

HHS Public Access

Author manuscript J Opt Soc Am A Opt Image Sci Vis. Author manuscript; available in PMC 2019 October 27.

Published in final edited form as:

J Opt Soc Am A Opt Image Sci Vis. 2018 May 01; 35(5): 732–739. doi:10.1364/JOSAA.35.000732.

Normative best-corrected values of the visual image quality metric VSX as a function of age and pupil size

GARETH D. HASTINGS1,* , **JASON D. MARSACK**1, **LARRY N. THIBOS**2, **RAYMOND A. APPLEGATE**¹

¹Visual Optics Institute, College of Optometry, University of Houston, Houston, Texas 77204, USA

²School of Optometry, Indiana University, Bloomington, Indiana 47405, USA

Abstract

The visual image quality metric the visual Strehl ratio (VSX) combines a comprehensive description of the optics of an eye (wavefront error) with an estimate of the photopic neural processing of the visual system, and has been shown to be predictive of subjective best focus and well correlated with change in visual performance. Best-corrected visual image quality was determined for 146 eyes, and the quantitative relation of VSX, age, and pupil size is presented, including 95% confidence interval norms for age groups between 20 and 80 years and pupil diameters from 3 to 7 mm. These norms were validated using an independently collected population of wavefront error measurements. The best visual image quality was found in young eyes at smaller pupil sizes. Increasing pupil size caused a more rapid decrease in VSX than increasing age. These objectively determined benchmarks represent the best theoretical levels of visual image quality achievable with a sphere, cylinder, and axis correction in normal eyes and can be used to evaluate both traditional and wavefront-guided optical corrections provided by refractive surgery, contact lenses, and spectacles.

Keywords

(330.5370) Physiological optics; (330.7323) Visual optics, aging changes; (170.4460) Ophthalmic optics and devices; (110.3000) Image quality assessment; (140.3490) Lasers, distributed-feedback

1. INTRODUCTION

The optical quality of the eye has been studied in increasing detail and complexity from geometric schematic eyes [1,2] and dioptric refractive error [3,4], to optical metrics such as line spread functions [5–7], point spread functions (PSFs) [8,9], modulation transfer functions (MTFs) [10–14], root mean square (RMS) wavefront error (WFE) [14–16], and metrics of retinal image quality [17–20].

Normative references are essential to the use of these various metrics as benchmarks in scientific and clinical inquiry. A widely used set of normative values, for instance, is that of RMS WFE and higher-order aberrations (HOAs) as a function of pupil size and age [16],

^{*}Corresponding author: ghastings@central.uh.edu; gdhastings1@gmail.com.

which has, for example, been used in studies of traditional [21] and wavefront-guided [22,23] contact lens corrections, reading speed [24], intra-ocular lenses (IOLs) [25], and the optical properties of the cornea [26].

Although satisfactory in many cases, a drawback of this normative dataset is that RMS WFE does not consider the visual interaction of aberrations [18,27]. Figure 1 illustrates an example where the addition (interaction) of aberrations causes an increase (worsening) in RMS WFE, but actually results in an improvement in image quality. For the same reason, the calculation of equivalent dioptric defocus from RMS [27] can be misleading. Moreover, any description of the quality of an eye in diopters is generally troublesome because the visual effect of diopters varies with pupil size, that is, the same dioptric refractive error causes much larger retinal blur and has a more detrimental effect on vision at large pupil sizes than at small pupils [28,29].

Some studies have published normative results for the MTF of the eye: Artal et al. [11] used two age groups of five subjects and one pupil size, while Guirao et al. [13] used larger samples from three age groups and three pupil sizes, and others [30,31] have modeled MTFs across pupil sizes and age. Although the MTF metric combines the effect of all aberrations on contrast transfer, it considers neither the sensitivity and limits of the neural processing of the visual system, nor phase errors, which have been shown to influence visual quality [32,33].

The evolution of optical metrics, such as the MTF, to include consideration of the neural processing of the visual system gave rise to visual image quality metrics [17–19,34,35], which combine a comprehensive description of the optics of an eye—provided by wavefront sensing—with a measure of the neural transfer function of the human visual system.

Therefore, the purpose of this paper is to provide normative best-corrected values for a visual image quality metric, which incorporates both the optical and neural components of the visual system, as a function of pupil size and age. Given the reported variability of subjective methods of refraction [36–38], these benchmarks are determined objectively therefore unaffected by subjective performance and adaptation—and represent the best theoretical level of visual image quality [as measured by the visual Strehl ratio (VSX)] that is achievable with a sphere, cylinder, and axis (SCA) correction in a normal eye.

