

# Risk Factors for Functional Decline and Impaired Quality of Life after Pediatric Respiratory Failure

R. Scott Watson<sup>1,2</sup>, Lisa A. Asaro<sup>3</sup>, Larissa Hutchins<sup>4</sup>, G. Kris Bysani<sup>5,6</sup>, Elizabeth Y. Killien<sup>1,7</sup>, Derek C. Angus<sup>8</sup>, David Wypij<sup>3,9,10</sup>, and Martha A. Q. Curley<sup>11,12</sup>; for the *RESTORE* Study Investigators\*

<sup>1</sup>Department of Pediatrics, University of Washington, Seattle, Washington; <sup>2</sup>Center for Child Health, Behavior, and Development, Seattle Children's Research Institute, Seattle, Washington; <sup>3</sup>Department of Cardiology, Boston Children's Hospital, Boston, Massachusetts; <sup>4</sup>Department of Patient Care Services, the Children's Hospital of Philadelphia, Philadelphia, Pennsylvania; <sup>5</sup>Medical City Children's Hospital, Dallas, Texas; <sup>6</sup>Pediatric Acute Care Associates of North Texas, Dallas, Texas; <sup>7</sup>Harborview Injury Prevention and Research Center, Seattle, Washington; <sup>8</sup>Clinical Research, Investigation, and Systems Modeling of Acute Illness Center, Department of Critical Care Medicine, University of Pittsburgh School of Medicine, Pittsburgh, Pennsylvania; <sup>9</sup>Department of Biostatistics, Harvard T. H. Chan School of Public Health, Boston, Massachusetts; <sup>10</sup>Department of Pediatrics, Harvard Medical School, Boston, Massachusetts; <sup>11</sup>School of Nursing and Perelman School of Medicine, University of Pennsylvania, Philadelphia, Pennsylvania; and <sup>12</sup>Children's Hospital of Philadelphia Research Institute, Philadelphia, Pennsylvania

ORCID IDs: 0000-0002-2581-7186 (R.S.W.); 0000-0001-5736-0304 (L.A.A.); 0000-0002-1193-5464 (E.Y.K.); 0000-0001-8367-8711 (D.W.); 0000-0001-5228-6694 (M.A.Q.C.).

## Abstract

**Rationale:** Poor outcomes of adults surviving critical illness are well documented, but data in children are limited.

**Objectives:** To identify factors associated with worse postdischarge function and health-related quality of life (HRQL) after pediatric acute respiratory failure.

**Methods:** We assessed functional status at baseline, discharge, and 6 months after pediatric ICU discharge and HRQL 6 months after discharge in 2-week- to 17-year-olds mechanically ventilated for acute respiratory failure in the *RESTORE* (Randomized Evaluation of Sedation Titration for Respiratory Failure) trial. We assessed HRQL via Infant and Toddler Quality of Life Questionnaire-97 (<2 yr old) or Pediatric Quality of Life Inventory (≥2 yr old). We categorized patients with normal baseline function as having impaired HRQL if scores were greater than 1 SD below mean norms for Infant and Toddler Quality of Life Questionnaire-97 growth and development or Pediatric Quality of Life Inventory total score.

**Measurements and Main Results:** One-fifth ( $n = 192$ ) of 949 patients declined in function from baseline to postdischarge; 20% (55/271) had impaired growth and development; 19% (64/343) had impaired HRQL. In multivariable analyses, decline in function was associated with baseline impaired function, prematurity, cancer, respiratory failure etiology, ventilation duration, and clonidine (odds ratio [OR] = 2.14; 95% confidence interval [CI] = 1.22–3.76). Independent predictors of impaired growth and development included methadone (OR = 2.27; 95% CI = 1.18–4.36) and inadequate pain management (OR = 2.94; 95% CI = 1.39–6.19). Impaired HRQL was associated with older age, non-white or Hispanic race, cancer, and inadequate sedation management (OR = 3.15; 95% CI = 1.74–5.72).

**Conclusions:** Postdischarge morbidity after respiratory failure is common and associated with admission factors, exposure to critical care therapies, and pain and sedation management.

**Keywords:** healthcare outcomes; pediatric; health-related quality of life; respiratory failure; functional status

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Correspondence and requests for reprints should be addressed to Martha A. Q. Curley, R.N., Ph.D., School of Nursing and Perelman School of Medicine, University of Pennsylvania, Claire M. Fagin Hall, 418 Curie Boulevard-425, Philadelphia, PA 19104-4217. E-mail: curley@nursing.upenn.edu.

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## At a Glance Commentary

### Scientific Knowledge on the

**Subject:** Adults undergoing mechanical ventilation with acute respiratory distress syndrome have well-documented physical limitations and diminished health-related quality of life. Recent studies of pediatric ICU populations found concerning rates of new physical morbidity at the time of hospital discharge and, at a single center, up to 3 years after discharge. There is a paucity of contemporary data, however, on postdischarge outcomes after pediatric acute respiratory failure.

