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Rueda JR, Mugueta-Aguinaga I, Vilaró J, Rueda-Etxebarria M.  
Myofunctional therapy (oropharyngeal exercises) for obstructive sleep apnoea.  
*Cochrane Database of Systematic Reviews* 2019, Issue 10. Art. No.: CD013449.  
DOI: [10.1002/14651858.CD013449](https://doi.org/10.1002/14651858.CD013449).

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[Intervention Protocol]

# Myofunctional therapy (oropharyngeal exercises) for obstructive sleep apnoea

José-Ramón Rueda<sup>1</sup>, Iranzu Mugueta-Aguinaga<sup>2</sup>, Jordi Vilaró<sup>3</sup>, Mikel Rueda-Etxebarria<sup>4</sup>

<sup>1</sup>Department of Preventive Medicine and Public Health, University of the Basque Country, Leioa, Spain. <sup>2</sup>Respiratory Physiotherapy Unit, Rehabilitation Service, Pneumology, Biocruces Health Research Institute, Cruces University Hospital, Barakaldo, Spain. <sup>3</sup>Department of Health Sciences, Ramon Lull University, Barcelona, Spain. <sup>4</sup>Rehabilitom, Bilbao, Spain

**Contact address:** José-Ramón Rueda, Department of Preventive Medicine and Public Health, University of the Basque Country, Barrio Sarriena, S.N., Leioa, Bizkaia, E-48080, Spain. [joseramon.rueda@ehu.eus](mailto:joseramon.rueda@ehu.eus).

**Editorial group:** Cochrane Airways Group

**Publication status and date:** New, published in Issue 10, 2019.

**Citation:** Rueda JR, Mugueta-Aguinaga I, Vilaró J, Rueda-Etxebarria M. Myofunctional therapy (oropharyngeal exercises) for obstructive sleep apnoea. *Cochrane Database of Systematic Reviews* 2019, Issue 10. Art. No.: CD013449. DOI: [10.1002/14651858.CD013449](https://doi.org/10.1002/14651858.CD013449).

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## ABSTRACT

This is a protocol for a Cochrane Review (Intervention). The objectives are as follows:

To evaluate the benefits and harms of myofunctional therapy (oropharyngeal exercises) for the treatment of obstructive sleep apnoea.

## BACKGROUND

### Description of the condition

Obstructive sleep apnoea (OSA) is a syndrome characterized by episodes of apnoea (complete cessation of ventilation) or hypopnoea (insufficient breathing) during sleep. Classical symptoms of the disease — such as snoring, unsatisfactory rest and daytime sleepiness — are experienced mainly by males; females report more unspecific symptoms such as low energy or fatigue, tiredness, initial insomnia and morning headaches (Evans 2014; Fietze 2018; Nigro 2018; Theorell-Haglöw 2018). OSA is associated with an increased risk of occupational injuries (Hirsch 2016), metabolic diseases (Patinkin 2017), cardiovascular diseases (Hou 2018; Sarkar 2018), mortality (Butler 2019; Marshall 2008), and being involved in traffic accidents (Gottlieb 2018; Tregear 2009).

Obesity is probably the single most important risk factor for OSA in adults (Carneiro 2018; Hnin 2018) and children (Andersen 2019). It is estimated that over 70% of people with OSA are obese, and the prevalence of OSA among obese people may be as high as 45% (Romero-Corral 2010). Obesity is a growing problem all over the world and the incidence and prevalence of OSA is predicted to increase in parallel with it (Blüher 2019; Garvey 2015). Socioeconomic status could be a risk factor for OSA and, coupled with obesity and disparities in health care, could influence the association between OSA and racial/ethnic minorities (Guglielmi 2019). Other factors that seem to play a relevant role in the genesis of OSA include an anatomically narrow or highly collapsible upper airway or problems related with muscle responsiveness, loop gain and pharyngeal dilator muscle activity (Eckert 2013; Carberry 2016).

