

D₁ Receptor in Interneurons of Macaque Prefrontal Cortex: Distribution and Subcellular Localization

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Working memory performance is influenced by dopamine activation of D1 family dopamine receptors in the prefrontal cortex; working memory performance is maximal at moderate stimulation of D1 family receptors and is reduced by either higher or lower levels of D1 stimulation. The neuronal mechanisms that underlie this complex relationship are not yet understood. Previous work from this laboratory has demonstrated that the D1 family receptors, D₁ and D₅, are located in different compartments of pyramidal cells. Here we use an antibody specific to the D₁ receptor and double-label immunohistochemistry at the light and electron microscopic level to demonstrate that D₁-like immunoreactivity (D₁-LIR) is also present in interneurons. D₁ receptor is prevalent in parvalbumin-containing interneurons and is less common in calretinin-containing interneurons. At the

ultrastructural level, D₁-LIR is found associated with the Golgi apparatus and endoplasmic reticulum in the soma, with the membranes of vesicles in proximal dendrites, and with the plasma membrane on distal dendrites, where it is often located near asymmetric synapses. In addition, D₁-LIR is also seen in presynaptic axon terminals, which give rise to symmetric synapses onto dendritic shafts and soma. These results raise the possibility that the circuit basis of working memory in the prefrontal cortex involves a D₁-mediated inhibitory component.

Key words: dopamine; receptor; D₁; interneuron; GABA; parvalbumin; calbindin D-28k; calretinin; colocalization; immunofluorescence; electron microscopy; monkey; prefrontal cortex; working memory

Prefrontal cortex in the primate is critical for performance of cognitive tasks, especially those involving working memory (for review, see Goldman-Rakic, 1986), and a disorder of its function has been implicated in a variety of disease states, including schizophrenia (Weinberger et al., 1986, 1988; Baxter et al., 1989). Dopaminergic inputs to this brain region are important for its function; specific lesions of this mesocortical projection have been shown to impair performance on cognitive tasks as severely as ablation of the prefrontal cortex itself (Brozoski et al., 1979; Simon et al., 1980). The D1 family of dopamine receptors (D₁ and D₅) are an order of magnitude more abundant in the prefrontal cortex than D2 family receptors (D₂, D₃, and D₄) (Farde et al., 1987; Goldman-Rakic et al., 1990; Lidow et al., 1991), and the actions of dopamine at D1 family dopamine receptors are essential to working memory function in both the human and nonhuman primate prefrontal cortex (Sawaguchi and Goldman-Rakic, 1991, 1994; Williams and Goldman-Rakic, 1995; Murphy et al., 1996; Müller et al., 1998).

Given the importance of D1 family receptors to the function of prefrontal cortex, the specific localization of these receptors in the circuitry of prefrontal cortex might shed light on which aspects of this circuitry are integral to cognitive function. Receptor binding studies have shown that ligands specific to D1 family dopamine receptors bind with higher density in superficial layers (layers I–IIIa) and deep layers (layers V and VI) than in middle

cortical layers (layers IIIb–IV) (Lidow et al., 1991). Experiments examining the expression of mRNA coding for the D₁ and D₅ receptors suggest that D₁ is more prevalent than D₅ in the cortex of human and nonhuman primates (Meador-Woodruff et al., 1996; Lidow et al., 1997), and this may be the predominant receptor subtype responsible for the cognitive effects of D1 family agonists and antagonists.

Recently, specific antibodies have been produced to individual dopamine receptors, allowing their location to be identified with both molecular specificity and excellent spatial resolution (Levey et al., 1993; Bergson et al., 1995). In monkey prefrontal cortex, ultrastructural studies have revealed that D₁-like immunoreactivity (D₁-LIR) is prevalent in dendritic spines (Smiley et al., 1994; Bergson et al., 1995), whereas the D₅ receptor is predominately localized on the dendritic shafts of pyramidal cells (Bergson et al., 1995). These distributions contrast with those reported for the D₂ and D₄ receptors, which have been localized predominately in cortical interneurons (Mrzljak et al., 1996; Khan et al., 1998). However, immunohistochemical studies have shown that the D₁ receptor is present in GABAergic neurons in the striatum (e.g., Hersch et al., 1995).

Here we report the results of double-label immunohistochemistry experiments at the light and electron microscopic level, which reveal that, in macaque, the D₁ receptor is also present in cortical interneurons. We describe the distribution of the receptor in different interneuron subtypes and its subcellular localization and speculate on the relevance of these findings to the effects of D1 agonists and antagonists on working memory function.

MATERIALS AND METHODS

Tissue from four young adult monkeys (*Macaca mulatta*) was used in this study. The monkeys were perfused transcardially with 4% paraformaldehyde, (one monkey) or 4% paraformaldehyde, 0.2% glutaraldehyde,

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Table 1. Immunoreagents and antisera used

Primary immunoreagents	Secondary antisera
Rt anti-D ₁ receptor (RBI, 1:500)	CY3-D anti-Rt (Jackson, 1:100) or B-Gt anti-Rt (Jackson, 1:200)
GP anti-GABA (Eugene Tech, 1:1000)	FITC-D anti-GP (Jackson, 1:100) or Au-Gt anti-GP (FAB, Nanoprobe, 1:200)
Ms anti-PV (Sigma, 1:10,000)	FITC-D anti-Ms (Jackson, 1:100) or Au-Gt anti-Ms (FAB, Nanoprobe, 1:200)
Rbt anti-CR (gift of Rogers, 1989, 1:30,000)	FITC-D anti-Rbt (Jackson, 1:100)
Ms anti-CB (Sigma, 1:10,000)	FITC-D anti-Ms (Jackson, 1:100)

All antibodies are in the form of IgG, except the gold-conjugated antisera, which are in the form of FAB fragments. Secondary antisera with a CY3 or FITC prefix are conjugated to the fluorochromes indocarbocyanine or fluorescein isothiocyanate; those with a B prefix are biotinylated. Other abbreviations are as follows: Rt, Rat; GP, guinea pig; Ms, mouse; Rbt, rabbit; D, donkey; Gt, goat; PV, parvalbumin; CR, calretinin; CB, calbindin D-28k; RBI, Research Biochemicals.

and 15% picric acid (three monkeys) in 0.1 M phosphate buffer (PB, pH 7.4). The brain was post-fixed in 4% paraformaldehyde for 2 hr and blocked. In some cases the blocks were placed in an ascending series of sucrose solutions and then frozen and stored at -70°C . These blocks were later sectioned on a cryostat at 50 μm . In other cases, blocks were immediately cut on a vibratome at 50 μm . Vibratome sections were collected in PB, rinsed, and placed in small volumes of 15% sucrose in PB and then frozen in liquid nitrogen and stored at -70°C for later use.

Immunofluorescence experiments. Cryostat or vibratome sections from various cortical regions were rinsed in normal PBS (33 mM phosphate, pH 7.4) and placed in blocking serum (3% normal goat serum, 1% bovine serum albumin, 0.1% glycine, and 0.1% lysine in PBS) with 0.3% Triton X-100 for 1 hr. The sections were then placed in a mixture of primary immunoreagents in blocking serum for 36–60 hr at 4°C . The mixture consisted of rat anti-D₁ receptor and one of the following: guinea pig anti-GABA, mouse anti-calbindin D-28k (CB), mouse anti-parvalbumin (PV), or rabbit anti-calretinin (CR). The sources and dilutions of each immunoreagent are given in Table 1. The monoclonal antibody to D₁ has been characterized previously by binding to fusion proteins, transfected cells, and rat brain membranes and shows no cross-reactivity to other dopamine receptors (Hersch et al., 1995). After incubation in the primary mixture, the sections were rinsed in PBS and placed in a mixture of secondary antisera (Table 1). After 4 hr at room temperature the sections were rinsed and mounted on gelatin-coated slides and allowed to air dry at 4°C . The sections were then coverslipped using a glycerol-based media (Vector Laboratories, Burlingame, CA) and nail polish to seal the coverslip. Control experiments were performed for each primary immunoreagent listed in Table 1, in which only one primary immunoreagent was used, and the secondary antisera used was directed at an appropriate alternative primary immunoreagent, e.g., mouse anti-PV followed by CY3-donkey anti-rat. In these controls, only light autofluorescence and no cross-reactive staining was observed. The penetration of the antibody to D₁ was as good as, or better than, the penetration of the other immunoreagents. Accordingly, the quantification of the immunofluorescence experiments was conducted by identifying interneurons by labeling with GABA, CB, PV, or CR and then determining the number of these interneurons that contained D₁-LIR. In this way, the difference in the penetration of the interneuron identifying immunoreagents, e.g., anti-GABA and anti-PV, affects the number of interneurons identified on each section, but the percentage that also contain D₁-LIR is not affected.