### What This Study Adds to the Field:

In one of the largest studies to date of postdischarge function and health-related quality of life in children surviving acute respiratory failure, this study found postdischarge morbidity to be common and associated with admission factors, exposure to critical care therapies, and pain and sedation management. These data begin to address the current gap in the literature regarding the evolving phenomenon known as post-intensive care syndrome in pediatrics. These data identify children experiencing worse outcomes after pediatric ICU care, of use to inpatient providers to help parents understand their child's risk for long-term sequelae and outpatient providers to refocus follow-up visits to potentially intervene to improve the 6-month trajectory.

Each year, more than 100,000 infants and children in the United States are supported on mechanical ventilation (1). Adults undergoing mechanical ventilation with acute respiratory distress syndrome (ARDS) have higher mortality rates than children, and survivors have well-documented physical limitations and diminished health-related quality of life (HRQL) (2–7). Recent studies of pediatric ICU (PICU) populations found concerning rates of new physical morbidity at hospital discharge (8, 9) and, at a single center, up to 3 years after discharge (10). There is a paucity of contemporary data, however, on

postdischarge outcomes after pediatric acute respiratory failure.

We recently reported results of postdischarge functional status and HRQL in the *RESTORE* (Randomized Evaluation of Sedation Titration for Respiratory Failure) trial (11) of team-based, nurse-implemented, and goal-directed sedation versus usual care in children with acute respiratory failure (12). We found that the intervention, a sedation strategy allowing children to be more awake while intubated and exposing them to fewer sedative and analgesic medications, produced no long-term harm. However, postdischarge morbidity after acute respiratory failure was common in both treatment groups.

Here, we report an analysis of risk factors, known at the time of hospital discharge, associated with decreased postdischarge functional status and impaired HRQL among children in the *RESTORE* trial. Our objective was to identify sociodemographic factors and preexisting health status, features of the presenting acute illness, and hospital course variables associated with adverse long-term patient outcomes. We hypothesized that adverse outcomes would be associated with underlying disease, increased severity and duration of illness in the hospital, and greater exposure to opioid and sedative medications.

## Methods

*RESTORE* was a cluster randomized trial enrolling 2,449 patients aged 2 weeks to 17 years at 31 U.S. sites from June 2009 to December 2013 (11). Patients were expected to require invasive mechanical ventilation for at least 24 hours for acute respiratory failure from lower airway or parenchymal disease. Patients were excluded if length of mechanical ventilation was unlikely to be altered by sedation management (e.g., patients with unrepaired cyanotic heart disease, a critical airway, or baseline ventilator dependence). We obtained written, informed consent for follow-up assessment from the parents or legal guardians of 87% of patients participating in the trial (see Figure E1 in the online supplement) (12). Patients were asked to provide assent when able, and adolescents turning 18 after enrollment were asked to provide consent. Institutional

review board approval was obtained at each study site and the coordinating centers.

We conducted posthospital discharge assessments 6 months ( $\pm 1$  mo) after PICU discharge using mail, electronic mail, internet, and/or telephone on a random sample of consented patients stratified by age group and site. We interviewed parents/guardians to assess patients' functional status and complete standardized HRQL questionnaires. For Spanish-speaking families, interviews were conducted in Spanish, and we used validated Spanish translations of all instruments. Patients and families were considered ineligible for follow-up if they lived outside the United States or could not understand English or Spanish, or if consenting parents/guardians no longer had custody of the patient.

We used the Pediatric Cerebral Performance Category (PCPC) and Pediatric Overall Performance Category (POPC) (13) to categorize baseline (before the acute illness), hospital discharge, and postdischarge functional status. Baseline and hospital discharge functional status were assessed by medical record review, and postdischarge functional status was assessed by telephone interview. We assessed HRQL at a single time point (postdischarge only) using two validated measures based on patient age and developmental status. In children under 2 years old, we used the Infant and Toddler Quality of Life Questionnaire-97 (ITQOL), which provides 12 domain-specific scores (14). In children 2 years of age and older, we primarily used the Pediatric Quality of Life Inventory, Version 4.0 Generic Core Scales (PedsQL) (15), which assesses four domains incorporated into a total score. If the parent of a child 2 to less than 6 years old had difficulty completing the PedsQL due to the child's developmental impairment (e.g., children with PCPC  $\geq 3$ ), the ITQOL was used. We used the median household income of ZIP code of residence in 2011 (16) as an indicator of socioeconomic status, because the majority of families opted not to disclose their personal income (12).

We obtained follow-up data from 1,073 of 1,360 (79%) eligible patients, 30 of whom died between discharge and follow-up (Figure E1) (12). Of eligible survivors ( $n = 1,330$ ), 72% ( $n = 960$ ) provided interview data, and 63% ( $n = 838$ ) provided HRQL data. The categories of the PCPC

and POPC were developed to each represent distinct and clinically important different states of function, so the accepted minimally important clinical difference for the PCPC and POPC is one category of change. Therefore, we considered a patient to have an adverse functional outcome if they had a decline in functional status (worse score on either the PCPC or POPC) from baseline to postdischarge. For children assessed with the PedsQL, we categorized children as having impaired HRQL if their total score was greater than 1 SD below the mean of the reference population as per Varni and colleagues (17). For children assessed with the ITQOL, we used a similar method based on the score for the growth and development domain, because the ITQOL does not generate a total score. For the ITQOL and PedsQL, we did not assess baseline HRQL, so we could not assess change in HRQL.

