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### **Restrictive lung disease in TNF-transgenic mice: correlation of pulmonary function testing and micro-CT imaging**

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#### **Abstract**

**Purpose:** Micro-computed tomography ( $\mu$ CT) is increasingly being used on animal models as a minimally-invasive longitudinal outcome measure of pulmonary disease progression. However, while imaging can elucidate macroscopic structural changes over the whole lung,  $\mu$ CT is unable to describe the mechanical changes and functional impairments imposed by progressive disease, which can only be measured via pulmonary function tests (PFTs). The tumor necrosis factortransgenic (TNF-Tg) mouse model of rheumatoid arthritis (RA) develops pulmonary pathology that mimics many aspects of the inflammatory interstitial lung disease (ILD) seen in a subset of patients with RA. Prior studies using µCT imaging of these mice found increased pulmonary density, characteristic of restrictive disease; however, there have been conflicting reports in the literature regarding the obstructive versus restrictive phenotype of this model. Our study looks to 1) define the functional impairments and 2) characterize the restrictive/obstructive nature of the disease found in this model.

**Materials and Methods:** In this study, we performed PFTs at end-stage ILD, and paired these findings with µCT results, correlating radiology to functional parameters. TNF-Tg and WT littermates of both sexes underwent µCT imaging and PFT testing at 5.5 months-old. Spearman's correlation analyses were performed comparing lung tissue volume (LTV) to PFT parameters of gas exchange and tissue stiffness.

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**Results:** Compared to WT, TNF-Tg mice had impaired gas exchange capacity, increased respiratory resistance, and reduced lung compliance, elastance, and inspiratory capacity, indicating increased tissue stiffness and compromised pulmonary function. LTV was also consistently higher in TNF-Tg lungs.

**Conclusions:** These findings demonstrate that: 1) TNF-Tg mice display a restrictive pathology, and 2) in vivo  $\mu$ CT is a valid outcome measure to infer changes in pulmonary mechanical and functional parameters.

#### **Keywords**

micro-CT; pulmonary function testing; rheumatoid arthritis-associated interstitial lung disease; TNF-transgenic

#### **Introduction**

Interstitial lung disease (ILD) is one of the leading causes of morbidity and mortality in rheumatoid arthritis (RA) patients (1–5). In fact, it is estimated that patients with RA have a 10% lifetime risk of being diagnosed with ILD (3). Patients with RA-associated ILD (RA-ILD) develop a restrictive pulmonary disease with either an inflammatory pathology rooted in cellular infiltration, or a predominantly fibrotic pathology with elements of inflammatory change (6–8). Clinically, these phenotypes are broken down into different subtypes of ILD based on histopathology and chest CT imaging studies. Of these, the majority of RA-ILD cases are classified as either usual interstitial pneumonia (UIP) or non-specific interstitial pneumonia (NSIP) (3, 9–12). UIP is defined as being largely fibrotic in nature, predominated by dense areas of collagen deposition, while NSIP contains more inflammatory components with diffuse cellular infiltration and less significant fibrotic activity (13).

Clinically, physicians rely on high-resolution computed tomography (HRCT) imaging to diagnose and assess RA-ILD cases. Based on the known disease course of ILD pathologies, patients with RA often demonstrate initial signs of pulmonary pathology on imaging prior to developing symptomatic disease, subsequently leading to diagnosis. In this capacity, HRCT scans have replaced lung biopsies as the preferred mode of diagnosis, based on correlations between histologic changes and imaging patterns. Following the initial diagnosis, HRCT imaging is routinely used as a non-invasive way to assess disease progression.

In the context of pre-clinical studies, micro-computed tomography  $(\mu$ CT) is being increasingly applied to mouse models of pulmonary disease (14–17). While ex vivo outcome measures are still the most commonly used methods for analyzing experimental models of pulmonary disease, the benefits of µCT imaging as a non-invasive and longitudinal evaluation tool to follow disease development and progression are being increasingly recognized. As a relatively new technique for use in murine models, µCT has mostly been used in the context of pulmonary fibrosis  $(15, 16)$ . We believe that  $\mu$ CT has the potential for more widespread usage in evaluating multiple models of pulmonary diseases, including interstitial lung disease.

