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## Combination Therapy of NSCLC Using Hsp90 Inhibitor and Doxorubicin Carrying Functional Nanoceria

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### Abstract

K-RAS driven Non-Small-Cell Lung Cancer (NSCLC) represents major cause of death amongst smokers. Recently, nanotechnology has introduced novel avenues for the diagnosis and personalized treatment options for cancer. Herein, we report a novel, multifunctional nanoceria platform loaded with unique combination of two therapeutic drugs: Doxorubicin (Doxo) and Hsp90 inhibitor ganetespib (GT), for the diagnosis and effective treatment of NSCLC. We hypothesize that the use of ganetespib synergizes and accelerates the therapeutic efficacy of Doxo via ROS production, while minimizes potential cardiotoxicity of doxorubicin drug. Polyacrylic acid (PAA)-coated cerium oxide nanoparticles (PNC) were fabricated for the targeted combination therapy of lung cancers. Using “Click” chemistry, the surface carboxylic acid groups of nanoceria were decorated with folic acid to target folate-receptor over-expressing NSCLC. As a result of combination therapy, results showed more than 80% of NSCLC death within 48 h of incubation. These synergistic therapeutic effects were assessed via enhanced ROS, cytotoxicity, apoptosis and migration assays. Overall, these results indicated that the targeted co-delivery of Doxo and GT using nanoceria may offer an alternative combination therapy option for the treatment of undruggable NSCLC.

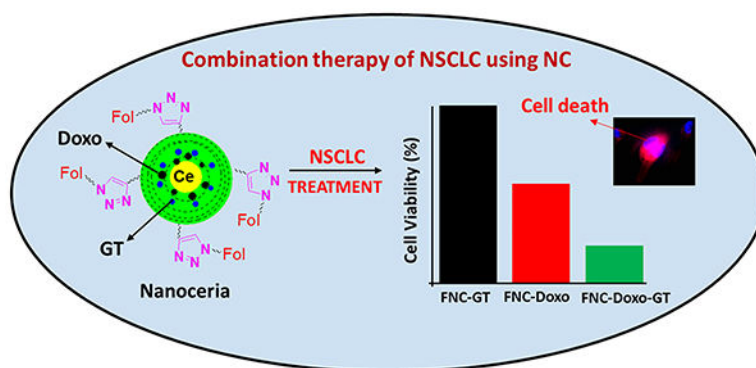
### Graphical Abstract:

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**Supporting Information.** Synthesis and characterizations of folic acid derivatives; functional FNC; control experiments for cellular internalizations; FT-IR spectra; size and  $\zeta$ -potential studies. “This material is available free of charge via the Internet at <http://pubs.acs.org>.”

The authors declare no conflict of interest.



## Keywords

combination therapy; NSCLC treatment; Hsp90 inhibitor; drug delivery; nanoceria; doxorubicin

## INTRODUCTION

Lung cancer by far is one of the leading cause of the mortality worldwide.<sup>1,2</sup> According to the American Cancer Society statistics, all together 221,200 new cases of lung cancer and 158,040 deaths were estimated in the year 2015. Histologically lung cancer is being classified into two major subtypes: small-cell lung cancer (SCLC) and non-small-cell lung cancer (NSCLC). Amongst both the subtypes, NSCLC by itself accounts for 85% of all the cases.<sup>3</sup> Oncogenic K-RAS, one of the major histologic subtype of NSCLC accounts for more than 25% of the lung cancer related deaths.<sup>4</sup> Current existing therapies for K-RAS driven NSCLC includes surgery, radiation therapy and chemotherapy. One of the major limitation of all these therapies is severe toxicity, multidrug resistance and poor survival outcomes.<sup>5,6</sup> Therefore, successful targeted therapy for K-RAS driven NSCLC is considered to be clinically challenging.

Nanotechnology has opened up new avenues for more personalized treatment strategies for cancer therapy. The facile and reliable surface chemistry, better payloads makes these nanotechnology-based vehicles excellent candidates for drug delivery applications.<sup>7–12</sup> Nanoceria (NC), iron oxide nanoparticles (IONPs), polymeric nanoparticles (PNPs), quantum dots (QDs), gold nanoparticles (AuNPs) and carbon nanotubes (CNTs) have been used extensively for developing theranostic nanoplatforms.<sup>13–18</sup> Cerium oxide, a rare earth metal has recently been shown to have diverse applications in cancer therapy due to unique redox property.<sup>19–21</sup> Several studies have examined the diverse role of anti-oxidant nanoceria as a therapeutic agent in cancer therapy due its anti-invasive, radio protective, radio sensitizing, oxidant-mediated apoptosis and anti-angiogenic properties.<sup>22–30</sup>

Targeted delivery of chemotherapeutic drug cocktails specifically to the tumor site is becoming a popular choice for clinicians for effective cancer treatments. The unique combination of drugs-carrying nanoplatforms allows for the inhibition of separate tumorigenesis pathways. This strategy could overcome severe side effects, multi-drug resistance (MDR) of traditional chemotherapy and thereby obtain higher therapeutic

efficacy. Considering the importance of cancer therapy, a new, dual-drug (Doxo and GT) carrying functional nanoceria is proposed in this present study. Both drugs inhibit separate target mechanisms that are critical for tumor growth. The classical chemotherapeutic drug Doxo was selected in the present study which is responsible for DNA damage and generation of ROS via redox-cycling.<sup>31,32</sup> To achieve synergistic treatment, GT, a second-generation Hsp90 inhibitor was chosen as another chemotherapeutic drug. Several studies have highlighted Hsp90 as an important target for cancer therapy. Its interaction with several client proteins including EGFR, RAF and AKT leads to inhibition of multiple signaling cascades that are crucial for growth, differentiation and survival of tumors.<sup>33–38</sup>

Herein, active targeting of NSCLC is achieved via folate conjugation of nanoceria which minimizes the off-target effects of the drug combination. Furthermore, the anti-oxidative property of nanoceria in the normal cells further boosts survival of healthy tissues and therefore, minimizing side effects. Unique drug cocktail-carrying nanoceria exhibits high antitumor activity for A549 cell line (NSCLC). Synergistic therapeutic effects are assessed via enhanced ROS, cytotoxicity, apoptosis and migration assays as a result of co-delivery of drug cocktail. Taken together, findings from the present study provide strong support for the clinical translation potential of our designer nanoceria formulations.

## RESULTS AND DISCUSSION

### Synthesis and characterizations of polyacrylic acid (PAA)-coated cerium oxide nanoparticles (PNC).

The water-based alkaline precipitation method was followed for the synthesis of PAA-coated nanoceria (PNC), as previously reported.<sup>39</sup> The resulting yellow colored PNC solution was centrifuged to get rid of agglomerates and bigger size PNC and finally purified using dialysis technique (MWCO 6–8 KDa) (**1**, Scheme 1).<sup>40</sup> Next, modified solvent diffusion method was used to encapsulate optical lipophilic DiI dye in PNC (encapsulation efficiency,  $EE_{DiI} = 85\%$ ). In this case, the DiI dye labelled carboxylated PNC (**2**, Scheme 1) would be used as negative control optical nanoprobe and to evaluate cytotoxicity of formulated PNC. For optical imaging this kind of lipophilic dyes are important for its high extinction coefficient ( $\epsilon > 125,000 \text{ cm}^{-1}\text{M}^{-1}$ ) and higher fluorescence emission ( $\lambda_{\text{max}} = 565 \text{ nm}$ ). In order to conjugate receptor targeting molecules, first, propargyl amine was conjugated on the surface of PNC using standard EDC/NHS chemistry (**3**, Scheme 1). Briefly, carboxylic acid groups of PNC ( $2.0 \times 10^{-3} \text{ mol}$ ) were conjugated with propargylamine ( $15 \times 10^{-3} \text{ mol}$ ) using EDC ( $15 \times 10^{-3} \text{ mol}$ ) and NHS ( $15 \times 10^{-3} \text{ mol}$ ) in the presence of MES buffer ( $1 \times$ ,  $\text{pH} = 6.0$ ). This is an essential step to further conjugate our nanoparticles with azide functionalized folic acid via “click chemistry” to target folate receptor expressing lung cancer cells (**4a–5a**, Scheme 1). This “Click” reaction was performed in the presence of CuI as catalyst in a slightly basic PBS solution ( $\text{pH} = 8.0$ ) of propargylated PNC suspension ( $2 \text{ mL}$ ,  $1.5 \times 10^{-3} \text{ mol}$ ) and azide functionalized folic acid (Fol- $\text{N}_3$ ,  $2 \times 10^{-2} \text{ mol}$ , See SI for detailed synthesis). The resulting folate decorated nanoceria (**FNC**,  $1.2 \times 10^{-3} \text{ mol}$ , **4a–5a**, Scheme 1) was purified using standard dialysis method (MWCO 6–8 KDa), and followed by DiI dye encapsulation as described earlier. The DiI dye labelled FNC (**4b**, Scheme 1,  $1.0 \times 10^{-3} \text{ mol}$ ,  $EE_{DiI} = 83\%$ ) will be used for the targeted delivery of drugs to the folate receptor

