Aberrant Patterning of Neuromuscular Synapses in Choline Acetyltransferase-Deficient Mice

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In this study we examined the developmental roles of acetylcholine (ACh) by establishing and analyzing mice lacking choline acetyltransferase (ChAT), the biosynthetic enzyme for ACh. As predicted, ChAT-deficient embryos lack both spontaneous and nerve-evoked postsynaptic potentials in muscle and die at birth. In mutant embryos, abnormally increased nerve branching occurs on contact with muscle, and hyperinnervation continues throughout subsequent prenatal development. Postsynaptically, ACh receptor clusters are markedly increased in number and occupy a broader muscle territory in the mutants. Concomitantly, the mutants have significantly more motor neurons than normal. At an ultrastructural level, nerve terminals are smaller in mutant neuromuscular junctions, and they make fewer synaptic contacts to the postsynaptic muscle membrane, although all of the typical synaptic components are present in the mutant. These results indicate that ChAT is uniquely essential for the patterning and formation of mammalian neuromuscular synapses.

Key words: choline acetyltransferase; acetylcholine; neural development; mice; neuromuscular; gene knock-out

Introduction

The study of acetylcholine (ACh) and cholinergic functions has a long and rich history (for review, see Karczmar, 1996). Numerous studies have focused on the role of ACh in the development of spinal motor neurons and their peripheral synapses, neuromuscular junctions (NMJs). Both the patterning and formation of NMJs require coordinated interactions between the nerve terminals, Schwann cells, and muscle cells (Burden, 1998; Sanes and Lichtman, 1999). NMJs do not develop at random locations in muscles; instead each is assembled in a narrow central region of its muscle fiber, with many NMJs in a row forming an "end plate band" across fibers. It has recently come to light that the central region of the muscle fiber is endowed with intrinsic signals for the initiation of synaptogenesis. By mouse embryonic day 14.5 (E14.5), ACh receptors (AChRs) have begun to form clusters in the central band of muscle via a nerve-independent mechanism (Lin et al., 2001; Yang et al., 2001). Many of these early AChR clusters are not apposed by nerve terminals. Subsequently, the nerve provides both positive and negative signals that promote additional development of the NMJ. These neural signals regulate the width of the end plate band, the differentiation and stabilization of AChR clusters, and the dispersion of AChR clusters not stabilized by the nerve (Davis et al., 2001; Ferns and Carbonetto,

Received May 17, 2002; revised Oct. 21, 2002; accepted Oct. 30, 2002.

This work was supported by grants from the National Institutes of Health/National Institute on Aging, the Muscular Dystrophy Association, and the John Douglas French Alzheimer's Foundation. K.F.L. is a Pew Scholar. We thank Kevin Gobeske, Nushin Sherkat, James Henry, Lynne Moore, Bobbi Miller, Linda Kitabayashi, Steve Forbes, Andrew Chen, Samir Koirala, and Yelena Dayn for excellent technical assistance, and Mu-Ming Poo, Steve Heinemann, Rebecca Tuttle, Rebecca Cole, and Mary L. Gage for helpful comments on this manuscript.

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2001; Lin et al., 2001; Yang et al., 2001). From these recent results, two important questions arise. First, what roles do these nerveindependent AChR clusters have in the patterning and formation of synapses? Second, is the region outside of the central band of developing muscle capable of forming synapses? We postulate that ACh released from the incoming motor nerve acts through these nerve-independent AChR clusters to make the central band of muscle particularly permissive for both the cessation of nerve growth and the induction of synapse formation. Alternatively, or in addition, the muscle region outside the central band may contain the necessary machinery for synapse formation but becomes nonreceptive for nerve terminals as a result of ACh activation of the nerve-independent AChRs in the central band of muscle. We hypothesize that through one or both of these mechanisms, ACh contributes to the centralization of NMJs (Lin et al., 2001).

Previous studies to probe the role of ACh in NMJ development have relied primarily on anticholinergic or activity-blocking agents. Several investigators have used the application of AChR antagonists such as d-turbocurare (dTC) to chicks in ovo (Burden, 1977; Pittman and Oppenheim, 1978; Srihari and Vrbova, 1978; Dahm and Landmesser, 1988, 1991; Oppenheim et al., 1989, 2000; Hory-Lee and Frank, 1995; Usiak and Landmesser, 1999), an approach that has proved to be very informative. However, the analogous in utero pharmacological interventions in rodents have been of limited use because at stages before nerve-muscle contact, the manipulations have dire effects on the pregnant mother and/or developing fetuses (Braithwaite and Harris, 1979; Houenou et al., 1990). Furthermore, some results between chicks and rodents have been discordant. For example, although the regulation of motor nerve branching by ACh was observed in chicks, it was not seen in mice (Houenou et al., 1990). This difference may be attributable to the technical difficulty of the application of dTC before the arrival of nerves at the muscle in mouse embryos.

To reconcile and extend previous results and to directly examine the physiological role of ACh in the patterning and formation of neuromuscular synapses in mammals, we used gene targeting to nullify the *Chat* gene in mice and eliminate choline acetyltransferase (ChAT; acetyl-coenzyme A:choline *O*-acetyltransferase; EC 2.3.1.6), the biosynthetic enzyme for ACh. Our results confirm and extend many of the previous findings from chicks. In particular, *Chat* null mutants exhibit muscle hyperinnervation and increased motor neuron survival. The mutants show a concomitant broadening of the muscular territory occupied by synapses. By late gestation, the synapses in mutants are abnormal morphologically. The results indicate that ACh is required to regulate axonal growth and to determine the location of synapses in the muscle.

Portions of this study have been published previously in abstract form (Brandon et al., 2000).

Materials and Methods

Generation of mutant mice. Although the genomic structure of mouse Chat has not been described, it is known that in rats and humans, ChAT is encoded by a large gene that includes 14 coding exons in the 3' region that are used in all transcripts. In contrast, the 5' region of the gene is complicated, with alternative splicing, multiple transcription and translation start sites, and the gene encoding the vesicular ACh transporter embedded in the first intron (Hahn et al., 1992; Ohno et al., 2001). To avoid this 5' region, the catalytic portion of the protein was targeted for deletion. Site-directed mutagenesis of Drosophila ChAT has shown that conserved histidines (H302 and H335 in mice) are critical for enzymatic activity (Carbini and Hersh, 1993). Point mutations in this same region of the human gene reduce protein expression and lower the efficiency of ACh synthesis (Ohno et al., 2001). We found the genomic structure of this region of mouse Chat to be homologous to those described for rat and human genes. As shown in Figure 1 A, the targeting vector was designed to replace exons 11, 12, and 13 [using the recent nomenclature used to describe human CHAT (Ohno et al., 2001)] with a neomycin resistance cassette expressed in the opposite orientation from Chat. The targeting vector was linearized with NotI and electroporated into J1 embryonic stem (ES) cells as described previously (Lee et al., 1992). After selection in 0.2 mg/ml G418 (active form) for 7-9 d, neomycin-resistant clones were isolated and screened for the presence of the disrupted Chat allele by Southern blot analysis. Positive ES clones were injected into C57BL/6 blastocysts to generate chimeric mice. PCR of tail DNA with a phosphoglycerate kinase-neomycin (pgk-neo)-specific oligonucleotide, a Chat exon 13 oligonucleotide, and a shared Chat intronic oligonucleotide was used for genotyping. The use of animals is in compliance with the guidelines of the Animal Care and Use Committee of the Salk Institute.

