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## A rare mutation of $\beta_1$ -adrenergic receptor affects sleep/wake behaviors

Guangsen Shi<sup>1</sup>, Lijuan Xing<sup>1</sup>, David Wu<sup>1</sup>, Bula J Bhattacharyya<sup>1</sup>, Christopher R. Jones<sup>2</sup>, Thomas McMahon<sup>1</sup>, S.Y. Christin Chong<sup>1</sup>, Jason A. Chen<sup>3</sup>, Giovanni Coppola<sup>3</sup>, Daniel Geschwind<sup>3</sup>, Andrew Krystal<sup>4,5</sup>, Louis J. Ptá ek<sup>1,5,6,\*</sup>, Ying-Hui Fu<sup>1,5,6,7,\*</sup>

<sup>1</sup>Department of Neurology, University of California San Francisco, San Francisco, CA 94143, USA.

<sup>2</sup>Department of Neurology, University of Utah, Salt Lake City, UT 84108, USA.

<sup>3</sup>Department of Neurology, University of California Los Angeles, Los Angeles, CA 90095, USA.

<sup>4</sup>Department of Psychiatry, University of California San Francisco, San Francisco, CA 94143, USA.

<sup>5</sup>Weill Institute for Neurosciences, University of California San Francisco, San Francisco, CA 94143, USA.

<sup>6</sup>Kavli Institute for Fundamental Neuroscience, University of California San Francisco, San Francisco, CA 94143, USA.

<sup>7</sup>Lead Contact

### SUMMARY

Sleep is crucial for our survival, and many diseases are linked to long-term poor sleep quality. Before we can use sleep to enhance our health and performance and to alleviate diseases associated with poor sleep, a greater understanding of sleep regulation is necessary. We have identified a mutation in the  $\beta_1$ -adrenergic receptor gene in humans who require fewer hours of sleep than most. *In vitro*, this mutation leads to decreased protein stability and dampened signaling in response to agonist treatment. *In vivo*, the mice carrying the same mutation demonstrated short sleep behavior. We found that this receptor is highly expressed in the dorsal pons and that these *ADRB1*<sup>+</sup> neurons are active during REM sleep and wakefulness. Activating these neurons can

\*Correspondence: Ying-Hui.Fu@ucsf.edu (Y-H.F.); ljp@ucsf.edu (L.J.P.).

#### AUTHOR CONTRIBUTIONS

G.S., L.J.P. and Y-H.F. conceived and designed the experiments. C.R.J., A.D.K. and L.J.P. carried out human sleep evaluations. G.S., L.X., D.W., J.A.C., S.Y.C.C. and T.M. performed experiments. B.J.B. did all the electrophysiological studies. G.C. and D.G. performed linkage analysis and exome sequencing. G.S., L.J.P. and Y-H.F. wrote the manuscript.

#### DECLARATION OF INTERESTS

The authors declare no competing interests.

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lead to wakefulness and the activity of these neurons are affected by the mutation. These results highlight the important role of  $\beta_1$ -adrenergic receptors in sleep/wake regulation.

## Blurb

A mutation in *ADRB1* was found in humans who sleep fewer hours than most. Using mouse modeling and calcium imaging experiments, Shi et al demonstrated that dorsal pons *ADRB1*<sup>+</sup> neurons activity is increased in the mutant, correlating with increased wakefulness.

## Keywords

*ADRB1*; short sleep; Dorsal Pons

## INTRODUCTION

An understanding of the regulatory mechanism for sleep lays at the foundation for healthy living and aging. Sleep behavior has long been thought to be regulated by the interactions of circadian clock and sleep homeostasis pathways (Borbély, 1982; Borbély et al., 2016; Daan et al., 1984). In humans, variations of genetically inherited sleep features in the population have been recognized for a long time (Sehgal and Mignot, 2011; Shi et al., 2017). Importantly, human sleep has unique features that are different from that of animal models. For example, human sleep is usually consolidated, whereas mice sleep throughout the 24-hour day (though more in the light phase than in the dark phase). *Drosophila* sleep-like behavior is consolidated into one long period, but the level of similarity between the *Drosophila* and human molecular regulatory mechanisms remains unclear. Previously, we identified a series of genetic variations that influence the timing of sleep in humans, and mouse models of these mutations mostly recapitulate the phenotypes (Shi et al., 2017). Timing of sleep is heavily influenced by the circadian clock which has been intensely studied, and we now have a large and growing body of knowledge on how the clock is regulated at the molecular level. On the other hand, our understanding of sleep homeostasis regulation for human lags behind. We reported a mutation in the human *DEC2* gene that causes mutation carriers to sleep 6 hours nightly for their entire lives without apparent negative effects (He et al., 2009). Another mutation in *DEC2* was later reported in a single individual who is a short sleeper and resistant to sleep deprivation (Pellegrino et al., 2014). Identification of additional genes participating in modulation of human sleep duration provides a unique way to expand our knowledge of genes and pathways critical for human sleep homeostasis regulation.

Noradrenergic signaling in the CNS has long been known to regulate sleep (Berridge, 2008; Berridge et al., 2012; Szabadi, 2013). The network involving the noradrenergic neurons has been extensively studied and most of the receptor subtypes have been genetically defined. In contrast to  $\alpha_1$  and  $\alpha_2$  adrenergic receptors (AR), relatively little is known about the function of  $\beta$  receptors in the CNS (Berridge et al., 2012; Szabadi, 2013).  $\beta$ -ARs within the brain were previously suggested to mediate the effect of norepinephrine (NE) for alert waking and REM sleep (Berridge et al., 2012). Clinically,  $\beta$ -blockers are widely used and can be associated with difficulty falling asleep and staying asleep, possibly due to reduced

production and release of melatonin (Helfand et al., 2009; Scheer et al., 2012; Stoschitzky et al., 1999; Yilmaz et al., 2008). We report here a rare mutation in the  $\beta_1$ -adrenergic receptor ( $\beta_1$ -AR) gene (*ADRB1*) found in humans with natural short sleep. Engineering the human mutation into mice resulted in a sleep phenotype similar to that seen in familial natural short sleepers. We show that  $\beta_1$ AR is expressed at high levels in the dorsal pons (DP). Neuronal activity measured by calcium imaging in this region demonstrated that *ADRB1*<sup>+</sup> neurons in DP are wake- and REM sleep-active. Manipulating the activity of these *ADRB1*<sup>+</sup> neurons changes sleep/wake patterns. Also, the activity of these neurons was altered in mice harboring the mutation. Together, these results not only support the causative role of this *ADRB1* mutation in the human subjects but also provide a mechanism for investigating noradrenaline and  $\beta_1$ -AR in sleep regulation at the circuit level.

## RESULTS

### A mutation found in humans with familial natural short sleep

We searched for genes that are important in sleep regulation by screening for mutations in human individuals who exhibit unusual sleep patterns. ‘Natural short sleep’ (NSS) refers to individuals who have a life-long tendency to sleep only 4–6 hours/night and to feel well rested (He et al., 2009). Kindred 50025 is a family segregating an autosomal dominant allele for ‘Familial natural short sleep’ (FNSS) (Figure 1A and Table S1). SNP-based linkage analysis followed by whole-exome sequencing identified a very rare variant in the *ADRB1* gene, located on chromosome 10q25.3. The mutation co-segregates with FNSS in the family and involves a C→G change in the coding sequence that is predicted to cause an alanine→valine alteration at amino acid position 187 of the  $\beta_1$ -AR. This change was not found in the unaffected members of the same family. In the human population, this is a rare mutation with an incidence of 4.028/100,000 according to the Exome Aggregation Consortium database. The alanine at position 187 of  $\beta_1$ -AR (A187) is highly conserved in vertebrates and invertebrates (Figure 1B). Notably,  $\beta_1$ -AR is a G protein-coupled receptor (GPCR) that has seven transmembrane domains. The A187 residue is located in the 4<sup>th</sup> transmembrane domain.

### Altered function of mutant $\beta_1$ -AR protein

To gain insight into whether this mutation has functional consequences, we first compared the mutant vs. wild-type (WT) protein in cultured cells. As shown in Figure 2A, the mutant protein was less stable than the WT protein in a cycloheximide (CHX) assay. Because  $\beta_1$ -AR mediates the catecholamine-induced activation of adenylatecyclase (thus cAMP-mediated signaling), we next examined the effect of the mutation on the synthesis of cAMP. To mimic the situation of human carriers, we transfected the cells with a mixture (1:1) of WT and mutant  $\beta_1$ -AR. We found that heterozygous expression of the receptors led to decreased cAMP production in response to treatment with the non-selective agonist isoproterenol compared to expression of WT receptor alone (Figure 2B). This result indicates that the mutant protein likely has altered function. We thus generated an *Adrb1*-A187V knock-in mouse model using CRISPR/Cas9. Endogenous  $\beta_1$ -AR levels were decreased significantly in the mutant (*Adrb1* +/m) mice (Figures 2C and 2D), whereas mRNA levels remained unchanged (Figures 2E and 2F), suggesting the decreased protein

levels were caused by post-transcriptional events. This is consistent with the finding that the mutant protein is less stable than the WT protein in the CHX assay in cultured cells (Figure 2A).

### Mice with the *Adrb1*-A187V mutation exhibit a short sleep phenotype

To determine whether this mutation has any influence on sleep related behaviors, we measured the sleep/wake behavior by two independent methods: ANY-maze (locomotor activity monitored by infrared cameras) and electroencephalogram/electromyogram (EEG/EMG). The mutant (*Adrb1* +/m) mice showed increased activity by ANY-maze as reflected by more mobile time during the 24 hour day (Figure 3A). The increased mobile time in the mutant mice was observed in both the light and dark phases (Figures 3B and 3C). In parallel, total sleep time within 24 hours was ~55 minutes shorter in the mutant mice when measured by EEG/EMG (Figure 3D), and this decrease was only seen in the dark phase (Figures 3E, 3F and S1A). The short-sleep phenotype of these mice is also demonstrated by the significant shortening of both non-rapid eye movement (NREM) and rapid eye movement (REM) sleep in the dark phase for *Adrb1* +/m mice when compared to WT mice. NREM sleep was ~53 minutes less and REM sleep was ~7 minutes less in the *Adrb1* +/m vs. WT mice during the dark phase (Figures 3G - 3L, S1B and S1C). The decreased NREM and REM sleep were due to the reduction of sleep bouts rather than episode duration (Figures S1D–S1G). These results indicated that the *Adrb1* A187V mutation can lead to short sleep and increased mobile time, similar to what we observe in the human subjects.

Since sleep pressure positively correlates with delta power (the 1–4.0 Hz frequency range of the EEG during NREM sleep) (Franken et al., 2001), we examined changes in the NREM EEG delta power during the light phase (see ‘Methods’). The delta power of the mutant mice was significantly higher at the beginning (ZT1–2) and decreased rapidly throughout the light phase when compared to WT mice (Figure 3M). These data suggested that the *Adrb1* mutant mice accumulate more sleep pressure, probably due to the shorter sleep in the dark phase.

### Expression of $\beta_1$ -AR in brain

We next generated *ADRB1-Cre* BAC transgenic mice in order to study neural activity of *ADRB1*<sup>+</sup> cells and their possible role in sleep regulation. A large BAC construct (150kb) containing the entire *ADRB1* locus was modified so that the coding sequence of *ADRB1* was replaced by that of Cre recombinase (Figure 4A) (Gong et al., 2003). The transgenic mice were crossed with two different reporter lines, ROSA mT/mG (Muzumdar et al., 2007) and Ai32 (loxP-flanked-ChR2–eYFP). We sectioned the whole brain to identify cells positive for the reporters. There was good agreement between the 2 reporter lines and several regions were found to have high CRE activity including hippocampus, lateral septal nucleus, medial prefrontal cortex, dorsal pons (DP) (Figures 4B and 4C), and various structures in the medulla (Figures S2). The DP region contains the major portions of the laterodorsal tegmental nucleus (LDTg), laterodorsal tegmental nucleus ventral (LDTgV), and part of parabrachial nucleus (PB), regions that are known to be involved in regulation of sleep behaviors (Figure S3A) (Boissard et al., 2002; Cox et al., 2016; Fuller et al., 2011). We thus focused our attention on the DP. We injected the Cre-dependent adeno-associated virus (AAV) encoding enhanced green fluorescent protein (EGFP) (AAV2/EF1a-DIO-EGFP-

L10a) into this area and stained the brain sections with probes against endogenous *Adrb1* and *gfp*. Approximately 80% of the GFP-positive cells also stained positively for *Adrb1* (Figure S3B). Translating ribosome affinity purification (TRAP) of mRNA populations in CRE-expressing cells in DP also showed 3~4 fold enrichment of endogenous *Adrb1* (Figures S3C and S3D) compared to control genes. Taken together, these results indicate that the Cre activity largely represents *Adrb1* expression in this region.

