction was observed between *Emx-BDNF^{KO}* mice and wild-type controls. The magnitude of the reduction in cortical volume increased at later ages. At P35, the cortex of *Emx-BDNF^{KO}* mice was \sim 25% smaller than wild type, whereas at \sim P120, mutants were 30% smaller than the cortical volume of wild types. Cortical volumes of heterozygous mice were only a few percent lower than wild-type volumes, and this difference was statistically significant only at P14.

Striatal volume was significantly reduced in *Emx-BDNF^{KO}* mice compared with wild type at all ages analyzed (Fig. 4C). At P14, the striatal volume of *Emx-BDNF^{KO}* mice was 15% less than wild-type littermates. Similar to observations made in the cortex, the difference in striatal volumes between mutant and wild-type mice widened at P35 (33% reduction). This difference persisted to \sim P120, suggesting that the striatal phenotype of *Emx-BDNF^{KO}* did not worsen with advancing age from P35 onward.

In summary, the loss of brain volume associated with an absence of cortical BDNF was partially region specific. Hippocampi of mutant mice were reduced only in early postnatal development, whereas initial reductions in cortex and striatum became larger with age.

Loss of striatal neuron number in old but not young adult *Emx-BDNF^{KO}* mice

To determine whether the decrease in striatal volume was caused by cell loss or a reduction in cell size, NeuN-positive neurons in the striatum were counted using an optical fractionator probe. Total neuronal numbers within the striatum of *Emx-BDNF^{KO}* mice were estimated to be 14.2% lower than that of wild-type mice at P35 but only 6% lower at \sim P120. Neither difference proved to be significant (Fig. 5B) ($p = 0.26$, $n = 3$ mice/genotype for P35; $p = 0.48$, $n = 5$ for wild type and mutants and $n = 6$ for heterozygotes for \sim P120). It is not until much later ages (1–1.5 years) that a significant reduction in NeuN-positive cells of 35% is seen between *Emx-BDNF^{KO}* mice and wild-type controls (Fig. 5B) ($p < 0.05$; $n = 4$ for wild type and mutant and $n = 5$ for heterozygotes).

Similar results at \sim P120 were obtained for specific counts of cholinergic large aspiny neurons in the neostriatum. A slight decrease of \sim 7–10% in the number of ChAT cells in *Emx-BDNF^{KO}* and heterozygous mice compared with wild-type controls was not statistically significant (Fig. 5C) ($p = 0.86$; $n = 3$ mice per genotype).

