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Impaired bidirectional synaptic plasticity in juvenile offspring following prenatal ethanol exposure

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Abstract

Background: The hippocampus is particularly vulnerable to the teratogenic effects of prenatal ethanol exposure (PNEE), and hippocampal structural and functional deficits are thought to contribute to the learning and memory deficits that are a hallmark feature of fetal alcohol spectrum disorders (FASDs).

Method: Sprague-Dawley dams were exposed to a liquid diet that contained EtOH (35.5% EtOH-derived calories) throughout gestation, and then PNEE juvenile (P21-28) male and female offspring were used for *in vitro* electrophysiological recordings. We examined long-term potentiation (LTP), long-term depression (LTD) and depotentiation in the medial perforant path (MPP) input to the dentate gyrus (DG) to determine the impact of PNEE on the dynamic range of bidirectional synaptic plasticity in both sexes.

Results: PNEE reduced the responsiveness of the DGs of male but not in female offspring, and this effect was no longer apparent when GABAergic signalling was inhibited. There was also a sex-specific LTD impairment in males, but increasing the duration of the conditioning stimulus could overcome this deficit. The magnitude of LTP was also reduced, but in both sexes following PNEE. This appears to be an increase in the threshold for induction, not in capacity, as the level of LTP induced in PNEE animals was increased to control-levels when additional conditioning stimuli were administered.

Conclusions: These data are the first to describe, in a single study, the impact of PNEE on the dynamic range of bidirectional synaptic plasticity in the juvenile DG in both males and in females. The data suggest that PNEE increases the threshold for LTP in the DG in both sexes, but produces a sex-specific increase in the threshold for LTD in males. These alterations reduce the dynamic range for synaptic plasticity in both sexes.

Introduction

Fetal alcohol spectrum disorders (FASDs) result from the consumption of alcohol during pregnancy and are the most common preventable cause of mental disability in the modern world. The rate of FASD in North America has been estimated at 1-5% although this number may underestimate the true prevalence (May et al., 2018; Popova et al., 2017). Surveys indicate that approximately 50% of women aged 15-44 consume alcohol, with reports of ~23% binge alcohol consumption, and 48% of pregnancies in this cohort are reported as unintended (Ahrnsbrak et al., 2016; Moos et al., 2008; Singh et al., 2010). Thus, alcohol consumption can occur when individuals are unaware of their pregnancy, and any alcohol exposure during a period of rapid fetal development has the potential to lead to persistent morphological and physiological impairments. These can include facial dysmorphology, as well as difficulties in social, emotional, and spatial processing (Guerra et al., 2009; Hannigan and Riley, 1988; Mattson and Riley, 1998; Patten et al., 2014; Riley et al., 2011; Riley and McGee, 2005). The presentation of FASD can be exceedingly complex as they are not only influenced by the pattern and amount of alcohol exposure, but also by maternal health and diet (Benz et al., 2009; May and Gossage, 2011).

Difficulties with learning and remembering are a key diagnostic feature of FASDs (Cook et al., 2016; Mattson and Riley, 1998), and this not only impacts academic performance, but can also lead to downstream difficulties in life. Learning and memory impairments have been replicated in various animal models of PNEE and have been related to teratogen-induced damage to the hippocampal region of the brain (Berman and Hannigan, 2000; Patten et al., 2014). Indeed there are reports of reduced cell numbers, dendritic atrophy, impairments in synaptic plasticity and altered neurogenesis in this area following PNEE (Gil-Mohapel et al., 2010). As such, the hippocampal network is an area of intense investigation in pre-clinical studies of FASDs, and restoration of its healthy structure and function by therapeutic intervention. Synaptic plasticity is the main biological candidate for a cellular mechanism underlying behavioural learning and memory processes. Long-term potentiation (LTP) is a form of synaptic plasticity where synaptic connections become stronger following the application of high frequency stimuli (HFS). Our previous work has provided evidence for sex differences in synaptic plasticity in the dentate gyrus (DG) subregion of the rat hippocampus. In both adolescent rats (Titterness and Christie, 2012), and adults (Patten et al., 2013c, 2013b), male PNEE offspring have reliably shown deficits in LTP *in vivo*. Conversely, changes have only been observed in adolescent females, where paradoxically, LTP has been shown to be enhanced (Patten et al., 2013d, 2013a; Titterness and Christie, 2012). Long-term changes in synaptic plasticity can also involve a weakening of synaptic efficacy, and this is referred to as long-term depression (LTD). Currently there is a paucity of data on how PNEE impacts LTD, which is a form of synaptic plasticity more readily observed using *in vitro* electrophysiology than *in vivo* (Pierrefiche and Olivier, 2017; Pinar et al., 2017). In the current study we use *in vitro* electrophysiology to study bidirectional synaptic plasticity (both LTP and LTD) in juvenile rats (*Rattus norvegicus*) following PNEE. We show for the first time that PNEE not only impacts LTD, but that it more significantly reduces bidirectional plasticity in the dentate gyrus of males than females.

METHODS

Animals & Breeding

All procedures were performed in accordance with the University of Victoria Institutional Animal Care Committee following the standards set by the Canadian Council for Animal Care.

Adult male and female Sprague-Dawley rats (*Rattus norvegicus*) were obtained from Charles River Laboratories (Quebec, Canada). Animals were housed in standard cages in colony rooms kept at 21°C and maintained on a 12-hour light-dark cycle. All animals had *ad libitum* access to standard solid rat chow and drinking water except when being administered Ethanol (EtOH) liquid diets. Nulliparous adult female rats were paired with adult male rats until sperm was present in a daily vaginal smear. Upon detection of sperm the female was immediately re-housed under standard, non-enriched conditions and randomly assigned to one of three prenatal diet conditions: PNEE, Pair-fed (PF) or Control. The date of sperm detection was designated as Gestational Day (GD) 1. All dams were weighed regularly on GD1, 7, 14 and 21. All animals had *ad libitum* access to drinking water regardless of prenatal diet condition.

Ethanol Diet Condition: Animals received *ad libitum* access to a nutritionally fortified liquid diet (Weinberg/Keiver high-protein liquid diet-experimental, Dyets Inc., No. 710324) containing 35.5% ethanol-derived calories (EDC). Dams were gradually introduced to this diet from GD1-3 and consumed the EtOH liquid diet until GD21, or the day before birth. Fresh diet was provided approximately 2 hours prior to the onset of the dark phase in the light cycle throughout this period. Following this animals were returned to a solid control chow diet throughout the remainder of parturition.

Pair-fed Diet Condition: Controlled access to a nutritionally fortified liquid diet (Weinberg/Keiver high protein liquid diet-control, no. 710109, Dyets Inc., Bethlehem, PA, USA) identical to the ethanol diet with the EDC isocalorically substituted with maltose-dextrin. In order to control for the stress associated with the consumption of a liquid diet in the ethanol group, dams assigned to the pair-fed (PF) condition received the same amount of food in g/kg/day as their weight-matched ethanol-exposed dams. PF dams were supplied with the pair-fed liquid diet from GD1-21, where on GD22, the liquid diet was replaced with standard solid rat chow which was provided throughout parturition. The PF diet group is a common control for the liquid diet model of PNEE, although the irregular feeding pattern of this group can lead to undue stress effects on the developing offspring and may lead to effects that make these animals an imperfect control. The results from these offspring are compared against the *ad libitum* control group and are included as supplementary data as supplementary table 2 and supplementary figure 1 and 2.

Control Diet Condition: Animals had *ad libitum* access to standard solid rat chow throughout the gestational and parturition period.

Dams gave birth on GD22 (date of birth: postnatal day (P) 0) and were left undisturbed for 24 hours. Litters were then culled to 12 (6 male and 6 female, where possible) on P1 and

dam and pup weight were recorded regularly throughout postnatal development at P1, 4, 9, 13, 17 and 21. On P21 pups were weaned into same-sex cages or 2-3 littermates until experimental use. Information about diets and electrophysiological supplies can be found in supplementary table 1.

