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## ***Mycobacterium tuberculosis* and macrophage nuclear receptors: what we do and don't know**

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### **Abstract**

Nuclear receptors (NRs) are ligand-activated transcription factors that are expressed in a wide variety of cells and play a major role in lipid signaling. NRs are key regulators of immune and metabolic functions in macrophages and are linked to macrophage responses to microbial pathogens. Pathogens are also known to induce the expression of specific NRs to promote their own survival. In this review, we focus on the NRs recently shown to influence macrophage responses to *Mycobacterium tuberculosis* (*M.tb*), a significant cause of morbidity and mortality worldwide. We provide an overview of NR-controlled transcriptional activity and regulation of macrophage activation. We also discuss in detail the contribution of specific NRs to macrophage responses to *M.tb*, including influence on macrophage phenotype, cell signaling, and cellular metabolism. We pay particular attention to PPAR $\gamma$  since it is required for differentiation of alveolar macrophages, an important niche for *M.tb*, and its role during *M.tb* infection is becoming increasingly appreciated. Research into NRs and *M.tb* is still in its early stages, therefore continuing to advance our understanding of the complex interactions between *M.tb* and macrophage NRs may reveal the potential of NRs as pharmacological targets for the treatment of tuberculosis.

### **Keywords**

Macrophage; Nuclear Receptors; *Mycobacterium tuberculosis*; PPAR $\gamma$

## **1. Introduction**

*Mycobacterium tuberculosis* (*M.tb*), the etiological agent of tuberculosis (TB), is arguably the oldest known human bacterial pathogen. TB is currently the ninth leading cause of death worldwide and the leading cause from a single infectious agent, surpassing deaths caused by HIV/AIDS [1]. In 2016, there were 10.4 million cases of TB reported [1], demonstrating an urgent need for new therapies (targeting the bacterium and the host) to halt infection and

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progression to active TB. Drug-resistant TB is an ongoing threat with 600,000 new cases of *M.tb* resistant to the most effective first-line drug, rifampicin, and 490,000 cases of multi-drug resistant TB [1]. According to the World Health Organization (WHO), as of 2017 there are 17 drugs in clinical trials and various new combination regimens and several repurposed drugs [1].

A promising host-directed target for anti-TB treatment are members of a superfamily of intracellular transcription factors referred to as nuclear receptors (NRs). Immune cells such as macrophages utilize NRs to sense their local environment and shape the immune response. NRs are key players in homeostasis, metabolism (especially lipid and the lipid-based eicosanoids), and transcriptional regulation [2–8]. Approximately 13% of drugs approved for sale in the United States target NRs, representing \$27.5 billion in sales revenue in 2009 [9]. As nuclear receptors are increasingly appreciated in the context of *M.tb* pathogenesis [10–18], targeting NRs may provide a new, largely unexplored area in TB drug development. In this review, we discuss NR regulation of transcription and macrophage responses. We focus on NRs that have been shown to play a role in *M.tb* infection and consider their anti-TB therapeutic potential.

## 2. Nuclear Receptors

### 2.1 Structure

NRs are ligand-dependent and nearly all have a common architecture with a highly conserved DNA binding domain (DBD) and carboxy-terminal ligand-binding domain (LBD) (Fig 1) [19]. There are 48 NRs in the human genome [20] and 49 in the rodent genome, of which 28 are associated with macrophages [21]. NRs are typically activated by lipid-soluble, membrane-permeable ligands. The two zinc-finger motifs of the DBD target specific DNA sequences known as hormone response elements. The LBD has a high specificity for its ligand. After interacting with the NR's respective ligand, the NR undergoes a conformational change which can then lead to recruitment of co-activator complexes as well as association with and stabilization of co-repressors that alter the transcriptional regulatory function of the receptor [22]. Ligand binding, along with other factors *in vivo*, can also lead to dissociation of co-repressor complexes such as nuclear co-repressor (NCoR) and the related silencing mediator of retinoic acid and thyroid hormone receptors (SMRT) [23]. NRs have a variable hinge region that links the DBD and LBD, permitting the structural flexibility of the receptor [24]. Members of this superfamily of receptors have historically been categorized into three classes: conventional steroid/thyroid hormone receptors (i.e. estrogen receptor, progesterone receptor), orphan receptors for which the ligand has either not been identified or that appear to function without a ligand, and adopted orphan receptors for which a ligand has been discovered [i.e. liver X receptors (LXRs), peroxisome proliferator-activated receptors (PPARs), and retinoid X receptors (RXRs)] [25]. The transcriptional activity and protein stabilization of NRs can also be regulated via post-translational modifications including phosphorylation, acetylation, sumoylation and ubiquitination [26]. For example, the influences of phosphorylation, acetylation and sumoylation of PPAR $\gamma$  can increase or decrease this transcription factor's activity, depending on the site and type of modification [26].

## 2.2 Transcriptional Regulation

A primary and critical role of NRs is the regulation of transcription via activation, repression, or trans-repression [4–6]. NRs positively regulate transcription by binding to specific response elements of the target gene as homodimers or heterodimers. PPARs and LXRs constitutively bind to DNA as heterodimers with RXRs and can do so with or without a ligand [5, 27]. Without a ligand, these heterodimers often function as transcriptional repressors and interact with co-repressor complexes containing NCoR and SMRT [28–30]. NRs, including PPARs and LXRs, often regulate transcription through indirect targeting of target genes, a process referred to as trans-repression, rather than direct binding and inhibition of other transcription factors like NF- $\kappa$ B, AP1, and STATs [25, 31].

RXR forms heterodimers with one third of known human NRs, most of which require RXR as an obligatory partner for DNA binding and transcriptional regulation [32]. RXR heterodimers are classified as either permissive or non-permissive. Permissive heterodimers such as RXR and its partners (i.e. PPAR/RXR, LXR/RXR) can be activated by the ligands of either partner. However, heterodimers of RXR and a non-permissive partner (i.e. retinoic acid receptor (RAR)/RXR and VDR/RXR) can only be activated by the agonist of the dominant partner receptor [32].

## 2.3 Macrophage Activation

Macrophages are capable of various activities which are dependent on the local cytokine milieu [33–35]. In general, macrophages stimulated with the cytokine interferon- $\gamma$  (IFN- $\gamma$ ) activate to a classical or M1 polarization state that is largely pro-inflammatory and anti-microbial [35, 36]. Conversely, macrophages stimulated with the cytokines interleukin-4 (IL-4) and/or IL-13 are activated to an alternative or M2 polarization state that promotes anti-inflammatory and wound healing responses and are more permissive to *M.tb* infection [36, 37]. Alveolar macrophages (AMs), which are unable to efficiently clear *M.tb*, are classically thought of as M2, but it must be noted that the M1/M2 paradigm does not fully describe the spectrum of macrophage activation states, with many cells displaying a mixed phenotype dependent on numerous factors [36, 38, 39].

Macrophage activation is often only characterized by responses to polarizing cytokines, however, NRs also play a significant role in macrophage responses. For example, PPAR $\gamma$  expression is augmented by the Th2-associated cytokine IL-4, which induces the generation of PPAR $\gamma$  ligands, and contributes to the maturation of M2 macrophages [40–43]. PPAR $\gamma$  also aids in the induction of Th2 polarization in murine T cells *in vitro* and is essential for IL-33 production [44], another cytokine that plays a role in M2 activation [45, 46].

