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## Overcoming challenges in treating autoimmunity: Development of tolerogenic immune-modifying nanoparticles

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### Abstract

Autoimmune diseases, such as celiac disease, multiple sclerosis, and type 1 diabetes, are leading causes of morbidity and mortality in the United States. In these disease states, immune regulatory mechanisms fail that result in T and B cell-mediated destruction of self-tissues. The known role of T cells in mediating autoimmune diseases has led to the emergence of numerous therapies aimed at inactivating T cells, however successful ‘tolerance-inducing’ strategies have not yet emerged for approved standard-of-care clinical use. In this review, we describe relevant examples of antigen-specific tolerance approaches that have been applied in clinical trials for human diseases. Furthermore, we describe the evolution of biomaterial approaches from cell-based therapies to induce immune tolerance with a focus on the Tolerogenic Immune-Modifying nanoParticle (TIMP) platform. The TIMP platform can be designed to treat various autoimmune conditions and is currently in clinical trials testing its ability to reverse celiac disease.

### Keywords

Nanoparticle; Immune tolerance; Allergy; Autoimmune disease; Drug delivery; Clinical trial

An important goal of current research is to develop new therapies for autoimmune diseases that specifically inhibit and/or tolerize antigen-specific (Ag-specific) CD4<sup>+</sup> and CD8<sup>+</sup> T cells. The requirement of naïve T cells to receive two signals to become activated was first proposed by Lafferty and Cunningham.<sup>1</sup> Subsequently, this model has been revised to incorporate a third signal for T cell activation where modulation of these signals has become

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the basis for many potential immune modulatory biologic therapies currently under development.<sup>2</sup> Ligation of the T cell receptor (TCR) by its cognate peptide-loaded major histocompatibility (MHC) complex expressed on the surface of an Ag presenting cell (APC), serves as signal one for naïve CD4<sup>+</sup> T cells. The second set of signals that a CD4<sup>+</sup> T cell receives is the co-signaling molecules (i.e., B7-1 (CD80) and B7-2 (CD86)) which interact with the co-receptor CD28 that is constitutively expressed on the surface of CD4<sup>+</sup> T cells.<sup>3,4</sup> The third signal is provided by cytokines that act on APCs or T cells. Importantly, it should be noted that the modulation of these signals using either small molecules or biologics ultimately results in systemic and non-specific immunosuppression that carries significant risks to patients that are already immunocompromised. Therefore, the development of technologies that act to modulate T and B cell responses in an Ag-specific manner is highly desirable.

The complexity of autoimmune disease pathogenesis necessitates the appropriate choice of model to evaluate novel approaches to induce tolerance. During the various stages of autoimmune disease, the number and specificity of Ag-specific CD4<sup>+</sup> T cells expand via a process known as epitope spreading and T cell proliferation.<sup>5,6</sup> Research has focused on characterizing the molecular and cellular basis of epitope spreading in various autoimmune-mediated diseases and disease in the hope of uncovering new Ag-specific treatments. This has been complicated in humans due to the difficulty in identifying and verifying the underlying pathological Ag(s) responsible for causing disease. Conversely, animal models have the advantage that the initiating Ag in many cases is known, genetically identical animals are used, and the immune response is analyzed over a specified time course. Clinical studies that have attempted to induce immune tolerance in humans have been done by high zone tolerance, which involves the administration of high concentrations of the autoantigen to patients. While this method is highly effective in rodents, high zone tolerance protocols have not successfully translated to humans. Instead, years of research have provided evidence that the delivery of Ag in the context of a carrier, either an apoptotic cell or by using a synthetic apoptotic surrogate, such as a nanoparticle, may yield improved results and be more clinically translatable. In this review, we describe several relevant clinical examples that have had mixed success for reversing autoimmune disease (celiac disease (CD) and multiple sclerosis (MS)), the evolution of cell-based Ag carriers to the development of Tolerogenic Immune-Modifying nanoParticles (TIMP) for tolerance induction, and the safety of administered nanoparticles. We believe that the development of the TIMP platform represents a significant step forward for the treatment of immune-mediated pathologies and that they have great promise due to their biomimetic properties.

