#### **Original Article**

# The Prevalence and Consequences of Adverse Childhood Experiences in the German Population

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#### Summary

<u>Background:</u> Multiple studies have shown a link between cumulative adverse experiences in childhood and a wide variety of psychosocial problems in later life. There have not been any pertinent representative studies of the German population until now. The goal of this study is to determine the frequency of adverse childhood experiences (ACE), the extent to which they manifest themselves in patterns of co-occurrence, and their possible connection to psychosocial abnormalities in the German population.

Methods: 2531 persons (55.4% female) aged 14 years and up (mean [M] = 48.6 years, standard deviation [SD] = 18) were retrospectively studied for ACE and psychosocial abnormalities by means of the Patient Health Questionnaire-4 (PHQ-4) and further questions on aggressiveness and life satisfaction. The frequency of ACE and their cumulative occurrence were analyzed in descriptive terms. Patterns of simultaneously occurring types of ACE were studied with latent class analysis. Associations between ACE and psychosocial abnormalities were tested with logistic regression analyses.

Results: 43.7% of the respondents reported at least one ACE; 8.9% reported four or more. The most commonly reported ones were parental separation and divorce (19.4%), alcohol consumption and drug abuse in the family (16.7%), emotional neglect (13.4%), and emotional abuse (12.5%). Four ACE patterns were identified by latent class analysis: no/minimal ACE, household dysfunction, child maltreatment, and multiple ACE. In the cumulative model, the high-risk group with four or more ACE displayed a significantly elevated risk for depressiveness (odds ratio [OR] = 7.8), anxiety (OR = 7.1), physical aggressiveness (OR = 10.5), and impaired life satisfaction (OR = 5.1).

<u>Conclusion:</u> Adverse childhood experiences are common, and their cumulation is associated with markedly increased negative sequelae for the affected persons. Preventive approaches are needed that extend beyond the area of child maltreatment alone and address other problems in the parental home, such as mental illness in the parents. Data acquisition by self-reporting is a limitation of this study.

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dverse childhood experiences (ACEs) include various childhood experiences that can have a persistent negative effect on health and wellbeing (1). The ACE Study investigated the correlation between adverse childhood experiences and negative impacts on those affected. The concept of adverse childhood experiences includes household dysfunction in addition to child maltreatment; this is because, unless problems in the household are included, the long-term effect is attributed only to individual types of abuse, and the cumulative effect of multiple adverse childhood experiences is not examined (1).

A number of studies have investigated the association between combinations of adverse childhood

experiences and negative health outcomes (2). There are strong correlations between adverse childhood experiences and alcohol and drug use (odds ratio [OR] = 10.2, 95% confidence interval: [7.6; 13.7]) and suicide attempts (OR = 37.5 [22.2; 63.3]). The framework model and the assumed underlying mechanism of action are shown in the ACE Pyramid (3). At the center of the framework model are maladaptive behaviors, i.e. behaviors that are unfavorable/harmful to health and that are seen as coping strategies and make illnesses or social problems more likely (1, 3). Consequences of adverse childhood experiences can be immediate, such as symptoms of posttraumatic stress following sexual abuse, but may also occur

scription of sample and sociodemographic char	acteristics of the 253
rticipants in the representative population surve	
/ariable	n (SD) or M (SD)
Age (M, SD)	48.6 (18.0)
Sex (n, %)	
Female	1401 (55.4)
Male	1130 (44.6)
Marital status	
Married, living together	1066 (42.1)
Married, living apart	65 (2.6)
Single	821 (32.4)
Divorced	341 (13.5)
Widowed	227 (9.0)
Not stated	11 (0.4)
lo. of children (M, SD)	1.15 (1.14)
German citizenship (n, %)	2429 (96.0)
lighest level of school education (n, %)	
School student	65 (2.6)
No school graduation	56 (2.2)
Volks- or Hauptschulabschluss (year 9 lower secondary school certificate	730 (28.8)
Mittlere Reife (year 10 lower secondary school certificate)	842 (33.3)
Graduated from PHS*	199 (7.9)
Graduated from technical college with no accreditation	88 (3.5)
Entrance qualification for technical college/university	307 (12.1)
College/university studies completed	233 (9.2)
Not stated or other	11 (0.4)
Religious affiliation	
Protestant	935 (36.9)
Catholic	748 (29.6)
Muslim	61 (2.4)
Other (Jewish, Buddhist, Hindu)	47 (1.9)
No religious affiliation	640 (25.3)
Not stated	100 (4.0)
Equivalent monthly income*2	
Under €500	4 (0.2)
€500 to €1000	209 (8.3)
€1000 to €1500	518 (20.5)
€1500 to €2500	1105 (43.7)
€2500 to €3500	283 (11.2)
€3500 to €5000	311 (12.3)
Over €5000	17 (0.7)
Not stated	84 (3.3)

<sup>\*1</sup>PHS: Polytechnical high school

after a lengthy delay (this is particularly common with physical consequences), like those associated with risky behaviors such as smoking. The United Nations have set themselves Sustainable Development Goals to be achieved by the year 2030. In connection with the United Nation's Development Goals 3 (reduce premature mortality) and 16.2 (end all forms of violence against children) (4), this study provides the first estimates of the frequency of adverse childhood experiences in Germany and their effects through adulthood.

A recent meta-analysis (2) of the long-term consequences of adverse childhood experiences investigated the strength of the correlation between adverse childhood experiences and a range of issues, on the basis of 37 studies. The strongest correlations (OR ≥ 6) were between adverse childhood experiences and alcohol consumption, drug abuse, and interpersonal and self-directed violence. The total prevalence of at least one adverse childhood experience was 57% (43%; 88%). The prevalence of 4 or more adverse childhood experiences was 13% (1%; 38%). While current figures on the frequency of child maltreatment are available for Germany (5, 6) and correlations between individual and multiple forms of abuse and neglect on the one hand and illnesses on the other have been described (7), this study is the first to provide data on the frequency of adverse childhood experiences. This data goes beyond child maltreatment and examines the correlation between abuse and psychosocial issues such as low life satisfaction and aggression, which have received little attention to date. A recent meta-analysis (2) shows no published representative studies conducted in either Germany or continental western Europe.