Numerous other NRs have been shown to play significant roles in macrophage activation responses. For example, agonists of LXR inhibited inducible nitric oxide (iNOS), COX-2, and IL-6 in response to LPS and *E. coli in vitro* [31]. In fact, many genes inhibited by LXR agonists were targets of NF- $\kappa$ B [31], indicating an inhibitory effect on M1 responses. REV-ERB $\alpha$ , a constitutive repressor, is more highly expressed in M1 activated compared to M2 activated human monocyte-derived macrophages (hMDMs) [14]. REV-ERB $\alpha$  negatively regulates TNF- $\alpha$  and macrophage chemotactic protein-1 (MCP-1) in hMDMs stimulated

with LXR agonists [47]. These data demonstrate that macrophage activation phenotype is shaped by signaling of NRs, signifying the importance of these receptors in macrophage responses to pathogens. In this review, we focus on NRs shown to influence macrophage responses to *M.tb*, which can result in a more permissive or anti-bacterial phenotype of these phagocytes.

### 3. NRs and TB

*M.tb* can affect the expression of various NRs and a growing number of these have been implicated in macrophage responses to *M.tb* [2, 17, 48]. NRs play vital roles in disease pathogenesis and in macrophage-mediated host defense. The following sections focus on the specific NR-dependent responses of macrophages to mycobacterial infection.

#### 3.1 PPARs

PPARs are ligand activated transcription factors that control fatty acid metabolism, including transport, synthesis, mobilization, activation, and oxidation of fatty acids [3]. There are three PPAR subtypes in mammals: PPAR $\alpha$ , PPAR $\gamma$ , and PPAR $\beta/\delta$  (also referred to as NR1C1, NR1C3, and NR1C2, respectively) which exhibit different expression patterns and functions. PPAR $\alpha$  and PPAR $\beta/\delta$  are ubiquitously expressed, and PPAR $\gamma$  is expressed in immune cells and aids in storage of fatty acids. PPAR $\gamma$  also plays an important role in macrophage anti-inflammatory responses [49, 50]. PPARs can be activated by a diverse group of ligands due to their large ligand-binding pocket. PPAR ligands include endogenous native and modified fatty acids as well as synthetic ligands such as PPAR $\gamma$  agonists thiazolidinediones (TZDs) rosiglitazone and pioglitazone, used most commonly to treat diabetes [PPAR ligands are comprehensively reviewed in [51]].

**3.1.1 PPAR $\gamma$** —PPAR $\gamma$  is important for the generation of alveolar macrophages which are permissive to *M.tb* intra-macrophage growth [52]. Inhibition or knockdown of PPAR $\gamma$  reduces mycobacteria growth in human and murine macrophages *in vitro* and in mice (Table 1) [10, 11, 53, 54], while activation of PPAR $\gamma$  with rosiglitazone increases *M.tb* growth in human macrophages [10]. Multiple macrophage model systems have revealed that infection with *M.tb* or *M. bovis* Bacillus Calmette-Guérin (BCG) and stimulation with certain *M.tb* cell wall components [i.e. mannose-capped lipoarabinomannan (ManLAM) or P19 (an *M.tb* cell wall lipoprotein)] are capable of up-regulating expression and activity of PPAR $\gamma$ , as observed in PBMCs from TB patients [10, 11, 53, 55–57]. In contrast to *M.tb*, *M. smegmatis* does not increase PPAR $\gamma$  expression [11, 53]. The inability of *M. smegmatis* to up-regulate PPAR $\gamma$  could be partly responsible for its less virulent nature. Similarly, *M. bovis* BCG does not induce PPAR $\gamma$  to the same extent as *M.tb* in hMDMs [11] and actually appears to repress its expression in murine AMs *in vivo* [58]. This suggests that more virulent mycobacteria have evolved to induce PPAR $\gamma$  during infection to alter the environment to be more permissive to *M.tb* growth.

PPAR $\gamma$  contributes to dampening iNOS expression and nitric oxide secretion in macrophages [59]. PPAR $\gamma$  also plays an inhibitory role in the secretion of M1 macrophage effector molecules TNF- $\alpha$  and IL-6 and increases IL-8 and IL-10 in isolated macrophages as well as murine lungs (Fig 2) [10, 11, 53, 54]. It is interesting to note that *M.tb* and *M. bovis*

BCG use contrasting signaling pathways to up-regulate IL-8. *M.tb* induces IL-8 through an NF- $\kappa$ B-independent (but mannose receptor [MR]- and PPAR $\gamma$ -dependent) pathway in human macrophages, while *M. bovis* BCG uses an NF- $\kappa$ B-dependent, and PPAR $\gamma$ -independent, pathway [11]. These data suggest that the use of disparate host signaling pathways could be an indicator of *M.tb*'s immune evasion strategy.

*M.tb* induced PPAR $\gamma$  appears to be mediated, in part, by distinct pattern recognition receptors (PRRs) that detect mycobacteria. *M. bovis* BCG and *M.tb* P19 as well as ManLAM up-regulate PPAR $\gamma$  in a Toll-like receptor 2 (TLR2) dependent manner in mouse macrophages [53, 55]. In human and mouse macrophages, *M.tb* and ManLAM induce PPAR $\gamma$  following recognition by MR [11, 59], a hallmark surface marker of M2 macrophages. PPAR $\gamma$  activity in these cells also requires cytosolic phospholipase A<sub>2</sub> (cPLA<sub>2</sub>) and 15-lipoxygenase (15-LOX) [11], which are required for production of the eicosanoids 13-hydroxyoctadecadienoic acid (13-HODE) and 15-hydroxyeicosatetraenoic acid (15-HETE), identifying these products as endogenous ligands during *M.tb* infection. NanoString analysis recently undertaken by our lab identified many genes important for host immune responses as being regulated by PPAR $\gamma$  during *M.tb* infection of human macrophages [60]. Of note, genes whose expression is affected by PPAR $\gamma$  include those involved in eicosanoid and resolvin signaling including PTGS2, S100A8, and CMKLR1 (Fig 3; data adapted from [60]). These data suggest that PPAR $\gamma$  regulates expression of lipid mediators of inflammation during *M.tb* infection.

There is recent evidence that in *M.tb*-infected THP-1 macrophages, PPAR $\gamma$  is also capable of increasing CD36 expression [10], a major receptor for the uptake of low density lipoproteins which contributes to the generation of foamy macrophages. CD36 interacts with surfactant lipids (found throughout the lungs) and can enhance *M.tb* growth in human macrophages *in vitro* [61]. In *M. bovis* BCG-infected macrophages, CD36 directly interacts with TLR2 as evidenced by co-immunoprecipitation of the two receptors [56]. Neutralization of CD36 subsequently decreased PPAR $\gamma$  expression, as well as lipid body formation and PGE<sub>2</sub> secretion [56]. These data demonstrate a critical role for CD36 in inducing PPAR $\gamma$ -mediated macrophage responses to *Mycobacteria* species and may be an effective target for pharmacological intervention against TB.

