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# Female Sex and Brain-Selective Estrogen Benefit $\alpha$ -Synuclein Tetramerization and the PD-like Motor Syndrome in 3K Transgenic Mice

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Many studies report a higher risk for Parkinson's disease (PD) and younger age of onset in men. This, and the fact that the neuropathological process underlying PD symptoms may begin before menopause, suggests that estrogen-based hormone therapy could modify this higher risk in males. However, the effects of female sex or estrogen on  $\alpha$ -synuclein ( $\alpha$ S) homeostasis and related PD neuropathology remain unknown. Here, we used an  $\alpha$ S tetramer-abrogating mouse model of PD (3K) that amplifies the familial E46K PD mutation to investigate the effects of female sex and brain-selective estrogen treatment on  $\alpha$ S tetramerization and solubility, formation of vesicle-rich  $\alpha$ S  $^+$  aggregates, dopaminergic and cortical fiber integrity, and associated motor deficits. In male 3K mice, the motor phenotype became apparent at  $\sim$ 10 weeks and increased to age 6 months, paralleled by PD-like neuropathology, whereas 3K females showed a significant delay in onset. At 6 months, this beneficial phenotypic effect in 3K females was associated with a higher  $\alpha$ S tetramer-to-monomer ratio and less decrease in dopaminergic and cortical fiber length and quantity. Brain-selective estrogen treatment in symptomatic 3K mice significantly increased the tetramer-to-monomer ratio, turnover by autophagy of aggregate-prone monomers, and neurite complexity of surviving DAergic and cortical neurons, in parallel with benefits in motor performance. Our findings support an upstream role for  $\alpha$ S tetramer loss in PD phenotypes and a role for estrogen in mitigating PD-like neuropathology *in vivo*. Brain-selective estrogen therapy may be useful in delaying or reducing PD symptoms in men and postmenopausal women.

Key words:  $\alpha$ -synuclein; DHED; estrogen; monomer; sex; tetramer

#### Significance Statement

The mechanisms responsible for the male-to-female preponderance in Parkinson's disease (PD) are not well understood yet important for treatment efficacy. We previously showed that abrogating native  $\alpha$ -synuclein ( $\alpha$ S) tetramers produces a close PD model, including dopaminergic and cortical fiber loss and a progressive motor disorder responsive to L-DOPA. Here, we analyzed sex and use 10b-17 $\beta$ -dihydroxyestra-1,4-dien-3-one treatment of symptomatic 3K males, and demonstrate that the beneficial effects of female sex on PD-like neuropathology can be reinstated by elevating estrogen in the male brain. The study provides evidence that 17 $\beta$ -estradiol restores the tetramer-to-monomer ratio by autophagy turnover of excess  $\alpha$ S monomers, vesicle and fiber integrity in brain regions critically involved in motor behavior. These data provide the basis for understanding sex differences in  $\alpha$ S homeostasis and the development of therapeutic approaches to treating men and postmenopausal women with PD.

#### Introduction

Parkinson's disease (PD) is an age-related neurodegenerative disorder characterized by accumulation of  $\alpha$ -synuclein ( $\alpha$ S) in

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cytoplasmic inclusions termed Lewy bodies (LB) and neurites, progressive neuron loss, and motor deficits (Goedert, 2001). Abundant evidence suggests that the male sex is a risk factor for PD and may correlate with younger age of onset (Kurtzke and Goldberg, 1988; Baldereschi et al., 2000; Alves et al., 2008). In addition, factors reducing estrogen stimulation during life were

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associated with the development of PD (Ragonese et al., 2004, 2006; Rocca et al., 2008). Furthermore, prospective and case-control studies have demonstrated that estrogen mitigates PD symptoms in women (Blanchet et al., 1999; Tsang et al., 2000). Most epidemiological studies evaluating exogenous estrogen use and risk of PD among women showed decreased risk (Dye et al., 2012; Bourque et al., 2019). However, efficacy of estrogen therapy in PD has been debated in light of detrimental peripheral side effects, such as stroke and breast cancer (Rossouw et al., 2002). Additionally, new studies examining the association between use of different estrogen formulations and PD risk demonstrated an unexpected higher risk of PD (Lundin et al., 2014). These studies highlight the need to better understand the role of estrogen in PD pathogenesis and to optimize the safety of therapeutic estrogen.

Some evidence suggests that estrogens and/or female sex may protect from PD-relevant environmental factors, such as paraquat (Gélinas et al., 2004), and other dopaminergic toxin-based models, such as MPTP and 6-OHDA (Gillies et al., 2014). These models showed that, on cellular level, estrogens have neurotrophic effects on midbrain dopaminergic (DAergic) neurons by promoting growth of neurites (Reisert et al., 1987), increasing tyrosine hydroxylase (TH) expression in neonates (Ivanova and Beyer, 2003) and enhancing survival of DAergic neurons derived from human stem cells (Kishi et al., 2005). Although the neuroprotective action has been well established, the underlying mechanisms are not yet clear in these models since they do not address *in vivo* αS protein aggregation.

To begin investigating the effect of estrogen on the  $\alpha$ S-induced brain pathology, we used the tetramer-abrogating mouse model of PD, "3K," producing a phenotypic amplification of familial (fPD) E46K in living mice (Nuber et al., 2018). The 3K transgenic mice exhibit many features of PD neuropathology. These include an upstream loss of aggregate-resistant tetramers (Bartels et al., 2011; Xu et al., 2018) with a consequent excess of  $\alpha$ S monomers that vastly accumulate as vesicle-rich aggregates, preceding round LB-like inclusion pathology in aged 3K brain, cortical and DAergic neurite loss, and display a robust early-onset and L-DOPA responsive motor phenotype (Nuber et al., 2018). To both control and specify for potential estrogen effects on the  $\alpha$ S-induced neuropathology, we used 10b-17 $\beta$ -dihydroxyestra-1,4-dien-3-one (DHED), which selectively increases estrogen in the brain while sparing the periphery from estrogenic stimulation (Prokai et al., 2015).

In this study, we compare 3K  $\alpha S$  sex differences and the effect of brain-selective estrogen-therapy in symptomatic 3K PD-like mice.

### **Materials and Methods**

*Treatment of 3K mutant mice.* For the study, we used 3K mice (line #3817) and expression-matched WT mice (line #3877) with 2- to 3-fold overexpression of human (hu) αS controlled by the Thy1.2 promoter (generation and characterization described previously (Nuber et al., 2018). Briefly, all mice were bred to the C57BL/6J background, and the 3K-3817 colony was maintained by breeding female 3K mice to nontransgenic (Ntg) C57BL/6J males due to severe motor phenotypes of 3K males that interfered with breeding after 2 months of age (Nuber et al., 2018). Since dopamine (DA) neurotransmitter level may vary due to maternal care (Gammie et al., 2008), we chose Ntg littermates to analyze DA content, neuronal counts, and associated fiber densities and/or to test for earliest motor differences of newly weaned (4 week) mice. For treatment studies and for comparison of the motor behavior of mature mice (6 months and older), we used expression-matched WT control mice. All mice were bred and maintained at the Hale BTM facility in accordance with National Institutes of Health guidelines on use of laboratory animals and an approved protocol by the Brigham and Women's Hospital. Mice were kept in normal 12 h light/12 h dark cycles and had free access to food and water. For DHED treatment: mice received daily DHED (Sigma-Aldrich, SML 1642;  $100~\mu g/kg$  in corn oil, s.c.) for up to 90 d and at least 2 h before the start of behavioral testing in a randomized, blinded design. All mice were sacrificed after a final behavioral assessment at 10 months of age.

Intact-cell crosslinking of brain tissue. Dissected cortical brain regions were gently minced into small bits with a razor blade, and the brain bits were washed free of released cytosol and resuspended in PBS with EDTA-free Complete protease inhibitors (Roche Diagnostics). Intact-cell crosslinking was then conducted on the washed brain bits as previously described (Nuber et al., 2018). Briefly, the cell-permeable crosslinker DSG was prepared at 1 mm final concentration in DMSO immediately before use. Samples were incubated with crosslinker for 30 min at 37°C with rotation. The reaction was quenched by adding Tris, pH 7.6, at 100 mm final concentration and incubated for 5 min at room temperature. After quenching and aspiration of the supernatant, proteins in intact tissue were extracted directly in TBS/1% Triton X-100 (see below).