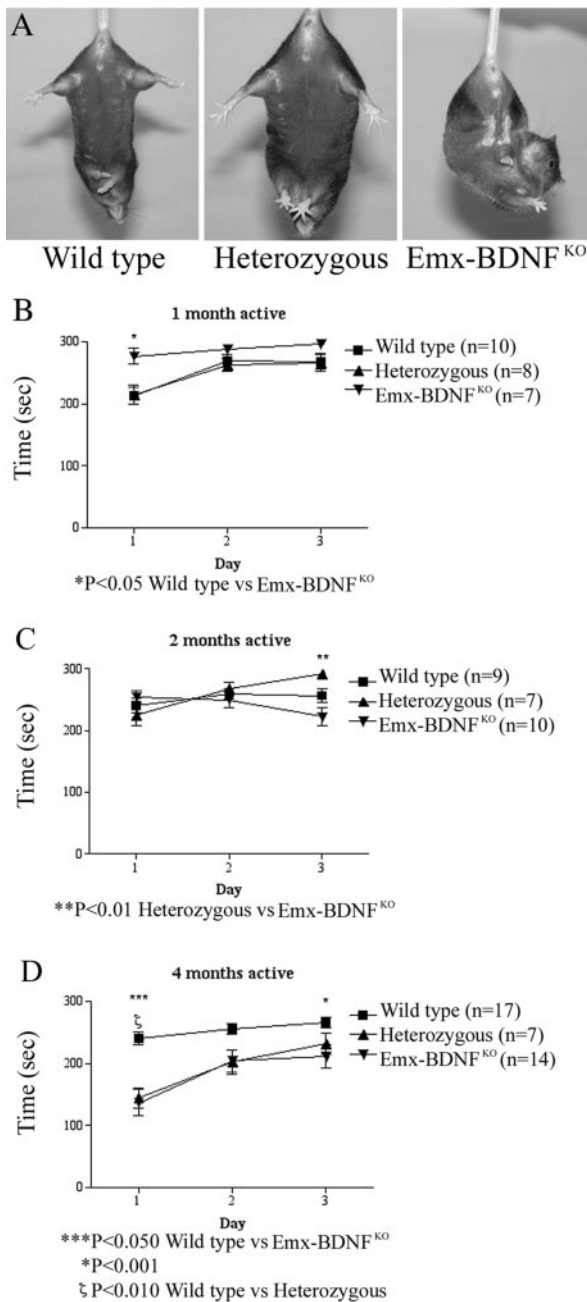


Figure 3. *Emx-BDNF*^{KO} mice exhibit a foot-clasping phenotype. *Emx-BDNF*^{KO}, heterozygous, and wild-type mice of ages 1, 2, and 4 months were suspended by their tails for 1 min. Clasping was defined as the balling up of one or both of the hindlimb paws, accompanied by pulling them into the body and movement of the limbs toward the midline. *A*, Four-month-old wild-type, heterozygous, and *Emx-BDNF*^{KO} mice undergoing tail suspension. *B*, Rotarod performance of 1-month-old mice (wild type, $n = 10$; heterozygotes, $n = 8$; *Emx-BDNF*^{KO}, $n = 7$; $*p < 0.05$ for *Emx-BDNF*^{KO} vs wild type). *C*, Two-month-old mice (wild type, $n = 9$; heterozygotes, $n = 7$; *Emx-BDNF*^{KO}, $n = 10$; $**p < 0.01$ for heterozygous vs *Emx-BDNF*^{KO}). *D*, Four-month-old mice (wild type, $n = 17$; heterozygotes, $n = 7$; *Emx-BDNF*^{KO}, $n = 14$; $*p < 0.05$ wild type vs *Emx-BDNF*^{KO}, $\zeta p < 0.01$ wild type vs heterozygous; $***p < 0.001$ wild type vs *Emx-BDNF*^{KO}). Squares are wild type, triangles are heterozygotes, and circles are *Emx-BDNF*^{KO}.

MSNs are smaller with thinner dendrites

To test whether the loss of cortical BDNF alters MSN morphology, we labeled neurons using DiOlistics. The cross-sectional area of MSN somas from P35 *Emx-BDNF*^{KO} mice was reduced by 25% when compared with wild-type and heterozygous controls (Fig. 6A). There was no significant difference between animals of dif-

ferent genotypes in terms of the number of 1° dendrites (Fig. 6C). The average number of primary dendrites was 7 (range 4–11). The diameter of all portions of the dendritic tree, determined by analyzing different dendritic orders, was reduced in *Emx-BDNF*^{KO} mice as compared with wild-type and heterozygous controls, by ~40 and ~30%, respectively (Fig. 6B) ($p < 0.001$ for all orders). The dendritic thickness of heterozygous mice was reduced by ~10% compared with wild type.

Number and length of MSN dendritic spines reduced in *Emx-BDNF*^{KO} mice

Most of the corticostriatal connections are excitatory and occur on dendritic spines. Spine number and morphology are good indicators of the status of corticostriatal synaptic connections (Kemp and Powell, 1971a,b; Dube et al., 1988). Because BDNF can be anterogradely transported by cortical neurons and released at synapses and its TrkB receptors are found in postsynaptic densities (von Bartheld et al., 1996; Wu et al., 1996; Aoki et al., 2000; Kohara et al., 2003), BDNF is poised to influence corticostriatal connectivity. If cortical BDNF supplied anterogradely is indeed important for establishing or maintaining synapses with MSNs or altering their strength, one might expect that loss of BDNF would result in altered dendritic spine numbers or morphology. To determine the effects of cortical BDNF on MSN dendritic spines, we analyzed spine density and length in P35 mice. Representative images of dendrites of the same order from P35 mice suggested a significant reduction in spine density in *Emx-BDNF*^{KO} mice compared with controls (Fig. 7A).