The particular metric that will be presented is VSX, which has been shown to be predictive of subjective best focus [18,39], well correlated with change in visual acuity (VA) [40–42], and able to identify a SCA prescription that performs equivalently to subjective refraction [43], independent of pupil size and underlying WFE [42]. We have calculated VSX according to its original definition (equation A23 from Thibos et al. [18], also included below). As shown in Eq. (1), VSX is the ratio of the volume under the weighted PSF of an eye at a given pupil size to the volume under the weighted diffraction-limited PSF for the same pupil size. The weighting function in both cases is the inverse Fourier transform of the photopic neural contrast sensitivity function (nCSF) deter-mined using interference fringes [10]. In this form, VSX ranges from 0 to 1, with 1 being best.

It has been suggested [44,45] that visual image quality metrics should be normalized using the neurally weighted PSF for a diffraction-limited 3 mm pupil diameter irrespective of the pupil size of the eye (this metric could be referred to as VSX*). The virtues of VSX and VSX* are considered in the Discussion; however, their values were found to be very similar and, therefore, data from the original definition of VSX are presented throughout the Results,

$$
VSX = \frac{\int \int_{\text{psf}} PSF(x, y)N(x, y) dxdy}{\int \int_{\text{psf}} PSF_{\text{DL}}(x, y)N(x, y) dxdy}.
$$

$$
(1)
$$

2. METHODS

The study followed the tenets of the Declaration of Helsinki and University of Houston Institutional Review Board approval.

Wavefront error data collected during the Texas Investigation of Normal and Cataract Optics (TINCO) study were analyzed. Collection of the TINCO data and description of subjects are described in detail elsewhere [16,46]; briefly, the TINCO study investigated the aberration structure of normal healthy eyes as crystalline lens opalescence increases naturally with aging.

Subjects with cortical and/or posterior subcapsular cataracts graded (independently by five masked clinicians) as >2 according to The Lens Opacities Classification System III (LOCS-III) [47] were excluded, as were subjects with any ocular pathology or abnormality (such as strabismus or amblyopia), previous ocular surgery, or neurological or systemic condition that affected the visual system. The preferred eyes of 146 normal subjects between the ages of 20 and 80 years of age were dilated with one drop of tropicamide 1% and one drop of neosynephrine 5%. Wavefront error measurements were recorded using a custom-built Shack– Hartmann wavefront sensor over the maximum dilated pupil, described by a 10th radial order normalized Zernike polynomial fit, and algebraically scaled down (concentrically using the center of the dilated pupil) to 7, 6, 5, 4, and 3 mm pupil diameters [48]. It has been shown that scaling down from a larger pupil size is preferable to refitting the wavefront error over a smaller pupil using fewer points [49]. Two eyes did not dilate to a 6 mm pupil diameter, and an additional 32 eyes did not dilate to 7 mm. The number of subjects per pupil size and age group is shown in Table 1.

For each eye at each pupil size, the second-order defocus term was mathematically compensated for the shift due to chromatic aberration from the measured 840 nm to the desired 555 nm by extrapolating the flattening portion of the hyperbolic equation defined in Ref. [50] to 840 nm. Validation of this extrapolation has been confirmed experimentally [51,52]. Changes in HOAs due to change in wavelength have been found to be non-uniform

[53], rendering a single adjustment factor inappropriate. However, these changes in HOAs have also been shown to be insignificant [51,54], and therefore no other terms were adjusted.

The SCA combination (sphere and cylinder to the nearest 0.25 D and axis in 2° increments) that maximized visual image quality (VSX) was then objectively identified using a simulated through focus experiment as previously described [43], by calculating VSX for a set of 95454 SCA prescriptions. Although this meant that eyes with low astigmatism were sampled at small dioptric increments, our intention was to frame the analyses using units (sphere, cylinder, and axis) that were clinically available and familiar rather than using units of one of the mathematically uniform dioptric spaces. Consequently, instead of searching the axis in increments that varied with cylinder magnitude, the highest accuracy, that is 2°, specified by the American National Standards Institute (ANSI Z80.1–2015) [55] was used as the axis increment for all eyes.

Subjects' refractive errors varied from +4.75 to −6.75 D sphere and 0 to −3.50 D cylinder. Younger eyes tended to be slightly more myopic than older eyes, but both spherical and astigmatic refractive errors were generally well distributed across age groups.