Since DP contains different populations of neurons (Kohlmeier and Kristiansen, 2010; Wang and Morales, 2009), we then labeled the DP *ADRB1*<sup>+</sup> neurons by injecting the virus AAV8-hSyn-DIO-mCherry ('mCherry' hereafter). Co-staining revealed that the *ADRB1*<sup>+</sup> cells in the DP were glutamatergic (~37%, 221/600) or GABAergic (~25%, 150/600) based on the expression of *vesicular glutamate transporter 2 (Vglut2)* or *glutamate decarboxylase 1 (Gad1)*, respectively (Figures S4A–S4F). There were very few cholinergic or noradrenergic cells based on the expression of choline acetyltransferase (ChAT) or tyrosine hydroxylase (TH) (Figures S4G–S4L).

### Activity of dorsal pons *ADRB1*<sup>+</sup> neurons in sleep/wake states

To assess the population activity of the *ADRB1*<sup>+</sup> cells in DP across spontaneous sleep-wake states, we used fiber photometry to record calcium signals from *ADRB1*<sup>+</sup> neurons in freely moving animals (Figure S5A) (Chen et al., 2013; Gunaydin et al., 2014). We injected a Cre-dependent AAV encoding the fluorescent calcium indicator GCaMP6s (AAV1/Syn-Flex-GCaMP6s-WPRE-SV40) into the DP of *ADRB1-Cre* mice. We implanted both a fiber optic probe (for subsequent delivery of excitation light and collection of fluorescent emission) and EEG/EMG electrodes (for simultaneous sleep-wake recordings) (Figures S5B and S5C) (Eban-Rothschild et al., 2016). When aligning the fluorescence signals of calcium activity across different sleep states, robust alterations in the population activity of the *ADRB1*<sup>+</sup> neurons revealed that these cells are active during wakefulness and REM sleep and remain quiescent during NREM sleep (Figures 4D and 4E). Notably, these neurons began to increase their activity before NREM-to-REM and NREM-to-wake transitions and decrease their activity before wake-to-NREM and REM-to-wake transitions (Figure S5D). These findings demonstrate that *ADRB1*<sup>+</sup> neurons in DP change their population activity across sleep-wake states and offer a mechanistic framework for their participation in the regulation of sleep and wakefulness.

### Manipulation of dorsal pons *ADRB1*<sup>+</sup> neurons changes sleep/wake states

We next applied an optogenetic approach to activate the neurons in the DP. We unilaterally injected a Cre-inducible AAV expressing channel rhodopsin 2 fused with enhanced yellow fluorescent protein (AAV5-DIO-hChR2(H134R)-eYFP, 'ChR2' hereafter) or the control virus AAV5-EF1 $\alpha$ -DIO-eYFP, 'eYFP' hereafter) into the DP of *ADRB1-Cre* mice and implanted the optical fiber and EEG-EMG electrodes (Figures 5A and 5B). The 10-s trains of light trials were randomly applied during EEG/EMG recording in the light phase. Based on the *post hoc* analysis of EEG/EMG, the trials were then categorized to be starting from NREM, REM and wakefulness. Interestingly, light stimulation during NREM sleep elicited immediate NREM-to-wake transitions in the ChR2-infected mice but not in the eYFP-infected mice (Figures 5C, 5D and 5E). However, similar stimulation could not trigger

REM-to-wake transitions (Figures 5C, 5D and 5F). Stimulation during the wake phase produced no significant effect on state transitions although it may play a role in maintaining the wakefulness afterwards (Figures 5C, 5D and 5G). This is consistent with the fact that these neurons are wake and REM-active under physiological condition (Figures 4C and 4D). During wakefulness and REM sleep states, additional activation of these neurons cannot change the states because the neurons are already active. Nonetheless, the strong effect of NREM-to-wake transition demonstrates that *ADRB1*<sup>+</sup> cells in the DP region are primarily wake-promoting.

### The *Adrb1-A187V* mutation alters the population activity of DP *ADRB1*<sup>+</sup> neurons

Given that the activity of *ADRB1*<sup>+</sup> neurons of the DP region modulates the wakeful state and that the *Adrb1-A187V* mutant mice showed altered sleep/wake behavior, we next tested whether the *Adrb1-A187V* mutation affects the activity of *ADRB1*<sup>+</sup> neurons in the DP. We crossed the transgenic *ADRB1-Cre* mice with the *Adrb1-A187V* mice, and a photometry strategy similar to that described above was applied to *ADRB1-Cre; Adrb1+/+* and *ADRB1-Cre; Adrb1+/m* mice. The absolute photometry signals depend on the expression level of the virus around the tip of the optical fibers, which makes it difficult to analyze the neural activity between different mice directly. Thus, we compared the relative GCaMP signal amplitude from the active phase (ZT13–16) to the sleep phase (ZT1–4) in the same mouse. The relative amplitude was significantly greater in the *Adrb1+/m* mice than in the *Adrb1+/+* mice (Figures 6A and 6B). These results suggest that activity of *ADRB1*<sup>+</sup> neurons in the DP is altered by the *Adrb1 A187V* mutation. Notably, these neurons are wake-active and the *Adrb1+/m* mice spend 9% more time in wakefulness at ZT13–16. However, mutant mice exhibited 34% increase in the fluorescence signal shown in Figure 6B, suggesting the change of fluorescence here could not be solely attributed to the altered behavior.

To further explore whether the changes of GCaMP signals are neuron-autonomous effects and not secondary to circuitry or behavior changes, we performed single cell imaging experiments on acutely isolated DP explants from *ADRB1-Cre; Adrb1+/+* and *ADRB1-Cre; Adrb1+/m* mice (Figure 6C). We measured the percentage of *ADRB1*<sup>+</sup> neurons responding with changes in GCaMP signal during treatment with dobutamine (a selective  $\beta_1$  agonist). Neurons were categorized into three groups based on their response to the dobutamine treatment: inhibition, no change, and activation (Figures S6A–S6C). This is consistent with the previous observations that  $\beta$ -ARs in CNS could be either excitatory or inhibitory (Szabadi, 2013). As shown in Figure 6D, the percentage of DP *ADRB1*<sup>+</sup> neurons that were inhibited by dobutamine was significantly lower (56.7%) in the *Adrb1+/m* slice. Meanwhile, the percentages of DP *ADRB1*<sup>+</sup> neurons that were activated by dobutamine were comparable between the two groups. These results indicate that the mutation affects *ADRB1*<sup>+</sup> neurons to various extents. However, as a whole, the portion of neurons inhibited is much smaller in mutant than in WT, consistent with an overall increase in *ADRB1*<sup>+</sup> neuron population activity in mutant mice (Figures 6A and 6B).



## The *Adrb1-A187V* mutation changes the electrophysiological properties of DP *ADRB1*<sup>+</sup> neurons

The increased population activity of *ADRB1*<sup>+</sup> neurons from *Adrb1* mutant mice could also be caused by enhanced excitability. To test this, we injected the Cre-dependent adeno-associated virus (AAV) encoding enhanced green fluorescent protein (EGFP) (AAV2/EF1a-DIO-EGFP-L10a) into the DP area to label the *ADRB1*<sup>+</sup> cells with GFP. Using current clamp recordings of GFP-labeled *ADRB1*<sup>+</sup> neurons from brain slices from either *ADRB1-Cre; Adrb1*<sup>+/+</sup> (n=6, N=6 out of 23 brain slices from 11 animals) or *ADRB1-Cre; Adrb1*<sup>+/m</sup> mice (n=6, N=6 out of 15 brain slices from 15 animals), we obtained action potentials (Figures 7A and 7B). These action potentials were blocked by 500nM TTX (data not shown). We found that mutant neurons had a significantly lower rheobase current compared with that of neurons from *Adrb1*<sup>+/+</sup> mice (Figure 7C) without any significant differences in the resting membrane potential (*Adrb1*<sup>+/+</sup>,  $-60.14 \pm 1.28$  mV; *Adrb1*<sup>+/m</sup>,  $-58.11 \pm 1.32$  mV), voltage threshold (*Adrb1*<sup>+/+</sup>,  $-43.83 \pm 3.00$  mV; *Adrb1*<sup>+/m</sup>,  $-45.50 \pm 2.48$  mV) or action potential overshoot (*Adrb1*<sup>+/+</sup>,  $21 \pm 0.85$  mV; *Adrb1*<sup>+/m</sup>,  $20.17 \pm 0.14$  mV). Also, a significant increase in firing frequencies was noted in *Adrb1*<sup>+/m</sup> neurons compared with those from *Adrb1*<sup>+/+</sup> neurons (Figure 7D). These electrophysiological properties imply that *Adrb1*<sup>+/m</sup> neurons are more excitable.

We also recorded spontaneous excitatory postsynaptic currents (sEPSCs) in slices from *ADRB1-Cre; Adrb1*<sup>+/+</sup> or *ADRB1-Cre; Adrb1*<sup>+/m</sup> mice in the presence of the GABAergic antagonist, bicuculline (BIC, 50  $\mu$ M). Because cells in the DP region are heterogeneous (Kohlmeier and Kristiansen, 2010; Wang and Morales, 2009), we analyzed sEPSCs that were blocked by post hoc treatment of glutamatergic antagonists CNQX and DAP5 (6/15 cells for *Adrb1*<sup>+/+</sup>, 40%; 7/22 cells for *Adrb1*<sup>+/m</sup>, 32%) (Figures 7E and 7F). Basal sEPSC frequency was slightly higher in *Adrb1*<sup>+/m</sup> than *Adrb1*<sup>+/+</sup> neurons (p=0.057) (Figure 7G). Interestingly, dobutamine treatment increased sEPSC frequency in the *Adrb1*<sup>+/m</sup> but not *Adrb1*<sup>+/+</sup> neurons (Figure 7G). Moreover, no significant change in sEPSC amplitude was found for either the genotype or treatment of dobutamine (Figure 7H). We further analyzed the miniature EPSCs (mEPSCs) in the presence of tetrodotoxin (TTX) from *Adrb1*<sup>+/m</sup> slices (Figure 7I). We found that the increase of EPSC frequencies were preserved in the presence of TTX, indicating that the increase in spontaneous glutamate release from the presynaptic terminals was independent of action potential generation (Figure 7J). This significant increase of the sEPSC (mEPSC) frequency suggests that presynaptic neurons (of the patched *ADRB*<sup>+</sup> neuron) are more excitable as a population in response to dobutamine and that glutamatergic transmission is more active in the *ADRB1*<sup>+</sup> neurons in mutant brain slices.

## The dominant effects of *Adrb1-A187V* mutation

We analyzed the *Adrb1* homozygous mutant mice (*Adrb1* m/m) together with the heterozygous mice to clarify the mechanism. Although the protein level in homozygotes is even less than heterozygotes (Figures S7A and S7B), homozygous mutant mice have a similar mobile/sleep phenotype with heterozygotes (Figures S7C and S7D). In addition, *in vivo* and *in vitro* calcium imaging results were also similar between heterozygotes and homozygotes mice (Figures S7E and S7F). Together, these data further demonstrate the

causative role of *ADRB1 A187V* mutation and imply that this mutation exerts dominant effects.