Quantification revealed a 30–40% reduction in spine density between *Emx-BDNF*^{KO} MSN dendrites compared with heterozygous and wild-type control MSNs (Fig. 7B). The decrease in spine density was seen on every order of dendrite. Although heterozygous mice had slightly lower densities compared with wild-type mice, the difference was not significant in any of the dendrite orders measured. Spine length in both heterozygous and *Emx-BDNF*^{KO} MSN was ~10% shorter than in wild type ($p < 0.03$ for 1°; $p < 0.001$ for 2°, 3°, 4°; $p = 0.07$ for 5°; $n = 3$ mice per genotype). Spine lengths from different order dendrites were not statistically different when compared within a genotype.

Discussion

Our results demonstrate the absence of detectable striatal X-gal signal in *BDNF*^{LacZ/+} reporter mice and the near complete loss of BDNF in the striatum of *Emx-BDNF*^{KO} mice. From these data we conclude that BDNF in the striatum originates primarily from the cortex via anterograde transport, as suggested previously (Altar et al., 1997). Loss of cortical BDNF early in development results in a decrease in cortical and striatal volumes (Fig. 4). Not all CNS structures are so grossly affected in the absence of BDNF. The hippocampus of *Emx-BDNF*^{KO} mice as compared with wild-type mice at P14 showed only a transient and minor reduction. In seeking an explanation for the relative subtlety of these affects, we discovered an increase of ~2× of NT-3 protein in several brain regions of *Emx-BDNF*^{KO} mice (Gorski et al., 2003a). Because of the relatively high NT-3 expression levels present in the hippocampus (Friedman et al., 1991; Vigers et al., 2000), NT-3 might compensate for the reduction of BDNF in the hippocampus. Alternatively, the hippocampus could receive BDNF from another source, via either anterograde or retrograde transport. The amygdala, thalamus, hypothalamus, and ventral tegmental area all send and receive projections from the hippocampus, and each expresses BDNF in the mouse (Hofer et al., 1990).

