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# Early Postnatal Exposure to Isoflurane Disrupts Oligodendrocyte Development and Myelin Formation in the Mouse Hippocampus.

Qun Li, Ph.D., Reilley P. Mathena, B.S., Jing Xu, M.D., O'Rukevwe N. Eregha, B.A., Jieqiong Wen, B.S., Cyrus D. Mintz, M.D., Ph.D.

Department of Anesthesiology and Critical Care Medicine, Johns Hopkins School of Medicine, Baltimore, MD.

# Abstract

**Background:** Early postnatal exposure to general anesthetics may interfere with brain development. We tested the hypothesis that isoflurane causes a lasting disruption in myelin development via actions on the mammalian target of rapamycin (mTOR) pathway.

**Methods:** Mice were exposed to 1.5% isoflurane for 4 hours at postnatal day 7. The mTOR inhibitor, rapamycin, or the pro-myelination drug, clemastine, were administered on days 21-35. Mice underwent Y-maze and novel object position recognition tests (n=12 per group) on days 56-62 or were sacrificed for either immunohistochemistry (n=8 per group), Western blotting (n=8 per group) at day 35 or were sacrificed for electron microscopy at day 63.

**Results:** Isoflurane exposure increased the percentage of pS6+ oligodendrocytes in fimbria of hippocampus from  $22\pm7\%$  to  $51\pm6\%$  (p<0.0001). In Y-maze testing, isoflurane-exposed mice did not discriminate normally between old and novel arms, spending equal time in both ( $50\pm5\%$  old:  $50\pm5\%$  novel, p=0.999), indicating impaired spatial learning. Treatment with clemastine restored discrimination, as evidenced by increased time spent in the novel arm ( $43\pm6\%$  old: $57\pm6\%$  novel, p<0.001) and rapamycin had a similar effect ( $44\pm8\%$  old: $56\pm8\%$  novel; p<0.001). Electron microscopy shows a reduction in myelin thickness as measured by an increase in g-ratio from  $0.76\pm0.06$  for controls to  $0.79\pm0.06$  for the isoflurane group (p<0.001). Isoflurane exposure followed by rapamycin treatment resulted in a g-ratio ( $0.75\pm0.05$ ) that did not differ significantly from the control value (p=0.426). Immunohistochemistry and Western blotting show that isoflurane acts on oligodendrocyte precursor cells to inhibit both proliferation and differentiation. DNA methylation and expression of a DNA methyl transferase 1 is reduced in oligodendrocyte precursor cells were abolished by treatment with rapamycin.

*Corresponding Author:* Cyrus D. Mintz, M.D., Ph.D., Johns Hopkins University School of Medicine Department of Anesthesiology and Critical Care Medicine, 720 Rutland Ave., Ross 370, Baltimore, MD 21205, 917-733-0422, cmintz2@jhmi.edu. *Clinical Trial Number and Registry URL:* Not applicable.

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# Summary Statement:

Early (postnatal day 7) isoflurane exposure in mice disrupts oligodendrocyte development and myelin formation in hippocampal white matter via activation of mTOR and alterations of in DNA methylation levels.

# Introduction

Modern general anesthesia allows the safe performance of several hundred million surgical procedures annually. <sup>1</sup> However, there is growing concern that some vulnerable categories of patients, particularly young children, geriatric patients, and individuals with underlying brain disorders, may be at risk of lasting cognitive dysfunction.<sup>2-4</sup> While conclusive evidence of anesthetic neurotoxicity has not been established in human studies, some animal studies have shown that exposure to general anesthetics in early development cause impaired neurocognitive performance<sup>5-8</sup> and that the peak period of behavioral and cognitive vulnerability to general anesthetics in rodents occurs in early postnatal life.<sup>9-11</sup> Based on these studies, the U.S. Food and Drug Administration issued a warning that lengthy or repeated exposure to general anesthetics and sedative drugs from the third trimester of prenatal development through the first three years of life may cause lasting impairment in the cognitive function.<sup>12</sup> The molecular and cellular mechanisms underlying this phenomenon remain poorly understood.

Most studies investigating anesthetic neurotoxicity have focused on neuronal development. <sup>7,13</sup> However, brain function is also dependent on the myelin-forming oligodendrocytes, which undergo critical developmental events during the putative window of vulnerability. Myelination involves proliferation of oligodendrocyte progenitor cells, differentiation of oligodendrocyte progenitor cells into mature oligodendrocytes, and ensheathment of axons. Myelin is critical for neurotransmission in the CNS and disruptions of myelin function are associated with neurological and psychiatric disorders.<sup>14,15</sup> In this study, we test the hypothesis that early postnatal exposure to isoflurane affects oligodendrocyte development and myelin formation in the hippocampus in an *in vivo* mouse model. To establish the extent to which isoflurane-induced deficits can be attributed to impaired myelination, we employed clemastine, an antimuscarinic drug approved drug for multiple sclerosis therapy, which promotes oligodendrocyte differentiation and myelination and reverses the phenotype of several murine disease models involving demylelination.<sup>16,17</sup>

Exposure to general anesthetics has been shown to impact molecular signaling pathways implicated in the dynamic maintenance of cellular homeostasis and development.<sup>13</sup> Recently, the mammalian target of rapamycin (mTOR) signaling has emerged as a critical integrator of activity of nerve cells and synaptic inputs that in turn affect many cellular metabolic processes.<sup>18</sup> Studies have implicated mTOR signaling in neurodevelopmental and neuropsychiatric disorders.<sup>19</sup> We previously showed that isoflurane disrupts development of newborn hippocampal neurons and synaptic formation via activation of the mTOR pathway. <sup>7, 20</sup> In this study, we further investigate the role of mTOR in isoflurane-induced

neurotoxicity in mouse oligodendrocyte development and myelination using mTOR activity markers and rapamycin, an mTOR pathway inhibitor. We explored the effects on axonoligodendrocyte precursor synapses, which are thought to be critical for turning oligodendrocyte development to match neuronal activity.<sup>21-23</sup> Activity in the mTOR pathway mediates DNA methylation in neurons <sup>24</sup> and cancer cells,<sup>25</sup> and it has been reported that DNA methylation is a well-recognized epigenetic modification that regulates oligodendrocyte development and is necessary for efficient myelin formation.<sup>26-28</sup> Thus, the effect of general anesthetics and mTOR activation on DNA methylation level in oligodendrocytes has also been investigated.

