

Immune checkpoint inhibitors in mCRPC - rationales, challenges and perspectives

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ABSTRACT

The advancement of immune-therapeutics in cancer treatment has proven to be promising in various malignant diseases. However, in castration resistant prostate cancer (mCRPC) major Phase III trials have been unexpectedly disappointing. To contribute to a broader understanding of the role and use of immune-therapeutics in mCRPC, we conducted a systematic review. We searched the websites ClinicalTrials.gov, PubMed and ASCO Meeting Library for clinical trials employing immune checkpoint inhibitors in mCRPC. This article not only describes the rationale of individual trials, but it also summarizes the current status of the field and sheds light on strategies for future success.

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1. Introduction

Androgen deprivation therapy (ADT), sometimes combined with chemotherapy, is the mainstay of the therapy of metastatic prostate cancer.^{1–4}

ADT can either be achieved by bilateral orchiectomy (surgical castration) or by employing Gonadotropin-releasing hormone (GnRH) analogues (chemical castration). Usually, prostate cancer is contained by ADT and hence termed as hormone-sensitive prostate cancer (HSPC). Although most tumors respond to ADT in the beginning, 10–20% of HSPC progress despite ADT. This stage is known as castration resistant prostate cancer (CRPC), which is also referred to as hormone-refractory or androgen-independent prostate cancer. CRPC is incurable and has a poor prognosis as it metastasizes to bones, lymph nodes, lungs, liver or brain. This advanced stage is called metastatic CRPC (mCRPC). In such cases palliative chemotherapy with Docetaxel or Cabazitaxel, novel endocrine manipulations with Abiraterone, Enzalutamide, Apalutamide and Radium 223 have proven to be beneficial, improving quality of life and survival.^{2–4}

Tumor cells employ and exploit a wide range of different mechanisms to evade the responses of the immune system. One of the most well-studied strategies for immune escape is the overexpression of key “immune checkpoint” proteins on T-cells. Immune checkpoint proteins co-stimulate and co-inhibit immune response, thus maintain immune homeostasis. They also prevent T-cells from being active for too long which could result in the destruction of healthy tissue. By overexpressing the immune checkpoint proteins, tumor cells inhibit T-cells in responding against tumor cells, thus allowing the latter to proliferate uncontrollably. These insights have led to the development of several immune-therapeutics called immune checkpoint inhibitors (ICPIs). ICPIs block the checkpoint proteins responsible for diminishing the immune response against the tumor cells.⁵

In recent years, new immunotherapies based on ICPIs have emerged as attractive strategies in the treatment of advanced cancer. With the U.S. Food and Drug Administration (FDA) approval of Sipuleucel-T, the first ever dendritic cell vaccine against tumor growth, the expectations of the relevance for immune therapy approaches in prostate cancer was high. Unfortunately, first major phase III studies of ICPIs in mCRPC turned out to be negative, leaving the field disappointed and throwing the clinical development teams back to the drawing board.

In clinical practice, ICPIs can be categorized into 2 broad classes: cytotoxic T lymphocyte antigen-4 (CTLA-4) inhibitors and the programmed death receptor-1 (PD-1)/programmed death-ligand 1 (PD-L1) inhibitors. Tumor cells either induce CTLA-4 upregulation on tumor cells, suppressing the antitumor activity of T lymphocytes, thus establishing a favorable immunosuppressive microenvironment where malignant cells can survive. Other additional immune checkpoints are CD47 on M2 macrophages, VISTA and LAG3. However, these checkpoints are still not subject of clinical trials in prostate cancer. Sections 1.1 and 1.2 provide a detailed description of the CTLA-4 and PD-1/PD-L1 pathways.

1.1. CTLA-4

CTLA-4 is an important immune checkpoint receptor that is expressed on the membrane of activated T-cells and modulates immune response by downregulation of effector T-cells and enhancing regulatory T-cell (Treg) activity.^{6,7} CTLA-4 influences T-cell activity in the priming phase of T cell activation. In a physiological state of immune response, T-cell activation results from two different key signals: 1) the presentation of an antigen to the T-cell receptor (TCR) by the major histocompatibility complex (MHC) on antigen-presenting cells (APC); 2) binding of the primary costimulatory receptor on T-cells,

namely CD28, to CD80 (B7-1) and CD86 (B7-2) on APCs. T-cell activation then further increases the proliferation of T-cells themselves and the differentiation of T-cells to T memory cells.^{8,9}

CTLA-4 is a CD28 homolog and competes with CD28 by binding to CD80/86 with greater affinity, thereby inhibiting T-cell activation, maintaining protective immune-tolerance and counterbalancing hyperactive immune reactions. CTLA-4 is itself subject to a positive feedback regulation loop, since activating signals from CD28:CD80/CD86 binding are followed by upregulation of CTLA-4 on the cell surface.¹⁰ In contrast to effector T-cells, Tregs constitutively express CTLA-4, conferring the Tregs ability to suppress the activation of effector T-cells.¹¹ Cancer cells are able to derange the CTLA-4/B7 pathway, most prominently by PD-L overexpression, to establish an immunosuppressive microenvironment, thereby eluding antitumor responses.¹² The therapeutic inhibition of the CTLA-4 pathway may reverse the immunosuppressive milieu created by tumor cells and may help in reinforcing T-cell activation and proliferation and generating memory T-cells.¹³⁻¹⁶

1.2. PD-1/PD-L1

The PD-1/PD-L1 pathway performs a crucial role in the regulation of T-cell activity. PD-1 is a transmembrane glycoprotein T-cell co-inhibitory receptor expressed on activated CD4+ and CD8+ T-cells, B-lymphocytes, natural killer (NK) cells, and monocytes as well as tumor-infiltrating lymphocytes (TILs). The PD-1 receptor belongs to the superfamily of the B7/CD28 family and functions as a negative checkpoint inhibitor.¹⁷ The two ligands for PD-1 are PD-1 ligand 1 (PD-L1; also known as B7-H1 and CD274) and programmed death-ligand 2 (PD-L2, also known as B7-DC and CD273). The binding affinity of PD-L1 to PD-1 receptor was shown to be three times greater than the affinity between PD-1 and PD-L2.¹⁸ Physiologically, the PD-1/PD-L1 pathway acts as a control in order to minimize autoimmune phenomena and potential damage to healthy tissue as well as to generate protective immune tolerance.¹⁹