ChAT assay. Tissue was homogenized by sonication in 100 μ l of a solution containing 0.1% Triton X-100 and 0.87 mm EDTA, pH 7.0, and was not further diluted because of the low activity present at this stage. ChAT activity was assayed in triplicate by the incorporation of ¹⁴C-acetyl-coenzyme A into ¹⁴C-acetylcholine as described previously and is expressed as nanomoles of ACh synthesized per hour per milligram of protein (Pizzo et al., 1999).

Electrophysiology. Intracellular sharp-electrode recording was performed blind to genotype on phrenic nerve/diaphragm preparations from E17.5 embryos. Tissue was dissected in oxygenated normal mouse Ringer's (NMR) solution (in mm): 135 NaCl, 5 KCl, 15 NaHCO₃, 1 Na₂HPO₄, 1 MgSO₄, 2.5 Ca gluconate, and 11 glucose, pH 7.4, pinned to Sylgard-coated dishes, and continuously perfused with oxygenated NMR. Glass microelectrodes filled with 3 M KCl were used to record spontaneous miniature end plate potentials (mepps) at 22–24°C for ~5 min. End plate potentials (epps) were evoked by suprathreshold stimulation of the phrenic nerve via suction electrode and were recorded in NMR containing 10 mM Ca gluconate and 5–12 mM dTC (to prevent muscle contractions). Data were collected and analyzed using pClamp

(version 8.0; Axon Instruments, Foster City, CA) and Minianalysis (Synaptosoft, Decatur, GA).

Motor neuron counts and stereology. Spinal columns were isolated from embryos (n = 5 wild-type embryos and 7 mutants) fixed in 4% paraformaldehyde (PFA), equilibrated with 30% sucrose, and transversesectioned at 14 µm thickness. Sections were stained with 2% thionine solution. Motor neurons were counted blind to genotype, bilaterally, based on morphology and location in every 16th section, and values were multiplied by the number of sections to generate total estimates. Only cells with a clear nucleus and nucleolus were counted. A two-tailed t test was used to determine statistical significance. Despite the kyphosis of the mutants, the distance of spinal segments from C2 to T6 did not differ between genotypes (data not shown). Stereological measurements of motor neuron size were performed on all of the counted cells on every 64th section, and the average motor neuron size for each spinal segment examined was determined for each embryo. In total, 1986 profiles were measured in 12 embryos. ANOVA was used to determine statistical significance.

Immunocytochemistry. For ChAT immunohistochemistry, embryos were fixed in 4% PFA, equilibrated with 30% sucrose, and coronally sectioned at 25 µm thickness. Sections were dried on glass slides, rinsed (three times with 0.1 M TBS), incubated for 45 min in 0.6% H₂O₂ in 0.1 M TBS, rinsed again, incubated for 1 hr in blocking buffer (0.1 M TBS, 5% donkey serum, and 0.1% Triton X-100), and then incubated overnight at 4°C in primary goat antibody against ChAT (1:100; Chemicon, Temecula, CA) in blocking buffer. The slides were rinsed, incubated in biotinylated donkey anti-goat IgG (1:250; Jackson ImmunoResearch, West Grove, PA) in blocking buffer for 3 hr, rinsed, incubated in ABC-Elite reagent (Vector Laboratories, Burlingame, CA) for 1 hr, rinsed, and developed in DAB. For whole-mount analyses, embryos were fixed in 2% PFA in 0.1 M phosphate buffer, pH 7.3, at 4°C overnight. Diaphragm and intercostal muscles were dissected, rinsed briefly with PBS, pH 7.3, incubated in 0.1 M glycine in PBS for 1 hr, and rinsed briefly with PBS and then with 0.5% Triton X-100 in PBS. The muscles were blocked in dilution buffer (150 mm NaCl, 0.01 m phosphate buffer, 3% BSA, 5% goat serum, and 0.01% thimerosal) overnight at 4°C and then incubated with primary rabbit antibodies against neurofilament 150 (1:1000; Chemicon), synaptophysin (1:1000; a gift from P. DeCamilli, Yale University, New Haven, CT), or S100 β (1:500; a Schwann cell marker) in dilution buffer overnight at 4°C. After washing three times for 1 hr each in 0.5% Triton X-100 in PBS, the muscles were incubated in fluorescein isothiocyanateconjugated goat anti-rabbit IgG (1:600; Cappel, Cochranville, PA) and Texas Red-conjugated α -bungarotoxin (10⁻⁸ M; Molecular Probes, Eugene, OR) overnight at 4°C. The muscles were then washed three times for 1 hr each with 0.5% Triton X-100 in PBS, washed once with PBS, and flat-mounted in 90% glycerol, polyvinyl alcohol, and N-propyl gallate. Images were collected with an Olympus Optical (Tokyo, Japan) confocal microscope. For quantitative analysis of AChR clusters, the numbers of AChR clusters in a matching $4.8 \times 10^5 \ \mu \text{m}^2$ area of the ventral–costal portion of diaphragm muscle from control (n = 3) and mutant (n = 3)embryos were counted. The data were collected in 100 μ m bins emanating from the medial edge of the muscle, perpendicular to the muscle fibers, and results were expressed as an average number of AChR clusters in each 100 μ m interval.

Acetylcholinesterase histochemistry. Tissues were dissected from embryos or postnatal animals fixed with 4% PFA, rinsed in TBS several times, and incubated in a solution of (in mm): 0.2 ethopropazine, 4 acetylthiocholine iodine, 10 glycine, 2 cupric sulfate, and 65 sodium acetate solution, pH 5.5, for 2–4 hr at 37°C. Staining for acetylcholinesterase (AChE) was developed by incubating the tissues for 2–5 min in sodium sulfide (1.25%), pH 6.0. The tissues were then washed extensively with water, cleared with 50% glycerol in PBS, and mounted on a glass slide before imaging.

