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## **SETD2 mutations confer chemoresistance in acute myeloid leukemia partly through altered cell cycle checkpoints**

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### **Abstract**

*SETD2*, an epigenetic tumor suppressor, is frequently mutated in MLL-rearranged (MLLr) leukemia and relapsed acute leukemia (AL). To clarify the impact of *SETD2* mutations on chemotherapy sensitivity in MLLr leukemia, two loss-of-function (LOF) *Setd2*-mutant alleles (*Setd2*<sup>F2478L/WT</sup> or *Setd2*<sup>Ex6-KO/WT</sup>) were generated and introduced, respectively, to the *Mll-Af9* knock-in leukemia mouse model. Both alleles cooperated with *Mll-Af9* to accelerate leukemia development that resulted in resistance to standard Cytarabine-based chemotherapy.

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Mechanistically, *Setd2-mutant* leukemic cells showed downregulated signaling related to cell cycle progression, S, and G2/M checkpoint regulation. Thus, after Cytarabine treatment, *Setd2-mutant* leukemic cells exit from the S phase and progress to the G2/M phase. Importantly, S and G2/M cell cycle checkpoint inhibition could resensitize the *Mll-Af9/Setd2* double-mutant cells to standard chemotherapy by causing DNA replication collapse, mitotic catastrophe, and increased cell death. These findings demonstrate that LOF *SETD2* mutations confer chemoresistance on AL to DNA-damaging treatment by S and G2/M checkpoint defects. The combination of S and G2/M checkpoint inhibition with chemotherapy can be explored as a promising therapeutic strategy by exploiting their unique vulnerability and resensitizing chemoresistant AL with *SETD2* or *SETD2*-like epigenetic mutations.

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## Introduction

Cytarabine (Ara-C)-based chemotherapy has remained as the first-line treatment for acute myeloid leukemia (AML) for over four decades. Even with great success in disease remission using this strategy, the 10-year overall survival (OS) and event-free survival (EFS) for children/adolescents after induction therapy is about 55–65%. Treated with more conventional chemotherapy alone, only 20–40% of the patients gain disease-free survival of >5 years in adulthood AML [1, 2].

Although new targeted therapies and immune therapies are promising, the cost, the technical availability, and the presence of appropriate cell surface targets for immunotherapy significantly limit their clinical utility, as compared with traditional chemotherapy [3–6]. Therefore, research on less toxic treatments or chemotherapy in combination with targeted therapies is urgently needed [7]. Previously, we had identified a frequency of 6% *SETD2* mutations in AML and acute lymphoid leukemia (ALL), and 22% in MLL leukemia [8]. Another study reported that *SETD2* mutations were enriched at relapse in pediatric B-cell ALL [9]. A recent follow-up study indicated that *SETD2* loss results in an impaired DNA damage response (DDR) after exposure to cytotoxic chemotherapy, which leads to reduced apoptosis [10]. These findings could partially explain how *SETD2* mutations contribute to chemotherapy resistance and relapse [11]. However, the mechanisms of how *SETD2* mutations confer chemoresistance are still not fully understood. More importantly, a better understanding of how sensitivity to chemotherapy can be restored in *SETD2-mutant* leukemia will help to design a better therapeutic strategy for refractory/relapsed acute leukemia (AL).

In this study, we generated two novel loss-of-function (LOF) *Setd2* mutation alleles (*Setd2*<sup>F2478L</sup> and *Setd2*<sup>Ex6-KO</sup>) in mice to model LOF conditions of *SETD2* in vivo. We found that both mutant alleles showed similar epigenetic, cellular, and growth retardation phenotypes. They also cooperated with *Mll-Af9* to accelerate the development of leukemia that resulted in resistance to standard cytarabine-based chemotherapy by altering S and G2/M cell cycle checkpoints. Importantly, S and G2/M cell cycle checkpoint inhibition, by either WEE1 or CHK1 inhibitors, resensitized *Mll-Af9/Setd2* double-mutant cells to standard chemotherapy by causing the DNA replication collapse, mitotic catastrophe, and increasing cell death. Thus, the combination of cell cycle checkpoint inhibitors with

conventional chemotherapeutic agents may provide a promising therapeutic strategy for the treatment of refractory or relapsed leukemia patients with mutations in *SETD2* or *SETD2*-like epigenetic mutations.

## Materials and methods

### Animals

*Setd2*<sup>F2478L/WT</sup> and *Setd2*<sup>Ex6-KO/WT</sup> mice were generated by the Cincinnati Children's Hospital Transgenic Core. *Mll-Af9* knock-in mice, B6-SJL (CD45.1+), and NSGS (NOD/SCID IL2R $\gamma$ -/- SGM3) mice were purchased from the Jackson Laboratory. All mice were housed in the rodent barrier facility at Cincinnati Children's Hospital Medical Center (CCHMC). All animal studies were conducted according to an approved Institutional Animal Care and Use Committee protocol in accordance with federal regulations. Bone marrow cell transplantations were performed as previously described [12].

### Chemotherapy reagents

Chemotherapy drugs (Doxorubicin, Ara-C, and Daunorubicin) were obtained from the clinical pharmacy at Cincinnati Children's Hospital. WEE1 inhibitor MK-1775 and CHK1 inhibitor MK-8776 were obtained from Selleckchem.

Detailed methods are described in the Supplementary information.

## Results

### Two novel mouse models with *Setd2* loss-of-function mutations present similar phenotypes

We previously corroborated the results of others demonstrating that similar frequencies of missense mutations and nonsense/frameshift mutations of *SETD2* are observed in acute leukemia patients [8]. To model the function of *SETD2* mutations in leukemia development and chemotherapy resistance, two *Setd2*-mutant alleles were generated using CRISPR/Cas9-mediated genome editing in fertilized embryos: (1) the *Setd2*<sup>F2478L</sup> allele, which is equivalent to the *SETD2*<sup>F2505L</sup> mutation found in an AML patient [8] (Fig. 1a and Materials and Methods in Supplementary information). The *Setd2*<sup>F2478L</sup> mutation, located in the SRI domain, loses the interaction with the C-terminal domain (CTD) of RNA polymerase II [13]. (2) The *Setd2*<sup>Ex6-KO</sup> allele, resulting in a frameshift and nonsense-mediated decay of *Setd2* mRNA (Fig. 1b and Materials and Methods in Supplementary information).

Both *Setd2*<sup>F2478L/F2478L</sup> and *Setd2*<sup>Ex6-KO/Ex6-KO</sup> homozygous mutations showed early embryonic lethality, which is consistent with a previous report stating that homozygous deletion of exon 4 and exon 5 of *Setd2* results in embryonic lethality at E10.5–E11.5 [14] (Supplementary Table 1A, B, and data not shown). Interestingly, mice that were heterozygous for either mutation showed the same growth retardation phenotypes. The body weights of both types of heterozygous mice were consistently lower, as compared with wild-type littermates (Supplementary Fig. 1A). At 12 weeks, both *Setd2* heterozygous mutant mouse models were significantly smaller than their wild-type littermates in both genders (Supplementary Fig. 1B, and data not shown). We also measured the weights of different

organs in males (Supplementary Fig. 1C). The livers were smaller (Supplementary Fig. 1D) and bowels were shorter, as compared with their wild-type littermates (Supplementary Fig. 1E). The major weight differences were from the bones and muscles (data not shown).

Although the *Setd2*<sup>F2478L</sup> mutation loses the interaction with the C-terminal domain (CTD) of RNA polymerase II [13], the expression of *Setd2* in heterozygous mice was similar to that in wild-type mice, at both the mRNA and protein levels. However, *Setd2* expression in exon 6-KO mice decreased to 50% at both the mRNA and protein levels, indicating nonsense-mediated mRNA decay (NMD) (Fig. 1c, d). Other major SETD2 family H3K36 methyltransferases, such as ASH1L and NSD subfamily members, were not affected significantly in mice heterozygous for either *Setd2* mutation (Fig. 1c, d; Supplementary Fig. 2A, B).