Sequential tissue extractions. Mice were anesthetized, decapitated, and the brains dissected on a chilled stage. Sequential extractions were performed as described previously (Nuber et al., 2013). Briefly, tissues were homogenized in 2.5 volumes of TBS  $^+$  (50 mm Tris-HCl, pH 7.4, 175 mm NaCl, 5 mm EDTA, protease inhibitor cocktail, Calbiochem) and spun for 30 min at 120,000  $\times$  g. The pellet was subsequently extracted in TBS  $^+$  containing 1% Triton X-100. The Triton X-100-insoluble pellet was then extracted in RIPA buffer (TBS  $^+$ , 1% NP-40, 0.5% sodium deoxycholate, 0.1% SDS), with each extraction step followed by ultracentrifugation for 30 min at 120,000  $\times$  g.

Western blot (WB) analyses. For WB, 8-15 µg total protein of sequential extracts of dissected mouse brains was each run on 4%-12% Bis-Tris gels (Invitrogen) and electroblotted onto nitrocellulose membranes (Millipore). All cross-linked samples were blotted on PVDF membranes for enhanced retention of proteins. For improved immunodetection of  $\alpha$ S (monomers of which are prone to washing off filters) (Lee and Kamitani, 2011; Newman et al., 2013), the membranes were fixed in 4% PFA for 10 min. After washing in PBST (PBS with 0.2% Tween 20), membranes were blocked for 1 h at room temperature in PBS containing 5% BSA. Blots were then incubated with hu-specific  $\alpha$ S antibody (ab) (15G7, Enzo Life Sciences; 1:500), or antibodies that recognize both rodent and human αS (syn1, clone 42, BD Bioscience; 1:2000), LAMP-1 (25245, 1:1000; Abcam), LC3B (5F10; 1:2000; Nanotools) in PBST containing 5% BSA overnight. After washing with PBST, membranes were probed with appropriate secondary antibodies (1:3000, American Qualex), visualized with enhanced chemiluminescence (PerkinElmer), and analyzed with the VersaDoc gel imaging system. Proteins were normalized to β-actin (A5441, Sigma-Aldrich; 1:3000) used as a loading control. Quantification of signal intensities was performed as described previously (Nuber et al., 2008).

Estradiol measurement. 17β-Estradiol levels of brainstem tissue extracts were measured using the high sensitive ELISA (ADI-900-174, Enzo Life Sciences) as previously described (Chen et al., 2013; Zhang et al., 2014; Lu et al., 2019) and in accordance to the manufacturer. Briefly, frozen brainstems were homogenized in 200 µl of TBS buffer and protease inhibitor on ice using ultrasonication until complete dispersion of tissue into the buffer. Samples were centrifuged at 15,000  $\times$  g for 30 min at 4°C, and the supernatants were collected. Each sample was then ether extracted by adding 5:1 (v/v) diethyl ether, and the organic phase was completely dried prior resuspension in 250 µl of assay buffer. Subsequently, 100  $\mu$ l of sample was added in duplicates to the bottom of the appropriate wells followed by the addition of 50  $\mu$ l 17 $\beta$ -estradiol conjugated to alkaline phosphatase and 50  $\mu$ l sheep polyclonal antibody to  $17\beta$ -estradiol. Next, the plate was incubated at room temperature with shaking ( $\sim$ 500 rpm) for 2 h. After 3 washes with 400  $\mu$ l of wash buffer, 200 µl of the pNpp substrate solution was added into each well and incubated for 1 h at room temperature without shaking. Stop solution  $(50 \mu l)$  was added afterward, and the optical density was read at 405 nm.  $17\beta$ -Estradiol levels in the protein samples were determined based on an established standard and expressed as pg/mg wet brain weight.

RNA. Total RNA samples were isolated from brain cortices using mirVana miRNA Isolation Kit (AM1561), and RNA concentrations determined by Nanodrop. The samples were converted into cDNA using Applied Biosystems High-capacity cDNA reverse transcription kit (#4368813). Afterward, the cDNA was mixed with TaqMan Fast Universal PCR Mix (#4352042) for qPCR analysis using TaqMan Gene Expression primers Esr1 (Mm00433149\_m1), Calb (Mm00486647\_m1), Tfeb (Mm00448968), Lamp-1 (Mm00495262\_m1), Map1lc3a (Mm00458724\_m1), and stearoyl-CoA desaturase-1 (SCD-1) (Mm00772290).

High pressure liquid chromatography (HPLC). HPLC was conducted as previously described (Nuber et al., 2013). To estimate striatal monoamine levels at age 6 months in 3K αS tg (n=5 per group) or Ntg control mice (n=5 or 6 per group). The mice were deeply anesthetized by CO<sub>2</sub>, quickly decapitated, and the striata dissected on ice, homogenized in 0.5 M perchloric acid, centrifuged, filtered, and stored at  $-80^{\circ}$ C until analysis for monoamine content. Samples containing 500 pg dihydroxybenzylamine as an internal standard were analyzed by HPLC with electrochemical detection. The column was an ET 125/2, Nucleosil 120–5, C-18 reversed-phase column (Macherey & Nagel). The mobile phase consisted of 75 mM NaH<sub>2</sub>PO<sub>4</sub>, 4 mM KCl, 20 μM EDTA, 1.5 mM SDS, 100 μl/l diethylamine, 12% methanol, and 12% acetonitrile, adjusted to pH 6.0 using phosphoric acid. The electrochemical detector (Intro, Antec) was set at 500 mV vs. an ISAAC reference electrode (Antec) at 30°C.

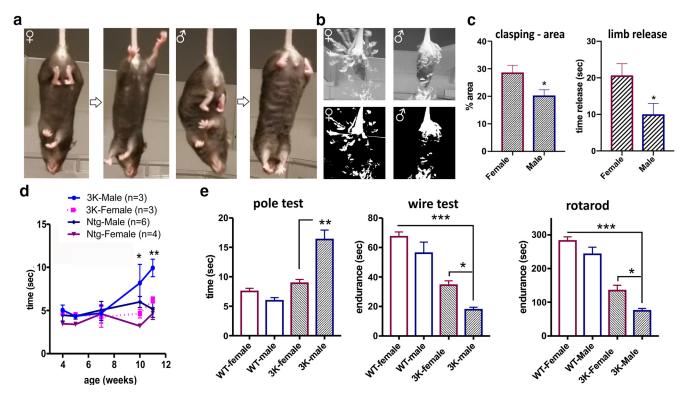
Immunohistochemistry. Anesthetized mice were intracardially perfused with PBS and ice-cold 4% (w/v) PFA in PBS, pH 7.4. The brain was dissected from the skull and postfixed in 4% PFA for another 48 h (h) at 4°C. Brains were cut into 25  $\mu$ m cryotome sections and immunostained as described previously (Nuber et al., 2008). Briefly, after treatment with  $H_2O_2$  (0.3% in PBS, 30 min) and blocking (10% normal goat serum, 1 h), sections were incubated for 12 h at 4°C with anti human  $\alpha$ S (15G7; 1:500, Enzo Life Sciences), anti phosphorylated (pSER129) αS (51253; 1:50,000; Abcam) in PBS. After washing with PBS, sections were incubated with the respective biotinylated secondary antibodies (1:200 in PBS; Vector Laboratories) and subsequently transferred into ABC solution (1:500 in PBS; Vectastain Elite Kit, Vector Laboratories) for 1 h and visualized with DAB. Fluorescence labeling was performed as described previously (Nuber et al., 2013). Briefly, sections were blocked in 10% normal donkey serum and incubated overnight at 4°C with antibodies to hu  $\alpha$ S (15G7, 1:1000; Enzo Life Sciences), antiphosphorylated (pSER 129)  $\alpha$ S (51253, 1:4000; Abcam), LAMP-1 (25245, 1:500; Abcam), anti-LC3B (5F10, 1:500; Nanotools), ER $\alpha$  (MA5–13065, 1:2000; Invitrogen), or vesicular monoamine transporter 2 (VMAT2) (GTX89638, 1:2000; GeneTex). This was followed by incubation with FITC-conjugated secondary antibodies (1:500 in PBS) for 3 h at room temperature. Confocal microscopy was conducted with an Axiovert 35 microscope (Carl Zeiss) mounted on a MRC1024 laser scanning confocal microscope (Bio-Rad). For confocal images of ER $\alpha$ , LAMP-1, or LC3B with pSER129-reactive- $\alpha$ S, each image was color-balanced. An ImageJ plug-in called "colocalization highlighter" created a mask of either LAMP-1 or LC3B pixels that overlapped with pSER129- $\alpha$ S pixels. The sizes of the colocalized pixels on the resultant 8-bit images were quantified using the analyze particle function plugin of ImageJ. For Golgi-Cox stainings, brains of n = 6 mice per group were dissected from the skull and incubated in rapid Golgi-Cox solutions for 14 d, following manufacturer's instructions (FD Rapid GolgiStain Kit, FD Neurotechnologies). For neuron reconstruction and analyses of the fiber length, the Golgi-impregnated cortical neurons and dendritic tree were examined in detail by LM imaging, and stacks of serial optical sections were captured with Carl Zeiss LM microscope equipped with a 40× oil-immersion objective. One researcher, blinded to genotype, selected, traced, and analyzed the apical and basal dendrites using Neuron Studio. Sections between bregma ~1.9 and 0.6 mm were used to select neurons to trace dendritic arbors in the motor cortex and somatosensory cortex. Regional boundaries were defined according to the mouse brain atlas (Franklin and Paxinos, 2008). 3D reconstructions of dendritic arborization generated using NeuronStudio software package (http:// research.mssm.edu/cnic/tools-ns.html) and the dendritic length were summed over the entire dendritic tree.