Pulmonary function tests (PFTs) are also routinely used in clinical settings to diagnose and follow the progression of lung disease in patients with RA-ILD, and certain parameters have been found to be associated with disease outcome (18, 19). By measuring flow rates, lung volumes, and gas exchange, PFTs provide the foundation for assessing disease pathophysiology. Patients with RA-ILD typically develop restrictive lung disease, characterized by reductions in lung volumes without evidence of airflow limitation. Another common manifestation of RA-ILD is a reduced diffusing capacity, reflecting decreased lung surface area available for gas exchange. The combination of lung restriction with decreased diffusing capacity results in significant functional impairment as RA-ILD progresses (20). In a similar manner to HRCTs, PFTs are embraced in the clinic as both non-invasive and costeffective methods of disease assessment. However, HRCT scans have been shown to detect smaller pathologic patterns in the tissue at earlier stages of disease development compared to PFTs (21).

Despite the convenience of PFT evaluations in clinical settings, PFT testing is far more limiting in the context of animal models. In mouse models, accurate measurement of PFTs typically involves the placement of a tracheostomy and paralysis of the thoracic muscles to limit voluntary respiration (22, 23). Although some lung function measurements can be obtained in non-restrained or spontaneously breathing mice, comprehensive lung function testing is usually a terminal experiment, which limits their use in following disease progression longitudinally in animal models. The idea of associating a non-invasive measure such as µCT with changes in PFT outcomes has been expressed, but the existing data is preliminary and not very extensive (17).

Our lab has been studying the tumor necrosis factor-transgenic (TNF-Tg) mouse model of RA, in which the human TNF-α gene is overexpressed (24, 25). In addition to its more rigorously characterized joint pathology, the 3647 TNF-Tg mouse line manifests an inflammatory lung phenotype and has been utilized as a model of RA-ILD (25–27). Multiple TNF-Tg models exist which vary based on pathologic severity and mechanism of TNF-α overexpression. Depending on the specific line, TNF-Tg mice can also develop varying degrees of lung fibrosis or emphysema (28, 29). Moreover, we previously validated  $\mu$ CT imaging for use in the TNF-Tg mouse against histopathologic parameters for assessment of pulmonary disease (14). Using 3D-  $\mu$ CT scanning and volumetric reconstruction of the lungs, it was found that lung tissue volume was significantly increased in TNF-Tg mice when compared to their WT littermate counterparts. While the evidence of increased pulmonary density supports the assessment of restrictive disease in the model, further evaluation regarding the nature of the pathology is limited by resolution restrictions, which prevent us from delineating specific imaging patterns.

Further histologic evaluation of other TNF-mediated lung models reveals several classic features of an inflammatory ILD. However, evidence from multiple models of TNF-α overexpression have presented signs of pathologic components which would make the TNF-Tg an inaccurate model for a purely restrictive-like lung disease, citing emphysematous changes on histology  $(28, 29)$ . Despite the conclusions that can be inferred from  $\mu$ CT imaging data, how these relate to a restrictive or obstructive pulmonary pathophysiology is not clear. Given the reproducible nature of the 3547 line TNF-Tg, along with the prior

correlations made between µCT data and histologic quantification data, we hypothesized that µCT data also directly correlates with functional and mechanical parameters of lung physiology. Using pulmonary function testing and µCT scanning, we have: 1) evaluated the physiologic and mechanical properties of TNF-Tg lungs to assess the validity of this model for RA-ILD, and 2) validated µCT as a minimally invasive outcome measure for longitudinal assessment of pulmonary disease in murine models.

#### **Methods**

#### **Animals**

The 3647 line of the TNF-transgenic (TNF-Tg) mouse model on a C57BL/6 background was originally obtained from Dr. G. Kollias (University of Athens). Male and female TNF-Tg mice with WT littermates were used (n=6 per cohort) at 5.5 months of age. Mice were dosed with 100 mg/kg ketamine + 10 mg/kg xylazine administered IP for anesthesia, and with 1.3 mg/kg Vencuronium for paralytic purposes in compliance with UCAR policy. All experiments were conducted in compliance with the University of Rochester Medical Center University Committee on Animal Resources (UCAR) policies.