Inadequate pain management was defined as a pain score greater than 4 (or pain assumed present if receiving neuromuscular blockade) on a 0–10 scale, with higher scores indicating more pain, for 2 consecutive hours. Inadequate sedation management was defined as State Behavioral Scale score greater than 0 (or agitation assumed present if receiving neuromuscular blockade) for 2 consecutive hours. Clinically significant iatrogenic withdrawal was defined as rescue therapy (an opioid or benzodiazepine bolus or an increase in opioid or benzodiazepine infusion) to manage an increase in withdrawal symptoms for patients weaning from 5 or more days of opioids. Pediatric ARDS severity was defined using the 2015 Pediatric Acute Lung Injury Consensus Conference criteria (18). Multiple organ dysfunction syndrome (MODS) was defined as respiratory dysfunction plus one or more extrapulmonary organ dysfunctions, with concurrent MODS defined by onset on Day 0/1 and new MODS by onset on Day 2 or later using nonpulmonary organ dysfunction criteria from the International Pediatric Sepsis Consensus Conference (19, 20).

**Data Analysis**

We used sociodemographic factors and preexisting health status, features of the presenting acute illness, and hospital course variables to predict adverse outcomes using logistic regression. In addition, answers to many of the HRQL questions are affected by

physical and developmental status, so patients with disability will score lower. Therefore, we separately compared the HRQL scores of children with normal baseline function (PCPC = 1 and POPC = 1) and children with impaired baseline function with those of the reference populations (21, 22) using linear regression.

We used stepwise multivariable logistic regression to identify independent risk factors ( $P < 0.05$ ) for adverse postdischarge outcomes among all patients for decline in functional status and among the subset of patients with normal baseline function for impaired HRQL. Adjusting for age group and Pediatric Risk of Mortality (PRISM III-12) score (23), preliminary multivariable models were generated considering risk factors present on admission with a  $P$  value less than 0.2 in univariate analyses, except parent education level due to missing data and median household income of residence ZIP code due to the nonspecificity of this variable. Building upon the preliminary models, final models were generated

considering risk factors occurring during the course of hospitalization with a  $P$  value less than 0.2 in analyses adjusting for age group and PRISM III-12 score. We previously reported absence of treatment arm effects on postdischarge outcomes (12), and treatment arm was not a significant predictor in any multivariable model. Due to collinearity, PICU and hospital length of stay were not considered as potential covariates if duration of mechanical ventilation was considered. We assessed the area under the curve as a measure of discrimination for each multivariable model. All regression analyses accounted for PICU as a cluster variable using generalized estimating equations. Analyses were performed using SAS (Version 9.4; SAS Institute).

**Results**

Of the 960 patients whose parents/guardians were interviewed, nearly all had been

Trend	Schematic	PCPC	POPC
Overall improvement (PCPC=6%, POPC=6%)		0	2 (<1)
		42 (4)	41 (4)
		9 (<1)	8 (<1)
		3 (<1)	1 (<1)
Overall consistency (PCPC=84%, POPC=76%)		748 (80)	659 (70)
		3 (<1)	7 (<1)
		34 (4)	47 (5)
Overall decline (PCPC=10%, POPC=18%)		15 (2)	29 (3)
		75 (8)	122 (13)
		2 (<1)	6 (<1)
		4 (<1)	10 (1)
		0	3 (<1)

**Figure 1.** Change in Pediatric Cerebral Performance Category (PCPC) or Pediatric Overall Performance Category (POPC) from baseline to hospital discharge to follow-up ( $n = 935$ ). Values are number of patients (%).

**Table 1.** Factors Present at Admission and Hospital Course Variables according to Change in Functional Status from Baseline to Follow-up