Diagnosis of OSA includes polysomnography – a sleep study that includes overnight continuous monitoring of the patient, usually done in-hospital-, or home sleep apnoea testing in people presenting with a combination of symptoms, including excessive daytime sleepiness, loud snoring, witnessed apnoea episodes, or non-dipping nocturnal hypertension (Kapur 2017; Randerath 2018; Crinion 2019).

Published reviews have found wide variation in the reported prevalence of OSA, which is caused in part by substantial methodological heterogeneity in population prevalence studies, including differences in the diagnostic threshold used to define the cut-off level for the Apnea-Hypopnea Index (AHI) or the inclusion (or not) of excessive daytime sleepiness as necessary diagnostic criteria (Lozo 2016; Senaratna 2017). In adults, the prevalence of OSA with excessive daytime sleepiness could range from between 3% and 18% of men, and 1% and 17% of women (Franklin 2015; Jonas 2017; Mirrakhimov 2013; Sunwoo 2018; van der Spuy 2018). However, OSA in women is probably under-diagnosed, given the widespread belief that OSA is rare in women and that the symptomatology in women differs from classical symptoms of snoring and daytime somnolence (Garvey 2015). In children, prevalence could range from 1% to 6% (Bixler 2009; Li 2010; Katidis 2017; Tsukada 2018).

### Description of the intervention

Myofunctional therapy for OSA is usually a multi-component intervention including several combinations of oropharyngeal exercises (Camacho 2017). Current proposals vary regarding the time frame of the treatment, the type and intensity of exercises to be included, and the delivery of the interventions (e.g. whether they are deliv-

ered by a professional, such as a speech pathologist, or self-administered by the patient using an app).

Therapy can include isotonic and isometric exercises involving several muscles and areas of the mouth, pharynx and upper respiratory tract, working on functions such as speech, breathing, blowing, suction, chewing or swallowing (Guimaraes 2009; de Felicio 2018).

### How the intervention might work

Myofunctional therapy aims to improve to the functioning of upper airway dilator muscles that are essential to maintain pharyngeal patency (Guimaraes 2009; Folha 2015; Osman 2018). Muscular endurance exercises aim to improve the tone, tension and mobility of oropharyngeal muscles and soft tissues, in order to diminish airway closures during sleep (Diaferia 2017). The therapy also targets parapharyngeal fat pads, such as tongue fat, which are increased in OSA patients (Kim 2014).

A similar approach to that described above is purported to be useful for children with OSA (Guilleminault 2013).

### Why it is important to do this review

Continuous positive airway pressure (CPAP) is considered the first treatment option for most people with OSA, however adherence to treatment is often suboptimal (Bakker 2019; Mehrtash 2019; Rotenberg 2016). Poor compliance with CPAP is probably due to the side effects of the treatment, which include discomfort, nasal congestion, abdominal bloating, mask leaks, claustrophobia and inconvenience of regular usage (Wozniak 2014). A Cochrane Review published in 2014 evaluated the efficacy of different interventions aimed at improving adherence in CPAP-naïve people with severe sleep apnoea; it estimated that basal adherence to CPAP (four or more hours per night) could range from 28% to 59% in randomized controlled trials (RCTs), and found that some interventions could result in additional increases in adherence rates ranging from 14% to 26% (Wozniak 2014). However, significant numbers of patients would still remain non-adherent.

Although there are other possible treatment options for some patients with OSA, including oral appliances or surgery (Carvalho 2016; Jen 2018; Werz 2017), myofunctional therapy is noninvasive, inexpensive, and has no major risks. It could be a safe and acceptable option for many patients with OSA, and economically accessible for people and countries with lower incomes.

There are some published reviews on myofunctional therapy for OSA or snoring but they include in their analysis the results of observational studies which are not as reliable as randomized clinical trials for assessing the efficacy or safety of the compared interventions. Also, those reviews do not include evidence from new studies published recently (Camacho 2017; de Felicio 2018; Kayamori 2017).

