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## ADVANCES IN NEURODEGENERATIVE AND PSYCHIATRIC IMAGING SPECIAL FEATURE: REVIEW ARTICLE

# Neuroimaging reward, craving, learning, and cognitive control in substance use disorders: review and implications for treatment

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### ABSTRACT

Substance use disorder is a leading cause of preventable disease and mortality. Drugs of abuse cause molecular and cellular changes in specific brain regions and these neuroplastic changes are thought to play a role in the transition to uncontrolled drug use. Neuroimaging has identified neural substrates associated with problematic substance use and may offer clues to reduce its burden on the patient and society. Here, we provide a narrative review of neuroimaging studies that have examined the structures and circuits associated with reward, cues and craving, learning, and cognitive control in substance use disorders. Most studies use advanced MRI or positron emission tomography (PET). Many studies have focused on the dopamine neurons of the ventral tegmental area, and the regions where these neurons terminate, such as the striatum and prefrontal cortex. Decreases in dopamine receptors and transmission have been found in chronic users of drugs, alcohol, and nicotine. Recent studies also show evidence of differences in structure and function in substance users relative to controls in brain regions involved in salience evaluation, such as the insula and anterior cingulate cortex. Balancing between reward-related bottom-up and cognitive-control-related top-down processes is discussed in the context of neuromodulation as a potential treatment. Finally, some of the challenges for understanding substance use disorder using neuroimaging methods are discussed.

### INTRODUCTION

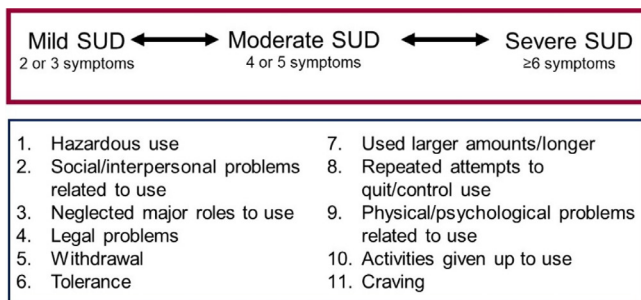
The prevalence of illicit drug use worldwide was 5.6% in 2016 and the harmful use of alcohol accounted for 5% of the global disease burden.<sup>1</sup> In the United States, by the twelfth grade 49 percent of youth have tried an illicit drug and 62 percent have tried alcohol,<sup>2</sup> but only a subgroup of individuals progress from use to an addiction, known clinically as a substance use disorder. A substance use disorder is defined as physical dependence, a diminished capacity to control one's use despite negative consequences, and a strong craving to use the substance. A diagnosis of a substance use disorder (SUD) in the Diagnostic Statistical Manual-5<sup>th</sup> edition (DSM-5) is determined by the number of symptoms, with two or three symptoms representing mild and greater than six symptoms representing severe SUD<sup>3</sup> (Figure 1).

Risks for substance use and the development of SUD include person-level factors (e.g. genetic predisposition,

socio-demographics, problems of inhibitory control, and other diagnoses, such as conduct disorder), family-level factors (e.g. older sibling use of substances, family history of addiction), school/community-level factors (e.g. neighborhood poverty and disorganization) and societal-level factors (e.g. laws, norms).<sup>3–11</sup> Substance use disorders affect 1 in 10 adults annually,<sup>12,13</sup> exert a heavy toll on patients, their families, and society,<sup>14</sup> and are common causes of preventable death.<sup>15,16</sup> Although once considered the result of a “moral failing,” in recent decades the neuroscience of addiction has clearly demonstrated a biological basis of the illness.<sup>17</sup>

Because imaging has played a significant role in this shift in perception, it is important that radiologists understand the evidence, pathophysiology, and treatment implications. Understanding the neuroanatomy of substance related behavior is necessary for future potential image-guided

Figure 1. Substance use disorder (SUD) is a continuum based on 11 symptoms (Diagnostic Statistical Manual of Mental Disorders, fifth Edition, American Psychiatric Association).<sup>3</sup>



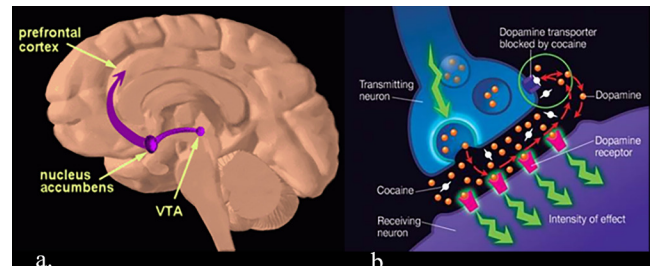
therapies. Patients and other physicians frequently look to imaging for answers about SUD and radiologists should be able to give informed guidance. Finally, radiologists should understand how imaging provides insights into mechanisms of behavior. With the clinical neuroradiologist in mind, this review seeks to answer “How do brain structure and function contribute to substance use disorder?” and “What does knowledge of the brain’s role in substance use disorder imply for future treatment?”