overexpressing cancer cells (positive nanoprobe). For therapeutic applications, Doxo and GT were encapsulated in FNC (**5b–5d**, Scheme 1,  $1.0 \times 10^{-3}$  mol,  $EE_{\text{Doxo/GT}} = 75\%$ ) using solvent diffusion method. Finally, these nanoceria theranostics were purified using dialysis technique against PBS (pH = 7.4) solution. All functional nanoceria preparations were stored in 4 °C and found to be stable in PBS (pH = 7.4) and in serum for longer period of time.

### Characterizations of PAA polymer-coated functional nanoceria.

The presence of PAA coating on nanoceria is very crucial to make our nanoplatform stable, biocompatible and to efficiently encapsulate therapeutic drugs. Successful PAA-coating and the presence of carboxylic acid functionality on nanoceria was confirmed by FT-IR spectroscopy (See SI, Figure S1). The presence of a band at 1710  $\text{cm}^{-1}$  in FT-IR confirmed the presence of C=O stretching, indicating for the successful coating of PAA polymer on nanoceria. The hydrodynamic diameter of synthesized PNC and FNC was found to be an average diameter of  $D=57 \pm 2$  nm and  $D=61 \pm 3$ , respectively, measured through dynamic light scattering experiment (Figure 1A and SI Figure S2). The overall surface charge of functional nanoceria preparations were measured by performing zeta-potential experiments and were found to be  $-32.9$  mV and  $-25.2$  mV for PNC and FNC (see SI, Figure S2), respectively. The successful encapsulation of optical dye and drugs inside PAA-coating of nanoceria were confirmed by UV/Vis and fluorescence experiments using high throughput plate reader. Absorbance spectrum (Figure 1B) of the folate decorated, DiI dye encapsulating FNC 4 confirmed for the presence of both DiI dye ( $\lambda_{\text{abs}} = 555$  nm) and folic acid ( $\lambda_{\text{abs}} = 352$  nm). Similarly, the presence of Doxo in FNC (**5b**, Scheme 1) was confirmed by UV/Vis spectrum ( $\lambda_{\text{abs}} = 497$  nm) as shown in Figure 1C. The effective folate conjugation and encapsulation of cargos were further confirmed by their corresponding fluorescence spectra (Fol:  $\lambda_{\text{em}} = 455$  nm; DiI:  $\lambda_{\text{em}} = 675$  nm; Doxo:  $\lambda_{\text{em}} = 595$  nm, Figure 1D–1F). The fluorescence maxima and hydrodynamic diameters of these functional nanoceria formulations remain unchanged (or minimal change) with longer period of time, indicated for the synthesis of stable nanoceria formulations in phosphate buffer saline (PBS, pH = 7.4) and in serum.

### Determination of cytotoxicity of functional nanoceria.

A series of *in vitro* cytotoxicity experiments was performed to evaluate the therapeutic efficacy of our drug encapsulated functional nanoceria using A549 (folate positive) and H9c2 (folate negative) cells. In these typical experiments, cells (2,500 cells/well) in 96-well plate were incubated with various functional FNCs (35  $\mu\text{L}$ ,  $1.0 \times 10^{-3}$  mol) at 37 °C, followed by MTT incubation and finally data recorded at different time points. Comparable cellular toxicity was observed when incubated with single drug encapsulating FNC (either GT or Doxo), and more than 40% cells were found to be dead after 24 h of incubation. However, more than 70% cell death was resulted within 24 h from the combination of drugs encapsulating nanoceria (FNC-Doxo-GT, **5d**,  $1.0 \times 10^{-3}$  mol, Scheme 1). and that became severe when continued (more than 90% cell death within 48 h of incubation, Figure 2A). On the other hand, functional nanoceria by itself (FNC) with no drugs showed minimal toxicity to the cancer cells as well as to the healthy cardiomyocytes, highlighting the biocompatibility of our nanoceria-based drug delivery system. No or minimal toxicity was observed when H9c2 cells (cardiomyocytes) were incubated with drug-loaded FNC (Figure

2B). This result is promising for reducing Doxo's potential lethal cardiotoxicity. Active targeting via FNC is one of the key aspect of our nanoplatform to achieve higher intracellular uptake of the drugs with minimal side effects to the healthy tissues. It is evident that as a result of synergistic effect, GT accelerates the cytotoxic action of Doxo, while minimizing Doxo's cardiotoxicity. Therefore, the targeted co-delivery of drug-cocktails using our functional nanoceria would offer an excellent combination therapy option for the treatment of undruggable NSCLC and other tumors.

#### **Drug release experiments of PAA polymer coated nanoceria.**

Next, drug release studies were conducted to examine the ability of our PAA-coated nanoceria to release drug in the presence of an appropriate external environment, for example, low pH (tumor's intracellular environment) and esterase enzyme (to indicate biodegradability). It is crucial to determine timely release of cargos in order to evaluate therapeutic efficacy of our functional FNC. Dialysis technique was used in order to perform the drug release experiment at 37 °C. Typically, 2 mL of Doxo-encapsulating FNC ( $1.0 \times 10^{-3}$  mol) and 100  $\mu$ L of porcine liver esterase (1 mM) were taken in a dialysis bag (MWCO 6–8 KDa) and dialyzed against 200 mL of DI water taken in a 250 mL beaker. In a timely fashion, 1 mL of solution from the outer chamber (250 mL beaker) was taken and fluorescence emission of this solution was measured at 594 nm using a high throughput plate reader. As expected, minimal amount of Doxo was released at the beginning of the dialysis, however, more than 50% of the encapsulating Doxo was released within 3 h of dialysis. In this case the esterase enzyme was able to disrupt PAA polymer from cerium oxide core and followed by release of cargos. Within 6 h of incubation, more than 80% of the encapsulated drug was released as shown in Figure 3A. Similarly, drug release experiment was performed in the presence of acidic PBS buffered solution (pH = 6.0) by substituting esterase enzyme. This experiment would demonstrate the drug release capability of FNC inside the acidic microenvironment of tumor. Within 12 h of incubation, more than 80% of the encapsulated drug was released as shown in Figure 3B. In this case, the acidic pH hydrolyzes the coordinate bonding between cerium oxide and carbonyl groups of PAA polymer, resulted in PAA-coating disintegration and drug release. On the other hand, no or minimal drug release was observed in physiological pH 7.4. These observations indicated for the stability of our functional FNC in physiological pH. These experiments further demonstrated that our functional nanoceria will deliver therapeutic drugs and imaging agents only in cancer cells, while remain non-toxic to healthy tissues.