### **Materials and Methods**

### Animal paradigm and experimental timeline.

A total of 120 (61 male and 59 female) immature C57BL/6 mice (body weight =  $4.4\pm0.9$  g. at postnatal day 7) were used in this study. 84 (44 male and 40 female) of them were randomly selected for the rapamycin experiment and 36 (17 male and 19 female) for the clemastine experiment. Sex was not factored into research design as a biological variable. Both sexes were equally represented in all experiments. All study protocols involving mice were approved by the Animal Care and Use Committee at the Johns Hopkins University and conducted in accordance with the NIH guidelines for care and use of animals. Experimental procedures followed the modified protocols from a previously published journal.<sup>7</sup>

At postnatal day 7, animals were exposed to isoflurane or room air for 4 hours. From postnatal days 21-35, half of the isoflurane-exposed mice were injected (i.p.) bi-daily with rapamycin (n=28 per group) or fed daily with clemastine through gastric gavage (n=12 per group). The other half were injected with vehicle of rapamycin or fed with vehicle of clemastine.

For the rapamycin experiment, a subset of mice from each group were sacrificed at postnatal day 35 for immunohistochemistry (n=8 per group) or Western blotting (n=8 per group). The remaining mice underwent behavioral testing for spatial learning and memory functions between postnatal days 56-62 (n=12 for each group). After behavior tests, two mice from each group were processed for electron microscopy at postnatal day 63. Only behavior tests were conducted for clemastine feeding experiment (n=12 for each group) (Fig. 1A).

### Isoflurane exposure.

At postnatal day 7, two-thirds of the mice were evenly distributed across littermate groups and were randomly selected for isoflurane exposure. The other one-third of the mice stayed in room air as a naïve control. Volatile anesthesia exposure was accomplished using a Supera tabletop portable non-rebreathing anesthesia machine. 3% isoflurane mixed in 100% oxygen was initially delivered in a closed chamber for 3-5 min and after loss of righting reflex, animals were transferred to the specially designed plastic tubes. A heating pad (36.5°C) was placed underneath the exposure setup. The mice were exposed to 1.5% isoflurane carried in 100% oxygen for 4 hours. A calibrated flowmeter was used to deliver oxygen at a flow rate of 5 L/min and an agent specific vaporizer was used to deliver isoflurane. During isoflurane

exposure, mice were monitored for change in physiological state using the non-invasive MouseOx plus instrument (STARR Life Sciences, Holliston, MA, USA). A collar clip connected to the instrument was placed on the neck and a temperature probe placed on the skin of the abdomen. Ten-minute readings with 1-hour intervals were taken. Data was collected in four time-points and averaged for each case. The skin temperature ( $34.1\pm0.8^{\circ}$ C), pulse distention ( $168.9\pm36.6 \mu$ m), heart rate ( $376.8\pm94.1 \text{ bpm}$ ), breath rate ( $77.4\pm35.8 \text{ brpm}$ ), and oxygen saturation ( $99.3\pm0.3\%$ ) were recorded. After the isoflurane exposure, mice were returned to their moms together with their littermates upon regaining righting reflex. All animals (100%) survived the isoflurane exposure.<sup>7</sup>

### Rapamycin injection.

A total of 84 mice were equally divided into three groups: 1) naïve control; 2) isoflurane exposure plus vehicle; and 3) isoflurane plus rapamycin injection. From postnatal days 21-35, half of the isoflurane-exposed mice (group 3; n=28 per group) were injected intraperitoneally with 0.2% rapamycin dissolved in vehicle solution and the other half with vehicle only (group 2; n=28 per group). Vehicle consisted of 5% Tween 80 (Sigma Aldrich, St. Louis, MO, USA), 10% polyethylene glycol 400 (Sigma-Aldrich, St. Louis, MO, USA), and 8% ethanol in saline. Mice received 100  $\mu$ l rapamycin or vehicle for each injection at 48 hour intervals from postnatal days 21-35.<sup>7</sup>

### Clemastine feeding.

In this experiment, 36 animals were also equally divided into three groups as above. Clemastine (Tocris Bioscience, Bristol, UK) was dissolved in DMSO (Sigma-Aldrich, St. Louis, MO, USA) at 10 mg/ml followed by further dilution in ddH2O into 1 mg/ml. From postnatal days 21-35, half of the isoflurane exposed mice (n=12 for each group) were fed clemastine (10 mg/kg) daily via gastric gavage using plastic feeding tubes (gauge 22; Instech, Plymouth Meeting, PA, USA), and the other half (n=12 for each group) were fed same volume of 10% DMSO as vehicle.<sup>16,17</sup>

### Behavior tests.

The novel object position recognition test and Y-maze test were performed at the last week of the survival period (postnatal days 56-62).<sup>7</sup> Experimenters were blinded to condition when behavioral tests were carried out and quantified.

1). Novel object position recognition test: The test was assessed in a 27.5 cm  $\times$  27.5 cm  $\times$  25 cm opaque chamber. During the pre-test day (day 1), each mouse was habituated to the chamber and allowed to explore 2 identical objects (glass bottles, 2.7 cm diameter, 12 cm height, and colored paper inside) for 15 minutes. The mouse was then returned to its home cage for a retention period of 24 hours. On the test day (day 2), the mouse was reintroduced to the chamber and presented with one object that stayed in the same position (old position) while the other object was moved to a new position (novel position). A five-minute period of movement and interaction with the objects was recorded with a video camera that was mounted above the chamber and exploratory behavior was measured by a blinded observer. Exploratory behavior was defined as touching the object with snouts. The numbers of exploratory contacts with the novel object and with the old

object were respectively recorded, and the ratios over the total exploratory contact numbers were calculated.