#### **Micro-computed tomography (µCT)**

Cross-sectional µCT imaging was performed on all mice as listed above. Mice were anesthetized using 1.5–2% isofluorane and placed into a vivaCT 40 µCT machine (Scanco Medical, Bruttisellen, Switzerland). The mice were placed in a central compartment in the pronated position over a blood-pressure transducer (BPS-BTA and SDAQ, Vernier, Beaverton OR) for the purposes of monitoring the respiratory cycle via chest wall movements. Respiratory gating via LabVIEW (National Instruments, Austin, Texas, USA) was used to selectively image the lungs in the post-exhalation period of the respiratory cycle to minimize movement artifacts. DICOMs at  $35 \mu m^3$  isotropic voxel resolution were imported to Amira (FEI, Hillsboro, Oregon, USA) for semi-automated segmentation. µCT parameters and analysis via Amira software as previously described (14) were used for image collection, analysis, and 3-dimensional rendering.

#### **Gas Chromatography**

Gas chromatography (GC) was performed on male and female TNF-Tg and WT cohorts (n=6 per cohort) using a Micro GC Fusion instrument (Micro GC Fusion, INFICON, Basel, Switzerland) to measure lung diffusion capacity. Adult (5.5-month-old) mice were anesthetized with ketamine/xylazine and tracheostomized via a 19-guage blunt tip cannula. After cannulation, the mice were placed on a warming mattress and the lungs were inflated for 9 seconds with 0.8 mL of a specific gaseous cocktail containing 0.3% carbon monoxide and 0.5% neon gas with ultra-pure helium as the carrier gas. 0.8 mL of gas was then extracted from the lung and diluted with 1.2 mL of room air prior to the administration of the full 2 mL into the gas chromatograph. Each sample was done in duplicate, with two inflations and readings per mouse. Mice were allowed to breathe freely in between inflations. After the two manipulations, mice were taken directly for mechanical testing. Results were analyzed as a measure of the diffusion factor for carbon monoxide (DFCO), calculated as DFCO=1-( $(CO_9/Ne_9)/(CO_c/Ne_c)$ ) as previously reported (22, 30). The c and 9

subscripts refer to the concentrations of each gas at calibration and post-9 second inhalation, respectively. The DFCO parameter therefore describes the concentrations of gas at "exhalation" normalized to the known calibration concentrations of gaseous components at "inhalation".

#### **Pulmonary Function Testing**

Mechanical testing was performed with the use of the flexiVent (SCIREQ Scientific Respiratory Equipment Inc, Montreal, Quebec, Canada) on each mouse immediately following GC evaluation. Mice were continuously anesthetized with the previously administered ketamine/xylazine, and subsequently dosed with Vencuronium (1.3 mg/kg) as a paralytic to ensure the mouse was passive and had no spontaneous or independent breathing movements during experimentation. The mice were kept warm through the duration of the experiment. The cannula previously inserted as a tracheostomy for the GC remained in place and was attached to a small animal mechanical ventilator and respiratory mechanics analyzer (the flexiVent) for further manipulation. The flexiVent then mechanically ventilated the mouse with an estimated tidal volume of 10 mL/kg of room air at 10 breaths/min, PEEP of 3 cmH2O, and FIO2 of 21–50%. Heart rates were monitored via EKG throughout the entire ventilation procedure. The flexiVent then conducted a series of manipulations in the ventilation of the mouse by controlling the rate, volume, and pressure of air forced through the tracheostomy into the mouse. Under the "Deep Inflation" protocol, the lungs were inflated to a pressure of 30 cmH2O over a period of 3 seconds and held at that pressure for another 3 seconds, allowing for the direct calculation of the total inspiratory capacity of the mouse lungs. Following this, a "SnapShot" maneuver involving a single forced oscillation at 2.5 Hz was used to evaluate total respiratory resistance  $(R_{rs})$ , elastance  $(E_{rs})$ , and compliance  $(C_{rs})$ , which includes contributions from the peripheral lung, chest wall, and upper airway. A "Quick Prime" evaluation involving a series of complex forced oscillations allowed for the calculation of Newtonian resistance  $(R_N)$ , tissue dampening  $(G)$ , and tissue elastance  $(H)$ . In the final evaluation, a pressure-volume curve was generated, allowing for the calculation of static compliance  $(C_{st})$ , and the shape constant (k). Each mouse was done in triplicate, with three "rounds" of forced ventilatory manipulations performed on each mouse in rapid succession. An average of the three repeated measures for each parameter were calculated and depicted per mouse. After mechanical testing, mice were euthanized with ketamine (300 mg/kg) followed by cervical dislocation in compliance with UCAR protocols.