Means and 95% confidence intervals for SCA best-corrected VSX were determined for each pupil size and age group. The mean best-corrected VSX, as well as the base 10 logarithm of VSX (logVSX), for each unique age group and pupil size combination were used to determine the multiple (two-element) regression of VSX (and logVSX) as a function of age and pupil size.

Toward normative validation of these data, best-corrected VSX was calculated for an independently collected WFE dataset (the Rochester Ocular Wave Aberration Study; Porter et al. [56]) of 218 normal eyes that spanned a similar age range (21 to 65 years old). These WFEs underwent a similar defocus correction for chromatic aberration (from 780 to 555 nm) [50] and the resultant SCA best-corrected image quality values were compared with the confidence intervals of the TINCO dataset.

3. RESULTS

The quantitative relationship between visual image quality, pupil size, and age, shown in Fig. 2, agrees with the prevailing qualitative clinical understanding of how these variables interact. Best VSX was found in young eyes (20 to 30 years old) at small pupil diameters (3 mm), and VSX decreased as age increased and as pupil size increased, with pupil size causing a more rapid decrease. Both pupil size and age had statistically significant influence on visual image quality ($p < 0.0001$), and the multiple regressions for the mean and 95% confidence intervals of the three variables were

> mean \log VSX = 0.414 – (0.122 * pupil size) $-(0.005 * age)$,

> > (2)

upper 95 % CI = $0.501 - (0.104 * \text{pupil size})$ $-$ (0.005 $*$ age),

(3)

lower 95 % CI = 0.321 − (0.140 ∗ pupil size $-(0.006 * age)$.

(4)

In the above regressions, as well as in Fig. 2, logVSX is used rather than VSX, because logVSX has been shown to have a linear relationship with logMAR VA. [42] The regressions for the upper and lower confidence intervals facilitate the calculation of normative best SCA corrected visual image quality ranges for any pupil size and age. Corresponding multiple regressions for VSX can be found in Appendix A.

The full set of best-corrected VSX and logVSX results as a function of pupil size and age, including means, standard deviations, maximum and minimum values, and 95% confidence intervals are presented in reference format in Tables 2 and 3 of Appendix A.

Best-corrected logVSX values determined for an independently collected set of WFE data [56] from 218 eyes at a 5.7 mm pupil diameter are shown (as black circles) in Fig. 3 along with the 95% confidence interval determined for the TINCO dataset at 5.7 mm using the regressions provided in Eqs. (1)–(4). No statistically significant difference was found between datasets: the best-corrected logVSX values of 95.4% of the independently measured eyes (208 eyes) were within the 95% confidence interval defined by the TINCO dataset; as expected for normative data, the remaining eyes were split almost equally above and below the confidence interval [2.8% (6 eyes) above and 1.8% (4 eyes) below].

4. DISCUSSION

We have presented mean and confidence interval values for the objectively determined bestcorrected visual image quality (VSX) provided to normal eyes by sphere and cylinder prescriptions as a function of pupil size and age, and have validated these normative values using a large independently collected dataset [56]. The means and confidence intervals provided here constitute a normative reference with which the visual image quality of an individual eye at a given age and pupil size can be compared and has the potential to be useful in sample size calculations as well as the design and manufacture of ophthalmic products across different correction modalities.

A. Comparison with Other Studies

A cross-sectional reference of normative values of VSX is given by Thibos [57], where visual image quality was calculated for 1000 simulated eyes generated from a statistical model [58] and for 100 real eyes (from the Indiana Aberration Study) [27]. Unfortunately, the age distribution of the 100 real eyes was very homogeneous—most were in the 20 to 29 and 30 to 39 age groups—and the statistical model [58] was based on the same sample. Metric values were presented for a 6 mm pupil diameter.

The mean \pm SD log VSX values were -0.51 ± 0.19 for the 100 real eyes and -0.55 ± 0.21 for the 1000 simulated eyes, which are similar to the corresponding values of this study, −0.48, −0.49, and −0.58, for the 20 to 30, 30 to 40, and 40 to 50 age groups, respectively (see Table 3).

The findings of this study were also in general agreement with the literature: the best visual image quality was found in young eyes and at small pupil sizes, which confirms findings of optical metrics such as higher-order (HO) RMS [16] and MTF [11,13], as well as measures of total visual performance (combining the optical and neural components) such as contrast sensitivity (CSF) (see Owsley [59] for a review) and VA [60].

The literature is divided on the cause of the abovementioned decrease in overall visual performance with age. Some studies [14,61,62] suggest that neural changes have a significant contribution, while others [11,63,64] suggest that optical changes are primarily responsible and that neural changes are insignificant.