The studies on the correlation between adverse childhood experiences and negative consequences follow a cumulative risk model (8). The identification of patterns of co-occurring adverse childhood experiences (9) and of correlations between these and psychosocial issues in those affected is also significant.

This study therefore investigates the prevalence of individual adverse childhood experiences in the German population and correlations between these and the outcome variables depression, anxiety, physical aggression, life satisfaction, and equivalent income. In addition to these analyses, which target correlations between psychosocial issues and individual adverse childhood experiences, the theoretical model of adverse childhood experiences also estimates the cumulative effect and patterns of co-occurring adverse childhood experiences in terms of correlations between these and psychosocial issues in those affected.

#### **Methods**

#### Data collection and study participants

The study included 2531 participants. Nationwide data collection was performed via an independent institute for polling and social research (USUMA, Berlin)

<sup>\*&</sup>lt;sup>2</sup>Equivalent income: monthly household income in relation to number of individuals in household SD: Standard deviation; M: Arithmetic mean

TABLE 2

Prevalences of individual adverse childhood experiences (ACEs), odds ratios, and relative odds ratios adjusted for co-occurrence of various adverse childhood experiences, for depression, anxiety, physical aggression, life satisfaction, and monthly income in a representative German sample (n = 2531)

	Total sample	Depression* <sup>1</sup>	Anxiety* <sup>2</sup>	Physical aggression in the past 12 months	Low life satisfaction* <sup>3</sup>	Equivalent income less than €1500 per month
Type of ACE	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
	[95% CI]	OR, OR <sub>adi</sub>	OR, OR <sub>adi</sub>	OR, OR <sub>adi</sub>	OR, OR <sub>adi</sub>	OR. OR <sub>adj</sub>
ACE 1: emotional abuse (n = 2521)	311 (12.5)	88 (28.5)	83 (26.3)	32 (10.1)	117 (37.6)	123 (38.9)
	[11.2; 13.9]	<b>3.96; 1.84</b>	<b>4.28</b> ; <b>2.28</b>	<b>2.3</b> ; 2.33	<b>3.38; 1.71</b>	<b>1.68</b> ; 0.76
ACE 2: physical abuse (n = 2517)	230 (9.1)	65 (28.5)	61 (26.5)	28 (12.2)	92 (40.7)	105 (45.7)
	[7.9; 10.3]	<b>3.74;</b> 1.19	<b>3.95</b> ; 1.14	<b>7.11</b> ; 2.05	<b>3.67</b> ; 1.28	<b>2.25</b> ; <b>1.62</b>
ACE 3: sexual abuse ( <i>n</i> = 2518)	109 (4.3)	34 (31.5)	26 (23.9)	9 (8.3)	36 (34.0)	50 (45.9)
	[3.5; 5.1]	<b>3.94</b> ; 1.8	<b>3.03</b> ; 1.31	<b>3.35</b> ; 1.04	<b>2.46;</b> 1.19	<b>2.16</b> ; 1.41
ACE 4: emotional neglect (n = 2514)	338 (13.4)	92 (27.2)	84 (24.9)	22 (6.5)	112 (33.9)	135 (39.9)
	[12.1; 14.8]	<b>3.83; 1.92</b>	<b>3.97</b> ; <b>2.01</b>	<b>2.97</b> ; 0.60	<b>2.82</b> ; 1.36	<b>1.78</b> ; 1.20
ACE 5: physical neglect (n = 2515)	109 (4.3)	29 (26.6)	26 (23.9)	12 (11.1)	45 (42.9)	52 (47.7)
	[3.5; 5.1]	<b>3.04;</b> 0.76	<b>3.03</b> ; 0.70	<b>4.88;</b> 1.11	<b>3.70;</b> 1.38	<b>2.35</b> ; 1.38
ACE 6: parental divorce/separation (n = 2520)	488 (19.4)	88 (18.1)	82 (16.8)	29 (6.0)	163 (33.4)	29 (6.0)
	[17.7; 20.9]	<b>2.04;</b> 1.21	<b>2.21</b> ; 1.34	<b>2.93</b> ; 1.50	<b>1.61</b> ; 1.01	<b>1.30</b> ; 1.00
ACE 7: witnessed domestic violence (n = 2518)	248 (9.8)	48 (19.4)	42 (16.9)	23 (9.3)	99 (39.9)	23 (9.3)
	[8.6; 11.1]	<b>2.05</b> ; 0.98	<b>2.01</b> ; 0.97	<b>4.63</b> ; 1.87	<b>2.29;</b> 1.26	<b>1.74</b> ; 1.17
ACE 8: alcohol and drug abuse in the household (n = 2526)	421 (16.7)	91 (21.7)	69 (16.4)	32 (7.6)	178 (42.3)	32 (7.6)
	[15.1; 18.3]	<b>2.70</b> ; 1.32	<b>2.04;</b> 0.81	<b>4.24;</b> 1.76	<b>2.24</b> ; 1.24	<b>2.07</b> ; 1.63
ACE 9: mental illness in the household (n = 2513)	267 (10.6)	75 (28.1)	65 (24.3)	19 (7.1)	109 (40.8)	19 (7.1)
	[9.4; 11.9]	<b>3.80; 2.22</b>	<b>3.57</b> ; <b>2.26</b>	<b>3.17</b> ; 1.27	<b>2.66; 1.61</b>	<b>1.83</b> ; 1.32
ACE 10: incarcerated family member (n = 2519)	88 (3.5)	20 (22.7)	22 (25.0)	12 (13.6)	39 (44.3)	12 (13.6)
	[2.8; 4.3]	<b>2.42</b> ; 0.82	<b>3.21</b> ; 1.41	<b>6.34</b> ; 1.43	<b>2.85</b> ; 1.08	2.03; 1.00

<sup>%:</sup> Valid percentage; 95% CI: 95% confidence interval; OR: Odds ratio; OR<sub>agi</sub>; Odds ratio adjusted for all other ACEs \*¹Evidence of depression = PHQ-4 (Patient Health Questionnaire-4) cut-off point ≥3

Odds ratios whose 95% confidence interval does not include 1 are shown in bold. Values for 95% confidence intervals are shown in eTable 1.

between November 2017 and February 2018. The inclusion criteria were a minimum age of 14 years and sufficient knowledge of German (see eMethods for details of the study design). Informed consent was a requirement for study participation. The study was approved by the Ethics Committee of the Faculty of Medicine of Leipzig University.