In vitro electrophysiology

Male and female offspring (P21-28) from each prenatal diet condition were used to study bidirectional DG synaptic plasticity. Animals were deeply anesthetized with inhaled isoflurane and rapidly decapitated. Brains were quickly removed and cut into transverse slices (400 μ m) using a vibratome in cold artificial cerebrospinal fluid (aCSF; 125mM NaCl, 2.5mM KCl, 1.25mM NaH₂PO₄, 25mM NaHCO₃, 2mM CaCl₂, 1.3mM MgCl₂ and 1.4mM Dextrose) equilibrated with carbogen (95% Oxygen, 5% Carbon dioxide). Slices were then left to recover in warmed (32 \pm 0.5 $^{\circ}$ C), carbogenated aCSF for a minimum of 1hr prior to being transferred to the recording chamber for experimentation.

In recording chambers slices were continuously perfused with fresh, carbogenated and warmed (30 \pm 0.5 $^{\circ}$ C) aCSF and visualized with an upright microscope (Olympus BX50WI). Extracellular field excitatory postsynaptic potentials (fEPSPs) were evoked by lowering a concentric bipolar stimulating electrode (FHC, Bowdoinham, ME) in the medial perforant path (MPP) fibres located in the middle third of the molecular layer in the DG. The resulting fEPSPs were recorded by an electrode bathed in aCSF and housed in a glass micropipette placed in the dendritic arbour of the DG granule cells. fEPSPs were collected using an Axon Multiclamp 700B amplifier, digitized by an Axon Digidata 1440 and recorded using Clampex 10.5 software (Molecular Devices, CA). The electrode placement was optimized on a slice-by-slice basis using test pulses delivered every 5s. A minimum fEPSP amplitude of 0.7 mV was required for all slices. The stimulation intensity was reduced to 50% of that required to obtain the maximal response for all LTP experiments, and 70% of the maximal response for LTD experiments. For LTP experiments 10 μ M bicuculline methiodide (BIC; MJS Biolynx) was also included in the aCSF in order to block GABA_A receptors. The fEPSPs were evoked by stimulation pulses every 15s to establish a baseline level of responsiveness in the immediate slope of the fEPSP. Stability in the fEPSP slope (< 10% variation) was required for at least 20 minutes before conditioning stimuli were applied.

Measurement of Synaptic Efficacy

In order to determine whether PNEE affects presynaptic neurotransmitter release or fEPSP responsiveness, paired-pulse (PP) and input-output (I/O) experiments were conducted respectively. For paired-pulse experiments, two pulses were delivered in rapid succession (50ms) five times (15s inter-pair-interval). The average slope of the second fEPSP was divided by that of the first fEPSP slope to generate a ratio of the responses to the two pulses. I/O experiments consisted of measurements in the immediate fEPSP slopes in response to increasing stimulation intensity in the form of increasing pulse widths (from 30-300 μ s; 30s inter-stimulus intervals).

Long-Term Potentiation

LTP-inducing high-frequency stimulation (HFS) was delivered to the slice (4 trains of 50 pulses at 100Hz; 30s inter-train intervals; 0.24ms pulse width) and the fEPSP response was recorded for 60 minutes following HFS. The magnitude of post-tetanic potentiation (PTP) was evaluated as the average fEPSP slope for the first minute following HFS and the magnitude of LTP was determined as the average fEPSP slope for the last 5 minutes of the post-conditioning recording (55-60 min). In some experiments, LTP was saturated by delivering the HFS protocol twice.

Long-Term Depression

LTD was induced using low-frequency stimulation (LFS; $900 \times 1\text{Hz}$; 0.24ms pulse width) and the fEPSP response was then recorded for 60 minutes. The magnitude of short-term depression (STD) was evaluated as the average fEPSP slope for the first minute following LFS and the magnitude of LTD was determined as the average fEPSP slope for the last 5 minutes of the post-conditioning recording (55-60 min). For LTD saturation experiments the LFS was administered twice so that a total of 1800 pulses was given at 1 Hz.

Depotentiation

PNEE has been documented to affect the magnitude of depotentiation of previously induced LTP in other areas of the brain (An et al., 2013; An and Zhang, 2013; Kervern et al., 2015), however depotentiation has not been studied in the DG. For these experiments, LTP was induced as described above, and then LFS ($900 \times 1\text{Hz}$) was delivered 60 min following the induction of LTP. The magnitude of depotentiation was calculated as the difference between the average fEPSP slope at 55-60 min post-HFS and the slope recorded 25-30 min following application of the LFS.

Data & Statistical Analyses

Electrophysiological data were recorded with a Multiclamp 700B (Molecular Devices) and acquired and analyzed with pClamp 10.5 and Clampfit 10.5 (Axon Instruments) respectively. I/O curves were analyzed by a repeated measures 2-way ANOVA followed by Bonferroni multiple comparisons. For all recordings, the average fEPSP slope is depicted graphically for each minute (average of 4 responses). Student's t-tests were used to statistically compare magnitudes of PTP, STD, LTP, LTD and depotentiation by control and PNEE groups within each sex. All data are represented as the mean \pm the standard error of the mean (SEM). Effect sizes are represented as Cohen's d.

Results

Metrics for Dams and Litters

A total of 10 litters of Control offspring and 7 litters of Ethanol-exposed offspring were used for this study. Dams in the ethanol diet condition consumed on average $66.25\text{g} \pm 3.75\text{g}$ per day of the liquid diet, which equated to on average $13.57 \pm 0.39\text{ g/kg/day}$ ethanol consumed. The ethanol consumption values ranged between 11.58 g/kg/day to a maximum of 14.96 g/kg/day . This pattern and type of diet administration typically achieves blood alcohol

concentrations of between 80-180mg/dl (Christie et al., 2005; Patten et al., 2013c, 2013b, 2016; Uban et al., 2010).

As is shown in Table 1, there was a transient sex specific reduction in the body weight of male animals at P1 ($p = 0.0066$) and P3 ($p = 0.014$). This weight reduction was not observed in female offspring at any postnatal day.

Measures of Synaptic Efficacy

PNEE had no effect on the PP ratio in males (PNEE: 0.997 ± 0.0646 ; Controls: 1.027 ± 0.0315 ; $n_{\text{Control}} = 12$ slices, 5 animals, 2 litters; $n_{\text{PNEE}} = 11$ slices, 5 animals, 3 litters; $p = 0.568$) or females (PNEE: 1.044 ± 0.0271 ; Controls: 1.112 ± 0.0206 ; $n_{\text{Control}} = 14$ slices, 6 animals, 2 litters; $n_{\text{PNEE}} = 9$ slices, 7 animals, 3 litters; $p = 0.0647$) in normal aCSF (Figure 1). We also examined the PP ratio in aCSF that contained the GABA_A receptor antagonist BIC (10mM) and again found there was no difference in either males (PNEE: 1.199 ± 0.0496 ; Controls: 1.238 ± 0.0470 ; $n_{\text{Control}} = 8$ slices, 5 animals, 3 litters; $n_{\text{PNEE}} = 8$ slices, 5 animals, 3 litters; $p = 0.572$) or females (PNEE: 1.265 ± 0.0512 ; Control: 1.193 ± 0.0562 ; $n_{\text{Control}} = 7$ slices, 5 animals, 2 litters; $n_{\text{PNEE}} = 11$ slices, 5 animals, 3 litters; $p = 0.367$).