## Mechanism for antigen-specific tolerance induction

Autoimmune diseases develop due to a breakdown of central tolerance mechanisms. In rodents, peripheral immune tolerance can be induced in vivo using a variety of technologies.<sup>7</sup> To induce tolerance, Ag must be delivered to the appropriate APC subsets and initiate a cascade of tolerogenic signaling pathways.<sup>7</sup> Specifically, the antigenic peptide needs to be processed and presented in the context of MHC II by antigen presenting cells (APCs) in the presence of low levels of co-stimulatory molecule expression, and in the absence of other activating stimuli (i.e. inflammation, infection, or other pathologies).<sup>8,9</sup> Ag-specific effector

CD4<sup>+</sup> T cells recognize peptide-MHC complexes on the surface of APCs through cognate T cell receptors (TCR). The context of this interaction between APCs and T cells is critical to drive effector T cell phenotypes towards an unreactive state (anergy or deletion) or induce differentiation of regulatory T cells (Tregs).<sup>10</sup> Through the noted mechanisms, aberrant CD4<sup>+</sup> T cell responses can be controlled in an Ag-specific manner to induce immune tolerance.

## **Celiac disease: a rational starting point to test immune tolerance therapies**

CD results from the breakdown of peripheral immune tolerance to gliadins, a component of gluten and the major glycoproteins present in wheat, oat, barely, and rye. The ideal treatment of CD would involve the restoration or induction of Ag-specific immune tolerance to gliadin-specific CD4<sup>+</sup> T cells. Correspondingly, a therapy that induces T cell tolerance would limit immune-mediated damage in the small bowel. To date there is no available therapy capable of inducing immune tolerance to gliadin, or any other Ag, in humans. Unlike other autoimmune conditions where the underlying Ags that are responsible for disease initiation and progression remain unknown or controversial, in CD, many of the immune dominant epitopes found within the gliadin proteins have been identified.<sup>11,12</sup> Furthermore, the accessibility to raw antigen-containing materials and the ability to isolate and manufacture gliadin proteins using good manufacturing practices (GMP) support the development of a tolerance-inducing therapeutic for human use. One other unique aspect of CD is the ability to control exposure to the underlying disease-causing proteins, which is not possible in other autoimmune conditions such as MS and Type 1 Diabetes (T1D). Therefore, an Ag-specific treatment capable of inactivating gliadin-specific CD4<sup>+</sup> T cells will not only address a significant unmet medical need, but also transform the future of therapies for multiple autoimmune diseases.

Our team, in collaboration with Northwestern University, University of Sydney, and University of Michigan, has developed a novel approach to induce immune tolerance using nanoparticles that encapsulate gliadin (TIMP-GLIA) for the treatment of CD. This therapy has resulted from the culmination of multiple decades of research into immune tolerance, CD, and polymer chemistry to address this specific need.

## **Clinical experience in administering autoantigen in humans**

Clinical trials have been carried out in autoimmune diseases including CD, MS, rheumatoid arthritis (RA), and T1D employing various Ag-specific strategies that were based on promising results obtained from early rodent studies (Table 1). Most critically, in the context of the TIMP platform, none of the strategies tested to date have specifically delivered disease-relevant Ag in a coordinated and targeted fashion to tolerogenic APC populations in the liver and spleen. Additionally, lessons learned from previous Ag-specific approaches support the ability to safely administer antigenic proteins and peptides to humans that are known to have activated CD4<sup>+</sup> T cell-specific responses.