#### **Tools**

Sociodemographic information such as age, sex, marital status, number of children, level of education, and religious affiliation was taken from all participants. Equivalent income according to the OECD (Organisation for Economic Co-operation and Development) (10) was also calculated.

Adverse childhood experiences were recorded using the German version (11) of the ACE Questionnaire (1). This consists of 10 items on child maltreatment and problems in the parental home, with yes/no answers (eBox 1). As in previous studies, a total score was used to investigate the risk of an effect of combined adverse childhood experiences (2, 12).

Depression and anxiety were recorded using the Patient Health Questionnaire-4 (PHQ-4) (12-14) (details in eBox 2).

Physical aggression was investigated using the question "Have you physically attacked (e.g. hit, slapped in the face, pulled hair, threatened with a weapon or other object) anyone in the past 12 months?" The answers to this question were either ves or no.

Life satisfaction was recorded using the question "This question is about your general life satisfaction. How satisfied are you at the moment with your life in general?" The participants could answer this question using an 11-point scale ranging from "not at all satisfied" to "completely satisfied."

#### Statistical analyses

Statistical analyses were performed using SPSS, version 21. Patterns of co-occurring adverse childhood experiences were found via latent class analysis (LCA) using MPlus, version 7 (15). The eMethods section contains a detailed description of the LCA procedure.

<sup>\*2</sup>Evidence of anxiety = PHQ-4 cut-off point ≥3 (see eBox 2)

<sup>&</sup>lt;sup>3</sup>Low life satisfaction = 1 standard deviation below mean

TABLE 3

Prevalences of combined adverse childhood experiences (ACEs), latent classes of co-occurring ACEs<sup>\*1</sup>, and odds ratios for depression, anxiety, physical aggression, life satisfaction, and equivalent monthly income in a representative German sample (n = 2531)

	Total sample	Depression*1	Anxiety* <sup>2</sup>	Physical aggression in the last 12 months	Low life satisfaction* <sup>3</sup>	Equivalent income less than €1500 per month
	n <b>(%)</b>	n (%) OR	n (%) <b>O</b> R	n (%) <b>O</b> R	n (%) OR	n (%) OR
<b>No. of ACEs</b> ( <i>n</i> = 2511)						
0	1426 (56.3) [54.4; 58.3]	82 (5.7) 1	78 (5.5) 1	19 (1.3) 1	169 (12.2) 1	349 (24.5) 1
1	525 (20.7) [19.1; 22.2]	56 (10.7) <b>1.95</b>	48 (9.2) <b>1.73</b>	12 (2.3) 1.73	83 (16.3) <b>1.40</b>	150 (28.6) 1.23
2	218 (8.6) [7.5; 9.8]	35 (16.1) <b>3.14</b>	32 (14.7) <b>2.96</b>	7 (3.2) <b>2.46</b>	62 (29.0) <b>2.94</b>	78 (35.8) <b>1.72</b>
3	136 (5.4) [4.5; 6.2]	40 (29.4) <b>6.95</b>	29 (21.3) <b>4.66</b>	6 (4.4) <b>3.41</b>	38 (28.4) <b>2.85</b>	47 (34.6) <b>1.63</b>
≥ 4	226 (8.9) [7.9; 10.2]	73 (32.3) <b>7.79</b>	66 (29.2) <b>7.09</b>	2 (12.4) <b>10.45</b>	92 (41.6) <b>5.14</b>	107 (47.3) <b>2.78</b>
Patterns of various adverse childhood ex	xperiences (n = 25	29)				
Group 1: no/minimal adverse childhood experiences	1949 (77.1)	143 (7.3) 1	130 (6.7) 1	30 (1.5) 1	253 (13.3) 1	499 (25.6) 1
Group 2: problems in the parental home	271 (10.7)	62 (23.0) <b>3.76</b>	46 (17.0) <b>2.85</b>	10 (3.7) <b>2.46</b>	74 (27.9) <b>2.52</b>	105 (38.7) <b>1.84</b>
Group 3: child maltreatment	188 (7.4)	45 (24.2) <b>4.02</b>	42 (22.3) <b>4.01</b>	11 (5.9) <b>3.97</b>	65 (35.1) <b>3.52</b>	62 (33.0) <b>1.43</b>
Group 4: multiple adverse childhood experiences	121 (4.8)	36 (29.8) <b>5.34</b>	35 (28.9) <b>5.68</b>	21 (17.4) <b>13.42</b>	52 (43.7) <b>5.04</b>	64 (52.9) <b>3.26</b>

<sup>\*1</sup>See Figure 1

Where data on certain participants was missing within individual subanalyses, these participants were excluded from the subanalysis in question. The number of missing data points ranged from 2 to a maximum of  $20 \ (0.8\%)$  per subanalysis, depending on the variables included. Correlations were calculated using odds ratios; adjusted odds ratios were calculated using regression.

#### Results

Of the 2531 study participants, 1401 (55.4%) were female and 1130 (44.6%) male. The mean age was 48.6 years (standard deviation [SD] = 18, [49; 93]). The sample is described in *Table 1*.