It is necessary to have a reliable summary of available evidence from RCTs assessing the effects of myofunctional therapy on people with OSA to guide decision making for patients, professionals and funding agencies.

## OBJECTIVES

To evaluate the benefits and harms of myofunctional therapy (oropharyngeal exercises) for the treatment of obstructive sleep apnoea.

## METHODS

### Criteria for considering studies for this review

#### Types of studies

We will include randomized controlled trials (RCTs). We will include studies reported in full text, those published as an abstract only and unpublished data.

#### Types of participants

We will include adults and children (below 18 years old) with a diagnosis of obstructive sleep apnoea, defined as five or more episodes of apnoea or hypopnoea per hour of sleep by polysomnography (PSG) or portable monitoring (Type I to Type III sleep monitors).

We will exclude studies where the included participants experience other types of sleep-disordered breathing, such as central sleep apnoea, or where obstructive sleep apnoea has developed after a stroke.

#### Types of interventions

We will include studies comparing myofunctional therapy (oropharyngeal exercises) with one of the following control groups.

1. Sham therapy or no intervention
2. Continuous positive airway pressure (CPAP)
3. Any other active intervention
4. Combination therapy: myofunctional therapy added to CPAP versus CPAP alone or CPAP plus sham myofunctional therapy
5. Waiting list

We will not include studies in which myofunctional therapy is part of a multi-component intervention and there is no possibility to assess the separate effect of myofunctional therapy.

We will include the following co-interventions, provided they are not part of the randomized treatment: exercise for weight loss and diet and sleep recommendations.

We anticipate the following comparisons.

1. Myofunctional therapy versus sham therapy
2. Myofunctional therapy versus no intervention or wait-list
3. Myofunctional therapy versus CPAP
4. Myofunctional therapy plus CPAP versus CPAP alone.

#### Types of outcome measures

##### Primary outcomes

1. Daytime sleepiness, measured by a validated scale or questionnaire (e.g. the Epworth Sleepiness Scale; [Johns 1991](#))
2. Morbidity (including accidents and cardiovascular diseases) and mortality

##### Secondary outcomes

1. Quality of life, measured by a validated scale or questionnaire (e.g. the SF-36; [Ware 1993](#))
2. Sleep quality, measured by a validated scale or questionnaire (e.g. the Pittsburgh Sleep Quality Index; [Buysse 1989](#))
3. Adverse events/side effects
4. Apnoea-Hypopnoea Index (AHI), defined as the number of episodes of apnoea or hypopnoea per hour of sleep, measured objectively by polysomnography
5. Snoring

We will pool data for the short, medium and long term, defined as follows.

1. Short term: up to three months
2. Medium term: from three months to two years
3. Long term: more than two years

### Search methods for identification of studies

#### Electronic searches

We will identify studies from searches of the following databases and trial registries.

1. Cochrane Airways Trials Register ([Cochrane Airways 2019](#)), via the Cochrane Register of Studies (all years to date)
2. Cochrane Central Register of Controlled Trials (CENTRAL), via the Cochrane Register of Studies (all years to date)
3. MEDLINE Ovid SP (1946 to date)
4. Embase Ovid SP (1974 to date)
5. US National Institutes of Health Ongoing Trials Register ([clinical-trials.gov](#))
6. World Health Organization International Clinical Trials Registry Platform ([apps.who.int/trialsearch/](#))

The proposed MEDLINE search strategy is listed in [Appendix 1](#). This will be adapted for use in the other databases. The search strategy was developed by the Cochrane Airways Information Specialist in collaboration with the review authors, and was peer-reviewed by another Cochrane Information Specialist using the Peer Review of Electronic Search Strategies (PRESS) checklist ([McGowan 2016](#)). All databases and trial registries will be searched from their inception to the present, and there will be no restriction on language or type of publication. Hand-searched conference abstracts and grey literature will be searched for through the Cochrane Airways Trials Register and the CENTRAL database.

#### Searching other resources

We will check the reference lists of all primary studies and review articles for additional references. We will search relevant manufacturers' websites for study information.