When an antigen expressed by the MHC complex is presented to the T cell, inflammatory cytokines – such as IL (Interleukin)-2, IL-7 and IL-15 – are produced and induce PD-L1 expression. The binding of PD-L1 to PD-1 results in inhibition of T-cell proliferation as well as in transformation of T lymphocytes into Tregs. Hence, the inflammatory response of the immune system is limited to a locally defined area, sparing the surrounding healthy tissue and averting generalized autoimmune responses.^{20,21}

However, by overexpressing PD-L1 and inducing PD-1 receptor expression on TILs and Tregs, certain tumors circumvent this specific immune system activation and generate a favorable immunosuppressive milieu, where they can thrive.²² Blockade of the PD-1/PD-L1-axis by monoclonal antibodies leads to reinforcement of the antitumor activity of previously inhibited T-cells and limits the number of Tregs, thus weakening the immunosuppressive tumor microenvironment and potentially restricting tumor growth.²³⁻²⁵

Despite the similarities between CTLA-4 and PD-1 pathways regarding their inhibitory effects on T-cell activity, they

differ notably with respect to their pattern of expression on various types of cells within the tumor microenvironment. In contrast to PD-1, which acts within peripheral tissues and is expressed on a variety of cells, CTLA-4 is only found on T-cells. The ligands for CTLA-4 are expressed on professional APCs residing in lymph nodes and spleen, whereas PD-L1 and PD-L2 are expressed by malignant cells and macrophages in predominantly peripheral tissue types.^{26,27}

By designing monoclonal antibodies specifically inhibiting the aforementioned immune checkpoints, e.g. Ipilimumab, the tolerance of the immune system toward the cancer cells is abolished and cytotoxic T-cells can react to malignant cells.

Although the molecular pathways of the functioning of ICPIs in various tumor entities have been well studied, the current literature on mCRPC lacks a general overview of all ICPIs that are being tested and employed to date. In order to contribute to a wider understanding of their role and use in mCRPC, we compiled a comprehensive review and discuss the current debates surrounding their success and failures.

2. Methods

We searched the websites ClinicalTrials.gov, PubMed and ASCO Meeting Library for clinical trials testing ICPIs in mCRPC. The following keywords and combinations were used according to Medical Subject Heading database (MeSH): “prostatic” OR “prostate” AND “neoplasms” OR “cancer”, “castration resistant” AND “cancer” OR “neoplasm”, “androgen” AND “independent” OR “insensitive” OR “resistant” AND “cancer”, “hormone refractory” AND “cancer” OR “neoplasm”, combined with “immune checkpoint inhibitor”, “checkpoint inhibitor”, “immune therapy”, “Ipilimumab”, “Pembrolizumab”, “Nivolumab”, “Durvalumab”, “Tremelimumab”, “Avelumab”, “Atezolizumab”. All clinical trials studying the application of ICPI in mCRPC, which started prior to 01. December 2018 were eligible for our review. Reviews, case reports and investigations concerning HSPC and non-metastasized CRPC were excluded. Two co-authors independently searched the literature and characterized the search results. Based on the Preferred Reporting Items for Systematic Reviews and Meta-analysis (PRISMA) statement guidelines, [Figure 1](#) charts the evidence acquisition process.²⁸

3. Results

The search strategy yielded 144 records. After deduplication and application of our exclusion criteria, 36 records were considered eligible for our review. The 36 clinical trials, study seven ICPIs, namely, Ipilimumab, Pembrolizumab, Nivolumab, Durvalumab, Tremelimumab, Avelumab, and Atezolizumab. [Table 1](#) provides an overview of the seven mentioned ICPIs, their brand names as well as their respective target mechanisms. Five of the ICPIs target the PD-1/PD-L1 receptor, whereas only two target the CTLA-4 receptor. Four ICPIs are members of the IgG1 subclass group. Pembrolizumab and Nivolumab belong to the IgG4 subclass. Tremelimumab is the only ICPI that belongs of the IgG2 subclass. One third of the 36 trials tested Pembrolizumab in mCRPC, followed closely by Ipilimumab, tested in nine trials. Between three and five trials were found for the remaining ICPIs. [Sections 3.1-3.6](#), supplemented by [Tables 2-7](#) describe each of the ICPIs,

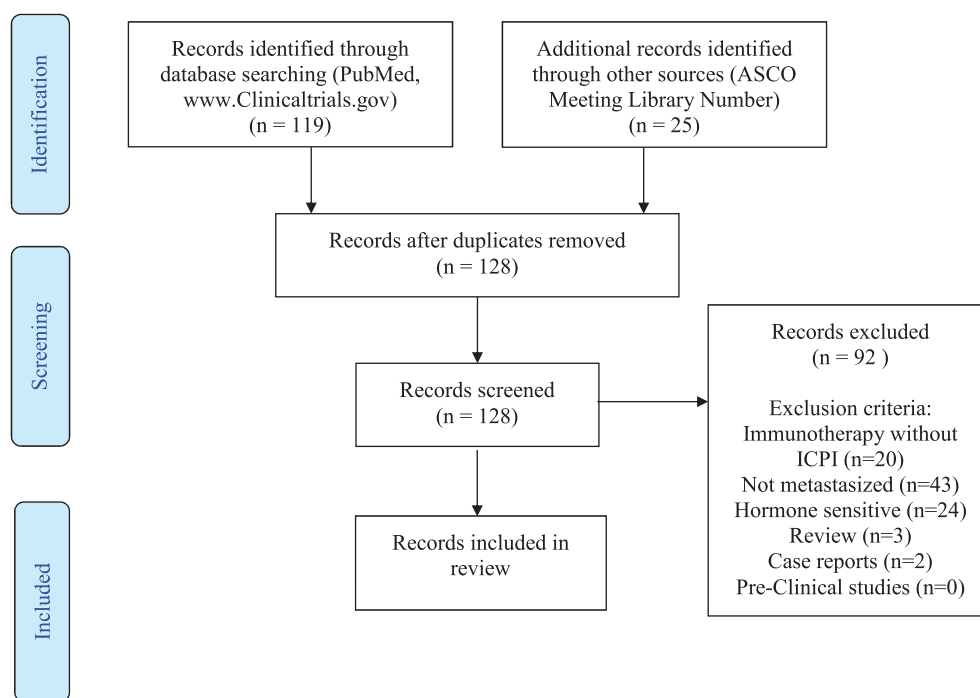


Figure 1. Evidence acquisition.