Certain optical changes, such as scatter due to crystalline lens opalescence, increase with age [46] and are not captured by VSX. Although large amounts of scatter could be expected to reduce retinal image quality [65,66], visual acuity has been shown to be largely unaffected by scatter [66]. We believe the effect of scatter on the interpretation of the present data to be minimal because subjects with cortical and/or posterior subcapsular cataracts graded as >2 according to LOCS-III were excluded, and the data agreed with an independently collected WFE set with a similar age distribution. A full description of the nature and degree of lens opacification present in the TINCO subjects can be found in Table 4 of Applegate et al. [16].

The photopic neural transfer function used in the calculation of VSX is derived from the nCSF of Campbell and Green, [10] which was measured on a 27-year-old subject. Given the uncertainty in the stability of the neural processing with age, all visual image quality metrics should be framed as describing the optical quality of an eye in terms of the sensitivity of a healthy normal visual system. Work is underway in our laboratory to evaluate the personalization of these metrics for eyes that are not considered healthy or normal.

B. Are These Normative Levels of Visual Image Quality Clinically Achievable?

The eyes analyzed here (both the TINCO eyes and the eyes from Porter et al. [56]) underwent an objective simulated through focus refraction that identified the SCA combination that optimized VSX. This method has been shown to provide equivalent VA to subjective refraction [43]. The question arose as to whether the normative confidence intervals presented here establish benchmarks of visual image quality that would be

achievable with other SCA combinations not optimized for VSX but still considered clinically acceptable, given the variability of clinical subjective refraction [36–38].

Toward this end, for each of the 218 eyes from Porter et al. [56], all SCA combinations (sphere and cylinder in 0.25 D steps and axis in 2° steps) that provided VSX better than the lower 95% confidence interval of the TINCO norms were identified. The mean \pm SD number of prescriptions was 264 ± 183 ; the median was 240. (The four eyes that did not surpass the TINCO lower 95% confidence interval had zero SCA combinations for this analysis.) In other words, although adaptation and other factors can influence subjective refraction, for the majority of these eyes there are many SCA combinations (in addition to the one that optimized VSX) that would provide a VSX level within the 95% TINCO confidence intervals. However, the number of prescriptions in this group is dependent on the dioptric increments that are used (for instance, fewer SCA combinations would correct an eye to within the 95% confidence interval if the axis was sampled in 5° steps). Consequently, the dioptric distance from the best SCA correction to the TINCO lower 95% confidence interval was estimated (using power vectors [67]) by calculating the dioptric difference between the best SCA combination (that optimized VSX) and the "worst" SCA prescription that still corrected an eye to within the confidence interval. The mean ± SD dioptric distance was 0.41 ± 0.23 D; the median was 0.36 D. Despite that dioptric spaces are not visually uniform, and a given dioptric difference could have different visual interactions with the underlying aberrations of different eyes, this Euclidean dioptric distance provides an indication of how dioptrically different a refraction can be from the optimal objective refraction and still provide an eye with visual image quality within the TINCO 95% confidence interval norms. It is also likely that eyes with high astigmatism will have fewer SCA combinations that correct them to within the normative levels of visual image quality presented here.

The SCA prescriptions that maximized VSX for the TINCO eyes generally did not change by a clinically significant amount across the range of pupil sizes examined. The mean variability (SD) of the spherical and astigmatic components (in power vectors) [67] across all 148 eyes were less than 0.08 D. This is consistent with the finding that subjective refraction of normal eyes does not vary significantly across pupil sizes [68].

The VSX metric presented here describes monochromatic visual image quality, which would be degraded by chromatic aberration in natural viewing conditions. Chromatic aberration of the eye has been shown to be essentially constant across studies and populations [50]. Although the use of polychromatic metrics has been advocated [69], they have been found to not provide a significant benefit over monochromatic metrics [19].

While the VSX metric tracks subjective image quality for pupil sizes greater than 3 mm, it may not accurately describe subjective visual image quality over smaller pupil diameters. At such small pupil sizes, the diffraction-limited PSF (the denominator) used in normalizing the metric is significantly deteriorated by diffraction, while the aberrations of normal eyes (the numerator) are also greatly reduced. As a result, metric values may approach 1 (excellent) while visual image quality is actually quite poor due to diffraction. In these situations, normalizing the metric (VSX*) to a constant pupil size, such as 3 mm, as has been done for

other visual image quality metrics [44,45], could provide a more realistic assessment of visual image quality.