In mice heterozygous for either *Setd2* mutation, we found a similar decrease in H3K36me3 modification in purified c-Kit<sup>+</sup> bone marrow cells (Fig. 1e). H3K36me3 is involved in active transcription [15]. We thus performed the CFU assay to test whether the decrease of this epigenetic mark affects hematopoiesis. We found that bone marrow cells from mice with either *Setd2* mutation had increased CFU and cell numbers in the second passage, but all underwent terminal differentiation in the third passage. This indicated that the *Setd2* mutations may increase the number, or proliferation, of progenitors, but cannot transform them alone (Fig. 1f, g, h). To further determine whether *Setd2*<sup>F2478L/WT</sup> and *Setd2*<sup>Ex6-KO/WT</sup> HSPCs exhibit enhanced self-renewal, standard competitive bone marrow transplantation (CBMT) assays were performed to examine the engraftment potential of HSPCs in vivo (Fig. 1i). The frequency of donor-derived reconstitution with mononuclear cells (MNCs), showed no significant difference, as compared with MNCs from wild-type mice at all time points detected in both the first and second CBMT (Fig. 1i). This finding is consistent with data showing that the percentage of LK and LSK populations in the total bone marrow cells was not obviously changed in mice heterozygous for either *Setd2* mutation (Supplementary Fig. 3A–C).

### ***Setd2* mutants cooperate with an *Mll-Af9* knock-in allele to accelerate leukemia**

A higher frequency of *Setd2* mutations in MLL-rearranged patients with leukemia (22.2%, 6 out of 27) was observed compared with patients in the cohort with leukemia that did not have MLL rearrangements (4.6%, 8 out of 173) [8, 9, 16]. Thus, we used our new genetic alleles to investigate whether these *Setd2*-mutant alleles cooperate with *Mll-Af9* to accelerate leukemia development. Mice with *Setd2* mutations were bred with *Mll-Af9* knock-in mice [17]. When the mice were harvested at the end stage of leukemia, both *Mll-Af9/Setd2*<sup>F2478L/WT</sup> and *Mll-Af9/Setd2*<sup>Ex6-KO/WT</sup> mutant mice developed similar pathological features. Both had >25% of leukemic blasts present in the bone marrow (Supplementary Fig. 4A–D) and decreased levels of H3K36me3 modification in bone marrow c-Kit<sup>+</sup> cells compared with *Setd2* wild-type controls (Fig. 2a). CFU assays were performed to measure the cooperative effects of *Setd2* mutations with *Mll-Af9* on cell differentiation and proliferation. As shown in Fig. 2b, c, bone marrow cells from mice heterozygous for either *Setd2* mutation, along with *Mll-Af9* knock-in, exhibited a significantly higher yield of total colonies, dense clones, and a growth advantage in multiple

rounds of plating assays, as compared with cells with only *Mll-Af9* knock-in. Similar results were found in CD34 *MLL-AF9* cells with *SETD2* knockdown using shRNA (Fig. 2d, Supplementary Fig. 6F). The results indicate that the growth advantage of double-mutant cells may contribute to increased numbers of leukemic stem cells and accelerated development of leukemia. Consistent with this in vitro result, mice with both *Setd2* mutation and *Mll-Af9* knock-in developed AML and died faster, as compared with mice with the *Mll-Af9* knock-in alone, in separate male and female cohorts (Supplementary Fig. 5A, B). The data for males and females combined showed that *Mll-Af9/ Setd2<sup>F2478L/WT</sup>* mice died much faster than mice with the *Mll-Af9* knock-in. Both showed similar white blood cell counts in peripheral blood at the end point (Fig. 2e; Supplementary Fig. 6D). To further confirm the impact of *SETD2* mutations in human CD34 *MLL-AF9* leukemic progression, CD34 *MLL-AF9* cells with *SETD2* knockdown (*SETD2*-KD) were transplanted into NSGS (NOD/SCID IL2R $\gamma$ -/- SGM3) mice under a myeloablative condition [18]. The results were consistent with murine AML model data and confirmed that *Setd2* mutations accelerate *Mll-Af9* leukemia (Fig. 2f) without changing the obvious monocytic AML phenotype. No significant difference in differentiation was observed, both in vivo and in vitro, in murine or in human leukemic cells (Supplementary Fig. 4A–D, and data not shown).

### ***Setd2* mutations confer resistance to chemotherapy in *Mll-Af9* AML**

*Setd2* mutations are frequently found in relapsed ALL and AML patients [8, 9], indicating that *Setd2* mutations might play a role in refractory/relapsed acute leukemia and resistance to chemotherapy. Thus, in vitro experiments were performed to test the hypothesis that *Setd2* mutations may lead to chemoresistance of *Mll-Af9* AML. We used the standard clinical chemotherapy drugs, Ara-C and anthracyclines (Daunorubicin or Doxorubicin), to treat AML cells isolated from *Mll-Af9* and *Mll-Af9/Setd2<sup>F2478L/WT</sup>* mouse bone marrow. Strikingly, *Mll-Af9/Setd2<sup>F2478L/WT</sup>* cells exhibited a higher tolerance to three major chemotherapeutic agents than *Mll-Af9* cells (Fig. 3a–c, Supplementary Fig. 6A–C, Supplementary Tables 3, 4, and data not shown). Transplantations of *Mll-Af9* and *Mll-Af9/Setd2<sup>F2478L/WT</sup>* bone marrow cells, at similar leukemic stages, were performed to develop AML in vivo (Supplementary Fig. 6D). Importantly, 3 weeks after BMT, Doxorubicin and Ara-C (DA) were administered to these BMT mice along with controls. Treatment with DA significantly improved survival in mice transplanted with *Mll-Af9* leukemia; however, mice transplanted with *Mll-Af9* leukemic cells bearing *Setd2* mutations had a survival length two-thirds that of the *Mll-Af9* controls with the same dose of DA treatment, suggesting resistance to chemotherapy in vivo (Fig. 3d, Supplementary Fig. 6E). Furthermore, human CD34-*MLL-AF9* and MV4–11 cell lines with *SETD2* knockdown (KD) by shRNA were used to recapitulate chemotherapy resistance to in vitro treatments (Supplementary Fig. 6F, Supplementary Tables 3, 4). Indeed, CD34-*MLL-AF9* and MV4–11 cells with *SETD2*-KD had a much higher tolerance to either Ara-C or Daunorubicin (Fig. 3e, f; Supplementary Fig. 6G–L). The results confirm that *Setd2* mutations or *SETD2* down-regulation confers resistance to chemotherapy in both murine and human leukemic cells, which is consistent with clinical findings.

### Checkpoint inhibition resensitizes resistant *Mll-Af9/ Setd2*-mutant AML to chemotherapy

To understand the mechanism of chemoresistance caused by *Setd2* LOF mutations, we took an unbiased wholegenome approach (RNA-seq) using *Mll-Af9* cells with *Setd2* knockdown to identify dysregulated pathways [8, 19]. Interestingly, we found enrichment of downregulated genes with roles in G2/M cell cycle progression, DNA replication, DNA repair (mismatch repair, Fanconi anemia, nucleotide excision repair, and base excision repair), and the p53 apoptosis pathway, all of which are involved in cellular DDR (Fig. 4a, b; Supplementary Table 2). Using immunoblotting assays, we further confirmed that the levels of the DNA damage marker  $\gamma$ H2AX were increased in *Setd2*<sup>F2478L/WT</sup> compared with the *Setd2* wild-type control (Fig. 4c). This indicates that the *Setd2* mutation provokes an aberrant DNA damage signaling even without any exogenous stress. When the *Setd2*<sup>F2478L/WT</sup> mutation was introduced into the *Mll-Af9* background, the levels of S and G2/M checkpoint kinases, phosphorylated ATR, phosphorylated CHK1, and WEE1 downstream, were dramatically reduced (Fig. 4c, right panel). This is consistent with increased  $\gamma$ H2AX due to cell cycle progression without the completion of DNA repair. Moreover, phosphorylated CHK1 and WEE1 proteins were barely accumulated after Ara-C treatment in *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells compared with the untreated controls (Fig. 4d, right panel). These data indicate that *Setd2* mutations confer the decreased S and G2/M checkpoint activity on AML cells. Activity of S and G2/M checkpoint function prevented cells with massive DNA damage from entering mitosis, arrested cells in the S phase, and induced cell death [20, 21]. Therefore, due to S and G2/M check-point defects, *Mll-Af9/ Setd2*-mutant AML cells are able to survive by escaping from massive DNA damage-induced S-phase cell cycle arrest and cell death.