Table 1. Overview: clinical investigation of the seven ICPIs in mCRPC.

Substance Name	Brand Name	Substance Number	Pharmaceutical Company	Antibody Type	Target
Ipilimumab	Yervoy	MDX-010	Bristol-Myers Squibb	IgG1k-human	CTLA-4
Pembrolizumab	Keytruda	MK-3475	Merck & Co	IgG4-humanized	PD-1 receptor of T lymphocytes
Nivolumab	Opdivo	BMS-936558	Bristol-Myers Squibb	IgG4-human	PD-1 receptor of T lymphocytes
Durvalumab	Imfinzi	MEDI4736	Medimmune/AstraZeneca	IgG1k-human	PD-L1
Tremelimumab	No brand name yet	CP-675,206	Medimmune/AstraZeneca	IgG2-human	CTLA-4
Avelumab	Bavencio	MSB0010718C	Merck KGaA	IgG1-human	PD-L1
Atezolizumab	Tecentriq	MPDL3280A	Genentech/Roche	IgG1-humanized	PD-L1

along with the respective clinical investigations in detail. The most common endpoints were progression-free survival (PFS), overall survival (OS), overall response rate (ORR) and safety.

3.1. Ipilimumab

Ipilimumab is a monoclonal IgG1 antibody that targets CTLA-4 and thus enhances the antitumor activity of T lymphocytes. Two large phase 3 trials investigated the efficacy and safety of Ipilimumab in mCRPC (Table 2).

The first trial CA184-043 included 799 patients that had progressed after Docetaxel therapy and assessed Ipilimumab after bone-directed radiotherapy (8Gy in one fraction). After randomization in a 1:1 ratio, 399 men received Ipilimumab 10mg/kg and 400 received placebo every three weeks for up to four doses with maintenance therapy every three months until disease progression or intolerable therapy-related adverse effects (TRAEs). Since the median overall survival (OS) was 11.2 months for Ipilimumab and 10.0 months in the placebo arm, the trial failed to show a statistically significant survival benefit for Ipilimumab. Apart from that, four study drug-related deaths were reported and grade 3–4 TRAE were much higher (26%) in the Ipilimumab group than in the placebo arm with only 3%.²⁹

Subset analyses on median OS of the first trial suggested that patients with poor prognostic factors, including visceral disease, anemia, elevated alkaline phosphatase and lactate dehydrogenase (LDH) or higher age had a significantly lower benefit from Ipilimumab therapy ($p = .8756$) than patients with favorable factors ($p = .053$).³⁰ This finding suggests that clinical characteristics may be important for the selection of more homogenous patient subsets that are more suitable for a therapy with Ipilimumab.

The second trial, CA184-095, was conducted in chemotherapy-naïve mCRPC patients with low disease burden. Patients were randomly assigned in a 2:1 ratio to either receive Ipilimumab 10mg/kg ($n = 399$) or placebo ($n = 199$) every three weeks for up to 4 doses. Median OS was 28.7 months for Ipilimumab and 29.7 months for placebo. Similar to the first trial, grade 3–4 TRAE were significantly higher in the Ipilimumab group with 31% versus 2% in patients treated with placebo. All of the nine deaths (2%) occurred in the checkpoint inhibitor-arm.³¹ Conclusively, Ipilimumab demonstrated no survival benefit.

Unfortunately, a phase 2 clinical trial, CA184-437, which compared the efficacy of Ipilimumab 3mg/kg versus Ipilimumab 10mg/kg in chemotherapy naïve mCRPC patients was prematurely terminated because the two aforementioned



Table 2. Clinical investigation of ipilimumab in mCRPC.

Trial/Status	Substance	Patient Number/Study Assignment	Results	Sponsors/Collaborators/Investigators
CA184-043 (NCT00861614) – Phase 3 Actual Study Completion Date: August 2015	Ipilimumab vs. placebo after radiotherapy	Actual enrollment: 799 patients (399 in ipilimumab and 400 in placebo arm); Randomized, Double-Blind	OS: 11.2 months for ipilimumab vs. 10.0 months for placebo →no significance; PFS: 4 months for ipilimumab vs. placebo 3.1 months→significant; 4 deaths in ipilimumab group	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
CA184-095 (NCT01057810) – Phase 3 Actual Study Completion Date: July 2015	Ipilimumab vs. placebo after radiotherapy	Actual enrollment: 602 patients (399 in ipilimumab and 199 in placebo group); Randomized, Double-Blind	OS: 28.7 months for ipilimumab vs. 29.7 months for placebo→no significance; PFS: 5.6 months for ipilimumab vs. 3.8 months→significant; 9 deaths in ipilimumab group	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
CA184-437 (NCT02279862)- Phase 2 Trial terminated after a separate trial (CA184-095) failed to reach its primary endpoint	Ipilimumab 3 mg/kg versus 10 mg/kg	Actual enrollment: 82 patients; Randomized, Double-Blind	Not reported due to premature termination	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
STARVE-PC (NCT02601014) – Phase 2 Estimated Study Completion Date: September 2019	Ipilimumab + Nivolumab	Estimated enrollment: 15 patients; Open-label	OS: 8.2 months. PFS: 3.7 months →significant	Sponsors, Collaborators and Investigators: Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins
CA209-650 (NCT02985957) – Phase 2 Estimated Study Completion Date: March 2022	Ipilimumab + Nivolumab	Estimated enrollment: 90 patients Non-Randomized, Open-label	Not reported	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
IMPACT (NCT03570619) – Phase 2 Estimated Study Completion Date: August 2021	Ipilimumab + Nivolumab	Estimated enrollment: 40 patients Non-Randomized, Open-label	Not reported	Sponsors and Collaborators University of Michigan Cancer Center Memorial Sloan Kettering Cancer Center, University of California, San Francisco, Investigator: Rogel Cancer Center University of Michigan Sponsors and Collaborators: Bristol-Myers Squibb, Memorial Sloan Kettering Cancer Center, Northwestern University, Oregon Health and Science University, Investigator: Memorial Sloan Kettering Cancer Center
12-120 (NCT01688492) – Phase 1/2 Actual Study Completion Date: September 2019	Ipilimumab + Abiraterone Acetate + prednisone	Estimated enrollment: 57 patients; Single Group, Open-label	Not reported	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
MDX010-07 (NCT00050596) – Phase 2 Actual Study Completion Date: November 2004	Ipilimumab ± Docetaxel	Actual enrollment: not mentioned; Randomized, Open-label	Not reported	Sponsors, Collaborators and Investigators: Bristol-Myers Squibb
050167 (NCT00113984) – Phase 1 Actual Study Completion Date: December 2011	Ipilimumab + PROSTVAC-VF	Actual enrollment: 30 patients; Open-label	OS was 31.3 months for all dose cohorts and 37.2 months for patients treated with ipilimumab at 10 mg/kg;no dose-limiting toxic effects were reported →primary goal of safety was met; significance → N/A	Sponsors, Collaborators and Investigators: National Cancer Institute (NCI)