*Electron microscopy.* Briefly, mice underwent transcardiac perfusion with 4% paraformaldehyde (PFA), and the dissected brains were fixed in

4% PFA for another 48 h, followed by 2% PFA incubation for 24 h, and then transferred into 0.5% PFA. For morphological TEM studies,  $1 \times 1$ mm brain slices were postfixed overnight in 2.5% glutaraldehyde, 1.25% PFA, and 0.03% picric acid in 0.1 M sodium cacodylate buffer, pH 7.4, washed 3× in 0.1 M sodium cacodylate buffer, incubated in 1% osmium tetroxide (OsO4)/1.5% potassium ferrocyanide (KFeCN6) for 1 h, washed in water 2×, 1× in 50 mm maleate buffer, pH 5.15 (MB), and incubated in 1% uranyl acetate in MB for 1 h followed by 1 wash in MB, 2 washes in water, and subsequent dehydration in grades of alcohol (10 min each; 70%, 90%,  $2 \times 10$  min 100%). The samples were then put in propyleneoxide for 1 h and infiltrated overnight in a 1:1 mixture of propyleneoxide and TAAB Epon (TAAB Laboratories Equipment; https://taab.co.uk). The following day, the samples were embedded in fresh TAAB Epon and polymerized at 60°C for 48 h. Each block was cut into 60 nm ultrathin sections using a Reichert Ultracut-S microtome. Sections were placed onto copper grids and stained with lead citrate. The sections were examined using a 1200EX transmission electron microscope (JEOL). Images were recorded with an AMT 2k CCD camera at  $20,000-30,000 \times$  magnification.

Assessment of striatal DA fiber integrity and stereological counting. The 25 μm free-floating sections were rinsed in PBS (0.15 M NaCl, 0.1 M Tris-HCl, pH 7.5) and endogenous peroxidase activity quenched with 0.3% H<sub>2</sub>O<sub>2</sub> in TBS for 30 min at room temperature. Unspecific protein binding was blocked with 10% normal goat serum in PBS containing 0.3% Triton X-100. Sections were incubated with rabbit TH (AB152, 1:2000, Millipore) for 48 h at 4°C. Following 3 rinses with PBS, sections were incubated with secondary anti-rabbit (1:1000, Dianova 711-065-152) in PBS containing 10% normal donkey serum, washed, and subsequently transferred into ABC solution (1:500 in PBS; Vectastain Elite Kit, Vector Laboratories) for 1 h and visualized with DAB. Brain sections across a 4.8-3.5 interaural range were chosen, referring to the Paxinos and Franklin mouse brain atlas (Paxinos and Franklin, 2001). Sections from all genotypes were simultaneously stained and digitized using constant imaging settings (StereoInvestigator, MicroBrightField), and subsequent analyses were performed on a blinded basis. Images were converted to grayscale, and the mean gray value intensity was measured in the caudate/putamen (CPu) and in the adjacent corpus callosum (cc) to correct for signal background. Mean gray values were converted to uncalibrated optical density (UOD) using ImageJ 1.46r software (National Institutes of Health). The UOD of TH signal in the CPu was calculated by the formula  $CPu_{final(UOD)} = CPu_{(UOD)} - cc_{(UOD)}$ , similar to a previously published study (Schlachetzki et al., 2014). For stereological counting, every fourth free-floating section of 40  $\mu$ m thickness, encompassing the full rostrocaudal extent of the substantia nigra (SN) was stained for TH (for 36 h) as described above. This approach resulted in 9 or 10 sections in which the SN was identified. The number of TH-positive neurons in the SN pars compacta (SNpc) of one hemisphere was stereologically assessed using the optical fractionator principle and using the Stereo Investigator software (MicroBrightField). The ROI was outlined using a 2.5 $\times$  objective and sampled with a 40 $\times$  objective using a square optical frame (SNpc:  $50 \times 50 \mu m$ ) and sampling grid (SNpc:  $60 \times 60$  $\mu$ m), with 2  $\mu$ m guard zones above and below the counting. The estimated coefficient of error (Gundersen, m = 1) ranged from 0.05 to 0.07, and the estimated section thickness was  $\sim$ 19  $\mu$ m. The reported "total" number of TH-positive neurons is the number estimated by numberweighted section thickness multiplied by a factor of 2, representing both hemispheres.

Experimental treatment design, quantification, and statistical analysis. The 3K mice and WT  $\alpha$ S transgenic mice were administered 100  $\mu$ g/kg DHED (Sigma-Aldrich) dissolved in corn oil (Sigma-Aldrich) through daily subcutaneous injections for up to 90 d, and all animals were sacrificed at age 10 months. Age- and sex-matched 3K and WT control mice were injected with the vehicle (Sham). Behavioral testing was conducted before treatment (pre) and at 30 d (data not shown) and at 90 d of treatment as indicated. Experimental details specific for behavioral testing are included in behavior testing below. Details regarding each statistical test, biological sample size (n), and p value can be found in the corresponding figure legends. All data are represented as mean  $\pm$  SEM. SEM represents variance within a group. In all experiments, the geno-



**Figure 1.** Sex differences of progressive motor deficits in 3K  $\alpha$ S tg mice. **a**, Limb clasping in 3K female versus (vs.) 3K male  $\alpha$ S tg mice at 6 months. **b**, Stacked images to assess rigor of limbs in 3K female and male mice at 6 months by videography and ImageJ software (representative images are shown). **c**, Quantification of time hindlimbs were released from the clasped (= flexed) position and of percentage area hindlimb coverage in **b** (see Materials and Methods) (n=6 each group). **d**, Longitudinal quantification of time to descend the pole in mice 4–12 weeks of age. **e**, Left, Quantification of time to descend the pole for 6-month-old mice. Middle, Quantification of muscle tone-related endurance of 3K males and females in the 4-limb wire-hanging test. Right, Quantification of fine motor and balancing skills in a 4 – 40 rpm accelerating rotarod test. No significant behavioral impairments or sex-related changes are observed in age-matched WT controls. Data are mean  $\pm$  SEM. \*p < 0.05; \*\*\*p < 0.01; \*\*\*\*p < 0.001; unpaired two-tailed t test (**c**); or two-way ANOVA post Tukey (**d**, **e**).

types can be found in the corresponding legends. Data were collected and processed side by side in randomized order for all experiments. Behavioral and histological tests were routinely performed blind to the conditions of the experiments. Unpaired, two-tailed t tests were used for comparison between two groups, with  $p \leq 0.05$  considered significant. For all comparisons involving multiple variables, one-way ANOVA or two-way ANOVA was performed followed by *post hoc* test for multiple comparisons using  $p \leq 0.05$  for significance. For all experiments, 3–6 (biochemistry, histology) and 5–12 (behavior) animals per experiment were used, with the number per group stated in each figure legend. Additional bar graphs have been included to show the appropriate statistical information (mean  $\pm$  SEM). All statistical analyses were performed using Prism (GraphPad).

Behavioral testing. All behavioral testing has been conducted as previously described (Nuber et al., 2018). Briefly, groups of male and female mice were prepared for behavioral analyses by daily handling by the investigator 1 week before behavioral assessment. Unless otherwise noted, all behavioral trials were assessed in a blind manner.