#### **Histology**

Historic lung samples were taken from age-matched mice and stained for histology. The lungs were previously collected at time of tissue harvest via inflation fixation. At harvest, the thoracic cavity was exposed, and 4–0 suture was loosely tied around the trachea. A 27-gauge needle was used to push 10% NBF from a 5 mL syringe into the trachea. Forceps were used to clamp the trachea during the injection. The suture was tightened immediately postinjection to maintain inflation of the lobes. The lungs were then fixed for 3 days in 10% NBF and were processed and embedded in paraffin blocks by the URMC CMSR Histology Core Facility. Lungs underwent coronal sectioning from paraffin in 3 consecutive regions, each 100  $\mu$ m apart. Each region was ~100  $\mu$ m thick, each sectioned into a series of 5  $\mu$ m

slices. Representative slices from each section were stained with Masson's trichrome for evaluation of collagen deposition.

#### **Statistical Analysis**

All statistical analyses were performed on Graphpad Prism 7 (GraphPad Software, San Diego, CA). 2-way ANOVA tests with Sidak's post-hoc tests for multiple comparisons were performed on all continuous data sets to examine the effects of genotype (WT vs TNF-Tg), and sex (female vs male). Statistical analyses were considered significant with  $p<0.05$ . Correlation analyses evaluating the relationship between lung tissue volume (from the µCT) and various functional and mechanical parameters (as measured through gas chromatography and PFTs) were performed using Spearman's rank correlations on the raw data for all parameters.

#### **Results**

#### **Pulmonary Gas Exchange is impaired in TNF-Tg mice**

Gas chromatography (GC) tests were performed on each mouse to determine pulmonary functional impairment via changes in gas exchange in the lung. Gross differences in body habitus between the genotypes for both sexes were noted as a confirmation of previously noted data (31). Further DFCO calculations show a clear disparity between genotypes, in which TNF-Tg mice are significantly impaired compared to their WT counterparts (WT vs TNF-Tg, females, p<0.0001; males, p=0.0001) (Fig. 1). Notably, DFCO values have no statistically significant differences between the sexes in TNF-Tg mice (DFCO = 0.3390±0.1058 vs. 0.4425±0.1086 in female vs. male TNF-Tg mice, p=0.1362).

#### **TNF-Tg mice demonstrate restrictive pulmonary physiology**

We next comprehensively analyzed pulmonary function in WT and TNF-Tg mice using direct measurements of airflow with forced oscillation in sedated and paralyzed mice (see Methods). Total respiratory resistance  $(R_{rs})$ , which comprises the resistance to lung expansion by the chest wall, large conducting airways, and peripheral pulmonary tissue, found increased resistance in TNF-Tg animals, most notably in the female cohorts (WT vs TNF-Tg, females, p=0.0266; males, p=0.1745) (Fig. 2A). Corresponding values of Newtonian resistance  $(R_N)$  and tissue dampening  $(G)$  allow for more specific analysis regarding the resistance of the large conducting airways and of the peripheral pulmonary tissue, respectively (32). While no significant differences were found between genotypes with regards to  $R_N$  (WT vs TNF-Tg, females, p=0.3949, males, p=0.9534), differences in G indicate an increased pressure per unit volume for TNF-Tg animals as compared to their WT counterparts, which were statistically significant when comparing female mice  $(p=0.0129)$ , but not male mice  $(p=0.1597)$ . These results indicated that small airways and peripheral tissues were the primary contributor to increased total pulmonary resistance, as opposed to the large airways in TNF-Tg mice (Fig. 2B and C). It should be noted that the predominance of these pulmonary function differences were observed in female mice, as opposed to their male counterparts.

Measures of distensibility, including total respiratory compliance  $(C_{rs})$  and elastance  $(E_{rs})$ , also revealed similar trends regarding the restrictive physiology of TNF-Tg animals compared to their WT littermate counterparts in which females had greater impairment compared to WT controls than their male counterparts  $(C_{rs}, WT$  vs TNF-Tg, females, p<0.0001, males, p=0.0339) (Ers, WT vs TNF-Tg, females, p=0.0099, males, p=0.2374) (Fig. 2D and G). Further specificity regarding the contributions from the intrinsic elastic energy stored in the pulmonary tissue (tissue elastance, H) and the elastic properties of the chest wall and pulmonary tissue (static compliance,  $C_{st}$ ) indicated that changes in peripheral tissues were the dominant contributor to the restrictive physiology of the lung (H, WT vs TNF-Tg, females, p=0.0244, males, p=0.3982) ( $C_{st}$ , WT vs TNF-Tg, females, p=0.0003, males, p=0.0110) (Fig. 2E and H). Despite the fact that genotype has a more profound influence in the female population, no measures of lung stiffness demonstrated any sexual dimorphism in diseased animals.