**2). Y-maze test:** In the pre-test phase (day 1), mice explored and habituated in the start arm (no visual cue) and 1 out of 2 possible choice arms with overt visual cue (old arm) for 15 minutes. This was followed by the recognition phase (day 2) 24 hours later, in which the animals could move freely in the three arms and choose between the 2 choice arms (old arm and novel arm) after being released from the start arm. The timed trials (5 minutes) were video recorded as well as graded by an observer blinded to the conditions for exploration time in each choice arm and the percentages over total exploratory time were calculated.

### Immunohistochemistry.

During postnatal days 30-35, 5-bromo-2'-deoxyuridine (Abcam, Cambridge, UK) was injected intraperitoneally at 50mg/kg daily in animals randomly selected from three groups (n=8 for each group). At postnatal day 35, mice were perfused with 40 ml 4% paraformaldehyde in PBS. Brains were removed and post-fixed at 4°C overnight, followed by 30% sucrose in PBS at 4°C for 48 hours. The brains were coronally sectioned in 40 µm thickness using a freezing microtome. For each brain, 72 sections containing fimbria were collected in a 24-well tissue culture plate and they were divided into twelve wells in a rotating order (6 sections per well). Seven wells of sections were immunostained for: (1) phospho-S6 and adenomatous polyposis coli; (2) 5-bromo-2'-deoxyuridine and neural/glial antigen 2; (3) adenomatous polyposis coli and platelet-derived growth factor receptor alpha; (4) vesicular glutamate transporter 1 and neural/glial antigen 2; (5) myelin basic protein; (6) DNA methyltransferase 1 and Olig2 (oligodendrocyte transcription factor marker); (7) 5methylcytosine (5-mC) and adenomatous polyposis coli. For 5-bromo-2'-deoxyuridine staining, sections were pretreated with 2N HCl to denature DNA (37°C; 45min), and with 2  $\times$  15min borate buffer (pH 8.5) to neutralize the HCl. After 3 $\times$ 10min PBS washing, sections were blocked in 10% normal goat serum and 0.1% triton X-100 for 60min, followed by primary antibody incubation at 4°C overnight. Primary antibodies used in this study were: rabbit anti-phospho-S6 (1:1,000; Cell Signaling, Boston, MA, USA), mouse anti-5bromo-2'-deoxyuridine (1:200; Abcam, Cambridge, UK), rabbit anti-neural/glial antigen 2 (1:200; Millipore, Burlington, MA, USA), mouse anti-adenomatous polyposis coli (1:2,000; Millipore, Burlington, MA, USA), mouse anti-myelin basic protein (1:500; Santa Cruz Biotechnology, Dallas, TX, USA), rabbit anti- platelet-derived growth factor receptor alpha (1:500; Lifespan Bio, Seattle, WA, USA), mouse anti- vesicular glutamate transporter 1 (1;200; Abcam, Cambridge, UK), mouse anti-DNA methyltransferase 1 (1:100; Santa Cruz Biotechnology, Dallas, TX, USA), rabbit anti-Olig2 (1:2,000; Abcam, Cambridge, UK), and rabbit anti-5-methylcytosine (1:2,500; Abcam, Cambridge, UK). After 3×10min washes in PBS, sections were incubated with secondary antibodies for 2 hours: Alexa 488 conjugated goat anti-rabbit IgG (1:300; Invitrogen, Eugene, OR, USA) mixed with Cy3 conjugated goat anti-mouse IgG (1:600; Jackson ImmunoResearch Labs, West Grove, PA, USA), or Alexa 488-goat anti-mouse IgG (1:300; Invitrogen, Eugene, OR, USA) mixed with Cy3 conjugated goat anti-rabbit IgG (1:600; Jackson ImmunoResearch labs, West Grove, PA, USA). After  $3 \times 10$  min PBS washes, sections were mounted onto slides, air-dried, and cover-slipped.<sup>21</sup>

### Cell counting and immuno-fluoresce intensity analysis in fimbria

The sections were observed and imaged using a Leica 4000 confocal microscope (Wetzlar, Germany). All single- or double- immunolabeled cells within hippocampal fimbria area were counted using ImageJ with cell counter plugin (NIH, Bethesda, MD, USA). The criteria for counting mTOR active oligodendrocytes required a cell to have both phospho-S6+ (in red channel) and adenomatous polyposis coli+ (in green channel) cytoplasm and merged image of double labeled cells appeared yellow color (Fig. 1B). Proliferating oligodendrocyte progenitor cells and 5-methylcytosine+ oligodendrocytes were counted for cells that have 5-bromo-2'-deoxyuridine+ or 5-methylcytosine + nuclei and neural/glial antigen 2+ or adenomatous polyposis coli+ cytoplasm. However, both DNA methyltransferase 1 and Olig2 reactivity were seen in nuclei. Identification of excitatory axon-oligodendrocyte progenitor cell synapses involved vesicular glutamate transporter 1+ terminal boutons closely apposing on the surface of neural/glial antigen 2+ oligodendrocyte progenitor cells. For adenomatous polyposis coli and platelet-derived growth factor receptor alpha double-stained sections, almost no double-labeled cells were seen, which means these two markers label cells in different oligodendrocyte development stages without overlapping.

Images containing fimbria were taken at 20x magnification in red (Cy3), green (Alexa 488), and merged channels. All single- (Cy3+ or Alexa488+) and double-labeled cells in fimbria were counted. Images were opened and initialized in ImageJ. The fimbria area was outlined using the "Freehand" tool. "Plugins", "Analysis", and "Cell Counter" tools were selected, and each labeled cell inside was clicked, with which each counted cell was marked preventing the same cell from being counted twice. The numbers of counted cells were automatically recorded. The ratio of a specific marker labeled oligodendrocytes (such as yellow-colored phospho-S6+/ adenomatous polyposis coli+ cells over all green adenomatous polyposis coli+ cells in Fig. 1B) was calculated. For each case, numbers from 12 fimbria images (6 sections, both sides) were averaged. There was almost no double staining for adenomatous polyposis coli and platelet-derived growth factor receptor alpha. We the used ratio of adenomatous polyposis coli+ over platelet-derived growth factor receptor alpha+ cells to evaluate the maturation of oligodendrocyte lineage cells. For axon- oligodendrocyte progenitor cells synapse, five neural/glial antigen 2 positive cells from each image (60 cells for each case) were randomly selected and photos were taken in a higher magnification (40x). Every vesicular glutamate transporter 1+ terminal boutons apposing on each selected cell were counted with imageJ and average numbers were calculated.

