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# Mechanism of enhanced MerTK-dependent macrophage efferocytosis by extracellular vesicles

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# Abstract

**Objective:** Extracellular vesicles secreted by cardiosphere-derived cells ( $CDC_{ev}$ ) polarize macrophages (M $\phi$ ) toward a distinctive phenotype with enhanced phagocytic capacity ( $M_{CDCev}$ ). These changes underlie cardioprotection by  $CDC_{ev}$  and by the parent CDCs, notably attenuating the "no reflow" phenomenon following myocardial infarction (MI), but the mechanisms are unclear. Here we tested the hypothesis that  $M_{CDCev}$  are especially effective at scavenging debris from dying cells (i.e., efferocytosis) to attenuate irreversible damage post-MI.

**Approach and Results:** *In vitro* efferocytosis assays with bone marrow-derived M $\phi$ , and *in vivo* transgenic rodent models of MI, demonstrate enhanced apoptotic cell clearance with M<sub>CDCev</sub>. CDC<sub>ev</sub> exposure induces sustained MerTK expression in M<sub>CDCev</sub> through extracellular vesicle transfer of miR-26a (via suppression of *Adam17*); the cardioprotective response is lost in animals deficient in MerTK. Single-cell RNA-sequencing revealed phagocytic pathway activation in M<sub>CDCev</sub>, with increased expression of complement factor *C1qa*, a phagocytosis facilitator.

**Conclusions:** Together, these data demonstrate that extracellular vesicle modulation of MerTK and C1qa expression leads to enhanced  $M\phi$  efferocytosis and cardioprotection.

# **Graphical Abstract**

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The online-only Data Supplement is available with this article.



# Keywords

Myocardial infarction; extracellular vesicles; macrophages; efferocytosis; Cell therapy; inflammation; myocardial infarction

# INTRODUCTION

Efficient clearance of dying cells, or efferocytosis<sup>1</sup>, is a fundamental physiological process that supports tissue growth and homeostasis<sup>2, 3</sup>. Apoptotic cells are rapidly removed in steady state without much inflammatory response<sup>2</sup>. Defective clearance of apoptotic cells leads to a proinflammatory response and a propensity to autoimmunity (e.g., systemic lupus erythematosus)<sup>4</sup>. In myocardial infarction (MI), death of myocardial cells (e.g., cardiomyocytes, endothelial cells, fibroblasts) during ischemia/reperfusion stimulates the recruitment of neutrophils and monocyte/macrophages (M $\phi$ )<sup>5</sup>. M $\phi$  exhibit extensive functional plasticity dependent upon activation (*in vitro*) or microenvironmental milieu (*in vivo*)<sup>6</sup>. Following MI, monocytes are implicated in (at least) two distinct phases<sup>7, 8</sup>: first, a highly phagocytic, proteolytic, and proinflammatory monocyte population is recruited to the site of injury, where they differentiate into M $\phi$  to clear necrotic and apoptotic cell debris. Later, a contrasting M $\phi$  phenotype emerges with anti-inflammatory, profibrotic, and angiogenic properties to resolve the complex inflammatory response. The final consequence is systematic replacement of contractile myocardium by scar.

Cardiosphere-derived cells (CDCs)<sup>9–11</sup> are therapeutic candidates in clinical testing for a variety of indications, including post-MI contractile dysfunction<sup>12</sup>. CDCs and their secreted extracellular vesicles (CDC<sub>ev</sub>) limit MI size when delivered within 30 minutes of reperfusion in rats<sup>9, 10</sup> and pigs<sup>13, 14</sup>. Such cardioprotection minimizes the "no reflow" phenomenon of microvascular occlusion after extensive myocardial necrosis<sup>13, 14</sup>. The mechanism of CDC- and CDC<sub>ev</sub>-mediated cardioprotection is critically dependent upon M $\phi$ : exposure to either CDCs or CDC<sub>ev</sub> polarizes M $\phi$  into a phenotype that is highly phagocytic yet anti-inflammatory<sup>9, 10</sup>. Here we test the hypothesis that CDC<sub>ev</sub>, which mediate the benefits of CDCs, limit myocardial damage post-MI through enhanced M $\phi$  efferocytosis and

an attenuated proinflammatory response. Using an array of *in vitro* and *in vivo* assays, we validate our hypothesis and identify a  $CDC_{ev}$ -derived microRNA responsible for sustaining MerTK (key efferocytosis receptor) expression in M $\phi$  conditioned by CDCs (M<sub>CDCev</sub>).

# MATERIALS AND METHODS

# The data that support the findings of this study are available from the corresponding author upon reasonable request.

**Cell culture and extracellular vesicle (EV) isolation**—Primary human cells (Cardiosphere-derived cells [CDCs] and dermal fibroblasts [FB]) were isolated from primary human tissue or purchased from ATCC, respectively<sup>9</sup>. To isolate EVs, cells were grown to confluence, washed 4 times with PBS (to remove any residual FBS-derived EVs), and then incubated in serum-free media at 37°C. Fifteen days later, conditioned media was collected, filtered (0.45µm, Millipore), and then concentrated using ultrafiltration by centrifugation (UFC) with a 10kDa molecular weight cut-off (MWCO; Millipore).

#### **Cell isolation**

**Macrophages.:** Bone marrow was isolated as previously described. Rat or mouse femurs were isolated, sterilized with ethanol (70% in H<sub>2</sub>O), flushed with PBS (containing 1% FBS, 2mM EDTA), and filtered through a 70 $\mu$ m filter. Red blood cells were lysed with ACK buffer (Invitrogen) then resuspended in IMDM (containing 10% FBS, 10ng/mL M-CSF [R&D Systems]). The resulting cell suspension was plated and grown for 6–7 days to obtain macrophages (M $\phi$ ).

**<u>Neutrophils.</u>**: Rats were injected intraperitoneally with Brewer's Thioglycollate (3% in PBS, MilliporeSigma). Four hours later, animals were sacrificed, and peritoneal cavity flushed (PBS containing 2mM EDTA). The resulting cell suspension was analyzed for purity by flow cytometry (Figure II, A–B **in the** online-only Data Supplement).

Neonatal Cardiomyocytes.: Cells were isolated and cultured as described<sup>9, 10</sup>.