I/O curves were constructed by comparing the fEPSP slope relative to the pulse width of stimulation (0-300 μs). For all groups, there was a main effect of pulse width where increasing pulse width led to increased fEPSP slopes. As is shown in Figure 2, PNEE had an impact on the I/O curves in males when regular aCSF was used (Fig 2. A2) but not when BIC was used (Fig 2. B2). In normal aCSF for male slices, there was a significant main effect of prenatal diet ($F(1,16) = 8.219$, $p = 0.0112$; $n_{\text{Control}} = 12$ slices, 5 animals, 2 litters; $n_{\text{PNEE}} = 11$ slices, 5 animals, 3 litters). In normal aCSF, fEPSP slopes were reduced at pulse widths of 120 μs ($p = 0.0500$), 150 μs ($p = 0.0347$), 180 μs ($p = 0.0499$), 210 μs ($p = 0.0226$), 240 μs ($p = 0.0321$), 270 μs ($p = 0.0463$) and 300 ms μs ($p = 0.0529$) pulse widths. There were no main effect of prenatal diet in regular aCSF in females ($F(1,21) = 0.2159$, $p = 0.6470$; $n_{\text{Control}} = 14$ slices, 6 animals, 2 litters; $n_{\text{PNEE}} = 9$ slices, 7 animals, 3 litters) or in either males ($F(1,14) = 0.0002206$, $p = 0.9884$; $n_{\text{Control}} = 8$ slices, 5 animals, 3 litters; $n_{\text{PNEE}} = 8$ slices, 5 animals, 3 litters) or females ($F(1,16) = 0.00001620$, $p = 0.9968$; $n_{\text{Control}} = 7$ slices, 5 animals, 2 litters; $n_{\text{PNEE}} = 11$ slices, 5 animals, 3 litters) when BIC was present in the aCSF.

Long-Term Potentiation

The magnitude of PTP (post-conditioning minute 0-1) immediately after 1xHFS was unchanged by PNEE in either sex however the magnitudes of LTP (post-conditioning minutes 55-60) were reduced in both females (LTP: 29.67 ± 7.66 %; $n = 11$ slices, 5 animals, 3 litters; $p = 0.000756$) and males (LTP: 34.89 ± 7.98 %; $n = 8$ slices, 5 animals, 3 litters; $p = 0.0067$) compared to same-sex controls (LTP_{Female}: 74.82 ± 7.53 %; $n = 7$ slices, 5 animals, 2 litters; LTP_{Male}: 91.81 ± 17.18 %; $n = 8$ slices, 5 animals, 3 litters; Figure 3). The effect size for the reduction in the magnitude of LTP was found to be large in females ($d = 1.92$) and in males ($d = 1.06$).

In order to assess whether the deficits in LTP could be a result of PNEE-induced changes in the threshold necessary for the induction of LTP, we delivered a second bout of HFS

(2xHFS) in PNEE slices (Figure 4). The use of 2xHFS led to a significant increase in the magnitude of LTP in PNEE females (LTP_{2xHFS} : 63.59 ± 7.92 %; $n = 16$ slices, 5 animals, 2 litters; $p = 0.0051$) and in males (LTP_{2xHFS} : 94.76 ± 12.43 %; $n = 13$ slices, 7 animals, 3 litters; $p = 0.0230$) as compared to the magnitude of LTP induced by 1xHFS in these offspring. The effect size of the increase in the magnitude of LTP by 2xHFS was large in PNEE females ($d = 2.17$) and in PNEE males ($d = 1.15$). The magnitude of LTP induced by 2xHFS in PNEE offspring of both sexes did not differ from the magnitude of 1xHFS LTP in control females ($p = 0.318$) and males ($p = 0.920$).

Long-Term Depression

The magnitude of STD (post-conditioning minute 0-1) was unaffected by PNEE in either sex, however the magnitude of LTD (post-conditioning minutes 55-60) was reduced by PNEE in male offspring ($LTD_{control}$: -24.89 ± 4.76 %; $n = 12$ slices, 5 animals, 2 litters; LTD_{PNEE} : -10.83 ± 3.33 %; $n = 11$ slices, 5 animals, 3 litters; $p = 0.0257$; Figure 5). The effect size of the reduced magnitude of LTD in PNEE males was large ($d = 0.999$). In contrast there was no effect of PNEE on LTD in females ($LTD_{control}$: -28.46 ± 3.56 %; $n = 14$ slices, 6 animals, 2 litters; LTD_{PNEE} : -27.90 ± 5.46 %; $n = 9$ slices, 7 animals, 3 litters; $p = 0.932$). The prolonged LFS ($1800 \times 1\text{Hz}$) did elicit greater magnitudes of LTD in PNEE males (LTD_{1800} : -32.66 ± 6.86 %; $n = 5$ slices, 3 animals, 3 litters; Figure 6) as compared to the magnitude of LTD elicited by $900 \times 1\text{Hz}$ in this same condition ($p = 0.0288$).

Depotentiation

Depotentiation is the reversal of HFS-induced LTP by LFS. The magnitude of depotentiation was not found to be affected by PNEE in females (Control: 45.19 ± 11.94 %; $n = 7$ slices, 6 animals, 2 litters; PNEE: 37.68 ± 8.81 %; $n = 9$ slices, 8 animals, 2 litters; $p = 0.622$; Figure 7) nor in males (Control: 56.39 ± 9.65 %; $n = 6$ slices, 4 animals, 2 litters; PNEE: 48.04 ± 6.89 %; $n = 8$ slices, 6 animals, 3 litters; $p = 0.501$; Figure 4).

Discussion

This study elucidates how PNEE impacts the dynamic range of bidirectional synaptic plasticity in the DG *in vitro* in both males and female juvenile offspring. We show that bidirectional synaptic plasticity in the DG is differentially impacted in juvenile males and females by PNEE. Our initial findings in our I/O experiments indicate a sex-specific reduction in postsynaptic responsiveness in males that is overcome by inhibition of GABA_A receptors. This suggests that following PNEE there may be less excitation, or more inhibition, in the DG of male animals. As with our previous studies using the liquid diet model of PNEE, we report reduced magnitudes of LTP in the DG for males, however in the present study we found that both male and female PNEE offspring display 50% less LTP than sex-matched controls. These LTP impairments were however overcome when an additional bout of HFS was used to induce LTP in both sexes, indicating a change to the threshold necessary to induce LTP in PNEE offspring. With respect to the LTD experiments we provide the first report of a sex-specific reduction in the magnitude of LTD in males in the DG. As with the LTP evaluated in this study, the PNEE-induced changes to LTD could be overcome by delivering prolonged LFS ($1800 \times 1\text{Hz}$). LTD was unaffected by PNEE in

females regardless of the duration of the LFS. While depotentiation was unaffected by PNEE in either sex, together this study suggests a sensitivity of the induction mechanisms to teratogens *in utero*.

Changes in the (I/O) curve to MPP stimulation in the male PNEE offspring suggest that there is a sex-specific decrease postsynaptic excitability in these animals. This effect of PNEE in the males is no longer apparent under GABA_A inhibition by BIC (Fig 2B2) which together indicate that PNEE may lead to a change in the delicate balance between excitation and inhibition in males only. Following ethanol gavage (6g/kg/day) from GD8-20 there is a report of a similar reduction in the I/O relationship in young (P25-32) male PNEE rat offspring (Krahl et al., 1999) in the CA1 region. In this study, there was no significant effect of PNEE at a lower ethanol dose (4g/kg/day), nor in adult (P63-77) animals. Curiously, the altered I/O relationship was not accompanied by a LTP deficit in the slices generated from young male offspring. A similar result was obtained in the CA1 region of adult male guinea pig offspring after PNEE (gavage ethanol at 4g/kg/day from GD2-67) where increasing stimulus intensities resulted in reductions in the resulting fEPSP amplitude *in vivo* (Richardson et al., 2002). In this study however PNEE prevented the induction of CA1 LTP. The effect in males in normal aCSF (e.g. LTD experiments) has significant implications for the rest of this body of work because it shows that DG slices from male PNEE offspring respond differently to increasing stimulus durations than do slices from Control and female PNEE animals. The effect of PNEE on the I/O relationship does not account for the deficits in LTP observed in both males and females, as these experiments are carried out under GABA_A inhibition, and in these conditions the I/O response curve for males identical to that observed in Control animals.