The fluorescence intensity of myelin basic protein immunoreactivity in fimbria were also quantitatively analyzed using ImageJ. Photos of the fimbria area from immunostained sections were taken at 20x magnification. Identical photo exposure was set for all groups. The image was opened with ImageJ and outline of fimbria was drawn with "Freehand" tool. The "set measurements" was selected from the analyze menu and "integrated density" was activated. A region in lateral ventricle was selected as background. The final myelin basic protein intensity of fimbria area equals measured density minus background.

### Western blotting.

Eight animals from each group were quickly perfused with cold saline on day 35. From the medial aspect of the hemisphere, the hippocampus was exposed and separated from brain tissue. Fimbria located in the ventrolateral side of the hippocampus were easily identified by bright white color under dissection microscope, and then removed with fine forceps. Fimbria tissue was lysed in the lysis buffer, homogenized with a bullet bender (Next Advance, Troy, NY, USA), and centrifuged. The supernatant was taken and stored in  $-80^{\circ}$ C. The next day, samples were prepared with 1:1 denaturing sample buffer (Bio-Rad, Hercules, CA, USA), boiled for 5 min, and run on 4-12% Bis-Tris Protein Gels (Invitrogen, Carlsbad, CA, USA) in running buffer (Invitrogen, Carlsbad, CA, USA) with 150 volts for about 1 hour. The proteins were transferred to nitrocellulose blotting membranes (Invitrogen, Carlsbad, CA, USA). Blots were probed with anti-neural/glial antigen 2 (1:200; Millipore, Burlington, MA, USA), anti-NK2 homeobox 2 (1:200; Abcam, Cambridge, UK), anti-myelin basic protein (1:500; Santa Cruz Biotechnology, Dallas, TX, USA), anti-DNA methyltransferase 1 (1:100; Santa Cruz Biotechnology, Dallas, TX, USA) and anti-β-actin antibodies (1:1,000; Cell Signaling Technology, Boston, MA, USA). The membranes with the primary antibodies were stored in 4°C overnight. After incubation in secondary antibodies (1:2,000; Cell Signaling Technology, Boston, MA, USA) for 1 hour, blots were visualized using ECL western blotting substrate kit (Pierce Biotechnology, Waltham, MA, USA). Images were acquired using ChemiDoc imaging system (Bio-Rad, Hercules, CA, USA) and were quantitated with ImageJ (NIH, Bethesda, MD, USA). First, the images were opened using File>Open. The rectangles around all lanes (each lane includes bands for detected marker and  $\beta$ -actin) were drawn by choosing "Rectangular Selection". Then proceeding to "Analyze>Gels>Plot Lanes", peaks were generated representing the density of bands, followed by clicking "Straight Line" tool to enclose the peaks and selecting the "Wand" tool to highlight the peaks. After this, Analyze>Gels>Label Peaks was used to get numbers for peak area (band intensity). The ratios of band density of oligodendrocyte lineage markers over  $\beta$ -actin were calculated.<sup>21</sup>

### Electron microscopy.

Two animals from each group were perfused with 2% glutaraldehyde (Electron Microscopy Sciences, Hatfield, PA, USA) plus 2% paraformaldehyde (Electron Microscopy Sciences, Hatfield, PA, USA) in PBS at postnatal day 63 (after behavior tests) and post-fixed at 4°C for 1 week. Brains containing fimbria were dissected into small blocks ( $2mm \times 2mm \times 2mm$ ). The blocks were placed into 1% OsO4 (Electron Microscopy Sciences, Hatfield, PA, USA) for 1 hour, stained in 0.5% uranyl acetate (Electron Microscopy Sciences, Hatfield, PA, USA) overnight, and dehydrated in a series of alcohols followed by propylene oxide for 3 hours. After being infiltrated with a 1:1 mixture of propylene oxide and EMBed-812 embedding resin (Electron Microscopy Sciences, Hatfield, PA, USA) for 3 hours, the blocks were embedded with the same resin in the plastic templates at 60°C overnight.<sup>21</sup> Parasagittal semi-thin sections (1 µm) were cut and stained with 1% Toluidine blue for preliminary light microscopy observation. Then, 90 nm ultrathin sections were cut, picked up on Forvarcoated slotted grids, and stained with 0.5% uranyl acetate and 0.5% lead citrate (Electron Microscopy Sciences, Hatfield, PA, USA). Thin sections were observed and imaged with a Hitachi 7600 transmission electron microscope (Chiyoda, Tokyo, Japan). For each case, 10

photos were randomly photographed at 20,000×. The thickness of myelin was quantitatively measured by determining *g*-ratio, which was calculated by dividing the diameter of the axon by the diameter of the entire myelinated fiber as previously described. ImageJ (NIH, Bethesda, MD, USA) was used by first opening ultrastructural images. The scale was set according to the scale bar in the images by selecting "Analyze>Set Scale". The "straight line tool" was selected to measure axonal caliber and diameter of myelinated axons. One hundred axons per group (two animals, fifty from each) were randomly selected and quantitatively analyzed (n=100).<sup>16</sup>

### Statistical analysis.

The statistics were performed with GraphPad Prism 6 (La Jolla, CA, USA) program. The sample size was based on our previous experience with this design. No a priori statistical power calculation was conducted. Normal distribution was verified using the D'Agostino Pearson test. Data for immunohistochemistry, Western blotting, and electron microscopy were analyzed using one-way analysis of variance (ANOVA). The factor of variable was comparisons among groups (control vs. isoflurane plus vehicle vs. isoflurane plus rapamycin). The behavior tests were analyzed with two-way ANOVA. For this analysis, the second factor was animal's choice between old vs. novel positions (or arms) and only the values for this variable in each individual group were compared. The Tukey post hoc test was employed for intergroup comparisons. The two-tailed test was set according to convention. The criteria for significant difference was set a priori at p < 0.05. In this study, all results were expressed as mean ± standard deviation (SD). The sample size "n" represents the number of animals for each group. Only exception is *g*-ratio analysis with electron microscopy in which "n" indicates the number of randomly selected axons from two mice per group (n=100). This analysis way is extensively applied for *g-ratio* study.<sup>16</sup> Because all animals survived tests, there were no missing data in this study. No exclusions for outliers were made in this study. In some experiments, the sample size was increased in response to peer review.