In CD, oral exposure to gluten has been used to examine disease pathogenesis and for diagnostic purposes for over two decades (experience from oral gluten challenge is well

documented and reviewed by<sup>13</sup>). These studies have been useful in delineating the temporal and kinetic profile of symptoms, intestinal pathology and peripheral CD4<sup>+</sup> T cell responses.<sup>12,14,15</sup> After several gluten challenge studies, Anderson and colleagues defined the primary immunodominant CD4<sup>+</sup> T cell epitopes associated with CD. Using this information, Nexvax2 was developed. Nexvax2 is an adjuvant-free mixture of DQ2.5-glia- $\alpha$ 1,- $\alpha$ 2, DQ2.5-glia- $\omega$ 1, and  $\omega$ 2 DQ2.5-hor3 immunodominant epitopes for gluten-specific CD4<sup>+</sup> T cells. Intradermal administration of Nexvax2 to CD patients was tested to render gluten-specific CD4<sup>+</sup> T cells unresponsive to further antigenic stimulation. To test this hypothesis, two Phase 1 clinical trials were conducted.<sup>16</sup> In these studies, patients that responded to the immunodominant CD4<sup>+</sup> T cell epitopes were enrolled into one of two Phase 1 studies. In one ascending dose study, patients received 3 doses of 60  $\mu$ g, 90  $\mu$ g, or 150  $\mu$ g of Nexvax2 over 15 days (total patient exposure was 180-450  $\mu$ g). In the second study, patients received either 150  $\mu$ g or 300  $\mu$ g of Nexvax2 twice weekly for 53 days (total patient exposure was 2400-7200  $\mu$ g).<sup>16</sup>

In these studies, the maximum tolerated dose (MTD) was determined as 150  $\mu$ g due to the development of transient CD like symptoms including, acute gastrointestinal adverse events with onset 2-5 h after initial doses of the vaccine.<sup>16</sup> In a three dose escalation study, 55% of placebo recipients had at least one drug associated adverse event, as did all the placebo recipients in the biopsy study. In the treatment arms 56%, 78%, and 63% of patients receiving 60  $\mu$ g, 90  $\mu$ g, and 150  $\mu$ g respectively, developed Nexvax2-associated adverse events. In a follow up study, examining ascending doses in a 16-dose regimen, 71% of placebo recipients, 75% of the 150  $\mu$ g Nexvax2 cohort, and 100% of patients that received 300  $\mu$ g Nexvax2 had at least one treatment-emergent adverse event.<sup>16</sup> The most common effects, affecting greater than 5% of patients were vomiting, nausea, and headache.<sup>16</sup> Interestingly, Nexvax2 did not alter the median villous height to crypt depth ratio in distal duodenal biopsies when compared to the placebo group.<sup>16</sup> In conclusion, the intradermal injection of pure CD4<sup>+</sup> T cell epitopes in CD patients was associated with acute gastrointestinal symptoms, there were no noted sequelae into more severe life-threatening conditions, and treatment was not associated with pathologic change on duodenal biopsy. In other words, the treatment was argued to be relatively safe, and larger Phase 2 studies are currently being planned by the developing company, ImmusanT.<sup>16</sup>

The administration of immunodominant peptides as well as Altered Peptide Ligands (APLs), has also been shown to be safe in MS patients (Table 1). The induction of tolerance to myelin basic protein (MBP) peptide was examined in Phase 1 and 2 clinical trials in patients with chronic-progressive MS using an immunodominant peptide for MBP-specific CD4<sup>+</sup> T cells and B cells. In this study, 500 mg of MBP<sub>85-96</sub> was infused every 6 months and the induction of tolerance was monitored by quantification of MBP-specific autoantibodies in cerebrospinal fluid (CSF). While this study showed that intravenous treatment with MBP<sub>85-96</sub> peptide decreased the level of autoantibodies present in the CSF for 3-4 months post-treatment and a correlation between the decreased levels of MBP<sub>85-96</sub>-specific autoantibodies and a decrease in disease severity, the direct indication of tolerance was not shown. Subsequently, the study and the drug development program were terminated.<sup>17</sup>

To date, the clinical efficacy of Ag/peptide-specific immunotherapies for the treatment of pre-existing autoimmune disease has not recapitulated the promising results often observed in rodent studies. Multiple factors need to be considered when moving from animal models to humans including, but not limited to: the route of Ag administration, the dosage and frequency Ag administration, development of robust immunological assays to measure outcomes and monitor follow-up, and the time of administration (prevention versus intervention). It is proposed that the primary underlying causes for the failures of these Ag-specific treatments have been the inability to safely deliver disease-associated proteins to the appropriate subsets of cells in the body (i.e. tolerogenic APCs in the spleen and liver) in a fashion that would restore peripheral immune tolerance.