## DISCUSSION

Sleep *per se* is thought to be a conserved behavior from vertebrates to invertebrates, including flies and nematodes (Cirelli et al., 2005; Koh et al., 2008; Raizen et al., 2008). Cutting-edge technologies have been applied to animal models and revealed mechanistic and circuit-level information (Artiushin and Sehgal, 2017; Chung et al., 2017; Guo et al., 2016; Niwa et al., 2018; Weber and Dan, 2016) for these organisms. In parallel, large-scale mutagenesis screening with animal models have been used to identify potential genes involved in sleep homeostasis regulation in these animals (Cirelli et al., 2005; Franken et al., 2001; Funato et al., 2016; Koh et al., 2008; Tatsuki et al., 2016). However, it remains unclear to what extent the genes and systems identified in model organisms are conserved in humans.

While NSS has long been recognized in sporadic cases from the general population, familial NSS was only first reported in 2009 (He et al., 2009), thus enabling the use of human genetics to identify novel sleep genes. The initial report was in a small nuclear family and thus, when a candidate mutation was identified in *DEC2*, *in vitro* and *in vivo* experiments were necessary to prove causality. We've continued to collect small and moderate sized families (2- and 3-generation) segregating FNSS alleles. It is likely that "required sleep time" is a genetic trait resulting from contributions of many variants in many genes. The >50 FNSS families identified to date have a sufficiently strong phenotype (lifelong requirement of <6.5 hours per/night) so that we have enriched for single mutations of large effect. Still, there is variability in the expression of FNSS among affected individuals, even within the same family. Taken together, these observations suggest that FNSS is caused by alleles of strong effect and that the phenotype is modified by the genetic background of each mutation carrier. In the moderate sized family reported here, there is clear transmission of an autosomal dominant allele in affected members of the family. We used a staged approach based on whole-exome sequencing, but using the genetic linkage data to take advantage of the information contained in the family structure to interpret the rare variants identified. This led to identification of only one coding variant from this locus that co-segregated with the FNSS phenotype. The *ADRB1* allele is present in all 'affected' individuals but also in a carrier (#100784) with a total sleep time of 7 ½ hours. This is still one hour shorter than the population mean but does not meet our strict criteria to be classified as 'affected' (we classified this individual as 'unknown' and an obligate carrier since he has a definitely 'affected' daughter). Collectively, these data suggest that the *ADRB1* mutation is likely to be causative for FNSS in this family. Variable expressivity is likely due to the genetic background of each mutation carrier.

Our mutant mice showed increased mobile time and a decreased sleep time of 55 minutes every 24 hours, whereas the human mutation carriers, on average, sleep 2 hours/day less than non-mutation carriers. It is not uncommon that animal models only partially recapitulate a human phenotype. This may be due to differences in physiology of mice vs. humans. Mice are nocturnal and have much more fragmented sleep than humans. Thus, it is



possible that mice are less dependent on sleep duration, consolidation, timing, and other variables for sleep than humans. Also, the phenotype of mice modeling human mutations is often more subtle than those obtained in forward mutagenesis screens. Mutations identified in FNSS families exist in humans who have survived on this planet. It is not surprising that the phenotypes are not as strong as those seen in mutagenized flies and mice under laboratory conditions. Our mouse model resembles features of human FNSS, further strengthening the genetic data in support of a causative role of this *ADRB1* mutation in sleep. Interestingly, the delta power of *Adrb1* mutant mice is higher at the beginning of the sleep phase indicating a higher sleep pressure accumulated at the end of the active phase than the control mice. Further, the delta power decreased to the basal level during the light phase suggesting these mice can sustain a higher sleep pressure than control mice. More work is needed to test whether human mutation carriers have a similar alteration in delta power.

We found a heterogeneous group of neurons in dorsal pons that express ADRB1 at high levels. Using fiber photometry, we showed that these DP *ADRB1*<sup>+</sup> neurons are either wake-*or* REM sleep-active under physiological conditions (Figure 4). Consistently, optogenetic studies indicated that these neurons are primarily wake-promoting (Figure 5). Thus, these sleep-relevant neurons provided an opportunity to investigate the neural behavior that might be affected by the *Adrb1* A187V mutation. In mutant brain slices, the portion of *ADRB1*<sup>+</sup> neurons that are potentially inhibited by agonist was significantly dampened while *ADRB1*<sup>+</sup> neurons that are potentially excited by agonist remained unchanged (Figure 6D). Based on our finding in Figures 2, we speculated that the inhibitory function of  $\beta_1$ -AR is more sensitive to the reduction of its protein abundance while the excitatory function is more tolerant to decreased protein levels. With fewer neurons being inhibited in the presence of natural ligand, the DP *ADRB1*<sup>+</sup> neurons (as a population) are more active. This is consistent with the increased neuron activity *in vivo* in the mutant mice (Figure 6B) and the increased excitability and spontaneous excitatory glutamatergic neurotransmission in the mutant slices (Figure 7). Together, these results imply that the increased activity of the wake-promoting DP *ADRB1*<sup>+</sup> neurons may contribute to the short sleep phenotype. Of note, although we chose to study *ADRB1*<sup>+</sup> neurons in the DP, it is possible that *ADRB1*<sup>+</sup> neurons (or glia) in other brain regions (Berridge et al., 2012; Paschalis et al., 2009) (Figures S2) may also play important roles in sleep regulation and contribute to the short sleep phenotype. Further investigation is needed to address this possibility.

In summary, we present human genetic data in FNSS, mouse modeling, *in vitro*, and *in vivo* functional data. Collectively, these diverse approaches support the role of the *Adrb1*-A187V as a causative mutation in FNSS and of DP  $\beta$ -ARs in regulation of sleep/wake behavior. This, in turn, provides an opportunity to further explore the mechanisms and potential drug targets of  $\beta_1$ -AR for treatment of sleep related disorders. Much more work is needed to dissect the complex circuitry underlying sleep/wake regulation.

## STAR\*METHODS

### LEAD CONTACT AND MATERIALS AVAILABILITY

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Ying-Hui Fu (Ying-Hui.Fu@ucsf.edu). All reagents, cell lines and mouse models used in this manuscript are available upon request.

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

**Human studies**—Human research subjects for this study are voluntary participants. The proband (first participant of this family) came to us because of an interest in his/her own sleep behavior and helped to recruit other family members. All human participants signed a consent form approved by the Institutional Review Boards at the University of Utah and the University of California, San Francisco (IRB# 10-03952). Self-reported habitual sleep-wake schedules were obtained during structured interviews by one of the authors (C.R.J., L.J.P, and A.K.). Blood sample collection and DNA preparation were performed as previously described (He et al., 2009).

**Animal Sources**—*Adrb1-A187V* knock-in mice were generated by CRISPR/Cas9. Briefly, DNA template for sgRNA was amplified with primers containing T7 promoter and the sgRNA-targeting sequence. The sequence for primers were as follows; forward, ‘TTAATACGACTCACTATAGGAAGGACACCAACGCCGAGAGTTTTAGAGCTAGAAA TAGC’; reverse, ‘AAAAGCACCGACTCGGTGCC’. The sgRNA was then transcribed (MEGAscript T7 kit, Life Technologies) and purified (MEGAclean kit, Life Technologies) in RNase-free water.

Super-ovulated female FVB/N mice were mated to FVB/N males, and fertilized zygotes were collected from oviducts. Cas9 protein (50 ng/mL), sgRNA (20 ng/mL) and targeting oligo DNA (20 ng/mL) were mixed and injected into pronucleus of fertilized zygotes. Injected zygotes were implanted into oviducts of pseudopregnant CD1 female mice. Founders were genotyped by PCR and sequencing. Out of 24 pups genotyped, 18 were positive for the knockin. Mice were then backcrossed onto a C57Bl/6J background for at least six generations before phenotyping to dilute potential off-target effects. Two independent lines were chosen for experimentation, which gave similar results in all the tests. The oligo DNA sequence for recombination was as follows, ‘cgcccttcgctaccagagtttgctgacgcgcgcgagcgcggccctcgtgtgcacagtgtggccatctcggctcttggtcct tctgcccctcctcatgactggtggcggccgagagcgcgaagcgcgccgctgctacaacg’.

*ADRB1-Cre* BAC transgenic mice were generated as previously described (He et al., 2009; Xu et al., 2007). Human BAC: CTD 2337B3, containing the entire *ADRB1* gene on a 150-kb genomic insert, was obtained from the CalTech human BAC library. The coding sequence of *ADRB1* in the BAC was replaced by that of *Cre* through two steps of recombination. This BAC clone includes 80 kb of sequence upstream from the start codon and is expected to contain all cis-acting regulatory elements needed to accurately reproduce an endogenous expression pattern. Detailed mapping and sequencing were carried out for the modified BAC

before injected into the mouse zygotes. This transgenic strain was maintained on a C57Bl/6J background.

ROSA mT/mG (stock 007676), Ai32 (loxP-flanked-ChR2–eYFP, stock 012569) reporter mice and *Adrb1*<sup>tm1Bkk</sup> *Adrb2*<sup>tm1Bkk</sup> /J (stock 003810) mice were purchased from The Jackson Laboratory.

**Animal Studies**—All experimental animals were singly housed on a LD 12:12 cycle and given *ad libitum* access to food and water. Male mice were used for all behavioral experiments. Mice were at least eight weeks old at the time of surgery. For the studies of DREADDs/fiber photometry, *ADRB1*-Cre mice were randomly assigned to experimental or control group by receiving different virus injections. Littermates were used for the studies for the comparisons between WT and mutant mice. We noticed that the mouse sleep behaviors were significantly affected by light intensity. For the experiments that compared the sleep time and locomotor activity between WT and mutant mice, light intensity of the room was strictly controlled between 70–90lux.

All experimental protocols were approved by the University of California, San Francisco IACUC following NIH guidelines for the Care and Use of Laboratory Animals.

**Cell Lines**—HEK293 cells were obtained from the ATCC Human Primary Cell collection (<https://www.atcc.org>). They were grown in DMEM supplemented with 10% of heat-inactivated fetal bovine serum (FBS) and 1% penicillin/streptomycin. All cells were incubated at 37°C in a humidified chamber supplemented with 5% CO<sub>2</sub>. Transfection of the cells was performed using Lipofectamine 3000. Cell lines were tested for mycoplasma contamination every three months.

## METHOD DETAILS

**Nomenclature**—For humans—gene (*ADRB1*), protein (ADRB1). For mouse—gene (*Adrb1*), protein (ADRB1). +/+ refers to wild type animals or unaffected human subjects and +/m refers to heterozygous mutant animals or affected human subjects.

**SNP Genotyping**—Genomic DNAs from 8 individuals (100290, 100471, 100475, 100481, 100487, 100784, 100889, 100913) were genotyped using the Illumina HumanCytoSNP-12 array. A total of 299,671 markers were called for each individual. SNP pruning was then performed using PLINK (<http://pngu.mgh.harvard.edu/~purcell/plink/index.shtml>). The genotype calls were filtered for the following criteria, in sequential order: genotype missingness, with a cutoff of 30% (289,305 SNPs remaining); Mendelian errors (289,305 SNPs remaining); and absence of linkage disequilibrium using pairwise genotypic correlation, with  $r^2$  cutoff of 0.1, and a sliding window of 50 SNPs (4,782 SNPs remaining). The filtered set of SNPs was used to calculate linkage, using the parametric linkage capabilities of the software package MERLIN (<http://www.sph.umich.edu/csg/abecasis/Merlin/index.html>). Map positions of each marker were calculated using interpolation of the Rutgers Combined Linkage-Physical Map Build 36/37. The parametric model assumed an autosomal dominant mode of inheritance with 90% penetrance.