As we have recently noted in a review (Fontaine et al., 2016), unlike in the CA1 region, PNEE consistently impairs LTP in the DG and the data from the present study further supports this assessment. It is important to note that unlike some of our previous work *in vivo* (Patten et al., 2013d, 2013a; Titterness and Christie, 2012), we did not see sex differences in DG LTP *in vitro*. It is interesting that the DG LTP observed *in vivo* in slightly older female juveniles (P30-35) following PNEE was enhanced. It is also notable that *in vivo*, LTP in control females was reduced in this study compared to control males (Titterness and Christie, 2012). This effect that has also been observed in studies by others (Maren, 1995; Maren et al., 1994). Damage to the structure (Hughes et al., 1998; Nixon et al., 2004) and function the NMDAR (Lee et al., 1994; Savage et al., 1991, 1992; Spuhler-Phillips et al., 1997) by PNEE is reported in the literature and likely plays a role in the reduction of LTP observed in the present study, as this HFS paradigm is routinely recognized as inducing NMDAR-dependent plasticity in the DG. The magnitude of LTP in both sexes was restored to control-levels by the delivery of an additional HFS, indicating that PNEE increases the threshold for LTP in the DG. Indeed, a lack of sex differences in the effect of PNEE on learning and memory behaviours is not uncommon (Patten et al., 2016), although the absence of sex specific impacts following PNEE likely depends on the task used, metrics analyzed and age of the offspring (Berman and Hannigan, 2000; Patten et al., 2014).

While the use of juvenile offspring is not only important in the world of clinical FASDs, it also allows a unique window in the study of synaptic plasticity pertaining to LTD. The

magnitude of LTD is classically age-dependent in rats (as reviewed in (Pinar et al., 2017)) making it challenging to study in adult animals. As such, this is the first study to assess sex differences in DG LTD in juvenile offspring following PNEE. Similar to our previous work *in vivo*, juvenile males were vulnerable to the effects of PNEE with respect to DG LTD. This type of LTD in the DG has been previously found to not dependent on NMDARs (Pöschel and Manahan-Vaughan, 2007; Trommer et al., 1996; Wang et al., 1997). Given that this LTP is likely NMDAR-dependent and we see no sex differences whereas in LTD we see specific impairments in males, it is likely that this LTD is also not NMDAR-dependent. As with LTP, the LTD deficits in male PNEE offspring could be overcome by prolonged LFS, evidence of a sex-specific shift for LTD induction in males and that the saturation point for LTD is unaffected by this teratogen exposure.

Theoretically developing male and female offspring have an equal likelihood to be exposed to ethanol in the gestating female rat, which raises the question as to why we observe different effects of PNEE on bidirectional synaptic plasticity between the sexes as juveniles. There are differences between the sexes in circulating sex hormones during early development and sex hormones can be synthesized in a variety of brain regions, including the hippocampus (see (McCarthy, 2008, 2009) for review). It is possible that the aromatization of testosterone to estradiol involved in masculinizing the male brain may play a role in vulnerability to EtOH-exposure during this time. In immature neurons in the developing brain GABA plays a dramatically different role than it does in mature neurons of the adult brain. In immature neurons, the chemical gradient drives chloride ions outwards, leading to depolarization of the membrane and opening of voltage-gated receptors such as those for calcium, when GABA receptors are activated (Rivera et al., 1999). Estradiol in fact enhances these GABA responses by increasing calcium flux through voltage-gated LTCCs, which is important in normal neural development for cell differentiation and synaptic integration (Ganguly et al., 2001). Given that EtOH is a positive allosteric modulator of the GABA receptor (specifically of GABA_A), and is delivered throughout the gestation period it is possible that to coincidence of the actions of estradiol and EtOH on GABA receptors in the male brain in particular could contribute to the apparent vulnerability of this sex to impairments in bidirectional synaptic plasticity in the DG and possibly reduced excitability of this area as suggested by this work. Direct agonism of GABA_A by muscimol combined with estradiol has been shown to lead to increased hippocampal cell death, an effect which could be prevented by blockade of LTCCs (Nuñez et al., 2003; Nunez and McCarthy, 2003).

Unlike previous studies we found no effect of PNEE on the magnitude of depotentiation in either sex. In the CA1 region, PNEE has been reported to lead to imbalanced bidirectional synaptic plasticity, resulting in reduced magnitudes of depotentiation in adolescent (P35) male rats (An et al., 2013), and enhanced depotentiation in females (An and Zhang, 2013) *in vivo*. The molecular mechanisms underpinning depotentiation are thought to overlap somewhat with those of LTD from a baseline condition, or de novo LTD, and potentially involve different phosphorylation states of the gluA1 subunit of the AMPAR (Lee et al., 2000, 2003, 2010). While we did not directly examine the mechanisms responsible for depotentiation, we would anticipate that if the same systems were responsible for de novo LTD that PNEE males would display deficits in the form of plasticity, which was not the case.

The PF group has commonly been used as a second control group in the study of PNEE with liquid diets, though it is clear that these animals go through gestation under dramatically different conditions. Dams in the EtOH condition have ad libitum access to the liquid diet and may freely consume as much as desired in 24 hours before fresh diet is supplied by the experimenter. The amount of diet consumed by these dams is tracked daily and is yoked to paired PF dams, taking into account any differences in their relative body weights. The goal of the PF group is to control for the effects of reduced food intake by the PNEE group. In reality, PF dams typically eat all of their allotted diet within short period of time after delivery (1-2 hours) and are then are fasting for the following 22-23 hours (Gallo and Weinberg, 1981; Rao and Larkin, 1987). Furthermore, EtOH consumption itself alters nutrient absorption including placental function and the delivery of nutrients and vitamins to the developing fetus (Bode and Bode, 2003; Dreosti, 1993; Weinberg, 1984). This change to the pattern but not the amount of diet consumed or the function of the digestive and placental systems can lead to unanticipated changes in hippocampal outcomes that are likely to be mechanistically different to those caused by PNEE (Helfer et al., 2014; Morgane et al., 2002). In the present study, we found that pair-feeding did not significantly affect postnatal weights in either males or females however these offspring did display deficits in LTP but not in LTD. In slightly older animals (P30-35) we have shown that PF offspring show disproportionately greater magnitudes of CA1 LTD as compared to both PNEE and ad libitum controls in males and show an altered pattern of this LTD in response to stress in a sex-specific fashion (Titterness and Christie, 2008). Pair-feeding is a complex form of undernutrition, fasting and prenatal stress that is becoming recognized as an imperfect dietary control in the study of PNEE with liquid diets as it can lead to unanticipated impairments distinct from those caused by PNEE.

The present study is the first to directly examine the dynamic range of bidirectional synaptic plasticity in the juvenile DG following PNEE. We found that male LTP and LTD are reduced in magnitude by PNEE while in females only LTP was affected. The delivery of additional CS to examine the range of plasticity allow the interpretation that these deficits are due to shifts in the thresholds for bidirectional plasticity in males and in synaptic potentiation alone in females, highlighting the need for this added level of depth in the study of synaptic plasticity following PNEE.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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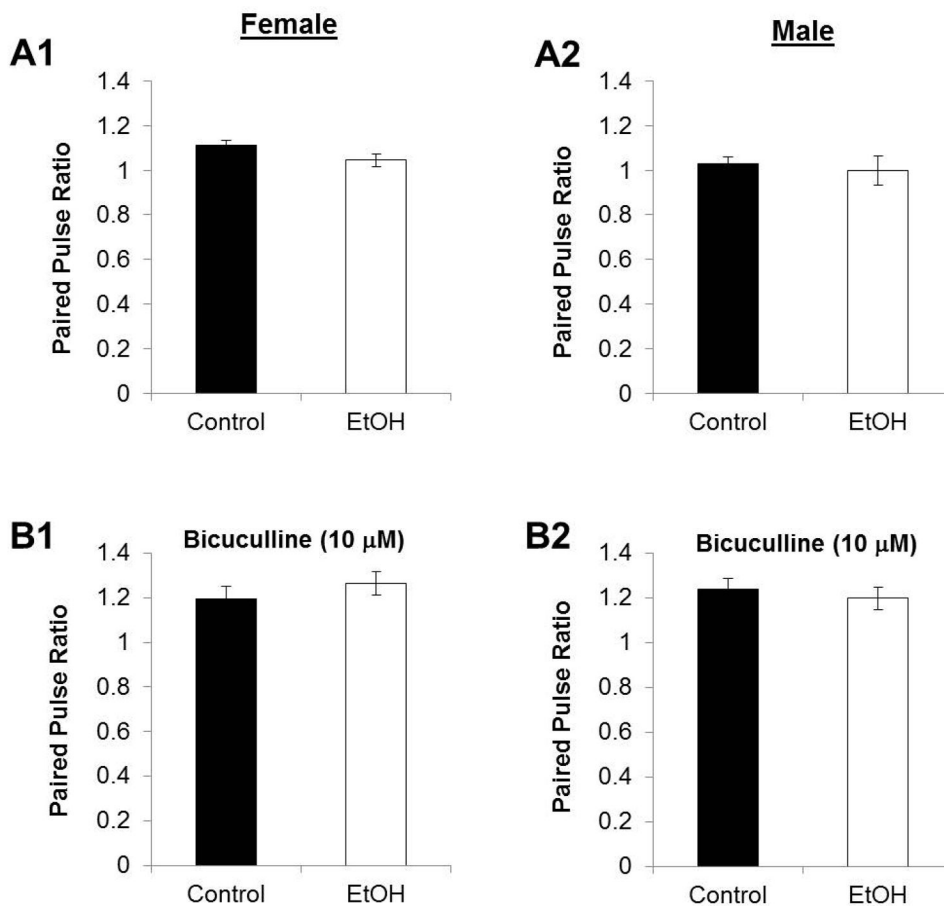