A mean of 1.03 (SD = 1.70, [1; 10]) adverse childhood experiences were reported. *Table 2* shows the prevalences of individual adverse childhood experiences. The most common were parental divorce/separation (19.4%) and alcohol/drug abuse in the family (16.7%). For individual adverse childhood experiences, there were moderate to strong correlations with depression, anxiety, physical aggression, and low life

satisfaction. These associations were weaker when co-occurrence of the other 9 adverse childhood experiences was controlled for. There were moderate correlations between individual adverse childhood experiences and equivalent income.

Table 3 provides an overview of the prevalence of combined adverse childhood experiences. More than half of participants (56.3%) reported no such experiences, which means that a total of 43.7% had at least one adverse childhood experience. A fifth of participants (20.7%) reported one adverse childhood experience, 8.6% reported 2, and 5.4% reported 3. The highrisk group of individuals reporting 4 or more adverse childhood experiences included 8.9% of participants. There was a cumulative effect with very high correlations in the high-risk group (≥4 adverse childhood experiences) versus the group with no adverse childhood experiences for depression (OR = 7.8), anxiety (OR = 7.1), increased physical aggression (OR = 10.5), and low life satisfaction (OR = 5.1).

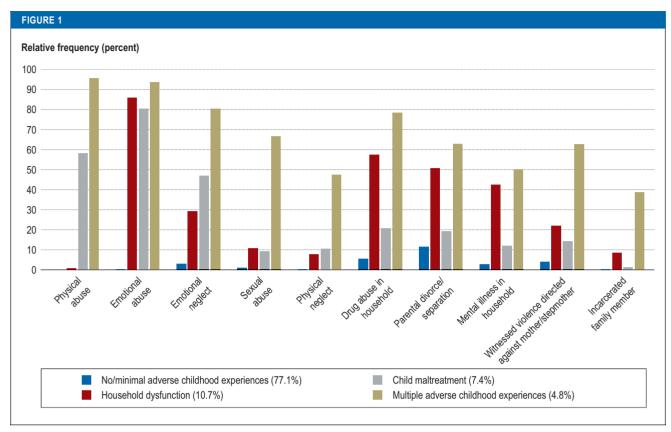
When indicators for adjustment of the model and interpretation of the findings are examined, a model

<sup>\*&</sup>lt;sup>2</sup>Evidence of depression = PHQ-4 (Patient Health Questionnaire-4) cut-off point ≥3 (see eBox 2)

<sup>\*3</sup>Evidence of anxiety = PHQ-4 cut-off point ≥3; low life satisfaction = 1 standard deviation below mean

Odds ratios whose 95% confidence interval does not include 1 are shown in bold. Values for 95% confidence intervals are shown in eTable 2.

<sup>%:</sup> Valid percentage; OR: Odds ratio (versus no ACEs or group 1, no/minimal adverse childhood experiences)



Prototypical patterns of co-occurring adverse childhood experiences, based on latent class analysis (LCA)

with 4 prototypical patterns best describes the overall occurrence of adverse childhood experiences (eTable 3). Figure 1 shows the 4 groups. The frequency of psychosocial issues in the sample as a whole and in the individual groups is shown in Figure 2. The probability of classification in the first group, "no/minimal adverse experiences," was 0.96; for the second group, "problems in the parental home," it was 0.78; for the third group, "child maltreatment," it was 0.80; and for the fourth group, "multiple adverse childhood experiences," it was 0.91. In group 4, "multiple adverse childhood experiences," there were high odds ratios for current depression (OR = 5.3), anxiety (OR = 5.7), physical aggression (OR = 13.4), and low life satisfaction (OR = 5.0).

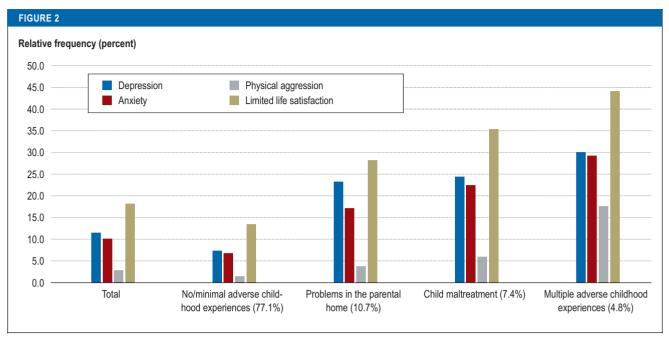
#### **Discussion**

This study investigated the frequency of adverse child-hood experiences, combinations of these, and patterns of co-occurrence in the population, and correlations between these and psychosocial issues. Its findings underline the dose–effect relationship, as the risk for various outcomes rises with an increasing number of adverse childhood experiences. A total of 8.9% of study participants reported at least 4 adverse childhood experiences and are thus at high risk of developing psychosocial issues. The most common were parental divorce and separation, alcohol and drug abuse, and

emotional neglect and abuse. These figures are within average range for international studies (2). When compared to studies on the frequency of child maltreatment in Germany (5, 6), the overall prevalence of adverse childhood experiences exceeds the reported prevalence of 31%. The frequencies identified here for physical abuse and emotional abuse are higher than those found in studies on abuse. For sexual abuse, prevalence is lower. For emotional and physical neglect, the frequencies are substantially lower than the prevalence figures reported in the 2 studies on the frequency of child maltreatment in Germany based on the Childhood Trauma Questionnaire (CTQ) (5, 6). Here it must be borne in mind that the CTQ answers allow for various levels of severity, and higher prevalence rates are identified depending on which severity levels are considered in the analysis. Differences between frequencies can also be attributed to different data collection methods. Overall, this study shows that adverse childhood experiences are approximately as common in the general German population as in other, international studies (2). It thus provides an initial frame of reference for Germany on the prevalence of adverse childhood experiences there.