trials failed to demonstrate a survival benefit for Ipilimumab.³² Since the administration of Ipilimumab as a single-agent was unsuccessful in mCRPC, current trials test the combination of Ipilimumab with other therapeutic agents, such as diverse checkpoint inhibitors, antiandrogens, cytotoxic agents and cancer vaccines.

The biological rationale for a dual anti-CTLA-4 and PD-1/PD-L1 inhibition is to affect both priming and effector phase of T-cell activity in both lymph nodes and peripheral tissues, thus overcoming the immune suppressive microenvironment of the cancer cells and making them vulnerable for immune surveillance. In preclinical mouse experiments, the superior effect of the combination immunotherapy with PD-1/PD-L1 plus CTLA-4 checkpoint inhibitors compared to a single immune checkpoint blockade was demonstrated. It was reported that this combination can largely restore T-cell rejection function in tumors and diminish the number and function of Tregs at the same time.^{33,34} Ongoing investigations with ICPIs in mCRPC based on this therapy strategy are further listed in Table 2.^{35–38}

Based on pre-clinical evidence, abiraterone has immunomodulatory properties and sensitizes prostate tumor cells to T cell-mediated lysis. Thus, there is a solid rationale for the combination of abiraterone with immune checkpoint inhibitors in mCRPC.³⁵

In addition, ICPIs have also been tested in combination with classic cytotoxic agents such as Docetaxel. In preclinical studies, Docetaxel was shown to augment the MHC-1 and tumor antigen expression, and to release potent cancer antigens by degrading cancer cells. The application of ICPIs can hence potentially abolish the suppression of immune surveillance and trigger immune responses against malignant cells.^{36,37} In a relevant completed phase 2 trial MDX010-07, Ipilimumab monotherapy was tested against its combination with Docetaxel in mCRPC.³⁸ The results of both of the aforementioned trials have yet to be published.

The investigational pox-viral based vaccine, PROSTVAC VF, has been employed in combination with Ipilimumab in a phase 1 trial, 050167 with 30 mCRPC patients. Its regimen consists of a recombinant vaccinia vector as a primary vaccination, followed by multiple booster vaccinations employing a recombinant fowl pox vector. It aims at enhancing immune recognition to enable the T cells to target the prostate-specific tumor-associated antigens (TAAs).³⁹ Of 24 chemotherapy-naïve participating patients, 58% had a prostate-specific antigen (PSA) decline, of which six were $\geq 50\%$. Median OS was 2.63 years⁴⁰ (Table 2).

3.2. Pembrolizumab

Pembrolizumab is a PD-1 inhibitor that was first tested in the prostate adenocarcinoma cohort of the phase 1b KEYNOTE-028 trial in 23 mCRPC patients (Table 3). It was given at 10mg/kg every two weeks for up to 24 months or until disease progression or intolerable toxicity. Its application resulted in partial responses in four cases with an ORR of around 17% and disease stabilization in eight patients. OS was 7.9 months and grade 3–4 TRAEs occurred in 4 participants, which included neuropathy, asthenia, fatigue and lipase increase. No deaths or discontinuations were reported.⁴¹

Based on these encouraging results, the larger phase 2 KEYNOTE-199 trial was initiated and included 258 patients

with Docetaxel-refractory mCRPC. They were treated with 200 mg Pembrolizumab every three weeks (Q3W) until progressive disease (PD) or intolerable toxicity. According to the Prostate Cancer Clinical Trials Working Group 3 (PCWG3)-modified Response Evaluation Criteria in Solid Tumors (RECIST) criteria, disease control rate (DCR) lasting ≥ 6 months was 15% regardless of PD-L1 status. In around 13% of patients, ≥ 3 grade TRAEs occurred. These data are to some extent promising and warrant further trials, as a disease control rate at six months of 11% was reported, with two patients exhibiting complete responses.⁴²

The similar phase 2 trials PERSEUS1 and CC 16557, also listed in Table 3, will enroll 150 mCRPC patients, to assess the efficacy of Pembrolizumab monotherapy.^{43,44} Another phase 2 trial, CRQ 2015 applied Pembrolizumab in 28 mCRPC patients who previously progressed under Enzalutamide. The rationale behind this clinical trial was that Enzalutamide was shown by Bishop et al. to increase PD-1 and PD-L1 expression on T lymphocytes.⁴⁵ Recently published outcomes show that five of 28 patients (18%) had a PSA decline of higher than 50% and three of 12 patients (25%) with measurable disease at baseline achieved radiologic objective responses. Median PFS as examined by PSA increase was 3.8 months, median radiographic PFS was 10.8 months and median OS was 22.2 months. There were 8 immune related adverse events in 7 patients⁴⁶ (Table 3).

Aside from monotherapy studies, Pembrolizumab is currently being tested in several trials in combination with a variety of substances. An upcoming phase 1b/2 clinical trial – KEYNOTE-365 will enroll 210 mCRPC patients assigned to three cohorts: 200 mg Pembrolizumab (Q3W) with 400mg Olaparib twice daily (cohort A), 75mg/m² Docetaxel (Q3W) + 5mg prednisone twice daily for a maximum of 10 cycles (cohort B), and 160mg Enzalutamide once daily (cohort C), respectively. Primary end points are safety and PSA response.⁴⁷ Preliminary results are listed in Table 3.