Clasping. For clasping analyses, mice were held by their tails and analyzed for their behavior for up to 30 s. To measure ability of limb release from the flexed (=clasped) position in 6-month-old 3K male and female mice (n=6 per group), we used short-term (30 s) video recording of mice and subsequent stacking of  $\sim$ 60 frames. The layers were converted to a smart object and then to the stack mode "maximum" to capture the paws and to sum the limb movements in a single frame image using Photoshop software (Adobe). This method allowed evaluation of area covered by the limbs, which were inverted for clarity. The percentage area of limb coverage was evaluated by ImageJ pixel intensity measurement.

Pole test. Mice were placed on top of a 50 cm vertical pole (all-thread metal rod) with a diameter of 1 cm and tested for their ability to descend the pole. Maximal duration time was set to 60 s to avoid exhaustion. The pole test consisted of 3 consecutive trials (climbing down) and average times to "climb down" were calculated for each mouse.

Gait scan. To assess motor function and coordination in walking mice, automated gait analysis was performed using Treadscan (Cleversys). Gait patterns of 10 month-old mice were measured for 25 s at a speed of 15.7 cm/s on a transparent running belt illuminated by a LED light and reflecting footprints captured by a video camera positioned underneath the walkway.

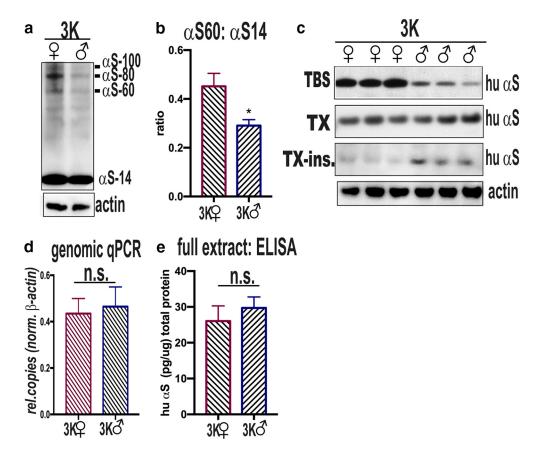
Wire hang test. Mice were placed in the center of a linear wire with all 4 paws and tested for their ability to hold onto it. Maximal duration time was set to 90 s to avoid exhaustion. The wire test consistent of 3 consecutive trials and average endurance time were calculated for each mouse.

Rotarod. Motor coordination and motor skill learning were evaluated using an accelerating rotarod (Ugo Basile), and time spent on the rod was recorded. The first day consisted of a habituation trial at constant speed (4 rpm for 5 min), followed by two trials of 4-40 rpm progressive acceleration within 5 min for 5 consecutive days, including 2 trials each day. On the next 2 d, the mice were tested only on the accelerating trials (4-40 rpm, 5 min). An intertrial pause of at least 1 h was applied to avoid fatigue and stress, and a maximum cutoff of 5 min was used. Motor coordination was evaluated by comparing the mean latency to fall over the 3 consecutive days between groups and against their pre-treatment baseline performance.

#### Results

## The tetramer-to-monomer shift caused by the 3K mutation is associated with a progressive motor syndrome with early-onset and higher prevalence in males

We previously observed 3K  $\alpha$ S transgenic mice develop behavioral changes that included abnormal gait due to the lack of coordinated limb movement and gradually worsening head and body tremor within the first 3–6 months after weaning ( $\sim$ 1 month) (Nuber et al., 2018). By the age of 6 months, all 3K mice had a stiff, uncoordinated gait, which was preceded by hindlimb



**Figure 2.** Sex differences in  $\alpha$ S solubility and T:M ratio. **a**, Intact cell-crosslinking of  $\alpha$ S in cortical brain bits from 3K female and 3K male mice. Syn1 ab detects monomeric ( $\alpha$ S14) and tetrameric ( $\alpha$ S60)  $\alpha$ S and probable conformers of the tetramer ( $\alpha$ S80,  $\alpha$ S100) (Dettmer et al., 2013). Actin serves as a control for loading. Representative WB of T:M ratio in 3K female vs. male. **b**, Quantitative WBs of  $\alpha$ S monomers ( $\sim$ 14 kDa) and tetramers ( $\sim$ 60 kDa) reveal an increased T:M ratio in 3K females vs. 3K males (n=3 mice per genotype run in 3 independent experiments). **c**, WBs of (non-cross-linked) sequential extractions of TBS-soluble (cytosolic), Triton X-100-soluble (membrane), and Triton X-100-insoluble full brain homogenates (15G7: hu  $\alpha$ S) reveal increased soluble and less insoluble  $\alpha$ S in 3K females vs. 3K males. **d**, Relative copy numbers by qPCR of genomic DNA with values normalized to actin. **e**, hu-specific  $\alpha$ S ELISA shows equal  $\alpha$ S protein levels in cortical brain homogenates of 6-month-old 3K male and 3K female mice (n=3). n.s., non-significant; \*p<0.05 (unpaired two-tailed t test).

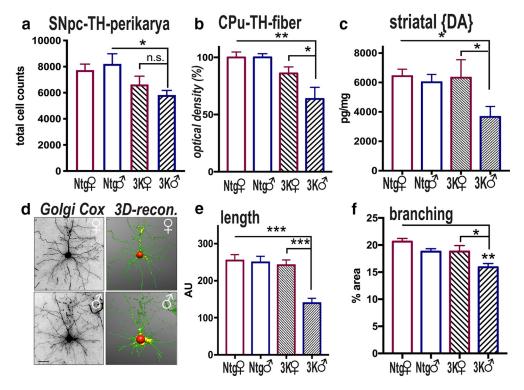
clasping that began at  $\sim$ 3–4 months. While clasping is observed in both males and females by 6 months, 3K females more frequently extended their limbs from the flexed position than males (Fig. 1a). The stacked (superimposed) images of  $\sim$ 30 s/80 frames were converted to the stack mode "maximum" to highlight limb movement in a single frame image. The calculated paw area covered in female vs. male 3K (p = 0.050) by limb release (p = 0.045) suggests less muscle rigor in female 3K mice (Fig. 1c). In particular, longitudinal testing of newly weaned 3K mice vs. Ntg littermates showed 3K females to notably delay the raise in time to climb down the pole that appeared more robustly in male 3K mice starting at 10 weeks of age (Fig. 1d). Two-way ANOVA confirmed a significant interaction between sex and genotype of the longitudinal pole testing ( $F_{(12.60)} = 2.25$ , p = 0.02). Post hoc multiple comparisons revealed significant decrease in time to climb the pole in female vs. male 3K mice starting at 10 weeks of age (10 weeks: p = 0.05; 11 weeks: p = 0.0064). At 6 months of age, 3K females showed a consistent less increase in time to climb down the pole (Fig. 1e) (relative percentages: female 3K vs WT:  $18 \pm 19\%$ ; male 3K vs WT:  $76 \pm 27\%$ ), longer endurance in the 4-limb wire hanging test (Fig. 1e, middle) (female 3K vs WT:  $-50 \pm 4.2\%$ ; male 3K vs WT  $-68.4 \pm 3\%$ ), and an increased ability to maintain balance while walking on a slowly accelerating rotarod (Fig. 1e, right) (female 3K vs WT:  $-52 \pm 6\%$ ; male 3K vs WT:  $-70 \pm 2.8\%$ ). Two-way ANOVA confirmed a significant interaction between sex and genotype for pole testing  $(F_{(1.19)} =$ 

10.59, p=0.0042) and wire performance ( $F_{(1,19)}=4.2$ , p=0.050). Accelerating rotarod test showed a nonsignificant interaction, however, there were significant main effects on both sex ( $F_{(1,19)}=14.5$ , p=0.0012) and genotype ( $F_{(1,19)}=146$ , p<0.0001). In all cases, multiple comparison *post hoc* tests showed improved motor performances by female sex in 3K mice (pole: p=0.004; wire: p=0.03; rotarod: p=0.016). In contrast, matched overexpression of WT hu  $\alpha$ S did not induce clasping or other motor deficits as shown previously (Nuber et al., 2018), and female and male WT mice were not different from each other in all motor tests (Fig. 1e; p>0.1).