However, this advantage of *Mll-Af9/Setd2*-mutant AML cells also presents a potential unique vulnerability that can be targeted. When S and G2/M checkpoints are chemically inhibited, those cells can bypass both S and G2/M checkpoints and aberrantly enter the M phase (mitosis), in which those cells may undergo a mitotic catastrophe by DNA damage or incomplete chromosome segregation, ultimately leading to apoptosis [22, 23]. To test this hypothesis, *Setd2*-mutant leukemic cells were treated with Ara-C in combination with a WEE1 inhibitor, MK-1775 [24], in vitro. As shown in Fig. 5a, treatment of MK-1775 alone resulted in no significant difference in the viability of *Mll-Af9* or *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells. However, when MK-1775 was added in combination with Ara-C, chemoresistant *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells were able to resensitize to Ara-C, while *Mll-Af9* cells were also affected (Fig. 5b; Supplementary Fig. 7A, B). Similar results were found with the CHK1 inhibitor MK-8776 [25] (Fig. 5c, d; Supplementary Fig. 7C, D). Both drug combinations showed a synergistic effect with increased therapeutic response to Ara-C and reduced AML cell viabilities (Supplementary Fig. 8A–F). We further validated these results in human CD34-MLL-AF9 and MV4–11 cells with shRNA-mediated SETD2-KD, and observed a similar resensitization (Fig. 5e–j; Supplementary Fig. 7E–J) and synergistic effects of drug combinations (Supplementary Fig. 8G–O). These data indicate that S and G2/M cell cycle checkpoint inhibition can resensitize *Setd2*-mutant AML cells to chemotherapy.



## Checkpoint inhibition increases mitotic catastrophe and promotes cell death in chemo-treated cells

To understand the details of how cell cycle checkpoint inhibition is able to resensitize *Setd2*-mutant AML cells to chemotherapy, we first performed a detailed cell cycle analysis using BrdU and 7-AAD labeling. In the presence of Ara-C, *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells at the S phase were reduced. But the subpopulations at the G2/M phase and the non-replicating S phase (BrdU<sup>neg</sup>/7-AAD<sup>int</sup>) were increased, as compared with *Mll-Af9* cells (Fig. 6a, b). Non-replicating S-phase cells are cells with >2 N and <4 N DNA content, which have arrested in the S phase [26]. These suggested that a subpopulation of *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells were arrested in the S phase due to blockage of DNA synthesis upon 48 h of Ara-C treatment with BrdU labeling [27]. These cells could have subsequently resumed DNA synthesis and get along with *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells measured as BrdU<sup>+</sup>. BrdU<sup>+</sup> *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells may have been able to progress to the G2/M phase to enable DNA repair and mitotic entry, possibly because of an impaired G2/M checkpoint. Notably, in the presence of Ara-C and MK-1775, *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells showed significantly reduced S-phase cells and increased non-replicating S-phase cells after 48 h of treatment, as compared with the nontreated control. The reduction of S-phase cells was more significant in *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells than in *Mll-Af9* cells after the treatment of Ara-C and MK-1775 (Fig. 6a, b). The reduced S-phase population might result from the increased non-replicating S phase and also increased premature entry into mitosis. Thus, with these two additive effects in the cell cycle, WEE1 inhibition could resensitize *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells to chemotherapy.

Next, to determine whether combination treatment with Ara-C and MK-1775 can enhance cell death, Annexin V and 7-AAD co-staining were performed to assess the percentage of cells undergoing early-stage apoptosis or becoming dead cells. As shown in Fig. 6c, d, when cells were treated with Ara-C only, they featured an increased early apoptosis population (Annexin V positive/7-AAD negative) in a drug dose-dependent manner as compared with the control group. Although MK-1775 has no effect on cell death by itself, the combination treatment of MK-1775 and Ara-C exhibited a much stronger cell death induction effect than Ara-C alone in both *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells. The Annexin V and 7-AAD double-positive populations containing both late apoptotic and necrotic cells are shown in Fig. 6d as “dead cells”.

Furthermore, we attempted to specify the combinatory therapeutic effect on mitotic entry and mitotic catastrophe. *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells were treated with Ara-C overnight followed by a combination with MK-1775. The mitotic cell numbers were measured with Phospho- Histone 3 (PHH3), a marker for mitosis [28], at different time points. *Mll-Af9/Setd2*<sup>F2478L/WT</sup> and *Mll-Af9* populations showed no significant difference in the levels of PHH3<sup>+</sup> cells, regardless of Ara-C treatment for 24 h (Fig. 7a, b), indicating that *Setd2* mutation does not alter the mitotic activities of these leukemic cells. However, after MK-1775 was added following Ara-C treatment, the PHH3<sup>+</sup> population was significantly increased in *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells within the intervals of 5 and 12 h of combinatory treatment, as compared with the same treatment of *Mll-Af9* cells (Fig. 7c, d).

These results indicate that the WEE1 inhibition has a stronger effect on bypassing the G2/M checkpoint and cell cycle progression to mitosis in *Setd2*- mutant leukemic cells.

Finally, we sought to determine whether *Mll-Af9/ Setd2*<sup>F2478L/WT</sup> leukemic cells with DNA replication defects and forced mitotic entry lead to mitotic catastrophe after combinatory treatment [22, 23]. The nuclear envelope of either mononucleated (normal) or micronucleated cells, is detected with an antibody against Lamin B and is present only in interphase cells [29]. Untreated cells of both types were predominantly mononucleated (normal interphase), as indicated by Lamin B staining (Supplementary Fig. 9A). Next, Lamin B images were also collected at 5 h post MK-1775 treatment following the overnight pre-incubation of Ara-C. The percentages of micronucleation or abnormally shaped interphase nuclei (abnormal interphase), were increased in both *Mll-Af9* and *Mll-Af9/ Setd2*<sup>F2478L/WT</sup> populations treated with Ara-C and MK-1775, with *Mll-Af9/ Setd2*<sup>F2478L/WT</sup> populations being significantly increased (Fig. 7e, f). Notably, WEE1 inhibition in *Mll-Af9/ Setd2*<sup>F2478L/WT</sup> cells also increased the number of cells in mitosis, which is consistent with difficulties in mitotic progression. Both abnormal interphase cells and cells in an impaired mitotic progression can lead to mitotic catastrophe characterized by incomplete chromosome segregation, and consequently induce cell death [22, 23] (Supplementary Fig. 9B).

Taken together, our results indicate that *Setd2* mutations lead to impaired S and G2/M checkpoint regulation. Therefore, they confer chemoresistance on AML cells by altering cell cycle progression and decreasing cell death. Importantly, adding S and G2/M checkpoint inhibitors can resensitize chemoresistant AML cells bearing *Setd2* mutations to standard doses of chemotherapy by multiple mechanisms: enhanced DNA replication defects, increased premature entry into mitosis, mitotic catastrophe, and cell death. Our findings present a promising therapeutic strategy for resensitizing chemoresistant AL with *SETD2* or *SETD2*-like epigenetic mutations.

## Discussion

Recently, epigenetic changes and mutations (e.g., *SETD2* [8], *DNMT3* [30], and *EZH2* [31]) have been identified as regulators that confer chemoresistance in AL. To model these phenomena in vitro and in vivo, we have generated two genetically engineered mouse models with novel loss-of-function *Setd2* mutation alleles (*Setd2*<sup>F2478L</sup> and *Setd2*<sup>Ex6-KO</sup>). The F2478L mutant in mice is equivalent to the F2505L loss-of-function mutant found in an AML patient [8]. We found that mice which were heterozygous for either mutant allele showed similar epigenetic, cellular, and growth retardation phenotypes (Fig. 1; Supplementary Fig. 1). Furthermore, the *Setd2*<sup>F2478L</sup> mutant allele cooperates with *Mll-Af9* to accelerate AML. More importantly, *Setd2*-mutated AML shows chemoresistance to the standard DA (Daunorubicin and Ara-C) regimen, as compared with *Mll-Af9* AML, which is chemosensitive (Fig. 3).