#### **Cell and EV visualization**

**Nanoparticle Tracking Analysis (NTA).:** Extracellular vesicles were analyzed for particle number and size distribution using NTA (Nanosight NS300, Malvern). Conditioned media was advanced into the microfluidics chamber of the NS300 using an automated syringe pump and then particles were visualized (20x magnification) and captured by video (30 frames per second). Four representative cycles were collected for each sample, and measurements for concentration and size were obtained and averaged.

**Oxford Nanoimaging (ONI).:** Extracellular vesicles were analyzed for uptake by superresolution microscopy (Nanoimager, ONI). To visualize EVs, concentrated conditioned media was incubated with CD81 monoclonal antibody (clone M83, ThermoFisher; 37°C for 60 minutes). Extracellular vesicles were washed by UFC and then incubated with a secondary Alexa Fluor 488 antibody (ThermoFisher; 37°C for 60 minutes). All residual unbound antibodies were removed by two sequential PBS washes.

# **Cell Treatment**

**Extracellular Vesicles.:** Polarization of M $\phi$  toward M<sub>FBev</sub> or M<sub>CDCev</sub> (3×10<sup>9</sup> particles) was performed on the night between days 7 and 8 (~18hrs).

**<u>miRNA mimics.</u>**: M\$\$\$\$ were transfected with miRNA mimic (hsa-miR-26a-5p; Horizon) or scrambled control (Horizon) using DharmaFECT 1 (Horizon) to generate  $M_{miR-26a}$  and  $M_{miR-sc}$ . Treatments were performed on the night between days 7 and 8 (~18hrs).

**Animal models**—All studies were performed at Cedars-Sinai Medical Center and Northwestern University in accordance with the Institutional Animal Care and Use Committee (IACUC) guidelines. Female rodents were used for all *in vivo* experimental studies to control for body weight and size during surgery.

**Rat.:** All rats were housed in a pathogen-free facility (cage bedding: Sani-Chips, PJ Murphy) with a 14hrs/10hrs light/dark cycle with food (PicoLab Rodent Diet 20 [#5053], LabDiet) and water provided ad libitum. *In vivo* experimental protocols were performed on 7-10-week-old female Wistar-Kyoto (WKY; Charles River Labs, Wilmington, MA) and Sprague-Dawley transgenic enhanced Green Fluorescent Protein (SD-Tg(UBC-EGFP)2BalRrrc, denoted as SD-eGFP for simplicity; Rat Resource & Research Center, Columbia, MO) rats. To induce ischemic injury, rats were provided general anesthesia and then a thoracotomy was performed at the 4<sup>th</sup> intercostal space to expose the heart and left anterior descending (LAD) coronary artery. A 7-0 silk suture was then used to ligate the LAD. In WKY rats, myocardial ischemia-reperfusion (IR) injury was created by removing the suture after 45 minutes to allow for reperfusion. Twenty minutes later, M\phi (5×10<sup>5</sup> cells in 100µL PBS) were injected into the left ventricular cavity with an aortic cross-clamp, over a period of 20 seconds. In SD-eGFP rats, myocardial infarction (MI) was created by permanently ligating the LAD. Twenty minutes later, M\phi (1×10<sup>6</sup> cells in 100µL PBS) were injected into the infarct border zone.

**Mouse.:** All mice were housed in a pathogen-free facility (cage bedding: Aspen Coarse Grade Woodchip, Prairieland Feeds) with a 14hrs/10hrs light/dark cycle with food (Teklad LM-485 [#7912], Envigo) and water provided ad libitum. *In vivo* experimental protocols were performed on 2-4-month-old female C57BL/6J (WT) and  $\alpha$ MHC-mCherry mice purchased from Jackson Labs (Bar Harbor, ME). MerTK deficient (MerTK<sup>-/-</sup>) mice were backcrossed over 10 generations to a C57BL/6J background<sup>15</sup> and maintained in-house. Mice were anesthetized with avertin and received buprenorphine (0.1mg/kg) prior to surgery for pain management. Following left-side thoracotomy, myocardial IR injury was induced by temporarily ligating the LAD coronary artery for 45 minutes followed by removing the suture to allow for reperfusion; ischemia was confirmed by tissue blanching and reperfusion confirmed by restoration of blood flow through the LAD and return of natural tissue color. Twenty minutes later, CDC<sub>ev</sub> (5×10<sup>9</sup> particles in 30µL PBS) or vehicle (30µL PBS)

injected into the myocardium at three different sites (10 $\mu$ L/site) within the infarct border zone.

#### Flow cytometry

<u>Cell culture.</u>: *In vitro* efferocytosis cocultures were detached (Accutase, ThermoFisher Scientific), washed (Perm/Wash, BD Biosciences), and then stained with APC-CD11b (BD Biosciences). Cells were sorted (CyAn ADP Analyze, Dako) and analyzed (FlowJo Software, Tree Star) based on their cell surface marker expression (M $\phi$ : CD11b<sup>+</sup>) or lipophilic stain (cardiomyocytes: DiD<sup>+</sup>, Invitrogen).

**Cardiac Tissue.:** Hearts were harvested 2 (mouse) or 4 (rat) hours after ischemic injury and the infarcted left ventricle was excised. Heart tissue was minced and digested (Collagenase Type II and DNase I) at 37°C for 30 minutes with rotation and triturated through a 40µm filter to generate a single-cell suspension. Cells were incubated with TruStain fcX (BioLegend) and then labeled with fluorescently-conjugated antibodies (mouse: CD11b, Ly6G, Ly6C, F4/80, and CD64 [BioLegend]; rat: CD45 [BD Biosciences]). Cells were sorted (LSRFortessa, BD Biosciences; CyAn ADP Analyze, Dako) and analyzed (FlowJo Software, Tree Star) based on their cell surface marker expression (Leukocytes: CD45<sup>+</sup>, Mφ: CD11b<sup>+</sup>Ly6G<sup>-</sup>Ly6C<sup>lo</sup>F4/80<sup>+</sup>CD64<sup>+</sup>, monocytes: CD11b<sup>+</sup>Ly6G<sup>-</sup>Ly6C<sup>hi</sup>F4/80<sup>lo</sup>CD64<sup>-</sup>, and neutrophils: CD11b<sup>+</sup>Ly6G<sup>+</sup>) and lipophilic stain (DiD<sup>+</sup>).