**Exome sequencing**—Exome sequencing was performed on 4 individuals (100290, 100475, 100889, and 100913). Omicia Opal 0.10.0 software was used to annotate the potentially causative genes/variants in the exomes of each of the affected individuals (after filtering out common variants, dbSNP MAF > 1%) using the HGMD and OMIM databases. Variant prioritization (using Ingenuity Variant Analysis) included generating a list of all variants, filtered for 1) minor allele frequency < 1% according to 1000 Genomes Project, Complete Genomics public genomes, and NHLBI ESP exomes; 2) nonsynonymous, near a splice site/promoter, or a structural variant; 3) occur in all 3 affected and not in the control sample; and 4) within a region determined by parametric linkage to have LOD > 0.

**Protein Extraction and Immunoblot Analysis**—Proteins from mouse tissues and cultured HEK293 cells were prepared with the RIPA Lysis Buffer (Sigma). SDS-PAGE and immunoblot analysis were performed according to standard protocols. Antibodies used were mouse anti-FLAG (Sigma; FLAG M2), rabbit anti-ADRB1 (Santa Cruz; sc568) and mouse anti-actin (Abcam; ab6276). Band intensities were determined using Image J software (NIH). Actin was used as a loading control.

**RNA Isolation and Real-Time PCR**—Total RNA was isolated from frozen tissues (heart and brain) with Trizol reagent (Thermo Fisher Scientific). A total of 5 $\mu$ g total RNA was reverse transcribed using the Superscript IV Kit (Thermo Fisher Scientific). cDNA was then quantified using SYBR green real-time PCR analysis with the QuantStudio 6 Flex Real-Time PCR System (Thermo Fisher Scientific). The real-time PCR data were normalized to *Actb*. See Key Resources Table for primer sequences.

**Luminescence-based rapid cAMP assay**—HEK293 cells were plated in 96-well dishes in 100  $\mu$ l of growth medium. When cells reached 70–80% confluence, they were transfected with 50 ng  $\beta_1$ AR-WT or  $\beta_1$ AR-WT +  $\beta_1$ AR-Mut (25 ng:25 ng) plasmids together with 50ng pGloSensor-22F (Promega) which produce rapid and reversible cAMP-dependent activation of luciferase activity. After incubation for an additional 20–24 hours, growth medium was replaced with 100 $\mu$ l equilibration medium (88% CO<sub>2</sub>-independent medium, 10% FBS, 2% GloSensor cAMP Reagent stock solution (Promega)). Cells were then equilibrated for 2 hours at room temperature. Baseline luminescence was measured every min for 10 min (synergy H4, Bio Tek). 10nM isoproterenol was then added to the medium, and Luminescence was immediately measured every min for 30 min (synergy H4, Bio Tek).

**ANY-maze monitoring**—Mice were kept in individual cages with free access to food and water. Mice were monitored by infrared camera and tracked by an automatic video tracking system (Storling, Wood Dale, IL; RRID SCR\_014289). Mice were entrained to LD 12:12 for 1 week and then locomotor activity was recorded for 3 or 4 days. Walking distance, rears and mobile times were calculated using ANY-maze software and data were averaged. Samples with over 500-meter walking distance or below 10,000-sec immobility time each day were excluded from the statistical analysis due to the failure of automatic tracking.

**Stereotaxic Viral Injection, Cannulization, and EEG Implantation**—Animals were anesthetized with 2% isoflurane and placed in a stereotaxic head frame on a heat pad.

Ophthalmic ointment was applied to the eyes to prevent drying. A midline incision was made down the scalp and a craniotomy was made using a dental drill. A 10  $\mu$ l Nanofil Hamilton syringe (WPI, Sarasota, FL) with a pulled glass needle was used to infuse virus with a microsyringe pump (UMP3; WPI, Sarasota, FL) and its controller (Micro4; WPI, Sarasota, FL). Virus was infused at a rate of 50 nl/min. Following infusion, the needle was kept at the injection site for 10 min and then slowly withdrawn at 0.01 mm/sec. All stereotaxic coordinates are relative to bregma. *ADRB1-Cre* was injected into the DP (−5.1 mm anteroposterior (AP); 0.6 mm mediolateral (ML); −3.7 mm dorsoventral (DV)) with a total of 300 nl of virus.

Optical cannulas for optogenetics. Mice were implanted with a 200  $\mu$ m diameter 0.22 NA mono fiber-optic cannula (ThorLabs) directly above the DP at −5.1 mm (AP); +0.6 mm (ML); −3.55 mm (DV). Cannulas were secured to the skull using a base layer of SuperGlue (LocTite) followed by a second layer of dental cement (Ortho-Jet; Lang, Wheeling, IL).

Optical cannulas for Fiber Photometry. Mice were implanted with a 400  $\mu$ m diameter 0.22 NA mono fiber-optic cannula (Doric Lenses) directly above the DP at −5.1 mm (AP); +0.6 mm (ML); −3.55 mm (DV). Cannulas were secured to the skull using a base layer of SuperGlue (LocTite) followed by a second layer of dental cement (Ortho-Jet; Lang, Wheeling, IL).

Installation of EEG/EMG Headsets. After installation of the appropriate cannula, mice were also implanted with EEG/EMG headsets. Four guide holes were made using a 23-gauge surgical needle epidurally over the frontal cortical area (1 mm anterior to bregma, 1 mm lateral to the midline) and over the parietal area (3 mm posterior to bregma, 2.5 mm lateral to midline). One ground screw and three screws with leads were placed into the skull through the holes. The screws with leads were then soldered onto a 6-pin connector EEG/EMG headset (Pinnacle Technologies, Lawrence, Kansas). For EMG recordings, EMG leads from the headset were placed into the neck muscle. The headset was then covered with black dental cement to form a solid cap atop the mouse's head. The incision was then closed with VetBond (3M, Santa Cruz Biotech) and animals were given a subcutaneous injection of marcaine (0.05 mg/kg) prior to recovery on a heating pad. Behavioral experiments were conducted 3 weeks later to allow for sufficient recovery and for viral expression.

All mice were subjected to histological analyses to confirm the area of AAV transfection and placement of fibers at the end of experiment.

**EEG/EMG Recording and Scoring**—For EEG/EMG recording, mice were singly-housed and habituated to the recording cable for 7 days in LD 12:12 conditions. Tethered pre-amplifiers were attached to the headset of the mice. The signals were relayed through the commutators which allowed the animal to move freely. Data was acquired through the Sirenia software package (Pinnacle Technologies, Lawrence, KS) (Anacleit et al., 2014). All the data were sampled at 500 Hz.

Sleep was scored semi-automatically by Sirenia Sleep Pro software in 10-s epochs for wakefulness, NREM, and REM sleep, and then subsequently hand-scored by researchers

blinded to genotype with the assistance of spectral analysis using FFT. In general, wakefulness was defined as desynchronized low-amplitude EEG and heightened tonic EMG activity with phasic bursts. NREM sleep was defined as synchronized, high-amplitude, low-frequency (0.5–4 Hz) EEG and substantially reduced EMG activity compared with wakefulness. REM sleep was defined as having a pronounced theta rhythm (4–9 Hz) with no EMG activity. REM-like behavior was defined as having a pronounced theta rhythm (4–9 Hz) with tonic intermediate level of EMG activity. Under our experiment condition, this unnatural state could last from a few minutes to hours after CNO injection. This REM-like state was not seen in wild type animals. For calculation of total sleep time, total NREM sleep time and total REM sleep time, data were averaged from two consecutive days.

For spectral analysis, artifacts and state transition epochs were excluded. EEG power spectra and frequency bands for each vigilance state and condition were standardized by expressing each frequency bin as a percentage of the total power (0.5–25.0 Hz). The time course of delta power (1.0–4.0 Hz) in NREM sleep was computed as previously described (Cirelli, 2005; Franken et al., 2006; Vassalli and Franken, 2017; Wang et al., 2018). To investigate the temporal progression of delta power, values were computed relative to the last 4 hours of the light phase (i.e., ZT 8 to 12 defined as 1) in baseline. This interval corresponds to the period with lowest delta power (Franken et al., 2001; Mang and Franken, 2012). These analyses were restricted to the light phase, because in agreement with previous mouse studies (Franken et al., 2001; Halassa et al., 2009), there was too little NREM sleep in most mice during the active (dark) phase for accurate assessments.

**TRAP**—Custom recombinant cre-dependent AAV expressing GFP-tagged ribosomal subunit L10a (AAV2-EF1a-DIO-EGFP-L10a) was obtained from a previous report (Tan et al., 2016) and then packaged by the UNC vector core. This virus (300 nl) was infused bilaterally into the DP of *ADRB1-Cre* mice using the coordinates described above.

Animals were sacrificed for TRAP 2–3 weeks after injection. TRAP followed a detailed protocol supplied by the Heintz laboratory at the Rockefeller University (New York, New York, USA) (Doyle et al., 2008; Heiman et al., 2008). The small brain region including DP from 3–4 brains was pooled for each experiment, and a total of four replicate experiments were performed. The pooled tissues were then added to ice-cold polysome extraction buffer for homogenization. Monoclonal anti-GFP antibodies (Monoclonal Antibody Core Facility, Memorial Sloan-Kettering Cancer Center, New York, New York, USA) were coupled to Dynabeads (Thermo Fisher Scientific; 10004D) and added to a postmitochondrial preparation of tissue extract. Beads and extract were incubated at 4°C, with agitation, for 30 minutes. The beads were then washed with a large volume of wash buffer, and resuspended in lysis buffer. Total RNA was isolated with a column (QIAGEN RNeasy Mini Kit). Equal amounts of RNA were used for the subsequent RT-qPCR assays using the strategy described above. Fold-enrichment was calculated using the Ct method with normalization to *Actb*.

**Histology and Immunohistochemistry**—Mice were transcardially perfused with PBS followed by 4% paraformaldehyde (PFA);PBS. Brains were postfixed overnight in 4% PFA;PBS and placed in 30% sucrose for another 24 hours. Free-floating sections (40 μm) were prepared with a cryostat (Leica). For GCaMP6s and DREADDs verification, slices



were immediately mounted onto glass slides with DAPI mounting media, coverslipped, and imaged.

For colocalization of ChAT/TH and mCherry detection, sections were washed three times in TBST and then blocked for 1 hour in superbloc buffer (Thermo Fisher Scientific). After block, the sections were incubated with a primary antibody for mCherry (1/1,000 rabbit anti-DsRed (Clontech; 632496)) together with another antibody for either ChAT (1/100 goat anti-ChAT (MilliPore; Ab144P)) or TH (1/1,000 mouse anti-Tyrosine Hydroxylase (MilliPore; MAB318)) at 4°C overnight. After washing three times in TBST, the sections were incubated with corresponding secondary antibodies (Jackson ImmunoResearch) for another 2 hours at room temperature before being mounted onto glass slides.

*In-situ hybridization* (ISH) of *Vglut2* or *Gad1* was performed using digoxigenin (DIG)-labeled RNA probes. The protocol was modified from previous reports (Hayashi et al., 2015; Xiu et al., 2014). Briefly, the RNA probes labeled by digoxigenin-UTP (Roche) were generated by *in vitro* transcription and dissolved at 1 µg/ml in the hybridization solution (50% formamide (vol/vol), 5× SSC, 0.3 mg/ml yeast tRNA, 100 µg/ml heparin, 1× Denhardt's solution, 0.1% Tween 20 (vol/vol), 0.1% 3-[(3-cholamidopropyl) dimethylammonio]-1-propanesulfonate (CHAPS, wt/vol) and 5 mM EDTA). Free-floating sections were washed with PBS for 10 min and treated with 2% H<sub>2</sub>O<sub>2</sub> (vol/vol) in 0.01 M PBS for 10 min, followed by another round of wash with PBS for 10 min at 25~28°C. They were then treated with 0.3% Triton X-100 (vol/vol) in PBS for 20 min and acetylated by 0.25% acetic anhydride (vol/vol) in 0.1 M triethanolamine (pH 8.0) for 10 min, followed by two rounds of washing with PBS. Afterward, the sections were placed in the hybridization solution without probes for 1 h and incubated in the hybridization solution with the corresponding probes for 16–18 h at 60°C. After hybridization, the sections were rinsed once and washed twice in 2× SSC for 15 min at 60°C, followed by treatment with 2 µg/ml RNase A in 2× SSC at 37°C for 30 min. They were further rinsed once and washed twice in 0.2× SSC for 30 min at 60°C, followed by three rounds of wash in PBS containing 0.05% Tween 20 (PBT) for 10 min at 25~28°C. The sections were blocked with 10% normal sheep serum (vol/vol) in PBT for 1 h at 25~28°C and incubated in the same solution with sheep anti-digoxigenin antibody (1:500, Roche 11207733910) at 4°C overnight. On the second day, the sections were washed three times in PBT for 10 min and incubated in the amplification solution with cyanine 3 tyramide (PerkinElmer NEL744B001KT) for 20 min at 25~28°C, followed by three rounds of washing in 0.01 M PBS for 10 min. Subsequently, immunohistochemistry (IHC) for mCherry was performed. Sections were incubated with primary antibody for mCherry (1/1,000 rabbit anti-DsRed (Clontech; 632496)) at 4°C overnight, followed by secondary antibody staining using Cy2-conjugated antibodies (Jackson Immuno Research). We confirmed that the ISH procedure eliminates the native fluorescence of mCherry. Anti-sense and sense probes were used in parallel in the preliminary experiments to confirm that only anti-sense probes gave rise to the positive signals. Images were captured with a laser confocal microscope. The *Vglut2* probe covered the open reading frame (ORF) and the *Gad1* probe shared identical sequences with the one used by Allen brain atlas (<http://mouse.brain-map.org/>).

