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# **Posttraumatic stress disorder and incident infections: A nationwide cohort study**

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# **Abstract**

**Background—It** is unknown whether posttraumatic stress disorder (PTSD) is associated with incident infections. This study's objectives were to examine (1) the association between PTSD diagnosis and 28 types of infections and (2) the interaction between PTSD diagnosis and sex on the rate of infections.

**Methods—**The study population consisted of a longitudinal nationwide cohort of all residents of Denmark who received a PTSD diagnosis between 1995 and 2011, and an age- and sex-matched general population comparison cohort. We fit Cox proportional hazards regression models to examine associations between PTSD diagnosis and infections. To account for multiple estimation, we adjusted the hazard ratios using semi-Bayes shrinkage. We calculated interaction contrasts to assess the presence of interaction between PTSD diagnosis and sex.

**Results—**After semi-Bayes shrinkage, the hazard ratio (HR) for any type of infection was 1.8 [95% confidence interval (CI: 1.6, 2.0)], adjusting for marital status, non-psychiatric comorbidity, and diagnoses of substance abuse, substance dependence, and depression. The association between PTSD diagnosis and some infections ( $e.g.,$  urinary tract infections) were stronger among women whereas other associations were stronger among men (e.g., skin infections).

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Conflicts of interest None declared.

Data and computing code

For access to data, please contact the Department of Clinical Epidemiology at Aarhus University Hospital. The analytic code used for the analyses contained in this article is presented in the appendix.

**Conclusions—This study's findings suggest that PTSD diagnosis is a risk factor for numerous** infection types and that the associations between PTSD diagnosis and infections are modified by sex.

#### **Keywords**

Posttraumatic stress disorder; stress; trauma; infection

## **INTRODUCTION**

Posttraumatic stress disorder (PTSD) is a common psychiatric disorder which involves chronic severe stress occurring in response to a traumatic event and can have detrimental effects on physical health [1]. Epidemiologic and animal studies have found that stress may influence risk of infections [2–12]. A meta-analysis of prospective studies showed that psychological stress is associated with greater susceptibility to upper respiratory infections [3]. In two large nested case–control studies of Swedish women, loss of a family member was associated with increased risk of human papillomavirus infection [4]. Similarly, a nationwide cohort of women in Sweden found that loss of a family member was associated with increased risk of sexually transmitted infections (STIs) including condyloma, gonorrhea, chlamydia, syphilis, and genital herpes simplex [5]. This association was stronger among women who lost a spouse compared to women who lost a child, sibling, or parent, suggesting that loss of a spouse may be associated with a change in sex partners and increased risk of STIs. In two studies of men and women caring for a spouse with dementia, caregivers who were chronically stressed had deficits in their cellular and humoral immune responses to influenza vaccination compared with non-caregivers [6,7]. Furthermore, some clinical studies indicate that immune responses are delayed, weaker, and short-lived among distressed or anxious individuals compared to individuals who are less stressed [8–11]. Animal studies provide evidence that stress can dysregulate immune responses to pathogens and increase the risk of infections in mice [2,12].

Although the literature suggests that stress may be associated with infections, no study to date has examined the association between PTSD and a wide range of infections in a population-based sample. Thus a 2011 review of immune alterations among individuals with PTSD called for prospective studies to examine whether PTSD plays a role in the development of medical illnesses such as infections [13]. Furthermore, few previous studies have examined sex differences in the association between psychological stress and infections despite sex differences in biological responses to stress [14]. The current study fills these gaps in the literature by examining the associations of PTSD diagnosis with 28 different types of infections and the interaction between PTSD diagnosis and sex on the rate of infections, in a setting of universal healthcare with complete long-term follow-up.

# **METHODS**

Danish-born residents of Denmark were the source population for this study. As described elsewhere, we obtained PTSD diagnoses from a stress disorder cohort [15]. In brief, we created a national cohort of Danes coded with incident International Classification of

Diseases, Tenth Revision (ICD-10) PTSD diagnoses at a psychiatric treatment facility between 1 January 1995 and 31 December 2011 ( $n = 3,784$ ). For this study, persons with PTSD diagnoses from non-psychiatric treatment facilities were added to the cohort ( $n =$ 1,200). We then created a matched comparison cohort of Danish-born residents of Denmark without a PTSD diagnosis. Members of the comparison cohort were individually matched to PTSD cohort members by sex and age (year of birth) on the patient's PTSD diagnosis date at a ratio of 5 to 1 ( $n = 24,920$ ). Any unexposed matched individuals who were later diagnosed with PTSD were moved to the PTSD cohort. Their person–time before PTSD diagnosis was analyzed as unexposed person–time, and their person–time after PTSD diagnosis was analyzed as exposed person–time.