In situ *hybridization*. For whole-mount *in situ* hybridization, diaphragm muscles were fixed in 4% PFA in 0.1 M phosphate buffer at 4°C overnight. Digoxigenin-labeled AChR α cRNA probes were transcribed *in vitro*. Hybridization was performed at 70°C overnight in the hybridization buffer containing 50% formamide, 1.3× SSC, 5 mM EDTA, 50 μ g/ml yeast tRNA, 0.2% Tween 20, 0.5% 3-[(3-cholamidopropyl)

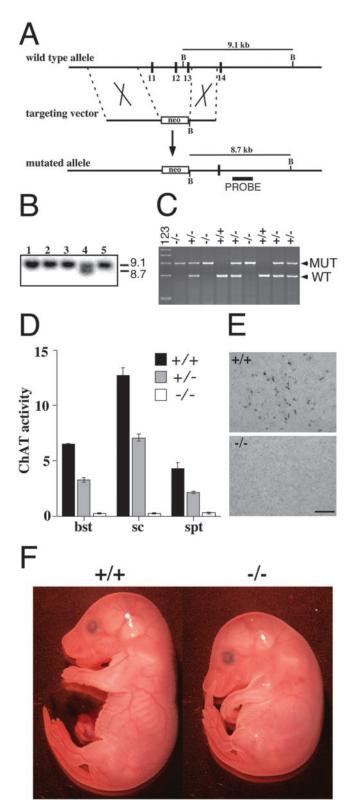


Figure 1. Generation of *Chat* mutant mice. *A, Top*, The genomic region of the *Chat* gene containing exons 11–14. *Middle*, The targeting vector, constructed by deleting a fragment of genomic DNA containing exons 11–13 and replacing it with a pgk-neo cassette (*neo*), contained 4.9 kb of 5' and 2.1 kb of 3' homologous DNA. *Bottom*, The resulting mutated *Chat* allele. *B, BamH*II. *B,* ES clones that underwent homologous recombination were identified by Southern blot analysis. DNA was digested with *BamH*II and hybridized with the probe depicted in *A*, which detects a 9.1 kb wild-type (*WT*) band and an 8.7 kb mutant (*MUT*) band. *Lane* 4 is a heterozygous clone. *C,* PCR analysis of embryos clearly identifies the different genotypes. *D,* ChAT activity (nanomoles per hour per milligram of protein) was determined in brain stem (*bst*), spinal cord

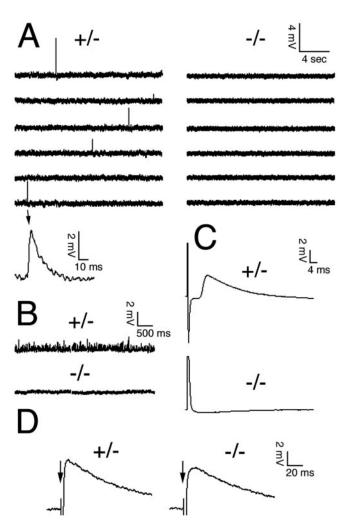


Figure 2. Synaptic transmission is absent in *Chat* mutant NMJ. *A*, Spontaneous mepps were observed in control (+/-) diaphragm but not in the null mutant (-/-) diaphragm. One mepp is expanded below. *B*, On treatment with potassium chloride, a drastic increase in mepp frequency was observed in the control (+/-) but not in the mutant (-/-). *C*, Nerve-evoked epps were readily detected in control (+/-) but not in mutant (-/-) muscle fibers. Averaged responses from 11 to 12 fibers per genotype are shown. *D*, The ACh agonist carbachol elicited synaptic responses in both control (+/-) and mutant (-/-) muscles, demonstrating that AChR clusters are functional in the mutants (*arrows* indicate time of carbachol application).

dimethylammonio]-1-propanesulfonate, and 100 μg/ml heparin. After hybridization, the samples were washed three times with TBS containing 1% Tween 20 for 1 hr each, blocked with 5% goat serum in dilution buffer, and incubated with alkaline phosphatase conjugated anti-digoxigenin (1:1000; Boehringer Mannheim, Indianapolis, IN) overnight at 4°C. Detection was performed in 100 mm Tris, pH 9.5, containing nitroblue-tetrazolium-chloride/5-bromo-4-chlor-indolyl-phosphate. To determine whether AChR transcript is found at synaptic sites, we performed *in situ* hybridization analysis on frozen sections of diaphragm muscles that had been processed for AChE staining (see above). Briefly, diaphragm muscle was stained for AChE, fixed in 4% PFA, cryoprotected in

(sc), and septum (spt) samples collected from E18.5 embryos. Only background activity was detected in homozygous (spen bars; -/-) Chat mutants. Samples from heterozygous (shaded bars; +/-) embryos contained approximately half of the control (filled bars; +/+) ChAT activity. Error bars indicate SEM. E, Immunohistochemistry demonstrated that ChAT immunoreactivity is not detected in the nucleus basalis of mutant (-/-) mice. Scale bar, 100 μ m. F, An E16.5 Chat mutant (-/-) embryo compared with a control (+/+) littermate.

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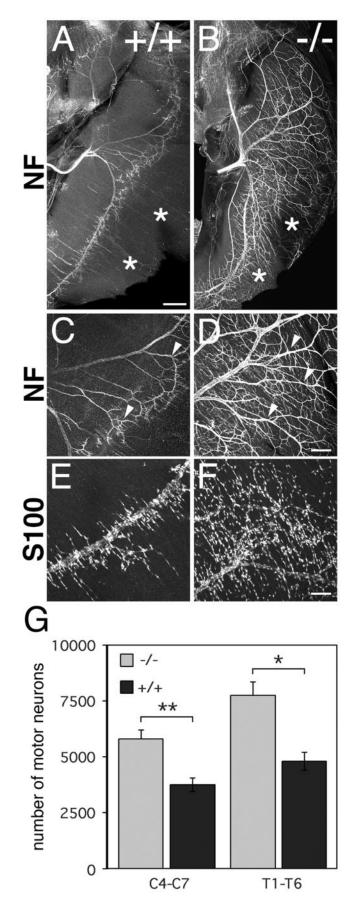


Figure 3. Hyperinnervation of *Chat* mutant muscle. E18.5 diaphragm muscles were collected from control (A; +/+) and mutant (B; -/-) embryos and immunostained with