**Infarct size measurements**—Two days following IR injury, hearts were arrested in diastole (10% KCl), excised, washed (PBS), and cut into serial sections of ~1 mm thickness (from apex to basal edge of infarction). Sections were incubated with TTC (2,3,5-Triphenyl-2H-tetrazolium chloride, 1% solution in PBS) for 20 minutes in the dark, washed (PBS), then imaged and weighed. Infarcts were delineated from viable tissue (white versus red, respectively) and analyzed using ImageJ software as previously reported<sup>9</sup>. The area-at-risk (AAR) was assessed by fluorescent microsphere perfusion as previously reported<sup>16</sup>. Infarct mass, viable mass, and LV mass were calculated by extrapolating for infarct and non-infarct volumes (based on the areas calculated from both sides of a tissue section) and weight of the tissue. Percentage infarct mass was calculated using (Infarct Mass/Viable Mass) × 100%.

# **Cell labeling**

<u>Admixtures.</u>: Cells designated for *in vivo* adoptive transfer ( $M\phi$ ) or *in vitro* experiments were prelabeled using lipophilic dyes DiI, DiO, and DiD (ThermoFisher) per the manufacturer's protocol.

Immunohistochemistry.: To assess Mφ distribution within the heart, OCT-cut tissue sections were fixed with 4% PFA, blocked (Dako), and stained with mouse anti-rat α-actinin (MilliporeSigma). The appropriate fluorescently-conjugated secondary antibodies (Invitrogen) were applied prior to mounting using Fluoroshield with DAPI (MilliporeSigma).

**Immunocytochemistry.:** Cells were either grown on collagen-coated coverslips (Corning) or attached by centrifugation onto a glass slide (Cytospin 4, ThermoFisher). All samples were fixed with 4% PFA, blocked (Dako), and then stained (Wheat Germ Agglutinin [WGA], ThermoFisher; Hoescht 33342, ThermoFisher; CD68, CD45, CD11b, and Gr1, BD Biosciences). The appropriate fluorescently-conjugated secondary antibodies (ThermoFisher) were applied to samples stained with primary antibodies prior to mounting with Fluoroshield with DAPI (MilliporeSigma).

### In vitro efferocytosis assay

To examine  $M\phi$  efferocytosis *in vitro*, we adapted an established protocol<sup>17–19</sup>. Briefly, neonatal cardiomyocytes (or thioglycollate-elicited peritoneal neutrophils) were labeled with DiI (Invitrogen), UV-irradiated (254nm, 7 minutes), and then incubated at 37°C for 2 hours. The resulting apoptotic cells were collected and added to M $\phi$  cultures. At the appropriate time point (20 or 60 minutes), cells were washed and then collected for flow cytometry or immunocytochemical analyses. For the inhibitor study, anti-IgG or anti-C1qa (Abcam) were added in conjunction with apoptotic cells.

# Single-cell RNA-sequencing (scRNA-seq) and data analysis

**Multiplex cell labeling.**—Prior to scRNA-seq, bone marrow-derived macrophages were labeled with the Single-Cell Multiplexing Kit (BD Biosciences) according to the manufacturer's protocol. Macrophages were collected, resuspended in Stain Buffer (BD Biosciences), and then transferred to tubes with unique Sample Tag (tags 1-12). Twenty minutes later, cells were washed 3 times with Stain Buffer and then combined into 2 tubes (tube 1: sample tags 1-6; tube 2: sample tags 7-12) prior to scRNA-seq.

**Single-cell library construction.**—Libraries were prepared per the Single Cell 3' v2 Reagent Kits User Guide (10× Genomics, Pleasanton, California). Cell suspensions from tubes 1 and 2 were loaded on the Chromium Controller instrument (10× Genomics) to generate single-cell Gel Bead-In-EMulsions (GEMs). GEM-RT was performed in a Veriti 96-well thermal cycler (Thermo Fisher Scientific, Waltham, MA). GEMs were then harvested, and the cDNA was amplified and cleaned up with SPRIselect Reagent Kit (Beckman Coulter, Brea, CA). Indexed sequencing libraries were constructed using Chromium Single-Cell 3' Library Kit for enzymatic fragmentation, end-repair, A-tailing, adapter ligation, ligation cleanup, sample indexing, and PCR cleanup. The barcoded sequencing libraries were quantified by qPCR using the KAPA Library Quantification Kit for Illumina platforms (KAPA Biosystems, Wilmington, MA). Sequencing libraries were loaded on a NextSeq500 (Illumina, San Diego, CA) with a custom sequencing setting (26bp for Read 1 and 98bp for Read 2) to obtain a sequencing depth of ~200K reads per cell.

**Data Analysis.**—Reference sequences for the Sample Tags were generated using BD Biosciences multiplexing tools (https://bitbucket.org/CRSwDev/multiplexing\_tools/ overview). The demultiplexed raw reads were aligned using STAR<sup>20</sup> (v2.5.1) with default parameters to the Sample Tags sequences and a custom mouse mm10 transcriptome reference (GENCODE Release M9), containing all protein coding and long non-coding RNA genes. Expression counts for each gene in all samples were collapsed into unique

molecular identifier (UMI) counts using Cell Ranger (v2.1.0,  $10 \times$  Genomics). Sample Tag expression was used as input for t-distribution Stochastic Neighbor Embedding (tSNE) analysis<sup>21</sup>. Singlets (cells with one Sample Tag), multiplets (cells with multiple Sample Tags), and negatives (cells with no Sample Tags) were identified based on their distinctive tSNE clusters. Multiplets, negatives, and aberrant cells (>15% of reads mapping to mitochondrial genes or <300 expressed genes) were removed from further analysis.

UMI counts were normalized by multiplying the size factor (calculated based on the median UMI count for all cells) and then dividing the total of UMI counts for each cell. Principal component analysis (PCA) was run on the normalized gene-barcode matrix to reduce the number of feature dimensions and obtain two-dimensional population dynamic projections. The top 10 principle components were selected in Seurat (v2.2) using a permutation-based test and then analyzed in Cell Ranger (v2.1.0,  $10 \times$  Genomics). The tSNE plots were created after performing 1,000 iterations with a perplexity value of 30 and theta of 0.5; all other parameters were left in their default setting. Cell populations and gene expression changes were visualized with the Loupe Cell Browser (v2.0.0,  $10 \times$  Genomics).