Figure 1. Paired pulse plasticity is unaffected by prenatal ethanol exposure.

The ratios of the slopes of the second pulse relative to the slopes of the first pulses are unaffected by PNEE in females (**A1**) nor in males (**A2**) nor when GABA_ARs are blocked by BIC as in LTP recordings in females (**B1**) or males (**B2**). Changes to paired-pulse plasticity can provide insight on presynaptic neurotransmitter release probability from the MPP fibres. Bars represent average paired pulse ratios and error bars represent standard error of the mean (SEM).

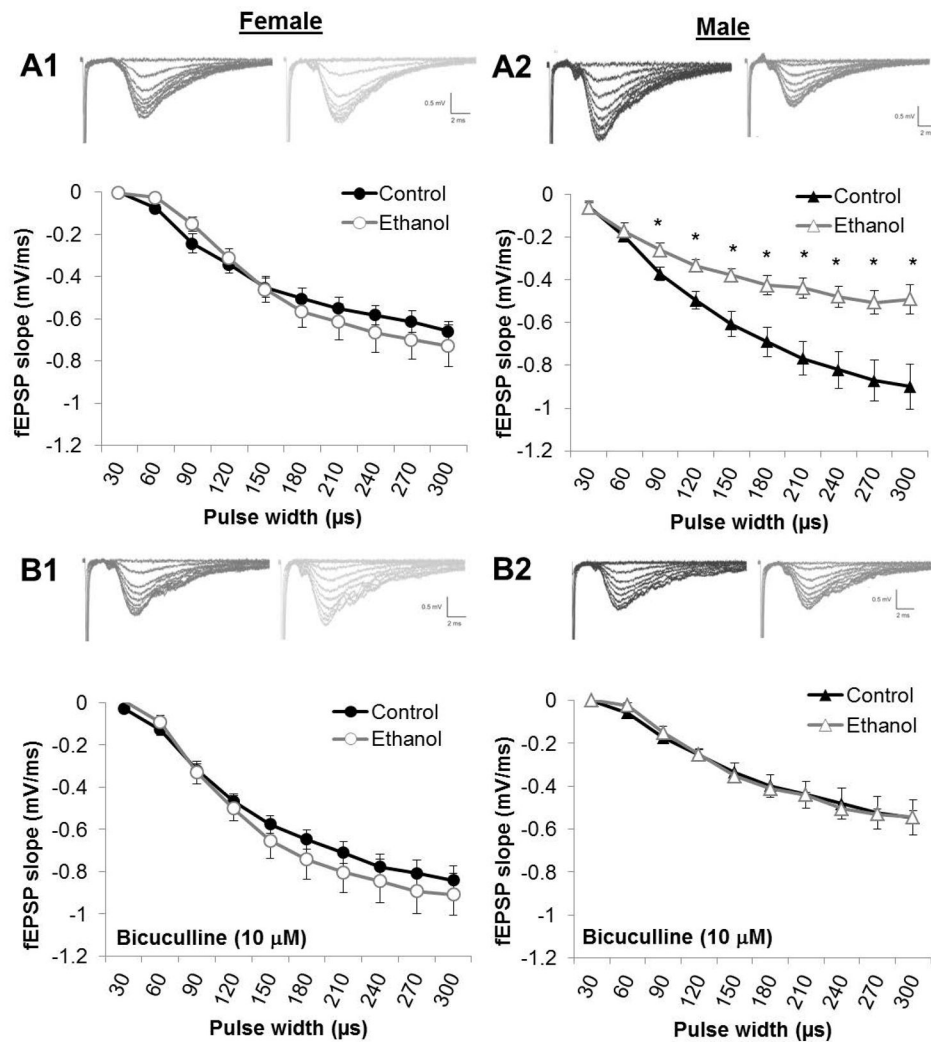


Figure 2. Prenatal ethanol exposure impairs postsynaptic responsiveness in males to increasing stimulation.

Postsynaptic fEPSP size to increasing pulse widths is reduced by PNEE in males (**A2**) but not in females (**A1**) when GABA_A is not inhibited by bicuculline methiodide (BIC; 10μ M) prior to LTD recordings. Under BIC conditions, the input-output curve is unaffected by PNEE in both females (**B1**) and males (**B2**). Points represent average fEPSP slopes by group and error bars represent the standard error of the mean (SEM). * represent $p < 0.05$ relative to control levels at the same pulse width.

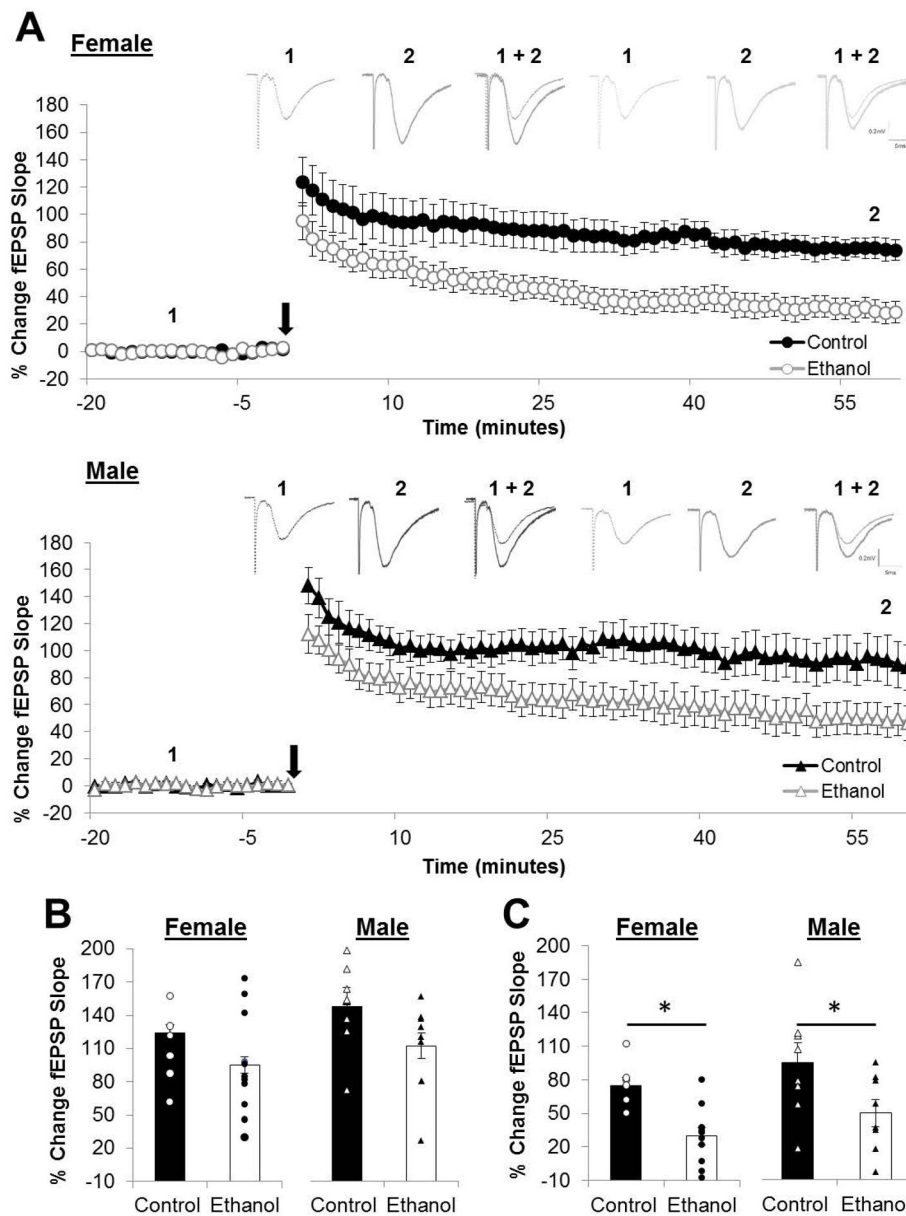


Figure 3. The magnitude of long-term potentiation is reduced in both sexes by prenatal ethanol exposure.