Variable	Unchanged or Improved Functional Status (n = 757)	Decline in Functional Status (n = 192)	Unadjusted P Value*	Adjusted P Value†
Factors present at hospital admission				
Sociodemographic factors and preexisting health status				
Age at PICU admission, n (%)			0.06	
2 wk to <2 yr	400 (53)	89 (46)		
2 to <6 yr	142 (19)	31 (16)		
6 to <18 yr	215 (28)	72 (38)		
Female, n (%)	357 (47)	81 (42)	0.23	
Non-Hispanic white, n/total (%)	395/755 (52)	109/190 (57)	0.20	
Parent education, n (%)			0.08	
Some high school	72 (13)	8 (6)		
High school graduate/GED	137 (25)	33 (26)		
Some college or technical school	158 (29)	47 (38)		
College graduate/postgraduate	177 (33)	37 (30)		
Unknown, n	213	67		
Median household income of ZIP code of residence, n (%)‡			0.09	
<\$40,000	156 (21)	35 (18)		
\$40,000–\$79,999	446 (59)	128 (67)		
≥\$80,000	155 (20)	29 (15)		
Normal functional status at baseline, n (%)§	561 (74)	119 (62)	0.0002	
Any medical history, n (%)				
Prematurity (<36 wk postmenstrual age)	99 (13)	38 (20)	0.004	
Asthma (prescribed bronchodilators or steroids)	114 (15)	17 (9)	0.006	
Cancer (current or previous diagnosis)	29 (4)	22 (11)	0.0002	
Features of the presenting acute illness				
PRISM III-12 score, median (IQR)¶	7 (3–11)	8 (3–14.5)	0.003	
Risk of mortality based on PRISM III-12 score, median (IQR), %	2.9 (1.0–8.9)	4.2 (1.3–20.1)	0.0002	
Primary diagnosis category, n (%)				
Bronchiolitis or asthma (or reactive airway disease)	287 (38)	42 (22)	<0.0001	
Pneumonia or aspiration pneumonia	319 (42)	87 (45)		
Acute respiratory failure related to sepsis	80 (11)	35 (18)		
Other acute diagnoses¶¶	60 (8)	17 (9)		
Other chronic diagnoses¶¶	11 (1)	11 (6)		
Hospital course variables				
Moderate/severe PARDS based on worst OI or OSI during hospitalization, n (%)**	535 (71)	154 (80)	0.03	0.04
Early NMB (for the entire duration of Days 1 and 2), n (%)	107 (14)	33 (17)	0.35	0.64
HFOV, n (%)	94 (12)	33 (17)	0.21	0.47
ECMO, n (%)	14 (2)	7 (4)	0.17	0.30
Noninvasive ventilation before intubation, n (%)	318 (42)	77 (40)	0.77	0.77
Noninvasive ventilation after extubation, n (%)	326 (43)	96 (50)	0.07	0.07
Duration of mechanical ventilation, median (IQR), d	5.9 (3.8–9.2)	9.3 (5.0–15.5)	<0.0001	<0.0001
Duration of mechanical ventilation, n (%)				
<7 d	455 (60)	70 (36)	<0.0001	<0.0001
7 to <14 d	211 (28)	65 (34)		
14 to <28 d	64 (8)	38 (20)		
≥28 d (including transfers by Day 28)	27 (4)	19 (10)		
MODS (concurrent or new), n (%)††	534 (71)	154 (80)	0.02	0.16
Extrapulmonary organ dysfunction during hospitalization, n (%)				
Cardiovascular	326 (43)	100 (52)	0.06	0.46
Neurologic	358 (47)	102 (53)	0.26	0.57
Hematologic	112 (15)	60 (31)	<0.0001	0.0001
Renal	40 (5)	22 (11)	0.002	0.02
Hepatic	146 (19)	53 (28)	0.02	0.17
Number of organ dysfunctions, median (IQR)	2 (1–3)	3 (2–4)	0.0003	0.02

(Continued)

Table 1. (Continued)

Variable	Unchanged or Improved Functional Status (n = 757)	Decline in Functional Status (n = 192)	Unadjusted P Value*	Adjusted P Value†
Mean daily opioid dose, median (IQR), mg/kg	1.5 (0.7–2.5)	2.0 (0.9–3.5)	0.05	0.04
Mean daily benzodiazepine dose, median (IQR), mg/kg	1.3 (0.7–2.4)	1.6 (0.7–3.5)	0.0006	0.0002
Synthetic primary opioid agent, n (%) <sup>‡‡</sup>	438 (58)	106 (55)	0.48	0.22
Dexmedetomidine, n (%)	269 (36)	84 (44)	0.009	0.008
Clonidine, n (%)	76 (10)	46 (24)	<0.0001	<0.0001
Ketamine, n (%)	193 (26)	59 (31)	0.26	0.35
Barbiturates, n (%)	110 (15)	32 (17)	0.43	0.35
Methadone, n (%)	147 (19)	57 (30)	0.02	0.01
Antidelirium medication, n (%)	12 (2)	9 (5)	0.01	0.07
≥4 sedative classes, n (%) <sup>§§</sup>	206 (27)	79 (41)	0.0007	0.0005
Study days awake and calm (daily modal SBS score –1 or 0), median (IQR), %	82 (60–100)	78 (58–96)	0.48	0.84
Heavy sedation (daily modal SBS score ever –3), n (%)	86 (11)	26 (14)	0.50	0.98
Inadequate pain management, n (%) <sup>   </sup>	101 (13)	43 (22)	0.004	0.008
Inadequate sedation management, n (%) <sup>   </sup>	160 (21)	60 (31)	0.0008	0.001
Clinically significant iatrogenic withdrawal, n (%) <sup>¶¶</sup>	86 (11)	31 (16)	0.06	0.03
Length of stay				
PICU, median (IQR), d	8.9 (5.8–14.2)	14.5 (7.9–25.0)	<0.0001	<0.0001
PICU, n (%)				
<7 d	258 (34)	34 (18)	<0.0001	<0.0001
7 to <14 d	300 (40)	59 (31)		
14 to <28 d	145 (19)	60 (31)		
≥28 d	54 (7)	39 (20)		
Hospital, median (IQR), d	13 (9–22)	24.5 (14–44)	<0.0001	<0.0001
Hospital, n (%)				
<7 d	79 (10)	9 (5)	<0.0001	<0.0001
7 to <14 d	316 (42)	38 (20)		
14 to <28 d	222 (29)	60 (31)		
≥28 d	140 (18)	85 (44)		
Opioids and/or benzodiazepines at hospital discharge, n (%)	207 (27)	76 (40)	0.003	0.002

**Definition of abbreviations:** ECMO = extracorporeal membrane oxygenation; GED = Graduate Equivalency Degree; HFOV = high-frequency oscillatory ventilation; IQR = interquartile range; MODS = multiple organ dysfunction syndrome; NMB = neuromuscular blocking agent; OI = oxygenation index; OSI = oxygen saturation index; PARDS = pediatric acute respiratory distress syndrome; PICU = pediatric ICU; PRISM III-12 = Pediatric Risk of Mortality III score from first 12 hours in the PICU; SBS = State Behavioral Scale.