The piriform cortex of *BDNF*^{LacZ/+} mice is the first cortical

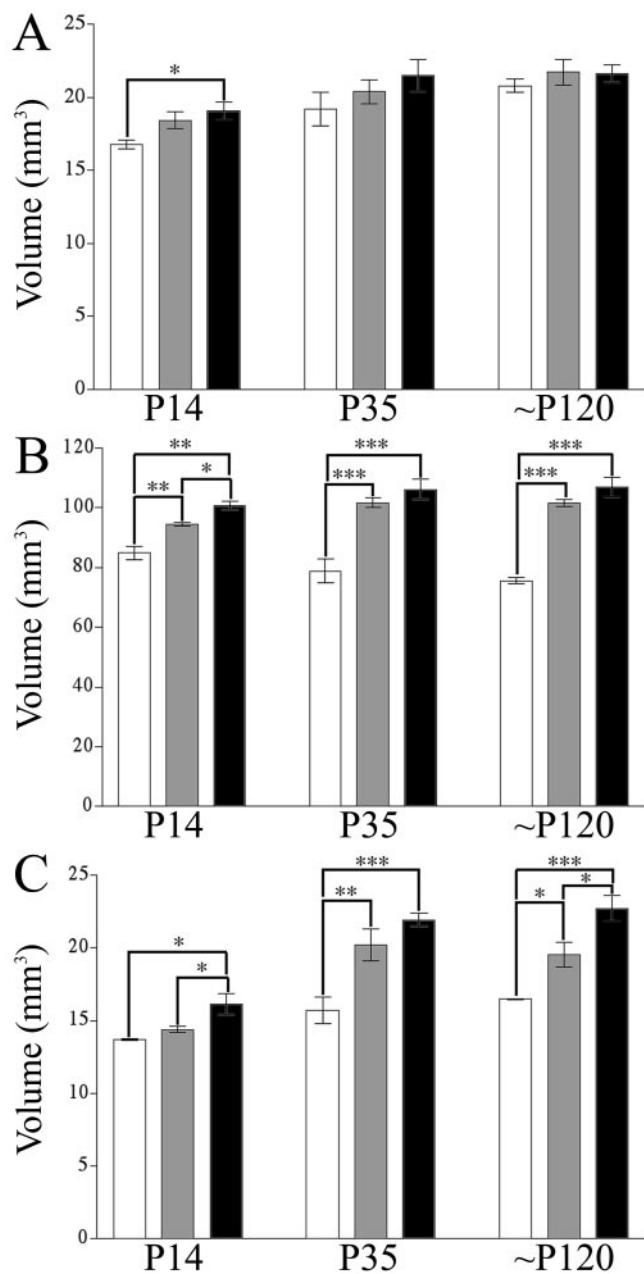


Figure 4. CNS volume reduction in *Emx-BDNF*^{KO} mice at P14, P35, and ~P120. Specific CNS structures are reduced in volume in the absence of BDNF as assessed by the Cavalieri estimator. *A*, Hippocampus. *B*, Neocortex. *C*, Striatum (**p* < 0.05; ***p* < 0.01; ****p* < 0.001; one-way ANOVA with a Tukey *post hoc* test). White bars are *Emx-BDNF*^{KO}, gray bars are heterozygotes, and black bars are wild type.

region to begin expressing BDNF at E17.5, as visualized by X-gal stain, which then increases in a lateral to medial and rostral to caudal manner with age. By P15, when decreases in striatal and cortical volume appear in *Emx-BDNF*^{KO} mice, X-gal stain has been apparent in all layers of the cortex in rostral regions (Fig. 1). Because corticostriatal axons undergo significant arborization of collaterals within the striatum by P7 (Sheth et al., 1998), cortical BDNF could be influencing cells in both the cortex and (by anterograde transport) the striatum. The reduction in BDNF expression found in both *Emx-BDNF*^{KO} and heterozygous mice might cause the lack of difference between these genotypes in striatal volume seen at P14. By P35 and ~P120, striatums of *Emx-BDNF*^{KO} mice exhibit smaller volumes than both heterozy-