**Statistical Analysis of scRNA-seq Data.**—Differential gene expression was determined using Cell Ranger with the integrated software of  $sSeq^{22}$  or  $edgeR^{23}$ . Gene expression for each cluster was compared to other cells yielding a list of genes that were differentially expressed in that cluster relative to the rest of the sample. Raw p-values were adjusted for multiple hypothesis testing using the Benjamini-Hochberg method. Genes with an adjusted p<0.05 were considered exclusively expressed in each single subgroup and used for drawing heat maps and violin plots by using ggplot2 (v2.2.1) in R (v3.4.1).

# **Statistical Analysis**

Results are expressed as mean  $\pm$  SEM. Datasets that passed both the Shapiro-Wilk normality and Brown-Forsythe equal variance tests were analyzed using two-tailed unpaired Student's *t* test, 1-way ANOVA followed by Holm-Sidak's multiple comparisons test, or 2-way ANOVA followed by Holm-Sidak's multiple hypothesis correction. Datasets that failed normality and equal variance test were analyzed by the Mann-Whitney non-parametric test or 1-way ANOVA followed by Dunn's multiple comparisons test. All statistical analyses were performed using Prism 5 software (GraphPad) and only differences with a p<0.05 were considered statistically significant. Some experiments have small sample sizes that may limit the interpretation of the data.

Refer to the online-only Data Supplement for additional descriptions of the methodology.

# RESULTS

# Extracellular vesicle (EV) characterization and macrophage polarization

Human  $\text{CDC}_{ev}$  and dermal fibroblast EV (FB<sub>ev</sub>, as a control) were isolated following serumfree culture of either parent cell type for 15 days. Cell viability was >99% at the end of the culture period (Figure IA **in the** online-only Data Supplement). The resulting conditioned media was purified by filtration (0.45µm) and concentrated using a 10kDa filter (ultrafiltration by centrifugation [UFC], Millipore), as reported<sup>9</sup>. Both CDC<sub>ev</sub> and FB<sub>ev</sub>

revealed size distributions (NS300, Malvern; Figure 1A) and protein expression profiles (Figure 1B and Figure IB **in the** online-only Data Supplement) typical of exosomes (i.e., 30-150nm EVs) derived from various cell types<sup>24</sup>. To dissect the mechanisms whereby  $CDC_{ev}$  polarize M $\phi$  toward a cardioprotective phenotype<sup>9</sup>, we exposed rat bone marrow-derived M $\phi$  to saline (untreated control),  $CDC_{ev}$ , or FB<sub>ev</sub> to generate M<sub>0</sub>, M<sub>CDCev</sub>, and M<sub>FBev</sub>, respectively (Figure 1C). Extracellular vesicles were taken up rapidly (within minutes of treatment), stochastically (Figure 1D, dotted circles), and continually over time (Video I **in the** online-only Data Supplement); cell area was found to be significantly decreased following CDC<sub>ev</sub> or FB<sub>ev</sub> exposure (Figure 1F). Together, these data demonstrate that both CDC- and FB-derived EVs are readily taken up by M $\phi$  in culture.

### Adoptive transfer of M<sub>CDCev</sub> is cardioprotective following ischemia-reperfusion injury

Since M $\phi$  are critically important in CDC- and CDC<sub>ev</sub>-mediated cardioprotection, we tested whether adoptive transfer of M<sub>CDCev</sub> could induce cardioprotection in lieu of CDC<sub>ev</sub>. Rats underwent 45 minutes of ischemia and 20 minutes of reperfusion (ischemia-reperfusion; IR) prior to intravenous delivery of polarized M $\phi$  (DiD-labeled M<sub>0</sub>, M<sub>CDCev</sub> or M<sub>FBev</sub>; 1×10<sup>6</sup>/ rat) or PBS (vehicle control) (Figure 2A). To examine both homing of M $\phi$  to the infarct and reduction of infarct size (as measured by TTC), animals were subdivided into two experimental endpoints (4 and 48 hours, respectively). While both M<sub>CDCev</sub> and M<sub>FBev</sub> were found within the infarct area at 4 hours (Figure 2B), only animals treated with M<sub>CDCev</sub> revealed reductions in MI scar mass (versus either M<sub>FBev</sub> or PBS; Figure 2, C–D) at 48 hours. These data corroborate our previous findings<sup>9</sup>, <sup>14</sup> and pinpoint M $\phi$  as critical effectors of CDC-mediated cellular postconditioning.

# CDCev enhance Mø efferocytosis in vitro

CDCs and CDC<sub>ev</sub> enhance M $\phi$  phagocytosis of fluorescent microspheres<sup>9, 10</sup>, hinting that efferocytosis may likewise be enhanced<sup>19</sup>. To test this hypothesis, we adapted an *in vitro* efferocytosis assay<sup>17–19</sup> to examine the uptake of apoptotic neonatal rat ventricular myocytes (aNRVM) by M<sub>0</sub>, M<sub>CDCev</sub>, and M<sub>FBev</sub> (Figure 3A). NRVM labeled with a lipophilic dye (DiI, Invitrogen) were exposed to UV-irradiation. The resulting DiI<sup>+</sup> apoptotic cells were collected and co-cultured with M $\phi$  (Figure 3A). Next, M $\phi$  efferocytosis was examined from unwashed (to examine the ratio of aNRVM efferocytosis to residual aNRVM; Figure 3, B-C) and PBS-washed (to assess the total percentage of aNRVM+ M¢; Figure 3, D-E) cultures. Within 20 minutes of co-culture, M<sub>CDCev</sub> revealed greater uptake of aNRVM than either M<sub>0</sub> or M<sub>FBev</sub> (Figure 3, B–C). In support of these findings, the enhanced efferocytosis phenotype observed in M<sub>CDCev</sub> was recapitulated with apoptotic polymorphonuclear neutrophils (aPMN; Figure II in the online-only Data Supplement). After 60 minutes in co-culture, M<sub>CDCev</sub> retained the greatest number of aNRVM+ Mø relative to either M<sub>0</sub> or M<sub>FBev</sub> M $\phi$  (Figure 3, D–E). Together, these data support the idea that M<sub>CDCev</sub>-mediated cardioprotection may result from enhanced clearance of apoptotic cell debris within the myocardium following ischemic injury, a conjecture which we tested in vivo.