#### **Data Sources**

The Danish Civil Registration System (CRS) maintains demographic data and unique individual-level identifiers (central personal registry [CPR] numbers) assigned to all Danish residents since 1968, which can be used to link data across all Danish administrative and medical registries [16]. The CRS is updated daily with data on the vital status of each resident. We used the CRS to randomly select comparison cohort members from persons who met the matching criteria described earlier.

Since 1995, the Danish Psychiatric Central Research Registry (DPCRR) has recorded up to 20 diagnoses per treatment episode and the dates of all inpatient psychiatric stays and outpatient psychiatric visits [17,18]. Stress disorder diagnoses in the DPCRR were shown to have high positive predictive value for PTSD diagnoses [19]. We used the DPCRR to create the initial cohort of Danes with incident ICD-10 PTSD diagnoses.

The Danish National Patient Registry (DNPR) contains data on all inpatient hospitalizations in non-psychiatric hospitals and hospital outpatient and emergency room visits since 1995 [20]. The DNPR was used to identify additional patients with PTSD diagnosed only at nonpsychiatric hospitals, as well as patients diagnosed with various types of infections, including miscellaneous bacterial infections, miscellaneous viral infections, candidiasis and other fungal infections, parasitic infections, herpes simplex or zoster, human immunodeficiency virus (HIV), tuberculosis, atypical mycobacteria, bacteremia, sepsis, abscesses, skin infections, cellulitis, other skin infections (including carbuncle, furuncle, lymphadenitis, cutaneous abscesses, cysts, and dermatitis), eye infections, ear infections, central nervous system infections (except meningococcal disease), meningitis, gastrointestinal infections, intra-abdominal infections, viral hepatitis, heart infections (acute rheumatic fever, infectious pericarditis or myocarditis, and endocarditis), upper respiratory tract infections, influenza, pneumonia, other lower-respiratory tract infections, urinary tract infections, sexually transmitted diseases, male genital infections (prostatitis, orchitis, and epididymitis), female pelvic infections (salpingo-oophritis, uterine infections, and vulvovaginitis), obstetrical infections, septic arthritis, osteomyelitis, myositis, infectious complications of procedures (e.g., urinary catheter placement), and other infections or sequelae. Although we examined 34 types of infections, we do not present results for instances in which there were fewer than five cases of infection in either the PTSD cohort or comparison cohort. We present the results for 28 infection types.

We also used data from the DNPR to compute Charlson Comorbidity Index (CCI) scores at baseline, as a measure of the overall physical health of each study participant. Charlson conditions have been shown to have excellent positive predictive values in the DNPR [21,22]. The diagnoses used to construct the CCI score include myocardial infarction, congestive heart failure, peripheral vascular disease, cerebrovascular disease, dementia, chronic pulmonary disease, connective tissue disease, ulcer disease, mild liver disease, diabetes types I and II, hemiplegia, moderate to severe renal disease, diabetes with endorgan damage, any tumor diagnosis, leukemia, lymphoma, moderate to severe liver disease, metastatic solid tumor, and acquired immune deficiency syndrome (AIDS). ICD codes for all analytic variables are provided in eTable1, eAppendix 1.

#### **Analyses**

Participants were followed from the PTSD diagnosis date (for the exposed) or the date of their matched exposed individual's PTSD diagnosis date (for the unexposed) until the first diagnosis of each infection type in the study period, date of emigration, date of death, or 31 December 2011, whichever came first. We restricted the analyses to persons aged 16 years or older. We fit Cox proportional hazards regression models to examine individual associations of PTSD diagnosis with different infections. All models adjusted for marital status, physical comorbidities (CCI score), substance abuse and dependence diagnoses, and presence of a depression diagnosis at the time of PTSD diagnosis. These variables are potential confounders because previous studies have found these factors to predict PTSD and to also increase the risk of infections [23,24]. We further adjusted the hazard ratios (HRs) for each infection type using semi-Bayes shrinkage. This adjustment was used to account for multiple estimation because we examined the associations between PTSD diagnosis and a large number of infection outcomes [25,26]. This technique attenuates individual associations toward the overall mean in proportion to their variance, thus deemphasizing high-magnitude, imprecisely measured associations. This approach can help to improve statistical accuracy compared to conventional analyses when a large number of outcomes are under study and there is limited statistical precision of estimates [25]. It also can help avoid unproductive investigations of associations that are likely to be spurious. For semi-Bayes adjustment, the empirical mean of the natural logarithm of the hazard ratios derived from the data was 0.57 and we specified a true population variance of 0.281, implying a prior expectation that 95% of the HRs would lie between 0.62 and 4.98.