### Results

# Effect of early isoflurane exposure on mTOR activity in oligodendrocytes in hippocampal fimbria.

All experiments compared the three groups as follows: naïve control, isoflurane exposure plus vehicle, and isoflurane exposure plus treatment (rapamycin or clemastine). We first assayed for activity in the mTOR pathway in oligodendrocytes by double labeling for phospho-S6, a reliable reporter of activity in this pathway,<sup>29</sup> and adenomatous polyposis coli, a standard marker for oligodendrocyte. In the control group,  $22\pm7\%$  adenomatous polyposis coli positive oligodendrocytes in fimbria were also immuno-labeled for phospho-S6. There was a profound increase in the percentage of phospho-S6+/ adenomatous polyposis coli+ cells over adenomatous polyposis coli+ cells to  $51\pm6\%$  in isoflurane exposure mice (*p*<0.0001). However, this increase of phospho-S6+/ adenomatous polyposis coli+ cells over adenomatous polyposis coli+ cells was prevented by treatment with rapamycin ( $32\pm12\%$ , *p*=0.001). These data indicate early exposure of a general anesthetic

### Effect of isoflurane exposure on spatial learning.

Next, we asked whether isoflurane exposure impairs spatial learning and memory behaviors using the novel objective position recognition test (Fig. 2A) and Y-maze test (Fig. 2B), and whether treatment with rapamycin or clemastine restores these functions in our exposure paradigm.

**1). Rapamycin study:** In the novel object position recognition test, control animals made  $58\pm8\%$  contacts with the object that had been repositioned as compared to  $42\pm8\%$  contacts with the unchanged object (p<0.0001). The isoflurane-exposed mice made essentially equal contacts at both objects ( $53\pm6\%$  vs.  $47\pm6\%$  times; p=0.398). Rapamycin treatment restored the performance to near control levels ( $55\pm8\%$  vs.  $45\pm8\%$  times; p=0.016) (Fig. 2C). In the Y-maze test, control animals exhibited a higher percentage of exploration time in novel arm ( $58\pm5\%$  exploration time) compared to the old one ( $42\pm5\%$  exploration time) (p<0.0001). Isoflurane-exposed animals without rapamycin treatment had equal exploration times in both arms ( $50\pm5\%$  vs.  $50\pm5\%$  time duration; p=0.999), and rapamycin treatment restored performance in this task ( $56\pm8\%$  vs.  $44\pm8\%$  time duration; p<0.001) (Fig. 2D).

**2). Clemastine study:** In the novel object recognition test, control animals made more contacts with the object in the novel position  $(57\pm8\% \text{ vs. } 43\pm8\% \text{ times}; p=0.007)$ , but isoflurane exposed animals exhibited no exploration preference  $(51\pm10\% \text{ vs. } 49\pm10\% \text{ times}; p=0.998)$ . Clemastine treatment increased the difference near the control cases  $(56\pm7\% \text{ vs. } 44\pm7\% \text{ times}; p=0.028)$  (Fig. 2E). Similarly, in the Y-maze test, unlike controls  $(58\pm6\% \text{ vs. } 42\pm6\% \text{ time duration}; p<0.0001)$ , isoflurane exposed mice spent identical time in both old and novel arms  $(51\pm7\% \text{ vs. } 49\pm7\% \text{ time duration}; p=0.999)$ , and this effect of isoflurane was reversed by clemastine treatment  $(57\pm6\% \text{ vs. } 43\pm6\% \text{ time duration}; p<0.001)$  (Fig. 2F).

### Effects of isoflurane on oligodendrocyte development.

In order to measure the proliferation of oligodendrocyte progenitor cells, the brain tissue was immunolabeled with antibodies against 5-bromo-2'-deoxyuridine and an oligodendrocyte progenitor cells marker, neural/glial antigen 2. We examined proliferating oligodendrocyte progenitor cells by counting 5-bromo-2'-deoxyuridine and neural/glial antigen 2 double-labeled cells in fimbria. We counted 49±14% neural/glial antigen 2 positive oligodendrocyte progenitor cells in control, and 27±9% neural/glial antigen 2 positive cells in isoflurane exposed animals were 5-bromo-2'-deoxyuridine positive (*p*=0.001). This ratio number increased to 47±7% (*p*=0.003) in isoflurane plus rapamycin injection group (Fig. 3A). Interestingly, we found that neural/glial antigen 2 expression from Western blot in the isoflurane exposure group (83±20% intensity over  $\beta$ -actin) is slightly lower than the control (87±15%, *p*=0.882) and rapamycin treatment groups (89±17%, *p*=0.819), but there is no statistical difference among groups (Fig. 3B). However, expression level of NK2 homeobox 2, a transcription factor that identifies oligodendrocyte differentiation, was downregulated by isoflurane (67±16% vs. 32±10% intensity over  $\beta$ -actin; *p*=0.001) and rapamycin attenuated

this effect (58±22% % intensity over  $\beta$ -actin; p=0.015) (Fig. 3B). We then performed double-immunolabeling for adenomatous polyposis coli and the oligodendrocyte progenitor cell marker platelet-derived growth factor receptor alpha, and applied oligodendrocyte/ oligodendrocyte progenitor cell ratio as a parameter to evaluate the oligodendrocyte differentiation in fimbria. <sup>30</sup> The ratio of the number of adenomatous polyposis coli positive mature oligodendrocytes over the number of platelet-derived growth factor receptor alpha labeled oligodendrocyte progenitor cells in isoflurane exposed mice (233±43%) revealed lower than in control (347±70% cells; p=0.002), and rapamycin treatment increases this ratio (338±52% cells; p=0.003) (Fig. 3C).