ISH of endogenous *Adrb1* was performed using the RNAscope assay (Cat# 320293/320513, Advanced Cell Diagnostics, Manual Fluorescent Multiplex kits). Fresh whole brain was dissected from EGFP virus (rAAV2/EF1a-DIO-EGFP-L10a) infused *ADRB1-Cre* animals and rapidly frozen in liquid nitrogen, embedded in cryo-embedding medium and sectioned on a cryostat. 20  $\mu\text{m}$  sections were mounted on slides at  $-20^{\circ}\text{C}$ . All subsequent steps were performed according to manufacturer's instructions. Probes used: EGFP (400281-C3), Mm-*Adrb1* (449761).

**Fiber Photometry**—*ADRB1-Cre* mice were infused with AAV1/Syn-Flex-GCaMP6s-WPRE-SV40 virus (300nl) unilaterally into the DP using the coordinates described above. Optical cannulas and EEG/EMG headsets were installed as described above. Control mice were infused with eYFP expressing virus and installed with the same cannulas and headsets. All animals were allowed to recover after patch cord attachment for at least 3 days.

A rig for performing fiber photometry recordings was constructed following basic specifications previously described with modifications (Chen et al., 2015; Gunaydin et al., 2014). Briefly, a 473 nm LED (ThorLabs) was used as the GCaMP6s excitation source. A 405 nm LED was used as isosbestic control. Both LEDs were fed into a fluorescence mini-cube (Doric Lenses, Quebec) which reflected the LED signal through two patchcords into the mouse cannula. Commutators were placed between the patchcords which allows the animals to move freely during recording. Fluorescence signals were then reflected back from the mini-cube onto a photoreceiver (Newport 2151). The signal was output into a RZ5P Processor (Tucker-Davis Technologies), which allowed for real-time modulation and demodulation of the signal.

All fiber photometry data were subjected to the following minimal processing steps in MATLAB.  $dF/F$  indicates  $(\text{recorded fluorescence} - F_0)/F_0$ . For calculation of  $dF/F$  in the spontaneous sleep-wake state, trials were randomly started at the day time and lasted for 2–3 hours.  $F_0$  was defined as the median fluorescence over the trial. Different states were determined based on EEG/EMG scoring.  $dF/F$  value for each state was then calculated by averaging the value  $(dF/F^{473} - dF/F^{405})$  from the first 10 episodes of that state. For calculation of the ratio  $dF/F$  (ZT13–16): $dF/F$  (ZT1–4), photometry recording was carried out continuously from ZT12 (day 1) to ZT5 (day 2) in each trial.  $F_0$  was then defined as the median fluorescence from (ZT1–5 in day 2) of the same trial.  $dF/F$  (time window) is the summarization of all the values of  $(dF/F^{473} - dF/F^{405})$  within the indicated time window.

**Calcium imaging in DP explants**—*ADRB1-Cre* mice (either WT or mutant, 8~12 weeks) were infused with AAV1/Syn-Flex- GCaMP6s -WPRE-SV40 virus (300nl) unilaterally into the DP using the coordinates described above 2–4 weeks before slice preparation. Slice were prepared following the previously reported protocol (Ting et al., 2014). Briefly, animals were anesthetized under isoflurane and briefly perfused intracardially with 10 ml of ice-cold NMDG solution (92mM NMDG, 30mM  $\text{NaHCO}_3$ , 25 mM glucose, 20mM HEPES, 10mM  $\text{MgSO}_4$ , 5mM sodium ascorbate, 3mM sodium pyruvate, 2.5mM KCl, 2mM thiourea, 1.25mM  $\text{NaH}_2\text{PO}_4$ , 0.5mM  $\text{CaCl}_2$  (pH 7.3, 300 mOsm, bubbled with 95%  $\text{O}_2$  and 5%  $\text{CO}_2$ )). The brains were then quickly removed and placed into additional ice-cold NMDG solution for slicing. Coronal slices were cut using a

Leica VT1200S vibratome at 300  $\mu\text{m}$  thickness, and warmed to 36.5°C for 10 min. Slices containing DP were transferred to room temperature (22–24°C) HEPES holding solution containing 92mM NaCl, 30mM NaHCO<sub>3</sub>, 25mM glucose, 20mM HEPES, 5mM sodium ascorbate, 3mM sodium pyruvate, 2.5mM KCl, 2mM thiourea, 2mM MgSO<sub>4</sub>, 2mM CaCl<sub>2</sub>, and 1.25mM NaH<sub>2</sub>PO<sub>4</sub>, (pH 7.3, 300 mOsm, bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub>) for 1 – 2 hr.

After incubation, the slice were transferred to the recording chamber and constantly perfused with room-temperature (22–25 °C) recording solution containing 119mM NaCl, 2.5mM KCl, 1.25mM NaH<sub>2</sub>PO<sub>4</sub>, 24mM NaHCO<sub>3</sub>, 12.5mM glucose, 2mM CaCl<sub>2</sub>, and 2mM MgSO<sub>4</sub> (pH 7.3, 300 mOsm, bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub>) at a rate of 4 ml per minute.

An integrated microscope (nVista HD, Inscopix) was used to image the GCaMP signal from the slice. We chose this small microscope because the lens that collects the light can go directly into the perfusion buffer from the top to image the surface-layer cells, which are usually healthier and more accessible to the drug. In addition, the recording and data processing software are commercially available and user-friendly. Although the resolution of the images might be lower than those gathered by some confocal microscopes, it is sufficient for our purpose in this scenario. We used the data acquisition software (nVista, Inscopix) to acquire the images (a range of 6%–10% of LED intensity, Gain 2–3, 2.5–5fps). During each recording, the recording solution containing dobutamine (10 $\mu\text{M}$ ) was turned on and off at the indicated time point.

The video was then analyzed by the Inscopix Data Processing (Inscopix) software. Each video was processed with spatial crop, spatial filter, motion correction and dF/F calculation. Region of interests (ROIs, considered as a single cell) were manually selected based on the shape and dF/F changes throughout the recording. ROIs that exhibited short bursts of dF/F changes or fluctuations during the recording were analyzed. ROIs that showed steady decreases, increases or maintained constant F/F were excluded from further analysis. The dF/F changes were then aligned with the time window of dobutamine treatment and the cells were categorized into three groups (inhibition, unchanged and activation) based on the alignment (Figures S6A–S6C). Researchers were blinded to the genotype of the slice when processing and analyzing the data to ensure the same criteria applied to calculate both the WT and mutant cells.

**Electrophysiological recording in DP explants**—*ADRB1-Cre* mice (either WT or mutant, 3~4 weeks) were infused with AAV2/EF1a-DIO-EGFP-L10a virus (250nl) unilaterally into the DP one week before slice preparation. On the experiment day, animals were deeply anesthetized and then decapitated. The brains were removed quickly and placed into ice-cold cutting solution of the following composition: 234 mM sucrose, 28 mM NaHCO<sub>3</sub>, 2.5 mM KCl, 1.25 mM NaH<sub>2</sub>PO<sub>4</sub>, 0.5 mM CaCl<sub>2</sub>, 7 mM MgCl<sub>2</sub>, 7 mM glucose, 1 mM ascorbic acid, and 3 mM pyruvic acid, bubbled with 95% O<sub>2</sub>/5% CO<sub>2</sub> at pH 7.4. For each experiment, coronal sections (250  $\mu\text{m}$ ) were obtained with a vibratome and kept for 30 min at 37°C in oxygenated standard artificial CSF (ACSF): 130 mM NaCl, 24 mM NaHCO<sub>3</sub>, 3.5 mM KCl, 1.25 mM NaH<sub>2</sub>PO<sub>4</sub>, 1.5 mM CaCl<sub>2</sub>, 1 mM MgSO<sub>4</sub>, and 10 mM glucose, bubbled with 95% O<sub>2</sub>/5% CO<sub>2</sub> at pH 7.4. Slices were stored in modified interface

chamber for 30–40 min at 37°C and then maintained at room temperature until being transferred to the recording chamber in oxygenated standard ACSF: 130 NaCl mM, 24 mM NaHCO<sub>3</sub>, 3.5 mM KCl, 1.25 mM NaH<sub>2</sub>PO<sub>4</sub>, 1.5 mM CaCl<sub>2</sub>, 1 mM MgSO<sub>4</sub>, and 10 mM glucose, bubbled with 95% O<sub>2</sub> and 5% CO<sub>2</sub> at pH 7.4. The GFP<sup>+</sup> cells were observed with the aid of a fluorescence microscope (BX-50WI; Olympus) and visualized with a chilled charge-coupled device video camera (Dage-MTI) with a 40× water-immersion differential interference contrast objective.

Whole cell current clamp and voltage clamp recordings were performed from GFP<sup>+</sup> cells located LDT region. Patch pipettes with a resistance of 5–7 Mohm were pulled from glass capillaries (Sutter Instruments). For the detection of Action Potentials and spontaneous post synaptic currents (sEPSCs) pipettes were filled with (in mM) 144 K-gluconate, 0.2 EGTA, 3 MgCl<sub>2</sub>, 10 HEPES, 0.3 NaGTP and 4 Na<sub>2</sub>ATP (310 mOsm). RMP and input resistance was measured from each cell. Whole cell current and voltage clamp were obtained as it was described previously (Ardelt et al., 2013; Jayaraj et al., 2018). The input resistances of these cells were *Adrb1*<sup>+/+</sup> (489.3 ± 3.87, n= 42) and *Adrb1*<sup>+/-</sup> (502.6 ± 4.37, n=44). The rheobase was the minimum current injected that generated an action potential. Detection and measurement of spontaneous events were recorded for 2 to 3 min under the appropriate experimental configuration (baseline control, drug application). Event frequency and amplitude were determined by Clampfit 10.0. DL-2-Amino-5-phosphonopentanoic acid (DAP5, 50 μM, Tocris), and 6-cyano-7-nitroquinoxaline-2, 3-dione (CNQX, 10 μM, Tocris) were used to block NMDA and AMPA ionotropic glutamate receptors, respectively. Tetrodotoxin with citrate (TTX; Alomone) was used to inhibit voltage-gated Na<sup>+</sup> channels and applied at a final concentration of 500 nM.