## Evolution of tolerance therapies from cell-based to synthetic antigen carriers

The development of Ag-specific immune tolerance strategies has been studied for nearly four decades and the experimental findings have been published extensively. A critical discovery was the recognition that intravenous delivery of Ag in the context of an apoptotic-non-inflammatory milieu was a highly efficient method for inducing immune tolerance to the Ag. The finding was first observed by the Miller lab using Ag-coupled splenocytes (Ag-SP).<sup>18</sup> Mechanistic studies subsequently showed that the process used to covalently cross-link Ag to splenocytes results in cellular apoptosis.<sup>8,19</sup> Intravenous infusion of Ag-SP resulted in their distribution to the marginal zone of the spleen where macrophages phagocytose and process these cells and the conjugated Ag.<sup>19</sup> Subsequently, the macrophages represent the Ag coupled to the apoptotic cells on MHC I and II molecules, as well as upregulate negative co-stimulatory molecules (i.e. programmed death-ligand 1 (PD-L1)).<sup>19</sup> Together, this culminates in the induction of Ag-specific immune tolerance. From 2008 to 2013, this approach was tested in humans suffering MS, where autologous peripheral blood leukocytes (PBLs) were chemically linked to a cocktail of seven myelin T cell epitopes and the autologous PBLs were then reinfused to the patients. This process was shown to be safe and effective in reducing T cell responses of patients to four of the seven myelin epitopes three months post treatment.<sup>20</sup> However, the manufacturing process was found to be laborious and extremely expensive. During this time, it was discovered that 500 nm, highly negatively-charged nanoparticles could be substituted for apoptotic cells to deliver Ag in a tolerogenic fashion.<sup>21</sup> Over the last decade, subsequent modification and optimization of the platform have been performed, resulting in the final TIMP platform (Figure 1).

### Apoptotic Cells and the induction of immune tolerance

**Murine studies showing antigen-coupled cell immune tolerance induction**—As noted above, the first iteration of the TIMP technology utilized cells to deliver Ag in a tolerogenic manner. In these studies, 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (ECDI) was used to conjugate Ag to the syngeneic donor leukocytes. As noted above, the use of the chemical crosslinker ECDI led to the induction of apoptosis in the donor cell population (splenocytes). The non-specific cross-linking of Ag to the cell surface while inducing apoptosis resulted in recognition by the recipient immune system via a non-

inflammatory (non-immunogenic) pathway. When infused, Ag-SP are cleared by marginal zone macrophages, in a similar fashion to dead/dying red and white blood cells.<sup>19,22</sup> Using this strategy, our team has demonstrated the efficacy of Ag-SP in numerous models of immune disease (Table 2), which included Experimental Autoimmune Encephalomyelitis (EAE), a murine model of MS,<sup>9,19</sup> T1D,<sup>6</sup> and allogeneic islet cell transplantation.<sup>23</sup>