To test the effects of isoflurane on axon-oligodendrocyte progenitor cell synapses, we identified excitatory axon-oligodendrocyte progenitor cell synapses in the fimbria as puncta that were immunopositive for vesicular glutamate transporter 1, which were closely apposed on neural/glial antigen 2+ cell bodies. We found that the number of axon-oligodendrocyte progenitor cell synapses on each oligodendrocyte progenitor cell in isoflurane exposure  $(0.8\pm0.6 \text{ vGlut1+ terminals per OPC})$  showed a statistically significant reduction compared to control (2.6±1.2 terminals per cell; *p*=0.001) and rapamycin treatment rescued these synapses (2.2±0.7 per cell; *p*=0.008) from isoflurane exposure (Fig. 4).

### Effects of isoflurane exposure on myelination.

To test for changes in myelination after anesthesia exposure, we measured fluoresce intensity of immunolabeling for the myelin basic protein in fimbria. We found an immunointensity reduction in isoflurane exposure (70±18% intensity over control) compared to control conditions (100±17% control; p=0.006), which were partially restored with rapamycin treatment (92±17% rapamycin treatment; p=0.041) (Fig. 5A). We then conducted Western blot from fimbria tissue to confirm this finding. The band intensity of myelin basic protein over  $\beta$ -actin showed a statistically significant decrease by isoflurane exposure (110±30% vs. 60±19% intensity ratio; p=0.002) and expression of myelin basic protein was restored with rapamycin treatment (100±26% intensity ratio; p=0.013) (Fig. 5B). For further confirmation, we conducted electron microscopy to test for changes in the thickness of myelin wraps after isoflurane exposure. The quantitative analysis revealed a statistically significant increase of *g*-*ratio* (thinner myelin sheath) in isoflurane exposed animals than control (0.76±0.06 vs. 0.79±0.06 *g*-*ratio*; p<0.001) (Fig. 5C).

#### Effects of isoflurane exposure on DNA methylation in oligodendrocytes.

We asked if early exposure to isoflurane has a lasting effect on DNA methylation levels in oligodendrocytes. We observed that  $60\pm15\%$  of Olig2+ cells (a transcription factor marker for oligodendrocyte lineage cells) in control conditions were double-labeled with DNA methyltransferase 1 in fimbria as compared to only  $42\pm12\%$  Olig2+ cells (p=0.027) in the isoflurane exposure group. Rapamycin treatment following isoflurane exposure increased the ratio of DNA methyltransferase 1+/Olig2+ over Olig2+ cells ( $62\pm11\%$  ratio; p=0.01) (Fig. 6A). Western blots were conducted which confirmed that DNA methyltransferase 1 expression is reduced with isoflurane treatment ( $25\pm8\%$  intensity ratio over  $\beta$ -actin) compared to control ( $58\pm21\%$  intensity ratio over  $\beta$ -actin; p<0.001) and a partial recovery

results from rapamycin treatment (49±9% ratio; p=0.006) (Fig. 6B). In order to determine whether changes in DNA methyltransferase 1 levels have functional significance, we assayed levels of 5-methylcytosine, which is a product of DNA methyltransferase 1 mediated DNA methylation, in oligodendrocytes. Isoflurane exposure decreased the ratio of 5-methylcytosine positive nuclei over adenomatous polyposis coli labeled oligodendrocytes (33±13% ratio) relative to control (52±13% ratio; p=0.006), and rapamycin treatment reversed this decrease (48±7% ratio; p=0.031) (Fig. 6C).

## Discussion

In this study, we report that early postnatal exposure to isoflurane in mice causes a substantial disruption of oligodendrocyte development and myelination in fimbria of the hippocampus, which is the predominant bundle of efferent axonal fibers from the hippocampus. Proliferation and differentiation of oligodendrocyte progenitor cells are chronically impaired by early isoflurane exposure, as is the formation of synaptic connections between oligodendrocyte progenitor cells and axons. This results in a measurable loss of myelin in the fimbria. Proper connections and communications between hippocampus and the neocortex are critical for performing the cognitive and psychological functions.<sup>14, 31, 32</sup> Myelination is essential in establishing connectivity in the growing brain by facilitating rapid and synchronized information transfer across the nervous system. Once thought of as solely a passive insulator, myelin is now understood to be actively involved in the function and development of the CNS.<sup>33</sup> Abnormal myelination of axons disrupts the communications between brain regions, and it has been reported that myelin deficits in the hippocampus cause cognitive and psychological disorders.<sup>34-36</sup> Previous studies have shown an acute increase in apoptosis of oligodendrocytes, the myelin forming glial cells, with early exposure to isoflurane,<sup>37, 38</sup> but our findings demonstrate a lasting effect of anesthesia on oligodendrocyte proliferation and differentiation that results in a decrease in myelination in the hippocampal fimbria.

The process of oligodendrocyte development occurs based on an intrinsic program that is modulated by neurotransmitters and electrical activity in CNS.<sup>39-41</sup> Axonal terminals release glutamate as a transmitter at not only axonal terminals but also at discrete sites along axons in white matter.<sup>42</sup> By acting on AMPA or NMDA receptors expressed on oligodendrocyte progenitor cells, glutamate increases the downstream phosphorylation of the cAMP response element binding protein and release of calcium from intracellular stores,<sup>43</sup> thereby promoting oligodendrocyte progenitor cell proliferation and differentiation. As an agonist of GABA-A and glycine receptors,<sup>44</sup> as well as a potential NMDA inhibitor,<sup>45</sup> isoflurane suppresses excitatory neurotransmission.<sup>46</sup> It raises the possibility that a profound direct action on oligodendrocyte progenitor cells, which express both GABA and NMDA receptors, is caused by isoflurane during a critical period in development and that this may alter the developmental program, thus resulting in deficits in myelination. An alternative or complementary explanation may be an indirect effect mediated by the electrochemical synapses that occur between axonal terminals and oligodendrocyte progenitor cells (axonoligodendrocyte progenitor cell synapses). Formation of glutamatergic axonoligodendrocyte progenitor cell synapses plays an important role in promoting activitydependent oligodendrocyte development and maintenance.<sup>21-23</sup> The chronic, lasting effects

of isoflurane on neuronal synapses that we have previously shown may translate into reduced activity at axon- oligodendrocyte progenitor cell synapses, thus leading to suppression of oligodendrocyte progenitor cell development. A previous study showed that plasticity of axon-oligodendrocyte progenitor cell synapses is highly dependent on electrical activity.<sup>21</sup> The data in this study further confirms that exposure of isoflurane reduces the number of excitatory (vesicular glutamate transporter 1 positive) axon-oligodendrocyte progenitor cell synapses in hippocampus, which is likely a key mechanism of general anesthetic-induced hypomyelination.