(A) Average long-term potentiation (LTP) recordings from the beginning of baseline to the end of the post-conditioning recording. Dots represent the average percentage of change in the field excitatory postsynaptic potential (fEPSP) slope relative to baseline and the error bars represent the SEM. Representative traces for each group are indicated above with 1 indicating the average fEPSP at baseline and 2 indicating the average fEPSP at the end of the post-conditioning recording. (B) Short-term post-tetanic potentiation (PTP) is measured as the percentage of change in the fEPSP slope for the first minute immediately following the HFS and is unaffected by PNEE. (C) LTP was measured as the average percentage of change in the fEPSP slope relative to baseline for minutes 55-60 following delivery of the high-frequency stimulation (HFS; indicated as black arrow in A). Following PNEE the

magnitude of LTP was reduced in both sexes by approximately 50%. Bars represent the average percentage of change in the fEPSP slope for PTP (B) and LTP (C) with points representing the average PTP and LTP for each individual slice in this dataset. Experimental n: LTP_{Control Female}: 74.82 ± 7.53 %; n = 7 slices, 5 animals, 2 litters; LTP_{PNEE Female}: 29.67 ± 7.66 %; n = 11 slices, 5 animals, 3 litters; LTP_{Control Male}: 91.81 ± 17.18 %; n = 8 slices, 5 animals, 3 litters; LTP_{PNEEMale}: 34.89 ± 7.98 %; n = 8 slices, 5 animals, 3 litters. * $p < 0.05$.

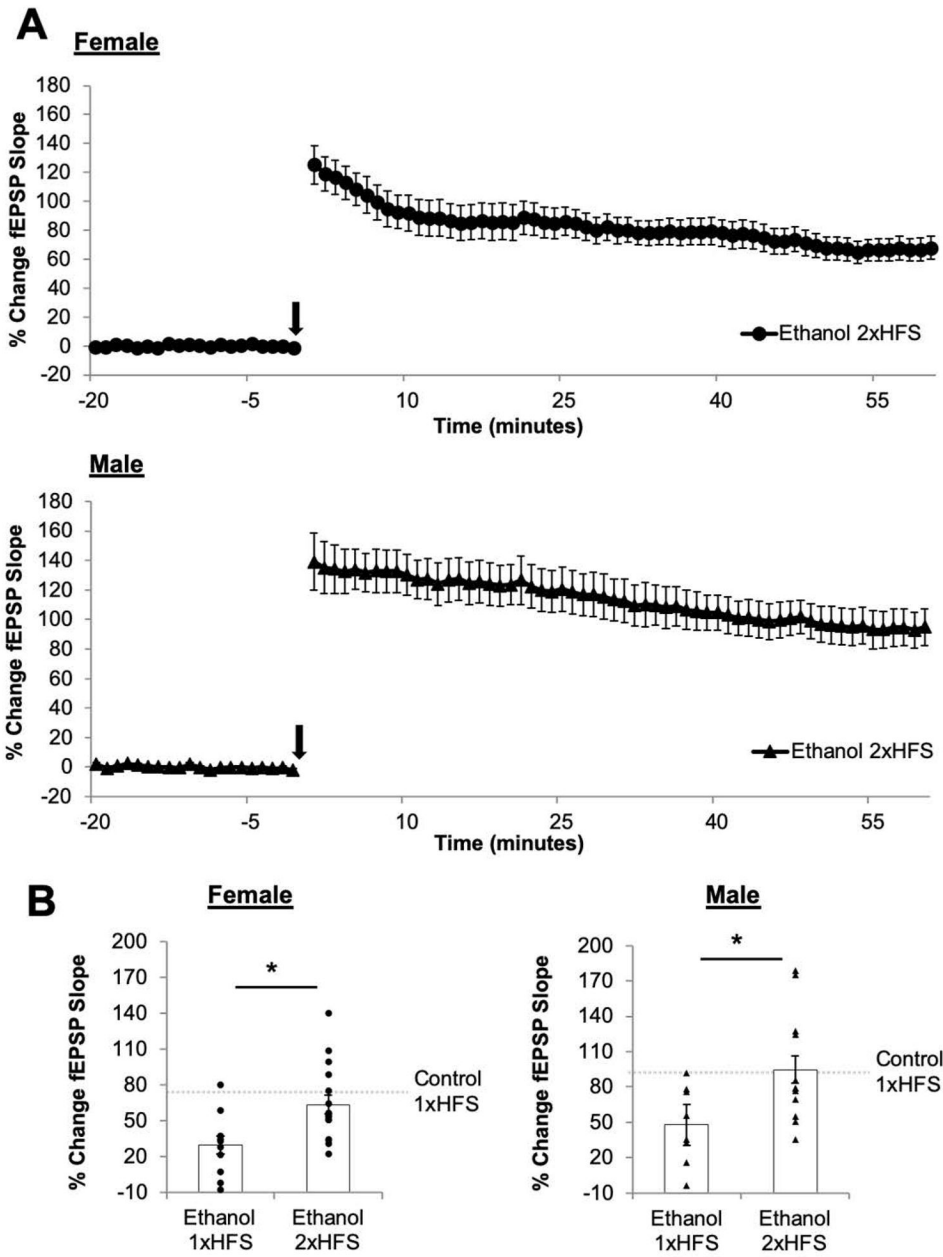


Figure 4. Delivery of additional high-frequency stimuli overcomes the deficit in long-term potentiation following prenatal ethanol exposure in both sexes.

(A) Average long-term potentiation (LTP) recordings from the beginning of baseline to the end of the post-conditioning recording. Dots represent the average percentage of change in the field excitatory postsynaptic potential (fEPSP) slope relative to baseline and the error bars represent the SEM. The arrow indicates the delivery of 2 bouts of high-frequency stimulation (B) LTP was measured as the average percentage of change in the fEPSP slope relative to baseline for minutes 55-60 following delivery of the 2xHFS. The delivery of additional HFS restored the magnitude of LTP to control levels (as indicated by the dashed grey bar in B). Bars represent the average percentage of change in the fEPSP slope for and LTP with points representing the average LTP for each individual slice in this dataset.

Experimental n: $LTP_{EtOH\ Female\ 1xHFS}$: $29.67 \pm 7.66\%$; n = 11 slices, 5 animals, 3 litters;
 $LTP_{EtOH\ Female\ 2xHFS}$: $63.59 \pm 7.92\%$; n = 16 slices, 5 animals, 2 litters;
 $LTP_{EtOH\ Male\ 1xHFS}$: $34.89 \pm 7.98\%$; n = 8 slices, 5 animals, 3 litters; $LTP_{EtOH\ Male\ 2xHFS}$:
 $94.76 \pm 12.43\%$; n = 13 slices, 7 animals, 3 litters. * $p < 0.05$.