The shape constant (k), as derived from pressure-volume curves, describes a volumeindependent relationship of pressure changes over the course of a complete respiratory cycle. The decrease in k for both sexes similarly implies a restrictive change in the pulmonary physiology of TNF-Tg mice (Fig. 2F).

Finally, the forced manipulations involved in PFT testing allow for the determination of air volume with full distension of the lung. The total inspiratory capacity (IC) of the lung was found to be significantly decreased for female TNF-Tg mice (Fig. 2I). Taken together, results of comprehensive pulmonary function testing in our model uncovered a significant decrease in gas exchange and lung capacity, driven by changes in the peripheral lung.

It should be noted that analysis regarding sexually dimorphic differences in mechanical properties demonstrated trends towards increased disease severity in female TNF-Tg mice for multiple parameters, yet only achieved significance in  $C_{rs}$  ( $C_{rs}$  female vs male, TNF-Tg, p=0.0001, rest of data not presented).

#### **TNF-Tg mice have inflammatory cellular accumulation in interstitial tissue**

We next wanted to compare how results obtained using comprehensive PFT's compared with non-invasive µCT. Similar to our previous studies (14), three-dimensional reconstructions for tissue volumes in all cohorts confirmed that TNF-Tg mice have a clear increase in lung tissue volume (LTV) compared to their WT counterparts (Red, Fig. 3A–D). Quantification of these volumes demonstrates that TNF-Tg mice have significantly greater tissue volumes compared to WT controls for both sexes (WT vs TNF-Tg, female, p=0.0008; male,  $p<0.0001$ )., yet no differences were found between the sexes (female vs male, WT, p=0.9998; TNF-Tg, p=0.6673) (Fig. 3I). Similar reconstructions of air volume and quantification show that the basal air volume at immediate post-exhalation in these mice have no significant differences with regard to genotype between the cohorts (WT vs TNF-Tg, female, p=0.8815; male, p=0.3584) (Fig. 3E–H, J). Remarkably, a sexual dimorphism was noted here regarding the TNF-Tg mice, with females having significantly decreased air volumes compared to the males (p=0.0396) (Fig. 3J).

from all cohorts to determine the possibility of fibrotic change in TNF-Tg mice. Interestingly, no signs of increased collagen deposition were found in diseased lungs (Fig. 3K–N). Instead, a dramatic cellular density in the interstitial tissue, and some cellular accumulation around vasculature, was found in all sections taken from TNF-Tg lungs. In a separate project, we characterized these cells by flow and found them to be inflammatory cells which were predominantly myeloid in nature based on their  $CD11b^+CD11c^+$  nature (31).

#### **Lung tissue volume is correlated to multiple physiologic parameters as determined by µCT and PFTs**

Spearman's correlation analyses were performed comparing LTV (as determined by  $\mu$ CT), and multiple PFT outcome measures. LTV was significantly correlated to  $R_{rs}$  (rho=0.4842, p=0.0192, Fig. 4A), C<sub>rs</sub> (rho=−0.6265, p=0.0014, Fig. 4B), and IC (rho=−0.5356, p=0.0084, Fig. 4C). All results are listed in Table 1. Note that when genotypes were analyzed independently, the correlations for tissue volume to each physiologic parameter were significantly weaker and were no longer significantly associated to each other for either genotype (data not shown). Spearman's correlations were also found to be significant between LTV and multiple other mechanical and functional parameters, including the DFCO (as determined by GC) and PFTs (Supplementary Fig. 1, Supplementary Table 1).

#### **Discussion**

The TNF-Tg mouse model of RA-ILD demonstrates an inflammatory pulmonary pathology that has been cited as demonstrating a mixture of restrictive and obstructive disease on histologic examination (26, 28, 29, 33–35). As the restrictive nature of clinical ILD puts into question the fitness of the TNF-Tg mouse as a relevant model, studies to resolve this controversy are warranted.