**Optical Stimulation**—*ADRB1-Cre* mice were infused with rAAV5/EF1a-DIO-hCHR2(H134R)-eYFP virus (300nl) unilaterally into the DP using the above coordinates. Optical cannulas and EEG/EMG headsets were installed as described above. Control mice received eYFP virus injection and similar cannulas/headsets installation. During the stimulation experiment, the implanted cannulated fiber was attached to the LED light source integrated in the EEG/EMG preamplifier on the top of the skull (Pinnacle Technologies). This design enabled the animals to move freely. LED pulse width and frequency was controlled by external microcontroller (Arduino) and Sirenia Acquisition Software (Pinnacle Technologies). The current for LED was set at 300mA. LED pulses lasting for 10s at 10Hz with 10ms pulse width were generated every 5/10 minutes throughout the experiments. EEG/EMG was recorded simultaneously.

## QUANTIFICATION AND STATISTICAL ANALYSIS

Statistical parameters including sample sizes (N = number of animals per group), the statistical test used and statistical significance are reported in the Figures and Figure legends. All behavior trials involved age-matched littermates as controls where possible. Mice were randomly assigned before surgery to either Optogenetics/GCaMP or control groups. No outliers were excluded from analysis unless specified. Data is judged to be statistically significant when  $p < 0.05$ . In figures, asterisks denote statistical significance \* $p < 0.05$ , \*\* $p < 0.01$ .

< 0.01, \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ . All statistical analysis was performed using GraphPad PRISM 7 software.

## DATA AND CODE AVAILABILITY

All data and custom software that support the current findings are available upon request from the Lead Contact.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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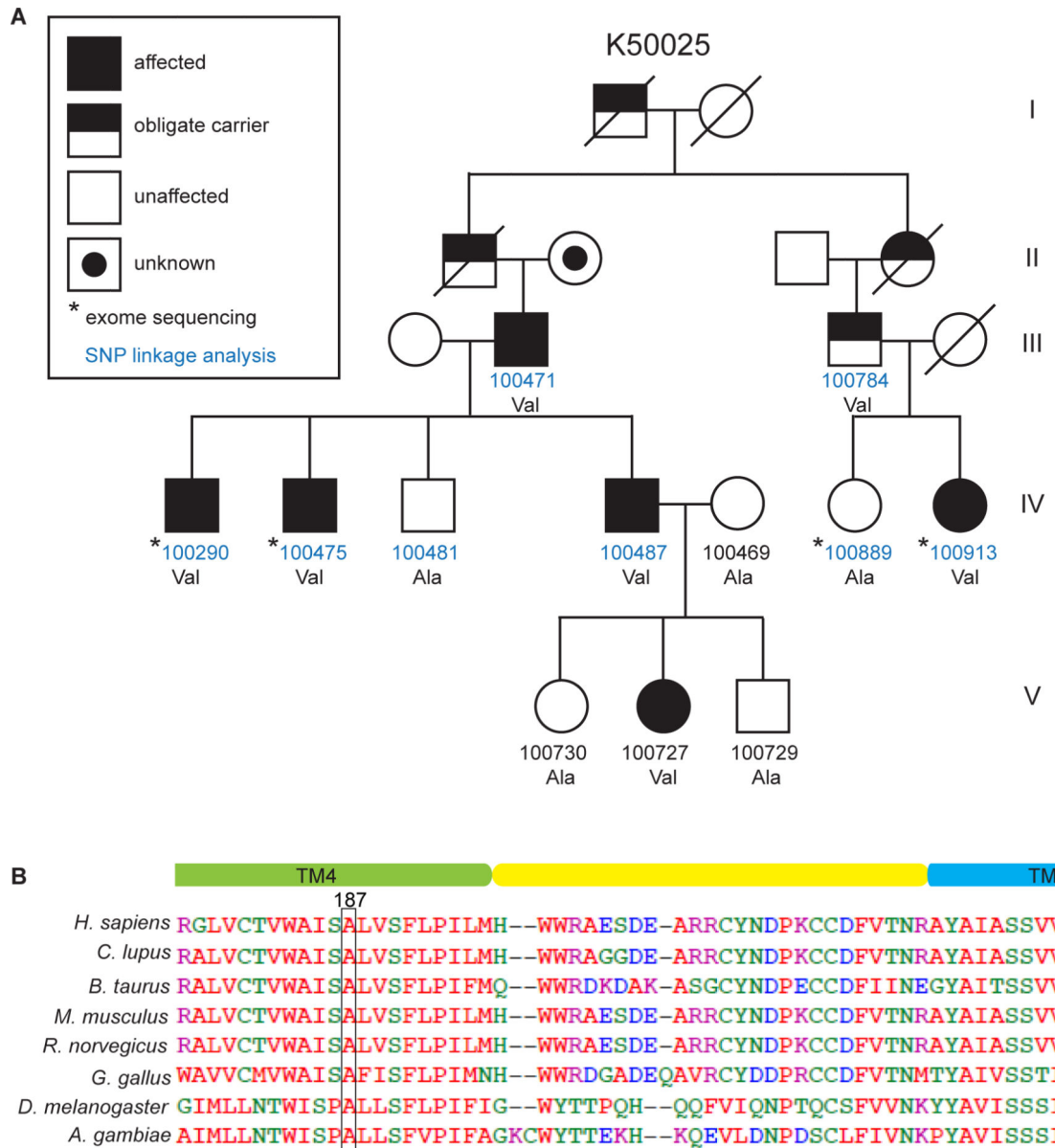
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**Highlight**

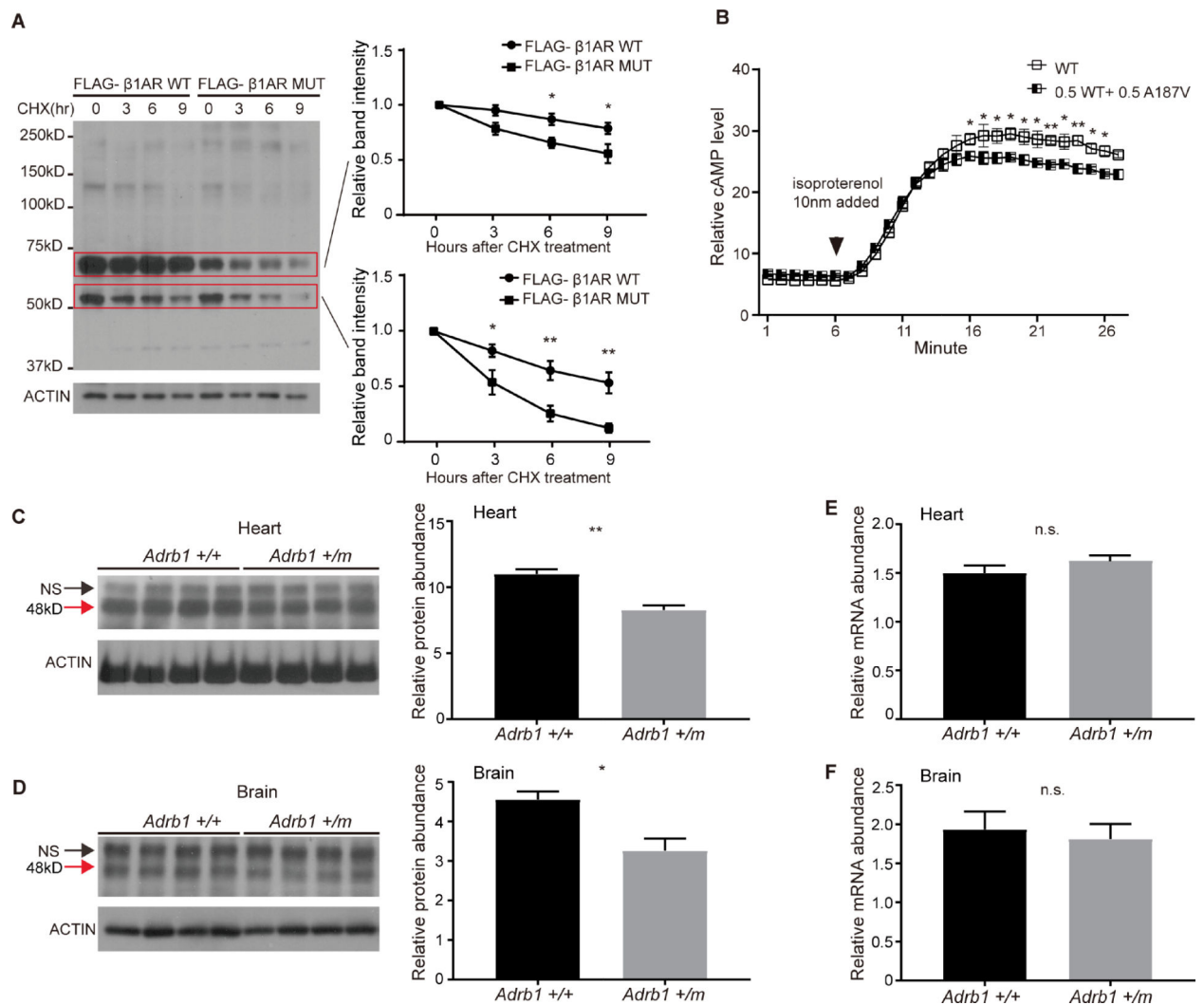
- A mutation in ADRB1 leads to natural short sleep trait in humans
- Mice engineered with same mutation have similar short sleep behavior as humans
- Activity of dorsal pons ADRB1<sup>+</sup> neurons associates with REM sleep and wakefulness
- Mutation increases the population activity of dorsal pons ADRB1<sup>+</sup> neurons



**Figure 1. An *ADRB1* mutation was identified in a natural short sleep family**

(A) Pedigree of a family (K50025) carrying the *ADRB1* mutation (A187V). See Table S1 for detailed sleep schedules.

(B) The  $\beta_1$ AR A187V mutation is located in transmembrane domain 4 and is highly conserved among invertebrate and mammalian species.



**Figure 2. The  $\beta_1$ AR A187V mutation alters protein stability and cAMP production**

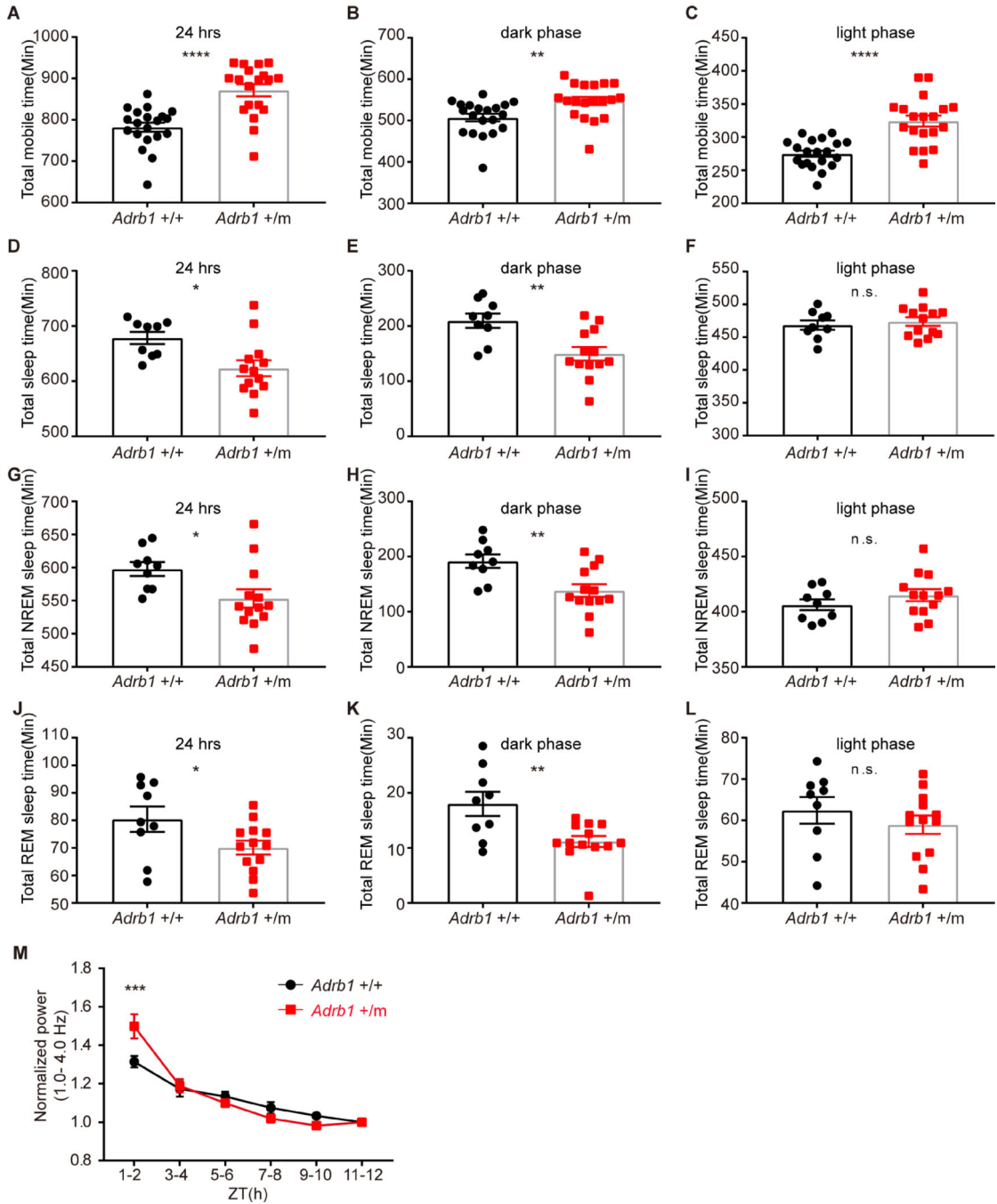
(A) Degradation assay of  $\beta_1$ AR in transfected HEK293 cells. Twenty-four hours after transfection, cells were treated with 100  $\mu$ g/ml CHX and harvested at indicated time points. Bands inside the two red boxes indicate  $\beta_1$ AR protein of different sizes in the SDS gel. Quantified results are shown on the right.  $\beta_1$ AR protein levels at the starting point (t=0 hours) were normalized to 1.