## Female sex decreases shift of $\alpha S$ tetramers toward excess monomers and the $\alpha S$ membrane association

To investigate sex-related differences on  $\alpha S$  homeostasis in 3K mice, we first assessed tetramerization in 3K female and male mice using our previously described method to trap the cell-lysis-sensitive tetramers by intact-cell crosslinking of fresh, minced cortical brain tissue bits with the cell-penetrant crosslinker DSG (Dettmer et al., 2013, 2015).

Quantitative WBs of cortical extracts indicated an increase in  $\alpha$ S60 (and related multimers  $\alpha$ S80 and  $\alpha$ S100) and lower  $\alpha$ S14 monomer signals in female vs. male 3K brain (Fig. 2a). Evaluating the relative level between  $\alpha$ S60 tetramers and  $\alpha$ S14 monomers, we found a significant increase in the tetramer-to-monomer ratio (T:M) in female 3K brain (p=0.03) (Fig. 2b). Sequential extrac-



**Figure 3.** Sex differences in cortical and dopaminergic neurodegeneration in 6-month-old 3K mice. a, Unbiased stereological counting determined the number of TH  $^+$  neurons in the SNpc of 6-month-old mice. b, Relative TH optical density (total of 18 sections each group) was analyzed in the CPu. c, HPLC assay of striatal dopamine in 6-month-old male and female 3K mice vs. Ntg littermates (a-c: Ntg, 3K n=5 each sex). d, Using NeuronStudio software for automatic tracing of Golgi Cox-impregnated neuritic profiles for (e) estimating fiber length (n=10 traced neurons) or (f) percentage area covered (n=10 fields) of cortical sections (n=3 mice per age and genotype). Data are mean  $\pm$  SEM. Two-way ANOVA, post Tukey: n.s., non-significant; \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001. Scale bar: d, 25  $\mu$ m.

tions of full homogenates revealed an increased buffer-soluble (cytosolic)  $\alpha$ S, and fewer Triton X-100-solubilized (membrane-associated)  $\alpha$ S and Triton X-100-insoluble (aggregated)  $\alpha$ S in female vs. male 3K mouse brain (Fig. 2c). We observed the increase in  $\alpha$ S solubility and tetramerization despite a similar hu  $\alpha$ S expression level in female vs. male 3K as determined by genomic qPCR for the relative amount of transgene copy numbers (Fig. 2d) and a hu  $\alpha$ S-specific ELISA (Fig. 2e).

## Increase in $\alpha$ S T:M and solubility associates with increased cortical and DAergic neurite complexity in 3K females

To assess whether the increased 3K  $\alpha$ S tetramerization and solubility as well as the associated motor phenotype correlates with changes in DAergic integrity, we first counted TH-immunopositive (TH<sup>+</sup>) neurons of 3K mice vs. Ntg littermates (Ntg females: 7735  $\pm$  463.9; Ntg males: 8216  $\pm$  768.1; 3K females:  $6641 \pm 727$ ; 3K males:  $5825 \pm 358.2$ ) (Fig. 3a). Two-way ANOVA revealed a significant main effect of genotype ( $F_{(1,16)} = 8.307$ , p =0.01), although there was no significant interaction between sex and genotype for the nigral TH counts. Multiple comparisons showed significantly reduced DAergic neurons only in male Ntg vs. 3K (female Ntg vs 3K: p = 0.55; male Ntg vs 3K: p = 0.043). Females were previously reported to have an improved capacity to recover from toxin-induced PD compared with males and to preserve the striatal function (Gillies et al., 2014). Thus, we next quantified reduction of TH<sup>+</sup> axons and their terminals. We analyzed the dorsal striatum (CPu), which is rich in projections from the DAergic neurons of the SNpc (Fig. 3b). Notably, 6-month-old 3K females showed fewer TH + fiber immunoreactivity than the age-matched 3K males (relative percentages: female Ntg vs 3K:  $-14 \pm 4\%$ ; male Ntg vs 3K:  $-33 \pm 2.8\%$ ). Two-way ANOVA confirmed a significant interaction between sex and genotype ( $F_{(1,32)} = 4.897$ , p = 0.03). Post hoc multiple comparisons showed increased TH  $^+$  densities in female vs. male 3K mice (p = 0.02). No changes were detected between female and male Ntg mice.

In addition to TH  $^+$  striatal terminals, the corresponding DA levels were measured in mouse brains (Fig. 3c). There was a main effect on the genotype ( $F_{(1,17)}=4.7$ , p=0.04), and multiple comparisons showed a significant decrease in DA of  $\sim$  27% only in 3K males (female Ntg vs 3K: p=0.18; male Ntg vs 3K: p=0.017), and paired comparisons revealed more DA in female vs. male 3K mice (p=0.025), but there was no significant interaction between sex and transgene (p=0.137) (Fig. 3c).

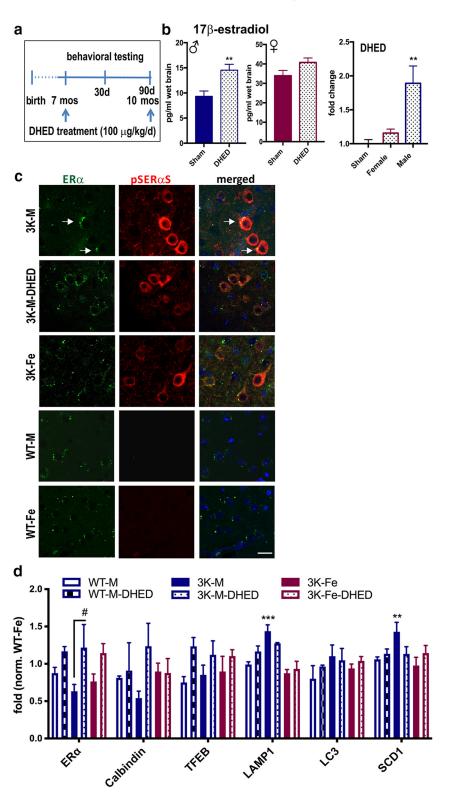
Since degeneration of TH+ striatal nerve terminals affects cortical neuron morphology (Solis et al., 2007), we traced the pyramidal neurons localized within the somatosensory and motor cortex region and generated a 3D reconstruction of the dendritic profile using NeuronStudio Software (see Materials and Methods). We found that 3K females showed relatively long cortical neuronal fibers. Two-way ANOVA analysis of the singletraced neurons (n = 10 per group) confirmed a significant interaction ( $F_{(1,36)} = 12.87$ , p = 0.001) and a main effect of genotype  $(F_{(1,36)} = 20.76, p < 0.001)$  and sex  $(F_{(1,36)} = 15.43, p =$ 0.0004). Paired comparisons showed increased neuritic length in female vs. male 3K mice (p < 0.001) (Fig. 3e). No differences were detected between female and male Ntg controls. In addition, evaluation of the dendritic arborization showed higher fiber complexity in 3K females (Fig. 3f) as measured in percentage area covered (Ntg females:  $21 \pm 0.59\%$ ; Ntg males:  $19 \pm 0.53\%$ ; 3K females: 19  $\pm$  0.8%; 3K males: 16  $\pm$  0.7%). Two-way ANOVA confirmed main effect on sex  $(F_{(1,32)} = 13.03, p = 0.0010)$  and genotype ( $F_{(1,32)} = 12.7, p = 0.0012$ ). The paired comparison

revealed a significant increase in percentage area covered in female vs. male 3K mice (p = 0.03). In summary, the data suggest that female sex preserved neurite fiber integrity in 3K mice.

## DHED treatment produces markers of estrogenic effects in 3K brain

Several studies suggest that estrogens delay PD onset and protect DAergic neurite fiber integrity (Gillies et al., 2014), providing a likely explanation for the observed preserved DAergic (Fig. 3a-c) and cortical fiber densities (Fig. 3*d*–*f*) and associating with less motor impairments in 3K female vs. 3K males (Fig. 1a-c). However, clinical use of estrogen therapy remains controversial due to the observed adverse peripheral effects. Recent studies investigated the benefits of treatment with DHED, a brain-selective (Prokai et al., 2015) prodrug of  $17\beta$ -estradiol, and found it effective in a rodent stroke model (Prokai et al., 2015) as well as in female (Tschiffely et al., 2016) and male (Tschiffely et al., 2018) AD mice. We treated 7-month-old 3K and WT male mice at a dose of 100 µg/kg per day for 90 d (Fig. 4a). To further validate the DHED delivery to the brain (Prokai et al., 2015), we measured its subsequent conversion to estrogen using a highly sensitive ELISA (Fig. 4b), previously shown to detect 17βestradiol in male and female mouse brain (Chen et al., 2013; Zhang et al., 2014; Lu et al., 2019). The DHED treatment significantly increased brain estradiol concentration in male mice (p = 0.002) (Fig. 4b, left). As expected (Prokai et al., 2015), there was no detectable effect in plasma by the DHED treatment (data not shown). We also found DHED treatment to increase brain  $17\beta$ -estradiol level in female mice (Fig. 4b, middle). One-way ANOVA confirmed significant effects by DHED treatment (F = 6.117; p = 0.0047), but multiple comparisons of the fold change showed significance only in male brain (p = 0.006) (Fig. 4b, right). The less pronounced change in female brain is likely due to the overall higher estradiol level. Additional variability due to the estrous cycle may mask the DHED-induced estradiol elevation in female mouse brain.