Apoptosis has been linked to mycobacterial virulence, since more virulent mycobacteria induce less apoptosis during infection of macrophages, and this mode of cell death can limit *M.tb* growth [62, 63]. Our laboratory recently confirmed that PPAR $\gamma$  regulates apoptosis during *M.tb* infection through the induction of anti-apoptotic Mcl-1 [60]. Inhibition of PPAR $\gamma$ , 15-LOX (which is required for PPAR $\gamma$  activity, mentioned above), or Mcl-1 all led to significant increases in human macrophage apoptosis. This work further identified Mcl-1 and 15-LOX as promising targets for host directed therapy during TB, since inhibition of either of these molecules significantly reduced *M.tb* growth in macrophages. Excitingly, inhibition of Mcl-1 also limited *M.tb* growth in an *in vitro* granuloma model [60, 64].

Altogether, these data further support the idea that *M.tb* has evolved to modulate macrophage signaling processes to promote its own survival. Considering that PPAR $\gamma$  is critical for promoting anti-inflammatory activities, it may be beneficial to block PPAR $\gamma$

early in infection to enhance host defense, and, in contrast, promote its anti-inflammatory activities with active TB to limit tissue inflammation. Intriguingly, pyrazinamide treatment up-regulates PPAR $\gamma$  expression and reduces release of pro-inflammatory cytokines in mice during *M.tb* infection [65], supporting the notion that temporal control of PPAR $\gamma$  could be critical to control *M.tb* infection and disease. An alternative therapy to targeting PPAR $\gamma$  could involve inhibition of the MR or other molecules upstream of PPAR $\gamma$  activation [11, 59]. Recently elucidated MR signaling during *M.tb* infection revealed the importance of this receptor for *M.tb* uptake, inhibition of phagolysosomal fusion, and intracellular *M.tb* survival [66]. The role of PPAR $\gamma$  in progression of TB has not been thoroughly established. Further elucidation of the signaling pathways in which PPAR $\gamma$  plays a role will be advantageous to our understanding of macrophage-*M.tb* interactions and should help identify additional pathways that can specifically be targeted to limit *M.tb* growth.

**3.1.2 PPAR $\alpha$** —Compared to PPAR $\gamma$ , much less is known about the role of PPAR $\alpha$  and *M.tb* pathogenesis. PPAR $\alpha$  is generally a negative regulator of inflammatory responses and tends to antagonize the activities of NF- $\kappa$ B and activator protein-1 (AP-1) families through trans-repression [67]. PPAR $\alpha$  also regulates lipid transport, gluconeogenesis, and fatty acid oxidation (FAO) [51]. Endogenous ligands include conjugated linoleic acid, 1-palmitoyl-2-oleoyl-sn-glycerol-3-phosphocholine, and the eicosanoid leukotriene B<sub>4</sub> [51]. Despite its known activities identified above, a recent study by Kim, et al. revealed that PPAR $\alpha$  is essential for anti-mycobacterial responses. PPAR $\alpha$  deficiency in mice led to increased bacterial burden and inflammatory responses in the lungs and spleen. BMDMs from PPAR $\alpha$ <sup>-/-</sup> mice had decreased activation of transcription factor EB (TFEB, a critical regulator of autophagy) and increased formation of lipid bodies following infection with *M.tb* or *M. bovis* BCG [68]. Addition of PPAR $\alpha$  agonists increased autophagy, lysosomal biogenesis, phagosomal maturation, and anti-mycobacterial defenses in BMDMs [68]. PPAR $\alpha$  agonist treatment also increased the mitochondrial respiration rates and FAO, which were decreased in BMDMs from PPAR $\alpha$ <sup>-/-</sup> mice [68]. All together, these data indicate that PPAR $\alpha$  aids in mediating anti-mycobacterial responses through the activation of TFEB, autophagy, lipid catabolism, and FAO although more work needs to be done.

It is interesting to note that PPAR $\gamma$  and PPAR $\alpha$ , both members of the same NR subfamily, have such contrasting roles in macrophage responses to *M.tb*. Since PPAR $\gamma$  supports *M.tb* growth, while PPAR $\alpha$ 's role appears to support anti-mycobacterial activity, further investigation into PPAR $\alpha$ 's anti-TB activity is necessary to understand the mechanism(s) underlying these contradictory phenotypes.

## 3.2 TR4

Testicular receptor 4 (TR4, NR2C2) is an NR found widely throughout the body and important for roles such as cerebellar development, gluconeogenesis, lipogenesis, and bone and muscle development [69]. TR4 can bind to response elements targeted by other NRs, including VDR, RAR, RXR, and PPAR, thus competing with these NRs for their downstream targets [69]. Interestingly, TR4 can repress activation of VDR and PPAR $\alpha$  targets, but enhances PPAR $\gamma$  targets [69]. Molecules known to trans-activate TR4 include ligands associated with PPAR $\gamma$ , including eicosanoid intermediates 15-HETE, 13-HODE,

and the TZD family of drugs [70]. The *M.tb* lipid keto-mycolic acid was recently shown to stably bind TR4 in a non-canonical fashion, leading to the induction of foamy macrophages and granuloma formation both *in vitro* (PBMC granuloma model) and *in vivo* (murine lung granulomas) (Table 1)[15]. Similar to PPAR $\gamma$ , TR4 is important for *M.tb* growth in macrophages since it promotes an M2-like macrophage phenotype and decreases reactive oxygen species production [10]. There is evidence that TR4 and PPAR $\gamma$  augment each other, as knockdown of both receptors has an additive effect compared to knockdown of the individual receptor in control of *M.tb* growth [10]. TR4 binds to a response element in the CD36 promoter, thus increasing expression of CD36, a major receptor for the uptake of low density lipoproteins which contributes to the generation of foamy macrophages [70] and TB pathogenesis [61]. Knockdown of TR4 marginally reduced the bacterial burden of macrophages infected with the attenuated *M.tb* strain H<sub>37</sub>R<sub>a</sub> *in vitro* and also resulted in decreased PGE<sub>2</sub> production [10]. In addition, a knockdown of 50–60% of TR4 in alveolar macrophages *in vivo* corresponded with reduced survival of *M.tb* H<sub>37</sub>R<sub>v</sub> [15]. It is interesting to note, however, that TR4 gene expression is not changed in TB-infected patients compared to healthy controls [10].

These data indicate that activation of TR4 plays an important role in the survival of *M.tb* in mice and in human cell lines *in vitro*. However, very little is known about TR4 and downstream effects during *M.tb* infection. Further research into the translational aspects of TR4 regulation of conditions conducive to *M.tb* survival in human primary macrophages is necessary to delineate if targeting this NR or its signaling pathways is a feasible approach to anti-TB therapy.

### 3.3 LXRs

Liver X receptors (LXRs) are regulated by oxidized forms of cholesterol (oxysterols) and intermediate products of cholesterol biosynthetic pathways [71, 72], aiding in tight regulation of lipid homeostasis and transport. LXRs have two identified isoforms, LXR $\alpha$  (NR1H3) and LXR $\beta$  (NR1H2). LXRs form obligate heterodimers with RXR [27] and are known to play a role in macrophage survival, preventing bacterial-induced apoptosis [73, 74].