#### **Fluorescence microscopic studies: Imaging and treatment monitoring of NSCLC.**

To evaluate the potential biomedical application of functional FNC, receptor-mediated internalization and selective therapeutic efficacy were assessed using fluorescence microscopy. We hypothesized that functional FNC would target folate receptors and internalize into folate receptor expressing tumors, while minimizing the cardiotoxicity of doxorubicin drug. In these *in vitro* experiments, lung cancer A549 cells were incubated with Doxo and GT encapsulating carboxylated nanoceria (non-folate, PNC) for 24 h. Results showed no or minimal internalizations of drug encapsulating PNC into A549 cells (Figure 4A–4D). This is due to the lack of interaction between carboxylated PNC and A549 cells. To validate effective internalization, optical dye DiI encapsulating FNC (**4b**, Scheme 1) was

incubated with A549 cells for 24 h. Interestingly, cellular internalization of FNC was observed as the cytoplasm was found to be red fluorescence in color due to the intra-cellular release of DiI dye (Figure 4E–4H). To further demonstrate folate receptor-mediated internalizations, in a typical experiment, A549 cells were pre-incubated with free folic acid for 6 h, before incubated with DiI-FNC for 24 h. Results showed minimal internalizations of FNC into the A549 cells (SI, Figure S3), indicated that the folate receptors were saturated with free folic acid and therefore, receptor-mediated internalizations were minimized. When GT and Doxo-loaded FNC (**5d**,  $1.0 \times 10^{-3}$  mol, Scheme 1) were incubated with A549 cells for 24 h, tremendous change in cellular morphology and cell death were observed (Figure 4I–4L). These results demonstrated for the effective targeting and delivery of drugs effectively to cancer cells. These results were also validated with cytotoxic assays as demonstrated earlier (Figure 3). To prove our hypothesis for targeted delivery, we have considered folate receptor negative healthy cardiomyocyte cells (H9c2 cells). Dual drugs encapsulating FNC (**5d**, Scheme 1) were incubated with H9c2 cells for 24 h and imaged under fluorescence microscope. As expected, results showed no or minimal internalizations into H9c2 cells, confirming tumor targeting capability of our FNC, while minimizing toxicity to healthy tissues (Figure 4M–4P). Taken together, these microscopic results indicated for the tremendous possibility of our newly designed drug-cocktail encapsulating FNC to be used as targeted drug delivery system in clinical settings.

#### **Intra-cellular reactive oxygen species (ROS) detection assay.**

Enhancing ROS levels selectively in the cancer cells is relatively a newer approach to achieve better anti-cancer therapy. Dihydroethidium (DHE), a fluorescent dye was used to determine the generation of ROS. In the presence of reactive oxygen species, DHE is oxidized into 2-hydroxyethidium and fluoresces red after intercalating within the nuclei. Previous studies have shown ROS generation via redox cycling as one of the main mechanism by which doxorubicin exerts its anti-tumor activity.<sup>22</sup> To determine whether ganetespib (GT) synergizes the ROS production of doxorubicin, A549 cells were treated with combination of drugs, doxorubicin (Doxo) and GT carrying FNC (**5d**,  $1.0 \times 10^{-3}$  mol, Scheme 1). In these experiments, four petri dishes of A549 cells (10,000/well) were treated with different functional FNCs and others: 1) FNC without any drug, 2) FNC with only doxorubicin (FNC-Doxo), 3) FNC with drug cocktail (FNC-Doxo-GT) and 4)  $H_2O_2$  (positive control). After 6 h of treatment, cells were stained with highly cell permeable cytosolic probe Dihydroethidium (DHE) for 30 minutes. Cells treated with FNC showed minimal red fluorescence due to production of limited ROS stress (Figure 5A–i). In contrast, FNC-Doxo elevated the ROS level significantly as indicated by the 4-fold higher fluorescence intensity (Figure 5B–ii). This further demonstrated for the doxorubicin induced cytotoxicity via redox-cycling. Next, to verify the synergistic effect of GT on Doxo's ROS production, we have treated A549 cells with FNC-Doxo-GT (**5d**,  $1.0 \times 10^{-3}$  mol, Scheme 1). Interestingly, this led to the dramatic enhancement of ROS levels as indicated in Figure 5C–iii. This further validates our hypothesis that combination of ganetespib improves the therapeutic efficacy of doxorubicin by triggering ROS generation. In addition, the numerical data of fluorescent intensities from each fluorescence microscopic images were calculated using the commercially available Image J software and the histogram profiles exhibited for the increased levels of ROS generation, as shown in Figure 6. One of the mainstay in cancer

therapy is to employ a combination of drugs to achieve better therapeutic outcome. Our present findings from the ROS assay provides a strong rationale of exploring combination therapy for the treatment of NSCLC using nanoceria.

### Detection of apoptotic and necrotic events on NSCLC.

Having identified ROS production as a result of functional FNC treatment, next we wanted to elucidate the correlation between ROS generation and apoptosis induction. To investigate the mechanism, in a typical experiment, A549 cells were exposed to the FNC, FNC-Doxo and FNC-Doxo-GT ( $1.0 \times 10^{-3}$  mol) for 48 h. Additionally, to differentiate between early and late apoptotic, and necrotic cells, conventional fluorescence microscopic studies were performed after treatment with AnnexinV-FITC and ethidium homodimer, the apoptotic and necrotic cell staining dyes, respectively. Viable and healthy cells remain unstained as shown in Figure 7A–i in the case of treatment with FNC with no drug and due to the absence of any cell death mechanism. Encapsulation of doxorubicin leads to early and late apoptosis as exhibited by AnnexinV-FITC and ethidium homodimer staining pattern (major green spots for apoptotic cells and fewer red spots for necrotic events, Figure 7B–ii). In contrast, upon combination drug treatment, A549 cells exhibited enhanced ethidium homodimer staining, indicated for cell death via necrotic pathway (major red spots for necrotic cells: Figure 7C–iii). Quantitative analysis of these results are calculated using ImageJ software and presented in SI Figure S4. These further confirm that GT treatment accelerates the apoptosis induction of doxorubicin, leading to extensive loss in membrane integrity that eventually results in cell death via necrosis. Based on these results, it is evident that ganetespiib provides superior therapeutic efficacy by markedly enhancing the anti-tumor activity of doxorubicin. Taken together, our results suggest that combination of ganetespiib with classical chemotherapeutic drug doxorubicin might provide a better synergistic anti-tumor response for the treatment of NSCLC. We also suggest that the development of functional nanoceria formulation with strong evidence for better anti-tumor response would be an ideal drug delivery system for the targeted combination therapy of NSCLC and other tumors.

### Migration assay.

Metastasis is known to be a key feature for the malignancy of NSCLC. To assess whether nanoceria carrying drug cocktail can inhibit the migration of highly metastatic A549 cell line, we performed transwell migration assays. In these experiments, serum starved A549 cells were treated with functional FNC ( $1.0 \times 10^{-3}$  mol) and seeded in the upper invasion chamber, followed by incubation for 24 h. Our results showed that A549 cells migrated from the upper to the lower chamber (feeder tray) containing medium with 10% FBS, when treated with FNC with no drugs (CTRL, Figure 8). However, when starved A549 cells were treated with nanoceria carrying combination of drugs, migration ability decreased significantly as reflected by the change in the fluorescence intensity (FNC-Doxo-GT, Figure 8). Taken together, our current findings demonstrated that functional nanoceria carrying doxorubicin and ganetespiib might play an important role in preventing lung cancer metastasis.