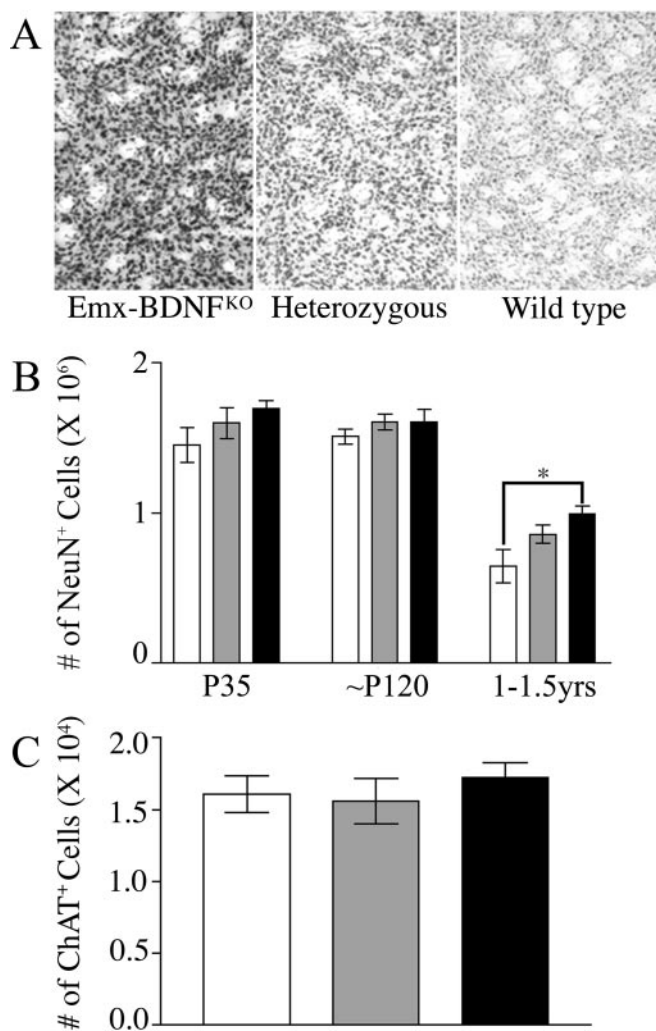


Figure 5. Loss of striatal neurons in old but not young adult *Emx-BDNF*^{KO} mice. *A*, Representative images of striatum from all three genotypes immunostained for NeuN and counterstained with cresyl violet (40 μ m cryostat sections at 100 \times magnification). *B*, Optical fractionator estimate of the number of NeuN-positive cells in the striatum of P35, ~P120, and 1- to 1.5-year-old mice. *C*, Estimate of the number of ChAT-positive cells in the striatum of ~P120 mice. White bars are *Emx-BDNF*^{KO}, gray bars are heterozygotes, and black bars are wild type.

gous and wild-type mice. Notably, although the reduction seen in the cortex of BDNF^{neo/lox} heterozygous mice relative to wild type at P14 ceases to be significant at P35 and ~P120, the striatum of heterozygotes remains smaller than wild type at ~P120. Thus, we hypothesize that the striatal sensitivity to BDNF levels may exceed that of the cortex. This could contribute to the preferential degeneration of the striatum in HD patients.

The lack of a significant loss of striatal neurons in *Emx-BDNF*^{KO} mice at younger ages indicates that cortical BDNF does not play a strong proliferative-survival role for striatal MSNs up to ~P120. Similarly, Gorski et al. (2003a) did not detect cortical neuron losses in *Emx-BDNF*^{KO} mice at P35; however, examination of 1- to 1.5-year-old mice (Fig. 5*B*) does indicate a significant decrease in the number of striatal neurons compared with wild type. This demonstrates that BDNF is required for long-term survival of striatal neurons. Whether this neuronal loss is caused by a direct BDNF trophic survival function only apparent with aging, increased susceptibility to excitotoxic injury (Martinez-Serrano and Bjorklund, 1996; Alberch et al., 2002), or some other type of insult (Perez-Navarro et al., 2000; Galvin and