We will search on [PubMed](#) for errata or retractions from included studies published in full text and report the date this was done within the review.

## Data collection and analysis

### Selection of studies

Three review authors (IMA, JV and MRE) will screen the titles and abstracts of the search results independently and code them as 'retrieve' (eligible or potentially eligible/unclear) or 'do not retrieve'. We will retrieve the full-text reports of all potentially eligible studies and three review authors (IMA, JV and MRE) will independently screen them for inclusion, recording the reasons for exclusion of ineligible studies. We will resolve any disagreement through discussion or, if required, we will consult another review author (JRR). We will identify and exclude duplicates and collate multiple reports of the same study so that each study, rather than each report, is the unit of interest in the review. We will record the selection process in sufficient detail to complete a PRISMA flow diagram and 'Characteristics of excluded studies' table (Moher 2009).

### Data extraction and management

We will use a data collection form for study characteristics and outcome data, which has been piloted on at least one study in the review. Two review authors (IMA and MRE) will extract, in a duplicate manner, the following characteristics from the included studies.

1. Methods: study design, total duration of study, details of any 'run-in' period, number of study centres and location, study setting, withdrawals and date of study.
2. Participants: number (N), mean age, age range, gender, severity of condition, previous history of CPAP use, diagnostic criteria, baseline lung function, smoking history, inclusion criteria and exclusion criteria.
3. Interventions: intervention, comparison, concomitant interventions and excluded co-interventions.
4. Outcomes: primary and secondary outcomes specified and collected, and time points reported.
5. Notes: funding for studies and notable conflicts of interest of trial authors.

Two review authors (MRE and IMA) will independently extract outcome data from included studies. We will note in the 'Characteristics of included studies' table if outcome data were not reported in a usable way. We will resolve disagreements by consensus or by involving a third review author (JRR or JV). One review author (MRE) will transfer data into the Review Manager file (RevMan 2014). We will double-check that data are entered correctly by comparing the data presented in the systematic review with the study reports. A second review author (JRR) will spot-check study characteristics for accuracy against the study report.

### Assessment of risk of bias in included studies

Two review authors (IMA and MRE) will assess risk of bias independently for each study using the criteria outlined in the *Cochrane Handbook for Systematic Reviews of Interventions* (Higgins 2011). We will resolve any disagreements by discussion or by involving another review author (JV or JRR). We will assess the risk of bias according to the following domains.

1. Random sequence generation
2. Allocation concealment
3. Blinding of participants and personnel
4. Blinding of outcome assessment

5. Incomplete outcome data
6. Selective outcome reporting
7. Other bias

We will judge each study as being at high, low or unclear risk of bias for each domain. We will provide a quote from the study report, together with a justification for our judgement, in the 'Risk of bias' table. We will summarize the 'Risk of bias' judgements across different studies for each of the domains listed. We will consider blinding separately for different key outcomes where necessary (e.g. for unblinded outcome assessment, risk of bias for all-cause mortality may be very different than for a patient-reported pain scale). Where information on risk of bias relates to unpublished data or correspondence with a trialist, we will note this in the 'Risk of bias' table.

When considering treatment effects, we will take into account the risk of bias for the studies that contribute to that outcome.

### Assessment of bias in conducting the systematic review

We will conduct the review according to this published protocol and justify any deviations from it in the 'Differences between protocol and review' section of the systematic review.

### Measures of treatment effect

We will analyse dichotomous data as odds ratios (ORs) and continuous data as the mean difference (MD) or standardized mean difference (SMD). If data from rating scales are combined in a meta-analysis, we will ensure they are entered with a consistent direction of effect (e.g. lower scores always indicate improvement).

We will undertake meta-analyses only where this is meaningful; that is, if the treatments, participants and the underlying clinical question are similar enough for pooling to make sense. We will describe skewed data narratively (for example, as medians and interquartile ranges for each group).