Sections were examined and photographed using FITC and rhodamine filter cubes. To quantify the distribution of single- and double-labeled cells, plots were made using the NeuroLucida plotting system (MicroBrightField, Colchester, VT). Samples of cortex extending from the pial surface to the white matter 500 μm wide were plotted from cortical areas 46, 9, and 24 (Walker, 1940) and, in the case of the GABA/D₁ immunofluorescent experiments, area 17. These areas were chosen to represent an area where dopamine and the D₁ receptor have been shown to have functional significance (area 46) (Williams and Goldman-Rakic, 1995) and areas of dense (areas 9 and 24) and sparse (area 17) dopamine input (Lewis et al., 1987; Van Eden et al., 1987; Williams and Goldman-Rakic, 1993) where the significance of D₁ receptor action remains to be established. Individual labeled neurons were viewed, and their location was plotted at a magnification of 800 \times . The material was examined using an FITC filter cube, and once a

labeled interneuron was identified, the filter was changed to the rhodamine filter cube to determine whether the cell was single- or double-labeled. For the GABA/D₁ experiments, five plots each were made in areas 46, 9, and 24, representing 2265 GABA+ cells, and three plots were made in area 17, representing 473 GABA+ cells. In the CB/D₁ and CR/D₁ experiments, eight plots each were made for areas 46, 9, and 24, representing 1604 CB cells and 3325 CR cells, respectively. In the PV/D₁ experiment, six plots were made for areas 46, 9, and 24, representing 2839 PV cells. The CB antibody weakly stains a group of pyramidal cells in layer III in addition to strongly labeling interneurons (Gabbott and Bacon, 1996). In some cases labeled neurons could be unequivocally identified as having pyramidal or nonpyramidal morphology, and examples of single- and double-labeled cells with both morphologies could be found. However, in many cases it was impossible to ascertain with certainty the type of cell that was labeled. Accordingly, we did not attempt to restrict our analysis to neurons of a particular type. Thus, pyramidal neurons may be included in our analysis of CB-containing neurons, but not of GABA-, PV-, or CR-containing neurons.

The number of single- and double-labeled neurons was obtained from each plot. The percentage of interneurons that contained D₁-LIR was obtained for each plot, and means and SDs were calculated. After a section was plotted, the coverslip was removed, and the sections were stained for Nissl substance with thionin. The counterstained section was then used to determine the laminar borders for the plots that had been generated from that section. ANOVAs were applied to comparisons between different interneuron populations, different cortical areas, and different cortical laminae. *Post hoc* comparisons with the Tukey honestly significantly different (HSD) test were made if the ANOVA revealed a significant effect.

Electron microscopy experiments. Vibratome sections from the prefrontal cortex were thawed in excess cold PBS and then rinsed three times. The sections were then placed in blocking serum (as above with 0.5% fish gelatin added and without Triton X-100) for 1 hr. They were then placed in a primary mixture in the same diluent for 36–60 hr. The mixture consisted of rat anti-D₁ and either guinea pig anti-GABA or mouse anti-PV (used at the same titers as above). After incubation in primary mixture, the sections were rinsed in PBS and incubated for 1 hr in a mixture of secondary antisera: biotinylated goat anti-rat and goat FAB fragment directed against either guinea pig or mouse IgG and conjugated to a 1.4 nm gold particle (see Table 1). The sections were then rinsed, and the immunogold signal was intensified with silver at room temperature in the dark (Nanoprobe, New York, NY). The length of time for the silver intensification was determined empirically, and optimal-sized silver particles were observed after a 2 min incubation in the reaction mixture. The sections were then rinsed, gold-toned (Arai et al., 1992), rinsed, and incubated in ABC reagent (Vector) for 1 hr. The presence of peroxidase was revealed with diaminobenzidine (DAB) using the glucose oxidase method (Itoh et al., 1979). The sections were then rinsed in 0.1 M cacodylate buffer, pH 7.4, osmicated in 1% OsO₄ for 10 min, rinsed, dehydrated in alcohol and propylene oxide, and then flat-embedded in Durcupan resin.

Selected regions of area 9 were mounted onto Durcupan blocks. Ultrathin sections were cut and collected on Formvar-coated slot grids. The grids were examined on a JEOL 1010 electron microscope, and

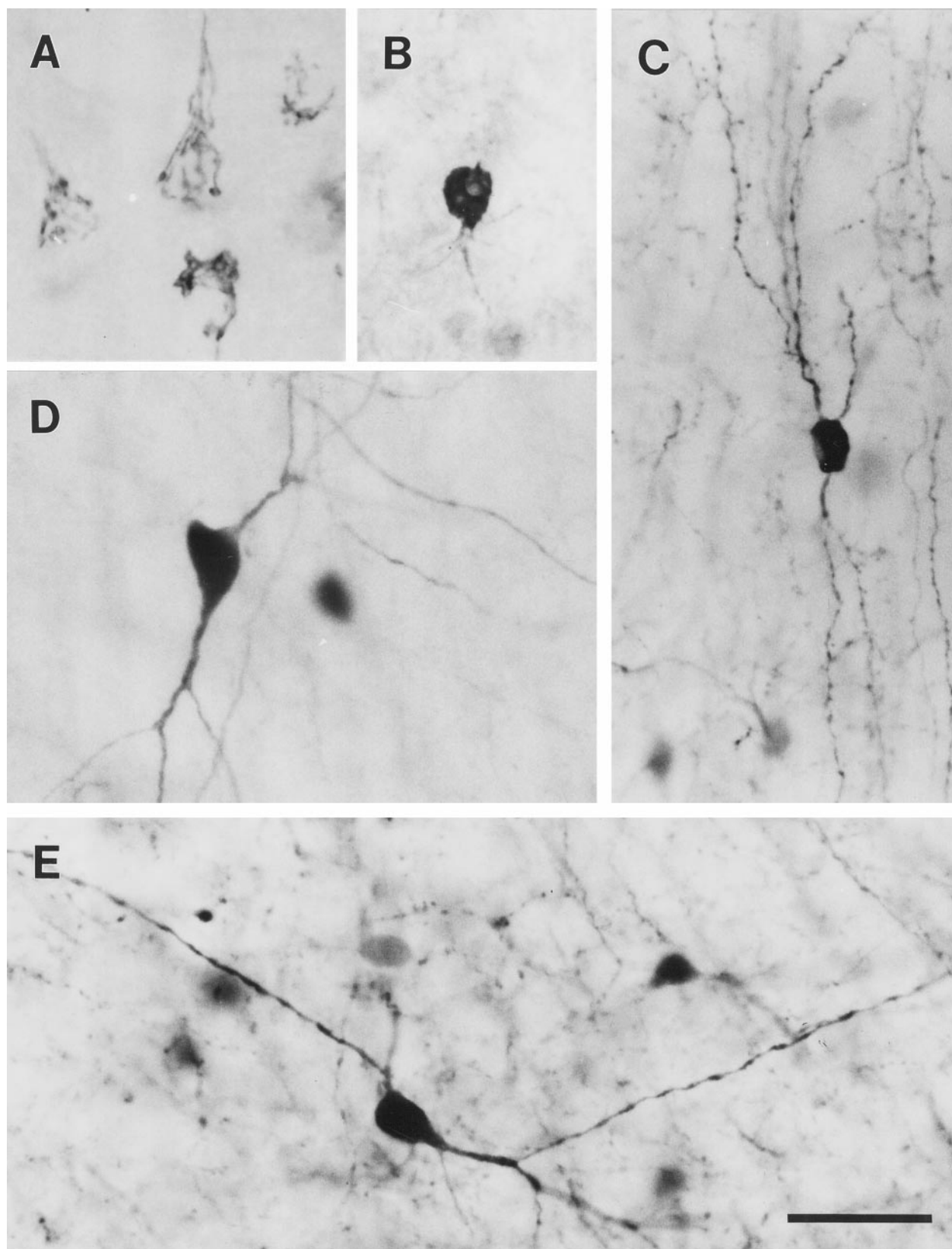


Figure 1. Photomicrographs of immunostained neurons, illustrating the patterns of label associated with each of the immunoreagents used in this study. The antibody to the D₁ receptor produces a reticulated pattern of label in the cell soma, which may extend into the proximal dendrites (*A*). This reticulated pattern of staining represents the endoplasmic reticulum and Golgi apparatus of the labeled neuron (see Fig. 5). Many of the labeled cells have a pyramidal morphology and a prominent apical dendrite. The antisera to GABA produces a relatively homogeneous staining of the cell soma and occasionally weakly labels the proximal dendrites (*B*). The antisera to calretinin (*C*) and the antibodies to calbindin D-28k (*D*) and parvalbumin (*E*) produce a Golgi-like staining pattern that labels the soma and proximal and distal neurites, some of which appear to be axons. Scale bar, 30 μ m.