Our previous work has indicated that exposure to isoflurane disrupts the development of hippocampal neurons generated in the early postnatal period by inappropriately increasing activity in the mTOR pathway and we found that both behavioral and histological changes could be reversed by pharmacologic mTOR inhibition.<sup>7</sup> In the present study, as in neurons, we observe a lasting alteration in the tone of mTOR signaling in oligodendrocytes in the hippocampal fimbria for a protracted period after isoflurane exposure which appears to be integral to the developmental disruption. We found a substantial improvement in phenotype with rapamycin treatment in this study as well. The mTOR pathway is an intracellular signaling pathway that regulates cellular activities including proliferation, differentiation, apoptosis, metabolism, transmitter release, and other biological processes.<sup>18</sup> In the past decade, many studies have implicated mTOR signaling in CNS developmental and neuropsychiatric disorders.<sup>19</sup> Two structurally and functionally distinct mTOR-containing complexes have been identified in oligodendrocytes. The first, mTOR complex 1 (mTORC1), contains the adaptor protein Raptor, which influences myelin basic protein expression via an alternative mechanism and is sensitive to the drug rapamycin. The second complex, mTORC2, contains Ritor, and it is thought to control myelin gene expression at mRNA level and is relatively rapamycin insensitive.<sup>47</sup>

The mTOR pathway itself plays a complex role in myelination; mTOR activity can either enhance or suppress oligodendrocyte development depending on the context. A study using a mouse line with oligodendrocyte-specific knockdown of mTOR in CNS has provided evidence that mTOR is essential for oligodendrocyte development and myelination.<sup>48</sup> Inhibition of mTOR via rapamycin in cultured adult oligodendrocyte progenitor cells or in a mouse model starting at 6 weeks of age results in oligodendrocyte differentiation deficits along with reduced expression of major myelin proteins and mRNAs.<sup>49-51</sup> In contrast, activation of mTOR induced by tuberous sclerosis complex -1 or 2 gene mutations in early oligodendrocyte progenitor cells caused white matter abnormalities, including myelin deficits in CNS.<sup>52-54</sup> A bidirectional action of the PI3K-Akt-mTOR axis in myelination has also been reported in studies of the PNS. If tuberous sclerosis complex -1 deletion occurs in early developmental stages in Schwann cells, mTOR hyperactivity arrests the process by which Schwann cells ensheathe axons. If mTOR activity is increased in Schwann cells after they have begun wrapping around axons, there is actually in increase in myelination.<sup>55</sup> Thus, oligodendrocyte development and myelination may be dependent on precise balance and timing in mTOR signaling. Either increasing or decreasing levels of mTORC1 activity interferes with oligodendrocyte differentiation and causes potentially causes hypomyelination.<sup>56</sup>

While we do not yet have a clear picture of how changes in mTOR activity act on oligodendrocytes, our data indicate changes in DNA methylation as a promising direction. Recent work shows developmental anesthetic toxicity may involve epigenetic modulation <sup>57</sup> and that DNA methylation plays an important role in regulating oligodendrocyte progenitor cell proliferation and differentiation.<sup>26-28</sup> Intriguingly, mTOR signaling has been shown to negatively regulate DNA methylation via an action on DNA methyltransferase 1,<sup>24, 25</sup> suggesting a possible connection between mTOR signaling and oligodendrocyte development. This finding is consistent with our data showing that isoflurane exposure and concomitant increases in mTOR signaling lead to a decrease in DNA methyltransferase 1 expression and DNA methylation in developing oligodendrocytes, and that both of these changes are reversible with rapamycin treatment.

We propose that oligodendrocytes should be further studied both as a potential target in anesthetic neurotoxicity and as a model system in which to further explore the interplay of anesthetics, mTOR signaling, and DNA methylation. Our work is limited by the rodent model, which has well-known confounds related to anesthetic administration in very young, small mice in which physiologic monitoring and control of respiratory function is challenging. In particular, we have chosen to use 100% oxygen as a carrier for isoflurane, which has the beneficial effect of preventing hypoxia in our model system, but which raises the possibility of a combined effect of isoflurane and hyperoxia damage that cannot be fully controlled for in our experimental model. While it is indeed the case that supplemental oxygen is frequently used in pediatric anesthesia practice it would be ideal to avoid confounds presented by hyperoxia and other physiologic issues via studies in large animal models and in cell culture models and we hope further work in this area will be undertaken in these systems.

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### Fig. 1.

(A) Experimental timeline. A total of 120 mice (84 for rapamycin injection and 36 for clemastine feeding experiments) were used in this study. At postnatal day 7, two-thirds of the mice were exposed to isoflurane carried for 4 hours and the other one-third of the animals remained in room air as naïve control. From postnatal days 21-35, isofluraneexposed mice were injected (i.p.) with rapamycin or vehicle at 48 hour intervals; or daily fed with clemastine or vehicle. Mice were sacrificed at postnatal day 35 for immunohistochemistry and Western blotting, or at postnatal day 63 for electron microscopy. The novel objective position recognition test and Y-maze test were performed during postnatal days 57-62. (B). Effect of early isoflurane exposure on mTOR pathway activity in oligodendrocytes of hippocampus fimbria. Coronal brain sections from control, isoflurane exposure, and isoflurane plus rapamycin groups were immunostained with adenomatous polyposis coli (APC; green) and phosphor-S6 (pS6; red) antibodies. Arrows indicate phospho-S6+ and adenomatous polyposis coli+ double-labeled cells (vellow) in merged images. Scale bar=10 µm. The histogram shows quantitative results. In isoflurane-exposed mice, the ratio of phospho-S6+/ adenomatous polyposis coli+ over adenomatous polyposis coli+ cells is dramatically increased compared to control and this increase is reversed with rapamycin treatment. (n=8 for each group; One-way ANOVA; \*\*: p<0.01; \*\*\*\*: p<0.001). Iso: isoflurane. Error bars represent SD.