### PRECLINICAL STUDIES OF DRUG REWARD AND NEUROPLASTICITY

A central tenet in substance use research is that exposure to drugs is associated with neuroplasticity. These neuroplastic changes are thought to underpin behaviors that, in some people, become pathological incentives to seek and procure drugs. All drugs of abuse cause the release of dopamine into the nucleus accumbens (Nac),<sup>18,19</sup> producing a pleasurable feeling that reinforces use. The mesolimbic reward system consists of dopaminergic neurons with cell bodies in the ventral tegmental area of the midbrain and terminal projections in the ventral striatum (nucleus accumbens), prefrontal cortex, and limbic regions. Dopamine binds to post synaptic receptors and is then returned to the presynaptic axon by the dopamine transporter (DAT), terminating the signal. Cocaine and other drugs of abuse enhance dopamine signaling through several mechanisms, such as by inhibiting the dopamine transporter (Figure 2).

Over time, cues - people, places and things - become associated with the initial hedonic effects of drug induced dopamine transmission and those cues can trigger craving, anticipatory euphoria, or even symptoms of withdrawal.<sup>20</sup> Animal studies have shown that a single drug exposure can have a lasting effect. Mice that were given a single dose of cocaine showed greater sensitivity of excitatory synapses onto dopaminergic cells in the ventral tegmental area, and this sensitivity persisted for five days.<sup>21</sup> Such substance-induced plasticity likely plays a role in the development of addiction, where normal reward processing is diverted towards drug-related rewards,<sup>22</sup> leading to over valuing of drug. In rats trained to self-administer cocaine by pressing a lever, the behavior was extinguished after they stopped receiving cocaine in response to the lever-presses, but a single injection of cocaine led the rats to the lever again, a phenomenon known as sensitization.<sup>23</sup> A widely used behavioral paradigm in substance use research is condition place preference, a form of

Figure 2. (a) The mesolimbic reward pathway connects the ventral tegmental area (VTA) in the midbrain to the nucleus accumbens and prefrontal cortex. (b) Cocaine blocks the dopamine transporter (DAT) thereby preventing synaptic dopamine from being returned to the pre synaptic axon. (From the National Institute of Drug Abuse (NIDA); <https://www.drugabuse.gov/publications/teaching-packets/understanding-drug-abuse-addiction/section1/4-reward-pathway> and <https://www.drugabuse.gov/publications/research-reports/cocaine/how-does-cocaine-produce-its-effects>).



conditioning where animals learn to associate attributes of the cage with receipt of rewarding stimuli.

Sensitization and conditioning involve changes in cell signaling, gene expression, receptor density, and synaptic morphology. Cocaine and opiates, for example, have been shown to change the morphology of dendritic spine density and number (Figure 3).<sup>24,25</sup> Repeated exposure to drugs decrease frontal and striatal activity and cause a shift from ventral to dorsal striatal processing.<sup>26,27</sup> These cellular and circuit changes, in aggregate, should be detectable with imaging allowing for the possibility that imaging may be a tool for diagnosis and management.

### PET and structural MRI in substance use disorder (SUD)

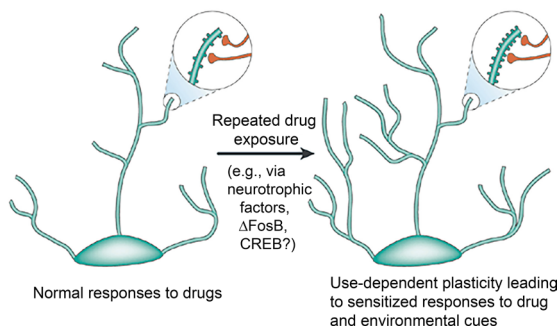
This paper is organized primarily around the behavioral phases of SUD, which will be covered in detail in section four and Table 1. Here, we review PET imaging techniques in substance use research because radiologists may be unfamiliar with these methods. Next, we provide a brief overview of findings from structural MRI studies due to the large body of evidence available. One caveat is that different drug classes are treated as a single entity, as a drug-specific review is beyond the scope of the paper. Another caveat is that an overview of DTI in SUD will not be presented.

### PET

Positron emission tomography (PET) can be used to image specific receptors and neurotransmitters and has played a critical role in our understanding of substance use disorders. The main outcome measure in PET studies is receptor availability or “binding potential” (BP), which is the ratio of specific binding to non-specific binding (specific binding refers to the radiotracer bound to the receptor of interest and non-specific binding refers to background binding).<sup>47</sup>

In substance use research, PET radiotracers have been developed to image dopamine Type 1 (D1), dopamine Type 2 (D2), opioid receptors ( $\mu$ ,  $\kappa$ , and  $\delta$ ), cannabinoid receptors (CB1 and CB2), and