## EXPERIMENTAL SECTION

### Materials.

Polyacrylic acid (PAA), 2-morpholinoethanesulfonic acid (MES), 1-ethyl-3-(3-dimethylaminopropylcarbodiimide hydrochloride (EDC), propargylamine (PA), N,N'-dimethyl sulfoxide (DMSO), 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), chloropropylamine and N,N'-dimethylformamide (DMF) were purchased from Sigma-Aldrich and used as received. Near infra-red DiI dye and 4, 6-diamidino-2-phenylindole (DAPI) dye were purchased from Invitrogen. Cerium nitrate hexahydrate, N-hydroxy succinimide (NHS), tetrahydrofuran, acetonitrile, sodium azide, ammonium hydroxide, ethanol, folic acid, isopropanol, and MES sodium salt were purchased from ACROS organics and used without further purification. Dialysis membranes were received from spectrum laboratories. Dihydroethidium (DHE) was obtained from Cayman chemical, whereas H<sub>2</sub>O<sub>2</sub> and para-formaldehyde received from electron microscopy sciences. Fetal bovine serum (FBS), 5× Annexin V binding buffer purchased from BD Biosciences, whereas ganetespib, isopropyl alcohol, apoptosis and necrosis quantification kit (FITC-Annexin V, Ethidium homodimer III) were obtained from Biotium. Migration assay kit was purchased from Millipore. Rat cardiomyocytes (H9c2 cells), A549 cells (NSCLC), DMEM and F12K cell culture media were purchased from ATCC, USA.

### Synthesis of polyacrylic acid-coated nanoceria 1 (PNC).

Water dispersible PNC were synthesized using a previously reported water-based alkaline precipitation method.<sup>39</sup> Briefly, two different solutions were prepared: cerium nitrate (0.901 g) in 2.5 mL of deionized (DI) water (solution 1) and polyacrylic acid (0.905 g) in 10 mL of DI water (solution 2). Solution 1 was added to 30% ammonium hydroxide solution (30 mL), stirred at room temperature and followed by addition of solution 2. Color changed from brown to dark brown within 5 min of stirring and became deep yellow after 24 h, which indicated for the preparation of stable nanoceria. The reaction mixture was centrifuged three times (20 minutes each at 3000 rpm) to get rid of agglomerates and bigger size PNC. Finally, the product was purified by dialysis technique using a dialysis bag of molecular weight cut-off (MWCO) 6–8K against DI water and finally with phosphate buffer saline (PBS, pH = 7.4). The purified PNC ( $2.0 \times 10^{-3}$  mol) was stored at 4 °C for further characterizations. Successful coating of PAA on nanoceria was characterized by Fourier Transform Infra-Red (FT-IR) spectroscopy (SI, Figure S1), overall size and surface charge were measured using dynamic light scattering (DLS) technique (SI, Figure S2).

### Synthesis of propargylated PNC, 3: Carbodiimide chemistry.

To synthesize propargylated PNC, four different solutions were prepared: (1) 3 mL PNC ( $2.0 \times 10^{-3}$  mol) in 1 mL of PBS, pH 7.4, (2) NHS ( $15 \times 10^{-3}$  mol) in 200  $\mu$ L of MES buffer (0.1 M), pH = 6.0, (3) EDC ( $15 \times 10^{-3}$  mol) in 200  $\mu$ L MES buffer (0.1 M), pH = 6.0 and (4) propargylamine ( $15 \times 10^{-3}$  mol) in 250  $\mu$ L of DMSO. The EDC solution was immediately added to PNC solution and followed by addition of NHS solution, after brief mixing. This reaction mixture was incubated for an additional 3 minutes before drop-wise addition of solution 4, at room temperature. The reaction was continued for 4–6 h at room temperature.



The final alkynated PNC was purified against PBS (pH = 7.4) using dialysis method (MWCO 6–8K) to get rid of unreacted reagents.

#### **Synthesis of folate conjugated PNC (FNC, 4a and 5a): “Click” chemistry.**

To a solution of propargylated PNC suspension (2 mL,  $1.5 \times 10^{-3}$  mol) in bicarbonate buffer (pH = 8.0) and azide functionalized folic acid (Fol- $N_3$ ,  $2 \times 10^{-2}$  mol, See SI for detailed synthesis) in DMF, 5  $\mu$ L of CuI catalyst ( $1 \times 10^{-3}$  mmol) in DMF was added and incubated on a table mixer for overnight at room temperature. The resulting product was purified using dialysis technique. The purified FNC ( $1.2 \times 10^{-3}$  mol) was stored at 4 °C for further characterizations.

#### **Encapsulation of DiI dye (2 and 4b): Solvent diffusion method.**

To a 1 mL of nanoceria (PNC or FNC) suspension, DiI dye (1  $\mu$ L of 1  $\mu$ M DiI dye in 100  $\mu$ L of DMSO) was added drop-wise with continuous stirring. The resulting solution was dialyzed against PBS (pH = 7.4) for 2 h. Successful encapsulation of DiI was confirmed using UV-Vis and fluorescence spectrophotometric analyses (Figure 1). The purified dye-labelled nanoceria suspensions ( $1.0 \times 10^{-3}$  mol) were stored at 4 °C for further studies.

#### **Co-encapsulation of Doxorubicin (Doxo) and Ganetespib (GT).**

Using similar solvent diffusion method, combination of drugs or drug and dye were co-encapsulated. Briefly, a solution of either GT and DiI or GT and Doxo (2  $\mu$ M of drug in 100  $\mu$ L DMSO) was slowly added to 1 mL of vortexing FNC suspension, followed by overnight incubation at 4 °C. The drug encapsulating functional FNC suspensions were dialyzed against PBS (pH = 7.4) for 2 h. The purified functional FNC suspension was stored at 4 °C for further studies.

#### **Characterizations of functional nanoceria.**

**Fourier-transform infra-Red spectroscopy (FT-IR).**—PerkinElmer’s Spectrum Two FT-IR spectrometer was used for FT-IR measurement. PNC suspension was vacuum dried on a petri-dish to obtain the powder form. FT-IR spectra of PAA polymer and PNC were recorded and compared. The presence of FT-IR stretching band at  $1710\text{ cm}^{-1}$  for the PAA polymer’s carboxylic acid carbonyl group confirmed for the formation of PAA polymer coating on PNC (SI, Figure S1).

**Dynamic light scattering experiments (DLS).**—The average size distribution and surface charge of functional nanoceria were obtained using dynamic light scattering technique. Malvern’s Nano-ZS90 zetasizer was used for these experiments. The average diameters of PNC and FNC were found to be 57.66 nm and 61.65 nm, respectively. The zeta potentials of PNC and FNC were  $-32.9\text{ mV}$  and  $-25.2\text{ mV}$ , respectively (SI, Figure S2).

**Spectrophotometric analysis.**—UV-Vis and fluorescence spectra of functional nanoceria were recorded using TECAN’s infinite M200 PRO high throughput plate reader. Presence of folic acid in FNC was confirmed by UV-Vis ( $\lambda_{\text{abs}} = 352\text{ nm}$ ) and fluorescence emission spectra ( $\lambda_{\text{em}} = 455\text{ nm}$ ). Successful encapsulation of Doxo was determined by UV-Vis ( $\lambda_{\text{abs}} = 497\text{ nm}$ ) and fluorescence emission spectra ( $\lambda_{\text{em}} = 595\text{ nm}$ ). Finally, the

presence of DiI dye was confirmed by UV-Vis ( $\lambda_{\text{abs}} = 555 \text{ nm}$ ) and fluorescence emission spectra ( $\lambda_{\text{em}} = 675 \text{ nm}$ ).

**Cytotoxicity studies using MTT assay.**—To determine the potential time dependent cytotoxicity, two different cell lines, lung carcinoma (A549 cells) and cardiomyocytes (H9c2 cells) were used. Cells were seeded in 96-well plates at a density of 2,500 cells per well and treated with various functional FNCs ( $1.0 \times 10^{-3} \text{ mol}$ ) at  $37 \text{ }^\circ\text{C}$  for different time points. Each well was washed thrice with  $1 \times \text{PBS}$  and then incubated with  $30 \text{ }\mu\text{L}$  of  $5 \text{ mM}$  MTT solution. After 4–6 h of incubation, the resulting formazan crystals (purple color) were dissolved in acidic isopropyl alcohol ( $75 \text{ }\mu\text{L}$ ) and the absorbance at  $570 \text{ nm}$  was recorded using TECAN's microplate reader. These assays were carried out in triplicates and the results were reported in Figure 2.