# CDC<sub>ev</sub> enhance Mø efferocytosis in a rat model of MI

Transgenic Sprague-Dawley rats expressing enhanced green fluorescent protein (SDeGFP)<sup>25</sup> were subjected to coronary artery ligation and, 20 minutes later, randomly allocated to receive intramyocardial injection of DiD-labeled  $M_{CDCev}$  or  $M_{FBev}$  (1×10<sup>6</sup>/rat). Four hours later, hearts were harvested from SD-eGFP rats to assess M $\phi$  retention and efferocytosis (Figure 4A). Immunohistochemical analyses revealed significant retention of DiD-labeled M $\phi$  within the myocardium (Figure 4B). Next, we sought to compare the levels of efferocytosis between treatment groups by flow cytometry. To do so, we enzymatically digested the myocardial area-at-risk (AAR) and generated a single-cell suspension, as reported<sup>9, 10</sup>. After staining (CD45<sup>+</sup>) and gating for DiD<sup>+</sup> M $\phi$  (CD45<sup>+</sup>DiD<sup>+</sup>) (Figure 4C), we compared M $\phi$  efferocytosis in M<sub>CDCev</sub> and M<sub>FBev</sub>-treated SD-eGFP animals. Consistent with our *in vitro* data (Figure 3), we observed significantly greater cellular GFP positivity in M<sub>CDCev</sub> relative to M<sub>FBev</sub> (Figure 4, D–E). These experiments were performed in SD-eGFP rats (ubiquitous expression of GFP), thus reflecting M $\phi$  efferocytosis of a heterogeneous population of dead cell types (e.g., cardiomyocytes, neutrophils, endothelial cells, fibroblasts) and cell fragments within the post-MI milieu.

# $CDC_{ev}$ enhance M $\phi$ -dependent cardiomyocyte efferocytosis in a mouse model of IR

To examine efferocytosis of injured cardiomyocytes *in vivo*, fluorescently-labeled cardiomyocyte-specific transgenic mice ( $\alpha$ MHC-mCherry) were subjected to 45 minutes of ischemia followed by 20 minutes of reperfusion prior to intramyocardial delivery of saline (vehicle) or CDC<sub>ev</sub> (5×10<sup>9</sup> particles in 30µL PBS) (Figure 5A); a subset of animals was neither subjected to IR nor injected intramyocardially (naïve). Two hours later, hearts were harvested, and the AAR was isolated and digested to generate a single cell suspension. Cardiac M $\phi$  were identified based on surface marker expression (CD11b<sup>+</sup>Ly6G <sup>-</sup>Ly6C<sup>lo</sup>F4/80<sup>+</sup>CD64<sup>+</sup>). While  $\alpha$ MHC-mCherry mice treated with CDC<sub>ev</sub> revealed variable, and overall non-significant, increases in mCherry+ M $\phi$  within the myocardium (Figure 5, B– C), the uptake of mCherry+ apoptotic debris (mean fluorescent intensity, MFI) was significantly enhanced relative to that in vehicle-treated animals (Figure 5D); no significant changes in neutrophil counts were observed (Figure III **in the** online-only Data Supplement). Together, these data corroborate our *in vitro* and SD-eGFP *in vivo* data, and demonstrate that CDC<sub>ev</sub> enhance M $\phi$ -mediated efferocytosis post-MI.

# M<sub>CDCev</sub> reduce proinflammatory signaling during efferocytosis

Inflammatory cells infiltrating the myocardium soon after MI secrete proinflammatory cytokines that exacerbate IR injury<sup>26</sup>. Since  $M_{CDCev}$  are cardioprotective (Figure 2), we assessed whether  $M_{CDCev}$  alter the proinflammatory cytokine response when exposed to aNRVM. Using our *in vitro* efferocytosis assay (Figure 3A), we determined M $\phi$  activation through transcription factor phosphorylation (STAT3, p65, and p38)<sup>27</sup>. Interestingly, M $\phi$  treated with CDC<sub>ev</sub> (prior to and following aNRVM coculture), significantly increased phosphorylation of STAT3 (p-STAT3) and decreased the phosphorylation of p65 (p-p65) and p38 (p-p38) (Figure IV, A–D **in the** online-only Data Supplement). These changes corroborate our published data revealing an attenuation of proinflammatory signaling in M $\phi$  exposed to CDC<sub>ev</sub>. Following aNRVM co-culture, these effects persisted with reduced

expression of pro-inflammatory cytokines (*II6, II1a, II1b*, and *Tnf*, Figure 4E in the online-only Data Supplement).

# CDC<sub>ev</sub> promote MerTK expression in Mø

The TAM (Tyro3, Axl, and MerTK) family of receptor tyrosine kinases have important roles in M $\phi$ -dependent inflammation and efferocytosis<sup>28</sup>. Therefore, we examined their expression on M<sub>CDCev</sub> and M<sub>FBev</sub> in response to aNRVM coculture. While Tyro3 and Axl were unchanged (Figure VA **in the** online-only Data Supplement), CDC<sub>ev</sub> promoted the expression of MerTK (Figure 5E). To examine the role of MerTK *in vivo*, WT and MerTK deficient (MerTK<sup>-/-</sup>)<sup>15</sup> mice were subjected to MI and then randomly allocated to receive intramyocardial injection of vehicle (saline) or CDC<sub>ev</sub> (as in Figure 5A). Two hours later, hearts were evaluated for infarct size by TTC staining. Consistent with our previous report in rats<sup>9</sup>, IR injury was attenuated in WT mice receiving CDC<sub>ev</sub> (Figure 5, F–G, and Figure V, B–C **in the** online-only Data Supplement). Interestingly, MerTK<sup>-/-</sup> mice not only exhibited enhanced infarct sizes relative to WT, but also lack of CDC<sub>ev</sub>-mediated cardioprotection (Figure 5, F–G).