Figure 3. Repeated drug exposure can alter neuronal structure through several mechanisms at the cellular level. (Reprinted from Nestler (2001) with permission from Springer Nature)<sup>24</sup>



monoamine transporters. Of these, dopamine imaging has been the most extensively studied, particularly with respect to reward driven behavior. [<sup>11</sup>C]-raclopride is a radiotracer that measures D2 receptor BP but also allows imaging of presynaptic dopamine release. By imaging before and after the administration of a psychostimulant, such as amphetamine or methylphenidate, [<sup>11</sup>C]-raclopride can be used to visualize the amount of dopamine released in the brain in response to the stimulant. Stimulants cause dopamine levels to increase in the brain resulting in a decrease in D2 receptors available to bind to the radiotracer. As a result, a greater decrease in radiotracer binding correlates with more dopamine release. Thus, a number of PET imaging studies in drug and alcohol addiction use two outcome measures: (1) BP to measure D2 receptor availability and (2) the percent decrease in radiotracer binding ( $\Delta$ BPND) which provides an estimate of endogenous dopamine release<sup>48</sup> (Figure 4).

### Structural MRI

Most readers will be familiar with voxel-based morphometry (VBM). While volume loss is well established for alcohol use disorder,<sup>49,50</sup> studies using VBM methods have also demonstrated lower gray matter volumes in individual who abuse cocaine,<sup>51</sup> amphetamine,<sup>52-54</sup> and nicotine<sup>55,56</sup> compared to healthy adults. Lower tissue volumes are most commonly reported in the anterior cingulate, medial frontal, dorsolateral prefrontal, and parietal cortices. Although a few studies have found increased subcortical gray matter volumes in stimulant dependence,<sup>57,58</sup> the overall evidence supports lower cortical gray matter volumes. A meta-analysis of VBM studies in stimulant dependence showed grey matter volume deficits in the insula, thalamus, anterior cingulate, and ventral prefrontal cortex, but did not identify any regions with increased grey matter volumes.<sup>59</sup> Differences in subcortical versus cortical changes associated with drugs remains a possible explanation, however. As the majority of these studies are cross-sectional, it remains unclear if differences in grey matter volume predispose individuals to, or result from, chronic drug exposure, but overall suggests that tissue volume is a biomarker of SUD.

### IMAGING REWARD, CUES AND CRAVING, LEARNING, AND COGNITIVE CONTROL IN SUD

A summary of the neuroimaging studies discussed in this section is found in Table 1.

### Reward

While animal studies can measure the reinforcing properties of drugs, the pleasurable “high” can only be measured in humans. One of the first studies to investigate the subjective rewarding effects of cocaine used the radiotracer [<sup>11</sup>C]-cocaine which binds to the dopamine transporter (DAT). Compared to placebo, intravenous cocaine at doses used by humans caused a decrease [<sup>11</sup>C]-cocaine binding in the striatum compatible with cocaine blockade of the DAT. Increasing the dose from 0.3 to 0.6 mg/kg cocaine further reduced the radiotracer binding and correlated with greater self-reported high indicating a dose-response.<sup>28</sup> Using fMRI, researchers found that acute administration of intravenous nicotine<sup>29</sup> and cocaine<sup>30</sup> both increased blood-oxygen-level-dependent (BOLD) signal in the striatum, amygdala and prefrontal cortex. Higher plasma nicotine levels corresponded to a larger signal increase in these regions.<sup>29</sup> There were also regionally distinct temporal profiles of the BOLD signal. Short-duration increases in the ventral tegmental area, caudate and cingulate gyrus correlated with feelings of “rush”, while sustained signal increases in the NAc correlated with greater drug craving.<sup>30</sup> Thus, PET and fMRI studies confirm that the mesolimbic system is involved in drug reward in humans.

What is the effect of chronic drug exposure on dopaminergic mesolimbic activity? PET imaging studies consistently report a decrease in striatal D2 receptor binding in SUD. This finding has been seen across many drugs, including cocaine, methamphetamine, opiate/opioid, nicotine, and alcohol use disorder. Many of these studies have also shown that addiction to drugs, alcohol, and nicotine are associated with lower dopamine release ( $\Delta$ BP) compared to control subjects<sup>60-62</sup> (Figure 4).

While the ventral striatum is clearly involved in the rewarding effects of acute drug, in chronic users the striatal response is altered in response to non-drug (*i.e.* hypothetical or real monetary) reward. A meta-analysis of 500 patients compared to 475 controls across multiple addictions found lower ventral striatal activity during the anticipation of money reward suggesting a blunted response to non-drug reward.<sup>31</sup> In cocaine use disorder, the decrease in D2 receptor availability in the dorsal striatum has been shown to correlate with decreased thalamic response to a monetary reward (measured with fMRI) while low D2 binding in the ventral striatum was associated with increased medial prefrontal response to monetary reward.<sup>32</sup> Thus, chronic drug exposure may interfere with processing of normal rewards through striatal dysfunction. Specifically, D2 receptor availability may predict regional differences in brain responses to a non-drug reward, consistent with anatomically and functionally specific regions in the striatum, a finding that may have treatment implications.