However, for pupil sizes greater than 3 mm (such as the normative data presented here) renormalizing to a 3 mm pupil diameter (VSX*) was only minimally different to the VSX values. Renormalization increased the metric value as the numerator pupil size increased, but the maximum increase was less than 5% of the VSX value. This increase was small chiefly due to the effect of the neural weighting of the PSFs in the calculation of VSX and VSX*. Thus, the choice between fixed and variable pupil size for normalization is of no practical importance for computing VSX for pupil sizes greater than 3 mm. However, other metrics that do not incorporate a visual or neural weighting, such as the traditional Strehl ratio, are more affected by the choice of reference pupil size. In those metrics, normalization by a fixed standard may be preferred in applications where absolute image quality is more important than image quality relative to a standard that varies with pupil size.

5. CONCLUSIONS

The quantitative relation of SCA best-corrected visual image quality (logVSX), pupil size, and age is presented and 95% confidence interval norms are provided for pupil size from 3 to 7 mm and for age groups between 20 and 80 years, as well as regression equations for the calculation of logVSX at any individual age and pupil size. These objectively determined benchmarks represent the best theoretical levels of visual image quality that normal eyes can achieve with conventional sphere, cylinder, and axis corrections and can be useful in evaluating both traditional and wave-front-guided optical corrections across different modalities.

Acknowledgment.

The authors thank Dr. Jason Porter for kindly providing the supplementary wavefront error data analyzed here and Kimberly Thompson for assistance with figures. Portions of these findings were presented at the Association for Research in Vision and Ophthalmology (ARVO) meeting in Baltimore, Maryland, May 2017.

Funding. National Eye Institute (NEI) (R01EY008520, R01EY019105, P30 EY 07551); U.S. Navy (N00259-10- P-1354); University of Houston (UH) (Borish Endowment).

APPENDIX A

Regressions

These multiple regressions allow the calculation of normative VSX [mean and 95% confidence interval (CI)] values for any particular pupil size and age. The corresponding regressions for logVSX are presented in the Results section.

mean VSX = $1.148 - (0.108 * pupil size) - (0.004 * age)$,

(A1)

upper 95 % CI =
$$
1.529 - (0.137 * pupil size) - (0.005 * age)
$$
,

(A2)

lower 95 % CI = $0.766 - (0.079 * \text{pupil size}) - (0.003 * \text{age})$.

(A3)

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Fig. 1.

The shortcoming of root mean square (RMS) wavefront error (WFE) is that it does not capture the visual interaction of aberrations. (a) Spherical aberration alone, RMS = 0.200 μm. (b) Defocus alone, RMS = 0.451 μm. (c) Spherical aberration + defocus, RMS = 0.493 μm. Note that with the addition of these aberrations, RMS WFE increases (worsens), while image quality actually improves.

Fig. 2.

(a) Quantitative relationship between best-corrected visual image quality (logVSX), pupil diameter, and age. Black circles are the mean logVSX for the corresponding pupil sizes and means of each age group. (b) Two-dimensional depiction of the same data as in (a). Best visual image quality (logVSX) was found in young eyes (20 to 30 years old) and at small pupil diameters, and decreased as age increased and as pupil size increased, with pupil size causing a more rapid decrease.

Fig. 3.

Best-corrected logVSX for an independently collected set of WFE data (the Rochester Ocular Wave Aberration Study [56]) from 218 eyes at 5.7 mm pupil diameter (black circles) and mean and 95% confidence interval determined from the TINCO dataset. Best-corrected logVSX of 95.4% of these independently measured eyes were within the TINCO 95% confidence interval.

Table 1.

Number of Subjects per Pupil Diameter (mm) and Age Group (years)

				Subjects per Pupil Size		
Age Group	Mean $Age \pm SD$	Maximum Age	Minimum Age	3, 4, 5	6	7
$20 - 29$	$25.2 + 2.3$	29.8	21.6	20	20	18
$30 - 39$	$35.0 + 2.4$	38.7	30.1	18	18	15
$40 - 49$	$452 + 28$	49.9	40.5	32	32	29
$50 - 59$	$54.4 + 2.9$	58.7	50.5	32	31	18
$60 - 69$	$62.9 + 1.9$	67.4	60.3	21	20	16
$70 - 79$	$72.9 + 2.4$	78.4	70.0	23	23	16
		Total Count	146	144	112	

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Table 3.

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Best-Corrected logVSX Values as a Function of Pupil Diameter (mm) and Age (years) Best-Corrected logVSX Values as a Function of Pupil Diameter (mm) and Age (years)

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