To the assess the presence of interaction between PTSD diagnosis and sex, we calculated the interaction contrast as a measure of the departure from additive effects [27]. Positive interaction contrasts describe the excess infection rate caused by positive interdependence (i.e., the synergy between PTSD diagnosis and sex that increases the infection rate). A negative interaction contrast indicates a protective or antagonistic interaction (i.e., the antagonism between PTSD diagnosis and sex that decreases the infection rate).

Infections that did not result in inpatient hospitalizations in non-psychiatric hospitals nor in hospital outpatient and emergency room visits would not have been recorded in the DNPR. Thus, we may have underestimated the true number of infections. Misclassification of infections may reduce the validity of the associations between PTSD diagnosis and

infections. We therefore conducted a bias analysis to assess the potential impact of differential misclassification of infections on the association between PTSD diagnosis and infections. Assuming no false positive diagnoses of infections, we used the formula IRR \*  $(s<sub>0</sub>/s<sub>1</sub>)$ , where IRR is the measured incidence rate ratio (as estimated by the HR), s<sub>0</sub> is the sensitivity of infection diagnoses among persons without a PTSD diagnosis, and  $s<sub>1</sub>$  is the sensitivity of infection diagnoses among persons with a PTSD diagnosis. The sensitivity of ICD-10 diagnoses of infections among patients with community-acquired infections in a Danish medical emergency department has been found to be  $0.80$  (s<sub>0</sub>) [28]. We calculated corrected IRRs assuming a sensitivity of ascertainment of infections of 0.85, 0.90, and 0.95 among persons with a PTSD diagnosis  $(s_1)$ .

We performed analyses using SAS, version 9.4. The study was approved by the Danish Data Protection Agency (record number 2012–41-0841) and by the Institutional Review Board at Boston University.

# **RESULTS**

Median follow-up time for the first incident infection overall was 4.5 years (interquartile range: 1.8, 8.9 years) in the PTSD cohort and 5.4 years (interquartile range: 2.2, 10 years) in the comparison cohort. The proportion of the PTSD cohort whose follow-up ended in death was 8%, in emigration was 0.9%, and in administrative censoring was 91.1%. The proportion of the comparison cohort whose follow-up ended in death was 4.5%, in emigration was 0.8%, and in administrative censoring was 94.7%. Table 1 presents the baseline characteristics of the PTSD and comparison cohorts. The majority of PTSD cohort members were female and younger than 60 years of age. A higher proportion of comparison cohort members were married or in a registered partnership than in the PTSD cohort. The PTSD cohort had higher proportions of members with diagnoses of anxiety disorders, depression, substance use and dependence, and a CCI score of one or greater, compared with the matched general population cohort.

Table 2 presents the original hazard ratios (HRs) and the semi-Bayes shrinkage estimates for 28 types of infections. The infection types are arranged in rows representing the increasing magnitude of the original HRs. We found that persons with a PTSD diagnosis were at increased risk of all the infections under study compared with persons without a PTSD diagnosis. The original HR of any infection was 1.8 (95% CI, 1.6, 2.0). As expected, semi-Bayes shrinkage attenuated estimates that were high-magnitude and imprecise. For example, the original HR for viral hepatitis was 3.1 (95% CI: 1.8, 5.4); its corresponding semi-Bayes estimate was smaller and more precise (semi-Bayes HR: 2.7; 95% CI: 1.7, 4.5). In contrast, pneumonia, which affected 269 subjects in the PTSD cohort, showed a moderate association with PTSD, measured with good precision (original HR=1.8, 95% CI: 1.6, 2.1); its semi-Bayes estimate was similar to the original estimate (semi-Bayes HR=1.8, 95% CI: 1.5, 2.2). Most hazard ratios for each infection type did not change much after semi-Bayes adjustment, but their 95% confidence intervals became narrower because the estimates were borrowing strength from the prior.