### Cues and craving

Cues are a powerful trigger for drug and alcohol relapse. Brain regions activated by drug cues extend well beyond the mesolimbic reward system. In smokers, for example, cigarette cues were associated with activity in prefrontal cortex, insula, cingulate cortex, parietal, occipital, and temporal lobes, limbic system and basal ganglia.<sup>34</sup> A meta-analysis found that smoking cues activated the extended visual system, which includes the lingual gyrus, fusiform

Table 1. Summary of neuroimaging papers on drug reward, cues and craving, learning, and cognitive control

Ref	Date	Author	Journal	Acute Drug Admin	Subjects	n	Modality	Primary result
Drug reward								
28	1997	Volkow, et al.	Nature	Cocaine IV	CUD	17	PET [11C] cocaine	Blockade of DAT in striatum correlates with subjective high
29	1998	Stein, et al.	Am J Psych	Nicotine IV	Smoker	16	fMRI 1.5T	Dose-dependent ↑ BOLD in NAC, ACC, frontal lobes, amygdala and self-reported high
30	1997	Breiter, et al	Neuron	Cocaine IV	CUD	10	fMRI 1.5T	Early, short ↑ BOLD in VTA, caudate, ACC, pons, lateral frontal, basal forebrain correlates with rush
Non-drug reward								
31	2017	Luijten, et al	JAMA Psych		Multiple substances, alcohol, nicotine	25 studies, 500 patients, 475 HC fMRI	Seed-based Meta	↑ vs during reward outcome: ↓ vs during reward anticipation in patients vs HC
32	2010	Asensio, et al	Synapse		CUD	seven active CUD	fMRI 4T monetary incentive task; PET [11C] Raclopride	↓ D2 binding DS correlates with d BOLD in during monetary reward; ↓ D2 vs correlates with ↑ mPFC during monetary reward
Cues and craving								
33	2011	Kuhn, et al	Eur J Neurosci		Smoker; AUD, CUD	13 Nic studies, 251 subjects; 10 Alc studies, 112 subjects; six coc studies, 83 subjects	Meta drug cues	Nicotine, alcohol and cocaine cues: ↑ vs, ACC, amygdala. Craving correlated with ACC and vs.
34	2012	Engelmann, et al	Neuroimage		Smokers	11 studies, 200 subjects	Meta smoking cues	↑visual, precuneus, posterior cingulate, dorsal and medial PFC, insula
35	2000	Garavan, et al	Am J Psych		CUD	17 CUD, 14 HC	1.5T fMRI video	↑ACC, right parietal, caudate
36	2016	Kober, et al	Neuropsychopharm		CUD, gamblers	30 CUD, 28 PG, 45 HC	3T fMRI video	↑ACC greater to cocaine video in CUD; ↑medial dorsal to gambling video gamblers vs CUD
37	2001	Wexler, et al	Am J Psych		CUD	11 CUD, 21 HC	1.5T fMRI video	↑ACC precedes cocaine craving; however, depends on level and timing relative to craving.
38	2011	Wilcox, et al	Drug Alc Dep		CUD	14 CUD, 16 HC	cocaine videos	↑left DLPC and occipital
39	1999	Childress, et al	Am J Psych		CUD	14 CUD, 6 HC	[15O]-H <sub>2</sub> O PET	↑amygdala, ACC
Learning								
40	2014	Rose, et al	Neuropsychopharm		CUD	14 CUD, 14 HC	3T fMRI food reinforcement learning	↑ signal to unexpected negative outcomes; ↓ signal to positive events in dopaminergic reward regions

(Continued)

Table 1 (Continued)

Ref	Date	Author	Journal	Acute Drug Admin	Subjects	n	Modality	Primary result
41	2013	Tanabe, et al	Am J Psych		SUD	32 SUD, 30 HC	3T fMRI monetary reinforcement learning	↓vmPFC prediction error learning signal
42	2018	Wang, et al	Biol Psych Cog Neurosci Imag		CUD	22 CUD, 54 HC	3T fMRI probabilistic loss-learning	↑ vs positive PE learning signal in cocaine-deprived vs sated patients
Cognitive control								
43	2011	Nestor, et al.	NeuroImage		Smoker	10 ex-smoker, 13 smoker, 13 HC	3T fMRI Cues and Go No-go	Cue reactivity: Smoker >HC ↑Nacc and amygdala; Motor inhibition: HC >ex-smoker>smoker ↑ ACC and left MFG and IFG
44	2013	Camchong, et al.	Alc Clin Exp Res		AUD	27 short term abstain (STAA), 23 long term abstain (LTAA), 23 HC	3T fMRI resting state synchrony	↑ RSS of executive control and ↓ RSS of reward processing in HC >STAA > LTAA:
45	2016	Regner, et al.	PloS One		SUD	50 abstinent SUD, 50 HC	3T fMRI resting state effective connectivity	↑ connectivity ECN→DMN→BG network in abstinent SUD
46	2015	Hanlon, et al.	Brain Res		CUD	11 CUD	cTBS mPFC	decreases activity in the striatum and anterior insula

ACC, anterior cingulate cortex; AUD, Alcohol use disorder; BG, basal ganglia network; BOLD, Blood oxygen-level-dependent; CUD, cocaine use disorder; DLPFC, dorsolateral prefrontal cortex; DMN, default mode network; ECN, executive control network; IFG = inferior frontal gyrus; MFG, middle frontal gyrus; NAC, nucleus accumbens; PE, prediction error; RSS, resting state synchrony; SUD, substance use disorder; VTA, ventral tegmental area; cTBS, continuous θ burst transcranial magnetic stimulation; vmPFC, ventral medial prefrontal cortex; vs, ventral striatum.