In this study, we performed comprehensive PFTs in the TNF-Tg model of inflammatory RA-ILD and compared these results with µCT imaging outcomes of quantified lung volumes and density. Most significantly, we found that: 1) the TNF-Tg mouse model demonstrates signs of restrictive disease over a number of physiologic parameters, and 2) all of the parameters found to be significantly different in diseased mice when compared to their WT counterparts were also found to be significantly correlated to LTV as measured by  $\mu$ CT. Female TNF-Tg mice consistently demonstrated decreased gas exchange functionality, while TNF-Tg mice of both sexes demonstrated changes in respiratory resistance, compliance, elasticity, and inspiratory capacity, all of which pointed towards restrictive pulmonary disease with increased tissue stiffness. Spearman's analyses associating the µCT-obtained tissue volumes and the mechanical parameters found significant correlations over multiple comparisons. Taken together, these results validate the TNF-Tg as a model of restrictive RA-ILD, and also suggest that cross-sectional  $\mu$ CT imaging is a useful correlative biomarker of pulmonary physiology for in vivo longitudinal studies of disease progression.

While differences in multiple pulmonary physiologic parameters were found in both sexes, the effects on the female cohorts are of greater magnitude, reflecting a functional sexual

dimorphism in the model which mimics the clinical demographics of RA. The sexual dimorphism of the TNF-Tg model has been noted in the context of both systemic symptoms and pulmonary manifestations (31). Placed in the context of clinical disparities, in which females present with greater prevalence and disease severity than male RA patients, these findings could have large implications regarding the role of sex hormones on disease pathogenesis. Future studies regarding the effects of hormone manipulation on pulmonary disease are warranted.

Describing pulmonary function as a primary outcome measure is a vital tool for tracking and assessing pulmonary disease progression in animal models; however, given the invasive nature of our current protocols for mechanical and functional testing in murine models, longitudinal studies assessing the same animals over the course of disease development is not a viable option. Our lab has previously developed an in vivo µCT imaging protocol for the assessment of murine lungs and validated it against histomorphometry outcome measures (14). µCT as a non-invasive imaging modality allows for the evaluation of pulmonary disease progression over time within the same animal, making it a tool of enormous potential for longitudinal study of progressive disease development; but this technique does not address all features of the lung. µCT certainly cannot directly measure the functional parameters of pulmonary physiology. However, given the reproducible and severe phenotype of the model, we hypothesized that changes in functional and mechanical properties of the pulmonary tissue would directly correlate with the severity of disease. The use of these longitudinal imaging methods could therefore be used as a correlative marker for pulmonary function and mechanical physiology.

TNF-α has previously been shown to cause pathologic changes in the lung (26, 28). We attribute the increased tissue stiffness and volume to the accumulation of a cellular infiltrate into the pulmonary interstitium (14, 26, 31). Histologic findings confirmed the presence of leukocytic accumulation and lack of collagen deposition, indicating an inflammatory rather than a fibrotic pathology. The findings here (Fig. 3K–N), and in previous publications (14, 26), suggest that this model is one of a predominantly inflammatory state. It should be noted that while the majority of patients with RA-ILD ultimately exhibit some form of fibrotic change in their pulmonary manifestations, we do not claim that this TNF-Tg model fully depicts all aspects of clinical disease. However, we propose it could be a useful model to elucidate the relationship between TNF-driven inflammation and the onset of interstitial lung disease.

Several limitations of this study and of the data must be considered when interpreting our results. First, we obtained µCT images using respiratory gating at the post-exhalation phase of the respiratory cycle. The primary purpose of our gating strategy, which involved a pressure transducer to monitor chest wall movement, was to reduce motion artifact in the imaging process. This served the purpose of monitoring large movements and estimating the respiratory cycle in real time. Due to the very nature of our µCT data collection methodology, the lung can only be imaged in the post-exhalation phase of the respiratory cycle. The air volume calculated here is most comparable to the functional residual capacity (FRC), which is defined as the volume of air at steady-state with no airflow into or out of the lungs. Interestingly, we found that air volumes as derived from the 3D µCT renderings were

not different between the two genotypes in age-matched animals. This is inconsistent with what would be considered typical, as FRC volumes are generally decreased in restrictive diseases. However, given the differences in respiratory mechanics and chest wall compliance between mice and humans (36), it is difficult to know how exactly µCT air volume obtained using this approach relates to lung volumes obtained during pulmonary function testing (including the FRC). Importantly, the inspiratory capacity, which we measured directly, was significantly reduced in TNF-Tg mice compared to wild-type controls.