Where multiple trial arms are reported in a single study, we will include only the relevant arms. If two comparisons (e.g. drug A versus placebo and drug B versus placebo) are combined in the same meta-analysis, we will either combine the active arms or halve the control group to avoid double-counting.

If adjusted analyses are available (ANOVA or ANCOVA) we will use these as a preference in our meta-analyses. If both change-from-baseline and endpoint scores are available for continuous data, we will use change-from-baseline unless there is low correlation between measurements in individuals. If a study reports outcomes at multiple time points, we will use last one.

We will use intention-to-treat (ITT) or 'full analysis set' analyses where they are reported (i.e. those where data have been imputed for participants who were randomly assigned but did not complete the study), instead of completer or per-protocol analyses.

### Unit of analysis issues

For dichotomous outcomes we will use participants, rather than events, as the unit of analysis (i.e. number of children admitted to hospital, rather than number of admissions per child). However, if rate ratios are reported in a study, we will analyse them on this basis. We will only meta-analyse data from cluster-RCTs if the available data have been adjusted, or can be adjusted, to account for the clustering.

### Dealing with missing data

We will contact investigators or study sponsors in order to verify key study characteristics and obtain missing numerical outcome data where possible (e.g. when a study is identified as an abstract only). Where this is not possible, and the missing data are thought to introduce serious bias, we will take this into consideration in the GRADE rating for affected outcomes.

### Assessment of heterogeneity

We will use the  $I^2$  statistic to measure heterogeneity among the studies in each analysis. If we identify substantial heterogeneity we will report it and explore the possible causes using prespecified subgroup analyses.

### Assessment of reporting biases

If we are able to pool more than 10 studies, we will create and examine a funnel plot to explore possible small-study and publication biases.

### Data synthesis

We will use a random-effects model and perform a sensitivity analysis with a fixed-effect model.

### 'Summary of findings' table

We will create a 'Summary of findings' table using the following outcomes: daytime sleepiness, morbidity (including accidents and cardiovascular diseases) and mortality, quality of life, sleep quality, adverse events, AHI and snoring. Outcomes will be reported separately for short, medium and long term when data is available. We will use the five GRADE considerations (risk of bias, consistency of effect, imprecision, indirectness and publication bias) to assess the quality of a body of evidence as it relates to the studies that contribute data for the prespecified outcomes. We will use the methods and recommendations described in Section 8.5 and Chapter 12 of the *Cochrane Handbook for Systematic Reviews of Interventions* (Schünemann 2011), using GRADEpro GDT software (GRADEpro GDT). We will justify all decisions to downgrade the quality of studies using footnotes and we will make comments to aid the reader's understanding of the review where necessary.

### Subgroup analysis and investigation of heterogeneity

We plan to carry out subgroup analyses for the following factors.

1. Gender (females versus males)
2. Age (18 years and younger versus older than 18 years)

3. Severity of OSA (mild versus moderate to severe)

We will use the following outcomes in subgroup analyses.

1. Daytime sleepiness
2. Morbidity (including accidents and cardiovascular diseases) and mortality
3. Serious adverse events

We will use the formal test for subgroup interactions in Review Manager 5 (RevMan 2014).

### Sensitivity analysis

We plan to carry out sensitivity analyses for the primary outcomes, in which we remove the following.

1. Studies with a high risk of bias for key sources of potential bias (e.g. randomization, allocation concealment, blinding)
2. Studies with missing data

We will also compare the results from a fixed-effect model with those using a random-effects model.

We will undertake a further sensitivity analysis to investigate whether choice of summary statistic (OR or RR) is critical to the conclusions of the meta-analysis.

## ACKNOWLEDGEMENTS

The [Background](#) and [Methods](#) sections of this protocol are based on a standard template used by Cochrane Airways.

Milo Puhan was the Editor for this protocol and commented critically on the protocol.

This project was supported by the National Institute for Health Research (NIHR), via Cochrane Infrastructure funding to the Cochrane Airways Group. The views and opinions expressed therein are those of the authors and do not necessarily reflect those of the Systematic Reviews Programme, NIHR, NHS, or the Department of Health.