\*P values for comparison between groups were calculated using logistic regression accounting for PICU as a cluster variable using generalized estimating equations.

†P values were calculated as above, adjusting for age group and PRISM III-12 score.

‡Median household income of ZIP code of residence in 2011 (16).

§Normal functional status at baseline was defined as Pediatric Cerebral Performance Category (PCPC) = 1 and Pediatric Overall Performance Category (POPC) = 1. POPC must be greater than or equal to PCPC (13).

||Severity of illness was defined by the PRISM III-12 score. The scale for the PRISM III-12 score ranges from 0 to 74, with higher scores indicating a higher risk of death (23).

¶Other acute primary diagnoses include pulmonary edema, thoracic trauma, laryngotracheobronchitis, pulmonary hemorrhage, pertussis, pneumothorax (nontrauma), pulmonary embolus, acute respiratory failure related to multiple blood transfusions, and chemical pneumonitis. Other chronic primary diagnoses include acute chest syndrome/sickle cell disease, acute respiratory failure after bone marrow transplantation, acute exacerbation lung disease (cystic fibrosis or bronchopulmonary dysplasia), and pulmonary hypertension (not primary).

\*\*PARDS severity was defined using the 2015 Pediatric Acute Lung Injury Consensus Conference criteria (18).

††MODS was defined as respiratory dysfunction plus one or more extrapulmonary organ dysfunctions, with concurrent MODS defined by onset on Day 0/1 and new MODS by onset on Day 2 or later (19).

‡‡Synthetic primary opioid agent includes fentanyl, hydromorphone, and remifentanyl.

§§Different sedative classes include opioids, benzodiazepines,  $\alpha$ 2-adrenergic agonists, propofol, barbiturates, ketamine, and chloral hydrate.

|||Inadequate pain management was defined as pain score >4 (or pain assumed present if receiving neuromuscular blockade) for 2 consecutive hours and inadequate sedation management as SBS score >0 (or agitation assumed present if receiving neuromuscular blockade) for 2 consecutive hours.

¶¶Clinically significant iatrogenic withdrawal was defined as rescue therapy (an opioid or benzodiazepine bolus or an increase in opioid or benzodiazepine infusion) to manage an increase in withdrawal symptoms for patients weaning from ≥5 days of opioids.

discharged to home (91%; n = 873); 6% (n = 53) had been discharged to a rehabilitation or assisted-living/intermediate care facility. Approximately one-third

of patients (34% [329/955]) were readmitted to a hospital after discharge, and 28% (267/950) received paid healthcare help at home.

### Functional Status

Of 949 patients with both baseline and interview data, 20% (n = 192) experienced a decline in functional status from baseline to

follow-up. Among the 935 subjects with data at all three time points, more declined between hospital discharge and follow-up than from baseline to hospital discharge (Figure 1).

Multiple factors were significantly associated with a decline in function from baseline to follow-up in univariate analyses (Table 1). Of sociodemographic factors and preexisting health status, decline in functional status was more common among those with history of prematurity or cancer. Decline in functional status was less common in children with normal functional status at baseline or a history of asthma. Of features of the presenting acute illness, decline in functional status was more common with greater illness severity or an admission diagnosis of sepsis-associated respiratory failure and less common in those admitted for bronchiolitis or asthma.

In univariate analyses of hospital course variables, decline in functional status was significantly associated with moderate or severe pediatric ARDS, 7 or more days of mechanical ventilation, 14 or more days of PICU and hospital stay, and many aspects of pain and sedation management, including receipt of specific types and amounts of medication and inadequate pain or sedation management (Table 1). Decline in function was also significantly more frequent with presence of MODS and increasing number of dysfunctional organs. Patients with decline in function were less likely to be discharged home (80% vs. 94%;  $P < 0.0001$ ) and more likely to be readmitted to a hospital after discharge (54% vs. 29%;  $P < 0.0001$ ) or to receive paid medical help at home (43% vs. 24%;  $P < 0.0001$ ).