The second issue, which should be addressed in later studies, is in regard to the correlation analyses when analyzing the data set within individual genotypes. While associations between µCT data and PFT data were significantly correlated (Figure 4), these correlations were largely driven by genotype-specific differences. The WT data was not expected to have a strong association, as these lungs were healthy, and all of the data clustered within a small range of variability for all factors; however, the TNF-Tg lungs demonstrated a greater range of variability with regard to disease severity and functional capacity. While trends could be seen for many of these analyses, none reached statistical significance within each genotype with our pooled dataset  $(n=12)$ . This is most likely attributable to the fact that we surveyed the extreme ends of the disease spectrum, healthy controls compared to terminal pathology. In attempting to maintain low genetic, environmental, and batch-effect variability, this study used sets of age-matched (5.5 month-old) littermates to determine the physiologic changes of fully developed disease. While this provided much needed consistency in the data collection and definitively asserted the phenotype of the disease outcome, this inherently divided the results into a bimodal data set. In future studies, longitudinal data demonstrating progressive development of the pathology over time would produce a continuous distribution of the data, which would provide the range of disease severity necessary to perform more nuanced correlation analyses.

Finally, we previously noted that the TNF-Tg lungs demonstrated a purely inflammatory phenotype with no signs of interstitial fibrotic change, whereas clinical RA-ILD typically has some level of fibrotic activity. These results of non-fibrotic pathology in the systemic TNF-Tg model are also in contrast to some previously published findings regarding the pathology found in a local pulmonary TNF-Tg model. This local model is driven by the surfactant protein-C promoter (Sp-C/TNF-Tg), thereby expressing TNF-α selectively in the pulmonary tissue, and exhibits an lymphocytic inflammatory pathology that progresses to fibrosing alveolitis with signs of emphysematous change on histologic analysis, alongside interstitial collagen deposition (28, 29, 35). It should be noted that the locally expressed TNF-α model has never reported systemic effects outside of the lung, and the levels of TNFα expression in the lungs have not been directly compared between the models. In the development and initial characterization of the model, Miyazaki et al describe multiple iterations carrying variable amounts of the TNF-transgene (29). This resulted in large variability in disease severity, with varying degrees of inflammation and widely divergent lifespans between individual mice. These mice progressed to terminal disease at times between <24 hours to 7 months of age, and demonstrated signs of hyperplasia, lymphocytic alveolitis, emphysematous change, and fibrosis. Lundblad et al, in their analysis of the Sp-C/ TNF-Tg model, used animals which were aged 7–11 months, and therefore underwent much longer periods of disease progression than our systemic TNF-Tg model (28). As a more

chronic iteration of TNF-α effects on the lung, this fibrosis is described as diffuse, with the collagen depositions in septa and along the larger airways. While neither of the reports quantified the levels of collagen specifically in the interstitial spaces, representative images from each study show characteristically different phenotypes with regard to the magnitude of fibrotic activity and patterns of collagen deposition. It should be noted that other models of RA-ILD have demonstrated fibrotic change as part of their phenotypes. These include the SKG model, an inconsistent T cell-dependent model (37), and the adjuvant-induced arthritis model, which is a collagen-immunization model with inflammatory components (38, 39). Our current study only looked at preliminary histologic evidence of fibrotic activity using Masson's Trichrome staining. Further assays of collagen deposition and extracellular matrix production would be needed to comprehensively assess any sub-clinical fibrotic change in the pulmonary tissue. These would include hydroxyproline assays, along with Picosirius red staining, and Miller's elastic staining to assess both collagen and elastin deposition in the interstitial tissue. Future studies involving the induction of fibrosis in the model will serve to provide a more severe phenotype that has greater face validity with clinical disease. Although we cannot rule out the possibility that lung fibrosis occurred below the resolution of our histochemical staining, we have definitively shown that the systemic TNF-Tg mouse demonstrates restrictive physiology.