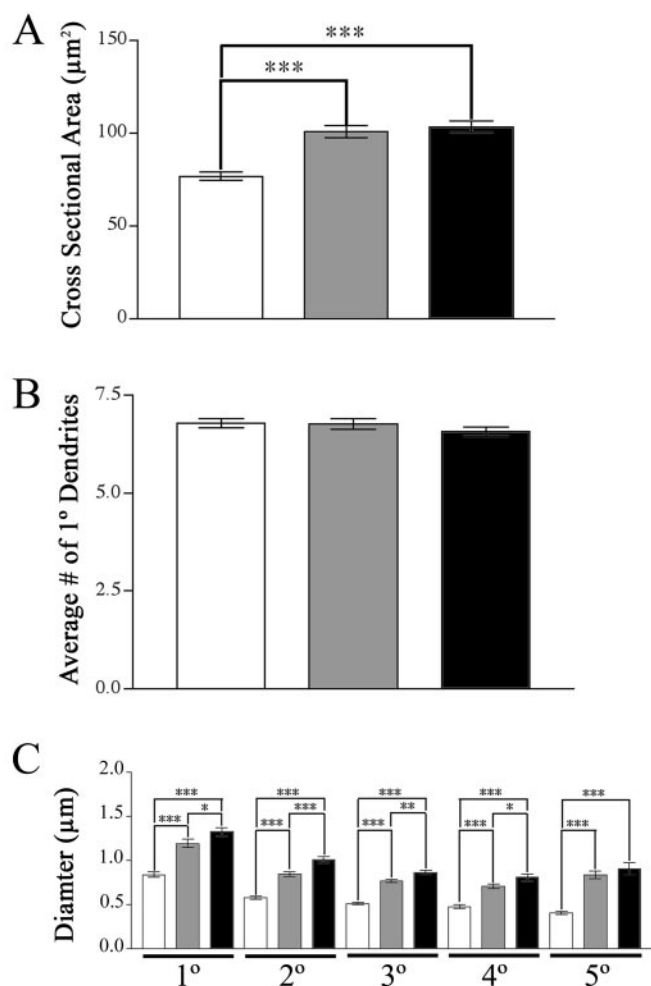


Figure 6. Reduced soma and dendrite size in *Emx-BDNF^{KO}* mice at P35. Neurons were labeled using DiOlistics and analyzed using fluorescent deconvolution microscopy. *A*, Cross-sectional areas of medium spiny neurons of the striatum (*Emx-BDNF^{KO}*, $76.66 \pm 2.14 \mu\text{m}^2$; heterozygous, $100.7 \pm 3.32 \mu\text{m}^2$; wild type, $103.3 \pm 3.08 \mu\text{m}^2$; represented as mean \pm SEM). *B*, Number of primary dendrites on MSNs from each of the genotypes. *C*, Diameters of primary through fifth-order dendrites ($*p < 0.05$; $**p < 0.01$; $***p < 0.001$; one-way ANOVA with a Tukey *post hoc* test). White bars are *Emx-BDNF^{KO}*, gray bars are heterozygotes, and black bars are wild type.

Oorschot, 2003) is unclear. These possible roles for BDNF will require future examination. Importantly, our results do not exclude BDNF from some previously described roles in striatal neuron biochemical differentiation (Mizuno et al., 1994; Ventimiglia et al., 1995; Ivkovic et al., 1997).

Analysis of the morphology of MSNs revealed significant decreases in soma area, dendrite thickness, and especially dendritic spine density. Reduced soma size and dendrite thickness indicate that the cells failed to thrive in a BDNF-poor environment, although their ability to create the proper number of primary dendrites appears unaffected. A reduction in dendrite diameter would be predicted to cause a higher resistance to current flow, and thus these MSNs could require greater synaptic stimulation to reach threshold for firing action potentials. Altered Ca^{2+} dynamics and signaling processes might also accompany spine loss (Segal and Andersen, 2000).

Dendritic spines on MSNs likely indicate asymmetric synapses with cortical afferents; thus the ~30–40% spine loss in *Emx-BDNF^{KO}* mice suggests reduced connectivity between cortex and striatum.