Estrogen actions are mediated by estrogen receptors (i.e., estrogen receptor  $\alpha$  [ER $\alpha$ ]), and estradiol was shown to temporarily upregulate ER $\alpha$  in cell culture and in mouse brain (Liu and Shi, 2015). Our histo-staining confirmed expression of ER $\alpha$  in cortical regions of female and male 3K mice, and in cells accumulating pSER-positive aggregates (Fig. 4c). Partic-



**Figure 4.** DHED treatment increases brain estradiol and markers of estrogenic effects. a, Mice treatment and analysis paradigm. For studying therapeutic effects, 7-month-old (symptomatic) 3K males were injected subcutaneously for 90 consecutive days with 100  $\mu$ g DHED, a brain-selective estrogen-prodrug (Prokai et al., 2015). Motor performance was recorded at baseline, after 30 d, and finally assessed after 90 d. b, High-sensitive ELISA measurement of estradiol level in brain extracts after DHED treatment shows significant increase in male brains. Note the general higher 17  $\beta$ -estradiol level in female mice. c, Confocal microscopy of brain sections from (vehicle-treated) male 3K-Sham and 3K-DHED mice, and 3K female mice and WT female controls labeled for ER $\alpha$  in cells displaying pSer129- $\alpha$ S (red) aggregates as indicated. d, Expression data for representative (selected) estrogen-responsive and autophagy-relevant genes. Data are mean  $\pm$  SEM. \*\*\*p < 0.01 vs. DHED (b, middle, unpaired two-tailed t test; b, right, one-way ANOVA post Tukey). \*\*\*p < 0.01; \*\*\*\*p < 0.05; vs. DHED (d, two-way ANOVA post Tukey). Scale bar: c, 20  $\mu$ m.

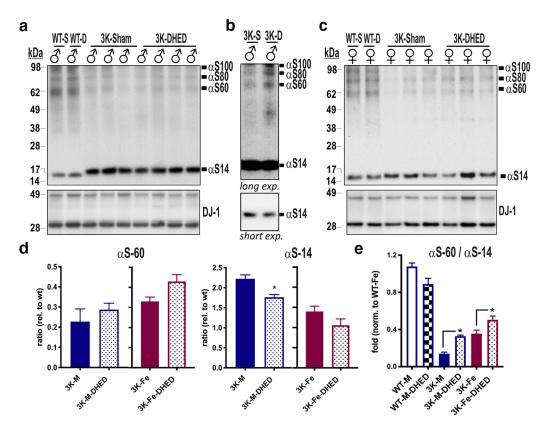


Figure 5. DHED treatment increases T:M ratio in 3K mice. a, Intact cell-crosslinking of αS in cortices of male and (c) female 3K and WT mice treated with either vehicle (Sham) or DHED. b, Higher or lower blot exposure time shows both increase in multimeric and decrease in monomeric αS. d, Quantitative WBs of αS monomers ( $\sim$ 14 kDa) and tetramers ( $\sim$ 60 kDa) reveal a trend to increase tetramer level and a significant reduction of monomeric αS level in 3K males and to similar level of 3K females (each normalized to WT condition). Separation of graphs due to blots being run at different time points. e, Stepwise increase in T:M ratio in DHED treated brain of either male or female 3K mice toward level of normal WT mice. Data are mean  $\pm$  SEM. d, \*p<0.05 (two-tailed t test). e, \*p<0.05 (two-way ANOVA post Tukey).

ularly, DHED treatment and female sex improved the redistribution of the ER $\alpha$  in cells positive for pSER-modified  $\alpha$ S, shown by the more finely immunoreactive puncta (Fig. 4c). The pSER aggregates were far less common in the age- and expression-matched WT tg brains (Fig. 4c, bottom), as we previously showed (Nuber et al., 2018).

RT-PCR of RNA extracts generated 2 h after the final dosing showed an expected increase by DHED treatment in 3K males of previously described genes that are upregulated by estradiol: ERα (Liu and Shi, 2015) and calbindin (Stuart et al., 2001), or downregulated gene expression level, such as the fatty acid-desaturase SCD-1 (Paquette et al., 2008) (Fig. 4d). Two-way ANOVA revealed a significant interaction between genotype and treatment for ER $\alpha$  ( $F_{(3,38)} = 3.151$ , p = 0.04) and for SCD-1 ( $F_{(3,38)} = 3.987$ , p = 0.01) and the lysosomal membrane protein LAMP-1 ( $F_{(3,38)} = 4.37$ , p = 0.009), and a main effect for transgene for LAMP-1 (p < 0.001) and SCD-1 (p < 0.001). A significant main effect for treatment was found for ER $\alpha$  ( $F_{(1,38)} = 17.25$ , p = 0.0002), and for calbindin ( $F_{(1,38)}$ = 5.206, p = 0.03), further confirming estrogen-action by DHED. Post hoc multiple comparisons showed a significant increase of the reduced ER $\alpha$  level by DHED in 3K males (p =0.05). We also observed DHED-3K males to trend toward the significant reduction of LAMP-1 and SCD-1 gene expression, that was significant between 3K males and either female 3K or WT mice (p < 0.01). We found no significant between group differences in WT mice.

## DHED treatment increases the $\alpha S$ T:M ratio and reduces aggregate-prone monomers by autophagy clearance

As a first step in determining the effects of increased estrogen level in brain on PD-like neuropathology in 3K mice, we assessed the  $\alpha$ S T:M ratio by intact-cell crosslinking of minced cortical brain tissue. The treatment with DHED increased  $\alpha$ S60 (tetramers) and decreased  $\alpha$ S14 (monomer) signals (Fig. 5a; see higher exposure in Fig. 5b). Quantifying the relative amounts showed a tendency of increased tetramers ( $\alpha$ S60) and a significant reduction of  $\alpha$ S monomers ( $\alpha$ S14) (Fig. 5a, quantified in Fig. 5d) in male 3K mice by DHED treatment similar to the level detected in female 3K mice (Fig. 5c,d). Quantifying the relative T:M ratio between groups confirmed DHED treatment to increase  $\alpha$ S tetramerization in a stepwise pattern in 3K males and 3K females toward the physiological level of motorically normal WT brain (Fig. 5e).

We previously detected excess  $\alpha$ S monomers to coaggregate with lysosomal vesicles (Nuber et al., 2018), and such abnormalities are a common feature of PD (Kett and Dauer, 2016). Also, previous neuropathological analyses described accumulations of such vesicles close to (Watanabe et al., 1977; Kosaka, 1978; Hayashida et al., 1993) or as part of (Forno, 1996) hu LBs, and their sizes have been postulated to increase in early LB-type pathology (Kuusisto et al., 2003). Our former quantification showed a significant and age-dependent increase in the inclusion sizes of pSER  $\alpha$ S aggregates that costained with lysosomal vesicle marker LAMP-1 in 3K mice. Thus, to further investigate the ef-