### Fig. 2.

Isoflurane impairs cognitive functions via mTOR activity. (A). Novel object recognition test. On day 1, mice were allowed to explore two identical objects in an opaque chamber. On day 2, one object was moved to a novel position. Exploratory behavior was defined as the number of object-contacting with snouts. (B). Y-maze test. On day 1, mice habituated in the start arm and one choice arm. On day 2, the animal could choose between two arms. Exploration time in both arms was respectively recorded. (C). Novel object recognition test for rapamycin study. Control animals made more contact with the object in the novel position than that in the old position. Isoflurane-exposed mice have identical contacts for both positions. Rapamycin treatment restores performance to near control levels. (D). Ymaze study. Control animals stayed in the novel arm for longer time than in the old arm. Isoflurane-exposed animals stayed in both arms for same time. Rapamycin treatment reversed this ratio to near control. (E). Novel object recognition test for clemastine study. Control animals spent more time exploring the object in the novel position, but isofluraneexposed animals exhibited no exploration preference. Clemastine treatment increased difference near the level of control animals. (F). Y-maze test. Similarly, isoflurane mice spent identical time for both arms, but this effect of isoflurane was reversed by feeding clemastine. The statistics was Two-way ANOVA (n=12 for each group; \*: p<0.05; \*\*: *p*<0.01; \*\*\*: *p*<0.001; \*\*\*\*: *p*<0.0001; ns: no significance). Error bars: SD.



### Fig. 3.

The effect of isoflurane exposure and rapamycin treatment on oligodendrocyte development in fimbria. (A). Oligodendrocyte progenitor cell proliferation was detected with 5-bromo-2'deoxyuridine and neural/glial antigen 2 double-immunolabeling. A reduction in 5-bromo-2'deoxyuridine +/ neural/glial antigen 2+ cells in isoflurane-exposed animals was observed compared to the control. This number was increased in isoflurane plus rapamycin injection group. Scale bar=20µm. (B). Western blot data indicated that the neural/glial antigen 2 level was not altered by isoflurane exposure and rapamycin administration. Expression of NK2 homeobox 2, a transcript factor for oligodendrocyte differentiation, was downregulated by isoflurane and rapamycin treatment attenuated this effect. (C). Oligodendrocyte differentiation was analyzed with lineage tracing using immunohistochemistry. The ratio of adenomatous polyposis coli+ mature oligodendrocyte number over platelet-derived growth factor receptor alpha+ oligodendrocyte progenitor cells in isoflurane-exposed mice revealed reduction compared to control, and rapamycin treatment increases the ratio. Scale bar=20µm. (n=8 for each group; One-way ANOVA; \*: p<0.05; \*\*: p<0.01; ns: no significance). Iso: isoflurane. Error bars indicate SD.



### Fig. 4.

The effect of isoflurane exposure and rapamycin treatment on the numbers of excitatory axon-oligodendrocyte progenitor cell synapses in fimbria. The vesicular glutamate transporter 1+ axon-oligodendrocyte progenitor cell synapses were identified with terminals apposing on neural/glial antigen 2+ oligodendrocyte progenitor cells (arrows indicate these synapses). The number in isoflurane exposure mice was lower than control and rapamycin treatment rescued these axon- oligodendrocyte progenitor cell synapses. Scale bar=5μm. (A). Control. (B). Isoflurane exposure plus vehicle group (C). Isoflurane exposure with rapamycin treatment. (D). Graph showing quantitative data (n=8 for each group; One-way ANOVA; \*\*: *p*<0.01). Iso: isoflurane. Error bars equal SD.



### Fig. 5.

The effect of isoflurane exposure and rapamycin treatment on myelination in fimbria. (A). Myelination was quantitatively analyzed with myelin basic protein immunostaining. The myelin basic protein intensity in isoflurane-exposed mice was reduced compared to control conditions and is increased with rapamycin treatment. For this analysis, the same exact photo setting was performed for all groups. \* in photos: CA3 of hippocampus; fi: fimbria of hippocampus; LV: lateral ventricle. Scale bar=200 $\mu$ m. (B). Western blot data indicated expression of myelin basic protein was decreased by isoflurane exposure and then elevated by rapamycin treatment. (C). Electron microscopy analysis was performed in fimbria parasagittal ultrathin sections. The ratio of axonal caliber over diameter of myelinated fiber (g-ratio) was increased in isoflurane-exposed animals (it means decreased myelin thickness) compared to control, and rapamycin treatment reversed this change. Scale bar=0.5 $\mu$ m. n=8 for each group in (A), (B), and n=100 for each group in (C). One-way ANOVA; \*: *p*<0.05; \*\*: p<0.01. Iso: isoflurane. Error bars indicate SD.



### Fig. 6.

The effect of isoflurane exposure and rapamycin treatment on DNA methylation level in oligodendrocytes. (A). DNA methylation level examined with DNA methyltransferase 1 and Olig2 double immunolabeling. The percentage of DNA methyltransferase 1+/Olig2+ over Olig2+ nuclei in isoflurane-exposed mice was lower than control and rapamycin increased this ratio. Scale bar=25  $\mu$ m. (B). Western blot data revealed isoflurane dramatically decreased the DNA methyltransferase 1 level and rapamycin increased DNA methyltransferase 1. (C). 5-methylcytosine, the product of DNA methylation catalyzed by DNA methyltransferase 1, was detected in nuclei of adenomatous polyposis coli+ oligodendrocytes. The ratio of 5-methylcytosine +/ adenomatous polyposis coli + over adenomatous polyposis coli+ cells showed a statistically significant decrease with isoflurane exposure and rapamycin injection reversed this decrease. Scale bar=25 $\mu$ m. (n=8 for each group; One-way ANOVA; \*: *p*<0.05; \*\*: *p*<0.01; \*\*\*: *p*<0.001). Iso: isoflurane. Error bars represent SD.