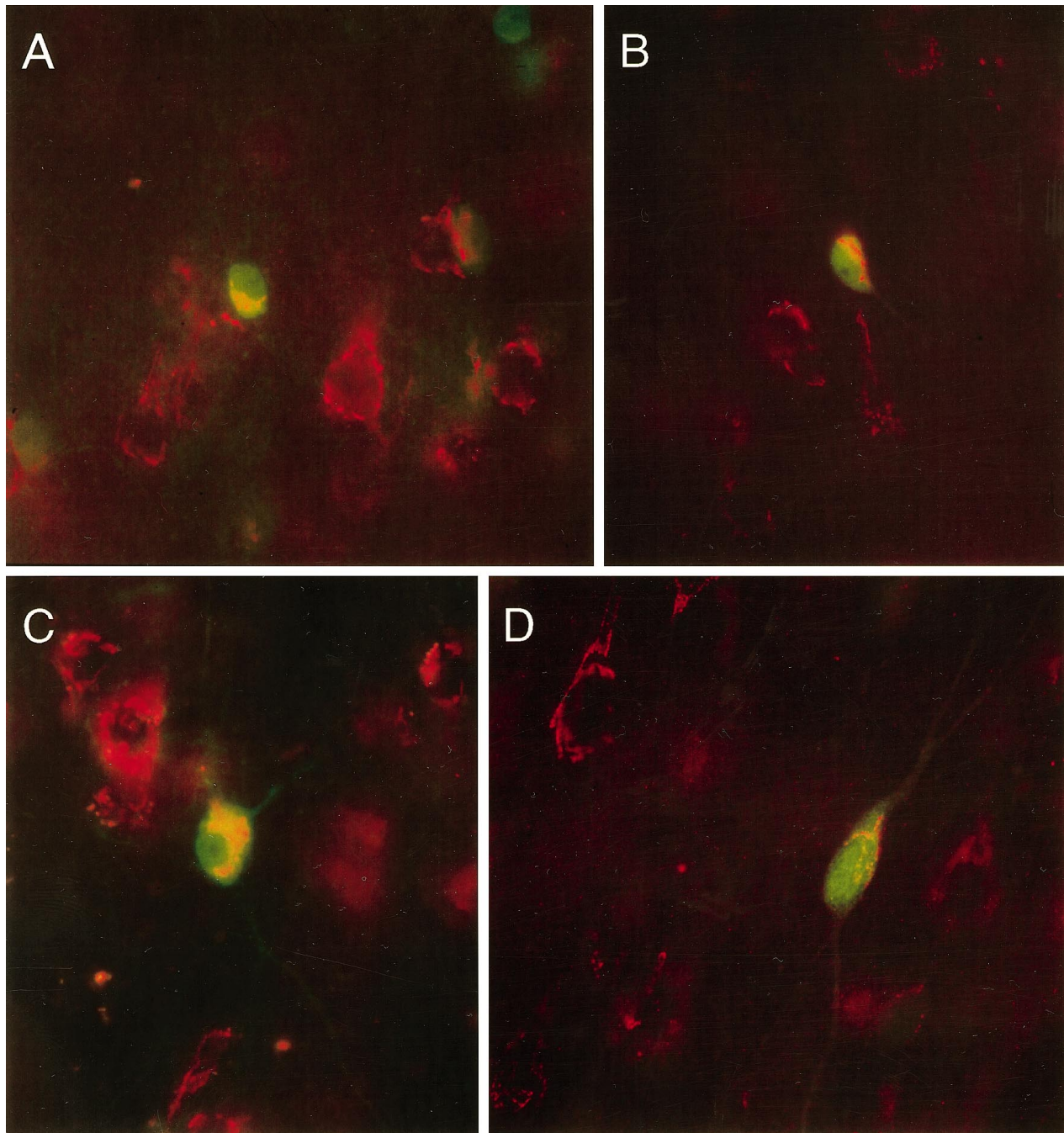


Figure 2. Photomicrographs of double-label immunofluorescent staining of cortical interneurons and the D₁ receptor showing colocalization. In all cases, D₁-LIR is demonstrated by CY3 (red), and the different interneuron types are demonstrated by FITC staining (green). Most D₁+ neurons are single-labeled and have a pyramidal morphology (e.g., *A*, *D*). Examples of neurons labeled by staining for GABA (*A*), CB (*B*), PV (*C*), and CR (*D*), which also contain D₁-LIR, are shown. Of the four classes of interneurons, double-labeled PV cells tended to have the largest amount of D₁-LIR, whereas double-labeled CR cells tended to have the least.

selected regions were photographed. Because the two labels differentially penetrate tissue, only sections from the surface of the block, where both DAB and gold particles were visible, were examined. To limit the possibility of false-positive double labeling, we performed the immunogold staining before the DAB staining, because silver from the silver intensification step is known to precipitate onto DAB (Smiley and Goldman-Rakic, 1993). Control experiments, in which the primary immunoreagents were omitted failed to reveal labeling with either DAB or immunogold. When only the antibody to D₁ was omitted, no deposition of DAB around silver-intensified immunogold particles was observed.

RESULTS

D₁/GABA immunofluorescence

Sections of cerebral cortex were stained for both the D₁ receptor and GABA using the immunofluorescence method. Double-labeled cells could be identified not only on the basis of the two colors of the fluorochromes but also on the basis of the different staining pattern of D₁ compared with GABA staining. The D₁ antibody produced a reticulated staining pattern in the soma and