(B) The  $\beta_1$ AR A187V mutation confers altered downstream signaling output in response to isoproterenol in cultured cells. Heterozygous expression of  $\beta_1$ AR A187V and WT leads to a reduction in cAMP production.

(C and D) Western blotting results of endogenous  $\beta_1$ AR protein from the heart (C) and brain (D) lysates of *Adrb1*+/+, and *Adrb1*+/- animals. N=4 mice per group. NS, non-specific band. Quantified results are shown on the right.

(E and F) q-RT-PCR results of *Adrb1* mRNA normalized to *Actin* mRNA from the heart (E) and brain (F) tissues of *Adrb1*+/+ and +/- animals. N=4 mice per group.

\*  $P < 0.05$ , \*\* $P < 0.01$ , n.s.= not significant. Two way RM ANOVA, post-hoc Sidak's multiple comparisons test for (A) and (B). Two-tailed Student's  $t$ -test for (C)-(F). Error bars represent  $\pm$  SEM.



**Figure 3. The *Adrb1*-A187V mutation alters sleep/wake related behaviors in the FNSS mouse model**

(A-C) Total mobile time by ANY-maze within 24 hours (A), dark phase (B) and light phase (C) were calculated in *Adrb1* *+/+* (N=20) and *+/m* (N=19) mice.  
 (D-F) Total sleep time by EEG/EMG within 24 hours (D), dark phase (E) and light phase (F) were calculated in *Adrb1* *+/+* (N=9) and *+/m* (N=13) mice.  
 (G-I) Total NREM sleep time within 24 hours (G), dark phase (H) and light phase (I) were calculated in *Adrb1* *+/+* (N=9) and *+/m* (N=13) mice.



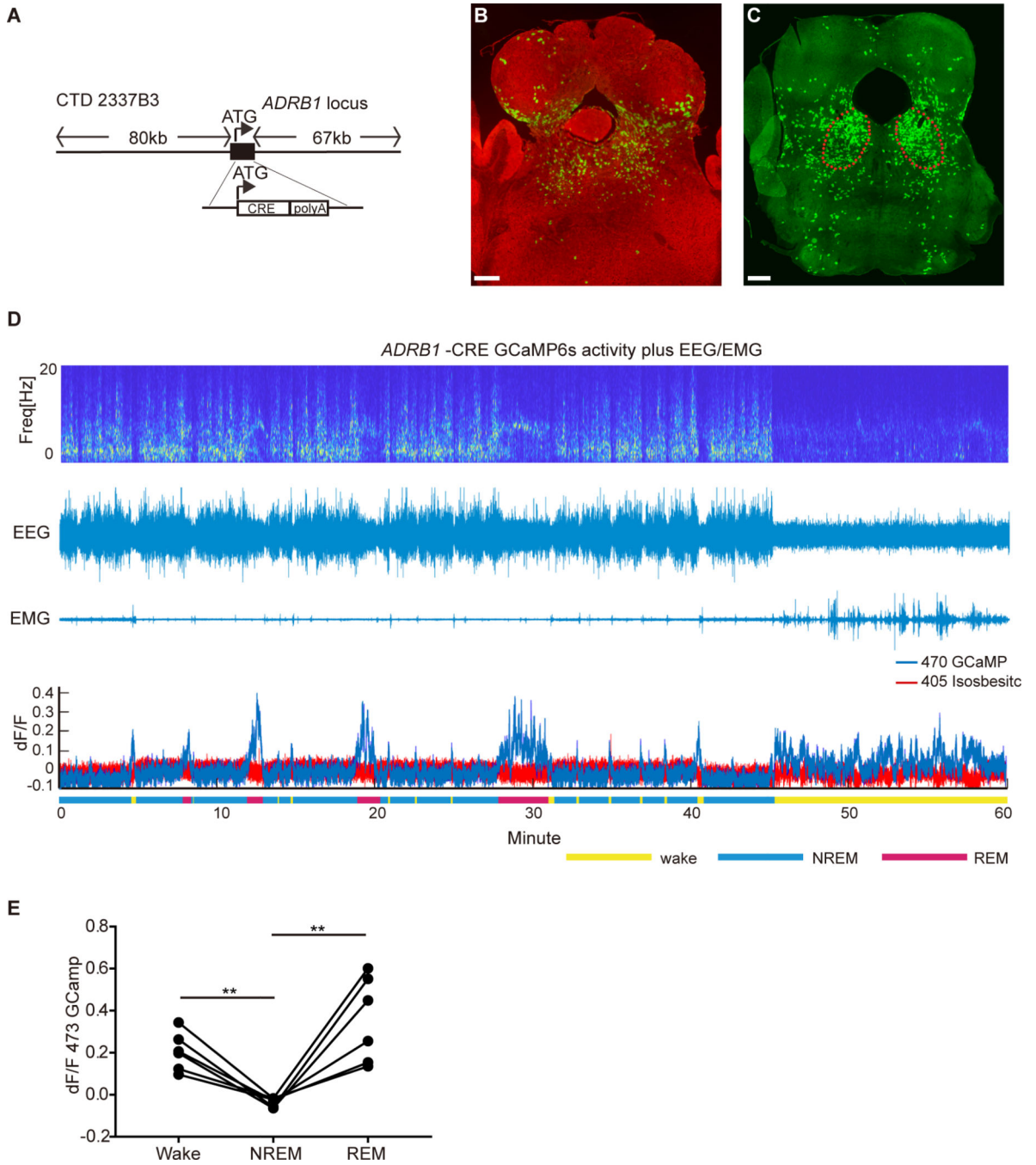
(J-L) Total REM sleep time during a 24 hour day (J), dark phase (K) and light phase (L) were calculated in *Adrb1* *+/+* (N=9) and *+/m* (N=13) mice.

(M) Time course of EEG delta power (1.0–4.0 Hz) during NREM sleep across the light phase for *Adrb1* *+/+* (N=9) and *+/m* (N=9) mice.

\* P<0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001, n.s.=not significant. Two-tailed Student's *t*-test for (A)-(L).

Two way RM ANOVA, post-hoc Sidak's multiple comparisons test for (M). Error bars represent  $\pm$  SEM.

See Figures S1 for NREM and REM sleep bouts and duration calculation.



**Figure 4. Population activity of *ADRB1*<sup>+</sup> neurons in DP is correlated with sleep/wake states**  
 (A) Tg (*ADRB1-Cre*) mice generated using BAC technology.  
 (B and C) Representative brain sections from *ADRB1-Cre;ROSA<sup>mT/mG</sup>* (B) and *ADRB1-Cre;loxP-flanked-ChR2-eYFP* (C) mice show high CRE activity in the DP area. Scale bar, 300  $\mu$ m. See also Figures S2, S3 and S4 for further characterization.  
 (D) Representative EEG power spectrogram, EEG, EMG and fluorescence trace across spontaneous sleep–wake states. See Figures S5A–S5C for the fiber photometry setup and Figure S5D for fluorescence during state transitions.

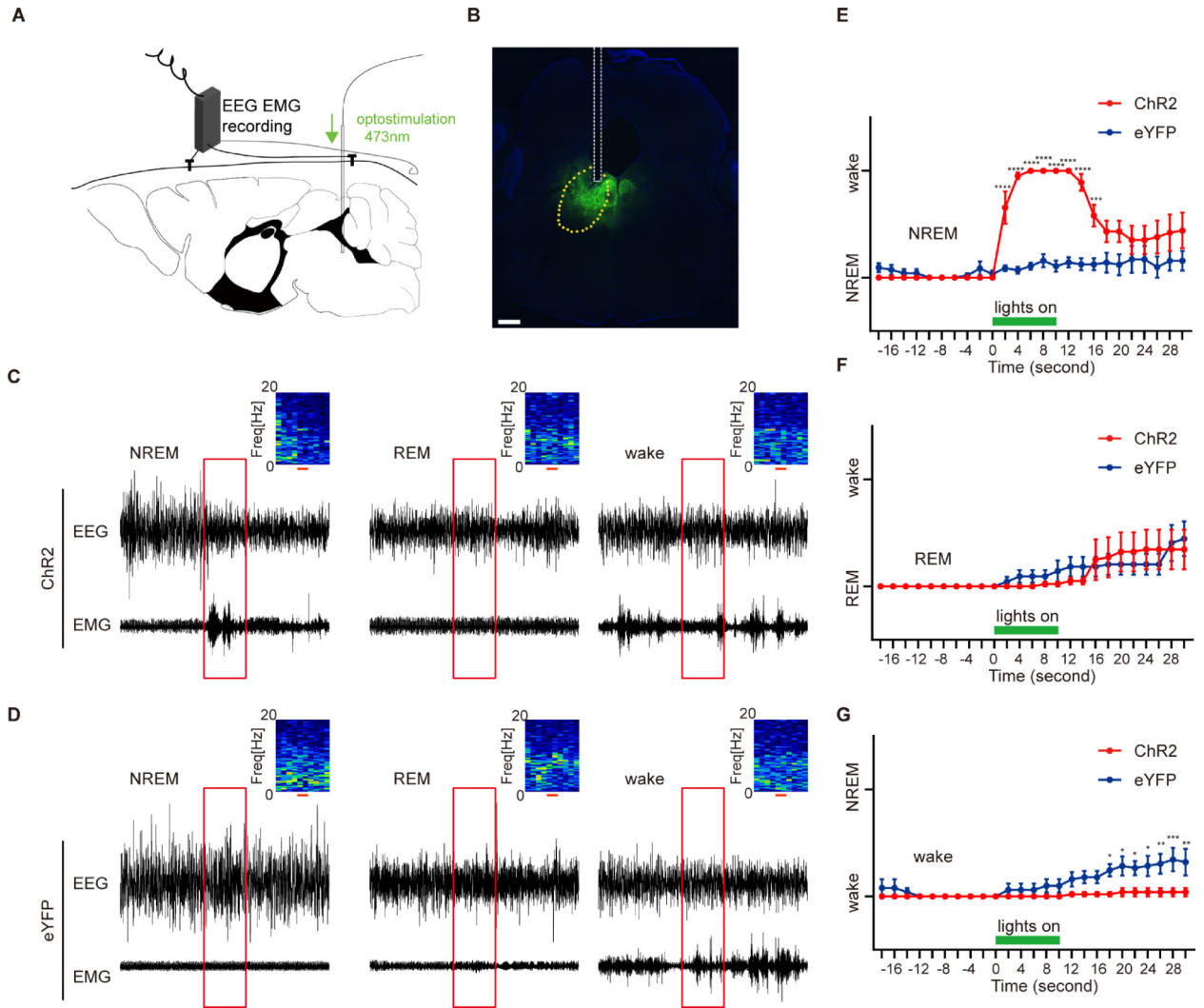
(E) Quantified fluorescence signal across different sleep–wake states from N=6 mice.  
\*\*P<0.01, two-tailed paired Student’s *t*-test.