Another relevant phase 1/2 trial, UW15014, explores the combination of the DNA vaccine pTVG-HP with Pembrolizumab in mCRPC patients⁴⁸ (Table 3). pTVG-HP is a plasmid DNA cancer vaccine which encodes for prostatic acid phosphatase (PAP), and hence stimulates the immune system against PAP-expressing prostate cancer cells. The preclinical background for this clinical trial were mouse experiments performed by McNeel et al, showing that the vaccination with pTVG-HP induced PD-1 expression on CD8-positive T-cells and PD-L1 expression in tumor cells.^{49–52}

Guadecitabine, another novel therapeutic agent, will be assessed in combination with Pembrolizumab in the phase 1 HyPer trial which will enroll 35 patients with NSCLC and mCRPC.⁵³ Guadecitabine is a second generation hypomethylating dinucleotide antimetabolite that is linked to guanosine via a phosphodiester bond, with potential antineoplastic activity.⁵⁴

Additionally, an ongoing phase 2 trial, 16–498 evaluates the addition of Pembrolizumab to Radium-223 in mCRPC patients.⁵⁵

An innovative concept is explored in a phase 2 trial, 2015–135, that combines Pembrolizumab with HER2Bi-armed activated T-cells. These T-cells have been exposed to the anti-CD3 murine antibody muromonab-CD3 and IL-2 for 14 days and then armed with anti-CD3 and an anti-Her2



Table 3. Clinical investigation of pembrolizumab in mCRPC.

Trial/Status	Substance	Patient Number/Study type	Results	Sponsors/Collaborators/Investigators
KEYNOTE-028 (NCT02054806) – Phase 1 Estimated Study Completion Date: August 2019	Pembrolizumab	Actual enrolment: 23 patients in mCRPC cohort, Open Label	ORR: 17.4%, SD rate: 34.8%, DOR: 13.5 months, PFS: 3.5 months OS: 7.9 months →significant:	Sponsors, Collaborators and Investigators: Merck Sharp & Dohme Corp.
KEYNOTE-199 (NCT02787005) – Phase 2 Estimated Study Completion Date: November 2020	Pembrolizumab± Enzalutamide	Estimated enrolment: 370 patients; Non-Randomized, Open-label	Grade 3/4 TRAE in 17.3% Preliminary results of 258 patients: DCR ≥6 months: 11%; significance →N/A	Sponsors, Collaborators and Investigators: Merck Sharp & Dohme Corp.
PERSEUS1 (NCT03506997) – Phase 2 Estimated Study Completion Date: September 2025	Pembrolizumab	Estimated enrolment: 100 patients; Open-label	Not reported	Sponsors: Collaborators: Merck Sharp & Dohme Corp., Institute of Cancer Research, United Kingdom, Investigator: The Institute of Cancer Research/The Royal Marsden NHSFT
CC 16557 (NCT03248570) – Phase 2 Estimated Study Completion Date: September 2020	Pembrolizumab+ Chemotherapy	Estimated enrolment: 50 patients; Non-randomized, Open-label	Not reported	Sponsors, Collaborators and Investigators: University of California, San Francisco
CRQ 2015 (NCT02312557) – Phase 2 Estimated Primary Completion Date: January 2019	Pembrolizumab+ Enzalutamide	Actual enrolment: 28 patients; Open-label	PSA response: 5/28 patients (18%) OS: 22.2 months	Sponsors and Collaborators: OHSU Knight Cancer Institute National Cancer Institute (NCI), Investigators: OHSU Knight Cancer Institute
KEYNOTE-365 (NCT02861573) – Phase 1b/2 Estimated Study Completion Date: April 2020	Pembrolizumab + Olaparib vs. Prednisone vs. Pembrolizumab + Enzalutamide vs.	Estimated enrolment: 400 patients Non-Randomized, Open-label	→significant in a subset of patients Preliminary results from Cohort A – Pembrolizumab + Olaparib: Grade 3–5 TRAE in 21 patients. 2 deaths reported, 1 was treatment-related (cause unknown). PSA response 5/39 patients (13%) OS: 14 months; significance →N/A	Sponsors, Collaborators and Investigators: Merck Sharp & Dohme Corp.
UW15014 (NCT02499835) Phase 1/2 Estimated Study Completion Date: July 2021	Pembrolizumab + Abiraterone + Prednisone Pembrolizumab+ pTVG-HP	Estimated enrolment: 66 patients; Randomized, Open-label	Not reported	Sponsors, Collaborators and Investigators: University of Wisconsin Carbone Cancer Center
HyPeR (NCT02998567) – Phase 1 Estimated Primary Completion Date: November 2018	Pembrolizumab + Guadecitabine	Estimated enrolment: 35 patients; Non-Randomized, Open-label	Not reported	Sponsors and Collaborators: Royal Marsden NHS Foundation Trust, Astex Pharmaceuticals, Merck Sharp & Dohme Corp., Institute of Cancer Research, United Kingdom, Investigator: not mentioned
16–498 (NCT03093428) Phase II Estimated Study Completion Date: June 2024	Pembrolizumab + Radium-223	Estimated enrolment: 45 patients; Randomized, Open-label	Not reported	Sponsors and Collaborators: Dana-Farber Cancer Institute, Merck Sharp & Dohme Corp.; Investigator: Dana-Farber Cancer Institute
2015–135 (NCT03406858) – Phase 2 Estimated Study Completion Date: December 2019	Pembrolizumab + HER2BI-Armed Activated T-cells	Estimated enrolment: 33 patients; Open-label	Not reported	Sponsors and Collaborators: Barbara Ann Karmanos Cancer Institute, National Cancer Institute (NCI), Investigators: Barbara Ann Karmanos Cancer Institute
MK-7123-034 (NCT03473925) – Phase 2 Estimated Study Completion Date: August 2019	Pembrolizumab+ Navarixin	Estimated enrolment: 120 patients; Randomized Open-label	Not reported	Sponsors, Collaborators and Investigators: Merck Sharp & Dohme Corp.
ARRO-CITO (NCT03300505) – Phase 2 Estimated Study Completion Date: November 2023	Pembrolizumab+ AZD5312	Estimated enrolment: 120 patients; Open-label	Not reported	Sponsor: University of Michigan Cancer Centre, Collaborators and Investigators: not mentioned

bispecific antibody (Her2Bi). They are capable of attaching to CD3-expressing T- cells and HER2/neu-expressing tumor cells, thus selectively cross-linking T-cells and tumor cells, which may then activate cytotoxic T lymphocytes (CTLs).⁵⁶