**Drug release studies via dynamic dialysis technique.**—The *in vitro* drug release studies were carried out at  $37 \text{ }^\circ\text{C}$  using esterase enzyme and at low pH. We have incubated  $2 \text{ mL}$  of functional nanoceria with a  $100 \text{ }\mu\text{L}$  of porcine liver esterase ( $1 \text{ mM}$ ) inside a dialysis bag of molecular weight cut-off  $6000\text{--}8000 \text{ Da}$ , and was placed in a PBS solution ( $200 \text{ mL}$ ,  $\text{pH} = 7.4$ ). The amount of drug molecules released from the functional FNC-Doxo ( $1.0 \times 10^{-3} \text{ mol}$ ) into the PBS solution was determined at regular time intervals by taking  $1 \text{ mL}$  aliquots from the PBS solution and replacing the same with PBS solution and fluorescence emission was measured at  $494 \text{ nm}$  for doxorubicin. The same procedure was followed with  $100 \text{ }\mu\text{L}$  of acidic PBS buffer ( $\text{pH} 6.0$ ) at  $37 \text{ }^\circ\text{C}$ . A standard calibration curve was used to calculate the concentration of the drug released and the cumulative drug release versus time was calculated using the following equation (Figure 3).  $\text{Cumulative release (\%)} = (\text{guest})_t / (\text{guest})_{\text{total}} \times 100$

**Fluorescence imaging: Cellular internalization and cancer treatment.**—The lung carcinoma A549 cells were seeded into 12-well plate and once cells become 75% confluent, they were treated with corresponding PNC-Doxo-GT, FNC-DiI and FNC-Doxo-GT ( $1.0 \times 10^{-3} \text{ mol}$ ) for 24 h in a humidified incubator ( $37 \text{ }^\circ\text{C}$ ,  $5\% \text{ CO}_2$ ). The cells were washed thrice with  $1 \times \text{PBS}$  ( $\text{pH} = 7.4$ ) and were fixed with 4% formaldehyde solution for 15 min at room temperature. The cells were then washed twice with  $1 \times \text{PBS}$  before treating with 6-diamidino-2-phenylindole (DAPI,  $5 \text{ mg/mL}$ ) dye for nuclei staining. Cells were washed with  $1 \times \text{PBS}$  and optical images were taken using fluorescence microscope (Olympus IX73) and results were shown in Figure 4A–4L. For control experiment, H9c2 cells were treated with FNC-Doxo-GT ( $1.0 \times 10^{-3} \text{ mol}$ ) and the results were shown in Figure 4M–4P.

**ROS detection assay.**—Cells were seeded into different petri-dishes at a density of 10,000 cells per well and treated with  $50 \text{ }\mu\text{L}$  of various nanoceria preparations (FNC, FNC-Doxo, FNC-Doxo-GT,  $\text{H}_2\text{O}_2$ ,  $1.0 \times 10^{-3} \text{ mol}$ ). After 6 h of incubation at  $37 \text{ }^\circ\text{C}$ , cells were washed twice with  $1 \times \text{PBS}$ . Then,  $20 \text{ }\mu\text{L}$  of DHE fluorescent probe was added to each well and incubated for 30 min at room temperature, followed by washing twice with  $1 \times \text{PBS}$  and cells were fixed with  $1 \text{ mL}$  of 4% paraformaldehyde. After fixation, cells were washed with  $1 \times \text{PBS}$ , stored with  $2 \text{ mL}$  PBS in each well and the optical images were taken using fluorescence microscope (Figure 5).

**Determination of ROS using IMAGE-J software.**—Using ROS fluorescence images, amount of ROS was quantified using commercial imageJ software. A particular cell from each treated FNCs condition was selected to get stack of values for the area, integrated density and mean fluorescence of background readings. Using CTCF formula, the corrected total cell fluorescence (CTCF) for each condition was calculated and the results were showed in Figure 6.  $CTCF = \text{Integrated Density} - (\text{Area of selected cell} \times \text{Mean fluorescence of background readings})$

**Apoptosis and necrosis assay.**—The apoptosis and necrosis assays were carried out using apoptosis and necrosis quantification kit obtained from Biotium and using fluorescence microscopy. First, A549 cells were seeded into three different petri-dishes at a density of 10,000 cells per well. Next, cells were treated with different preparations (FNC, FNC-Doxo and FNC-Doxo-GT,  $1.0 \times 10^{-3}$  mol) and incubated for 48 h. Cells were washed thrice with  $1 \times$  PBS and then fixed with 4% formaldehyde solution for 15 min at room temperature. Later, the cells were stained with two different dyes, 5  $\mu$ L of FITC-annexin buffer and 5  $\mu$ L of ethidium dimer III and incubated for 15 min. After washing thrice with  $1 \times$  PBS, cells were covered with  $1 \times$  binding buffer. Multiple fluorescence images were taken using two filters: 1) FITC for green fluorescence, represents apoptosis and 2) ethidium homodimer III for red fluorescence indicating necrosis (Figure 7).

**Migration or Invasion assay.**—Chemicon QCM 96-well cell-migration assay kit from Millipore was used to evaluate the migration of A549 cells in the presence of functional nanoparticles. Briefly, serum starved A549 cells were cultured for 24 h. Next, A549 cells were harvested and treated with the functional nanoceria (FNC-Doxo-GT,  $1.0 \times 10^{-3}$  mol) and PBS (control). Following treatment, cells were seeded into the upper chamber (transwell, invasion chamber), coated with type I collagen. Lower chamber (feeder tray) contained medium with 10% FBS and the whole setup was placed in the incubator for an additional 24 h to allow migration. Then, the migratory cells were dislodged completely from the invasion chamber and incubated with cell detachment buffer for 30 min. Finally, diluted solution of CYQuant cell lysis buffer was added to stain the migratory cells and was read on a fluorescent plate reader. Fluorescence intensity was measured at an emission wavelength of 520 nm (Figure 8).

## CONCLUSIONS

In conclusion, we have successfully synthesized functional nanoceria as drug delivery vehicle with unique drug cocktail for the treatment of NSCLC. Folate decorated nanoceria were formulated for the targeted delivery of combination of drugs, Doxo and GT. Resulting functional nanoceria demonstrated excellent drug payload as reflected by the encapsulation studies, enhanced stability, reduced systemic toxicity and higher therapeutic efficacy. As a result of combination therapy, more than 80% of NSCLC cells were dead within 48 h of incubation. Results indicated co-treatment with GT supplements the classical chemotherapeutic efficacy of Doxo as confirmed by cell-based experiments including MTT and apoptosis assays. Furthermore, ROS studies indicated that GT synergizes and enhance the therapeutic efficacy of Doxo via ROS production, while minimizes the potential cardiotoxicity of doxorubicin drug. Apoptosis and necrosis assays further indicated for the

synergistic effect of GT on Doxo's therapeutic action. In addition, migration assay validated the important role of this combination therapy approach in preventing lung cancer metastasis. Therefore, our current approach of delivering GT and Doxo using functional nanoceria may offer a robust nanoplatform for the targeted treatment of clinically challenging K-RAS driven NSCLC.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Funding Sources