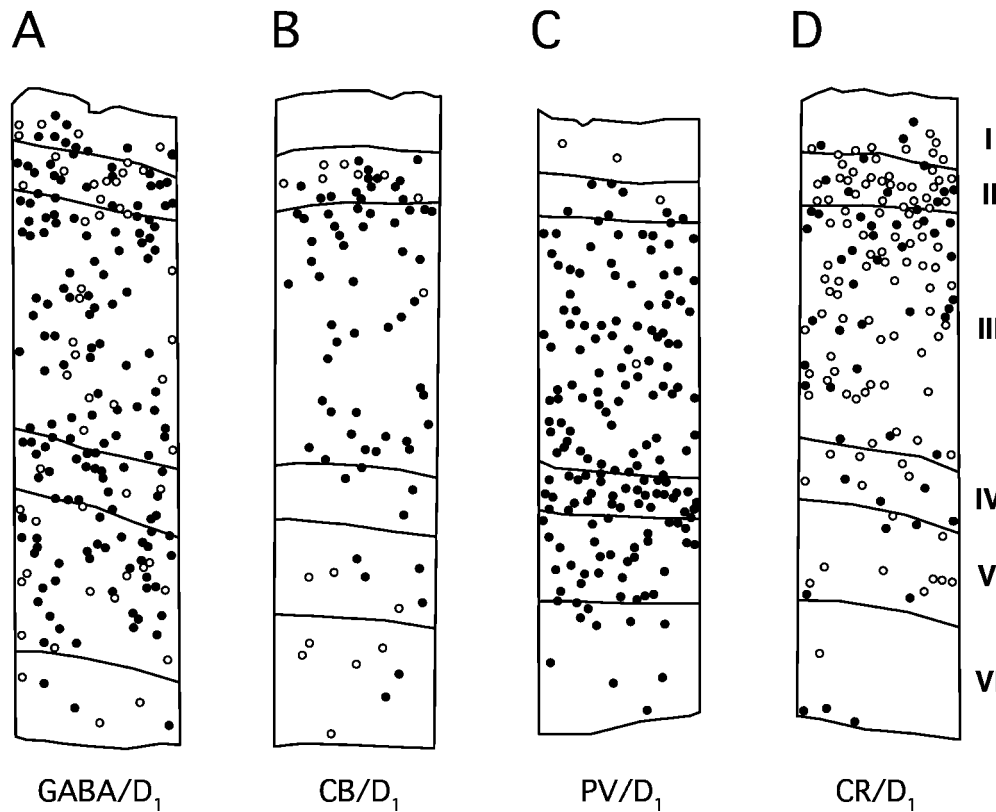


Figure 3. Examples of four plots of single- and double-labeled interneurons in area 46 showing the different degrees of colocalization and laminar patterns. *Open circles* indicate single-labeled interneurons; *filled circles* indicate double-labeled interneurons. Lamina borders are marked on each plot and indicated by the *roman numerals* to the right. Most interneurons stained for GABA (A) and CB (B) contain D₁-LIR. Almost all PV-stained interneurons contain D₁-LIR (C), whereas most CR-stained interneurons do not contain detectable D₁-LIR (D). CB+/D₁+ neurons are most prevalent in layer III; the other layers have higher percentages of single-labeled CB neurons (B). The few PV interneurons that do not contain D₁-LIR tend to be in layers I and II (C).

proximal dendrites, whereas the GABA antisera produced an even staining of the soma, which rarely extended into the proximal dendrites (Fig. 1*A,B*). Most GABA neurons contained D₁-LIR (Fig. 2*A*), and there were no obvious distinguishing characteristics that differentiated GABA+/D₁+ from GABA+/D₁- cells. In single plots, the percentage of double labeled cells in areas 9, 46, 24, and 17 varied from 66.5 to 89.4%. Differences between the cortical areas were not significant ($F_{(3,14)} = 0.168$; $p > 0.9$), and accordingly, the data were pooled, revealing that $78.2 \pm 6.2\%$ of GABAergic interneurons contained demonstrable D₁-LIR.

Colocalization of D₁ and calcium-binding proteins (CaBPs)

Double-label immunofluorescence experiments with antibodies to D₁ and the CaBP (CB, PV, and CR) allowed us to determine whether D₁-LIR was preferentially located on particular subtypes of GABAergic interneurons. The different CaBPs are found in largely nonoverlapping populations of GABAergic interneurons in mammalian neocortex (Hendry et al., 1989; Van Brederode et al., 1990; Rogers, 1992; Kubota et al., 1994). Once again, identification of double-labeled cells was facilitated by different staining patterns. In contrast to the reticulated staining observed with the D₁ antibody, the antibodies to the CaBP labeled neurons in a Golgi-like manner, diffusely staining their soma and usually their dendrites and axons (Fig. 1*C-E*). Examples of double-labeled neurons were observed for all three CaBPs (Fig. 2*B-D*). Of the D₁+ population, D₁ labeling was most dense in PV cells, least dense in CR cells, and intermediate in CB cells.

The different classes of interneurons varied significantly in their frequency of colocalization with the D₁ receptor in areas 9, 46, and 24. A higher percentage of PV neurons showed colocalization with D₁-LIR; CR showed the least colocalization with

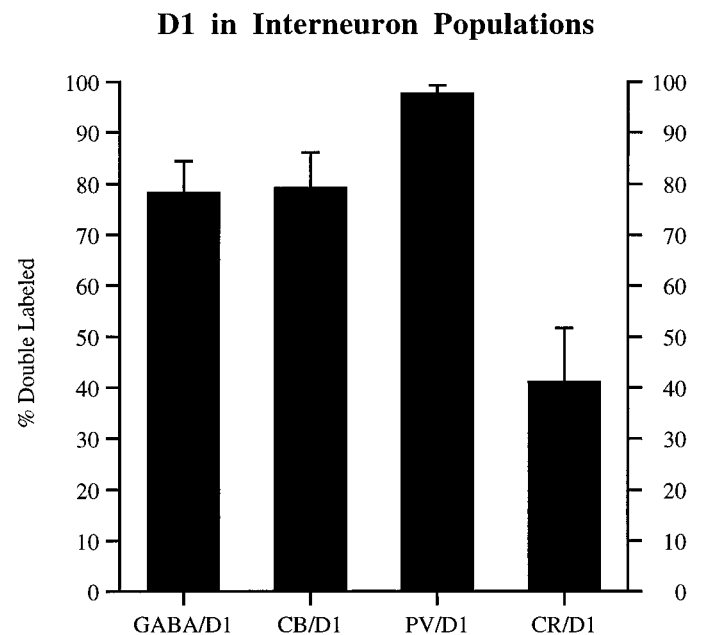


Figure 4. Graph illustrating the degree to which different interneuron populations contain D₁-LIR. Interneuron populations were defined by staining for GABA, CB, PV, or CR. The percentage of neurons in these populations that contained D₁-LIR with SD bars is presented. GABA and CB neurons have similar degrees of D₁ colocalization (75–80%), whereas PV neurons have markedly higher degrees of D₁ colocalization (98%), and CR neurons have markedly lower degrees (40%).

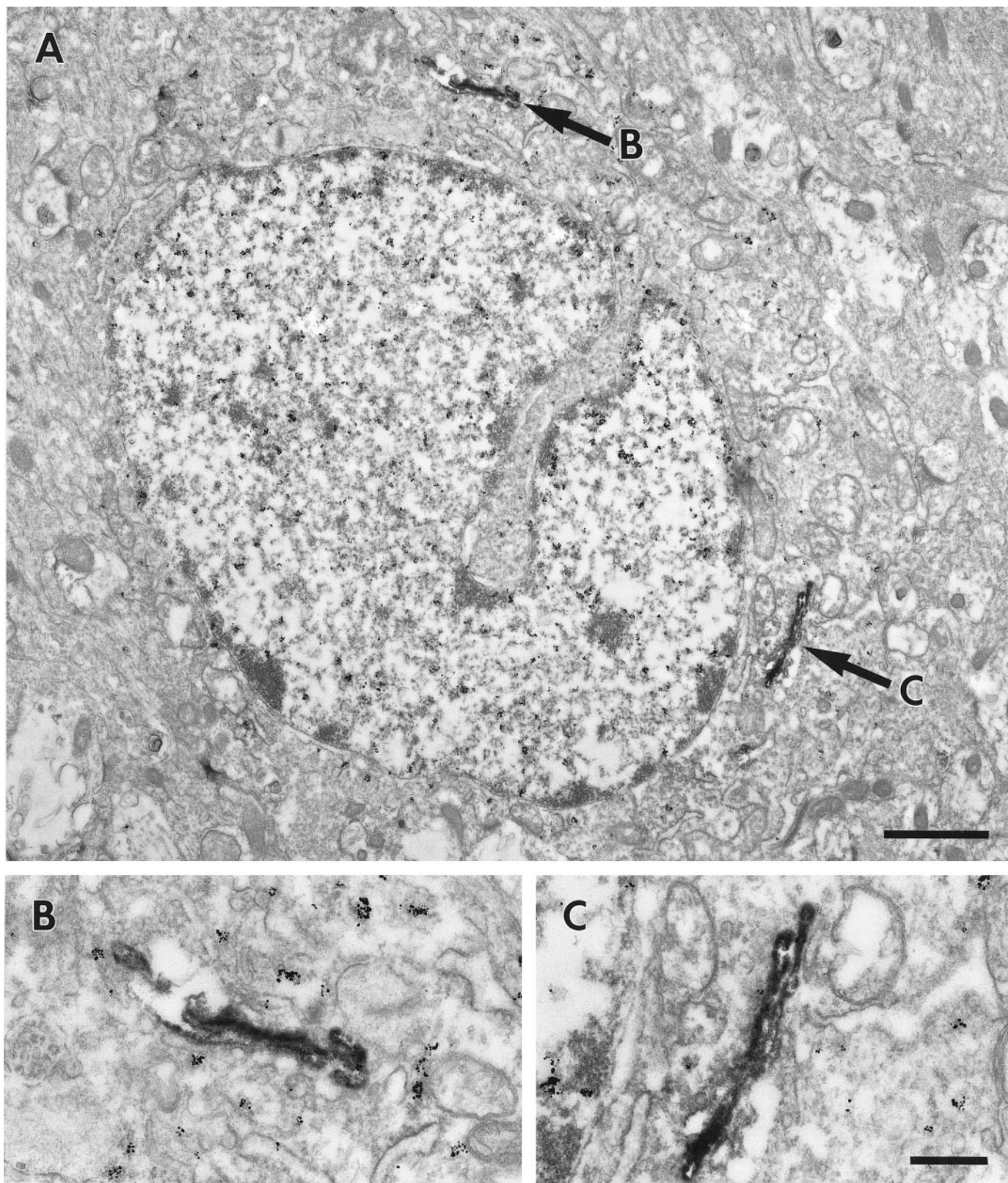


Figure 5. Electron micrographs illustrating a GABAergic interneuron that contains the D₁ receptor. Gold particles, representing GABA immunostaining, fill the soma of the neuron. D₁-LIR (DAB, arrows) labels the Golgi apparatus, shown at higher magnification in *B* and *C*. Scale bars: *A*, 1 μ m; *B*, *C*, 250 nm.