Figure 4. PET scans in a healthy control (top row) and substance use disorder (SUD) subject (bottom row) scanned with [<sup>11</sup>C]raclopride at baseline (left) and after administration of a stimulant (right). Prestimulant baseline images demonstrate less D2 receptor binding (BP) in the SUD subject compared to the control. Post-stimulant images demonstrate less [<sup>11</sup>C]raclopride displacement ( $\Delta$ BP) in the SUD subject compared to the control. Thus, D2 receptor binding (BP) and dopamine release ( $\Delta$ BP) are blunted in substance use.

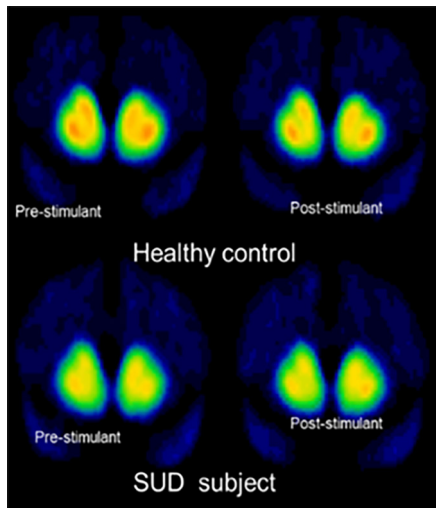
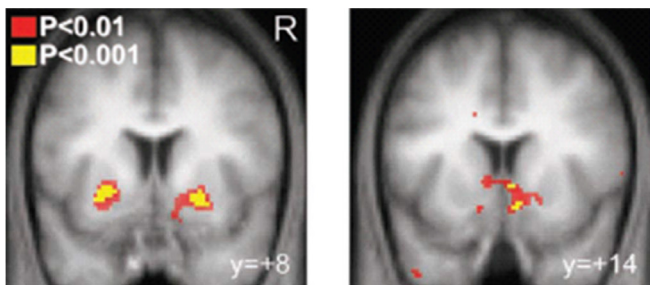
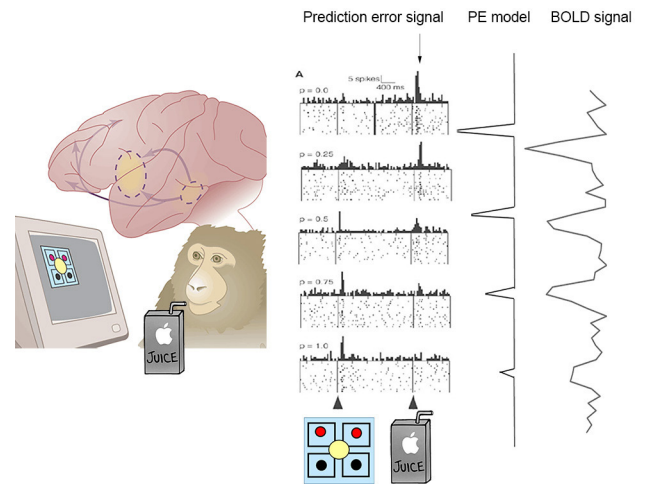


Figure 6. Bilateral ventral striatum is activated during reward-based reinforcement learning in healthy controls. 6a. shows bilateral ventral putamen and 6b. shows pallidum. (From O'doherty et al. Science 2004, Reprinted with permission).



gyrus and cuneus, possibly reflecting the allocation of resources toward visual association areas.<sup>34,63</sup> The anterior cingulate and dorsomedial prefrontal cortices appear to be common foci of cue reactivity across many drugs, possibly because medial frontal-striatal regions assign saliency to stimuli. In cocaine use disorder (CUD), the anterior cingulate, medial prefrontal cortex, ventral striatum, and parietal lobe show greater activation in response to drug cues than to neutral cues.<sup>35–37</sup> Compared to controls, subjects with CUD also showed greater reactivity to cocaine cues in the dorsolateral prefrontal cortex (DLPFC) and orbitofrontal cortex.<sup>38</sup> A meta-analysis of nicotine, alcohol, and cocaine studies found convergence in the ventral striatum, anterior cingulate cortex, and amygdala as regions that are more responsive to drug compared to neutral cues across these drugs.<sup>33</sup> Collectively, these studies indicate that substance use involves altered neural activity in reward-related and cognitive control-related pathways in response to drug

Figure 5. Computational fMRI model. a. An animal is learning to associate the checkered symbol with a juice reward during the recording from midbrain dopamine neurons. b. Receipt of an unexpected reward generates a prediction error (PE) signal (arrow). As the animal learns that the checkered symbol predicts reward, the PE signal shifts from receipt of reward to the stimulus predicting it. c. A computational model of PE can be generated and correlated with fMRI time series signal to produce a map of brain regions that track PE learning signals. (Adapted from Shizgal & Arvanitogiannis, Science. 2003 299(5614):1856–8. Reprinted with permission from AAAS).



cues and non-drug reward, consistent with homeostatic shifts in reward processing.