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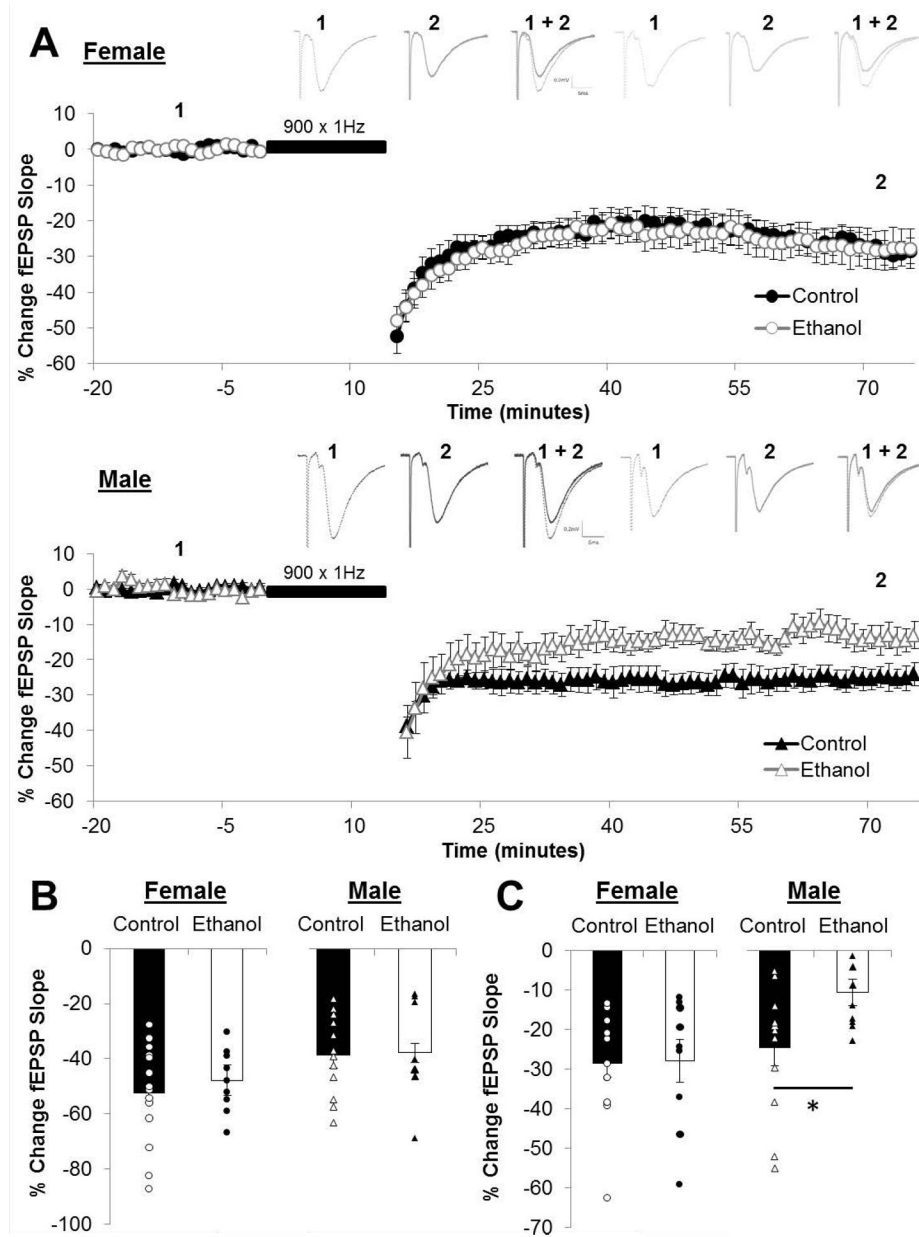


Figure 5. Prenatal ethanol exposed male offspring exhibit reduced long-term depression. (A) Dots represent the average percentage of change in the field excitatory postsynaptic potential (fEPSP) slope relative to baseline and the error bars represent the SEM. Representative traces for each group are indicated above with 1 indicating the average fEPSP at baseline and 2 indicating the average fEPSP at the end of the post-conditioning recording. (B) Short-term depression (STD) is measured as the percentage of change in the fEPSP slope for the first minute immediately following the low-frequency stimulation (LFS; 900×1Hz) and is unaffected by PNEE. (C) LTD was measured as the average percentage of change in the fEPSP slope relative to baseline for minutes 55-60 following delivery of the LFS (indicated as black bar in A). The magnitude of LTD was reduced only in male offspring following PNEE. Bars represent the average percentage of change in the fEPSP

slope for STD (B) and LTD (C) with points representing the average PTP and LTP for each individual slice in this dataset. Experimental n: $LTD_{Control\ Female}: -28.46 \pm 3.56 \%$; n = 14 slices, 6 animals, 2 litters; $LTD_{PNEE\ Female}: -27.90 \pm 5.46 \%$; n = 9 slices, 7 animals, 3 litters; $LTD_{Control\ Male}: -24.89 \pm 4.76 \%$; n = 12 slices, 5 animals, 2 litters; $LTD_{PNEE\ Male}: -10.83 \pm 3.33 \%$; n = 11 slices, 5 animals, 3 litters * p < 0.05.

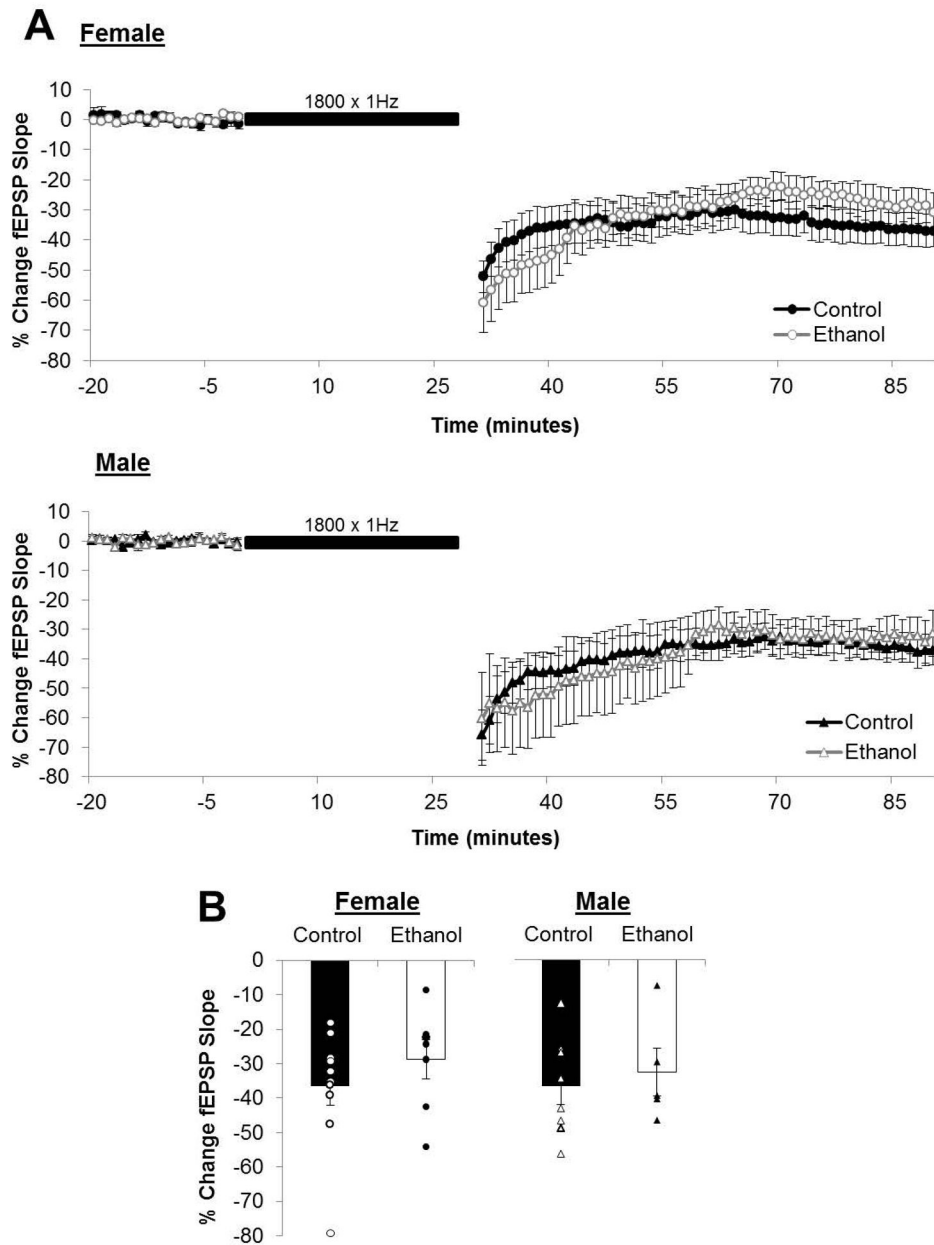


Figure 6. Prolonged low-frequency stimulation induced long-term depression is unaffected by prenatal ethanol exposure.