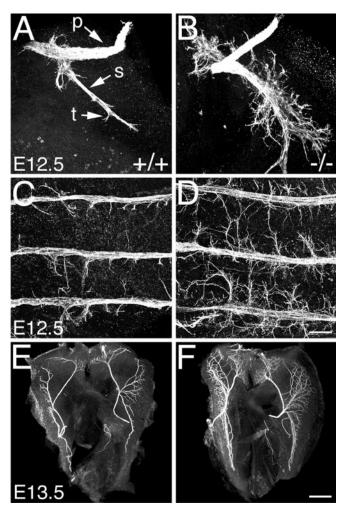


Figure 4. Increased nerve branching on first contact with muscle. Diaphragm anlages were collected from control (A;+/+) and mutant (B;-/-) embryos at E12.5 and immunostained with anti-NF antibodies. The phrenic nerve in control embryos is tightly bundled, with little defasciculation. In contrast, the phrenic nerve in the mutants is highly branched. Primary (p), secondary (s), and tertiary (t) branches of the phrenic nerve are shown. A similar phenomenon is seen with the innervation of the intercostal muscles: control (C) nerve grows primarily in a single fascicle, whereas in the mutant (D), highly branched axons emerge from the bundle. Increased nerve branching is pronounced in E13.5 mutant diaphragm muscles (F) compared with controls (E). Scale bars: (in (D)) (E), (D), (E), (E),

30% sucrose, and sectioned at 20 μ m thickness. Sections were hybridized with a ³³P-labeled AChR α riboprobe. Slides were dipped in liquid nuclear emulsion (type NTB2; Kodak, Rochester, NY) and exposed for 5 d. Finally, slides were photographically processed and counterstained with hematoxylin and eosin.

Electron microscopy. E17.5 pregnant females were killed by cervical dislocation, and the embryos were removed. After the tail was removed for PCR analysis, the remainder of the body was placed in a solution of 2% glutaraldehyde in 0.1 M phosphate buffer, pH 7.4. The diaphragm

anti-NF antibodies. An effusion of nerve branching (arrowheads in C and D) as well as extensive innervation of normally nonpermissive regions (asterisks) were observed in the diaphragm muscles of mutants. At higher-power magnification, detailed analysis indicates that axons not only leave, but also rejoin, nerve bundles in mutant embryos (D). S100 β -immunoreactive Schwann cells are present in both control (E) and mutant (F) embryos. Scale bars: (in A) A, B, 500 μ m; (in D) C, D, 200 μ m; (in F) E, F, 50 μ m. G, Motor neuron counts revealed that the number of motor neurons was significantly increased in the Chat mutant (-/-) embryos in both cervical (C4-C7; **p < 0.005) and thoracic (T1-T6; *p < 0.01) spinal regions compared with wild-type control (+/+) littermates.

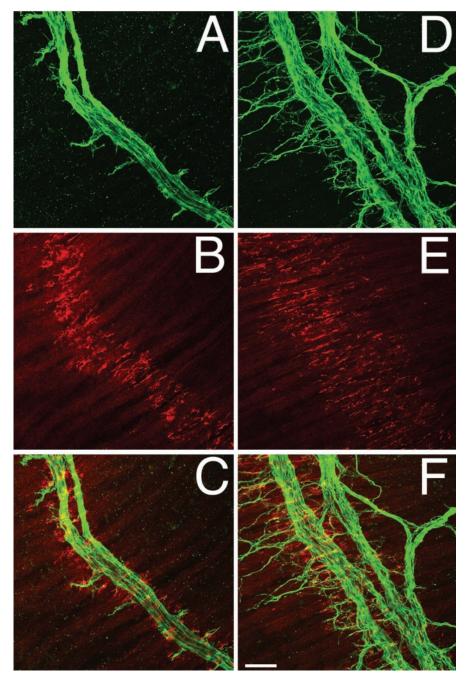


Figure 5. AChRs are clustered in the central band of *Chat* mutant muscle at E14.5. Whole-mount diaphragms were double-labeled with anti-NF antibodies (A, control, +/+; D, mutant, -/-) and Texas Red-conjugated α -bungarotoxin (B, control; E, mutant). In both control and mutant embryos, AChR clusters are found along the central band of the muscle, although AChR clusters in *Chat* mutants (E) are distributed over a broader region compared with those in the controls (B). *Merged images* show that the intramuscular nerve trunk extends along the central band of AChR clusters in both control (C) and *Chat* mutant embryos (C). Note that nerves in the *Chat* mutants occupy a much broader region of muscle. Scale bar, 50 Cm.

muscles were dissected out and fixed in the same solution overnight at 4°C. The tissue was then rinsed with buffer and postfixed in 2% osmium tetroxide in buffer for 1 hr on ice. The tissue was then dehydrated in a graded series of ethanol, infiltrated, and polymerized in Epon 812 (Polysciences, Warrington, PA). Ultrathin sections were stained with uranyl acetate and lead citrate, and electron micrographs were recorded using either a Jeol (Tokyo, Japan) 100CXII or Philips (Eindhoven, The Netherlands) EM420 electron microscope operated at 80 kV.

Electron microscopy morphometry. The electron micrographs of NMJ sections were digitized and analyzed using Scion Image software (Scion

Corporation, Frederick, MD). The following measurements were made from each presynaptic nerve terminal profile: perimeter length, nerve terminal area, synaptic contact length, active zone number, docked synaptic vesicle number, and synaptic vesicle density. Morphological criteria for distinguishing synaptic components were based on a previous study by Kelly and Zacks (1969). The synaptic contact was measured as the length of the presynaptic plasma membrane that was apposed to the postsynaptic muscle membrane at a distance of 50-80 nm. The active zone was defined as a cluster of synaptic vesicles at the presynaptic membrane. A docked synaptic vesicle was defined as a presynaptic plasma membrane-attached vesicle at the active zone. The synaptic vesicle density was determined as the number of synaptic vesicles in a 0.04 μ m² area surrounding the active zone or immediately adjacent to the presynaptic membrane in the region of synaptic contact for sections not passing through active zones (Herrera et al., 1985). In addition, the postsynaptic membrane length (l) and the number of presynaptic nerve terminals per junction were measured. When junctional folds were present, the membrane length including the folds (L) was also measured. Then, the junctional fold length was obtained by calculating (L - l). A twotailed t test was used to determine statistical significance.

Results

Generation of ChAT-deficient mice

To investigate the role of ACh in mammalian neural development, we established mice lacking ChAT and, hence, ACh biosynthesis. As illustrated in Figure 1, a targeting construct was generated, linearized, and electroporated into J1 ES cells (Fig. 1A). Of 843 ES cell clones screened by Southern blot analysis, two were identified that contained the disrupted Chat allele (Fig. 1B); these were injected into C57BL/6 blastocysts to generate chimeric mice. Germ-line transmission of the mutant allele was obtained, and the mutant allele is transmitted in a Mendelian manner (Fig. 1C). Mice heterozygous for the Chat mutation are healthy and fertile despite a marked reduction in ChAT activity (Fig. 1D). At all stages of embryonic development, one-quarter of the embryos in heterozygous cross litters were found to be homozygous Chat mutants (data not shown), indicating that no prenatal lethality is associated with the mutation.