*MLL/SETD2* leukemia, generated with our genetically engineered mouse models, helped us address several important questions: why does *Setd2* loss accelerate leukemia and why does *Setd2* loss confer chemoresistance? First, as shown in our previous study, *Setd2* LOF results



in activated gene expression in the mTOR and Jak–Stat signaling pathways, which are known to contribute directly to promoting leukemogenesis [8]. Second, we hypothesized that there are intrinsic drug-resistant mechanisms of *Mll-Af9/Setd2<sup>F2478L</sup>* cells, which are different from chemosusceptible (*Mll-Af9*) cells. Thus, we performed an unbiased whole-genome analysis and found downregulated genes, which are involved in the cellular DDR, downregulation of CHK1 activation (phosphorylation on Ser345), and of the total WEE1 protein in *Mll-Af9/Setd2<sup>F2478L</sup>* cells. These phenomena were independent of whether they were treated with chemotherapeutic agents or not (Fig. 4c, d). This indicates that pCHK1/WEE1 downregulation might lead to the intrinsic defects in DNA replication, and the S- and G2/ M-phase checkpoints caused by *Setd2* mutations, instead of by extrinsic treatment with a chemotherapeutic agent. Chemotherapeutic agents often exert their cytotoxic effects by inducing DNA damage (based on increased levels of the DNA damage marker  $\gamma$ H2AX, Fig. 4d) in the S phase of the cell cycle during DNA replication. Certainly, our finding that DNA replication proteins are downregulated in double-mutant cells could be a source of replication stress, particularly in the presence of chemotherapeutic agents, such as Ara-C. Further increased levels of  $\gamma$ H2AX might be expected to emerge from such replication stress. Excess DNA damage triggers a DDR, including activation of checkpoints (such as ATR-CHK1 and WEE1 in the S phase and G2 phase). The current view of chemosensitive leukemic cells is that they can be arrested in the S phase and undergo massive cell death after chemotherapy (Supplementary Fig. 10A). In contrast, downregulation of pCHK1/WEE1 will lead to an impaired S and G2/M checkpoints, resulting in exiting from the S phase and the increased cell cycle progression to G2 without completing DNA replication in *Setd2* mutation AML cells (Fig. 4d, Fig. 6a, b). In this case, the leukemic cells may delay the G2 progression as they try to repair the damaged DNA in response to chemotherapeutic agents. Since the G2 checkpoint is still somewhat functional, leukemic cells therefore have an alternative option for DNA repair and are able to enter mitosis after resolving most of the DNA damage. This is recognized in general as the chemoresistance pathway (Supplementary Fig. 10B) [32].

AL, which is positive for mutations of epigenetic modifiers, such as SETD2, DNMT3A, and EZH2, also tends to be chemoresistant [10, 30, 31]. Similar to our findings, the DNMT3A-mutant murine AML cells and EZH2-deficient colorectal cancer cells also show the attenuated ATR-CHK1 phosphorylation [30, 33]. Thus, we hypothesize that impaired ATR-CHK1/WEE1-dependent S-phase and G2 checkpoints play a key role in chemoresistance in AL. This indicates that there may be a common mechanism by which epigenetic regulator mutations confer acquired resistance to chemotherapeutic agents on cancer cells. We propose a combinatory therapeutic strategy to target their unique vulnerability. Indeed, the WEE1 inhibitor MK-1775 resensitizes *Mll-Af9/Setd2<sup>F2478L</sup>* cells to chemotherapy.

*SETD2* mutation is also reported to be associated with increased sensitivity to WEE1 inhibition in the human osteosarcoma cell line (U2OS) and kidney carcinoma cell lines (A498 and LB996-RCC), because of synergistic effects on the depletion of a ribonucleotide reductase subunit RRM2 by SETD2/H3K36me3 loss and WEE1 inhibition. Due to RRM2 depletion, SETD2/H3K36me3-deficient cells suffer from the DNA replication stress with critical dNTP depletion and arrest in the S phase, eventually undergoing apoptosis [34]. Consistent with this, we identified a similar downregulation of *Rrm2* in *Setd2*-mutant

leukemia by RNA-seq analysis (data not shown). This suggests that *Setd2*-mutant leukemia was sensitive to the WEE1 inhibitor, potentially through the same mechanism to enhance DNA replication stress and apoptosis. In addition, our study further suggests that WEE1 inhibition can resensitize *Setd2*-mutant leukemia to chemotherapy by additional mechanisms, including an increased non replicating S-phase population, enhanced premature entry into mitosis, and mitotic catastrophe (Supplementary Fig. 10C).

WEE1 inhibitors have been reported to enhance the antiproliferative effects of Ara-C in AML [10, 26, 35], pediatric Down syndrome AML [36], and T-cells acute lymphoblastic leukemia (T-ALL) [37, 38]. However, the status of SETD2–H3K36me3 was not examined in these studies. In addition to AL, the WEE1 inhibitor with other S-phase specific chemotherapies or radiation also showed a promising anticancer activity in advanced solid tumors [39]. WEE1 inhibitor (MK-1775) combined with carboplatin or cisplatin is currently under investigation in phase I or phase II clinical trials in patients with chemotherapy refractory ovarian cancer [40], or different types of advanced solid tumors [41, 42]. To date, the initial results show good tolerability and promising anticancer activity.

Although dual in vivo treatment with the same WEE1 inhibitor and Ara-C did not prolong survival in retroviral *MLL-AF9 Setd2<sup>KO/WT</sup>* leukemia mice, the leukemia burden was significantly reduced in this model [10]. Notably, the leukemia latency of this retroviral leukemia model is relatively short, indicating a correspondingly narrow therapeutic window to treat the leukemia. The pharmacokinetic variability, toxicity, and therapeutic efficacy may still need to be optimized in order to achieve the greatest therapeutic benefit without resulting in unacceptable side effects or toxicity [43].

*SETD2* is not only mutated in AL but is also frequently mutated in a wide range of cancers [44], which are broadly treated with chemotherapy and radiotherapy. *SETD2* or the related epigenetic dysregulations could similarly confer chemoresistance of solid tumors. Using cell cycle check-point inhibition in combination with standard chemotherapy, or radiotherapy, may effectively resensitize those other types of resistant cancer cells to the standard therapy. Thus, our new approach may represent a promising therapeutic strategy for a variety of cancer types and could benefit many patients. While beyond the scope of this work, this will be an important area for future study.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

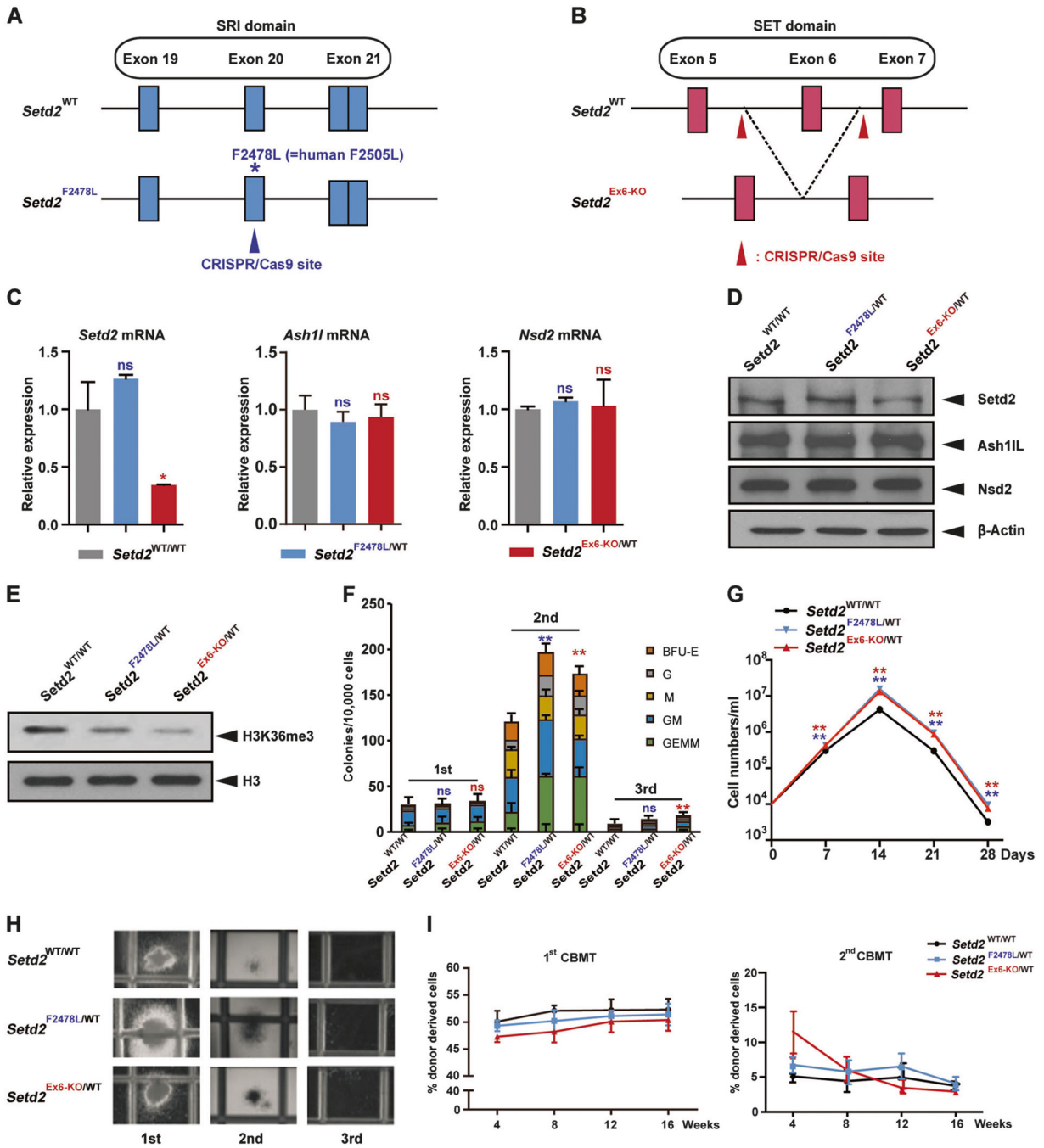
This work was supported by the Cincinnati Children's Hospital Research Foundation (to P.R.A. and to G.H.), the Leukemia Research Foundation (to G.H.), the OCRA (to G.H.), the CFK (to G.H.), National Institutes of Health (NIH) (R21CA187276 to G.H.), the National Natural Science Foundation of China (NSFC) (grant 81470297 and grant 81770129 to G.H., and grant 81471911 to X.Z.), and the "Personalized Medicines–Molecular Signature-based Drug Discovery and Development", Strategic Priority Research Program of the Chinese Academy of Sciences (grant XDA12020362 to Q.-f. Wang).