The authors and the Cochrane Airways editorial team are grateful to the following peer reviewers for their time and comments.

Samantha Cox, Cochrane Ear, Nose and Throat  
 Hayley Barnes, Alfred Hospital, Melbourne  
 Dr Anna Mohammadi, St Vincent's Hospital and Royal North Shore Hospital, Sydney  
 Brian Stafford (consumer reviewer)  
 Mark Sidaway (consumer reviewer)



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## APPENDICES

### Appendix 1. MEDLINE (Ovid) search strategy

Ovid MEDLINE(R) ALL <1946 to April 15 2019>

#	Searches	Results
1	exp Sleep Apnea Syndromes/	32631
2	((sleep\$ or nocturnal) adj2 (apnoea\$ or apnoea\$)).tw.	33371
3	(sleep\$ adj2 disordered adj2 breathing).tw.	5870
4	((sleep\$ or nocturnal) adj2 (hypopnea\$ or hypopnoea\$ or hypo-apnoea\$ or hypo-apnea\$ or apneic-hypopneic or apnoeic-hypopnoeic)).tw.	2344
5	(OSA or SAHS).ti,ab. and sleep\$.tw.	11147
6	OSAHS.ti,ab.	1343
7	or/1-6	43202
8	Myofunctional Therapy/	309
9	myofunction\$.tw.	432
10	exp Oropharynx/	13839
11	Tongue/	17654
12	Palate, Soft/	3900
13	(oropharyngeal\$ or oropharynx or orofacial\$ or tongue\$ or soft palate).tw.	68836
14	or/10-13	87136
15	exp Exercise Therapy/	45793
16	(exercise\$ or therapy\$).tw.	1892170
17	15 or 16	1907618
18	14 and 17	8306
19	8 or 9 or 18	8766
20	7 and 19	427
21	(controlled clinical trial or randomized controlled trial).pt.	568244
22	(randomized or randomised).ab,ti.	566118
23	placebo.ab,ti.	202224
24	dt.fs.	2099633

(Continued)

25	randomly.ab,ti.	309763
26	trial.ab,ti.	538885
27	groups.ab,ti.	1926408
28	or/21-27	4488218
29	Animals/	6386163
30	Humans/	17669534
31	29 not (29 and 30)	4536680
32	28 not 31	3887284
33	20 and 32	101

## CONTRIBUTIONS OF AUTHORS

JR Rueda wrote the final draft of the protocol and will write the draft of the full review. He is the contact person with the editorial base and co-ordinated the contributions from the co-authors and will be the guarantor of the final review.

I Mugueta-Aguinaga, J Vilaró and M Rueda-Etxebarria drafted the clinical sections of the Background. They will select the studies for the full review, extract data and conduct the 'Risk of bias' assessment.

### Contributions of editorial team

Rebecca Fortescue (Co-ordinating Editor) edited the protocol, advised on methodology and approved the protocol prior to publication.

Chris Cates (Co-ordinating Editor) checked the planned methods.

Milo Puhan (Contact Editor) edited the protocol and advised on content.

Emma Dennett (Managing Editor) co-ordinated the editorial process, advised on content and edited the protocol.

Emma Jackson (Assistant Managing Editor) organized peer review and edited the references.

Elizabeth Stovold (Information Specialist) designed the search strategy and arranged for peer review of the search strategy.

## DECLARATIONS OF INTEREST

JR Rueda: none known.

I Mugueta-Aguinaga: none known.

J Vilaró: none known.

M Rueda-Etxebarria: none known.

## SOURCES OF SUPPORT

### Internal sources

- JR Rueda receives salary from the University of the Basque Country, Spain.
- I Mugueta-Aguinaga receives salary from Osakidetza the Basque Country Health Service, Spain.
- M Rueda-Etxebarria receives salary from Rehabildom, Spain.
- J Vilaró receives salary from the Ramon Lull University, Spain.

**External sources**

- The authors declare that no such funding was received for this systematic review, Other.