In multivariable analysis, decline in functional status was significantly independently associated with several sociodemographic factors and preexisting health status: baseline functional status (lower odds of decline among those with normal baseline function) or history of prematurity or cancer (Table 2). It was also significantly associated with admission diagnosis (higher odds of decline with those admitted for exacerbation of chronic disease causing respiratory failure vs. those with bronchiolitis or asthma). Decline in functional status was significantly independently associated with two hospital course variables: duration of mechanical ventilation (odds of decline increased with each increasing week) and receipt of clonidine (odds ratio [OR]=2.14;

**Table 2.** Multivariable Model of Risk Factors to Predict Decline in Functional Status ( $n = 949$ )\*

Variable	No. Patients [ $n$ (%)]	OR (95% CI) <sup>†</sup>	P Value
Factors present at hospital admission			
Sociodemographic factors and preexisting health status			
Age at PICU admission			0.63
2 wk to <2 yr	489 (52)	1.0	
2 to <6 yr	173 (18)	0.86 (0.47–1.55)	
6 to <18 yr	287 (30)	1.13 (0.78–1.64)	
Normal functional status at baseline <sup>‡</sup>	680 (72)	0.68 (0.49–0.94)	0.02
Premature (<36 wk postmenstrual age)	137 (14)	1.61 (1.02–2.54)	0.04
Cancer (current or previous diagnosis)	51 (5)	2.22 (1.15–4.28)	0.02
Features of the presenting acute illness			
PRISM III-12 score (1-point increase)	7 (3–12) <sup>§</sup>	1.01 (0.99–1.04)	0.34
Primary diagnosis category			
Bronchiolitis or asthma (or reactive airway disease)	329 (35)	1.0	0.08
Pneumonia or aspiration pneumonia	406 (43)	1.40 (0.95–2.07)	
Acute respiratory failure related to sepsis	115 (12)	2.12 (0.94–4.76)	
Other acute diagnoses	77 (8)	1.72 (0.92–3.23)	
Other chronic diagnoses	22 (2)	4.55 (1.51–13.74)	
Hospital course variables			
Duration of mechanical ventilation			<0.0001
<7 d	525 (55)	1.0	
7 to <14 d	276 (29)	1.67 (1.10–2.53)	
14 to <28 d	102 (11)	2.69 (1.55–4.66)	
≥28 d (including transfers by Day 28)	46 (5)	3.42 (1.68–6.94)	
Clonidine	122 (13)	2.14 (1.22–3.76)	0.008

*Definition of abbreviations:* CI = confidence interval; OR = odds ratio; PICU = pediatric ICU; PRISM III-12 = Pediatric Risk of Mortality III score from the first 12 hours in the PICU.

\*Area under the curve = 0.732.

<sup>†</sup>OR > 1 indicates higher risk of decline in functional status. ORs were calculated using logistic regression accounting for PICU as a cluster variable using generalized estimating equations.

<sup>‡</sup>Normal functional status at baseline was defined as Pediatric Cerebral Performance Category (PCPC) = 1 and Pediatric Overall Performance Category (POPC) = 1. POPC must be greater than or equal to PCPC (13).

<sup>§</sup>Median (interquartile range).

95% confidence interval [CI] = 1.22–3.76;  $P = 0.008$ ).

## HRQL

**ITQOL from patients <2 years old or 2–6 years old with substantial developmental impairment.** RESTORE patients with normal baseline functional status ( $n = 273$ ) scored significantly worse than U.S. norms (21) in the domains of physical abilities, growth and development, pain and discomfort, getting along with others, and general health perceptions (Table E1). They were significantly better in the domain of

general behavior. Those with impaired baseline functional status ( $n = 63$ ) scored significantly worse than U.S. norms in all domains except general behavior.

Among patients with normal baseline functional status, 20% (55/271) had impaired growth and development scores, of which 29% (14/49) had decline in functional status from baseline (vs. 8% [16/190] with decline in functional status from baseline among those without impaired growth and development;  $P < 0.0001$ ). Multiple variables were significantly associated with impaired growth and development in univariate analyses (Table E2). In the final multivariable model,

**Table 3.** Multivariable Model of Risk Factors to Predict Impaired Health-related Quality of Life Based on Infant and Toddler Quality of Life Questionnaire-97 Growth and Development Score in Patients with Normal Functional Status at Baseline ( $n = 271$ )\*

Variable	No. Patients [ $n$ (%)]	OR (95% CI) <sup>†</sup>	P Value
Factors present at hospital admission			
Sociodemographic factors and preexisting health status			
Age at ITQOL			0.71
<1 yr	127 (47)	1.0	
1 to <6 yr	144 (53)	1.12 (0.62–2.00)	
Features of the presenting acute illness			
PRISM III-12 score (1-point increase)	5 (1–8) <sup>‡</sup>	1.01 (0.96–1.05)	0.80
Hospital course variables			
Methadone	57 (21)	2.27 (1.18–4.36)	0.01
Inadequate pain management <sup>§</sup>	40 (15)	2.94 (1.39–6.19)	0.005

*Definition of abbreviations:* CI = confidence interval; ITQOL = Infant and Toddler Quality of Life Questionnaire, from patients <2 years old or 2–6 years old with substantial developmental impairment; OR = odds ratio; PRISM III-12 = Pediatric Risk of Mortality III score from the first 12 hours in the pediatric ICU.

\*Area under the curve = 0.594.

<sup>†</sup>OR > 1 indicates higher risk of impaired health-related quality of life. ORs were calculated using logistic regression accounting for pediatric ICU as a cluster variable using generalized estimating equations.

<sup>‡</sup>Median (interquartile range).

<sup>§</sup>Inadequate pain management was defined as pain score >4 (or pain assumed present if receiving neuromuscular blockade) for 2 consecutive hours.

independent predictors of impaired growth and development included receipt of methadone (OR = 2.27 [95% CI = 1.18–4.36];  $P = 0.01$ ) and inadequate pain management (OR = 2.94 [95% CI = 1.39–6.19];  $P = 0.005$ ) (Table 3).