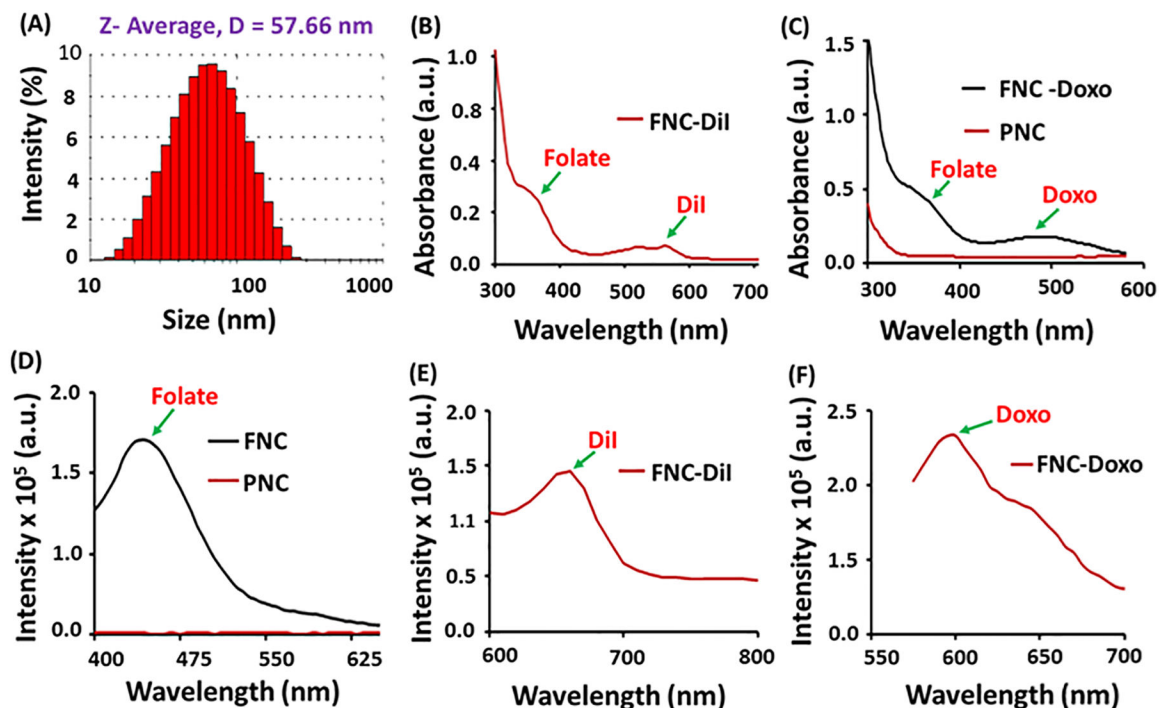
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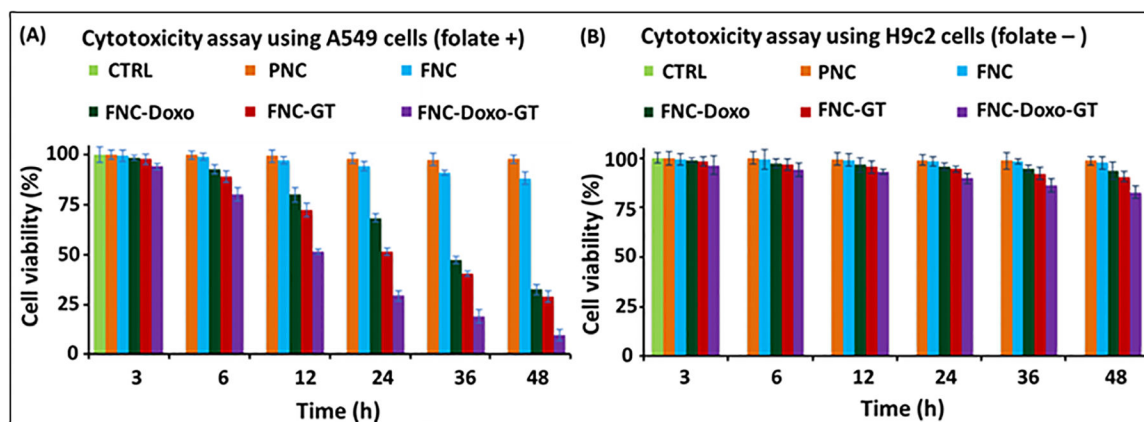
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**Figure 1.**

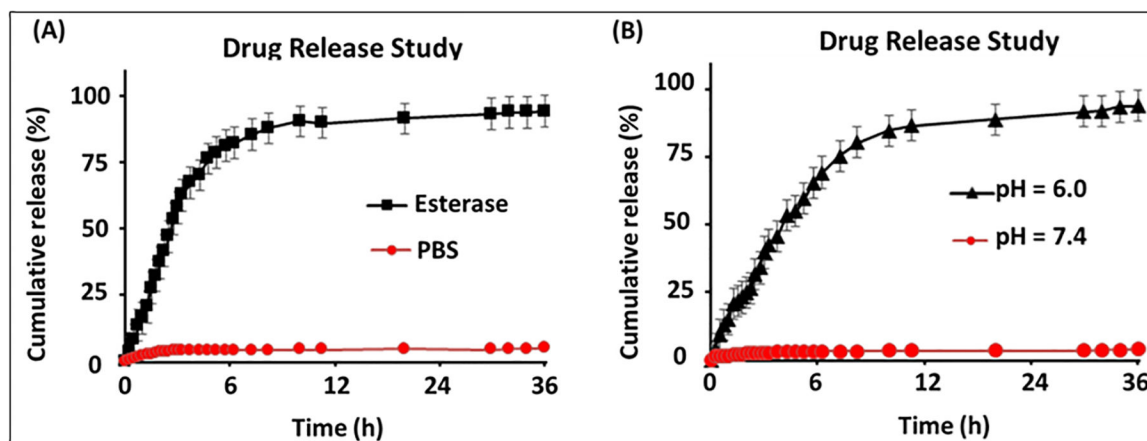
Characterizations of functional nanoceria. (A) Dynamic light scattering experiment showed the formation of stable PNC with an average diameter of  $57 \pm 2$  nm. UV-Vis absorption spectra of (B) DiI encapsulating FNC and (C) Doxo encapsulating FNC showed the presence of folic acid, DiI dye and Doxo. Fluorescence emission spectra of (D) FNC, (E) DiI dye encapsulating FNC and (F) Doxo encapsulating FNC further confirms for the successful synthesis.



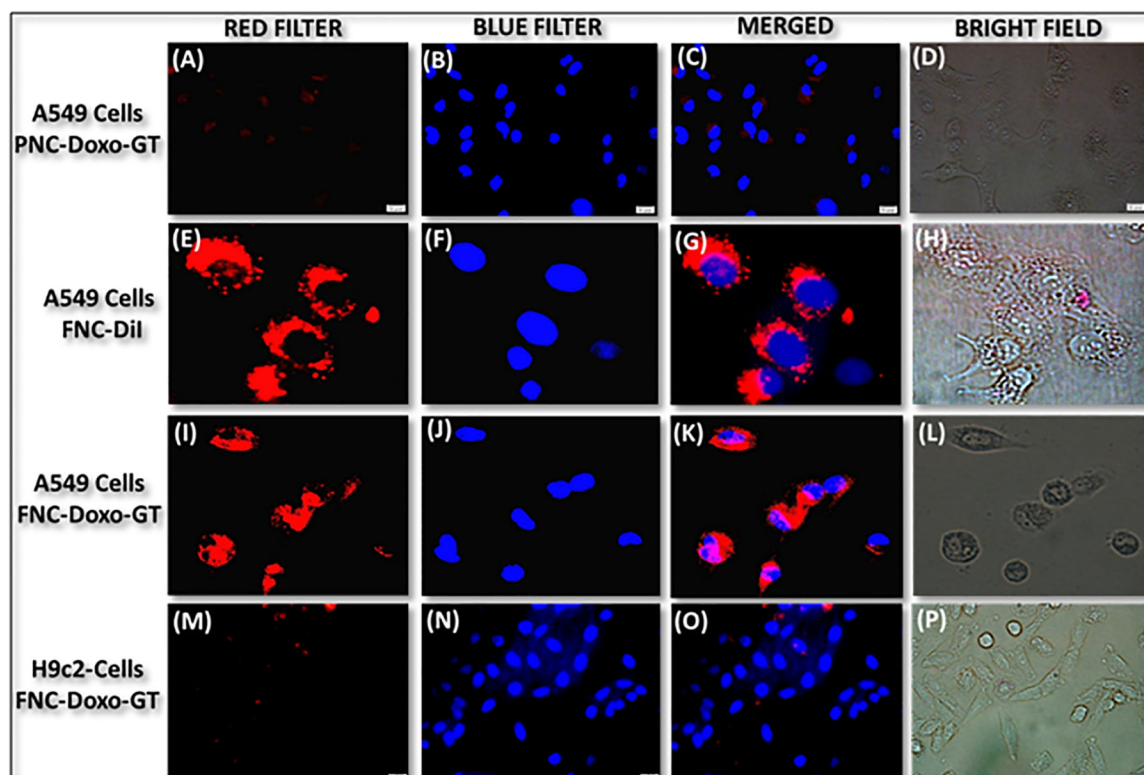
**Figure 2.**

Determination of cytotoxicity of the formulated functional nanoceria using MTT assay. **(A)** More than 70% of A549 cells were dead within 24 h when incubated with the combination of drugs carrying nanoceria, whereas, only 40% toxicity was observed with single drug delivered. However, more than 90% cells were found to be dead as a result of combination therapy after 48 h of incubation. **(B)** On the other hand, minimal toxicity was observed when functional nanoceria was incubated with H9c2 cells (FR-), suggesting for targeted delivery of drugs specifically to the tumor.  $1 \times$  PBS solution is used for the treatment of control cells. Average values of three measurements are depicted  $\pm$  standard error.