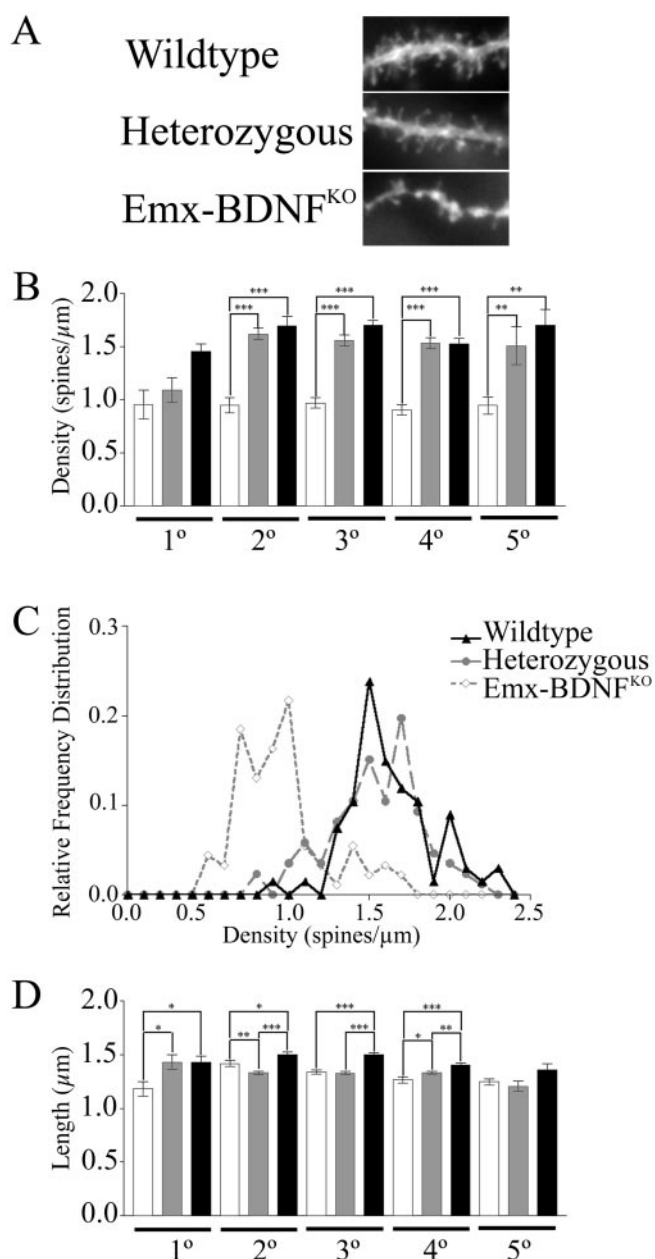


Figure 7. Reduced MSN spine density and length in *Emx-BDNF^{KO}* mice. *A*, Representative images of Dil-labeled dendrite segments from the various genotypes at P35. *B*, Spine density per unit length of dendrite for different orders of dendrites. *C*, Relative frequency distributions of spine densities for each of the genotypes for pooled dendritic orders. *D*, Spine lengths for multiple orders of dendrites (*Emx-BDNF^{KO}* as percentage of wild type: 1°, 90.1%, $p < 0.05$; 2°, 83.6%, $p < 0.001$; 3°, 89.1%, $p < 0.01$; 4°, 87.4%, $p < 0.05$; 5°, 92.3%, $p > 0.05$; $*p < 0.05$; $**p < 0.01$; $***p < 0.001$; one-way ANOVA with a Tukey *post hoc* test). White bars are *Emx-BDNF^{KO}*, gray bars are heterozygotes, and black bars are wild type.

atum could result in MSNs failing to receive cortically derived maturation signals and factors other than BDNF. A negative feedback loop could arise in which the loss of BDNF causes morphological changes in MSNs, such as cell shrinkage and loss of spines, which in turn further cripples the ability of MSNs to support cortical afferents, leading to additional changes in the MSNs. The MSN reduction in spines of *Emx-BDNF^{KO}* mice closely parallels that seen in cortical ablation experiments (Kemp and Powell, 1971b) and HD model mice (Guidetti et al., 2001). Although we examined spines only at P35, the volume of the striatum and the total number of striatal

neurons remain virtually unchanged between P35 and ~P120 (Figs. 3C, 4B), which we hypothesize to mean that no further morphological degeneration of MSNs occurs between these ages. Thus, absence of cortical BDNF reveals that almost half of MSN spines depend directly or indirectly on BDNF, whereas the others do not or have some compensatory factor(s).

Although evidence for both retrograde and anterograde transport of BDNF within the CNS exists, the results of these genetically based experiments clearly demonstrate the case for an important role for anterograde transport in regulating dendritic morphology, as also demonstrated in studies of cortical GABAergic neurons (Kohara et al., 2003). Intriguingly, the similarities between the striatal and cortical dendritic phenotypes as defined in this study and by Gorski et al. (2003a) and S.R. Zeiler, J.A. Gorski, and K.R. Jones (unpublished observations) could suggest that much of CNS BDNF function is through anterograde delivery.