Of interest is yet another trial MK-7123-034. Here, patients with solid tumors including mCRPC, are being treated with both Pembrolizumab and a new CXCR2 antagonist, Navarixin in 120 participants in a further phase 2 trial.⁵⁷

A recent development in the field is ARRx (AZD5312), an androgen receptor (AR) antisense oligonucleotide that targets the AR, mRNA, thus inhibiting AR-induced tumor cell growth and promoting apoptosis in AR-overexpressing cancer cells.⁵⁸ Its efficacy is evaluated in combination with Pembrolizumab in the phase 2 ARRO-CITO trial⁵⁹ (Table 3).

3.3. Nivolumab

Alike Pembrolizumab, Nivolumab is a human IgG4 anti-PD-1 monoclonal antibody currently being evaluated for the treatment of mCRPC. Table 4 enlists the 3 Phase 2 trials investigating Nivolumab. In the ImmunoProst trial, Nivolumab is used as monotherapy after taxane-based chemotherapy. In the IRB18-0154 and the CheckMate 9KD trials, Nivolumab is being tested in combination with other drugs; in the former with Rucaparib, and in the latter with Rucaparib, Docetaxel or Enzalutamide.^{60,61} The reporting of results is still in progress.

3.4. Durvalumab and tremelimumab

Durvalumab binds to PD-1 and is currently being tested in two phase II trials with Tremelimumab. Tremelimumab is another ICPI directed against CTLA-4 and is currently being tested only in combination with Durvalumab in two trials. These trials evaluate the efficacy of 1500mg Durvalumab QW4 and 75mg Tremelimumab Q4W in mCRPC patients⁶²⁻⁶⁵ (Table 5).

In a large phase 1/2 clinical trial, 15-C-0145, Durvalumab is combined with Olaparib, and/or Cediranib. Olaparib is a Poly-ADP-Ribose-Polymerase (PARP) inhibitor. Cediranib is a novel orally available inhibitor of vascular endothelial growth factor (VEGFR) tyrosine kinases.⁶⁶ Preliminary results are listed in Table 5.

The accumulation of adenosine within the tumor microenvironment is of pivotal relevance, since immune cells expressing adenosine 2A receptor (A2AR) can exert an immunosuppressive

effect that can promote tumor growth and diminish the efficacy of immune checkpoint inhibitors.^{67,68} Thus, a phase 1 trial, REFMAL 435 investigating the safety of Durvalumab in combination with the orally available adenosine receptor antagonist AZD4635 is currently ongoing⁶³ (Table 5).

3.5. Avelumab

Avelumab is an IgG1 monoclonal antibody binding to PD-L1. The phase 1/2 PAVE-1 trial studies the safety and benefit of Avelumab in combination with PT-112, a novel platinum-pyrophosphate agent. This combination is tested in different types of cancer, including mCRPC.⁶⁹ The large-scale phase 1 JAVELIN Solid Tumor trial enlisted over 1700 patients with tumors, including 18 mCRPC patients and explored Avelumab in these participants. The investigators concluded that overall treatment with Avelumab was safe and tolerable, with 2 patients exhibiting grade 3 asymptomatic TRAEs (amylase and lipase elevations). In seven patients, disease stabilization was achieved.⁷⁰ The phase 2 Parp Medley trial will enroll over 300 patients with solid tumors including mCRPC and combine the effects of Avelumab with Talazoparib, another PARP inhibitor⁷¹ (Table 6).

3.6. Atezolizumab

Similar to Avelumab, Atezolizumab is an IgG1 monoclonal antibody inhibiting PD-L1.

Table 7 catalogs five trials. In a phase 1 clinical trial, BO30013 this checkpoint inhibitor is combined with Radium-223.⁷² Further, the phase 1 study Rosser-2015-4 combines Atezolizumab and Sipuleucel-T to study their safety in asymptomatic or oligosymptomatic mCRPC patients.⁷³ The phase 3 trial IMbassador250 applies Atezolizumab in conjunction with Enzalutamide in over 700 patients with mCRPC.⁷⁴

Similar to AZD4635, CPI-444 is an orally available adenosine receptor antagonist and is given with or without Atezolizumab for the treatment of various advanced cancers, including mCRPC, in a large phase 1 study CPI-444-001.⁷⁵ This study aims at enrolling over 500 patients.

Cabozantinib is a small molecule inhibitor of the tyrosine kinases c-Met and VEGFR-2 that is approved for the treatment of medullary thyroid cancer.⁷⁶ In the double-blind large-scale COMET-1 phase 3 study, Cabozantinib alone was

Table 4. Clinical investigation of nivolumab in mCRPC.

Trial/Status	Substance	Patient Number/Study type	Results	Sponsors/Collaborators/Investigators
ImmunoProst (NCT03040791) – Phase 2 Estimated Study Completion Date: July 2019	Nivolumab	Estimated enrolment: 29 patients; Open-label	Not reported	Sponsors and Collaborators Hospital Moinhos de Vento, Bristol-Myers Squibb, Investigators: Hospital Moinhos de Vento (Brazil)
IRB18-0154 (NCT03572478) – Phase 2 Estimated Study Completion Date: November 2022	Nivolumab + Rucaparib	Estimated enrolment: 60 patients; Randomized; Open-label	Not reported	Sponsors and Collaborators: University of Chicago, Bristol-Myers Squibb, Clovis Oncology Investigators: University of Chicago
CheckMate 9KD (NCT03338790) – Phase 2 Estimated Study Completion Date: November 2020	Nivolumab +Rucaparib Nivolumab + Docetaxel Nivolumab + Enzalutamide	Estimated enrolment: 300 patients; Non-Randomized; Open-label	Not reported	Sponsors and Collaborators, Bristol-Myers Squibb, Clovis Oncology, Astellas Pharma Inc Investigators: Bristol-Myers Squibb

Table 5. Clinical investigation of durvalumab and tremelimumab in mCRPC.