**PedsQL from patients 2 years of age or older whose parents/guardians did not complete the ITQOL.** Among the 343 RESTORE patients with normal baseline function, PedsQL scores were lower for emotional and school functioning than physical and social functioning. Compared with the reference population (22), patients with normal baseline function had similar total scores, significantly lower scores for the emotional functioning subscale, and significantly higher scores for the social functioning subscale (Table E3). Patients with impaired baseline function ( $n = 101$ ) scored significantly lower than the reference population in total and in all subscales.

Of patients with normal baseline function, 19% ( $n = 64$ ) had a total score indicating impaired HRQL, of which 49% (27/55) had decline in functional status from baseline (vs. 12% [30/247] with decline in functional status from baseline among those without impaired HRQL;

$P < 0.0001$ ). In univariate analyses, multiple sociodemographic factors and preexisting health status were significantly associated with impaired HRQL (Table E4). Of features of the presenting acute illness, higher PRISM III-12 score was significantly associated with impaired HRQL. Multiple aspects of the hospitalization were also significantly associated with impaired HRQL, including inadequate pain or sedation management; longer durations of mechanical ventilation, PICU stay, and hospital stay; and receipt of opioid and/or benzodiazepines at hospital discharge.

In the final multivariable model, impaired HRQL was independently significantly associated with older age group, being non-white or Hispanic, and cancer diagnosis (Table 4). The only hospital course variable that independently predicted impaired HRQL was inadequate sedation management (OR = 3.15 [95% CI = 1.74–5.72];  $P = 0.0002$ ).

## Discussion

In this large, multicenter cohort of pediatric patients with acute respiratory failure,

postdischarge morbidity was common, with one-fifth of patients having a decline in functional status from baseline to follow-up and a similar proportion of patients who were functionally normal at baseline having impaired quality of life scores at follow-up. In multivariable analyses using information available at the time of hospital discharge, worse outcomes were strongly associated with sociodemographic factors and preexisting health status, features of the presenting acute illness, and aspects of the hospital course, particularly duration of mechanical ventilation, inadequate pain and sedation management, and receipt of medications used to facilitate weaning from prolonged use of sedatives and analgesics. In these analyses, the magnitude of effects related to the course of critical illness was comparable to having a severe underlying disease, such as cancer. These results could inform the development of a clinical stratification tool that could be used to guide postdischarge care.

The trajectory of change in functional status from baseline to hospital discharge to postdischarge varied considerably, from overall consistency in most to overall decline in some and overall improvement in only a small subset of patients. Although we cannot determine from these data the reason for the higher frequency of decline from hospital discharge to 6 months postdischarge (vs. from baseline to hospital discharge), progression of underlying disease or ongoing effects of the acute illness and hospitalization could contribute to postdischarge functional decline, and these findings are consistent with those of Pinto and colleagues (10) finding new morbidity up to 3 years after PICU discharge. Additional research is urgently needed to elucidate potentially modifiable factors affecting recovery in these different subgroups.

Decline in functional status from baseline to postdischarge was higher in children with greater baseline impairment and comorbidity (history of prematurity or cancer), as well as increasing duration of mechanical ventilation and receipt of clonidine. Comorbidity increases risk of critical illness, and may also place patients at higher risk of long-term detrimental effects after discharge, including greater functional impairment and decreased ability to recover from impairment induced by critical illness. Of children undergoing 1 week or more of mechanical ventilation, 29% had a decline in

**Table 4.** Multivariable Model of Risk Factors to Predict Impaired Health-related Quality of Life Based on Pediatric Quality of Life Inventory Total Score in Patients with Normal Functional Status at Baseline ( $n = 341$ )<sup>\*</sup>

Variable	No. Patients [ <i>n</i> (%)]	OR (95% CI) <sup>†</sup>	<i>P</i> Value
Factors present at hospital admission			
Sociodemographic factors and preexisting health status			
Age at PedsQL			0.0002
2–4 yr	144 (42)	1.0	
5–7 yr	75 (22)	3.37 (1.47–7.75)	
8–12 yr	60 (18)	5.51 (2.06–14.77)	
13–17 yr	62 (18)	4.76 (2.31–9.82)	
Non-Hispanic white	186 (55)	0.38 (0.20–0.72)	0.003
Cancer (current or previous diagnosis)	27 (8)	4.14 (1.80–9.50)	0.0008
Features of the presenting acute illness			
PRISM III-12 score (1-point increase)	8 (5–13) <sup>‡</sup>	1.01 (0.98–1.04)	0.50
Hospital course variables			
Inadequate sedation management <sup>§</sup>	69 (20)	3.15 (1.74–5.72)	0.0002

*Definition of abbreviations:* CI = confidence interval; OR = odds ratio; PedsQL = Pediatric Quality of Life Inventory; PRISM III-12 = Pediatric Risk of Mortality III score from the first 12 hours in the pediatric ICU.

<sup>\*</sup>Area under the curve = 0.761.

<sup>†</sup>OR > 1 indicates higher risk of impaired health-related quality of life. ORs were calculated using logistic regression accounting for pediatric ICU as a cluster variable using generalized estimating equations.