#### Correlation with psychosocial issues

This study shows clearly that any form of adverse childhood experience is associated with psychosocial issues (*Table 2*) and that ACEs often co-occur. As a



Frequency of psychosocial issues in the total sample and individual groups. This shows that there are substantially more psychosocial issues in all groups—particularly the group with multiple adverse childhood experiences—than in the group with no/minimal adverse childhood experiences.

result, some individual effects have no significant impact in the adjusted model but do continue to play a role in a cumulative dose-effect model. Because different adverse childhood experiences often co-occur, the effects of individual adverse childhood experiences are less pronounced if co-occurrence is controlled for. High adjusted odds ratios therefore also play a greater role in a cumulative risk model when other adverse childhood experiences co-occur. Odds ratios of less than one, however, have no protective effect in this regard. After controlling for co-occurrence, the largest effects remain for emotional abuse, emotional neglect, and mental illness in one parent or other another member of the household. These ACEs are particularly associated with psychosocial issues for those affected, so prevention and intervention measures in these fields are important. However, there are differential effects: for example, after controlling for combinations of adverse childhood experiences, there remains a correlation between sexual abuse and depression (OR = 1.8) and between emotional abuse and anxiety (OR = 2.3).

According to the literature (2), the risk of psychosocial issues increases with the accumulation of adverse childhood experiences (Table 3). At the same time, it must be emphasized that individual events such as divorce are very common and can often be coped with successfully (16). Individual adverse childhood experiences thus differ in terms of their impact on psychosocial functioning. It must also be noted that there are potential genetic predispositions connected with adverse childhood experiences such as mental illness in the parental home and associated psychosocial issues such as depression.

The risk of symptoms of depression, anxiety, physical aggression, and limited life satisfaction have not yet been well researched (2). Compared to the findings of a recent meta-analysis (2), the findings described here on the correlation between 4 or more adverse experiences and depression, anxiety, and physical aggression are very strong, while the association between these and limited life satisfaction is moderate. This should be emphasized, because the wording of the question on physical aggression suggests there may be a tendency to give socially desirable answers. This study provides evidence that there is a significant correlation here that may be underestimated in these findings.

## Prototypical patterns of adverse childhood experiences

Four prototypical patterns of co-occurring adverse childhood experiences were identified. The pattern characterized by experiences under the heading "problems in the parental home" represents the largest group of individuals who reported adverse childhood experiences. Psychosocial issues in those affected seem to be similarly negative and wide-ranging to those in patients who have experienced child maltreatment. It is therefore important to record adverse childhood experiences beyond child maltreatment when examining mental issues in a clinical context and when estimating costs in the framework of models for health economics. The strongest correlation was found for the group with multiple adverse childhood experiences. This group accounts for a substantial proportion of the general population, 4.8%.

#### Limitations of the study

Adverse childhood experiences were self-reported retrospectively and may therefore be subject to biases and suggestibility. The possibility of false negative answers when recording adverse childhood experiences has been discussed in the literature (17). In addition, the Adverse Childhood Experience Questionnaire contains questions concerning specific experiences, but the wording of the questions allows room for interpretation. This means subjective assessments are recorded, so in principle there is a risk of false positive answers too. In our view, however, this risk should be assessed as low, due to the existing evidence (17). In addition, individual adverse childhood experiences are recorded using only a few questions. Studies show that the prevalence of specific forms of abuse rises with the number of questions used (18). The prevalence of some adverse childhood experiences may therefore be underestimated. It should also be noted that the number of reported adverse childhood experiences varies in line with the number of family members. The approach selected for this study may also have systematically excluded potential high-risk populations. Because questionnaires were used, individuals with insufficient knowledge of German were systematically excluded, so people with German citizenship are substantially overrepresented and those from a background of migration are potentially underrepresented. However, care was taken to ensure that the sample was as representative as possible; differences between the sample and the general population are shown in eTable 4. This should be taken into account when interpreting the study findings.

#### Conclusion

In addition to child maltreatment, adverse childhood experiences under the heading "problems in the parental home" are common in the general German population (43.7% report at least one such experience). In particular, the high-risk group with 4 or more such experiences shows a high risk of various issues, health problems, and increased mortality (2); 8.9% of the German population fall into this category. The findings shown here highlight the correlation between adverse childhood experiences and psychosocial issues concerning life satisfaction, psychopathology, and interpersonal aggression.

There are numerous debates in German health care on subjects such as "child maltreatment" (19), "domestic violence" (20), "children of parents with addiction or mental illness" (21), etc. However, the cumulative effects of multiple adverse experiences have rarely been examined. The findings described here underline the clinical significance of emotional abuse and emotional neglect, which in Germany have to date been only marginally addressed in physicians' debates on child protection. In view of the considerable negative consequences for those affected and the high prevalence of various adverse childhood experiences under the heading "problems in the parental

#### Key messages

- Of the total number of study participants, 8.9% reported 4 or more adverse childhood experiences. This is a particularly high-risk population.
- The high-risk group with 4 or more adverse childhood experiences shows a significantly increased risk of depression (OR = 7.8), anxiety (OR = 7.1), physical aggression (OR = 10.5), and limited life satisfaction (OR = 5.1).
- Future debates on child protection should take greater account of the cumulative effects of adverse childhood experiences.
- Experiences under the heading "problems in the parental home" seem to be associated with similarly negative psychosocial issues to child maltreatment.
- The findings presented here underline the clinical significance of emotional abuse and emotional neglect, which to date have been only marginally addressed in Germany, even in physicians' debates on child protection.

home," an increased focus on these factors in every-day clinical practice is important. Problematic characteristics in the parental home are often easier to access in clinical history than concrete evidence of abuse or neglect. Precisely because the mechanisms via which adverse childhood experiences affect health and well-being throughout one's life are increasingly well researched, this knowledge must be taken into greater account in health care when designing selective, indicated prevention and intervention (including early intervention) measures (22).

#### Conflict of interest statement

The authors declare that no conflict of interest exists.