However, homozygous *Chat* mutant embryos die at birth, presumably because of an absence of synaptic transmission in the diaphragm muscle. That this allele represents a null mutation of the *Chat* gene was confirmed by an absence of ChAT activity in the brain stem, spinal cord, and septum (Fig. 1*D*) and of ChAT immunoreactivity in the basal forebrain (Fig. 1*E*) of E18.5 embryos. Morphologically, *Chat* mutants are shorter than control littermates (E18.5, crown-to-rump length of 19 ± 0.2 vs 24 ± 0.6 mm, respectively; n = 7 mutant, 4 wild type; p < 0.0001) and

display kyphosis (hunchback) and carpoptosis (wrist drop) (Fig. $1\,F$). These overt morphological characteristics are readily apparent by approximately E15.5.

Although ChAT is believed to be the sole enzyme responsible for ACh synthesis in vivo, it is possible that the elimination of ChAT activity was not sufficient to eliminate ACh. To confirm that *Chat* homozygous mutant embryos were indeed deficient in ACh, we performed electrophysiological analyses of the NMJ. Intracellular recording of muscle fibers was performed in an intact nerve–muscle preparation of control and *Chat* mutant samples. In contrast to the control, which showed spontaneous mepps (Fig. 2A) and nerve-evoked epps (Fig. 2C), Chat mutant muscle did not show any activity (Fig. 2A,C). In addition, 40 mm potassium induced massive activity in control muscle but not in Chat mutant muscle (Fig. 2B). Interestingly, treatment with the ACh agonist carbachol evoked postsynaptic membrane potentials and caused muscle contraction in both mutant and control preparations (Fig. 2D), demonstrating that muscle is capable of responding to ACh, which also suggests that ACh receptors are present and functional in the Chat mutants. These electrophysiological experiments provide compelling evidence that in *Chat* mutants, the elimination of ChAT results in a complete elimination of neurotransmission at the NMJ.

Increased innervation and spinal motor neuron survival

To examine the innervation pattern of motor nerves in the *Chat* mutant mice, whole-mount diaphragm muscles from E18.5 embryos were immunostained with an anti-neurofilament (NF) antibody. As shown in Figure 3A–D, the phrenic nerve innervates the central band of the diaphragm muscle in the control embryos (Fig. 3A,C), but the nerve is highly branched and innervates a much broader region in the Chat mutants (Fig. 3B,D). Similar results were observed in E18.5 limb and intercostal muscles (data not shown). These results are consistent with previous studies in chick embryos treated with AChR antagonists (Burden, 1977; Pittman and Oppenheim, 1978; Srihari and Vrbova, 1978; Dahm and Landmesser, 1988, 1991; Oppenheim et al., 1989, 2000; Hory-Lee and Frank, 1995; Usiak and Landmesser, 1999). To determine whether Schwann cells are present in *Chat* mutants, we examined the distribution of Schwann cells in motor nerves. Immunostaining with antibody against S100β revealed that Schwann cells are present and distributed along motor nerves in control (Fig. 3E) and Chat mutant embryos (Fig. 3F). Electron microscopy (EM) studies also demonstrated that terminal Schwann cells are present in mutant embryos (see Fig. 9).

Spinal motor neurons, one of the major cells that express ChAT, respond to neurotrophic factors from the target for survival. In light of increased muscle innervation, we sought to determine whether motor neuron survival is affected in ChAT mutants. Consistent with previous studies using ACh antagonists with chicks *in ovo* (Pittman and Oppenheim, 1978; Srihari and Vrbova, 1978; Dahm and Landmesser, 1988, 1991; Oppenheim et al., 1989, 2000; Hory-Lee and Frank, 1995; Usiak and Landmesser, 1999), homozygous *Chat* mutant embryos were found to have \sim 60% more motor neurons in cervical and thoracic segments than control embryos (Fig. 3*G*). In addition, stereological measurement revealed that the average area of a motor neuron cross section is increased by \sim 10% in this region (n=7 mutant, 5 wild type; p < 0.05).

To determine when increased innervation occurs in the *Chat* mutant embryos, spinal cord sections from embryos at E12.5 were immunostained with an anti-NF antibody. This is the stage at which the developing phrenic nerve first arrives at the dia-

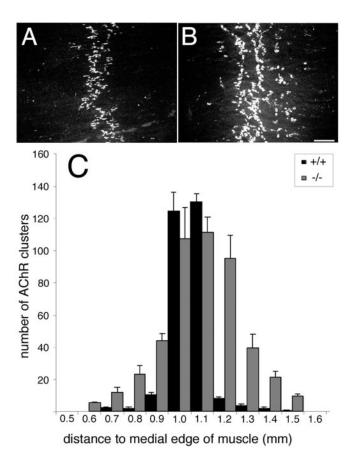


Figure 6. AChR clusters are increased in number and populate a broader area of muscle in *Chat* mutants. E18.5 diaphragm muscles from control (A) and mutant (B) embryos were labeled with Texas Red-conjugated α -bungarotoxin. AChRs are clustered along a central band of muscle in both the control (A) and the mutant (B), although there are more AChR clusters in the mutant, and they occupy a broader region (compare B with A). C, Histogram illustrating the distribution of AChR clusters. Numbers of AChR clusters in the right ventral—costal portion of diaphragm muscles (within an area of $4.8 \times 10^5 \ \mu m^2$, as shown in A and B) from E18.5 control (n=3) and mutant (n=3) embryos were counted. The x-axis is the distance to the medial edge of the muscle fibers (in 0.1 mm intervals); the y-axis indicates the average number of AChR clusters in each 0.1 mm interval. Scale bar, $100 \ \mu m$.

phragm muscle and is before the clustering of muscle AChRs (Lupa and Hall, 1989; Lin et al., 2000). Our results showed that motor nerves exit the spinal cord normally and remain fasciculated en route to their muscle target in the *Chat* mutant embryos (data not shown). However, on contact with the diaphragm muscle, a marked increase in nerve branching is observed in the *Chat* mutant embryos (Fig. 4B), whereas the phrenic nerve remains bundled, with limited and defined growth in the control embryos (Fig. 4A). Similar results were observed in E12.5 intercostal muscles (Fig. 4C,D). Increased innervation becomes more dramatic as embryos develop to later stages (E13.5: Fig. 4E,F; E18.5: Fig. 3A,B). These results demonstrate that the muscle is hyperinnervated by motor nerves on initial contact and before the onset of AChR clustering.