### **Translation of apoptotic cells combined with antigen into human MS patients**

—To translate the animal studies using apoptotic Ag coupled cells, a Phase 1 clinical trial () in MS patients was conducted.<sup>20</sup> The aim of this first-in-man trial was to assess the feasibility, safety, and tolerability of a tolerization regimen in MS patients that uses a single infusion of autologous PBLs chemically coupled with seven myelin peptides derived from myelin oligodendrocyte glycoprotein (MOG), myelin basic protein (MBP), and proteolipid protein (PLP) (i.e. MOG<sub>1-20</sub>, MOG<sub>35-55</sub>, MBP<sub>13-32</sub>, MBP<sub>83-99</sub>, MBP<sub>111-129</sub>, MBP<sub>146-170</sub>, and PLP<sub>139-154</sub>).<sup>20</sup> An open-label, single-center, dose-escalation study was performed in seven relapsing-remitting and two secondary progressive MS patients that were off-treatment for standard therapies. All patients had to show T cell reactivity against at least one of the myelin peptides used in the trial. Neurological, magnetic resonance imaging, laboratory, and immunological examinations were performed to assess the safety, tolerability, and in vivo mechanisms of action of this regimen.<sup>20</sup> The data generated from the aforementioned Phase 1 study show that the administration of Ag-coupled cells was feasible, had a favorable safety profile, and was well tolerated in MS patients. Additionally, the highest dose of cells used in this study ( $3 \times 10^9$ ) delivered an estimated 2.6 mg of total peptides and led to post-treatment diminution of T cell responses to four of seven myelin epitopes without affecting the T cell response to tetanus toxoid.<sup>20</sup>

### **Evolution to synthetic biodegradable nanoparticles for tolerance induction—**

The translational challenges associated with the cell-based approach and findings that polymeric biodegradable nanoparticles could be substituted for apoptotic cells motivated the development of the TIMP platform. TIMPs are highly-negatively charged, Ag-associated PLGA nanoparticles that are administered by intravenous injection, which target MARCO<sup>+</sup> macrophages in the liver and spleen.<sup>24</sup> TIMPs have been applied to models of autoimmunity, allergy, and allogeneic cell transplantation (Table 3). Relevant studies highlighting the efficacy of the TIMP platform are described below. Furthermore, readers are directed towards several review articles, which describe the development of TIMPs from Ag-coupled splenocytes.<sup>7,10,24–26</sup>

Originally, intravenous injection of 500 nm ‘non-biodegradable’ carboxylated polystyrene (PS) particles coupled with peptides (Ag-PS) was shown to prevent the onset of disease in the mouse model of multiple sclerosis (EAE).<sup>21</sup> Interestingly, these studies using polystyrene (PS) nanoparticles identified that particles with diameters ranging from 500 to 1000 nm were most effective, and tolerance was dependent on the presence of scavenger receptors, including the macrophage receptor with collagenous structures (MARCO).<sup>27,28</sup> MARCO has been shown to be responsible for uptake of PS beads that have anionic surfaces.<sup>29</sup>

Given these promising results using non-biodegradable Ag-PS particles, nanoparticles developed from poly(lactide-co-glycolide) (PLGA) were tested. The high negative charge was provided to the PLGA nanoparticles by using poly(ethylene-alt-maleic anhydride) (PEMA) as the stabilizing surfactant during the emulsification procedure for TIMP formation.<sup>30</sup> Myelin Ags were coupled to the carboxylated surface of PLGA nanoparticles and were shown to induce Ag-specific tolerance in EAE.<sup>21,31</sup> Administration of Ag-coupled PLGA nanoparticles resulted in significantly reduced CNS infiltration of encephalitogenic Th1 (IFN- $\gamma$ ) and Th17 (IL-17a, GM-CSF) cells as well as inflammatory monocytes/macrophages. Tolerance was most effectively induced by intravenous infusion, with intraperitoneal and subcutaneous delivery having significantly reduced efficacy.<sup>31</sup> The intravenous route has greater efficacy due to the direct delivery of Ag to the tolerogenic APC populations in the spleen and liver.<sup>19</sup> It was later described that nanoparticle administration to APCs promoted an anti-inflammatory response, which resulted in downregulation of co-stimulatory molecule expression (CD80, CD86, and CD40) and increased levels of expression of the co-inhibitory molecule PD-L1.<sup>32</sup> For a more detailed description of the proposed mechanism of action for TIMPs, please see Ref [24].