Trial/Status	Substance	Patient Number/Study type	Results	Sponsors/Collaborators/Investigators
2016–0769 (NCT03204812) – Phase 2 Estimated Study Completion Date: July 2020	Durvalumab + Tremelimumab	Estimated enrolment: 27 patients Open-label	Not reported	Sponsors and Collaborators: M.D. Anderson Cancer Center, MedImmune LLC; Investigators: M.D. Anderson Cancer Center
I232 (NCT02788773) – Phase 2 Estimated Study Completion Date: July 2019	Durvalumab ± Tremelimumab	Estimated enrolment: 74 patients Open-label	Not reported	Sponsors and Collaborators: Canadian Cancer Trials Group, AstraZeneca, Investigators: Juravinski Cancer Centre at Hamilton Health Sciences, Hamilton, Canada and London Regional Cancer Program, Canada
15-C-0145 (NCT02484404) – Phase 2 Estimated Study Completion Date: December 2019	Durvalumab + Olaparib vs. Durvalumab + Cediranib vs. Durvalumab + Olaparib + Cediranib	Estimated enrolment: 421 patients Open-label	Preliminary results of 17 patients: 12-month PFS is 51.5%; significance →N/A	Sponsors, Collaborators and Investigators: National Cancer Institute (NCI)
REFMAL 435 (NCT02740985) – Phase 1 Estimated Study Completion Date: December 2019	Durvalumab + AZD4635	Estimated enrolment: 208 patients, Non- Randomized Open-label	Not reported	Sponsors and Collaborators: AstraZeneca; Investigators: SCRI Development Innovations, LLC

Table 6. Clinical investigation of avelumab in mCRPC.

Trial/Status	Substance	Patient Number/ Study type	Results	Sponsors/Collaborators/Investigators
PAVE-1 (NCT03409458) – Phase 1/2 Estimated Study Completion Date: May 2020	Avelumab+ PT-112	Estimated enrolment: 52 patients; Non-Randomized Open Label	Not reported	Sponsors and Collaborators: Phosphatin Therapeutics, Pfizer, EMD Serono Investigators: M.D. Anderson Cancer Center
JAVELIN Solid tumor (NCT01772004) – Phase 1 Estimated Study Completion Date: October 2019	Avelumab	Actual enrolment: 18 patients in mCRPC cohort, Open Label	SD in seven patients, no dose-limiting toxic effects were reported →primary goal of safety was met; significance →N/A	Sponsors and Collaborators: EMD Serono Merck KGaA, Darmstadt, Germany, Investigators: EMD Serono Inc., an affiliate of Merck KGaA, Darmstadt, Germany
Parp Medley (NCT03330405) – Phase 2 Estimated Study Completion Date: March 2020	Avelumab + Talazoparib	Estimated enrolment: 300 patients; Non-Randomized Open Label	Not reported	Sponsors, Collaborators and Investigators: Pfizer

compared with prednisone in mCRPC patients refractory to chemotherapy, however failed to meet its primary endpoint OS.⁷⁷ Currently, it is tested along with Atezolizumab in a phase 1/2 trial, XL 184-021, in the treatment of several tumor types including mCRPC.⁷⁸

4. Discussion

Although some clinical benefits of single agent therapy with Pembrolizumab in the phase 2 Keynote-199 trial have been demonstrated and were independent from PD-L1 status, outcomes of ICPI therapy in mCRPC so far are disenchanting when compared with other cancer entities. The two landmark trials with Ipilimumab (CA 184-043 and CA 184-095) which failed to prolong OS and partially caused severe adverse effects (AEs) independent from the disease stage status, are in sharp contrast to the success of ICPIs in melanoma or lung cancer. A subset analyses in the latter trial demonstrated at

least a significantly higher clinical benefit in patients with favorable prognostic factors, thus encouraging further studies and raising interesting debates on reasons of failure and strategies to overcome them.

4.1. Debate 1: molecular biology, immunogenic aspects and external risk factors of prostate cancer

Considering how prostate cancer differs from other malignant diseases such as melanoma or small cell lung cancer, where currently big successes of immune therapy are being celebrated may shed light on the negative results from mCRPC trials. ICPIs achieved good and durable responses in melanoma, non-small cell lung and gastric cancer, to name a few. In the period prior to ICPI, these cancer types had a dismal prognosis and systemic therapeutic options were quite limited^{79–81} when local therapy failed. In contrast, the progression of prostate cancer is contained and controlled by

Table 7. Clinical investigation of atezolizumab in mCRPC.

Trial/Status	Substance	Patient Number/ Study type	Results	Sponsors/Collaborators/Investigators
BO30013 (NCT02814669) – Phase 1 Estimated Study Completion Date: March 2020	Atezolizumab+ Radium-223 Dichloride	Estimated enrolment: 45 patients, Randomized Open-label	Not reported	Sponsors, Collaborators and Investigators: Hoffmann-La Roche
Rosser-2015–4 (NCT03024216) – Phase 1 Estimated Study Completion Date: January 2020	Atezolizumab + Sipuleucel-T	Estimated enrolment: 34 patients Randomized Open-label	Not reported	Sponsors and Collaborators University of Hawaii, Genentech, Inc., Dendreon, Investigators: Prostate Oncology Specialists, Inc.
IMbassador250 (NCT03016312) – Phase 3 Estimated Study Completion Date: July 2022	Atezolizumab+ Enzalutamide	Estimated enrolment: 730 patients Randomized Open Label	Not reported	Sponsors, Collaborators and Investigators: Hoffmann-La Roche
CPI-444–001 (NCT02655822) – Phase 1 Estimated Study Completion Date: October 2021	Atezolizumab+ CPI-444	Estimated enrolment: 534 patients Randomized Open-label	Not reported	Sponsors and Collaborators: Corvus Pharmaceuticals, Inc., Genentech, Inc., Investigators: Corvus Pharmaceuticals
XL184-021 (NCT03170960) – Phase 1/2 Estimated Study Completion Date: October 2020	Atezolizumab +Cabozantinib	Estimated enrolment:360 patients, Non-Randomized Open-label	Not reported	Sponsors and Collaborators: Exelixis, Investigator: not mentioned

endocrine intervention and more recently chemotherapy, creating a more heterogeneous patient population at the time of treatment.