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**Figure 5. *ADRB1*<sup>+</sup> neurons in DP are wake-promoting**

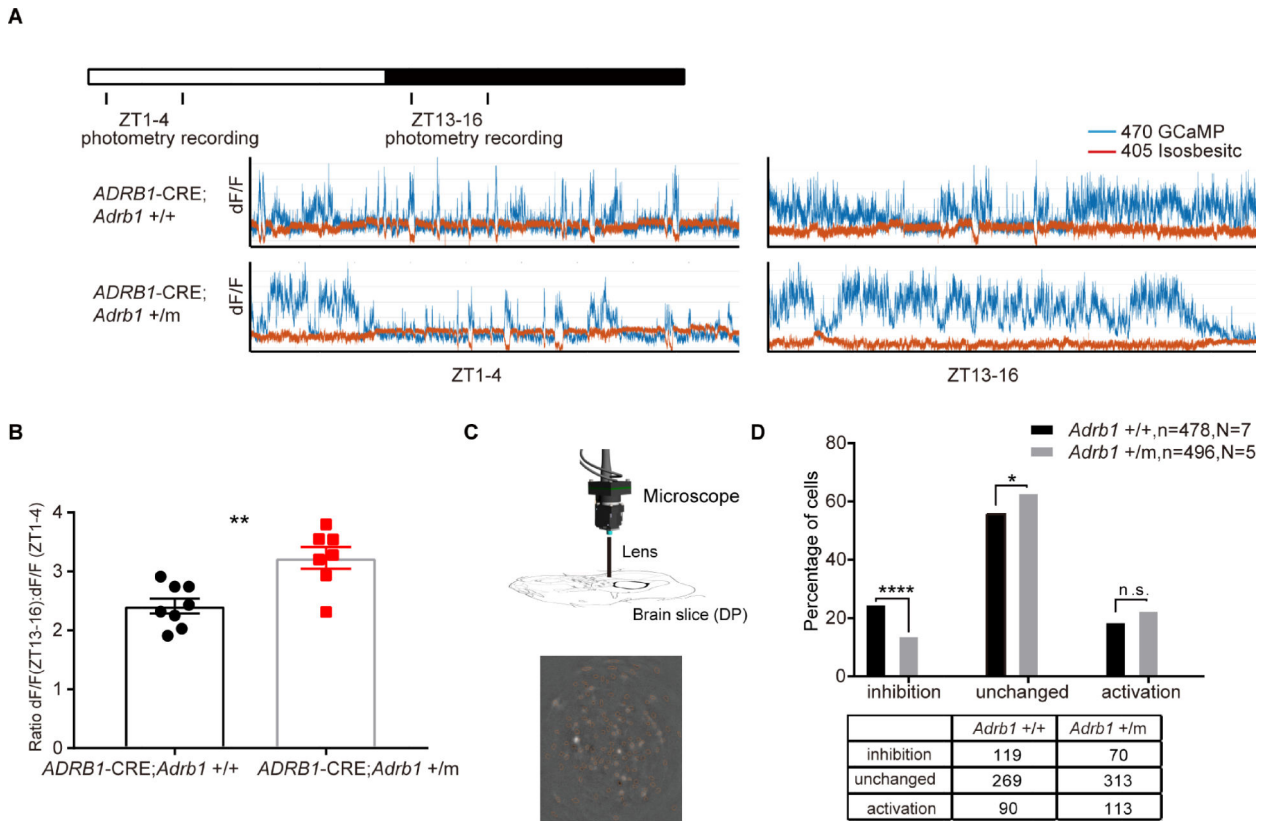
(A) Schematic of simultaneous optogenetic stimulation/EEG/EMG set up for recording of the sleep/wake states with stimulation of DP *ADRB1*<sup>+</sup> neurons.

(B) Representative slice showing viral expression and the placement of the fiber tip above the DP. Scale bar, 300 $\mu$ m.

(C and D) Representative EEG/EMG recordings of optogenetic trials (red box, 10 seconds) initiating from NREM, REM and wake states for Chr2-eYFP(C) and eYFP (D) mice. EEG power spectrograms are shown in the upper right. Red scales and boxes indicate 10 seconds light stimulation.

(E-G) Quantified results of NREM (E), REM (F) and wake (G) states for (C and D) from Chr2-eYFP (N=5) and eYFP (N=5) mice.

\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ . Two way RM ANOVA, post-hoc Sidak's multiple comparisons test for (E-G). Error bars represent  $\pm$  SEM.



**Figure 6. *Adrb1-A187V* mutation alters DP neural activity**

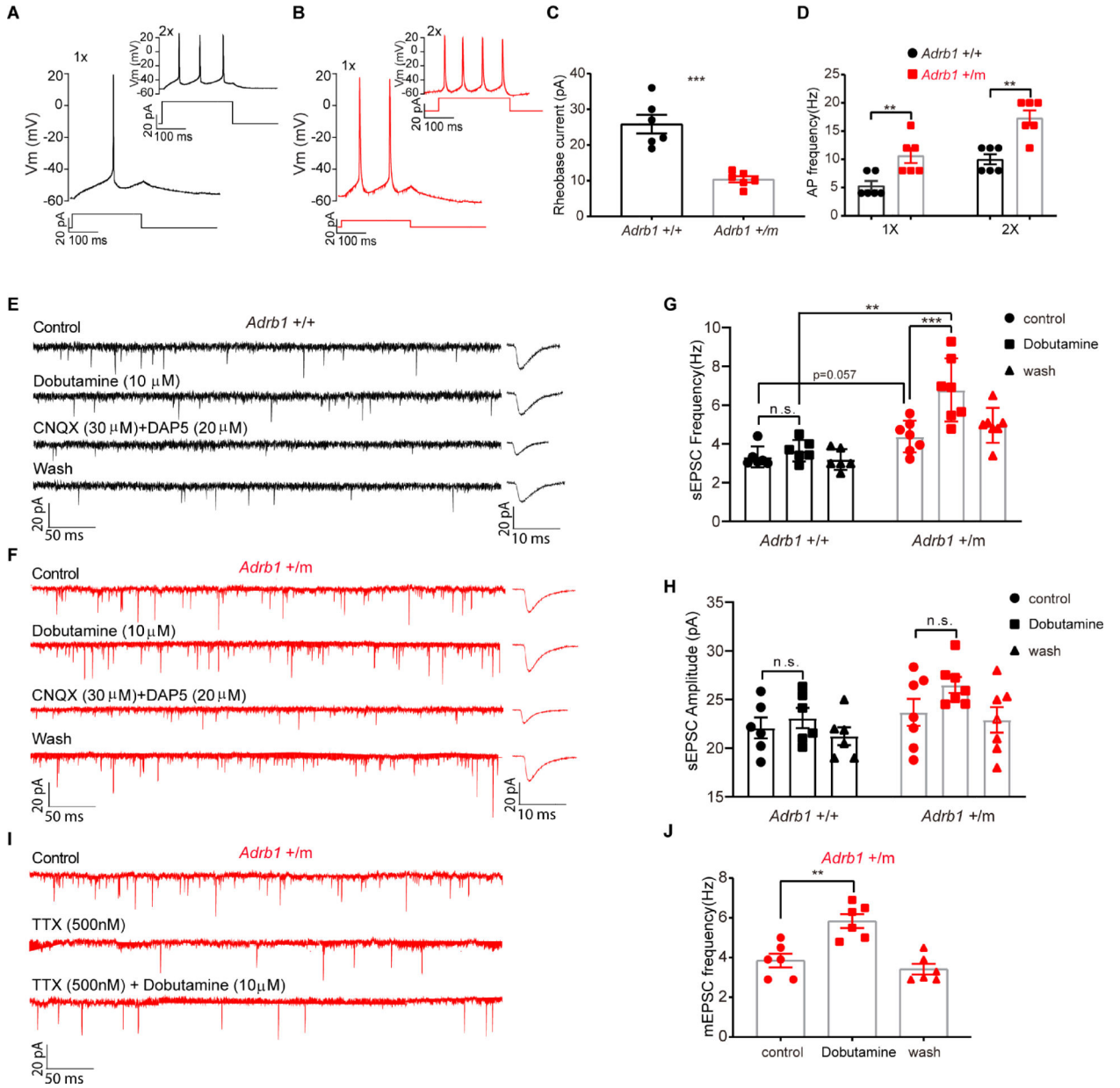
(A) Representative photometry fluorescence traces at ZT1–4 and ZT13–16 from *ADRB1-Cre; Adrb1<sup>+/+</sup>* (N=8) and *ADRB1-Cre; Adrb1<sup>+/-</sup>* (N=7) mice.

(B) Quantified ratio for (A).

(C) Schematic of calcium imaging set up for recording the activity of DP *ADRB1<sup>+</sup>* neurons in brain slices (upper panel). One example of calcium imaging of DP in the slice (lower panel).

(D) Percentage of *ADRB1<sup>+</sup>* cells that respond differentially to dobutamine treatment in both *Adrb1<sup>+/+</sup>* (N=7) and *Adrb1<sup>+/-</sup>* (N=5) brain slices. The bottom table shows the original cell numbers in different categories. See Figure S6 for the representative fluorescence traces of the cells in different categories.

\*\*P<0.01, \*\*\* P<0.001. Two-tailed Student's *t*-test for (B). Chi-square test for (D). Error bars represent  $\pm$  SEM.



**Figure 7. The *Adrb1*-A187V mutation changes the electrophysiological properties of DP *ADRB1*<sup>+</sup> neurons**

(A) Representative trace of a single action potential recorded from a DP *Adrb1* +/+ neuron in response to a 250ms, 20pA (1X) pulse from the resting membrane potential (RMP). The same neuron fired three action potentials in response to a 40pA (2X) pulse (inset). (B) Representative trace of two action potentials recorded from a DP *Adrb1* +/m neuron in response to a 10 pA (1X) pulse from RMP. A 20pA (2X) pulse in the same neuron produced four action potentials (inset). (C and D) Rheobase currents (C) and action potential frequency (D) were calculated for *Adrb1* +/+ (n=6) and +/m (n=6) neurons.



(E and F) Representative traces of spontaneous EPSCs recorded under voltage clamp conditions (at  $-60$  mV) with different treatments for *Adrb1*  $+/+$  (E) and *Adrb1*  $+/m$  (F) neurons.

(G and H) Frequency (G) and amplitude (H) before and after dobutamine ( $10\mu\text{M}$ ) treatment were calculated for *Adrb1*  $+/+$  ( $n=6$ ) and  $+/m$  ( $n=7$ ) neurons.

(I) Representative traces of mEPSCs recorded in one *Adrb1*  $+/m$  neuron with different treatments.

(J) mEPSCs frequency were calculated for *Adrb1*  $+/m$  ( $n=6$ ) neurons before and after dobutamine ( $10\mu\text{M}$ ) treatment in the presence of TTX.

\*\* $P<0.01$ , \*\*\*  $P<0.001$ , n.s. =not significant. Two-tailed Student's *t*-test for (C) and (D). Two way RM ANOVA, post-hoc Sidak's (genotype) and Tukey's (dobutamine treatment) multiple comparisons test for (G) and (H). One way RM ANOVA, post-hoc Tukey's multiple comparisons test for (J). Error bars represent  $\pm$  SEM.