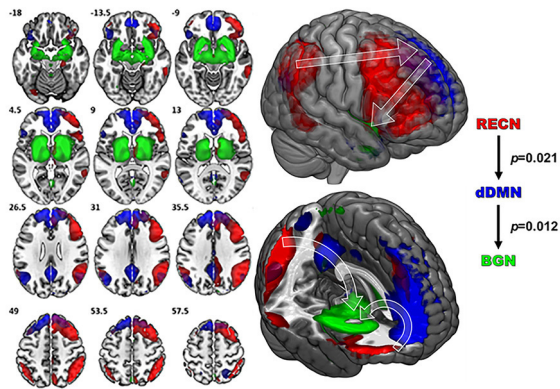
### Reinforcement learning

Maladaptive learning is a hallmark of SUD. Reinforcement (*i.e.* trial-and-error) learning requires one to predict outcomes, compare expected to actual outcomes, and update future predictions. Learning occurs when the difference between the actual outcome and expected outcome decreases over time. This difference, called prediction error, is represented by dopamine release by ventral tegmental area neurons. Imagine a monkey learning to associate a checker-patterned symbol with a squirt of juice (Figure 5). Initially, reward is unexpected. Receiving the unexpected juice causes an increase in firing of midbrain dopamine neurons representing a positive prediction error.<sup>64,65</sup> Over time, the monkey learns that juice will likely follow the checker-patterned symbol, and neuronal activity shifts away from receiving the juice and toward the cue that predicts it. If the outcome is less rewarding than predicted, such as when the monkey sees the checker-patterned symbol but receives no juice, there is a decrease in neuronal firing, representing a negative prediction error.<sup>66</sup>

The trial-to-trial prediction error signal can be computed and regressed against the time series signal during an fMRI task (Figure 5). This method known as computational fMRI produces a brain map of regions that track prediction error learning and include the ventral striatum, ventral pallidum, and medial prefrontal cortex<sup>64,67</sup> (Figure 6). When the outcome is less rewarding than predicted, the negative prediction error signal is also tracked in the striatum.<sup>67</sup>

Figure 7. Compared to controls, long-term abstinent patients with substance use disorder (SUD) showed greater effective connectivity from the right executive control network (RECN) to the dorsal default mode network (dDMN) and from the dDMN to the basal ganglia network (BGN), consistent with increased top-down flow of information (from Regner et al. 2016).<sup>45</sup>

#### Top-down effective connectivity in abstinent substance users



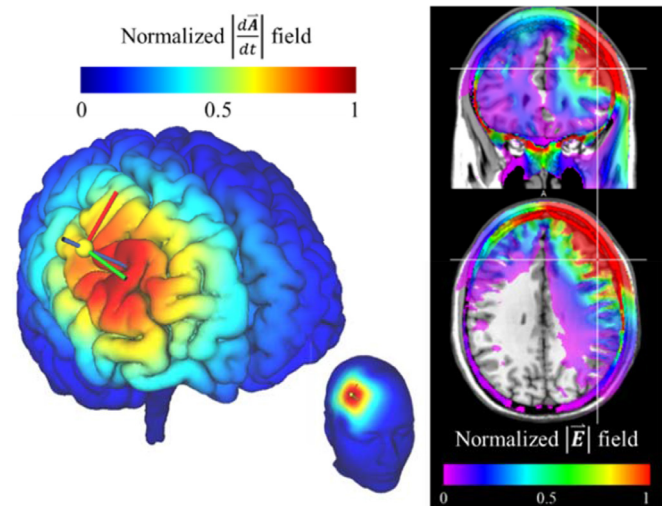
Since drugs act on the same brain systems as prediction error learning, it is reasoned that drugs could impair learning.<sup>68</sup> The patient's inability to pursue a long-term goal may involve her inability to accurately predict which stimuli and actions result in long-term rewards. Supporting this hypothesis, two studies have observed weaker prediction error tracking in frontal-striatal regions in patients with stimulant use disorder compared to controls.<sup>40–42,69</sup> However, another study demonstrated an intact prediction error signal in alcohol use disorder.<sup>69</sup> Despite some inconsistent results, this new field of computational fMRI is a model for understanding mechanisms of complex behavior.<sup>70</sup>