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#### ► <u>Supplementary material</u>

eMethods, eTables, eBoxes: www.aerzteblatt-international.de/19m0635

#### Supplementary material to:

# The Prevalence and Consequences of Adverse Childhood Experiences in the German Population

by Andreas Witt\*, Cedric Sachser\*, Paul L. Plener, Elmar Brähler, and Jörg M. Fegert Dtsch Arztebl Int 2019; 116: 635–42. DOI: 10.3238/arztebl.2019.0635

#### eBOX 1

#### Sample ACE questions

German version of the ACE Questionnaire:

Copyright and citations: Ingo Schäfer, Katja Wingenfeld, and Carsten Spitzer (2009) ACE-D; German version of the Adverse Childhood Experience (ACE) Questionnaire. University of Hamburg (11). The whole questionnaire can be obtained from Prof. Dr. Ingo Schäfer.

Before your 18 <sup>th</sup> birthday:	Yes	No
Did a parent or other adult in your household often or very often		
push, grab, hit, or throw something at you?		
orever hit you so hard that you had marks or were injured?		
2. Was your mother or stepmother		
often or very often pushed, grabbed, hit, or did she have something thrown at her?		
or		
sometimes, often, or very often kicked, bitten, punched, or hit with a hard object?		
or		
ever hit repeatedly for several minutes or more or threatened with a gun or knife?		
sample question under the heading of abuse, on physical abuse		
2: sample question under the heading of problems in the parental home, on witnessing domestic violence		

#### eBOX 2

#### Information on PHQ-4

PHQ-4 (Patient Health Questionnaire-4) consists of 2 items from PHQ-9 (13) on symptoms of depression and 2 items from the Generalized Anxiety Disorder Screener (GAD-7) (14) on symptoms of generalized anxiety disorder. Participants are required to assess whether these symptoms have occurred in the past 2 weeks using a 4-point answer scale. PHQ-4 was found to have good reliability and validity in a German validation study (13). In the sample used in this study, the subscales of PHQ-4 show sufficient reliability in relation to the shortness of the subscales (depression scale: Cronbach's alpha = 0.79; anxiety scale: Cronbach's alpha = 0.79; anxiety scale: Cronbach's alpha = 0.78). To estimate the prevalence of depression and anxiety, the validated cut-off point ≥3 was used for both subscales (14).

#### eTABLE 1

Prevalences of individual adverse childhood experiences (ACEs) and 95% confidence intervals for odds ratios and relative odds ratios adjusted for co-occurrence of various adverse childhood experiences, for depression, anxiety, physical aggression, life satisfaction, and monthly income in a representative German sample (n = 2531)

	Total sample	Depression*1	Anxiety* <sup>2</sup>	Physical aggression in the past 12 months	Low life satisfaction* <sup>3</sup>	Equivalent income less than €1500 per month
Type of ACE	n (%) 95% CI	OR 95% CI OR OR <sub>adj</sub> 95% CI OR <sub>adj</sub>	OR 95% CI OR OR <sub>adj</sub> 95% CI OR <sub>adj</sub> OR <sub>adj</sub> 95% CI OR <sub>adj</sub> R <sub>adj</sub> 95-%-KI OR <sub>adj</sub>	OR 95% CI OR OR <sub>adj</sub> 95% CI OR <sub>adj</sub>	OR 95% CI OR OR <sub>adj</sub> 95% CI OR <sub>adj</sub>	OR 95% CI OR OR <sub>adj</sub> 95% CI OR <sub>adj</sub>
ACE 1: emotional abuse (n = 2521)	311 (12.5) [11.2; 13.9]	<b>3.96</b> [2.97; 5.28] <b>1.84</b> [1.16; 2.90]	<b>4.28</b> [3.18; 5.75] <b>2.28</b> [1.43; 3.65]	<b>2.3</b> [3.76; 9.85] 2.33 [1.01; 5.39]	3.38 [2.61; 4.37] 1.71 [1.14; 2.57]	1.68 [1.32; 2.15] 0.76 [0.51; 1.12]
ACE 2: physical abuse ( <i>n</i> = 2517)	230 (9.1) [7.9; 10.3]	<b>3.74</b> [2.72; 5.15] 1.19 [0.71; 1.99]	3.95 [2.85; 5.48] 1.14 [0.67; 1.94]	<b>7.11</b> [4.30; 11.58] 2.05 [0.84; 4.98]	3.67 [2.75; 4.90] 1.28 [0.81; 2.04]	<b>2.25</b> [1.71; 2.96] <b>1.62</b> [1.04; 2.51]
ACE 3: sexual abuse ( <i>n</i> = 2518)	109 (4.3) [3.5; 5.1]	3.94 [2.57; 6.04] 1.8 [1.10; 2.93]	3.03 [1.91; 4.81] 1.31 [0.77; 2.21]	3.35 [1.62; 6.92] 1.04 [0.46; 2.35]	<b>2.46</b> [1.62; 3.73] 1.19 [0.74; 1.89]	2.16 [1.47; 3.18] 1.41 [0.92; 2.15]
ACE 4: emotional neglect (n = 2514)	338 (13.4) [12.1; 14.8]	3.83 [2.90; 5.08] 1.92 [1.33; 2.78]	3.97 [2.96; 5.32] 2.01 [1.37; 2.96]	<b>2.97</b> [1.77; 4.97] 0.60 [0.29; 1.25]	2.82 [2.18; 3.64] 1.36 [0.97; 1.90]	1.78 [1.41; 2.26] 1.20 [0.89; 1.63]
ACE 5: physical neglect (n = 2515)	109 (4.3) [3.5; 5.1]	<b>3.04</b> [1.95; 4.73] 0.76 [0.43; 1.33]	3.03 [1.91; 4.81] 0.70 [0.39; 1.27]	<b>4.88</b> [2.54; 9.38] 1.11 [0.47; 2.58]	<b>3.70</b> [2.48; 5.53] 1.38 [0.85; 2.25]	2.35 [1.60; 3.46] 1.38 [0.87; 2.18]
ACE 6: parental divorce/separation (n = 2520)	488 (19.4) [17.7; 20.9]	<b>2.04</b> [1.55; 2.68] 1.21 [0.89; 1.66]	<b>2.21</b> [1.66; 2.94] 1.34 [0.97; 1.86]	<b>2.93</b> [1.80; 4.74] 1.50 [0.86; 2.63]	<b>1.61</b> [1.26; 2.04] 1.01 [0.77; 1.33]	<b>1.30</b> [1.05; 1.61] 1.00 [0.80; 1.27]
ACE 7: witnessed domestic violence (n = 2518)	248 (9.8) [8.6; 11.1]	<b>2.05</b> [1.46; 2.89] 0.98 [0.64; 1.48]	<b>2.01</b> [1.40; 2.88] 0.97 [0.62; 1.51]	<b>4.63</b> [2.77; 7.74] 1.87 [0.98; 3.52]	<b>2.29</b> [1.70; 3.07] 1.26 [0.89; 1.79]	<b>1.74</b> [1.33; 2.28] 1.17 [0.86; 1.60]
ACE 8: alcohol and drug abuse in the household ( <i>n</i> = 2526)	421 (16.7) [15.1; 18.3]	<b>2.70</b> [2.05; 3.56] 1.32 [0.94; 1.85]	<b>2.04</b> [1.51; 2.75] 0.81 [0.55; 1.19]	<b>4.24</b> [2.63; 6.84] 1.76 [0.96; 3.23]	<b>2.24</b> [1.76; 2.86] 1.24 [0.92; 1.67]	2.07 [1.66; 2.57] 1.63 [1.26; 2.09]
ACE 9: mental illness in the household (n = 2513)	267 (10.6) [9.4; 11.9]	3.80 [2.81; 5.14] 2.22 [1.56; 3.14]	3.57 [2.60; 4.91] 2.26 [1.56; 3.28]	<b>3.17</b> [1.85; 5.43] 1.27 [0.68; 2.40]	<b>2.66</b> [2.01; 3.53] <b>1.61</b> [1.17; 2.24]	1.83 [1.41; 2.38] 1.32 [0.98; 1.77]
ACE 10: incarcerated family member (n = 2519)	88 (3.5) [2.8; 4.3]	2.42 [1.44; 4.05] 0.82 [0.45; 1.51]	3.21 [1.95; 5.30] 1.41 [0.78; 2.55]	6.34 [3.27; 12.28] 1.43 [0.63; 3.23]	2.85 [1.82; 4.47] 1.08 [0.63; 1.83]	2.03 [1.32; 3.11] 1.00 [0.61; 1.64]