In both mouse models and *in vitro* macrophage assays, LXRs have shown a propensity for anti-mycobacterial activity (Fig 2; Table 1) [10, 16]. LXR $\alpha$ , but not LXR $\beta$ , is up-regulated in response to *M.tb* infection in macrophages [75] and knockdown of LXR $\alpha$  results in increased bacterial burden [10]. In *M.tb*-infected macrophages, LXR $\alpha$  was shown to bind to Alu/DR4 elements which are associated with multiple genes implicated in lipid metabolism [75]. THP-1 macrophages infected with *M.tb* H<sub>37</sub>R<sub>a</sub> contain an increased number of lipid bodies with decreased gene expression for ABCA1 and ABCG1, genes implicated in cholesterol efflux [10]. Treatment with the LXR $\alpha$  agonist TO901317 increased the expression of ABCA1 and ABCG1 in THP-1 macrophages, which was further enhanced during H<sub>37</sub>R<sub>a</sub> infection and resulted in decreased lipid body formation [75]. Thus, activation of cholesterol efflux through the NR LXR $\alpha$  could prove a viable target to enhance anti-*M.tb* macrophage activity.

LXRs and LXR target genes are up-regulated in CD11c<sup>+</sup> cells in the lung and bronchoalveolar lavage fluid as well as in BMDMs following *M.tb* infection [16, 17]. Mice deficient in both LXR $\alpha$  and LXR $\beta$  were more susceptible to *M.tb* demonstrating increased bacterial burden and granulomatous lesions as well as a decreased Th1/Th17 immune response, though only the LXR $\alpha$  single knockout mouse recapitulated these results [16]. Addition of LXR agonists to WT mice both prophylactically and therapeutically resulted in decreased bacterial burden and increased Th1/Th17 function in the lungs [16]. A recent study showed that *M.tb*-induced IL-36 production increased the generation of the LXR ligand oxysterol and subsequently inhibited *M.tb* growth in macrophages [76]. The IL-36/LXR axis was also responsible for antimicrobial peptide production [76], partially explaining the anti-mycobacterial effects of IL-36 and LXRs.

Analysis of LXR single nucleotide polymorphisms (SNPs) was performed on TB patients in the Chinese Han population. Eight common variants in the LXR genes were identified, of which two were associated with an increased risk of developing TB [12]. The other six SNPs appeared to be protective against TB, with three showing significant protection. Altogether, these data indicate that LXRs play a fundamental role in genetic susceptibility to TB.

LXRs help shape the macrophage response to *M.tb* and mediate lipid metabolism and decreased lipid body formation which is conducive to *M.tb* eradication. Interestingly, use of cholesterol reducing statins aids in TB treatment in animal models and clinical trials [77–79]. This is suggested to occur through reduction of LXR activity. LXR agonist treatment therapeutically aided in fighting *M.tb* infection in mice [16] and additional research is necessary to verify if anti-TB treatments targeting LXRs would translate to humans. A recent study examined the effects of LXR agonists in human hypercholesterolemic patients treated with statins. They noted a reverse in cholesterol transport pathways, however murine and NHP models did not show the increased LDL cholesterol and decreased circulating neutrophils observed in statin-treated and non-treated hypercholesterolemic patients [80]. It is possible that the activity of statins is redundant with LXR-mediated signaling, thus the decreased LXR activity may be due to a reduction in needed cholesterol efflux. Further evaluation of statins for TB treatment and the roles of LXRs is required to definitively determine how statins alter LXR activity and how this intervention impacts TB treatment.

### 3.4 REV-ERB $\alpha$

REV-ERB $\alpha$  is a unique member of the NR superfamily. It has an atypical LBD lacking the carboxy-terminal activation function 2 (AF2) region [81], which is responsible for transcriptional activation. Thus, REV-ERB $\alpha$  is a constitutive transcriptional repressor with constitutive binding of co-repressors such as NCoR1 [82]. REV-ERB $\alpha$  competes for response elements with NRs known to have transcription activation activity, including PPARs and LXRs [47, 83, 84]. REV-ERB $\alpha$  is responsible for regulation of the circadian rhythm, cellular metabolism, and immune function [81]. REV-ERB $\alpha$  was referred to as an orphan receptor for quite a while until its ligand, the porphyrin heme, was identified in 2007 [85, 86]. REV-ERB $\alpha$  is encoded by the gene NR1D1, which is the opposite strand, or reverse, of the *ERBA* oncogene [87], hence the name REV-ERB $\alpha$ .



Very little is known about REV-ERB $\alpha$  and its activities during *M.tb* infection. REV-ERB $\alpha$  appears to play a role in antimicrobial immune responses in macrophages, positively regulating autophagy and lysosome biogenesis, two mechanisms used to combat *M.tb* infection. The promoter region of the immunoregulatory cytokine IL-10 contains a REV-ERB $\alpha$  binding site in humans and nonhuman primates, but not in mice [14], demonstrating a species disparity in model systems. Over-expression of REV-ERB $\alpha$  induced repression of IL-10 which led to increased anti-*M.tb* activity in macrophages due, in part, to increased phagolysosome maturation (Fig 2) [14]. Knockdown of REV-ERB $\alpha$  with siRNA results in decreased lysosomal-associated membrane protein 1 (LAMP-1) expression, a marker of phagolysosome maturation, as well as decreased expression of TFEB [13], indicating that REV-ERB $\alpha$  plays a role in lysosome biogenesis. Treatment of THP-1 macrophages with the REV-ERB $\alpha$  agonist GSK4112 resulted in an increased number of autophagosomes and lysosomes and the levels of MAP1LC3-II, a hallmark molecule of autophagy progression, leading to enhanced *M.tb* clearance [13].

As REV-ERB $\alpha$  is a constitutive repressor, these data indicate an indirect and complex mechanism of action used by this NR which involves NCoR and histone deacetylase 3 [14, 82]. It is unclear if REV-ERB $\alpha$ 's repressive activity on IL-10 transcription is altered by *M.tb*, which would likely aid in bacterial survival. Furthermore, how REV-ERB $\alpha$  promotes autophagy and lysosomal biogenesis is uncertain. Additional studies designed to fully elucidate the cellular pathway(s) used by REV-ERB $\alpha$  as well as its downstream targets in macrophages during *M.tb* infection is required. However, work concerning the immune response and cytokine balance will be limited by the inability to study these interactions in mice.

### 3.5 PXR

The human xenobiotic nuclear receptor pregnane X receptor (PXR) is an adopted orphan nuclear receptor. It is expressed in immune cells such as monocytes/macrophages and lymphocytes, but is predominantly expressed in the liver and intestine [88]. The major role of PXR is drug metabolism. Activation of PXR up-regulates genes important for lipid uptake and lipogenic pathways [89]. PXR can also inhibit both innate and adaptive immune responses [90]. In hMDMs, PXR has been shown to augment *M.tb* H<sub>37</sub>R<sub>a</sub> survival and promote foamy macrophage formation as well as decrease phagolysosomal fusion, inflammatory responses, and apoptosis (Table 1) [91]. Some of these findings were confirmed in the humanized PXR mouse model, resulting in increased *M.tb* survival *in vivo* [91]. The study also showed that *M.tb* cell wall lipids, namely mycolic acid, were able to crosstalk with the human PXR via interaction with its promiscuous LBD [91].