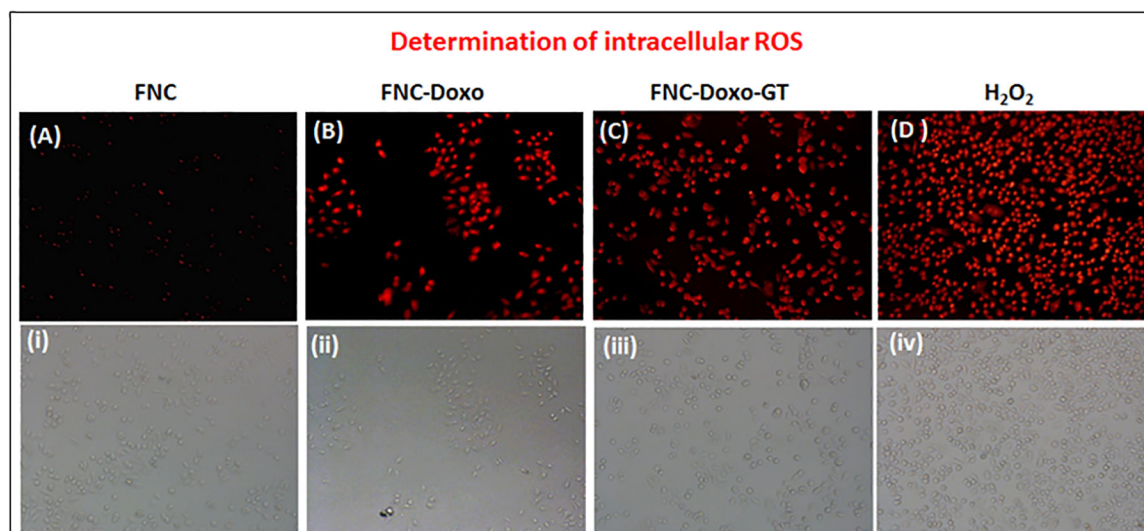




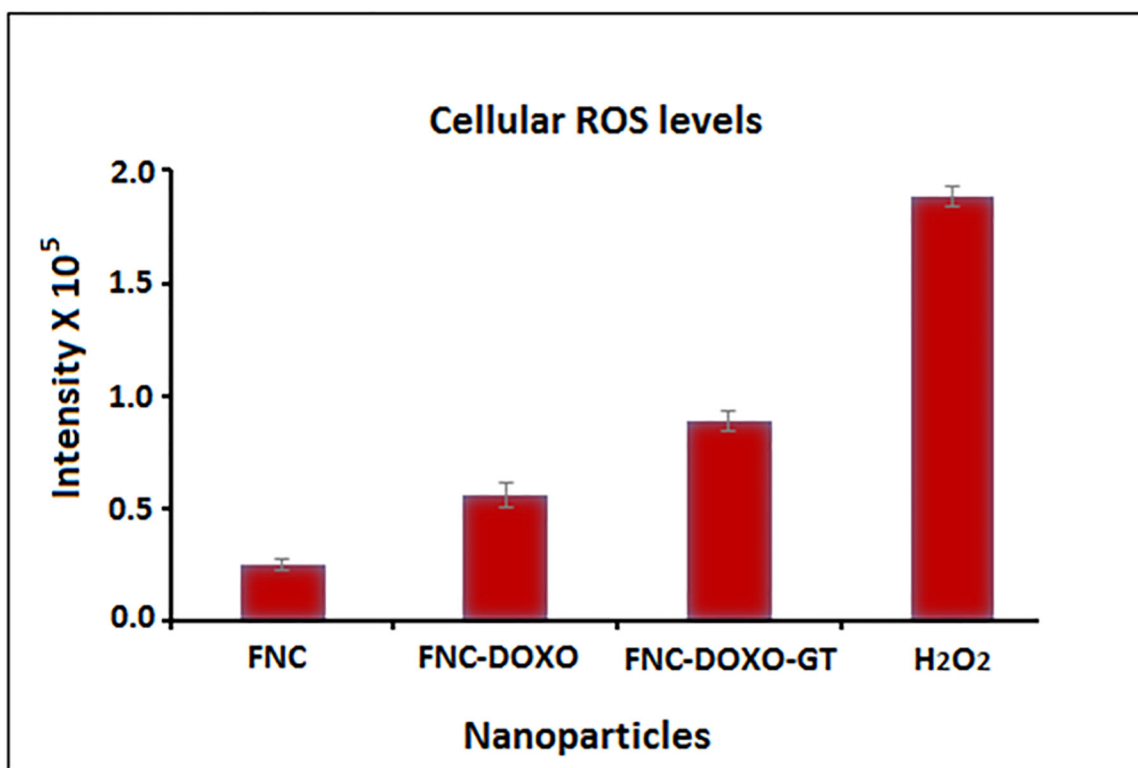
**Figure 3.** Drug release profiles of FNC-Doxo using dialysis method. Release of Doxo ( $\lambda_{em} = 594$  nm) was observed with time in the presence of **A)** esterase enzyme and **B)** in PBS at pH 6.0. However, the release of Doxo was minimal in PBS at pH 7.4 (Red line, **A** and **B**). The released Doxo emission was measured using plate reader at  $\lambda_{ex} = 497$  nm. The results were recorded at  $\lambda_{em} = 594$  nm and plotted in term of % cumulative release. Average values of three measurements are depicted  $\pm$  standard error.



**Figure 4.** Representative fluorescence microscopic images of the *in vitro* cellular imaging and drug delivery experiments. (A-D) Minimal internalization was observed in the case of drug-loaded PNC, whereas (E-H) effective cellular internalization was observed with functional FNC (**4b**, Scheme 1). However, when drugs-loaded FNC (**5d**, Scheme 1) were incubated A549 cells, substantial amount of cell death was observed (I-L). On the other hand, (M-P) minimal internalization was observed in healthy H9c2 cells, indicated for the targeted drug delivery. Nucleus stained with DAPI dye (blue).