Table 2. Percentage of interneurons labeled with GABA, CB, PV, or CR that were also labeled with D₁ across the six cortical layers

Layer	GABA/D ₁ (%)	CB/D ₁ (%)	PV/D ₁ (%)	CR/D ₁ (%)
I	70.4 ± 7.8	—	69.2 ± 44.2 ^f	37.8 ± 31.6
II	72.8 ± 12.7	66.2 ± 12.4 ^a	87.0 ± 11.9	41.8 ± 14.7
III	80.1 ± 10.4	93.6 ± 4.6 ^b	98.3 ± 1.7 ^f	44.7 ± 9.4
IV	85.2 ± 12.9	76.2 ± 32.7 ^c	98.8 ± 1.9 ^f	48.4 ± 23.6
V	69.2 ± 28.6	75.9 ± 12.5 ^d	98.6 ± 2.3 ^f	47.7 ± 29.2
VI	77.0 ± 17.8	54.8 ± 20.4 ^e	98.8 ± 4.1 ^f	36.0 ± 35.5
2-way ANOVA				
Main effect area	$F_{(1,47)} = 0.887; p = 0.351$	$F_{(1,68)} = 3.267; p = 0.075$	$F_{(1,58)} = 0.582; p = 0.449$	$F_{(1,80)} = 0.132; p = 0.717$
Main effect layer	$F_{(5,47)} = 1.673; p = 0.160$	$F_{(4,68)} = 16.30; p < 0.001$	$F_{(5,58)} = 3.125; p = 0.014$	$F_{(5,80)} = 0.538; p = 0.747$

No CB+ cells were found in layer I. The *post hoc* analysis of significant main effects for the CB/D₁ analyses are given below.

^a Versus layer III ($p < 0.001$) and layer IV ($p = 0.004$).

^b Versus layer II ($p < 0.001$), layer V ($p = 0.014$), and layer VI ($p < 0.001$).

^c Versus layer II ($p = 0.004$) and layer VI ($p < 0.001$).

^d Versus layer III ($p = 0.014$) and layer VI ($p = 0.002$).

^e Versus layer III ($p < 0.001$), layer IV ($p < 0.001$), and layer V ($p = 0.002$).

^f For PV/D₁ the *post hoc* analyses are layer I trends to be lower than layer III ($p = 0.084$), layer IV ($p = 0.067$), layer V ($p = 0.073$), and layer VI ($p = 0.068$).

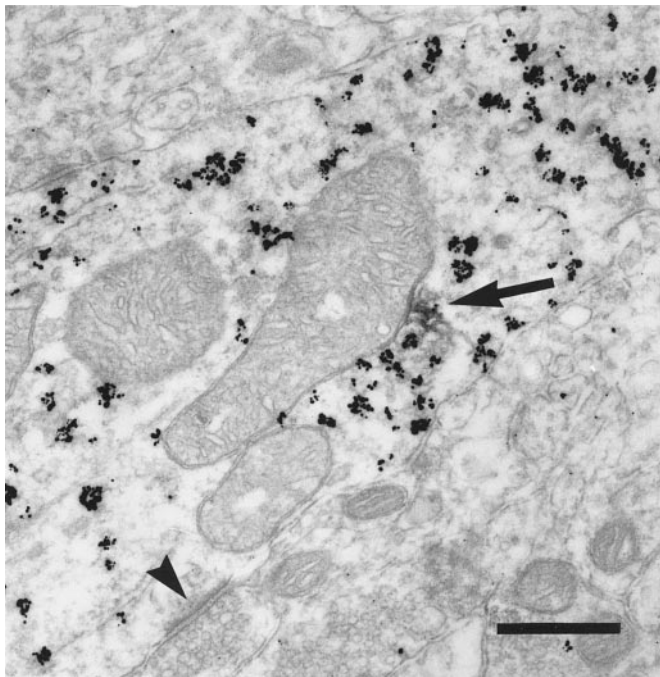


Figure 6. Electron micrograph illustrating D₁ in the proximal dendrite of an interneuron. This large-caliber dendrite of an interneuron contains parvalbumin (gold particles) and receives an asymmetric synapse (arrowhead). D₁-LIR (DAB, arrow) is found associated with internal vesicles but not with the plasma membrane. Scale bar, 400 nm.

D₁-LIR and GABA; and CB exhibited intermediate degrees of colocalization ($F_{(3,69)} = 206.117; p < 0.001$). The degree of colocalization with the D₁ receptor did not vary significantly with cortical area ($F_{(2,69)} = 0.197; p = 0.822$). Examples of area 46 plots for the four double-label experiments are shown in Figure 3. The means and SDs, pooled for all three cortical areas, are as follows: 78.3 ± 6.8% of GABA+ cells, 79.1 ± 7.0% of CB+ cells, 97.6 ± 1.7% of PV+ cells, and 41.1 ± 10.5% of CR+ cells were D₁+ (Fig. 4). *Post hoc* Tukey HSD tests revealed that with the exception of the GABA and CB pair, all pairwise comparisons were significant (GABA vs PV, $p < 0.001$; GABA vs CR, $p < 0.001$; PV vs CR, $p < 0.001$; PV vs CB, $p < 0.001$; CR vs CB, $p <$

0.001; GABA vs CB, $p = 0.986$). These results indicate that GABA+/D₁+ neurons include essentially all PV cells, and that GABA+/D₁- neurons include the bulk of CR neurons.

We next analyzed the laminar distribution of single- and double-labeled interneurons in areas 9 and 46 (area 24 was excluded, because this area lacks a layer IV, making comparisons with six layered neocortex difficult). The two-way ANOVA for each cell class with factors of cortical area (areas 46 and 9) and cortical layer (layers I, II, III, IV, V, and VI) demonstrated that the subpopulations of interneurons differed in the laminar distribution of single- versus double-labeled cells. For both GABA neurons and CR neurons, the percentage of double-labeled, D₁-LIR containing neurons did not vary in the different cortical layers (Table 2). PV and CB neurons, on the other hand, did show significantly different percentages of colocalization with D₁ in different cortical layers ($F_{(5,58)} = 3.125; p = 0.014$, PV neurons; $F_{(4,68)} = 16.300; p < 0.001$, CB neurons; Table 2). Fewer double-labeled PV neurons were found in layers I and II than in other layers, whereas the percentage of double-labeled CB neurons peaked in layer III and fell off, both superficially in layer II as well as deeper in layers IV–VI (Table 2). It is tempting to speculate that this distribution of double-labeled CB+/D₁+ cells is attributable to the labeling of layer III pyramidal cells by the CB antibody. We have seen examples of both single- and double-labeled CB+ pyramidal and nonpyramidal cells. However, without other markers to unambiguously divide CB neurons into pyramidal and nonpyramidal types, quantification of the percentage of colocalization with D₁ in these two populations would not produce reliable results. No effect of cortical area was found for any of the four cell classes.