<sup>%:</sup> Valid percentage; 95% CI: 95 % confidence interval; OR: Odds ratio; OR<sub>adj</sub>: Odds ratio adjusted for other ACEs \*¹Evidence of depression = PHQ-4 (Patient Health Questionnaire-4) cut-off point ≥3

<sup>\*&</sup>lt;sup>2</sup>Evidence of anxiety = PHQ-4 cut-off point ≥3
\*<sup>3</sup>Low life satisfaction = 1 standard deviation below mean

Odds ratios whose 95% confidence interval does not include 1 are shown in bold.

#### eTABLE 2

Prevalences of combined adverse childhood experiences (ACEs) and latent classes of co-occurring ACEs and 95% confidence intervals for odds ratios for depression, anxiety, physical aggression, life satisfaction, and equivalent monthly income in a representative German sample (n = 2531)

	Total sample	Depression* <sup>1</sup>	Anxiety* <sup>2</sup>	Physical aggression in the past 12 months	Low life satisfaction* <sup>3</sup>	Equivalent income less than €1500 per month
		OR 95% CI OR	OR 95% CI OR	OR 95% CI OR	OR 95% CI OR	OR 95% CI OR
<b>No. of ACEs</b> ( <i>n</i> = 2511)						
0	1426 (56.3) [54.4; 58.3]	1	1	1	1	1
1	525 (20.7) [19.1; 22.2]	<b>1.95</b> [1.37; 2.78]	<b>1.73</b> [1.19; 2.52]	1.73 [0.84; 3.59]	<b>1.40</b> [1.05; 1.86]	1.23 [0.99; 1.55]
2	218 (8.6) [7.5; 9.8]	<b>3.14</b> [2.05; 4.80]	<b>2.96</b> [1.90; 4.49]	<b>2.46</b> [1.02; 5.93]	<b>2.94</b> [2.09; 4.11]	<b>1.72</b> [1.27; 2.33]
3	136 (5.4) [4.5; 6.2]	<b>6.95</b> [4.51; 10.70]	<b>4.66</b> [2.91; 7.45]	<b>3.41</b> [1.34; 8.69]	<b>2.85</b> [1.89; 4.29]	<b>1.63</b> [1.12; 2.37]
≥4	226 (8.9) [7.9; 10.2]	<b>7.79</b> [5.45; 11.13]	<b>7.09</b> [4.92; 10.23]	<b>10.45</b> [5.73; 19.07]	<b>5.14</b> [3.76; 7.02]	<b>2.78</b> [2.08; 3.70]
Patterns of various adverse childhood e	xperiences (n = 25	29)				
Group 1: no/minimal adverse childhood experiences	1949 (77.1)	1	1	1	1	1
Group 2: problems in the parental home	271 (10.7)	<b>3.76</b> [2.70; 5.23]	<b>2.85</b> [1.98; 4.10]	<b>2.46</b> [1.19; 5.09]	<b>2.52</b> [1.87; 3.39]	<b>1.84</b> [1.41; 2.40]
Group 3: child maltreatment	188 (7.4)	<b>4.02</b> [2.76; 5.86]	<b>4.01</b> [2.73; 5.91]	<b>3.97</b> [1.96; 8.06]	<b>3.52</b> [2.53; 4.89]	<b>1.43</b> [1.04; 1.97]
Group 4: multiple adverse childhood experiences	121 (4.8)	<b>5.34</b> [3.49; 8.17]	<b>5.68</b> [3.69; 8.74]	<b>13.42</b> [7.42; 24.28]	<b>5.04</b> [3.43; 7.41]	<b>3.26</b> [2.25; 4.73]

<sup>95%</sup> CI: 95 % confidence interval; OR: Odds ratio (versus no ACEs or group 1, no/minimal adverse childhood experiences)
\*¹Evidence of depression = PHQ-4 (Patient Health Questionnaire-4) cut-off point ≥3
\*²Evidence of anxiety = PHQ-4 cut-off point ≥3

<sup>\*3</sup>Low life satisfaction = 1 standard deviation below mean
Odds ratios whose 95% confidence interval does not include 1 are shown in bold.