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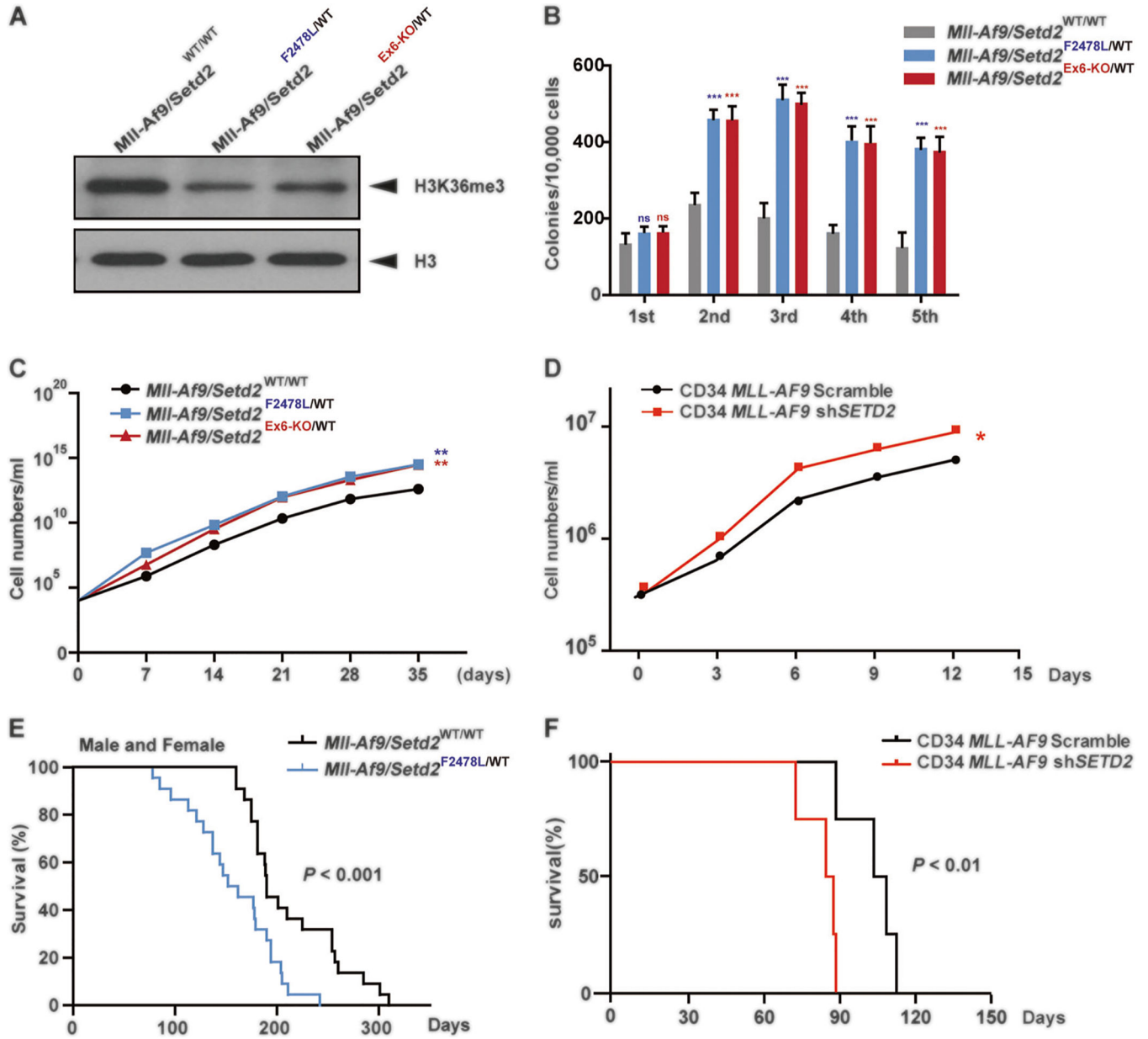
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**Fig. 1.** Mouse models with two distinct loss-of-function *Setd2* mutations show similar phenotypes. **a, b** Schemes of the wild-type *Setd2* locus (top) and *Setd2* mutation locus (bottom) after Cas9–CRISPR- mediated modification. The *Setd2*<sup>F2478L</sup> point mutation locates within exon 20 in the SRI domain (**a**). *Setd2* exon 6 locates in the SET domain (**b**). **c** Relative *Setd2*, *Ash1l*, and *Nsd2* mRNA levels in c-Kit positive bone marrow cells measured by quantitative real-time PCR (Q-PCR) and normalized to  $\beta$ -actin levels using the  $-CT$  method. **d, e** Endogenous *Setd2*, *Ash1L*, and *Nsd2* protein expression (**d**), and H3K36me3 levels (**e**), in c-



Kit positive bone marrow cells from wild type, *Setd2*<sup>F2478L/WT</sup>, and *Setd2*<sup>Ex6-KO/WT</sup> mice determined by western blot. **f** Bone marrow cells from wild type, *Setd2*<sup>F2478L/WT</sup>, and *Setd2*<sup>Ex6-KO/WT</sup> mice were analyzed with M3434 methylcellulose-based medium. A total of 10,000 bone marrow cells were plated in triplicate in M3434. Colony count scoring and replating was repeated every 7 days. **g** Cell number counts of CFUs in serial replating in (f). **h** Representative image of colonies in (f). **i** First and second competitive bone marrow transplantation. WT recipient mice were intravenously injected with  $1.5 \times 10^6$  BM-MNCs from WT, *Setd2*<sup>F2478L/WT</sup>, or *Setd2*<sup>Ex6-KO/WT</sup> mice (CD45.2<sup>+</sup>) together with an equal number of CD45.1<sup>+</sup> competitor cells. Peripheral blood cells were collected from recipients monthly and analyzed by FACS for the presence of CD45.2<sup>+</sup> donor-derived cells. Three biological replicates of each genotype are performed in triplicate and the data are presented as the mean  $\pm$  SD values. \*\* $P < 0.01$



**Fig. 2.** *Setd2* mutants cooperate with the *MII-Af9* knock-in allele to accelerate leukemia. **a** H3K36me3 levels in c-Kit positive bone marrow cells from *MII-Af9/Setd2*<sup>WT/WT</sup>, *MII-Af9/Setd2*<sup>F2478L/WT</sup>, and *MII-Af9/Setd2*<sup>Ex6-KO/WT</sup> mice at 6 months, the end stage of leukemia. **b** CFU replating assays of bone marrow cells from *MII-Af9* and two *MII-Af9/Setd2*-mutant mice. **c** Cell number counts of CFUs in the serial replating in (b). **d** Cell number counts of serial replating of CD34 *MLL-AF9*/Scrambled and CD34 *MLL-AF9*/sh*SETD2* cells. Three biological replicates of each genotype are performed in triplicate and the data are presented as the mean ± SD values. \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ . **e** Survival curves for *MII-Af9* ( $n = 16$ ) and *MII-Af9/Setd2*<sup>Ex6-KO/WT</sup> ( $n = 20$ ) transgenic mutant mice, regardless of