Subcellular localization of D₁-LIR in interneurons

Material from double-label experiments was then examined with the electron microscope to confirm colocalization of D₁ in interneurons and to examine the subcellular localization of D₁ receptor in these neurons. DAB was used to reveal the presence of D₁, and immunogold was used to reveal the presence of either GABA or PV. This method confirmed the presence of D₁-LIR in both GABA+ and PV+ neurons. Gold particles were present throughout the cytoplasm and nucleus of labeled neurons. DAB was observed in the endoplasmic reticulum and Golgi complexes of both single- and double-labeled cells (Fig. 5A–C). D₁-LIR was not

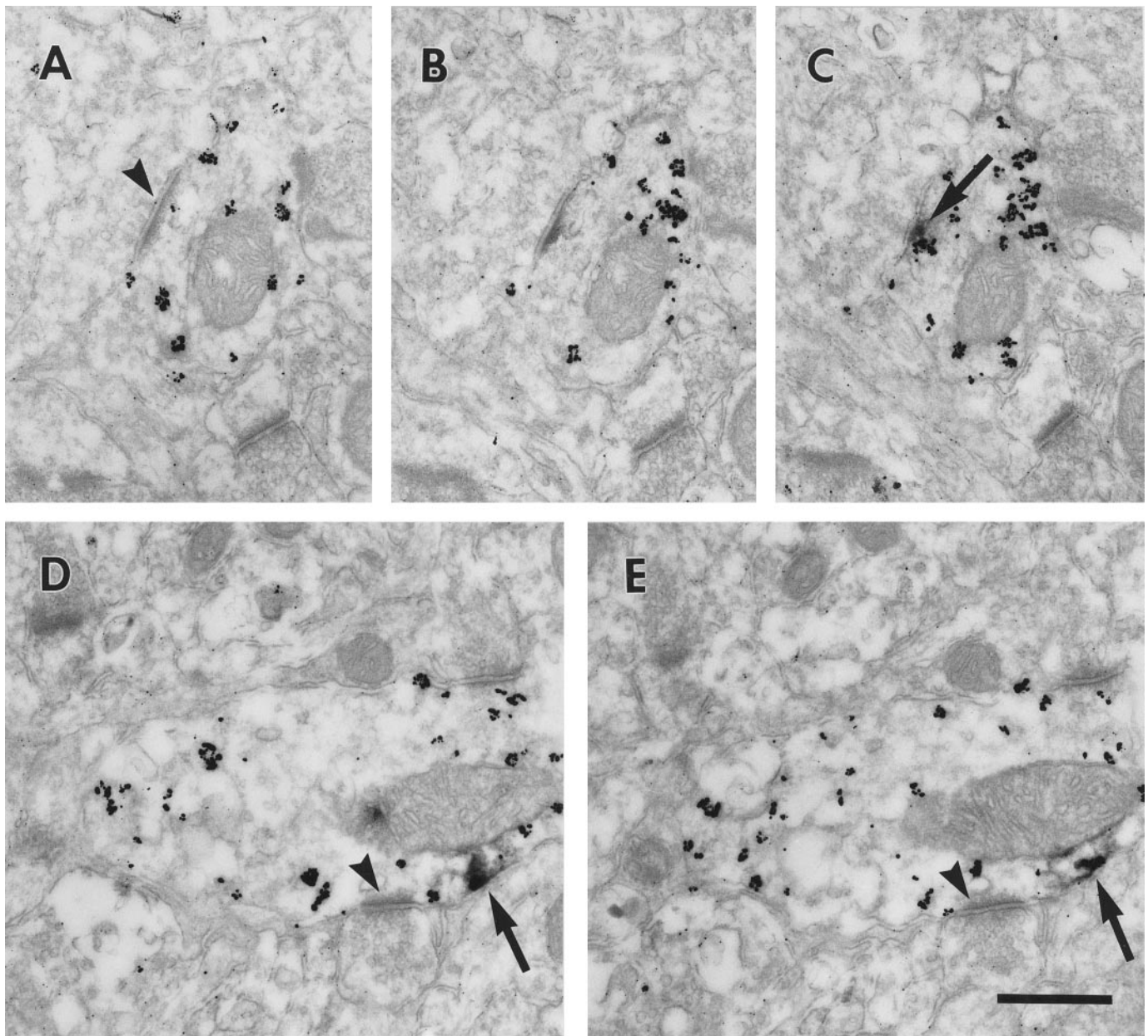


Figure 7. Electron micrographs illustrating D₁ in the distal dendrites of interneurons. Serial sections through two parvalbumin (gold particles)-containing small-caliber dendrites are presented. In the first, the dendrite receives an asymmetric synapse (*A*, arrowhead). The synapse disappears on the adjacent sections (*B*, *C*), and D₁-LIR associated with the plasma membrane (DAB, arrow) appears. In the second (*D*, *E*), the dendrite receives an asymmetric synapse (arrowheads), and D₁-LIR is associated with the plasma membrane, adjacent to the synapse (arrows). Scale bar, 400 nm.

associated with the plasma membrane of the soma or the immediately adjacent proximal dendrites. This was true for all double-labeled cells examined as well as for all single-labeled, D₁⁺, neurons.

We focused on D₁/PV double-label experiments to examine the distal dendrites of interneurons for D₁-LIR, both because the PV antibody reliably stained dendrites and axons at the electron microscopic level, and because the vast majority of PV neurons contained D₁-LIR in our immunofluorescence experiments. D₁-LIR could be identified as patches of DAB reaction product in PV⁺, immunogold-stained dendrites. In large-caliber, presumably proximal PV⁺ dendrites, D₁-LIR could sometimes be identified (Fig. 6); however, the DAB was always associated with internal membrane structures and never the plasma membrane.

Only in smaller-caliber, presumably distal PV⁺ dendrites were patches of D₁-LIR seen associated with the plasma membrane of the dendrite, as well as with internal membranes. When followed in serial section, D₁ staining associated with the plasma membrane was often located adjacent to asymmetric synapses onto the PV dendrite (Fig. 7), in a pattern that is reminiscent of the D₁ staining in pyramidal cell spines, which receive asymmetric synapses.

In addition to D₁ staining in distal dendrites, D₁ was also localized in PV⁺ axon terminals. Figure 8 illustrates two PV⁺ axons, identifiable by the synaptic vesicles that they contain, one of which gives rise to a symmetric synapse onto an unlabeled dendritic shaft; both profiles contain D₁-LIR. Another example (Fig. 9) contains a patch of D₁-LIR, which, when followed in