#### Cognitive control

Cognitive control processes such as planning, resolving conflict, and inhibitory control generally involve higher cortex (“top-down”) while automatic or affective processes generally involve subcortical brain regions (“bottom-up”). Addiction has been framed as excessive bottom-up and insufficient top-down processing. The disorder begins with bottom-up processing in the brainstem and subcortical reward system. Drug cue associations develop over time, evoking moods and memories that activate the hippocampus and amygdala and further strengthen bottom-up attentional bias.<sup>39,71</sup>

Active substance users have lower DLPFC activity and connectivity compared to controls,<sup>43,72</sup> suggesting decreased top-down control. In contrast, abstinence has been associated with increased top-down resting state signal (Figure 7).<sup>45</sup> Long-term abstainers have increased cortical synchrony in the DLPFC and decreased synchrony in subcortical networks compared to short-term abstainers.<sup>44,45</sup> Together, these data suggest that increased top-down and decreased bottom-up signaling may be a biomarker of abstinence. This is consistent with animal experiments showing that activating top down prefrontal neurons can reduce reward-seeking behavior and suppress bottom-up fMRI signal in the striatum.<sup>73</sup>

Figure 8. Model of electric field from TMS coil targeting the right dorsolateral prefrontal cortex. Predicted current flux density (left) and normalized absolute value of the electric field (right) shows the pattern of energy deposition. Maximum energy is deposited in superficial soft tissues and cerebrospinal fluid due to high tissue conductivities. Models were created using SimNIBS.



One way to translate this intervention model from preclinical to humans is through neuromodulation.

#### NEUROMODULATION TO TREAT SUD

Transcranial magnetic stimulation (TMS) is a non-invasive neuromodulation technique that uses a locally applied magnetic field to induce brain activity. TMS can be either excitatory (>10 Hz) or inhibitory (<3 Hz) depending on the stimulation parameters.<sup>74</sup> TMS is FDA-approved for treatment-refractory major depression and is beginning to make inroads into SUD, with the majority of work in nicotine use disorder.<sup>75–78</sup> Excitatory TMS to the DLPFC led to lower cigarette consumption within the first week and at 6 months after treatment.<sup>76</sup> It has been shown to reduce drug-craving, although in some studies cigarette consumption was unaffected<sup>79</sup> (for review, see<sup>46,80</sup>). Currently, targeting the DLPFC is not based on patient anatomy but rather the “5 cm rule”, an empiric method of placing the TMS probe five centimeters anterior to the motor cortex. An area for improvement is better targeting of TMS energy deposition using neuro-navigation.<sup>81</sup> With a better understanding of the anatomic substrate of craving and SUD, image-guided TMS may play a bigger role in future treatments.

A limitation of TMS is that it primarily affects the outer layers of the brain. Stronger stimulation can reach deeper regions but is more diffuse and less targeted.<sup>82</sup> This trade-off between electric field depth and focality limits the stimulation of deep cortical regions such as the anterior cingulate cortex and insula and precludes the TMS coil from stimulating deep nuclei (Figure 8).

Deep brain stimulation (DBS) has been proposed as another method of neuromodulation to treat SUDs. DBS is routinely utilized to treat patients with Parkinson's disease. Under an FDA humanitarian device exemption, DBS to the ventral striatum is

Table 2. Summary of papers on DBS targets in substance and alcohol use disorders

Ref	Author	Date	Journal	No subs	Target	Primary dx/ secondary dx	Prim outcome	Result
84	Kuhn et al.	2007	J Neur Neurosurg Psychiatry	1	B.NAc	GAD, MDD/AUD	No change in anxiety	“rapid, drastic ↓ EtOH use” “EtOH free in two mos” Effects at 12 months
94	Müller et al.	2009	Pharmacopsych	3	B.NAc	AUD	OCDS, AUQ	↓ OCD 3/3, ↓ AUQ 3/3, abstinent 1/3, ↓ drinking 2/3, ↓ craving 2/3
85	Mantione et al.	2010	Neurosurgery	1	B.NAc	OCD, NUD, obesity	Weight, smoke, YBOCS	Quit smoking, ↓ weight, ↓YBOCS, ↓ anxiety
89	Zhou et al.	2011	Biol Psych	1	B.NAc	Heroin	Urine tox, naloxone challenge	↓ drug use x 6 years ; ↓ cigarettes
88	Valencia- Alfonso et al.	2012	Biol Psych	1	B.NAc/ALIC	Heroin	Not stated	1 × 14 day relapse in 6m of Rx
95	Voges et al.	2013	World Neurosurg	5	Nac	AUD	OCDS, Craving, abstinence, LFP	Abstinent 2/5, ↓ craving 5/5
91	Kuhn et al.	2014	Mol Psych	2	NAc	Opioid/amphet, AUD	Craving	↓ Craving; ↓ heroin; in one patient, transient in anxiety, drug use, mood that responded to IPG replacement
93	Ge et al.	2018	World Neurosurg	2	B.NAc/ALIC	Methamphetamine	Urine tox, craving	Abstinent 1/2, No effect 1/2
90	Chen et al.	2019	Brain Stim	8	B.NAc/ALIC	Heroin	Abstinence, craving, Y-BOCS, VAS	5/8 abstinent 3 years, 2/5 relapse, one lost, ↓craving, I FDG frontal temporal