#### CDC<sub>ev</sub>-derived miRNAs sustain MerTK expression in Mø

Next, we sought to determine how CDCev modulate the expression of MerTK in Mø. It has been reported that ADAM17 (A Disintegrin And Metalloproteinase 17)<sup>29</sup> cleaves MerTK following LPS stimulation to release its soluble ectodomain and deactivate efferocytosis<sup>30</sup>. Since changes in MerTK expression were detected by an immunogen sequence for the extracellular fraction (Figure 5E), but not the cytoplasmic fraction (Figure VI, A–B in the online-only Data Supplement), we examined the role of MerTK cleavage. In our in vitro efferocytosis assay, Adam17 gene expression was significantly attenuated in M<sub>CDCev</sub> relative to MFBev (Figure 5H). To examine how Adam17 is regulated, we utilized miRNA targeting prediction software (TargetScan<sup>31</sup> and miRmap<sup>32</sup>) to identify miRNAs that target Adam17 and then cross-referenced their expression values in our CDCev and FBev RNA-seq dataset<sup>9</sup>. We thereby identified 2 candidate miRNAs (Figure 5I ): miR-26a-5p (TargetScan score: 97, miRmap score: 65.81; denoted herein as miR-26a) and miR-210-3p (TargetScan score: no score, miRmap score: 98.18; denoted herein as miR-210). The expression of both miRNAs were confirmed by qPCR, with greater expression of miR-26a than miR-210 in CDCev compared to FBev (Figure 5J). While miR-26a has been reported to target Adam17 in adipocytes<sup>33</sup>, miR-210 targets genes within the hypoxia pathway<sup>34</sup>. To assess their efficiency of binding Adam17 in vitro, we inserted mouse Adam17 into a firefly luciferase reporter construct (OriGene) and transfected it along with scrambled miR, miR-26a, or miR-210 into HEK293T cells. Two days later, we assessed luciferase activity, which was reduced by both miRs, but attenuated more effectively by miR-26a (Figure 5K). Based on these data, we focused on the role of miR-26a in modulating MerTK. To test the ability of miR-26a to modulate Adam17 expression during efferocytosis, Mø were exposed to miR-26a (M<sub>miR-26a</sub>) or scrambled control (M<sub>miR-sc</sub>) mimics ~18 hours prior to performing our in vitro efferocytosis assay. Although the overall RNA expression values of Adam17 and MerTK were decreased (miR-26a does not have predicted binding sites to MerTK when examined by TargetScan or miRmap databases), the relative ratio of MerTK-to-Adam17 was significantly increased in  $M_{miR-26a}$ , relative to control  $M_{miR-sc}$  (Figure VIC in the online-

only Data Supplement). Additionally, MerTK (extracellular fraction) protein expression was significantly greater (Figure 5L), and proinflammatory gene expression was attenuated (Figure VID in the online-only Data Supplement), in M<sub>miR-26a</sub> relative to M<sub>miR-sc</sub> controls.

# CDCev stimulate C1qa expression to promote Mø efferocytosis

Although MerTK is a critical receptor for  $M\phi$  efferocytosis, various bridging molecules (e.g., Gas6 or MFG-E8) can bind phosphatidyl serine to enhance apoptotic cell uptake<sup>35, 36</sup>. To assess whether  $CDC_{ev}$  modify the gene expression profile of M $\phi$ , we performed singlecell RNA-sequencing (scRNA-seq) on each in vitro efferocytosis experimental group (Figure 3). The t-distributed Stochastic Neighbor Embedding (t-SNE)<sup>21</sup> machine learning algorithm revealed distinct populations of cells (Figure 6A) which were aggregated for analysis of differentially-expressed genes by Ingenuity Pathway Analysis (QIAGEN). Ten of 15 pathways, ranked by z-score, were associated with phagocytosis (and enhanced by  $M_{CDCev}$ ) + aNRVM), while 4 of 15 were associated with cell death (and attenuated by  $M_{CDCev}$  + aNRVM) (Figure 6B). Next, we assessed which genes were differentially expressed in response to EV treatment.  $CDC_{ev}$ , in contrast to  $FB_{ev}$ , conferred dramatic changes in M $\phi$ gene expression (Figure 6C). To better understand how CDC<sub>ev</sub> confer changes in efferocytosis, we focused on the top 5 differentially expressed genes between MFBev + aNRVM and M<sub>CDCev</sub> + aNRVM (Figure 7A). Surprisingly, Ms4a6d, C1qa, and Tgfbi, which increased following CDCev treatment, retained elevated expression prior to and following the addition of aNRVM.

Although C1q is often associated with complement-mediated cell lysis, it also functions as a bridging molecule in apoptotic cell clearance<sup>37, 38</sup>. Not only was C1q the second most differentially expressed gene between  $M_{FBev}$  + aNRVM and  $M_{CDCev}$  + aNRVM (4.76E-5; Figure 7A), but its protein level was also increased by ELISA (Figure 7B). To determine if C1qa is required for CDC<sub>ev</sub>-mediated efferocytosis, we added anti-C1qa (aC1qa) or anti-IgG (aIgG; control) antibody to our *in vitro* efferocytosis assay. Addition of aC1qa, but not aIgG, significantly reduced efferocytosis by  $M_{CDCexo}$  (Figure 7, C-D).

In summary, we have demonstrated that  $CDC_{ev}$  polarization of M $\phi$  enhances efferocytosis and leads to cardioprotection (Figure 7E). *In silico* predictive targeting software and *in vitro* validation studies reveal that  $CDC_{ev}$ -derived miR-26a and miR-210 suppress the expression of *Adam17* and, in turn, sustain the expression and function of MerTK. We demonstrate through scRNA-seq and *in vitro* inhibitor studies that  $CDC_{ev}$  promote the expression of C1qa to enhance phagocytic uptake of apoptotic cells. Hyper-phagocytic M<sub>CDCev</sub> efficiently remove apoptotic cell debris and reduce proinflammatory gene expression to attenuate infarct size.

# DISCUSSION

Mounting evidence demonstrates that immunomodulation, rather than immunosuppression, is necessary for many of the reparative and protective effects observed during wound healing<sup>26, 39</sup>. Macrophages have received considerable attention in myocardial repair because of their rapid mobilization to the site of injury and functional contribution to inflammatory resolution<sup>7, 40</sup>. The focus on M $\phi$  is justified by their distinctive intrinsic

attributes: diversity in origin (yolk sac-derived versus bone marrow-derived) and plasticity (or rather polarization) in response to microenvironmental cues<sup>7, 26, 41, 42</sup>. In the context of cell therapy, it is becoming increasingly clear that inflammation is required for efficacy, with a particularly important role for M $\phi$ . CDCs and their secreted EVs modulate the inflammatory response to mitigate MI damage<sup>9, 10, 13, 14, 43</sup>. The anti-inflammatory effects of CDCs are not limited to IR injury, having also been reported in heart failure with preserved ejection fraction<sup>44</sup>, dilated cardiomyopathy<sup>45</sup>, autoimmune myocarditis<sup>46</sup>, pulmonary hypertension<sup>47</sup>, and muscular dystrophy<sup>48</sup>.