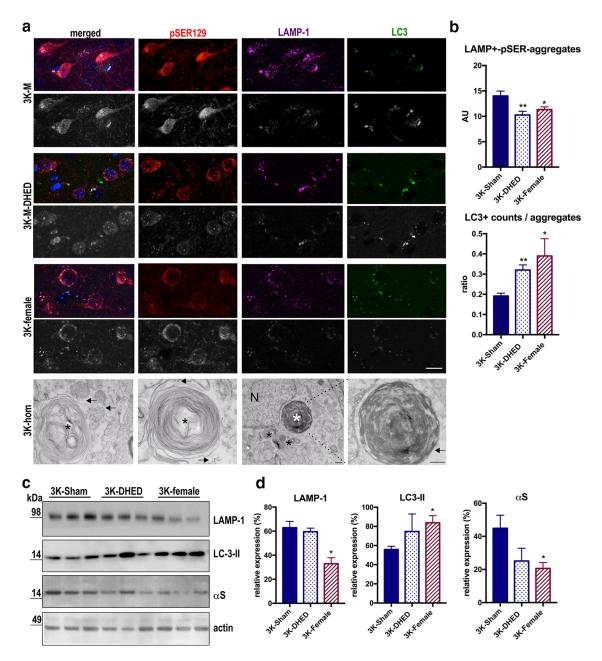
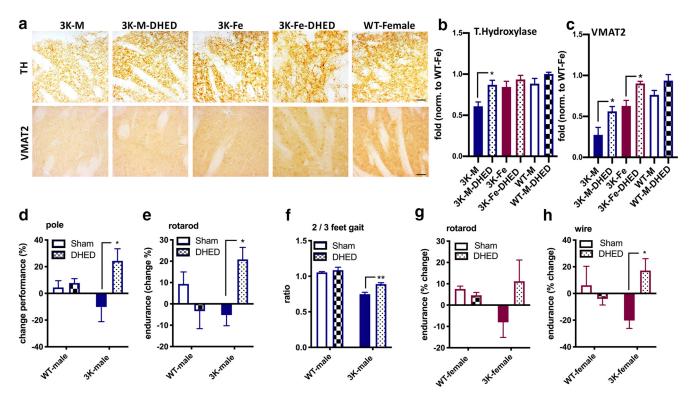


Figure 6. Recruitment of LC3 + vesicles to enlarged pSER129-lysosomal aggregates. *a*, Confocal microscopy (merged and single-spectra images) of brain sections quadruple-labeled for pSer129 (red), LAMP-1 (magenta), LC3-II (green), and nuclei (DAPI, blue). Bottom, 8-bit (gray) images of the staining highlight the increase of of LC3 + puncta within the large pSer129 aggregates. Bottom panels show 1567 hu aS immunogold labeling (arrow) at round, abnormal lysosomal inclusions (black asterisks) in cortex of young (4 months old) homozygous 3K mice. The smaller inclusions are close to a larger round electron-dense perinuclear inclusion (N, nucleus) (white asterisk). Arrow points to hu aS immunogold labeling. *b*, Colocalized points of the respective dual-color confocal images were analyzed using ImageJ colocalization highlighter and then estimated for their size by the ImageJ particle analyzer plugin. Quantification for colocalized aggregate sizes (pSER129-LAMP1) and LC3 + counts in *n* = 10 fields each of sections from each genotype. *c*, Quantitative WBs of autophagy-lysosomal marker quantified in *d*, show reduced LAMP1 accumulation, increase in LC3-II, and lesser membrane-associated αS in 3K female and by DHED treatment. Data are mean ± SEM. One-way ANOVA post Tukey: \*p < 0.01. Scale bars: *a*, top, 20 μm; bottom, 500 nm.

fects of estrogen on the aggregate-prone excess  $\alpha S$  monomers, we analyzed the pSER-lysosomal aggregate sizes in DHED-treated 3K male vs. female cortical brain sections (Fig. 6a,b). The aggregates were not counted in expression-matched WT mice because of the relative small numbers present in these mice (Nuber et al., 2018) (see also Fig. 4c). We observed that both DHED treatment and female sex significantly reduced aggregate sizes in 3K cortex (F=6.5, p=0.008, one-way ANOVA). The decrease in pSER and LAMP-1 colocalization in DHED-treated 3K neurons (p=0.003) is similar to the significant changes detected in female 3K (3K male vs 3K female p=0.02)

and suggests increase in autophagy turnover of pathological aggregates. To investigate this hypothesis, we analyzed LC3-II puncta overlapping with the pSER-LAMP-1 inclusions as a measure for autophagy regulation. One-way ANOVA confirmed significant changes (F=3.9; p=0.04), and post hoc multiple comparisons showed the LC3  $^+$  and LAMP-1 overlapping puncta to significantly increase upon DHED treatment in 3K males (p=0.0015), similar to the significant change in level in female 3K mice (Sham-3K male vs 3K female: p=0.04).

We previously found homozygous 3K  $\alpha$ S expression to promote the PD-like phenotype and underlying aggregate pa-



**Figure 7.** Elevating brain estrogen increases DAergic fiber densities and benefits motor behavior in 3K mice.  $\boldsymbol{a}$ , Representative images of TH  $^+$  nerve terminals and VMAT2 in 3K-Sham, 3K-DHED males and 3K females and WT females (n=4 each group).  $\boldsymbol{b}$ ,  $\boldsymbol{c}$ , Relative TH and VMAT2 optical density was analyzed in the dorsal CPu.  $\boldsymbol{d}$ , Pharmacological rescue of motor deficits of male 3K mice by DHED: increase in pole climbing performance after 90 d and ( $\boldsymbol{e}$ ) fine motor skill on the accelerating rotarod and ( $\boldsymbol{f}$ ) increased the 2- to 3-feet body support on a treadmill.  $\boldsymbol{g}$ , Rotarod, and ( $\boldsymbol{h}$ ) DHED benefit in wire performance in female 3K mice. Data are mean  $\pm$  SEM. Two-way ANOVA post Tukey: \*p < 0.05; \*\*p < 0.01. Scale bar, 50  $\mu$ m.

thology in mice. Thus, we analyzed the ultrastructure inclusions in 4-month-old homozygous 3K males. EM validated the LM-observed lysosomal pathology, showing highly abnormal endolysosomes and lysosomes that had a spectrum of abnormal appearances. This includes enlarged organelles with internal membranes tightly packed into circular stacks, located perinuclear in neuronal somata of cortex and substantia nigra (Fig. 6a, bottom).

In light of the reduced lysosomal foci by IHC, we asked whether overall protein level would validate the finding in Triton X-100-cortical brain extracts (Fig. 6c,d). While LAMP1 and membrane-bound  $\alpha S$  level was decreased in DHED-treated 3K male brain extracts (p < 0.01), LC3-II protein level increased by DHED toward significantly changed level detected in female 3K mice (p = 0.02), further substantiating autophagy turnover of the vesicle-rich  $\alpha S$  aggregates.

## DHED treatment improves dopaminergic fiber densities and associated motor deficits

Since female sex preserved TH  $^+$  fibers in 3K mice (Fig. 3*b*), we quantified TH  $^+$  densities of serial sections in the dorsal CPu of vehicle and DHED-treated 3K males (Fig. 7*a*, top). Two-way ANOVA indicated significant main effects of transgene ( $F_{(2,35)} = 6.698$ , p = 0.0034) and treatment ( $F_{(1,35)} = 10.51$ , p = 0.002). Post hoc multiple comparisons showed significantly increased TH  $^+$  densities in DHED-treated 10-month-old male 3K mice (p = 0.02) (Fig. 7*b*). To further analyze DAergic nerve terminal integrity, we stained sections against the VMAT2, a synaptic vesicle marker reduced in PD (Miller et al., 1999). We found that the density of VMAT2 was increased upon DHED treatment in males and became similar to the level detected in 3K females (Fig. 7*a*, bottom). Two-way ANOVA revealed a significant main effect of

transgene ( $F_{(2,35)}=23.28, p<0.0001$ ) and treatment ( $F_{(1,35)}=19.15, p=0.0001$ ). Post hoc multiple comparisons showed DHED treatment to increase VMAT2-immunoreactive puncta in male 3K (p=0.03) and female 3K mice (p=0.05), suggesting that DHED increased striatal fiber complexities in 3K mice. No significant changes were detected between groups of phenotypically normal WT mice.

Finally, we investigated whether DHED-dependent increase in brain estradiol affected the motor performance by measuring untreated 3K mice at baseline (7 months) vs. 90 d of treatment.