### eTABLE 3 Fit indices for latent class analyses with 1, 2, 3, 4, 5, and 6 groups (n = 2529)

	•		•	•			
Model	Log likelihood	AIC*1	BIC*1	SSaBIC*1	Entropy*2	BLRT*3	groups: n (%)
1 group	-8030.333	16 080.666	16 139.022	16 107.249	-	-	1: n = 2529 (100)
2 groups	-6764.784	13 571.567	13 694.114	13 627.392	0.904	-8030.333* <sup>4</sup>	1: <i>n</i> = 391 (15.5) 2: <i>n</i> = 2138 (84.5)
3 groups	-6653.215	13 370.430	13 557.169	13 455.496	0.801	-6764.784* <sup>4</sup>	1: n = 1959 (77.5) 2: n = 246 (9.7) 3: n = 324 (12.8)
4 groups	-6566.711	13 219.421	13 470.351	13 333.729	0.840	-6653.215* <sup>4</sup>	1: n = 1949 (77.1) 2: n = 271 (10.7) 3: n = 188 (7.4) 4: n = 121 (4.8)
5 groups	-6548.141	13 204.282	13 519.404	13 347.831	0.843	-6566.711* <sup>3</sup>	1: n = 110 (4.4) 2: n = 240 (9.5) 3: n = 157 (6.2) 4: n = 76 (3) 5: n = 1964 (76.9)
6 groups	-6536.087	13 202.173	13 581.486	1374.964	0.851	-6548.770	1: n = 24 (1) 2: n = 88 (3.5) 3: n = 214 (8.5) 4: n = 1946 (77.0) 5: n = 148 (5.9) 6: n = 109 (4.3)

AIC: Akaike Information Criteria; BIC: Bayesian Information Criteria; BLRT: Bootstrap Likelihood Ratio Test

\*¹Lower AIC, BIC, and sample-adjusted BIC (SSaBIC) indicate better fit of the model

\*²Entropy should be lower than 0,7; values close to 1 indicate better fit of the model

\*³p < 0.05

\*⁴p < 0.001

The model with the best fit is shown in bold.

#### eTABLE 4

Differences between the sample and the general German population, based on data from the German Federal Statistical Office (Statistisches Bundesamt)

	Sample (%)	General population (%)
Sex		
Female	55.4	51.2
Male	44.6	48.8
Marital status		
Married	44.7	43.4
Single	32.4	41.4
Divorced	13.5	7.5
Widowed	9.0	6.9
German citizenship	96.0	88.5
Highest level of school education		
School student	2.6	3.6
No school graduation	2.2	4.0
Volks- or Hauptschulabschluss (year 9 lower secondary school certificate	28.8	30.4
Mittlere Reife (year 10 lower secondary school certificate)	33.3	23.1
Graduated from PHS*	7.9	6.6
Entrance qualification for technical college/university	21.3	31.9
Religious affiliation		
Protestant	36.9	26.6
Catholic	29.6	28.6

<sup>\*</sup>PHS: Polytechnical high school

#### **eMETHODS**

arget individuals were selected by selecting target households between November 2017 and February 2018, using a random-route procedure. This is a procedure for random sample selection. Using a given starting point, every third household is listed, and these are then contacted and asked to take part. Within the households, target individuals were selected at random using the Kish grid. This selects members of multiperson households to be surveyed at random. A total of 5160 households were found. There were 2531 evaluable datasets after removal of households that could not be included (household impossible to contact despite 4 visits [14.4%], household refused to participate [16.5%], target individual refused to participate [15.8%]). This study was part of a larger piece of research involving further questionnaires. First, sociodemographic information was recorded in interviews by trained interviewers. Most information was provided by the participants alone in self-completed questionnaires. Checks on the interviewers were performed in writing using postage-paid postcards. All answers received confirmed that interviewers worked appropriately.

#### Adjusted odds ratios

Because it was suspected that there would be strong correlations between individual adverse childhood experiences, a check for multicollinearity was performed before adjusted odds ratios were calculated using logistic regression. The check found that the highest correlations were between physical abuse and emotional abuse (r=0.71) and between emotional abuse and emotional neglect (r=0.50). All other correlations were weak. As no correlation coefficient above 0.8 was found, there is no risk of multicollinearity. Variance inflation factors (VIFs) are also well below the cutoff point of 5 (highest value: 2.24). Furthermore, the variable inclusion method was used for the model, i.e. all variables were included in the model simultaneously. All analyses were performed exploratively, so the p-level was not adjusted for multiple tests.

# Statistical analyses of prototypical patterns of adverse childhood experiences

The model parameters for models with various numbers of patterns, up to 6, were calculated to identify the number of prototypical patterns. A person-centered approach was used for the latent class analysis. Five indicators were referred to in order to determine the final number of prototypical patterns: Akaike Information Criteria (AIC), Bayesian Information Criteria (BIC), sample size–adjusted Bayesian Information Criteria (SSaBIC), and entropy. The Bootstrap Likelihood Ratio Test (BLRT) (9) was also used to determine the number of prototypical patterns. The model's classification probabilities were reported using best fit as an indicator of the reliability of the solution obtained. The classification probabilities are also given in the *Results* section.