The data on spine number and morphology contain two noteworthy points. First, when the distribution of spine density on individual dendrites was graphed, ~15% of dendrites in *Emx-BDNF^{KO}* mice had wild-type densities. Johnston et al. (1990) reported that ~15% of MSNs receive input from the substantia nigra pars compacta. Nigral neurons would still produce BDNF in *Emx-BDNF^{KO}* mice (Fig. 6C). This implies that some amount of BDNF may be transported anterogradely from the nigra to a subset of MSNs in the striatum, which agrees with previous studies (Friedman et al., 1993; Altar et al., 1997; Altar and DiStefano, 1998).

Second, unlike other findings from our laboratory in which loss of cortical BDNF results in greater spine length for pyramidal neurons of *Emx-BDNF^{KO}* and heterozygous mice (Zeiler et al., unpublished observations), the mean spine lengths from striatal neurons of *Emx-BDNF^{KO}* and heterozygous mice were shorter than wild type at P35. This indicates that the cortical spines in the wild types lack maturity by classical definitions of spine morphology and that the mutant spines are more mature (Nimchinsky et al., 2002). This discrepancy could be the result of hypertrophy of spines in wild-type mice caused by BDNF activity (Tyler and Pozzo-Miller, 2003). The spine phenotype in mutants resembles previous cortical lesion experiments in cats (Kemp and Powell, 1971b) in which a similar shrinkage of spines and spine heads of MSNs was observed.

Loss of BDNF in the cortex (early in development) leads to a reduction in cellular size and complexity of layer II–III cortical neurons (Gorski et al., 2003a). This reduction in gross cellular morphology in the cortex could extend to the corticostriatal afferents, primarily originating in layer V, leading to reduced axonal arborization; thus fewer synapses exist between cortical neurons and MSNs, resulting in sickly, shrunken cells. The additional absence of BDNF normally transported from the cortex to the striatum might increase the severity of the phenotype. This scenario suggests combined direct and indirect effects of the loss of cortical BDNF on MSNs; however, loss of BDNF does not completely eliminate growth and differentiation of these cells, and notably, many spines are still present, suggesting that many corticostriatal synapses remain. Regardless, we conclude that cortical BDNF plays an important role in the growth and differentiation of MSNs.

Emx-BDNF^{KO} mice exhibit clasping of hindlimbs, a stereotyped behavioral phenotype indicative of neurological dysfunction, that becomes increasingly severe as these mice age. A similar clasping phenotype has been seen in HD model mice (Carter et al., 1999; Auerbach et al., 2001; Guidetti et al., 2001). Interestingly, *Emx-BDNF^{KO}* mice do not perform poorly on rotarod

tests, whereas HD model mice exhibit both clasping phenotype and poor rotarod test performance (Carter et al., 1999; Guidetti et al., 2001). The similarities and subtle differences in behavioral phenotypes between HD model mice and *Emx-BDNF^{KO}* mice opens the possibility that a close comparison of the two model systems could be used to identify specific neurological foundations for BDNF-dependent aspects of the HD phenotype.

Similarities to HD were of interest given that one of the putative functions of wild-type Htt is to regulate BDNF expression in the cortex, and diminution of cortical BDNF could contribute to HD (Zuccato et al., 2001). Recent experiments demonstrate that *Emx-BDNF^{KO}* mice suffer from an inability to perform a horizontal–vertical discrimination task, a test for complex learning, and complex learning is also impaired in HD (Schmidtke et al., 2002; Gorski et al., 2003b). Thus, our results support a link between cortical BDNF levels and specific aspects of HD pathology attributable to failure of Htt function and subsequent decrement of BDNF (Cattaneo et al., 2001; Zuccato et al., 2001; Fusco et al., 2003). We have demonstrated here that MSNs receiving a paucity of BDNF exhibit a cellular phenotype that mirrors aspects of the HD phenotype, and therefore analysis of *Emx-BDNF^{KO}* mice should help to elucidate the potential role of BDNF in this tragic disease.

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