Here we expand upon the observations that CDC- or  $CDC_{ev}$ -polarized M $\phi$  (M<sub>CDC</sub> or M<sub>CDCev</sub>) are required for cardioprotection post-MI. Adoptive transfer experiments reveal that M<sub>CDCev</sub>, in lieu of CDC<sub>ev</sub>, suffice to elicit cardioprotection, a finding that is in line with our previous report using M<sub>CDC</sub><sup>10</sup>. To examine how M<sub>CDCev</sub> are cardioprotective, we investigated the phenotype, consistently observed in both M<sub>CDC</sub> and M<sub>CDCev</sub>, of enhanced phagocytosis. Since MI promotes extensive cell death with a concomitant increase in proinflammatory cytokine expression, we examined whether M<sub>CDCev</sub> could reduce injury through enhanced efferocytosis. Using both *in vitro* and *in vivo* efferocytosis assays, we demonstrate that M<sub>CDCev</sub> more rapidly and with greater avidity clear apoptotic cell debris than untreated M $\phi$  or M<sub>FBev</sub>.

Efferocytosis is regulated by several recognition and uptake receptors that are expressed in  $M\phi^{49, 50}$ . Here, we explored the family of TAM receptor tyrosine kinases (Tyro3, Axl, and MerTK) that are strongly associated with apoptotic cell uptake; MerTK is responsible for engulfment and internalization/clearance of apoptotic cells<sup>15</sup>. Although Tyro3 and Axl remained unchanged, the extracellular fraction of MerTK was significantly elevated in  $M_{CDCev}$ . Since Adam17 cleaves the extracellular fraction of MerTK and subsequently suppresses efferocytosis<sup>30</sup>, we examined Adam17 expression. Not only was *Adam17* attenuated in  $M_{CDCev}$ , but we also found that EV-derived miR-26a specifically targeted and repressed *Adam17* in  $M_{CDCev}$ . The concomitant decrease in proinflammatory cytokine expression can be rationalized by the known ability of MerTK to broadly inhibit TLR and TLR-induced cytokine cascades (e.g., p65 and p38)<sup>51</sup>.

Bridging molecules have been reported to play a critical role in efficient cell clearance<sup>52, 53</sup>. One molecule important for cell clearance in a variety of diseases is C1q. Here, using scRNA-seq, we show that  $CDC_{ev}$  promote the gene expression of C1qa in M $\phi$ . These data were corroborated with increased protein expression during  $CDC_{ev}$ -mediated efferocytosis, effects that were blunted upon introduction of an anti-C1qa antibody. These data suggest that sustained MerTK expression and enhanced C1qa production promote efferocytosis in  $M_{CDCev}$ .

Together, these data provide detailed mechanistic insight into the role of CDC-mediated cardioprotection. Specifically, we highlight that  $CDC_{ev}$ , which are the main paracrine factors responsible for CDC function, polarize M $\phi$  to a distinct, hyper-phagocytic and blunted inflammatory state (M<sub>CDCev</sub>). These macrophages act locally within the ischemic myocardium to enhance the resolution of myocardial IR injury (broader systemic changes in inflammation were not directly assessed in this study). Although tested specifically in MI,

M $\phi$  dysfunction is observed in an array of disease pathogeneses (e.g., systemic lupus erythematosus, Alzheimer's disease)<sup>54–56</sup>, which suggests that the therapeutic efficacy of CDC<sub>ev</sub> may extend beyond the treatment of ischemic heart disease.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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DISCLOSURES

E. Marbán owns founder's equity in, and serves as unpaid advisor to, Capricor Inc. G. de Couto is a paid consultant for Capricor. Capricor neither provided funding for this work nor did the company have approval rights over the manuscript. The other authors declare no competing financial interests.

# ABBREVIATIONS

CDC	Cardiosphere-derived cell
EV	Extracellular vesicle
CDC <sub>ev</sub>	CDC EV
FB <sub>ev</sub>	Fibroblast EV
Мф	Macrophages
MI	Myocardial infarction
IR	Ischemia-reperfusion
ТТС	2,3,5-Triphenyl-2H-tetrazolium chloride
AAR	Area-at-risk
aNRVM	Apoptotic neonatal rat ventricular myocytes
miR	microRNA

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# HIGHLIGHTS

• Extracellular vesicles are readily taken up by macrophages

- Extracellular vesicles enhance macrophage efferocytosis by sustaining MerTK and promoting C1qa expression
- Enhanced macrophage efferocytosis is the dominant mechanism of extracellular vesicle-mediated cardioprotection following ischemic injury

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#### Figure 1. Extracellular vesicle (EV) profiling and *in vitro* macrophage polarization.

(A) Nanoparticle tracking analysis (Nanosight) of EV size and concentration.  $CDC_{ev}$ : CDCderived EVs, FB<sub>ev</sub>: FB-derived EVs. (B) Exosome protein markers observed on  $CDC_{ev}$  and FB<sub>ev</sub>. (C) Schematic depicting the protocol used to generate macrophages (M $\phi$ ) from bone marrow (BM) over the course of 6–7 days. M $\phi$  were then left untreated (M<sub>0</sub>) or polarized with EVs (FB<sub>ev</sub>: M<sub>FBev</sub>; CDC<sub>ev</sub>: M<sub>CDCev</sub>) for 1 day prior to use. (D) Sequential images of EV (fluorescently-labeled) uptake by M $\phi$  over time captured from time lapse video (Video S1). Dotted circles highlight distinct EV attachment and uptake. (E) Confocal image

depicting EV (DiO-labeled) internalization within M $\phi$  (WGA-labeled). (F) Representative images of M $\phi$  with or without EV treatment. (G) Quantitative analysis of cell size in (F). Graphs depict mean +/– SEM with n=3–5/group. Statistical significance was determined using 2-tailed, unpaired, Student's *t* test or 1-way ANOVA followed by Dunn's multiple corrections test. \*p<0.05.

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#### Figure 2. M<sub>CDCev</sub> reduce infarct size post-MI.