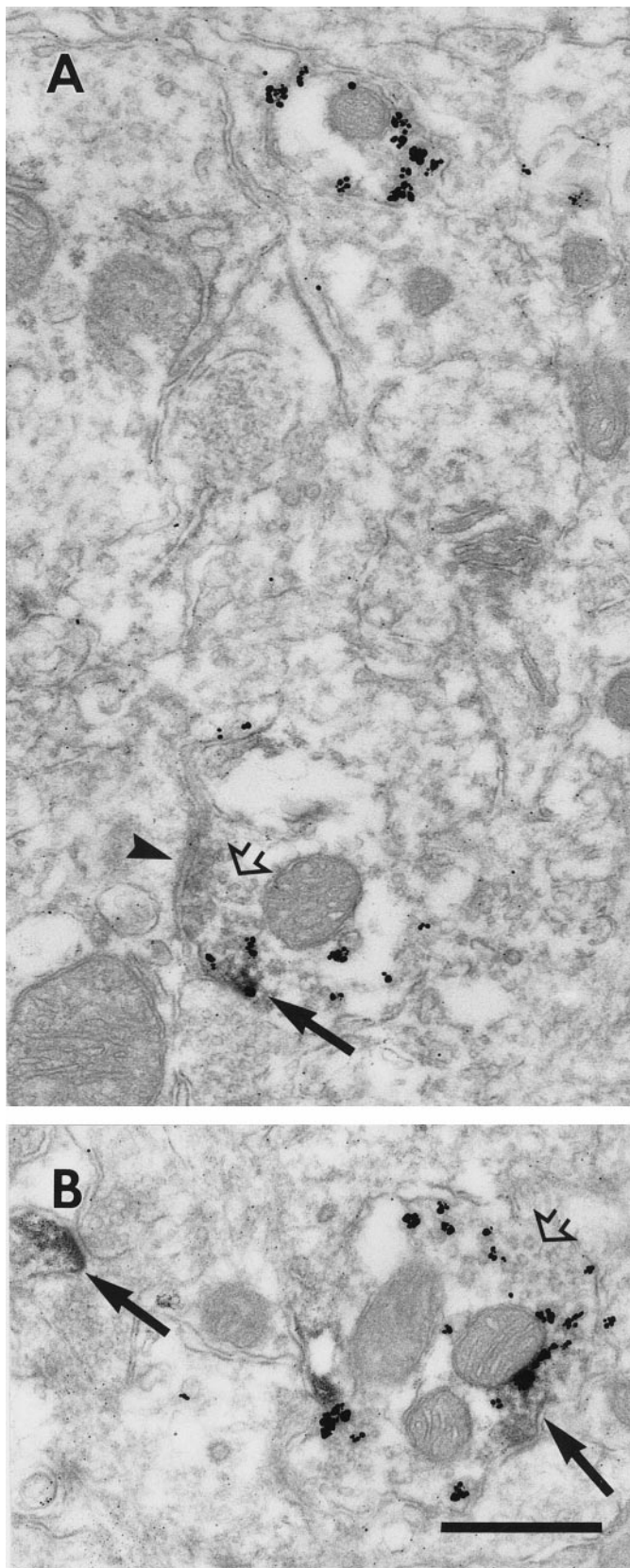


Figure 8. Electron micrographs illustrating D₁ in the axons of interneurons. *A*, Profile of the axon terminal of an interneuron, containing parvalbumin (gold particles) and synaptic vesicles (*open arrow*), which is making a symmetric synapse onto an unlabeled profile (*arrowhead*). D₁-LIR is also seen in the terminal associated with the plasma membrane

serial sections, is adjacent to the presynaptic specialization of a symmetric synapse onto a cell soma. This also parallels the presence of D₁-LIR in axon terminals that give rise to asymmetric synapses (Bergson et al., 1995; Hersch et al., 1995).

DISCUSSION

These results demonstrate that the D₁ receptor is present in GABAergic interneurons of macaque cerebral cortex and is differentially distributed in subtypes of interneurons defined by the presence of different CaBPs. D₁ is seen in ~75% of neurons labeled for GABA and in a similar percentage of neurons that contain CB. Almost all PV-containing interneurons (98%) and a minority of CR-containing interneurons (40%) contain D₁-LIR. The distribution of D₁-containing interneurons does not vary across different cortical areas but does vary across cortical laminae for some types of interneurons. At the ultrastructural level the localization of the D₁ receptor in interneurons is analogous to that seen in pyramidal cells, being located in the distal dendrites of interneurons, adjacent to asymmetric, presumably glutamatergic synapses, and in presynaptic terminals.

Evidence for D₁ in GABAergic neurons

Although previous studies of the D₁ receptor in macaque prefrontal cortex have emphasized its presence in the spines of pyramidal neurons, there is precedence for D1 family receptors in GABAergic neurons. Stimulation of D1 family receptors increases the synthesis and release of GABA in the striatum (Girault et al., 1986; Steulet et al., 1990; Aceves et al., 1995) and substantia nigra pars reticulata (Aceves et al., 1992). D₁-LIR has been reported in the medium spiny neurons of the striatum, which are GABAergic (Hersch et al., 1995; Yung et al., 1995; Surmeier et al., 1996). D1 family receptor stimulation modulates GABA-mediated IPSPs in the substantia nigra and basal forebrain (Cameron and Williams, 1993; Momiyama and Sim, 1996) and also augments evoked IPSPs in rodent prefrontal cortex (Yang et al., 1997). In addition, ligand binding studies in the rat have suggested that D1 family receptors are preferentially present in interneurons on the basis of the size of labeled cells (Vincent et al., 1993). In summary, the literature supports D1 family- and, in some cases, D₁ receptor-mediated effects in GABAergic cells in a variety of structures. The data reported here extend these findings to macaque cortex.

Implications of the distribution of D₁ in different cortical areas, layers, and interneuron subtypes

Our finding that the percentage of D₁-containing GABAergic neurons does not vary across cortical areas is surprising, given that the four areas examined receive dopaminergic input of such varying strength (Lewis et al., 1987; Van Eden et al., 1987; Williams and Goldman-Rakic, 1993). However, dopamine produces similar enhancement of NMDA-gated currents in human brain slices taken from temporal, frontal, parietal, and occipital cortex, and this effect is blocked by D1 antagonists (Cepeda et al., 1992, 1993). The cortical D₁ receptor is located extrasynaptically (Smiley et al., 1994) and may be stimulated by the volume transmission of dopamine (Chergui et al., 1994; Garris and Wightman, 1994). Furthermore, dopaminergic afferents in different regions

←

(*arrow*). Above the double-labeled axon terminal is a single-labeled PV+ profile for comparison. *B*, Axonal profile that is labeled with parvalbumin and contains synaptic vesicles (*open arrow*). D₁-LIR is present in the profile as well as in a nearby dendritic spine (*arrows*). Scale bar, 400 nm.

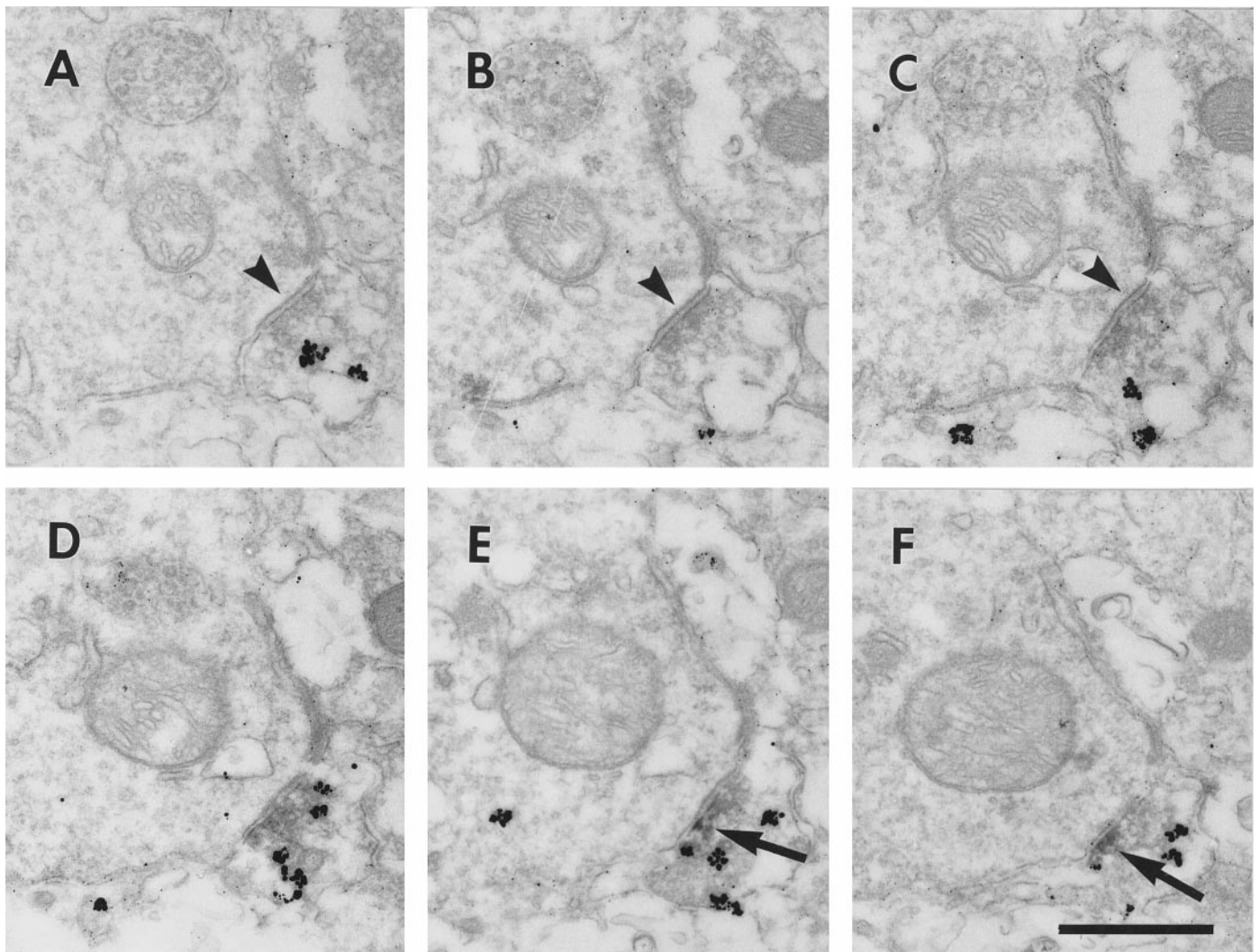


Figure 9. Electron micrographs of serial sections through a double-labeled axosomatic terminal. A parvalbumin-labeled axon terminal is located adjacent to an unlabeled soma. The terminal makes a symmetric synapse onto the soma (*A–C*, arrowheads). As the synapse leaves the plane of section, D₁-LIR appears, associated with the plasma membrane (*E, F*, arrows). Scale bar, 400 nm.

vary in their capacity to release and take up dopamine such that, in regions with different densities of dopaminergic inputs, there may be sufficient dopamine overflow to support volume transmission and stimulate extrasynaptic D₁ receptors (Garris and Wightman, 1994).