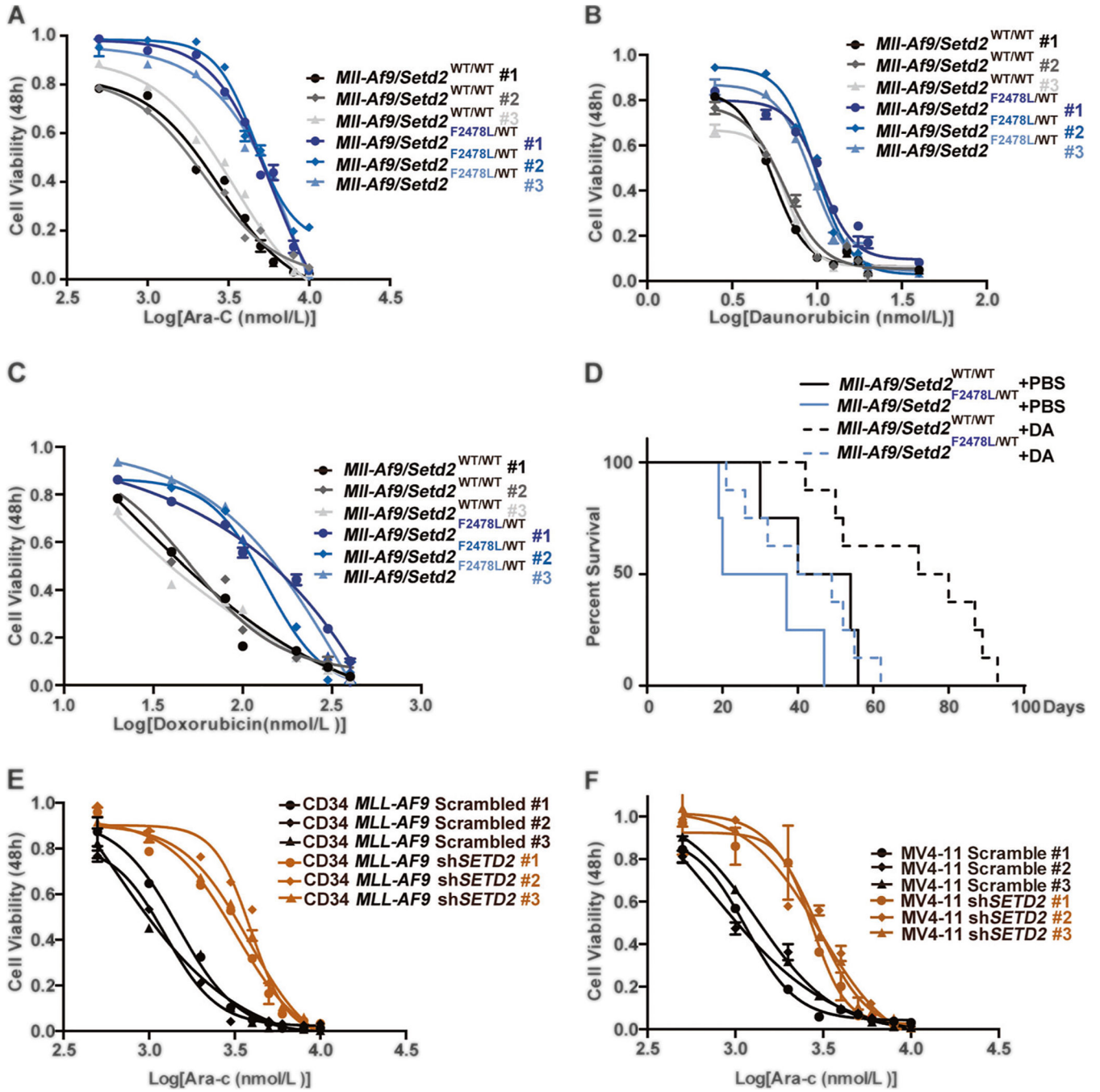
gender. **f** Survival curves for NSGS mice receiving bone marrow transplantation with CD34 *MLL-AF9*/Scrambled ( $n = 4$ ) or CD34 *MLL-AF9* sh*SETD2* ( $n = 4$ ) cells

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**Fig. 3.** *Setd2* mutation leads to chemoresistance of *Mll-Af9* AML cells. **a-c** Drug resistance assays in multiple clones from different individuals of both *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> primary bone marrow leukemic populations treated with Ara-C (**a**), Daunorubicin (**b**), or Doxorubicin (**c**). Drug concentrations are indicated on the horizontal axis. Three biological replicates of each genotype are performed in triplicate and the data are presented as the mean ± SD values. **d** After transplantation of bone marrow cells from *Mll-Af9* or *Mll-Af9/Setd2*<sup>F2478L/WT</sup> mice to B6-SJL (CD45.1+) mice (*n* = 4 in each group, two independent

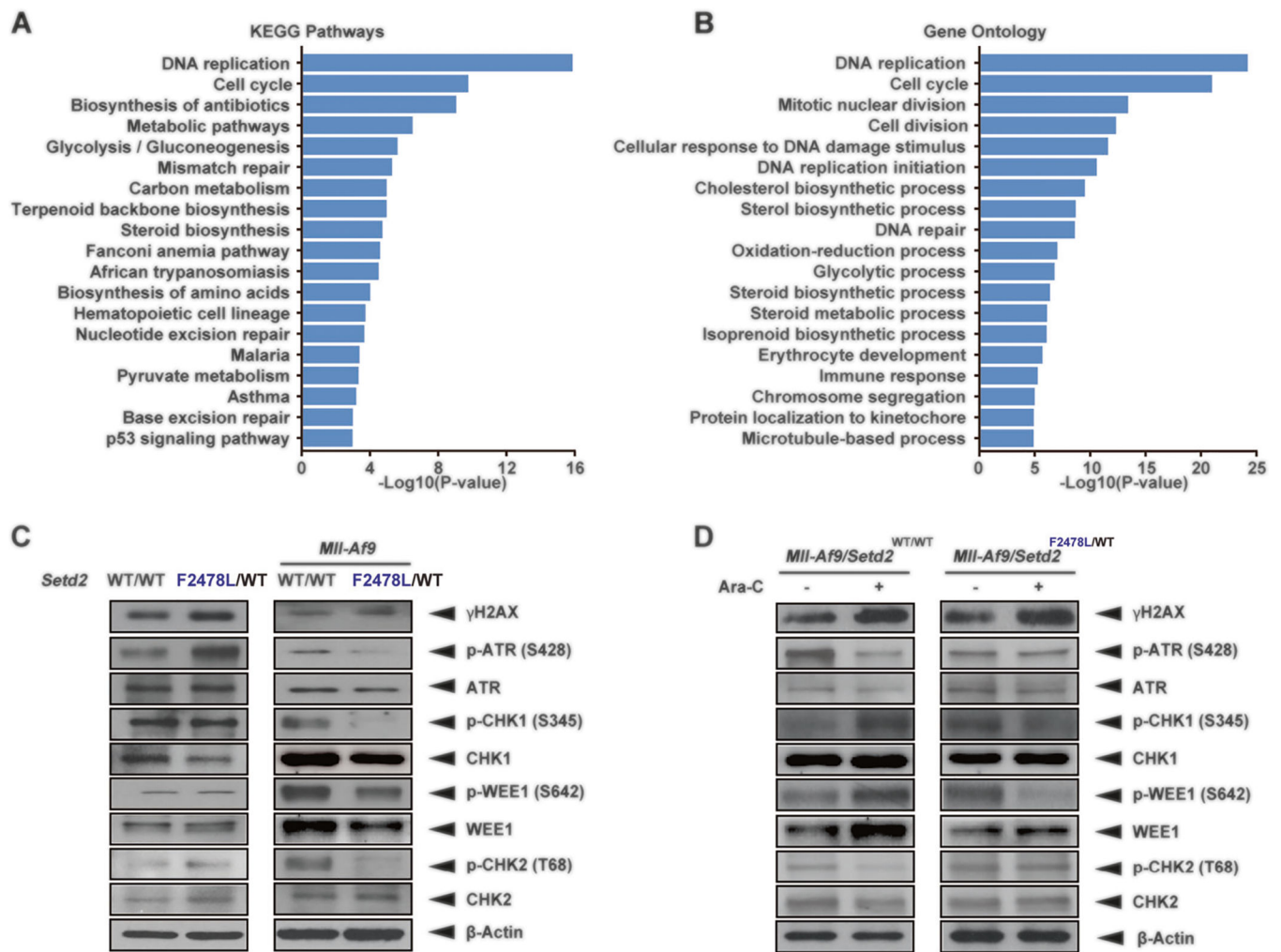
biological replicates) for 3 weeks, the chemotherapy regimen was performed by intravenous (i.v.) injection of Doxorubicin and Ara-C. Days of survival of treated and nontreated mice in the *Mll-Af9* and *Mll-Af9/Setd2*-mutant cohorts were recorded. **e, f** Drug resistance assays in *Mll-Af9* human CD34+ cells (**e**) or the MV4-11 cell line (**f**) with shRNA-mediated *SETD2* knockdown. Three biological replicates of each genotype are performed in triplicate, and the data are presented as the mean  $\pm$  SD values