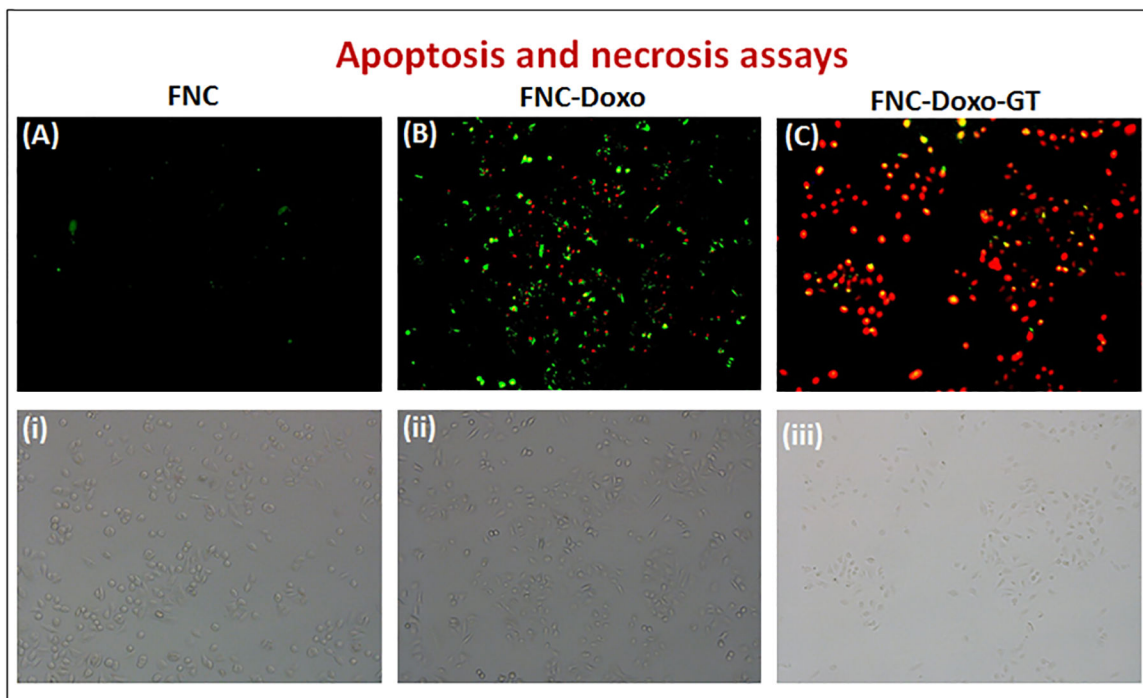


**Figure 5.** Determination of ROS generation. **(A-D)** Fluorescence microscopic images showing relative increase in fluorescence from A549 cells due to the generation of increased amount of ROS after incubating with FNC, FNC-Doxo, FNC-Doxo-GT and H<sub>2</sub>O<sub>2</sub>, respectively. Images **(i-iv)** are corresponding bright field images.

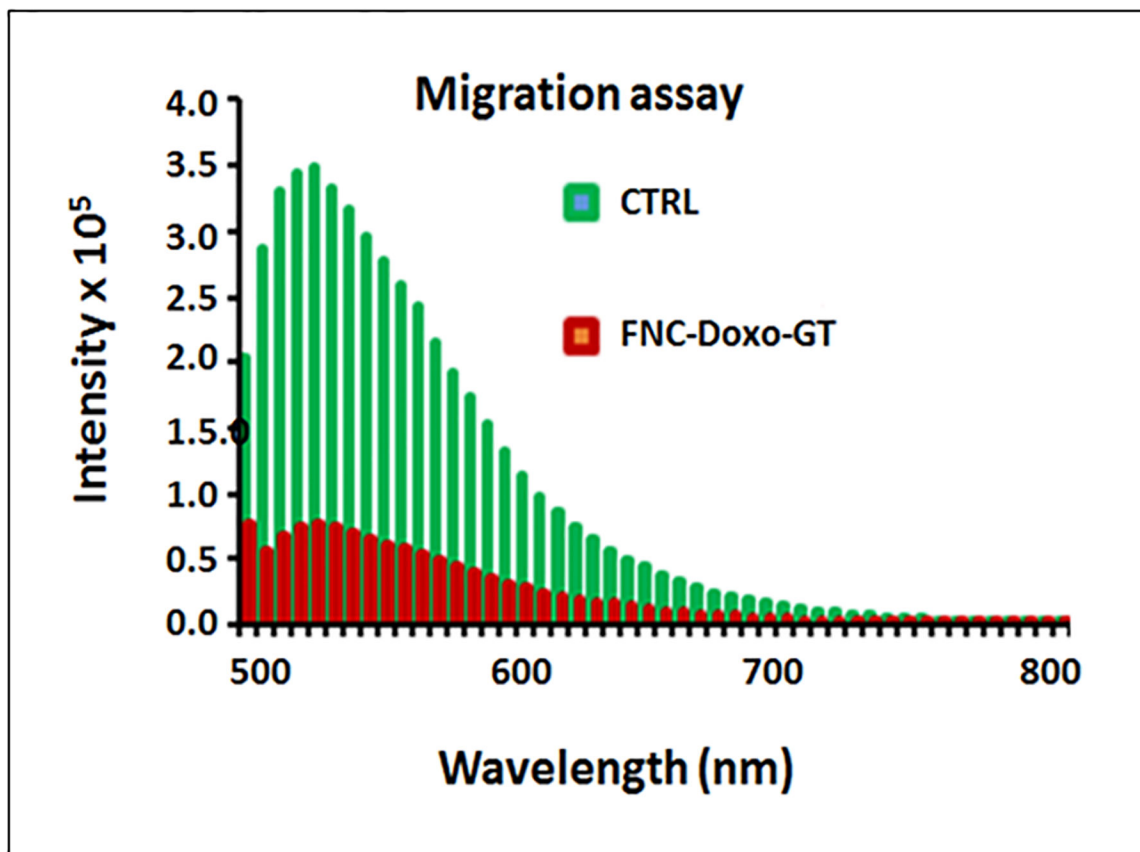


**Figure 6.**

The numerical data were calculated from corresponding ROS images using IMAGE J software. Results showed relatively higher fluorescence from the FNC-Doxo-GT treated A549 cells due to the presence of increased amount of ROS, as expected. Average values of three measurements are depicted  $\pm$  standard error.

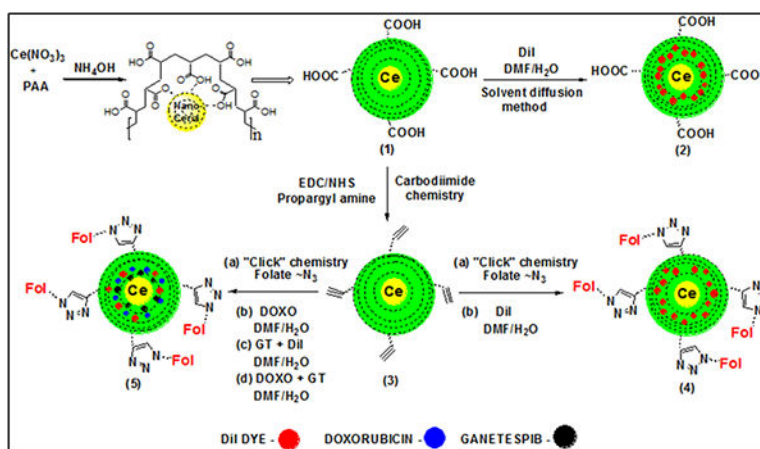


**Figure 7.** Detection of apoptotic and necrotic cell death by fluorescence microscopy using annexinV-FITC and Ethidium Homodimer III. (A) Healthy cells emitted almost no fluorescence representing early apoptotic cells. (B) Apoptotic cells emitted green fluorescence after treatment with FNC-Doxo, and (C) Necrotic cells emitted red fluorescence when treated with FNC with combination of drugs. (i), (ii) & (iii) represents corresponding bright field images.



**Figure 8.**

Assessment for the inhibition of migration of highly metastatic A549 cells via migration assay. Control cells (green lines) incubated with FNC with no drug showed maximum invasion, whereas, combination of drugs carrying FNC (red lines) treated A549 cells showed minimal migration. The sample average value of three successive measurements is presented in both CTRL and FNC-Doxo-GT experiments.



### Scheme 1.

Formulation of functional and biocompatible FNC: (1) Synthesis of PAA polymer-coated cerium oxide nanoparticles (PNC) and (2) DiI dye encapsulating PNC. (3) Propargylated nanoceria was synthesized using carbodiimide chemistry. (4 and 5) "Click" chemistry was used to synthesize FNC, where combination of drugs was encapsulated using solvent diffusion method.