Within 90 d of treatment, 3K males improved their time to climb down the pole (24  $\pm$  9%) (Fig. 7d). Two-way ANOVA confirmed a significant interaction between treatment and genotype ( $F_{(1,13)} = 4.4$ , p = 0.05). Post hoc multiple comparison showed a significant effect upon DHED treatment in 3K males (p = 0.04). To further validate improvement in motor performance by DHED treatment, we analyzed balancing skills on the accelerating rotarod (Fig. 7e). Within 90 d of treatment, 3K males significantly improved their endurance on the rod ( $\sim$ 23  $\pm$  9%). Two-way ANOVA confirmed a significant interaction between treatment and genotype ( $F_{(1,13)} = 7.9$ , p = 0.02). Post hoc pairwise comparison revealed DHED treatment of 3K males to improve endurance on the rotarod (p = 0.02). We previously showed that the fine motor impairment is based on irregular gait pattern (Nuber et al., 2018). Thus, we next photographically analyzed the fine paw patterns of mice running on a motorized belt. DHEDtreated 3K mice displayed an increase in secure gait (Fig. 7f). Two-way ANOVA indicated a significant main effect of treatment  $(F_{(1,13)} = 4.4, p = 0.04)$  and genotype  $(F_{(1,13)} = 4.3, p =$ 0.05), and post hoc multiple comparisons revealed an improved ratio of the 2- to 3-feet support (p = 0.03). In all cases, DHED treatment of WT  $\alpha$ S male mice did not show significance in be-

tween group differences, excluding direct effects of estradiol on the hu  $\alpha$ S protein. We further evaluated DHED treatment in female 3K vs. female WT control mice (Fig. 7g,h). We did not find a significant improvement between 3K females at baseline and after DHED treatment (data not shown). However, upon DHED treatment, 3K female motor performance was mildly ameliorated, both in the rotarod (11  $\pm$  9%) or wire (17  $\pm$  9%), vs. a decline detected in vehicle-treated (Sham) 3K female mice in either the rotarod ( $-8 \pm 7\%$ ) or wire test ( $-20 \pm 5\%$ ). Two-way ANOVA of the rotarod showed a nonsignificant interaction; however, multiple comparisons revealed a trend of DHED treatment to improve endurance (p = 0.2). Additionally, DHED treatment showed a significant interaction in the wire performance ( $F_{(1,15)} = 6.745$ , p = 0.02) and post hoc multiple comparisons revealed improvement in 3K females by DHED (p = 0.03) and thus further validating the effect to underlie 3K neuropathology rather than direct hormone effects on motor behavior.

#### Discussion

Here, we investigated the relationship between the female sex steroid hormone estrogen and the development of the PD-like neuropathology in the 3K mouse model of PD. We observed the PD-like pathologies being mitigated by the female sex, including a reduced shift of αS tetramers toward membrane-bound and insoluble monomers, cortical and DAergic fiber loss, preserved DA level, and an overall improved behavior outcome. Specifically, the increase in the  $\alpha$ S T:M ratio and solubility were associated with an increase in autophagy turnover of excess aggregate-prone monomers, increased fiber complexities, and improvement of the motor phenotypes. Notably, we found that selective increase of estrogen (by DHED) in symptomatic 3K brains alleviated these pathologies, suggesting action of this sex hormone in regulating the neuronal PD pathology. Consistent with a protective role of estrogen, we found that DHED treatment decreased the αS monomer accumulation at membranes and the worsening of αS aggregation, restored vesicle and neurite fiber complexity, and improved the motor phenotypes. These data, which present the first report on brain-estrogen treatment on  $\alpha S$  homeostasis in a transgenic PD mouse model, implicate interaction of estrogen with healthy as tetramerization in regulating the subsequent PD-like neuropathology.

Our results support the hypothesis that estrogen protects against the severity of PD-like symptoms. Similar to our findings, other studies have reported estradiol to stimulate neuroprotective mechanisms being lost by KO of parkin (Rodríguez-Navarro et al., 2008). Furthermore, estrogen treatment has been associated with increased TH <sup>+</sup> fiber sprouting and/or survival in 6-OHDA (Gillies and McArthur, 2010a,b) and in an MPTP (Liu and Dluzen, 2007) toxin-based model. In parallel, we observe an increase in TH <sup>+</sup> fibers by female sex and by elevation of brainestradiol in DHED-treated males.

In previous studies using transgenic animal models of PD, sex differences in  $\alpha$ S aggregation-pathology are not observed and/or reported (Gillies et al., 2014).

It is unclear why estrogen status is associated with  $\alpha S$  level and the induced pathology in the present model but has not yet been observed or described in others. One potential important difference is methods used to capture all  $\alpha S$  forms and for detection (i.e., to capture the different pools of  $\alpha S$  ranging from soluble tetramers to membrane-bound and/or insoluble  $\alpha S$  monomers to pSER  $^+$  deposited forms). In this study, we found that female sex increases  $\alpha S$  T:M ratio, as determined by intact-cell crosslink-

ing, which not only measures soluble  $\alpha$ S forms but also reflects aggregate-resistant  $\alpha$ S forms (Bartels et al., 2011).

Because the  $\alpha$ S T:M ratio is increasingly recognized as a relevant measure of  $\alpha$ S pathology (Wooddell et al., 2017; Kim et al., 2018; Nuber et al., 2018; Fanning et al., 2019), we analyzed the potential hormone regulation on this  $\alpha$ S homeostasis. Although we observed a statistically nonsignificant trend toward the  $\alpha$ S60 tetramer level, female estrogen significantly increased 3K αS tetramerization toward the physiological T:M ratio of phenotypically normal WT mice while displaying lesser αS14 monomer signals at the Triton X-100-soluble membrane fraction. This was consistently observed by DHED treatment in 10-month-old 3K male mice with an effect in reducing the signals of excess monomers. Thus, estrogen likely regulates the aggregate-prone monomeric  $\alpha$ S pool. Accordingly, the aggregate sizes were decreased by female sex and DHED treatment vs. age-matched 3K males. At this point, it is unclear how continuous estrogen can increase the vesicle fusion processes relevant for autophagy degradation, although our RNA expression data predict ER $\alpha$ -dependent signaling impairment in 3K mice (Fig. 4). Additionally, we observe a somewhat finer distribution of the ERα signals by DHED treatment. Interestingly, previous studies showed subcellular ER $\alpha$  to localize on synaptic vesicle membranes (Adams et al., 2002), and this may relate to improved dendritic branching (Audesirk et al., 2003), which suggests a potential important connection between the effect of estrogen on DAergic and/or cortical fiber networks in our 3K mice. Therefore, 3K monomer-associated vesicle aggregates may render estrogen-regulated protective ER $\alpha$  signaling inert. Particularly, the increase in striatal VMAT2 staining by DHED treatment in male and female 3K mice may further reflect on the observed increase in vesicle abundance not only at the somatic but also the synaptic level, and hence may be a sensitive marker for the beneficial estradiol effects on vesicle flux in neurons.

In addition to the previously described consequence in aggregation and behavior phenotypes, the results of this study also provide interesting insight into the relationship of soluble  $\alpha S$ tetramerization and neurite fiber densities. In 3K females, we found a strong positive association of increased  $\alpha$ S solubility and vesicle abundance, and a lesser degree of fiber loss. Therefore, decreasing αS monomer level at membranes may further reduce formation of vesicle-rich  $\alpha$ S aggregates and allow vesicle and nerve fiber compensatory effects to the  $\alpha$ S induced toxicity. Further, we observed a correlation between female sex estrogen in  $\alpha S$ tetramerization and improved motor phenotypes, providing additional evidence of a causative effect of shifting  $\alpha S$  tetramers toward excess monomers upstream the PD-like motor syndrome. Together, the results suggest that the PD-like motor syndrome in 3K mice is impacted by upstream  $\alpha$ S tretramerization, autophagy vesicle fusion, and fiber integrity.

In conclusion, the results of this study provide novel insights into the roles of the female sex steroid estrogen in the regulation of  $\alpha$ S-induced PD-like phenotypes. Our study in a robust PD mouse model supports the hypothesis that estrogen is of benefit for altered  $\alpha$ S T:M equilibrium that can occur upstream of PD-relevant neuropathology and motor syndrome. We show that  $17\beta$ -estradiol treatment can effectively reduce an abnormal shift of physiological tetramers to excess monomers and thereby ameliorate attendant neuropathology, even after its onset. Additional studies are needed not only to clarify the relationship between ER $\alpha$  signaling and physiological  $\alpha$ S tetramerization but also to elucidate the observed mitigation of an elevated level of SCD-1, an enzyme whose activity we and others recently found to destabilize  $\alpha$ S tetramers (Fanning et al., 2019) and increase  $\alpha$ S toxicity

(Vincent et al., 2018) in cultured neurons, and that SCD-1 inhibition can decrease the PD-like neuropathology in our mouse model of PD.

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