Another important feature of prostate cancer is its intra- and inter-tumoral genetic and epigenetic heterogeneity from the beginning. This heterogeneity is both spatial and temporal. Unlike other carcinomas, frequent chromosome alterations result in a high frequency of gene fusion events. The presence of different subclones, each with distinct genomic and phenotypic characteristics adds to the complexity and diversity.^{82–85} These differences in tumor biology may be important reasons for these modest results of ICPIs in mCRPC. Thus, a better understanding of the biology of mCRPC and the involved complex underlying mechanisms and the intricate pathway networks is urgently needed.

Although prostate cancer is thought to be an immunogenic malignancy, the immune system in most patients with prostate cancer is weaker due to the older age of the patients.⁸⁶ Low levels of TILs potentially based also on the relative low tumor mutational burden (TMB) of prostate cancer patients compared to other cancer entities may be additional factors. Since ICPIs are dependent on a functioning immune system, there might not be enough immunologic capacity to unleash the full potential of the ICPIs. On the other hand if an adequate immune reaction in an older patient is achieved, side effects are less well tolerated thus weakening the therapeutic index.

With respect to external risk factors, there is lack of proof on which factors play a significant role in the carcinogenesis of prostate cancer.⁸⁷ By contrast the risk factors for the other common cancer diseases are well known: Smoking is the single most important external risk factor for the development of lung cancer, UV radiation for melanoma and *Helicobacter pylori* infection for gastric cancer, respectively.^{88–91} Clearly defined risk factors may be the reason for a more uniform disease.

4.2. Debate 2: selection of patient population

Unsatisfactory results may also be caused in part by suboptimal patient selection, study design and management of side effects. One way to optimize patient selection would be to allocate patients with similar clinical characteristics and features to the same subset to create a more homogenous patient collective.

Another more promising avenue would be to select patients according to biological markers. A classic example is microsatellite instability (MSI), which may not only select a particular more homogenous genetic subtype, but also one with consequences for immunogenicity. It was shown that deficient mismatch repair (dMMR) of DNA in cells causes microsatellite instability (MSI) meaning that the number of repeats of microsatellites differs from the repeat number of the corresponding normal DNA.⁹² MSI in turn causes genetic hypermutability ultimately resulting in higher mutational load. It has been demonstrated in several experiments that the impairment of the genomic integrity renders cancer cells more susceptible to ICPI. In metastatic prostate cancer, MSI and dMMR occur in up to 12%.^{93,94} Thus, the dMMR/MSI status could serve as a powerful and reliable biomarker for a more homogenous patient classification, optimization of patient selection for clinical trials and for therapy responsiveness and prognosis.^{95,96}

4.3. Debate 3: potential of combination therapies

The most commonly found combination is the combination between ICPIs targeting CTL-4 and PDL-1. A combination successful in other tumor entities holds particular promise in Prostate cancer patients for reasons discussed above. The same is true for combination with vaccines where a stimulation of tumorigenicity might unleash the potential of ICPIs. Less obvious rationale is behind the combination of

ICPIs with therapeutics of proven efficacy in prostate cancer e.g. new hormonal agents, PARP inhibitors (ex. Talazoparib) and even less in the combination with as yet unproven substances.

Combination therapies have shown to be beneficial largely because of their synergistic and/or additive effects against cancer cells. For example, pre-clinical data on the combination of Enzalutamide with ICPIs have shown promising results; however, they should be carefully chosen to avoid increased toxicity. Pressing challenges are the cost of ICPI therapy, particularly for combination therapies. ICPIs are extremely cost-intensive and pose a relevant economic burden for health-care services. Further research is warranted to identify powerful predictive biomarkers, optimize patient selection and study design and to establish safe, effective and cost-efficient therapy options.

Conclusion

ICPIs have opened exciting new avenues in tumor therapy in many cancer entities. This review provides an overview over the clinical impact of ICPIs in CRPC. It also sheds light on the reasons, why the field of prostate cancer, despite being a leader in employing clinical tumor immunology tools, has fallen behind in providing solid proofs of success. Due to space restrictions and for purposes of clarity, promising fields such as CAR (chimeric antigen receptor) T- cells therapy, and innovative ICPIs controlling macrophage activity hat to be left out.

Abbreviations

ADT	Androgen deprivation therapy
ADCC	Antibody-dependent cell-mediated cytotoxicity
APC	Antigen-presenting cells
AR	Androgen receptor
CAR	Chimeric antigen receptor
CD	Cluster of differentiation
CLTA-4	Cytotoxic T-lymphocyte-associated Protein 4
CRPC	Castration resistant prostate cancer
DCR	Disease control rate
DOR	Duration of response
FDA	U.S. Food and Drug Administration
GnRH	Gonadotropin-releasing hormone
HSPC	Hormone-sensitive prostate cancer
ICPI	Immune checkpoint inhibitor
IgG	Immunoglobulin G
IL	Interleukin
irAE	immune-related adverse effect
LAG3	Lymphocyte-activation gene 3
MAC	Membrane attack complex
mCRPC	metastatic castration-resistant prostate cancer
MeSH	Medical Subject Heading database
MHC	Major histocompatibility complex
MHC-1	Major histocompatibility complex-1
N/A	Not applicable
NCT	National Clinical Trial
NK cells	Natural killer cells
NSCLC	Non-Small cell lung cancer
OS	Overall survival
ORR	Overall response rate
PARP	Poly-ADP-Ribose-Polymerase
PCWG3	Prostate Cancer Clinical Trials Working Group 3
PD	Progressive disease

PD-1	Programmed cell death protein 1
PD-L1	Programmed death-ligand 1
PD-L2	Programmed death-ligand 2
FS	Progression-free survival
PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-analysis
PSA	Prostate specific antigen
Q3W	every three weeks
Q4W	every four weeks
RECIST	Response evaluation criteria in solid tumors
SD	Stable disease
TAA	Tumor-associated antigens
TCR	T cell receptor
TIL	Tumor-infiltrating lymphocytes
TMB	Tumor mutation burden
TRAE	Therapy-related adverse effects
Treg	Regulatory T cell
VEGFR	Vascular endothelial growth factor receptor
VEGFR-2	Vascular endothelial growth factor receptor 2
VISTA	V-domain Ig suppressor of T cell activation

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