We stratified the infection rates by PTSD diagnosis and sex, and then calculated the interaction contrasts describing the interaction between PTSD diagnosis and sex on the rate of each type of infection (Table 3). The strongest evidence of an interaction between PTSD diagnosis and sex was found for urinary tract infections. There were an additional 208.2 cases of urinary tract infections per 100,000 person–years among women with a PTSD diagnosis compared with men with a PTSD diagnosis. We also found strong evidence of an interaction for skin infections, with 185.1 additional skin infection cases per 100,000 person–years occurring among men with a PTSD diagnosis, compared with women with a PTSD diagnosis.

The results of the bias analysis showed that at all evaluated sensitivity levels (sensitivity of ascertainment of infections  $= 0.80$  among persons without a PTSD diagnosis and sensitivity of ascertainment of infections  $= 0.85, 0.90,$  and 0.95 among persons with a PTSD diagnosis), associations remained between PTSD diagnosis and infections (corrected IRRs: 1.7, 1.6, and 1.5, respectively). This analysis suggests that our results remained robust against bias from differential misclassification of infections, assuming a valid bias model and accurate values assigned to the bias parameters.

# **Discussion**

This nationwide study is, to our knowledge, the first to examine associations between PTSD diagnosis and various types of infections using clinical diagnoses tracked during a long follow-up period. We found that PTSD diagnosis was associated with increased risk of infections, consistent with previous research documenting associations between stress and infections in the general population [3–5].

Our findings support a previous meta-analysis that found that psychological stress was associated with increased susceptibility to upper respiratory tract infections [3]. In our study, the rate of upper respiratory tract infections among persons with a PTSD diagnosis was two times the rate of such infections among persons without a PTSD diagnosis. Previous studies also documented associations between bereavement and sexually transmitted infections among women [4,5]. In line with this finding, the current study found a higher rate of sexually transmitted infections among women with a PTSD diagnosis compared with women without a PTSD diagnosis. Our study also contributes further to the literature by identifying novel associations between PTSD diagnosis and a wide range of additional infection outcomes, such as viral hepatitis, eye infections, and gastrointestinal infections.

Another novel contribution of this study is its identification of interactions between PTSD diagnosis and sex on the rate of infections. A previous meta-analysis found that sex did not modify the association between stress and upper respiratory infections [3]. However, our study found that women with a PTSD diagnosis had an additional 35.2 cases of upper respiratory tract infection per 100,000 person–years compared with men with a PTSD diagnosis. Different analytic approaches for assessing interactions may explain discrepant results. The meta-analysis relied on a meta-regression using the percentage of females among participants as an independent predictor and examined the effect size of this predictor. Our study calculated interaction contrasts as a measure of the departure from

additive effects. Our results indicate that further examination of the interaction between stress and sex in the association with infections is warranted.

Biologic mechanisms proposed for the association between psychological stress and infections include activation of the hypothalamic pituitary adrenal axis and sympathetic adrenal medullary axis, leading to the release of pituitary adrenal hormones that may contribute to dysregulated immune function [13,29,30]. Trauma exposure and PTSD are also associated with epigenetic changes that may lead to a greater number of unmethylated genes related to immune and inflammatory function. These unmethylated genes may induce the expression of altered immune function and enhanced inflammatory activity [31]. In addition to these biologic pathways, psychological stress can lead to adverse behavioral changes. For example, individuals experiencing high levels of psychological stress may engage in unsafe sexual practices or have poor hygiene, which increases risk of infections [32].