Aberrant patterning of neuromuscular synapses

We subsequently analyzed the pattern of developing neuromuscular synapses (E14.5–E18.5) in *Chat* mutants. To examine the distribution of nerves and AChR clusters at E14.5, the earliest stages at which clustering of AChRs becomes detectable (Lupa and Hall, 1989; Lin et al., 2001), diaphragm muscle was labeled with anti-NF antibody and α -bungarotoxin. As shown in Figure 5, AChRs are clustered within a

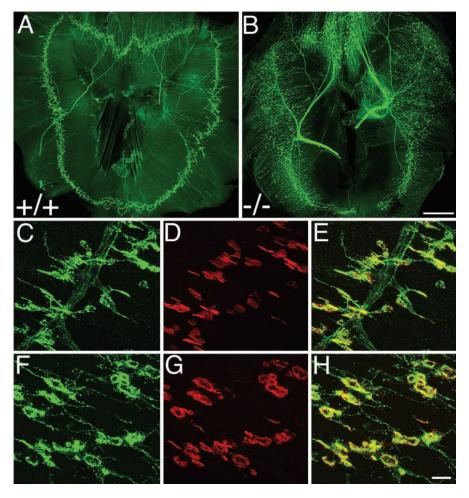


Figure 7. Aberrant patterning of neuromuscular synapses in *Chat* mutants. Diaphragm muscles were collected from E18.5 control (A, C–E; +/+) and mutant (B, F–H; -/-) embryos and immunostained with an anti-synaptophysin antibody (G) and Texas Red-conjugated G-bungarotoxin (G). Synapses are more broadly distributed across the muscle in mutant (G) compared with control (G) embryos, especially in the dorsal portions of the diaphragm, the pars costalis and crus laterale. Synaptophysin-positive nerve terminals (G, G) and AChR clusters (G), G0 occupy a much broader territory in the mutants compared with the control littermates. Merged confocal images show that all AChR clusters are colocalized with nerve terminals (G), G0 G1 G2 G3. Scale bars: (in G3), G4, G500 G6, G9 G9.

central band of the muscle in both control (Fig. 5*B*) and mutant (Fig. 5*E*) embryos, but the band of AChR clusters is much broader than normal in the mutant. Interestingly, intramuscular nerves in the mutant also occupy a broader region (Fig. 5*D*,*F*) than those in control embryos (Fig. 5*A*,*C*).

As development proceeds to later stages, the AChR clustering band remains broadened in *Chat* mutants. Figure 6 shows the distribution and quantification of AChR clusters in E18.5 diaphragm muscles. Because the distribution pattern varies along the dorsoventral axis, anatomically matched areas of control and mutant diaphragm muscles were analyzed. We quantified AChR clusters in a $4.8 \times 10^5 \,\mu\text{m}^2$ area of the right ventral—costal portion of each diaphragm (Fig. 6A,B). Within this area, the number of AChR clusters is significantly increased in the mutants (284 \pm 12, controls; 467 \pm 18, mutants; p < 0.001). As shown in Figure 6C, AChR clusters are distributed across a broader area in the mutants compared with those in the control embryos. The end plate band in *Chat* mutants is approximately three times the width of that in controls. That is, in the controls, 90% of the AChR clusters are distributed within a central band 200 μ m in width, whereas in the mutants, a 600-μm-wide band must be delineated to encompass 90% of the AChR clusters. Only 47%

of the total clusters are found in the central 200 μ m band in the mutants. These results demonstrate that AChR clusters are increased in number and distributed in a broader region of the diaphragm muscle in the *Chat* mutants.

To determine the spatial relationship between nerve terminals and AChR clusters, whole-mount diaphragm muscles (E18.5) were immunostained with anti-synaptophysin and α -bungarotoxin. As shown in Figure 7, synaptophysin-immunoreactive nerve terminals are broadly distributed in E18.5 mutants (Fig. 7*B*) compared with the delimitation of terminals to the central band observed in control embryos (Fig. 7*A*). High-power images show that all AChR clusters are directly apposed by nerve terminals in both control (Fig. 7*E*) and mutant (Fig. 7*H*) embryos.

In addition to AChR clustering, we examined the distribution of AChE in *Chat* mutant embryos. As shown in Figure 9A,B, AChE clusters are also distributed in a broader region of muscle in *Chat* mutant embryos compared with control embryos, consistent with previous results from chick embryos treated with blockers of cholinergic neurotransmission (Gordon and Vrbova, 1975).

Ultrastructure of neuromuscular synapses is impaired in mutant embryos

We subsequently determined whether ACh is required for synapse formation as examined at the ultrastructural level. EM observation of E17.5 diaphragm muscles revealed that the major synaptic features found in the control NMJ were also found

in the *Chat* mutant NMJ. However, morphometric analysis detected significant defects in the mutant NMJs, including smaller nerve terminals, fewer synaptic contacts, and fewer junctional folds than in control NMJs.

As shown in Figure 8, typical features of embryonic NMJs were observed in both mutants (Fig. 8A) and controls (Fig. 8D). At this stage of development, NMJs in both genotypes have multiple motor nerve terminals (Fig. 8, N), which make synaptic contacts on the postsynaptic membrane of muscle fiber (Fig. 8, M), with few junctional folds. The neuromuscular contact areas are capped by perisynaptic Schwann cells (Fig. 8, S), whose processes are closely associated with the nerve terminals. The basal lamina (Fig. 8, arrowheads) is found in the synaptic cleft in both genotypes. The nerve terminals in both mutant and control NMJs contain clusters of synaptic vesicles. At the presynaptic membrane, active zones consisting of a cluster of synaptic vesicles including docked vesicles are occasionally seen in both genotypes (Fig. 8B,F). However, as shown in Figure 8C, in some mutant NMJs, the nerve terminals are smaller and make fewer synaptic contacts. Electron-lucent areas (Fig. 8C, asterisks) in the synaptic intercellular space are more prevalent in mutant NMJs than in control NMJs. Another striking difference is in the postsynaptic membrane. As shown in Figure 8*E*, well developed junctional folds were found in approximately one-third of control NMJs (5 of 16 NMJs). In contrast, no such junctional folds were observed in any of the 13 mutant NMJs examined.

To further investigate quantitative differences between mutant and control NMJs, we compared various parameters of the presynaptic nerve terminals. As summarized in Table 1, the area and perimeter of the nerve terminals and the synaptic contact length in mutant NMJs are significantly smaller than those in controls. The nerve terminals in mutant and control NMJs contain similar numbers of active zones and docked synaptic vesicles despite the slightly lower synaptic vesicle density in the mutant. The number of nerve terminals per NMJ and the postsynaptic membrane length are not different between mutants and controls. Thus, at the ultrastructural level, several features, including both presynaptic and postsynaptic components, appear to be altered in Chat mutant NMJs.