<sup>‡</sup>Median (interquartile range).

<sup>§</sup>Inadequate sedation management was defined as State Behavioral Scale score >0 (or agitation assumed present if receiving neuromuscular blockade) for 2 consecutive hours.

functional status, consistent with studies demonstrating that increased exposure to the ICU environment is independently associated with worse long-term outcome (24). The association of clonidine with worse outcome may have been related to its use to facilitate weaning from prolonged exposure to sedatives and analgesics. We cannot determine the extent to which this finding was an effect of prolonged medication exposure itself versus patient or illness characteristics that led to the need for prolonged sedation, although the finding occurred independent of measures of severity of illness, diagnostic category, and duration of mechanical ventilation.

Among patients under 2 years of age (or 2–6 yr old with substantial developmental impairment) whose parents completed the ITQOL, RESTORE patients scored better than U.S. norms in the general behavior domain. This domain includes questions related to whether doctors have suggested that the child's behavior is a problem, parental concern about current and future behavior, and complaints from others about the child's behavior. Although these scores may have

been related to better child behavior after a life-threatening illness, they also may have been influenced by parent and family resilience and/or reprioritizing concerns by parents and others after such an experience.

We analyzed growth and development scores among patients with baseline normal function more extensively because that domain is the most general in the ITQOL, incorporating parental perceptions of child physical, emotional, language, cognitive, and social function. In multivariable analyses, the only factors independently associated with impairment were receipt of methadone and inadequate pain management, albeit in a model with a lower area under the curve, and hence more limited discrimination. Similar to findings related to functional decline and clonidine, patients who receive methadone usually have longer and more complicated courses of illness, with greater overall drug exposure, than patients who do not receive methadone. Findings related to inadequate pain management are consistent with research on neonates that found that pain itself can have detrimental effects on the developing brain (25, 26). This area has not

been well explored in older children, and therefore warrants further study.

PedsQL scores among patients with normal baseline functional status were lower than the reference population in the emotional domain scores, but higher in the social domain scores. Multiple studies found that PICU hospitalization had extensive mental health impact on some children, with increased anxiety and depression (27). The relatively high social domain scores may have been due to patient resilience or increased social support related to the acute illness/hospitalization, and warrant further study to understand their impact on overall recovery.

Risk factors for impaired HRQL among those with normal function at baseline included being non-white or Hispanic, consistent with previous findings that economic and social strain affected patient and family resilience after the trauma of a PICU admission (28). Similar to our findings related to inadequate pain management and impaired growth and development, inadequate sedation management may have been due to patient-related factors or downstream effects of agitation. Regardless, patients experiencing inadequate pain or sedation management would benefit from further evaluation.

This study represents one of the largest evaluations of postdischarge function and HRQL in critically ill children. Although obtained primarily from patients in academic PICUs, the 31 U.S. PICUs in this study had a wide range of patient volume, and our data were collected to reflect a wide spectrum of acute respiratory illnesses seen in most well-resourced PICUs. Thus, we believe that our findings are generalizable, and these data begin to address the current gap in the literature regarding the evolving phenomenon known as post-intensive care syndrome in pediatrics (29–31). Although controversies remain regarding the impact of critical illness on developing children and the potential overlap between intensive care hospitalization and how critical illness may alter a child's chronic illness trajectory, we provide much-needed data to identify children experiencing worse outcomes after PICU care. Inpatient providers can use these data to help parents understand their child's risk for long-term sequelae, and outpatient providers can use these data to refocus follow-up visits to potentially intervene to improve the 6-month trajectory.



Our study has several limitations. We had incomplete data on family socioeconomic status, so we could not use those data in multivariable models. Estimation of baseline functional status was dependent upon parental recall and medical history, and was subject to recall bias. By relying on the PCPC and POPC, which assign functional status into broad categories, we cannot identify more subtle changes in function, nor details about impairment. We did not have baseline assessments of HRQL, so we do not know how postdischarge scores changed from those present before the critical illness, and scores can be influenced by functional status. To address this limitation, we focused HRQL analyses on patients with normal baseline functional status. For all outcomes, we relied on parent proxy-report, which, although frequently consistent with child self-report for external signs and symptoms (such as physical function), may be discrepant for internal

factors (such as emotions) (22). We collected HRQL data at only a single postdischarge time point, so we have limited information about trajectory of recovery. We view our regression analyses as exploratory in nature, as we may be overfitting the data and overestimating the predictive ability of the models. Finally, unmeasured aspects of the hospital course may have had an impact on postdischarge outcomes.

### Conclusions

Postdischarge morbidity in children with acute respiratory failure was common and associated with factors experienced over their course of critical illness. Even when controlling for sociodemographic factors and preexisting health status and features of the presenting acute illness, modifiable factors related to critical care were important, notably, duration of mechanical ventilation, receipt of medications used to facilitate weaning

from prolonged use of sedatives and analgesics, inadequate pain management among younger patients with normal baseline function, and inadequate sedation management among older patients with normal baseline function. The extent to which these factors led to adverse outcomes and, if modified, would have led to better outcomes is unclear, but mandates further study. These factors identify populations at high risk of long-term adverse sequelae requiring careful evaluation and treatment after hospital discharge. ■

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