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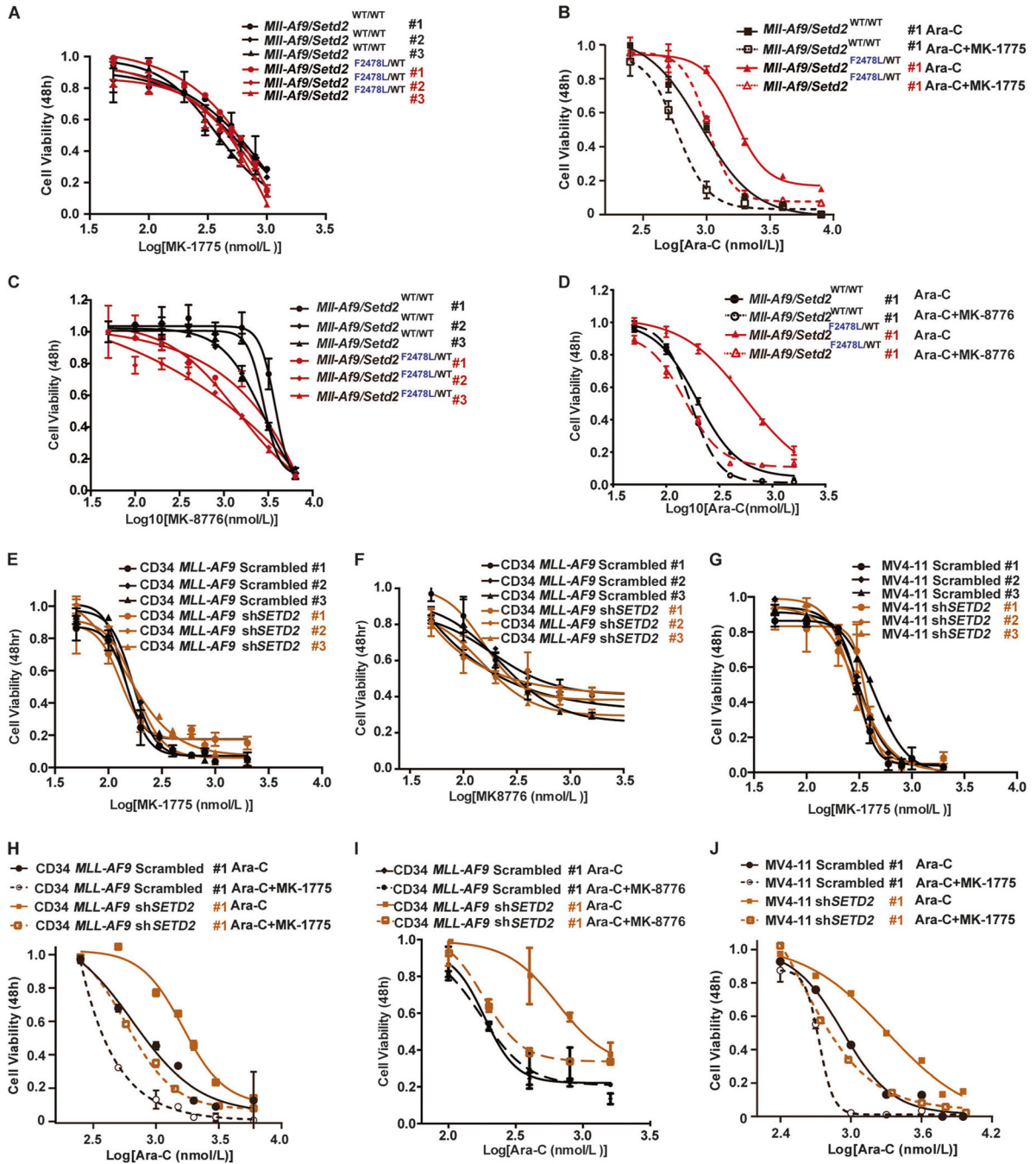
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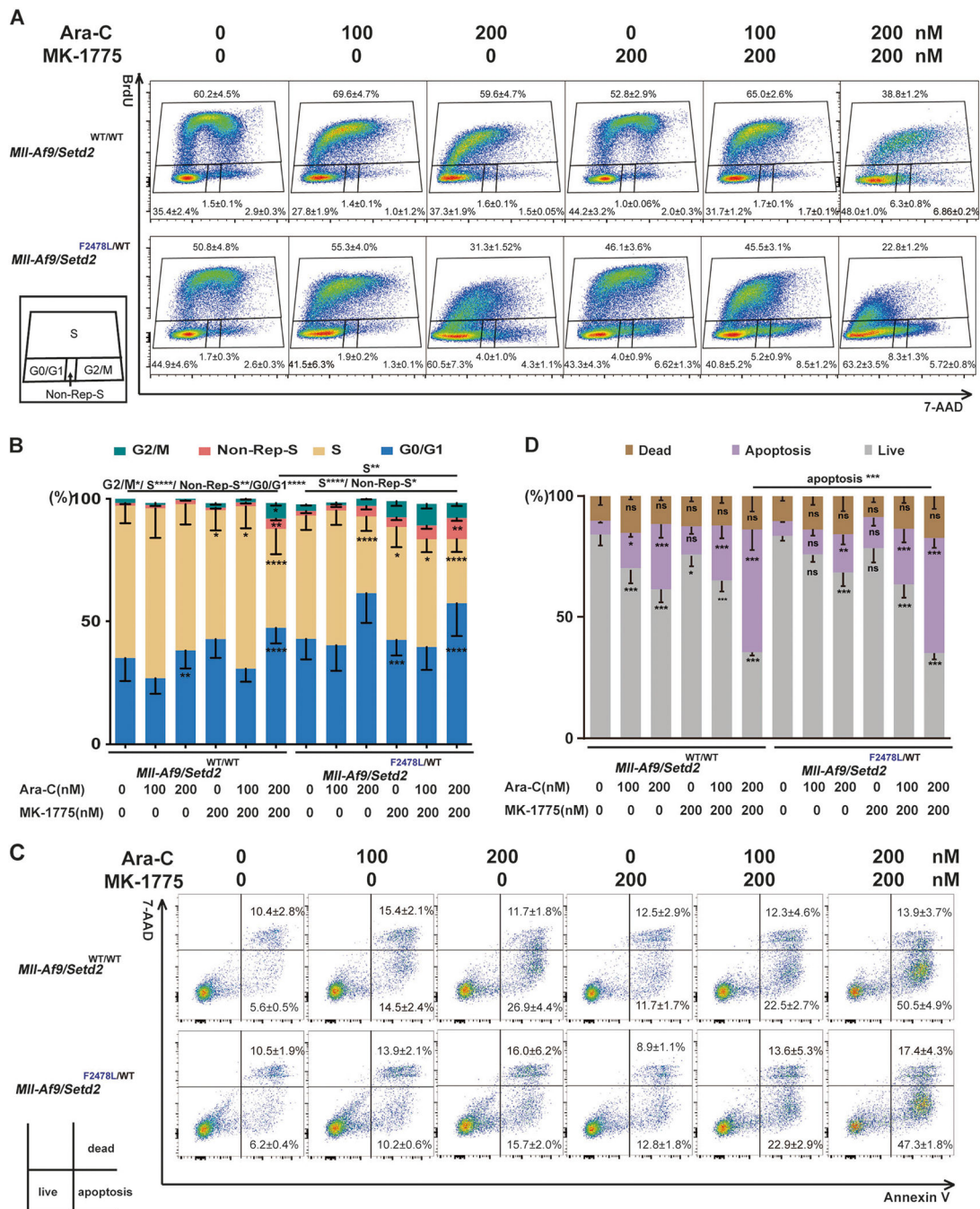
**Fig. 4.** Checkpoint signaling is dysregulated in *Mll-Af9/Setd2*-mutant AML. **a, b** KEGG pathway analysis (a) and GO analysis (b) of RNA-seq data from *Mll-Af9* and *Mll-Af9/shSetd2* knockdown cell lines. **c** Detection of endogenous DNA damage and checkpoint-related proteins in untreated *Setd2*<sup>F2478L/WT</sup> and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells using immunoblotting. **d** Detection of endogenous DNA damage and checkpoint-related proteins in *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> cells following treatment with 100 nM Ara-C for 48 h using immunoblotting. The data are presented from one representative experiment with one of four independent clones' replicates. The results were consistent across all biological replicates tested