We also find that subtypes of interneurons vary in the extent to which they contain D₁. Almost all PV⁺ cells contain substantial D₁-LIR, whereas most CR⁺ cells have no detectable D₁-LIR, and CB⁺ cells fall in between these extremes. These findings parallel those of experiments examining contacts between dopaminergic axon terminals and interneurons. Axon terminals containing tyrosine hydroxylase (TH) have been shown to synapse onto subtypes of GABA-containing neurons in monkey cortex; specifically, TH-containing terminals synapse onto PV-containing interneurons but not onto CR-containing interneurons (Sesack et al., 1995; Lewis et al., 1996). PV⁺ neurons include basket and chandelier cells that target the proximal portions of pyramidal cells (DeFelipe et al., 1989; Hendry et al., 1989; Lund and Lewis, 1993; Condé et al., 1994), CB⁺ neurons include neurogliaform cells, which target the distal portions of pyramidal cells (Kisvarday et al., 1990; Lund and Lewis, 1993; Condé et al., 1994), and

CR⁺ cells include a subgroup of double-bouquet cells whose terminals selectively target other interneurons (Gulyás et al., 1996; Meskenaite, 1997). Thus, D₁-mediated effects on interneurons might be particularly strong on those cells with the strongest inhibitory effect on cortical pyramidal cells and weakest on those cells that may target other inhibitory interneurons and perform a disinhibitory role.

Functional implications

Although the effects of dopamine have long been believed to be inhibitory, recent evidence suggests that dopamine acting at the D₁ receptor can facilitate neuronal firing (Williams and Goldman-Rakic, 1995; Yang and Seamans, 1996). Dopamine, acting at the D₁ receptor, enhances glutamate-gated currents, specifically the NMDA-gated current (Cepeda et al., 1993; Maguire and Werblin, 1994; Smith et al., 1995; Zheng et al., 1996). A morphological substrate for the interaction between D₁ receptors and glutamatergic inputs is the location of D₁-LIR adjacent to asymmetric, presumably excitatory and glutamatergic synapses (Colonnier, 1968; DeFelipe et al., 1988), both on the spines of pyramidal cells and on the dendritic shafts of nonpyramidal cells

A Model for the Relationship between D1 Receptor Stimulation and the Strength of Cortical Delay Cell Tuning

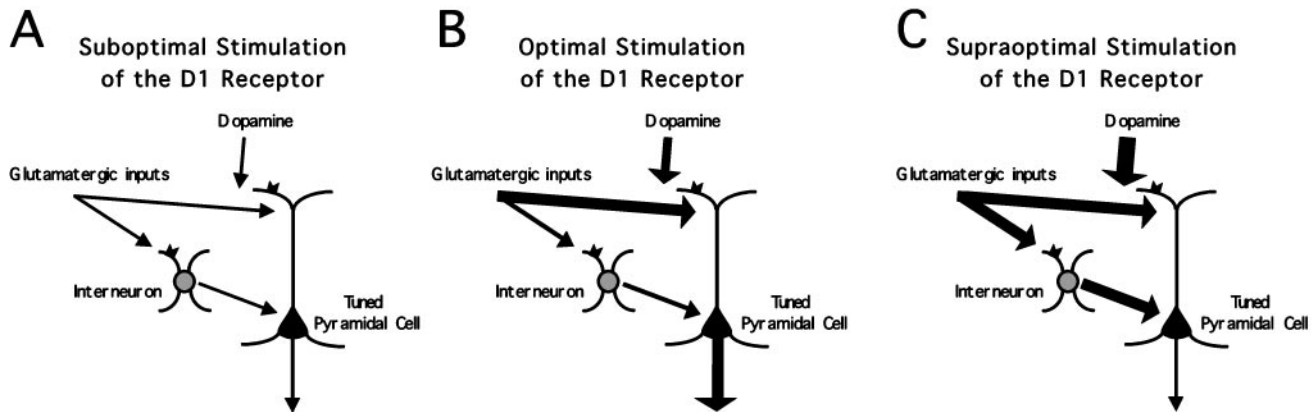


Figure 10. Model for the relationship between D1 receptor stimulation and the strength of cortical activity during the delay of a working memory task. Dopamine, acting at D1 receptors, enhances glutamatergic inputs acting on the NMDA receptor. At low levels of dopamine release (*A*), these inputs are not enhanced to either pyramidal neurons or interneurons. At moderate levels of dopamine release, the glutamatergic inputs to pyramidal cells are primarily enhanced, leading to an increase in pyramidal cell delay activity (*B*). At high levels of dopamine release, the glutamatergic inputs are enhanced to both pyramidal cells and interneurons, leading to a reduction in pyramidal cell activity by feed-forward inhibition (*C*).

(data presented here and Bergson et al., 1995). Electrophysiological recordings in the cortex and striatum demonstrate that dopamine can both enhance neuronal firing directly and increase the inhibitory input to that neuron (Penit-Soria et al., 1987; Williams and Millar, 1990). Williams and Millar (1990) have shown in the striatum that the balance between excitation and inhibition is dependent on the concentration of dopamine; excitation is seen at low levels of dopamine, whereas at higher levels of dopamine, inhibition dominates.

This suggests a possible model to explain the inverted U relationship of D1 activation and working memory performance (Murphy et al., 1996; Zahrt et al., 1997), as well as the results of D1 occupancy on neuronal delay period firing (Williams and Goldman-Rakic, 1995). With suboptimal stimulation of the D₁ receptor, excitatory inputs to pyramidal and nonpyramidal cells support modest delay activity in pyramidal cells (Fig. 10*A*). As dopaminergic stimulation of the D₁ receptor increases, enhancement of excitatory inputs to pyramidal cells becomes maximal, while enhancement of inputs to interneurons is still modest, and the delay activity in pyramidal cells reaches a maximum (Fig. 10*B*). As dopaminergic stimulation of the D₁ receptor increases further, the enhancement of excitatory inputs to interneurons reaches a maximum, and the enhancement of inputs to pyramidal cells plateaus. In this state, the delay activity in pyramidal cells is limited because of D₁-mediated feed-forward inhibition (Fig. 10*C*).

Two lines of evidence support the possibility of differential effectiveness of dopamine at D₁ receptors in pyramidal versus nonpyramidal cells. First, in macaque prefrontal cortex, pyramidal cell dendrites have a higher density of close contacts with TH-containing axon terminals than interneuron dendrites (Krimmer et al., 1997). Thus pyramidal cells may be in closer proximity to dopamine release sites than interneurons, and their D₁ receptors might be maximally stimulated more readily than the D₁ receptors of interneurons. Second, the D₁ receptor acts via a second messenger cascade that includes cAMP (Gingrich and Caron, 1993), which can diffuse from the site of its production (Hempel et al., 1996). Although the specific mechanism that underlies the interaction between D1 family receptor stimulation

and altered glutamate-gated channel currents is not known, it likely involves this second messenger cascade. On pyramidal neurons, the spine can act as a biochemical compartment to restrict the diffusion of second messenger away from the associated asymmetric synapse and to maintain a high concentration for maximal effect (Müller and Connor, 1991; Koch and Zador, 1993). On nonpyramidal neurons, the location of the D₁ receptor and asymmetric synapse on the dendritic shaft might allow for more diffusion and thus a lower concentration of second messengers and reduced effect at the asymmetric synapse. This model remains to be tested; nevertheless, the results presented here suggest that the impact of dopamine on working memory may involve actions on both nonpyramidal as well as pyramidal neurons.

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