In a subsequent study, the same research group showed that PXR can modulate macrophage drug-efflux transporter expression and activity, compromising the effect of rifampicin *in vitro* in hMDMs (Fig 2)[92]. Previous studies showed that rifampicin is a potent PXR activator that can induce expression of important metabolizing enzymes [93]. In mice infected with *M.tb*, the PXR antagonist ketoconazole rescued the activity of rifampicin [92]. Other rifamycin derivatives such as rifapentine and rifabutin do not stimulate PXR regulation of metabolizing enzymes to the same extent as rifampicin [93], and could

potentially be used as an alternative to combat PXR-mediated drug non-responsiveness. Further, rifalazil does not induce metabolizing enzymes and no effect on PXR has been observed in animal models [94]. PXR has also been implicated in the toxicity effects of certain TB drugs. Co-treatment of rifampicin and isoniazid in PXR-humanized mice disrupted the heme biosynthesis pathway resulting in liver injury [95]. These findings were not recapitulated with isoniazid metabolites, illustrating a mechanism for rifampicin and isoniazid-induced hepatotoxicity that is dependent on PXR signaling pathways yet independent of isoniazid metabolism [95].

To date, very few studies have examined the role of PXR in the modulation of infectious disease, thus knowledge of PXR pathway regulation during infection is virtually nonexistent. PXR has been documented to play a role in CD36 expression and activity, with PXR deficiency resulting in decreased lipid uptake [89], which would be beneficial for an *M.tb*-infected host. In terms of TB treatment, it would appear that the critical role of PXR is efflux of the powerful anti-*M.tb* drug rifampicin as well as contribution to liver toxicity. Additional research into blocking PXR activity in order to increase rifampicin's effectiveness and curb side effects could prove useful in TB treatment.

### 3.6 VDR

Vitamin D (cholecalciferol, vitamin D<sub>3</sub>, or 1,25-dihydroxyvitamin D<sub>3</sub>) has long been studied as an anti-TB therapy and administering vitamin D along with standard anti-TB drug regimens has improved clinical outcomes in some studies [96]. The vitamin D receptor (VDR) is a ligand dependent transcription factor and part of the NR superfamily which heterodimerizes with RXR and is constitutively expressed in macrophages [18, 97]. Polymorphisms in the VDR gene are well-studied due to their association with increased susceptibility to TB [98, 99]. Numerous studies have identified VDR polymorphisms associated with increased risk of developing TB, including FokI, TaqI, Msml, and Apal, however meta-analysis data have shown inconsistent results [99–104]. Larger studies with increased diversity of TB patients and controls are required for more definitive conclusions.

On the cellular level, *M.tb* and certain *M.tb* proteins can activate the VDR. Stimulation of monocytes with *M.tb* or the *M.tb* lipoprotein LpqH can induce nuclear translocation of VDR, where it can activate certain signaling pathways, without the addition of exogenous vitamin D [105]. Knockdown of VDR reduced control of *M.tb* strain H<sub>37</sub>R<sub>a</sub> [10]. Ligation of VDR with its ligand, vitamin D, leads to the induction of the antimicrobial peptides cathelicidin and human beta-defensin 2 (HBD2), which can kill intracellular *M.tb* [106, 107]. The promoter region for hCAP-18, the only human cathelicidin, has multiple VDR response elements [18], showing a strong correlation between VDR ligand binding and up-regulation of this anti-mycobacterial protein, whereas the HBD2 promoter contains fewer VDR response elements and is also regulated by NF- $\kappa$ B. Stimulation of monocytes with LpqH also activated antibacterial autophagy in a cathelicidin-dependent manner [105], while stimulation with the prostaglandin PGE<sub>2</sub> reduced VDR expression and abrogated vitamin D-mediated increases in cathelicidin expression and autophagy, and *M.tb* control [108]. These results demonstrate a link between VDR and autophagy as a method to control intramacrophage *M.tb* growth.

In human leukocytes and the THP-1 macrophage cell line, transcriptome analysis of *M.tb*-infected cells showed an increase in VDR-regulated gene expression and revealed a correlation between VDR and lipid metabolism [109]. Interestingly, the addition of vitamin D decreased the number of lipid droplets in *M.tb*-infected THP-1 macrophages to that of uninfected cells by down-regulating PPAR $\gamma$  [109]. Addition of PPAR $\gamma$  agonists restored the lipid droplet formation, as well as negated the anti-*M.tb* effects of the VDR [109]. Thus, these data demonstrate that vitamin D regulates both VDR and PPAR $\gamma$ , and that VDR plays a role in lipid metabolism during *M.tb* infection.

One of the longest standing, somewhat effective TB therapies involved convalescence in the open air or in mountainous locations where patients would likely increase their vitamin D production and subsequently stimulate VDR signaling. It is interesting to note that while the VDR plays a role in combating TB through production of cathelicidin and at least partial regulation of lipid metabolism, treatment of exogenous vitamin D has had limited effectivity on its own. Since treatment with PPAR $\gamma$  agonists was shown to negate the effects of VDR signaling, perhaps the pathways regulated by PPAR $\gamma$  are dominant to those initiated by VDR, thus resulting in conditions permissive to *M.tb* growth. Numerous studies have linked polymorphisms of the VDR gene and increased susceptibility to TB. In addition, vitamin D supplementation has resulted in improved clinical outcomes when administered with standard anti-TB drug regimens [96]. Thus, in the context of TB, the importance of this NR cannot be refuted.

#### 4. Conclusion

Targeting NRs as novel approaches for TB treatment appears to be a viable option considering that these transcription factors play a pivotal role in macrophage lipid metabolism, cholesterol efflux, phagosome maturation, and production of antimicrobial byproducts. The NRs PPAR $\gamma$ , LXR, and VDR have been the most studied in terms of *M.tb* infection, however there is still much to learn about the signaling pathways these NRs help regulate. Other NRs, including PXR, REV-ERB $\alpha$ , TR4, and PPAR $\alpha$  have been only recently implicated in progression or resistance to TB and it is mostly unclear how these NRs interact with each other, in addition to how these NRs are regulated during *M.tb* infection. Since this receptor superfamily consists of 48 identified NRs in humans [20], it is likely that more NRs will be associated with TB in the near future. In addition, the existing use of pharmacological interventions targeting NRs strongly suggests that following this line of research will be feasible for the discovery of novel methods to combat TB. Future NR interventions will need to be more specific given the current off target effects of NR modulators on the market today. This is an exciting time in NR and TB research with the potential for an effective treatment just around the corner.