AChR transcripts are concentrated at synaptic sites in the *Chat* mutants

We subsequently examined the expression of the AChR by in situ hybridization using probes specific to the α -subunit gene of AChR. We first analyzed the whole-mount preparation of the diaphragm muscle. Whole-mount in situ hybridization shows that AChR transcripts are confined to the middle of muscle in the control and mutant (Fig. 9C,D), although the in situ signal appears in a broader region in the mutants (Fig. 9D). Interestingly, the AChR transcript is found in a pattern similar to that of AChE staining in both genotypes (Fig. 9, compare A with C and B with D). Because AChE clusters are reliable markers of synaptic sites (Moscoso et al., 1995; Schaeffer et al., 1998), these results suggest that the AChR α -subunit gene is transcribed only at synaptic sites. We also investigated this by double-labeling the muscle with AChE staining and in situ hybridization with 33 P-labeled AChR α riboprobe. As shown in Figure 9E–I, AChE and AChR α transcript clusters are colocalized in both control (Fig. 9E,F) and mutant (Fig. 9G,H) embryos. High-power bright-field micrographs show that the silver grains for AChR α mRNA are superimposed on the AChE stain in both control (Fig. 91) and mutant (Fig. 9J) embryos. No signal above background levels was detected outside of AChE clusters in either control or mutant embryos. Thus, the combined

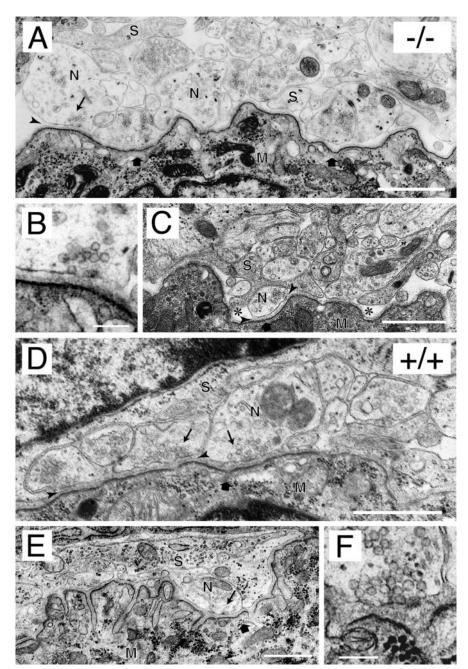


Figure 8. Ultrastructure of the NMJ in *Chat* mutant mice. Electron micrographs of NMJs from E17.5 *Chat* null mutant (A–C; -/-) and control (D-F; +/+) diaphragm muscles are shown. A, A representative micrograph from a Chat mutant shows features typical of embryonic NMJs. The multiple motor nerve terminals (N), capped by the processes of perisynaptic Schwann cells (S), make synaptic contacts on the postsynaptic membrane of the muscle cells (M). In mutants, the postsynaptic membrane has only indentations (large arrows) and lacks junctional folds. The basal lamina (arrowheads) is seen in the synaptic cleft. The nerve terminals contain mitochondria and clusters of synaptic vesicles (arrow). B, A higher magnification of the area in A indicated by the arrow depicts an active zone with a docked synaptic vesicle in the mutant nerve terminal. C, A representative NMJ that illustrates some of the alterations observed in Chat mutants. This NMJ appears to have smaller nerve terminals and makes fewer synaptic contacts than normal, although all of the synaptic components described above are present. Note the prevalent electron-lucent areas (asterisks) in the synaptic cleft. D, A representative control NMJ also shows features typical of the embryonic NMJ, including the multiple nerve terminals (N), the perisynaptic Schwann cell (S), and the basal lamina (arrowheads). Only slight indentations (large arrow) are observed in the postsynaptic membrane. The clusters of synaptic vesicles (arrows) are clearly seen in the nerve terminals. E, An example of a well developed NMJ from control embryos shows large indentations of the postsynaptic membrane (large arrow) and elaborate junctional folds. This more mature feature, found in one-third of NMJs from control embryos, was never found in mutant embryos. F, Higher magnification of an adjacent section in E indicated by the arrow depicts a cluster of synaptic vesicles over a junctional fold, resembling the active zone in mature NMJs. Scale bars: A, C-E, $1 \mu m$; B, F, $0.2 \mu m$.

Table 1. Comparison of ultrastructural parameters in presynaptic motor nerve terminals from *Chat* mutant and control embryos

	Mutant	Control
Nerve terminal parameters	n = 55	n = 54
Nerve terminal area (μ m²)	$0.36 \pm 0.05**$	0.66 ± 0.09
Nerve terminal perimeter (μ m)	$2.38 \pm 0.17***$	3.37 ± 0.24
Synaptic contact length (µm)	$0.58 \pm 0.08**$	1.07 ± 0.12
Synaptic vesicle density (per 0.04 μ m ²)	$4.11 \pm 0.30*$	5.00 ± 0.30
Active zone number	0.24 ± 0.06	0.33 ± 0.08
Docked synaptic vesicle number	0.45 ± 0.09	0.58 ± 0.10
NMJ parameters	n = 13	n = 16
Nerve terminal number/NMJ	4.23 ± 0.76	3.38 ± 0.42
Postsynaptic membrane length/NMJ (μ m)	6.00 ± 0.61	6.99 ± 0.73
Total postsynaptic membrane length (μ m)	78.05	111.83
Total junctional fold length (μ m)	0.55	21.82

Morphometric analysis was performed on EM images of NMJs from E17.5 diaphragm muscles. The definition of parameters is described in Materials and Methods. Data were collected from 55 nerve terminals of 13 NMJs in three mutants and 54 nerve terminals of 16 NMJs in three control embryos and are expressed as means \pm SEM. Statistical significance was assessed by two-tailed t test; *p < 0.05; ***p < 0.01; ****p < 0.001.

AChE staining and radioactive *in situ* hybridization confirm that AChR α transcripts are concentrated at synaptic sites in *Chat* mutants, although, like the synapses, the overall distribution pattern of AChR α transcripts is broader than normal.

Discussion

In the present study, we analyzed neuromuscular development in *Chat* null mutant mice. In the *Chat* mutant NMJ, both spontaneous and nerve-evoked postsynaptic potentials are absent. Motor nerves elaborate supernumerary axon bundles on contact with the muscle at E12.5 and continue to grow and innervate a broader region of muscle at E14.5, including the central band, where AChR clusters are present. At E16.5–E18.5, nerve terminals become differentiated and release other signals to induce synapse formation. Likely as a result of the increased innervation,

Figure 9. Distribution of AChE clusters and AChR mRNA in the *Chat* mutants. Diaphragm muscles were collected from E17.5 control (A, C, E, F, I; +/+) and mutant (B, D, G, H, J; -/-) embryos and subjected to AChE histochemistry (A, B), whole-mount *in situ* hybridization (C, D), or combined AChE/radioactive *in situ* hybridization (E-J). It is apparent that by late gestation, muscle is less well developed in mutant compared with wild-type embryos. In mutant embryos, AChE (B) was clustered in a pattern similar to that of nerve terminals (Fig. 7B). Whole-mount *in situ* hybridization revealed that AChR α mRNA was concentrated (D) in a pattern similar to that of AChE clusters (B) in the mutants. AChE clusters (E, G) and AChR α transcripts (dark field; F, H) were colocalized (*arrows*) in both control (E, F) and mutant (G, H) diaphragm transverse sections. I, J, High-power bright-field micrographs from E and G. The results show that silver grains coincide with AChE aggregates both in control (I) and in mutant (J) embryos. Scale bars: (in $B, D, A-D, 500 \mu m$; (in $H) E-H, 200 \mu m$; (in $J) I-J, 15 \mu m$.