ALIC = anterior limb internal capsule, AUD = Alcohol use disorder, AUQ = alcohol urge questionnaire, B. NAc = bilateral nucleus accumbens, BZD = benzodiazepine, GAD = generalized anxiety disorder, IPG = implanted generator, LFP = local field potential, OCD = obsessive-compulsive disorder, OCDS = obsessive-compulsive drinking scale, NUD = nicotine use disorder, YBOCS = Yale-Brown Obsessive compulsive scale

used to treat obsessive compulsive disorder. Researchers working with such patients have noted the incidental remission of substance use following DBS treatment.<sup>83–85</sup> This is consistent with animal studies showing that deep brain stimulation alters drug reinforcement<sup>86</sup> and reinstatement of drug self-administration.<sup>87</sup> Case reports and small series suggest that DBS has beneficial effects in heroin,<sup>88–91</sup> cocaine,<sup>92</sup> amphetamine<sup>93</sup> and alcohol use disorders by reducing craving<sup>94,95</sup> and increasing cognitive control<sup>96</sup> (Table 2). All of these studies targeted bilateral NAc and some have targeted both the NAc and the anterior limb of the internal capsule (ALIC) simultaneously<sup>90,93</sup> (Figure 9). However, many questions remain. The ideal location and stimulation parameters are unknown and randomized controlled trials of this intervention are lacking. Given the high placebo response rate in psychiatric conditions, well-designed trials are needed to make firmer conclusions about the potential utility of DBS neuromodulation. Should such trials

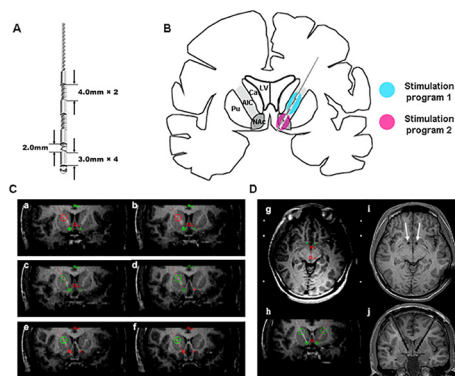
continue to report encouraging results, there is no doubt that imaging will play an important role.

### CHALLENGES FOR NEUROIMAGING IN SUBSTANCE USE RESEARCH

Neuroscience has revealed many drug induced neural adaptations, but identifying the important ones is difficult. More precise cataloguing of neuroplastic events relative to the temporal stages of addiction is needed.<sup>25,97</sup> For example, dopamine in the NAc is critical for reward and sensitization, but appears to be less important in the reinstatement of cocaine-seeking in animals. In humans, neuroimaging studies must similarly attempt to catalogue neural changes according to the phase of addiction while controlling for confounds. SUD is highly comorbid with other mental illnesses and rarely limited to a single substance. These confounding factors



Figure 9. Deep brain stimulation with electrodes designed for simultaneous stimulation of the NAc and ALIC. A: Customized electrode consisting of 4 stimulation contacts. B: Schematic drawing of the implanted electrode locations. The electrode can deliver different stimulation parameters to NAc and the ALIC. C: Example trajectory of DBS implanted through the ALIC into the NAc using Leksell frame and 3T MR scanner. NAc = green or red crosses; ALIC = large green or red circles with crosses. D: The trajectories of electrodes (white arrows in i, black electrodes in j) using 1.5T MR scanner. Ca = head of the caudate nucleus, ALIC = anterior limb of the internal capsule, LV = lateral ventricle, NAc = nucleus accumbens, Pu = putamen (Reprinted from Chen et al. 2019 with permission from Elsevier)<sup>90</sup>



must be taken into account when evaluating the validity and generalizability of neuroimaging results.

The biggest challenge for fMRI studies are reproducibility and generalizability. Low statistical power in fMRI studies is well recognized and mitigated in recent years by increasing sample size and using stricter statistical analyses.<sup>98–100</sup> Yet neuroimaging research is still prone to liberal inference, circular analyses, and reporting of misleading effect sizes.<sup>100</sup>

## CONCLUSION

Advanced MRI and PET have been the primary tools used to study the brain's role in substance use disorders. These methods have confirmed hypotheses that drugs and drug cues activate the mesolimbic reward and other systems. PET studies have shown that dopamine receptors and transmission are reduced in chronic substance users. As SUD is heterogeneous and complex, however, the exact role for anterior cingulate, prefrontal cortex, striatum, and insula need to be better defined in relation to drug and non-drug reward, craving, learning, and self-control. Finally, differences in neural function observed with imaging may help pinpoint targets for neuromodulation therapies, which are being investigated as treatment adjuncts. The potential of such novel treatments has generated excitement among clinicians, researchers, and patients. Given these promising findings, advances in neuroimaging research will continue to improve our understanding to stem the devastation and cost of substance use disorder.

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