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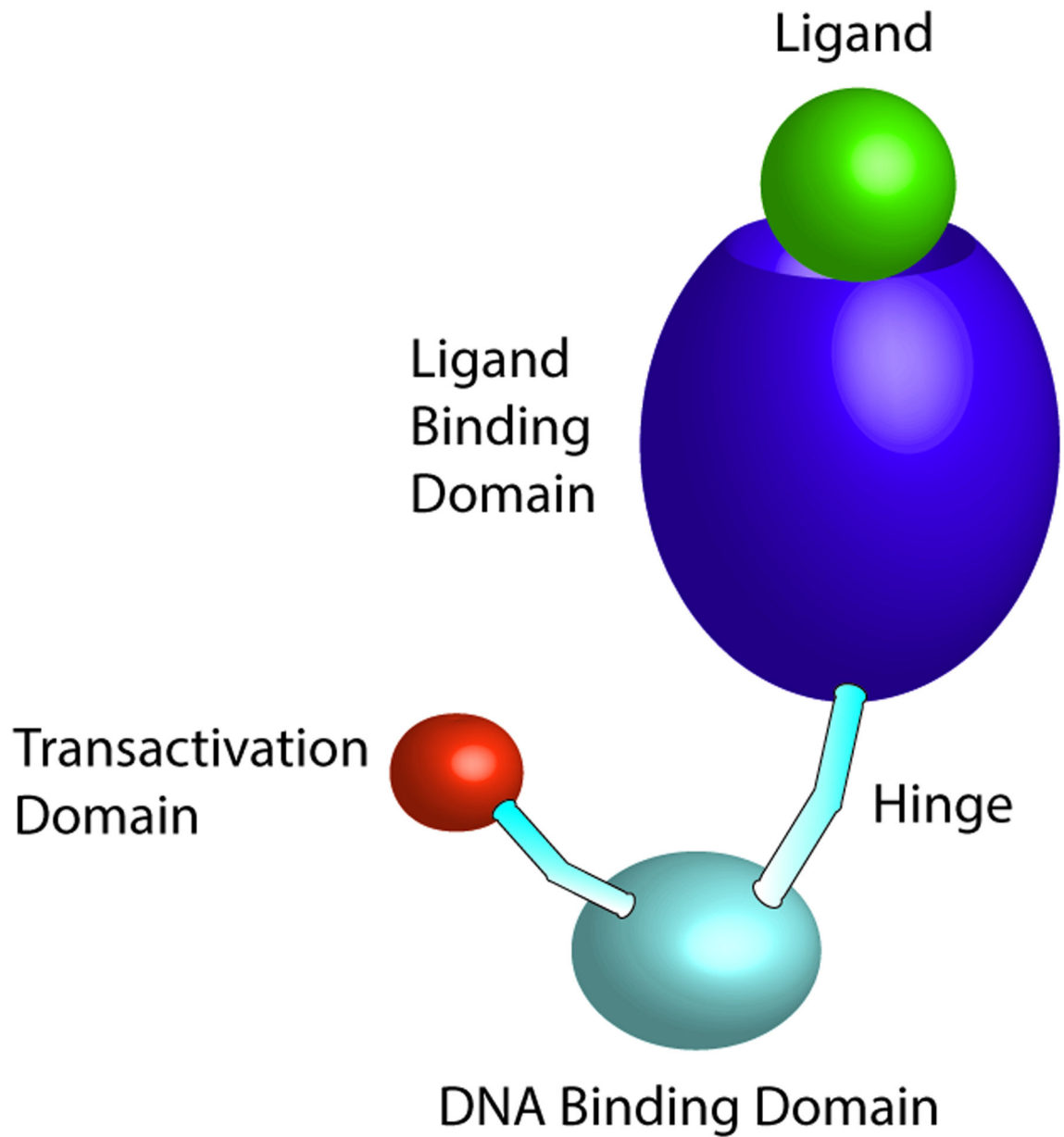
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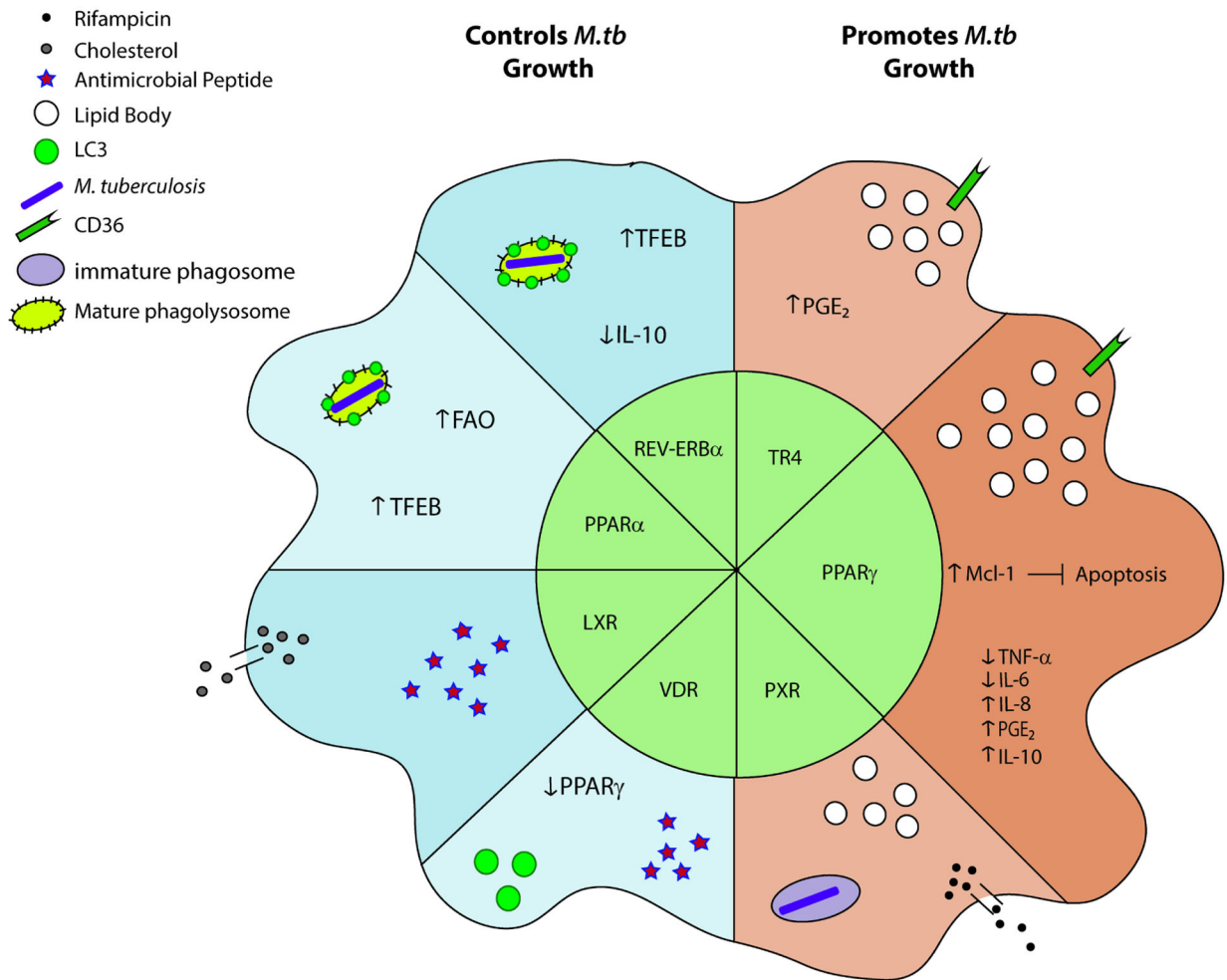
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**Figure 1. Nuclear receptor domain structure.**

Nuclear receptors consist of a DNA binding domain, ligand binding domain and a flexible hinge region which allows for conformational changes following ligand binding. The transactivation domain interacts at the promoter with coactivators to induce gene transcription.