(A) Average long-term depression (LTD) recordings from the beginning of baseline to the end of the post-conditioning recording. Dots represent the average percentage of change in the field excitatory postsynaptic potential (fEPSP) slope relative to baseline and the error bars represent the SEM. (B) LTD was measured as the average percentage of change in the fEPSP slope relative to baseline for minutes 55-60 following delivery of the LFS (1800×1Hz; indicated as black bar in A). The magnitude of LTD was reduced only in male offspring following PNEE. Bars represent the average percentage of change in the fEPSP slope for LTD (C) with points representing the average STD and LTD for each individual slice in this dataset. Experimental n: LTD_{Control Female}: -36.64 ± 5.44 %; n = 14 slices, 6

animals, 4 litters; $LTD_{PNEE\ Female}: -28.83 \pm 5.67\%$; $n = 7$ slices, 5 animals, 3 litters; $LTD_{Control\ Male}: -36.82 \pm 5.12\%$; $n = 8$ slices, 4 animals, 2 litters; $LTD_{PNEE\ Male}: -32.66 \pm 6.86\%$; $n = 5$ slices, 3 animals, 3 litters. * $p < 0.05$.

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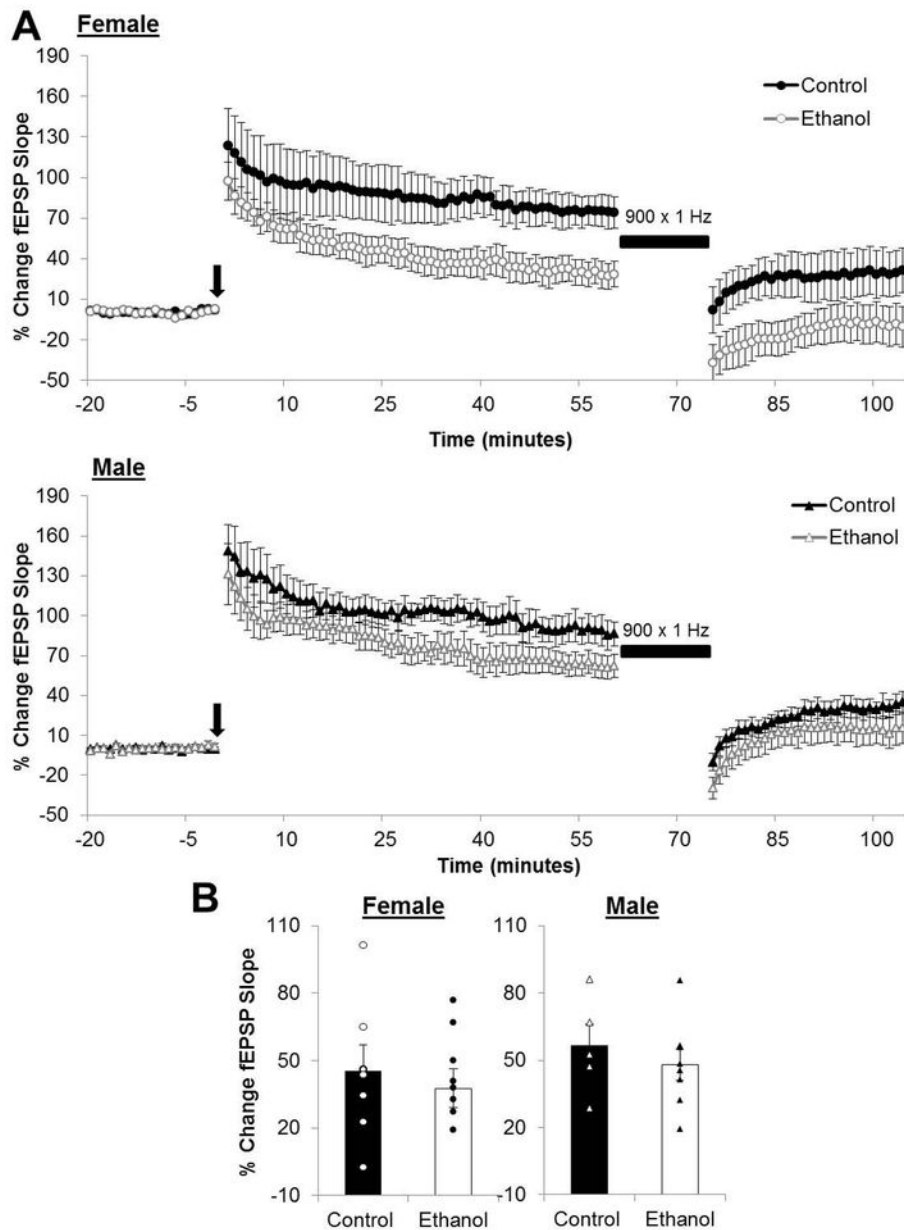


Figure 7. Depotential is unaffected by prenatal ethanol exposure in either sex.

(A) Average depotential recordings from the beginning of baseline to the end of the post-conditioning recordings. The black arrow indicates the delivery of high-frequency stimulation and the black bar represents the low-frequency stimulation ($900 \times 1\text{ Hz}$). (A) Magnitude of depotential in control and ethanol-exposed offspring. Bars represent the average percentage of change in the field excitatory postsynaptic potential (fEPSP) slope calculated as the average percentage change in the fEPSP slope of minutes 100-105 subtracted from that of minutes 55-60, defined as the magnitude of depotential. Points represent the average magnitude of depotential for each individual slice in this dataset. Experimental n: Control_{Female}: $45.19 \pm 11.94\%$; n = 7 slices, 6 animals, 2 litters;

$PNEE_{Female}: 37.68 \pm 8.81 \%$; $n = 9$ slices, 8 animals, 2 litters; $Control_{Male}: 56.39 \pm 9.65 \%$; $n = 6$ slices, 4 animals, 2 litters; $PNEE_{Male}: 48.04 \pm 6.89 \%$; $n = 8$ slices, 6 animals, 3 litters.

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Table 1
Prenatal ethanol exposure transiently reduces body weight in males in the early postnatal period.

Average offspring weight gain separated by sex starting on the day after birth (P1) to weaning an experimental use (P21). On P1 litters were culled to 12 pups consisting of 6 pups of each sex where possible. A two-tailed student's t-test was used to evaluate the effect of prenatal diet treatment within sex and age. PNEE had no effect on offspring weight at any age in female pups, however it significantly reduced male pup weights at P1 and P3 but at no other age. The average weights are expressed below \pm the SEM. Statistical significance was considered when $p < 0.05$ and is indicated using distinct symbols (* or), indicating statistical significance from the values indicated with the identical symbol within this table.

Postnatal day (P)	Average Control Pup Weight (g)		Average Ethanol Pup Weight (g)	
	Male	Female	Male	Female
P1	8.13 \pm 0.16*	6.93 \pm 0.49	7.20 \pm 0.24*	6.58 \pm 0.29
P3	10.69 \pm 0.36	8.94 \pm 0.63	9.29 \pm 0.35	8.79 \pm 0.37
P7	16.83 \pm 0.67	16.45 \pm 0.55	15.48 \pm 0.83	14.15 \pm 0.91
P14	29.71 \pm 2.57	30.85 \pm 1.39	29.70 \pm 1.37	28.18 \pm 1.30
P21	55.52 \pm 3.72	48.69 \pm 5.31	48.34 \pm 2.53	44.43 \pm 2.41