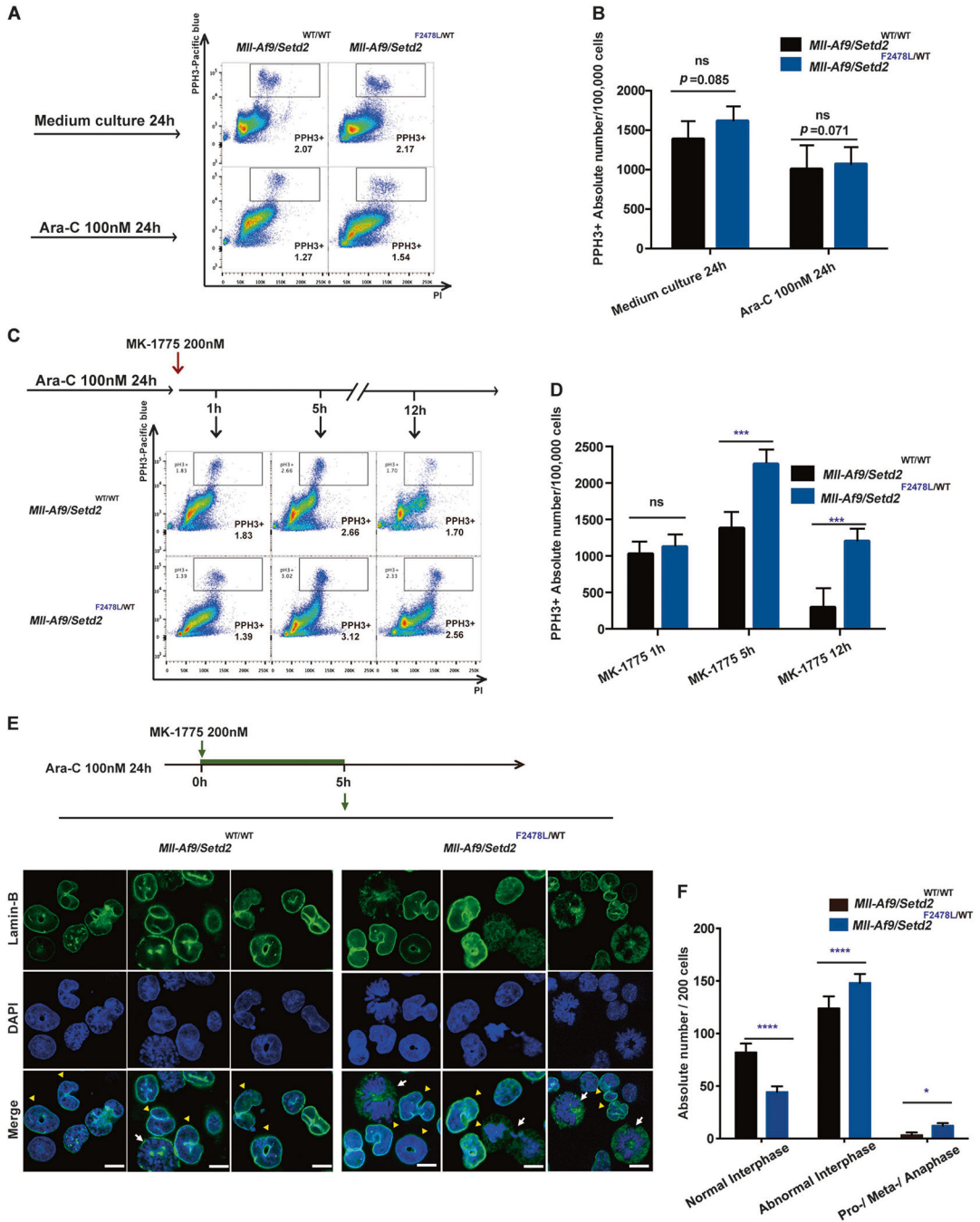
**Fig. 5.** Checkpoint inhibition resensitizes resistant *MII-Af9/Setd2* double-mutant AML cells to chemotherapy. **a** *MII-Af9* and *MII-Af9/ Setd2*<sup>F2478L/WT</sup> primary bone marrow leukemic cells were treated with variable concentrations of the WEE1 inhibitor MK-1775 for 48 h. **b** *MII-Af9* and *MII-Af9/Setd2*<sup>F2478L/WT</sup> primary bone marrow leukemic cells were treated with variable concentrations of the combination of Ara-C and MK-1775 for 48 h. **c** *MII-Af9* and *MII-Af9/Setd2*<sup>F2478L/WT</sup> primary cells were treated with variable concentrations of the CHK1 inhibitor MK-8776 for 48 h. **d** *MII-Af9* and *MII-Af9/Setd2*<sup>F2478L/WT</sup> primary cells

were treated with variable concentrations of the combination of Ara-C and MK-8776 for 48 h. **e–g** shRNA-mediated *Setd2* knockdown was performed in *MLL-AF9* human CD34<sup>+</sup> cells (**e, f**) and the MV4–11 cell line (**g**). After puromycin selection, the stable cell lines were treated with variable concentrations of the WEE1 inhibitor MK-1775 (**e, g**) or CHK1 inhibitor MK-8776 (**f**). **h** *MLL-AF9* human CD34<sup>+</sup> Scrambled and sh*SETD2* cells tested in the combination of Ara-C and MK-1775 for 48 h. **i** *MLL-AF9* human CD34<sup>+</sup> Scrambled and sh*SETD2* cells tested with the combination of Ara-C and MK-8776 for 48 h. **j** MV4–11 Scrambled and sh*SETD2* cells tested with the combination of Ara-C and MK-1775 for 48 h. The data in (**a, c, e, f, g**) are shown as three biological replicates of each genotype performed in triplicate and presented as the mean  $\pm$  SD values. The data in (**b, d, h, i, j**) are shown as the mean  $\pm$  SD values ( $n = 3$  technical replicates) of one independent clone



**Fig. 6.** Checkpoint inhibition alters the cell cycle and promotes apoptosis in cells treated with chemotherapeutic agents. *Mll-Af9* or *Mll-Af9/Setd2*<sup>F2478L/WT</sup> AML cells treated with Ara-C, MK-1775, or a combination, as indicated, for 24 h. **a** Cell cycle phase distributions were determined via BrdU incorporation for 40 min. **b** The percentage of cells at various stages of the cell cycle (G2/M, S, G0/G1, and non-Rep-S) in (a) was calculated and illustrated. Non-Rep-S represents the non-replicating S phase. **c** Apoptosis of the cells in quadrant 3 was evaluated by Annexin V/7-AAD staining. **d** Graphical representation of the percentage of

apoptotic cells in (c). The stacked bar graphs indicate the mean percentage of viable (“live”), early apoptotic (“apoptosis”), and late apoptotic (“dead”) cells. Three biological replicates of each genotype are performed in triplicate and the data are presented as the mean  $\pm$  SD values. \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$



**Fig. 7.** Checkpoint inhibition increases mitotic catastrophe in chemotreated cells. **a** Flow cytometry analysis of the phospho-Histone H3 (PHH3)-positive (PPH3<sup>+</sup>) mitotic population (indicated by boxes) in *Mii-Af9* and *Mii-Af9/Setd2*<sup>F2478L/WT</sup> primary cells after exposure to culture medium alone or Ara-C treatment for 24 h. **b** The total number of PPH3<sup>+</sup> cells in *Mii-Af9* and *Mii-Af9/Setd2*<sup>F2478L/WT</sup> primary cells in **(a)** were calculated and illustrated. **c** After *Mii-Af9* and *Mii-Af9/Setd2*<sup>F2478L/WT</sup> primary cells were treated with Ara-C for 24 h, the WEE1 inhibitor MK-1775 was added and cells were collected at 1, 5, and 12 h from the

same culture for pHH3<sup>+</sup> analysis. **d** Total number of pHH3<sup>+</sup> cells in *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> primary populations in **(c)** were illustrated and calculated. **e** After *Mll-Af9* and *Mll-Af9/Setd2*<sup>F2478L/WT</sup> primary cells were treated with Ara-C for 24 h, MK-1775 was added and the cells were collected at 5 h for confocal analysis. Mitotic catastrophe is visualized by micronucleation detected with an antibody against Lamin B (green). Nuclei or condensed chromosomes are shown by counterstaining with DAPI (blue). Scale bar indicates 10  $\mu$ m. Yellow triangles are used to show abnormal interphase cells; white arrows are used to show pro-/meta-/anaphase cells. **f** Representative data of three independent experiments in **(e)** are shown. In total, 200 cells per slide were counted. Three biological replicates of each genotype are performed in triplicate and the data are presented as the mean  $\pm$  SD values. \* $P < 0.05$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$