**Figure 2. Nuclear receptor-regulated macrophage responses to *M. tuberculosis*.**

Nuclear receptors play a major role in regulating macrophage responses following infection with *M.tb* which can be divided into responses that aid in controlling *M.tb* growth or that result in increased bacterial burden. The receptors TR4, PPAR $\gamma$ , and PXR are associated with increased susceptibility to *M.tb* and lipid body formation whereas PPAR $\alpha$ , REV-ERB $\alpha$ , LXRs and VDR are associated with resistance to *M.tb*. Upon infection, TR4 activation can result in increased PGE $_2$  and CD36 expression. PPAR $\gamma$  is also associated with CD36 expression as well as regulation of cytokine production, PGE $_2$  production, and decreased apoptosis via increased Mcl-1 expression. PXR is largely responsible for drug efflux, negating the effects of antibacterial rifampicin and also decrease phagolysosomal maturation, shown here as a phagosome devoid of mature endosome markers. VDR and LXRs are associated with antimicrobial peptide production following *M.tb* infection. VDR is also associated with decreased expression of PPAR $\gamma$  and increased LC3 positive phagosomes. LXRs play a role in cholesterol efflux and decreased in lipid body formation. PPAR $\alpha$  and REV-ERB $\alpha$  stimulation is linked to lysosomal maturation, formation of autophagosomes, and increased activity of TFEB. PPAR $\alpha$  is also associated with increased FAO. REV-ERB $\alpha$  represses IL-10 production during *M.tb* infection, aiding in antimicrobial

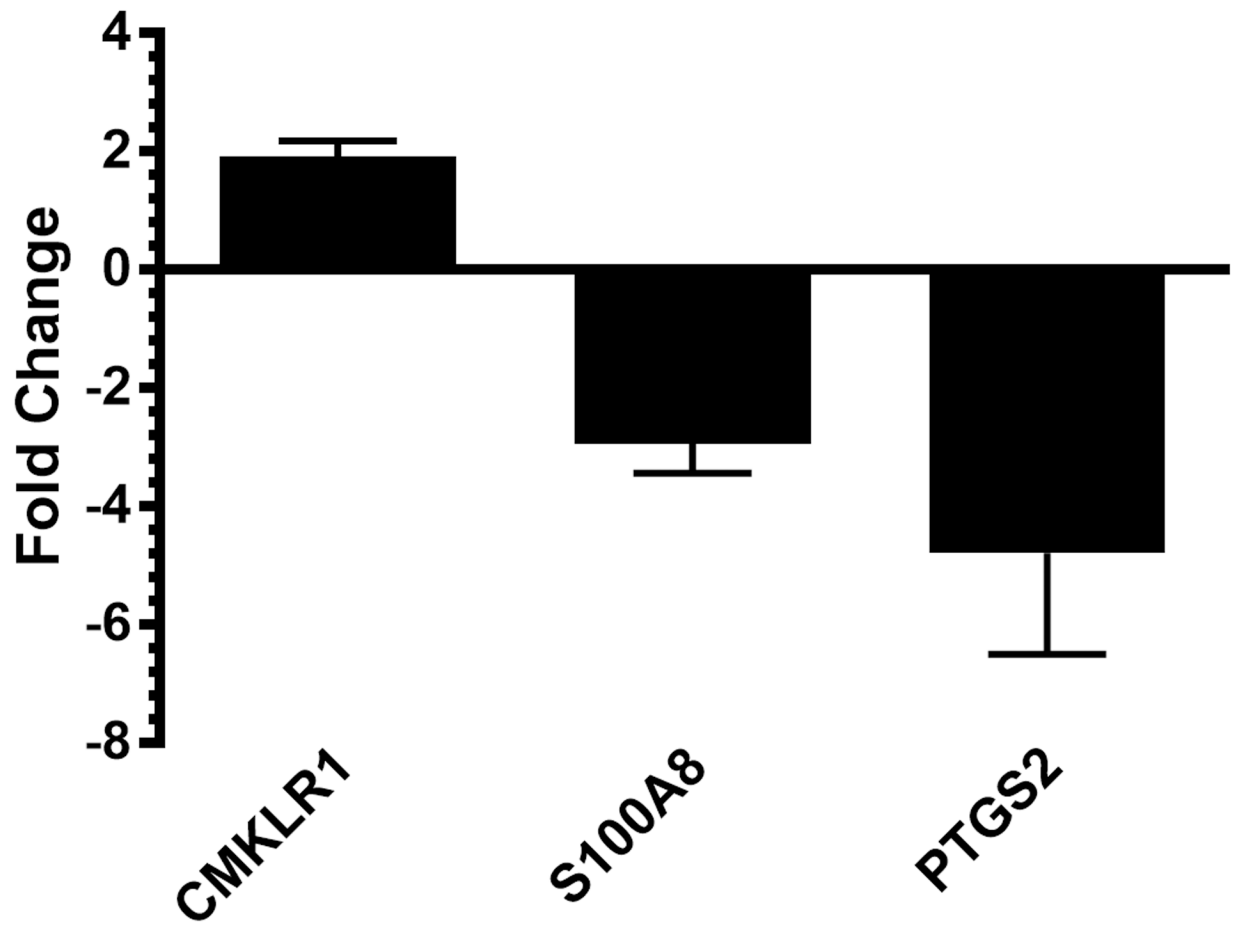
activities of the macrophages. Abbreviations: PGE<sub>2</sub>, prostaglandin E<sub>2</sub>; TFEB, transcription factor EB; FAO, fatty acid oxidation; LAMP, lysosomal membrane protein

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**Figure 3. Genes significantly altered with PPAR $\gamma$  knockdown in human macrophages.** Macrophages were transfected with scrambled and PPAR $\gamma$  specific siRNA with Mirus X2, then infected with *M.tb* at MOI 5. After 24h, total RNA was isolated and subjected to NanoString analysis. Shown are selected genes that displayed at least a 1.5x fold change after PPAR $\gamma$  knockdown, N=3. Results adapted from: [60].