(A) Schematic of the *in vivo* protocol used to assess adoptive transfer of M $\phi$  following ischemia-reperfusion injury in rats. IHC: immunohistochemistry; TTC: 2,3,5-Triphenyl-2H-tetrazolium chloride. (B) Representative images of DiD-labeled M $\phi$  in the infarct border zone at 4 hours. (C) Representative TTC-stained hearts at 48 hours. (D) Quantification of percent infarct mass from (C). (E) Representative images of TUNEL-stained hearts in the infarct border zone at 48 hours. (F) Quantification of percent TUNEL positive cardiomyocytes (CM) from (E). Graphs depict mean +/– SEM with n=5–6/group. Statistical significance was determined using 1-way ANOVA followed by Holm-Sidak's multiple corrections test. \*p<0.05.

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### Figure 3. In vitro efferocytosis.

(A) Schematic of protocol used to assess M $\phi$  efferocytosis. aNRVM (DiI-labeled). aNRVM: apoptotic neonatal rat ventricular myocytes; FACS: Fluorescence-activated cell sorting; ICC: immunocytochemistry. (**B**) Representative FACS plots of efferocytosis co-culture experiments. aNRVM (DiI<sup>+</sup>), M $\phi$  (CD11b<sup>+</sup>), M $\phi$  with engulfed aNRVM (DiI<sup>+</sup>CD11b<sup>+</sup>). (**C**) Quantification of the percentage of aNRVM+ M $\phi$  in (B). (**D**) Representative images of DiIaNRVM+ M $\phi$  (WGA-labeled). (**E**) Quantification of the percentage of aNRVM+ M $\phi$  in (D). Graphs depict mean +/– SEM with n=4–6/group. Statistical significance was determined using 1-way ANOVA followed by Holm-Sidak's multiple corrections test. \*p<0.05.

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# Figure 4. In vivo rat efferocytosis post-MI.

(A) Schematic of protocol used to assess efferocytosis of adoptively-transferred DiD-labeled M $\phi$  (DiD-M $\phi$ ) in SD-GFP rats post-MI. (B) Representative images of DiD-M $\phi$  within the infarct border zone. (C) Gating strategy used to select DiD+ M $\phi$  from the infarcted heart. (D) Representative plots of GFP expression in DiD+ M $\phi$  following the gating strategy depicted in (C). (E) Quantification of GFP expression in cardiac M $\phi$  in (D). Graphs depict mean +/– SEM with n=6–8/group. Statistical significance was determined using 2-tailed, unpaired, Student's *t* test. \*p<0.05.

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#### Figure 5. MerTK expression is required for enhanced efferocytosis and cardioprotection.

(A) Schematic of protocol used to assess efferocytosis of  $CDC_{ev}$ -treated mCherry mice post-IR. Veh: vehicle, i.m.: intramuscular. (B) Representative contour plots of mCherry expression in cardiac M $\phi$  (CD11b<sup>+</sup>Ly6G<sup>-</sup>Ly6C<sup>lo</sup>F4/80<sup>+</sup>CD64<sup>+</sup>) isolated from hearts of naïve (no IR injury) or post-IR (vehicle or CDC<sub>ev</sub> treatment) mice. (C and D) Percent and MFI of mCherry in cardiac M $\phi$ . MFI: mean fluorescence intensity. (E) Protein expression of MerTK as determined by ELISA 2 hours following aNRVM coculture. (F) Representative images of TTC-stained hearts 2 days following AMI. WT and MerTK<sup>-/-</sup> mice were treated

with either Veh (saline) or  $CDC_{ev}$  20 minutes following reperfusion (as in A). (G) Quantification of LV and infarct size from TTC-stained in (F). Statistical significance observed between WT vehicle and  $CDC_{ev}$ . LV: left ventricle. (H) *Adam17* gene expression is suppressed in M<sub>CDCev</sub>. (I) Predicted binding sites of miR-26a-5p and miR-210–3p with *Adam17* (nucleotide range denoted in brackets). (J) Fold change in expression of miR-26a and miR-210 in CDC<sub>ev</sub> versus FB<sub>ev</sub>. (K) Percent change in luciferase assay activity in HEK293T cells 2 days following treatment with miR-26, miR-210, or miR-sc. (L) Protein expression of MerTK in M<sub>miR-sc</sub> and M<sub>miR-26a</sub> co-cultured with aNRVM. Graphs depict mean +/– SEM with n=3–4/group. Statistical significance was determined using 2-tailed, unpaired, Student's *t* test, or 1-way ANOVA followed by Tukey's multiple corrections test. \*p<0.05.

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# Figure 6. scRNA-seq reveals global changes in phagocytic gene expression.

(A) t-SNE plots of single cells from M $\phi$  treatment groups. Cell numbers analyzed per group denoted in brackets. (B) Pathway analysis of gene expression profiles related to cellular function ranked by z-score. (C) Heatmap of significantly different gene expression changes in M $\phi$  treated with or without EVs.

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#### Figure 7. CDC<sub>ev</sub> promote C1qa expression to enhance Mø efferocytosis.

(A) scRNA-seq t-SNE plots of the top 5 significantly different genes (ranked by fold-change in expression) between  $M_{FBev}$  + aNRVM and  $M_{CDCev}$  + aNRVM. (B) Protein expression of C1qa in M $\phi$  during efferocytosis as determined by ELISA. (C) Representative immunofluorescent images of M $\phi$  treated with anti-IgG ( $\alpha$ IgG) or anti-C1qa ( $\alpha$ C1qa). (D) Quantification of efferocytosis (uptake of DiI-labeled aNRVM) in (C). Percent increase versus  $M_0$  + aNRVM. (E) Schematic of our hypothesized mechanism of action: *(i and ii)* CDC<sub>ev</sub> transfer miR-26a into M $\phi$  to suppress *Adam17* expression and sustain MerTK

expression; *(iii)* MerTK expression suppresses proinflammatory gene expression; *(iv and v)* CDC<sub>ev</sub> induces C1qa expression to bridge apoptotic cells to promote MerTK-dependent M $\phi$  efferocytosis; *(vi)* together, enhanced efferocytosis and reduced proinflammatory gene expression limit infarct size (IS). Graphs depict mean +/– SEM with n=3-4/group. Statistical significance was determined using 1-way ANOVA followed by Holm-Sidak's multiple corrections test. \*p<0.05.