synapses in mutants are distributed in a much broader region of muscle than in control embryos. Although AChRs are clustered in the *Chat* mutants, the fine structure of the mutant synapses is altered (Table 1). Interestingly, Verhage et al. (2000) have recently generated mice lacking the synaptic vesicle protein mammalian homolog of unc-18 (Munc18-1), in which no synaptic transmission is detected at the NMJ. In the Munc18-1 mutant embryos, AChRs are clustered as well, although the ultrastructure of their synapses has not been reported. Our findings of increased muscle innervation and motor neuron survival are also consistent with previous studies using cholinergic or neuromuscular activity blockers in chick embryos (Pittman and Oppenheim, 1978; Dahm and Landmesser, 1988, 1991; Oppenheim et al., 1989, 2000; Hory-Lee and Frank, 1995; Usiak and Landmesser, 1999).

Because ChAT is a critical enzyme for the biosynthesis of ACh, the phenotypic characteristics of the *Chat* mutant embryos are likely attributable to the loss of ACh, although we cannot rule out the possibility that ChAT has other nonenzymatic activities that might contribute to these changes. In addition to the role of ACh in ionotropic activity, it is possible that ACh plays a role in activities independent of synaptic transmission. Also, although the critical source of ACh is most likely neuronal, Schwann cells have been shown to express ChAT in culture (Brockes, 1984), so it is possible that Schwann cell-derived ACh has a role in the patterning of neuromuscular synapses.

Neuromuscular alterations in the *Chat* mutants might result from defects in ACh-dependent activities at presynaptic and/or postsynaptic sites. Relevant to this possibility, it is known that different AChR subtypes are present at nerve terminals, perisynaptic Schwann cells, and muscle fibers. Neuronal nicotinic AChRs, distinct from those in the muscle, are expressed at preterminals and presynaptic terminals (Wessler, 1992; Role and Berg, 1996), whereas muscarinic AChR subtypes are detected in perisynaptic Schwann cells (Rochon et al., 2001). Thus, different

cholinergic receptors may mediate each of these processes. Because altered innervation is observed in mutants as early as E12.5, a stage at which AChRs are diffusely distributed in the muscle (Bevan and Steinbach, 1977), the regulation of nerve growth, at least at early stages, is probably a presynaptic process. ACh is released from growing nerve processes and terminals before their contact with muscle (Hume et al., 1983; Young and Poo, 1983; Zakharenko et al., 1999) and acts through preterminal AChRs to regulate fasciculation and nerve branching and to affect growth cone turning in vitro (Zheng et al., 1994) in a Ca²⁺-dependent manner (Hong et al., 2000). Alternatively, or in addition, initial cholinergic activity at early E12.5 may evoke a retrograde signal from the muscle and/or Schwann cells to the nerve terminal that inhibits overgrowth, limits branching of nerve terminals, or promotes precise target recognition (Fitzsimonds and Poo, 1998). Finally, the increased survival of motor neurons may result in more nerve being present in the muscle, and consequently, innervation of a larger area of muscle. That is, in the Chat mutants, simply the overabundance of axons may force some into territory that they normally would not innervate.

Consistent with the idea that ChAT/ACh plays a role in restricting the development of nerve terminals to the central band of muscle, Patel and Poo (1984) demonstrated that growth cones can be guided to, and will stop growing at, a focal electrical field. When the focal electrical field is turned off, growth cones resume migration away from the focal field. Because nerve-independent AChR clusters are formed in the central band of muscle at E14.5, ACh may act through these AChR clusters to establish a focal electrical field, and in this way could cause nerve terminals to arrest their growth at the central band of muscle. In addition, such activation may render the region outside of the central band nonreceptive for nerve terminals. Although the central band of muscle appears to contain an intrinsic signal to initiate AChR clustering (Lin et al., 2001; Yang et al., 2001), our results reveal that, although they do not normally use it, muscle regions outside of the central band do indeed contain the machinery necessary for synapse formation as well. In accordance with these results, we suggest that ACh plays a role in preventing nerve terminals from growing into the region outside of the central band of muscle.

Increased motor neuron survival in *Chat* mutant embryos may be attributable to alterations in one or more of four different cellular activities. First, an absence of synaptic transmission may lead to elevated production of neurotrophic factors in the Chat mutant embryos. However, previous studies have demonstrated that neither muscle denervation nor inactivity induced by neurotoxins results in the increased neurotrophic factor levels available for chick motor neuron survival (Houenou et al., 1991). Second, the increased muscle innervation may increase the access of motor neurons to neurotrophic factors for survival. Consistent with this idea, motor neuron number is increased in several mutant mice that exhibit increased muscle innervation, including agrin and muscle-specific kinase mutants (Terrado et al., 2001). In contrast, loss of muscle innervation is correlated with increased cell death, as shown in erbB2 and erbB3 mutant mice (Riethmacher et al., 1997; Morris et al., 1999). Third, because motor neurons also express AChRs, ACh may directly regulate motor neuron survival via a central mechanism (Hory-Lee and Frank, 1995). However, recent results obtained by Oppenheim et al. (2000) do not support this idea. They found that neuromuscular activity blockers, but not neuronal nicotinic AChR blockers alone, increase chick motor neuron survival. Finally, the lack of ACh signaling through these receptors may make motor neurons more responsive to muscle-derived neurotrophic factors. Consistent with this last possibility, it has been shown that nicotinic blocking agents, such as dTC, potentiate the ability of muscle extracts to effect motor neuron survival in culture (Hory-Lee and Frank, 1995).

Finally, is our finding regarding the regulation of synaptogenesis by neurotransmitter unique to ACh in mammals? Remarkably, elimination of ACh in *Drosophila* leads to increased branching of retinal axons (S. Kunes, personal communication). Other neurotransmitters also appear to be important for synaptic development. Glutamate has been shown to regulate the synaptic location of glutamate receptor clusters in rat hippocampal neurons (Rao and Craig, 1997) and of the postsynaptic receptor fields of the *Drosophila* NMJ (Featherstone et al., 2000). Similarly, GABA has been shown to regulate GABAergic synaptogenesis, including the expression of a GABA receptor subtype (Belhage et al., 1998) and the developmental switch of synaptic responses from excitation to inhibition (Ganguly et al., 2001). In summary,

our results are consistent with the emerging theme that neurotransmitters actively regulate the development of the neurons and the synapses they subserve.

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