**Table 1:**

Role of NRs on Macrophage Responses and Mycobacterial Infection

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Nuclear Receptor	Model System	Mycobacterial species, strain, or molecule	Host and Macrophage Response	Mycobacterial Effects	Ref
<b>PPAR<math>\alpha</math></b>	Mouse	H37Rv	controls bacterial burden in lung, spleen, and liver, inhibits inflammatory cytokine production	aids in anti-mycobacterial activity	[68]
	Mouse	<i>M. bovis</i> BCG	controls pulmonary bacterial burden, prevents neutrophilic inflammation and COX2 expression in lung tissue, inhibits inflammatory cytokine production	aids in anti-mycobacterial activity	[68]
	BMDMs	H37Rv	aids in transcription factor EB activation, lipid body formation, autophagy, lysosomal biogenesis, phagosomal maturation, mitochondrial respiratory function	aids in anti-mycobacterial activity	[68]
<b>PPAR<math>\gamma</math></b>	hMDMs	H37Rv and ManLam	Induces PPAR $\gamma$ expression in MR-dependent manner, upregulates IL-8 and COX2 independent of TLR-2 and NF-kB, PPAR $\gamma$ activity requires cPLA2	supports Mycobacterial persistence	[11]
	hMDMs	<i>M. bovis</i> BCG	Induces PPAR $\gamma$ to a lesser extent than <i>M.tb</i> or ManLam, induces IL-8 in an NF-kB-dependent, PPAR $\gamma$ -independent manner		[11]
	hMDMs	<i>M. smegmatis</i>	Does not induce PPAR $\gamma$		[11]
	hMDMs	H37Rv	PPAR $\gamma$ knockdown results in differential gene expression of numerous immunology-related genes during <i>M.tb</i> infection including apoptosis related genes Bax and Mcl-1, 15-lipoxygenase is needed for PPAR $\gamma$ -mediated Mcl-1 production which limits apoptosis and aids in <i>M.tb</i> survival	supports Mycobacterial persistence	[60]
	Mouse	<i>M. bovis</i> BCG	PPAR $\gamma$ is downregulated in <i>M. bovis</i> BCG infected alveolar macrophages, addition of PPAR $\gamma$ agonist decreased BCG-induced PGE <sub>2</sub> production		[58]
	Murine peritoneal macrophage	<i>M. bovis</i> BCG	increased NF-kB activation and PPAR $\gamma$ expression in a TLR-2 dependent manner, neutralization of CD36 decreased PPAR $\gamma$ expression, lipid body formation and PGE <sub>2</sub> production		[56]
	WBC 264-9C macrophage cell line	H37Rv and P19	increases PPAR $\gamma$ expression, p38 phosphorylation and IL-6 and TNF- $\alpha$ production, all effects were dependent on TLR2		[55]
	THP-1	H37Ra and H37Rv	Aids in macrophage lipidation, PGE <sub>2</sub> production, promoted an M2 macrophage phenotype, decreased ROS production, crosstalks with H37Ra lipids	supports Mycobacterial persistence	[10]
	THP-1	H37Rv	increased PPAR $\gamma$ expression and lipid biogenesis, decreased lipolysis, increased surface levels of GLUT proteins dependent on PPAR $\gamma$ and AKT		[57]
<b>TR4</b>	Mouse	H37Rv	TR4 knockdown results in reduced size of follicular granulomas and increased clearance of bacteria	supports Mycobacterial persistence	[15]
	Mouse	Ketomycolic acid + <i>M. smegmatis</i>	multiple well-formed granulomas compared to <i>M. smegmatis</i> alone, TR4 knockdown mice had fewer granulomas	supports Mycobacterial persistence	[15]
	hMDMs	<i>M. smegmatis</i> and H37Rv	addition of ketomycolic acid increases bacterial survival which was abrogated in hMDMs with TR4 knocked down	supports Mycobacterial persistence	[15]

Nuclear Receptor	Model System	Mycobacterial species, strain, or molecule	Host and Macrophage Response	Mycobacterial Effects	Ref
	THP-1	H37Ra and H37Rv	aids in macrophage lipidation, PGE <sub>2</sub> production, promotes an M2 macrophage phenotype, decreases ROS production, shown to crosstalk with <i>M.tb</i> lipids	supports Mycobacterial persistence	[10]
<b>LXR<math>\alpha</math>/LXR<math>\beta</math></b>	hMDMs and THP-1	H37Rv	IL-36 upregulates LXR ligands to activate LXRs and induces the production of cathelicidin and defensins	aids in anti-mycobacterial activity	[76]
	hMDMs	H37Rv	upregulated in response to <i>M.tb</i>		[75]
	THP-1	H37Ra	upregulated in response to <i>M.tb</i> , LXR $\alpha$ binds to Alu/DR4 elements which are associated with lipid metabolism, treatment with LXR $\alpha$ agonist increased expression of ABCA1 and ABCG1 and lead to decreased lipid body formation		[75]
	Mouse	H37Rv	are upregulated in response to <i>M.tb</i> , increased Th1/Th17 responses, restricted lipid loading of macrophages	aids in anti-mycobacterial activity	[16]
	Mouse	H37Rv	transcript ionally regulates AIM, a macrophage apoptosis inhibitor that is upregulated in the serum of <i>M.tb</i> infected mice	aids in anti-mycobacterial activity	[110]
	THP-1	H37Ra	prevents lipidation of macrophages, crosstalks with H37Ra lipids	aids in anti-mycobacterial activity	[10]
<b>REV-ERB<math>\alpha</math></b>	hMDMs	H37Ra and H37Rv	represses IL-10 production, allows for phagolysosome maturation	aids in anti-mycobacterial activity	[14]
	THP-1	H37Ra and H37Rv	enhances autophagy progression, positively regulates LAMP1 and TFEB	aids in anti-mycobacterial activity	[13]
<b>PXR</b>	hMDMs	H37Rv, Rifampicin-resistant <i>M. tuberculosis</i> (Zopf)	Modulates drug-efflux transporter expression, expedites drug efflux	supports Mycobacterial persistence	[92]
	hMDMs	H37Ra and H37Rv	promotes foamy macrophage formation, decreases phagolysosomal fusion, inflammatory responses, and apoptosis	supports Mycobacterial persistence	[91]
	humanized mice overexpressing PXR	H37Rv	allows pulmonary <i>M.tb</i> growth in the presence of rifampicin, phenotype is rescued by addition of ketoconazole or during treatment with rifabutin	allows <i>M.tb</i> rifampicin resistance and increased bacterial survival	[92]
	humanized mice overexpressing PXR	H37Rv	decreased bacterial clearance from the lungs	supports Mycobacterial persistence	[91]
<b>VDR</b>	human monocytes	LpqH (an <i>M.tb</i> lipoprotein)	initiates antibacterial autophagy and cathelicidin production	aids in anti-mycobacterial activity	[105]
	THP-1	H37Rv	transcriptome analysis revealed a correlation between VDR and lipid metabolism, decreased the number of lipid droplets, downregulated PPAR $\gamma$	aids in anti-mycobacterial activity	[109]
	THP-1	H37Ra	knockdown of VDR resulted in increased bacterial growth	aids in anti-mycobacterial activity	[10]

Abbreviations: BMDMs, bone marrow derived macrophages; BCG, Bacillus Calmette-Guerin; cPLA<sub>2</sub>, cytosolic phospholipase A<sub>2</sub>; COX<sub>2</sub>, cyclooxygenase 2; hMDMs, human monocyte derived macrophages; LAMP1, lysosomal-associated membrane protein 1; LXR, liver X receptor; ManLam, mannosylated lipoarabinomannan; MR, mannose receptor; NF- $\kappa$ B, nuclear factor-kappa B; PXR, pregnane X receptor; PPAR, peroxisome proliferator-activated receptor; PGE<sub>2</sub>, prostaglandin E<sub>2</sub>; TLR<sub>2</sub>, toll-like receptor 2; TR<sub>4</sub>, testicular receptor 4; TFEB, transcription factor EB; VDR, vitamin D receptor