In conclusion, we have quantitatively determined that the TNF-Tg model of RA-ILD demonstrates a restrictive pulmonary pathology with regard to both mechanical and functional physiologic parameters. Pulmonary function testing revealed multiple outcome measures indicating significantly increased tissue "stiffness", with histologic evidence concluding that the pathology is predominantly inflammatory and non-fibrotic in nature. These parameters as found through comprehensive pulmonary function testing and analysis of lung gas exchange are also significantly correlated to LTV as determined by previously developed µCT imaging methods. Therefore, the TNF-Tg mouse model demonstrates a significant inflammatory pathology with restrictive physiology, and can provide insights into interstitial lung diseases that develop in patients with inflammatory diseases associated with TNF-α overproduction, such as rheumatoid arthritis.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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**Figure 1. Gas exchange deficiency in TNF-Tg mice versus WT littermates.** 5.5-month-old TNF-Tg male and female mice and their WT littermates underwent carbon monoxide (CO) diffusion testing as described in Materials and Methods. The relative abundance of CO post-expiration is presented as the diffusion factor of CO (DFCO) for individual mice with the mean +/− SD (n=6; \*\*\*p<0.001, \*\*\*\*p<0.0001). Analysis of differences between sexes within genotype were analyzed and found to not be significant (female vs male, WT, p=0.4205; TNF-Tg, p=0.1362).

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#### **Figure 2. TNF-Tg lungs have a restrictive pulmonary pathology.**

The mice described in Figure 1 underwent forced pulmonary manipulation as described in Materials and Methods. 2-way ANOVAs with Sidak's post hoc multiple comparisons were used to analyze differences in mechanical parameters between cohorts. Data for mechanical parameters: (A) total respiratory resistance, (B) Newtonian resistance, (C) tissue dampening, (D) total respiratory compliance, (E) static compliance, (F) the shape constant, (G) total respiratory elastance, (H) and tissue elastance, are presented for individual mice with mean +/− SD (n=6, \*p>0.05,\*\*p<0.01, \*\*\*p<0.001, \*\*\*\*p<0.0001). Note that within sex, differences between TNF-Tg and WT mice were found for multiple parameters measuring tissue "stiffness", including total respiratory resistance, tissue dampening, total respiratory compliance, static compliance, total respiratory elastance, and tissue elastance. Between the sexes, female TNF-Tg mice consistently trended towards increased disease severity compared to their male counterparts over multiple measures, yet only respiratory compliance reached statistical significance.

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#### **Figure 3. Radiographic and histologic confirmation of inflammatory ILD in TNF-Tg mice versus WT littermates.**

The mice described in Figure 1 underwent in vivo micro-CT and ex vivo histopathology as described in Materials and Methods. Representative 3D renderings of lung tissue volume (A-D) and lung air volume (E-H) are presented with statistical analyses. Note that TNF-Tg mice have increased lung tissue volumes (I), while maintaining a comparable basal postexpiratory air volume (J) (n=6, mean +/- SD, \*p>0.05, \*\*\*p<0.001, \*\*\*\*p<0.0001). Histologic sections stained with Masson's trichrome are shown to illustrate the absence of collagen deposition (blue tissue) in the interstitium of all mice (K-N). Histologic analysis

demonstrates marked inflammatory ILD in TNF-Tg mice versus their WT littermates (K-N). Note that the TNF-Tg male mice have lower cellular density by percent of total area than females, as determined through histology.

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**Figure 4. Correlation of pulmonary tissue physiology outcome measures with lung tissue volume.** Spearman's correlations were performed comparing the PFT outcome data in Figure 2 with the micro-CT lung volume data in Figure 3. The statistical findings are presented in Table 1. Graphs with best fit non-linear regression curves are presented to illustrate the relative correlation between lung volume and: Total Respiratory Resistance (A), Total Respiratory Compliance (B), and Inspiratory Capacity (C).  $\bullet = WT$ ,  $x = TNF-Tg$ , n=12 per genotype.

#### **Table 1.**

#### **Associations between pulmonary tissue physiology outcome measures and lung tissue volume as determined by in vivo micro-CT.**

PFT and micro-CT data for all 24 mice in this study were combined for non-linear correlation analyses of Total Respiratory Resistance (R<sub>rs</sub>), Total Respiratory Compliance (C<sub>rs</sub>), and Inspiratory Capacity (IC). Spearman's correlations for each set of parameters are listed for all data points.



 $p$  < 0.05,

\*\* p<0.01