Several limitations should be kept in mind when interpreting our results. Our sample contained few cases of some infections (e.g., central nervous system infections and influenza), which limited our ability to conduct stratified analyses for these infection types. A validation study of infections among cancer patients found that the DNPR was suitable for monitoring infections requiring hospitalization among cancer patients [33]. However, detection bias may still be present in our study, *i.e.*, not all infections are recorded in the DNPR and infections might be more frequently diagnosed among individuals with a PTSD diagnosis compared to individuals without a PTSD diagnosis because PTSD patients may be in greater contact with medical care. Differential misclassification of the outcome may lead to overestimates of the association between PTSD diagnosis and infections. We conducted a bias analysis to examine this concern and found that differential infection misclassification would not substantially impact our results, assuming a valid bias model. In addition to potential misclassification of the outcome, there may also be non-differential misclassification of exposure. PTSD is a rare psychiatric disorder and thus the strength of bias from misclassification will be driven by specificity. In our previous validation study of stress diagnoses in the DPCRR, we investigated the occurrence of stress diagnoses among the comparison cohort and found that no one in the subsample of comparison cohort members included in the validation study had PTSD [19]. This suggests that the specificity of PTSD is 100%. Non-differential misclassification of exposure is likely to have a limited biasing effect since PTSD is rare and specificity is perfect. Another limitation of our study is that it is possible that depression and substance abuse/dependence measured at baseline may not truly precede the onset of PTSD. Thus, there may be some cases of depression and substance abuse/dependence which occurred after the onset of PTSD but before PTSD was diagnosed. Conditioning on a causal intermediate of the association between PTSD and infections is expected to bias the observed association toward the null [34]. The HR for the association between PTSD and all types of infections was 1.9 (95% CI: 1.8, 2.1) when we did not adjust for diagnoses of depression, substance use/dependence, and other potential confounders. After adjustment for confounders, the HR was 1.8 (95% CI: 1.6, 1.9). The HR estimates were similar before and after confounder-adjustment, which suggests that this potential limitation did not have a strong biasing effect. Another limitation of this study is the potential role of death as a competing risk. To assess the amount of bias that resulted from censoring due to death, we calculated the expected number of infections which were

missed because of censoring due to death. We first multiplied the total person–time that would have accrued if no one died with the infection rate to obtain the expected number of infections if no one died. We then subtracted the observed number of infections from the expected number of infections to obtain the expected number of infections that were missed because of censoring due to death. The expected number of overall infections which were missed because of censoring due to death was 68 infections in the PTSD cohort and 114 in the comparison cohort. The observed number of overall infections was 1239 in the PTSD cohort and 3715 in the comparison cohort. Censoring due to death may have resulted in fewer observed infections, but these missing infections would not have had a large biasing effect since there were relatively few missing infections due to censoring due to death compared to the observed number of infections in the PTSD and comparison cohorts.

A strength of our study is our access to a large cohort of individuals diagnosed with PTSD, which enabled us to examine many types of infections and to conduct stratified analyses. Another strength is that we reduced the potential for selection bias through the use of nationwide registries to identify subjects, with few exclusion criteria and little loss to followup. Classification of PTSD in the DPCRR, the registry from which we identified PTSD cohort members, proved accurate when validated against medical records, with a positive predictive value of 83% [19].

This study found that PTSD diagnosis is associated with an increased rate of infections in a nationwide cohort and that there are interactions between PTSD diagnosis and sex in the association with infections. Future studies should corroborate these findings and examine the mechanisms that underlie the observed associations between PTSD and infections. Another area for further investigation is the association of traumatic events that led to PTSD diagnoses with infections because outcomes might differ by trauma type.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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# **Table 1.**

Baseline characteristics of members of the study cohorts, Denmark, 1995–2011.





 $a$  Members of the comparison cohort were individually matched to PTSD cohort members by sex and age on the patient's PTSD diagnosis date at a ratio of 5 to 1.



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Abbreviations: CI, confidence interval; PTSD, posttraumatic stress disorder Abbreviations: CI, confidence interval; PTSD, posttraumatic stress disorder The infection types are arranged in rows representing increasing magnitude of the original HRs. The infection types are arranged in rows representing increasing magnitude of the original HRs.

Models were adjusted for marital status, comorbidity (CCI score), substance abuse and dependence diagnoses, and depression diagnosis. Limited to infections with at least 5 observed events in the PTSD Models were adjusted for marital status, comorbidity (CCI score), substance abuse and dependence diagnoses, and depression diagnosis. Limited to infections with at least 5 observed events in the PTSD cohort and comparison cohort. cohort and comparison cohort.



# **Table 3.**

Rates and interaction contrasts for sex differences in the association between PTSD and infections, Denmark, 1995-2011. Rates and interaction contrasts for sex differences in the association between PTSD and infections, Denmark, 1995–2011.





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Notes. CI = confidence interval; IC= interaction contrast; PTSD = posttraumatic stress disorder;  $PY = person\text{-}years$ . Notes. CI = confidence interval; IC= interaction contrast; PTSD = posttraumatic stress disorder; PY = person-years.

Women were used as the reference for the interaction contrast calculation. Women were used as the reference for the interaction contrast calculation. Analyses with fewer than 5 infection cases in either the PTSD or comparison cohort are not presented. Analyses with fewer than 5 infection cases in either the PTSD or comparison cohort are not presented.