The previous examples demonstrated that tolerance could be induced by particles with surface-coupled Ag. However, the covalent modification of the particle surface with Ag has potential to induce adverse reactions upon injection such as anaphylaxis due to immune recognition of the Ag on the nanoparticle surface as well as issues with impacting physico-chemical properties of particles such as their size, charge and solution stability.<sup>7,31,33,34</sup> Therefore, encapsulation of Ag within particles represents a more advantageous method to deliver Ag in vivo (Table 3). Additionally, we have recently demonstrated that other bioactive molecules such as transforming growth factor beta-1 could be conjugated to the surface of PLGA particles, while maintaining its bioactivity.<sup>35</sup> McCarthy et al recently described the encapsulation of peptide Ag (OVA<sub>323-339</sub>, PLP<sub>139-151</sub>, and PLP<sub>178-191</sub>) into PLGA particles (TIMP) to treat EAE.<sup>36</sup> In these studies, a double emulsion process was used to generate TIMPs with encapsulated myelin Ag. Treatment significantly abrogated EAE induction in vivo using prophylactic and therapeutic disease models, while inhibiting Th1/17 antigen recall responses (proliferation, IFN- $\gamma$ , and IL-17a) in vitro.

TIMPs have also been applied for use in allogeneic cell transplantation. The induction of donor-specific tolerance to transplanted cells and organs remains of utmost importance to mitigate allograft rejection. Donor-specific tolerance was induced using TIMPs coupled with donor cell lysates that resulted in long-term acceptance of full MHC-mismatched allografts using an islet transplant model (BALB/c to C57BL/6). Delivery of PLGA particles with surface-coupled donor Ags to transplanted C57BL/6 mice led to tolerance in 20% of recipients. In combination with a short course of low-dose rapamycin at the time of transplant, tolerance was greatly improved to 60%.<sup>37</sup> In another study, PLGA particles were used to induce tolerance in a minor histocompatibility Ag sex-mismatched C57BL/6 model of bone marrow transplantation. Peptide Ags Dby and Uty are respective CD4<sup>+</sup> and CD8<sup>+</sup> T cell Ags that mediate male bone marrow transplant rejection in females. Interestingly, delivery of Dby peptide either by conjugation to the surface or encapsulation promoted transplant tolerance. However, delivery of Uty peptide using particles did not induce tolerance. In this model, depletion of Tregs using anti-CD25 antibody did not alter tolerance

induction, suggesting other potential tolerance induction mechanisms.<sup>38</sup> TIMPs have also been applied in the setting of allergy. TIMPs were loaded with ovalbumin (OVA) protein to tolerate against OVA in a Th2-mediated allergic airway inflammation model both pre- and post-sensitization.<sup>33</sup> In a prophylactic model, TIMPs reduced Th2 cytokine production (IL-4, IL-5, IL-13) and inhibited the generation of anti-OVA IgE antibodies. In a therapeutic disease model (i.e. pre-sensitization to OVA), TIMPs reduced Ag-specific T cell proliferation and reduced Th2 cytokine production.

Considering the results obtained from these studies, distinct physicochemical properties of PLGA TIMPs that are important for the induction of Ag-specific tolerance in a number of unrelated models of autoimmunity, allergy, and allogeneic cell transplantation were identified: (1) particle sizes (400 to 800 nm); (2) zeta potentials less than  $-30$  mV; and (3) antigen loading (approximately  $10$   $\mu\text{g}/\text{mg}$  polymer). Using these parameters, PLGA particles encapsulating gliadin (TIMP-GLIA) were then developed for application as a CD therapy and a Phase 1 clinical trial () began in 2018.

### Rationale for using PLGA nanoparticles for human studies

The notable success of the TIMP platform for inducing Ag-specific immune tolerance to a wide variety of peptide and protein Ags provided rationale to pursue the application of these particles in humans. PLGA is a biodegradable and biocompatible aliphatic polymer that is approved by the Food and Drug Administration for internal use in humans.<sup>39,40</sup> PLGA is a random copolymer comprised of lactic acid and glycolic acid subunits that is synthesized by ring opening polymerization of lactide and glycolide.<sup>39,40</sup> By varying the ratio of lactide and glycolide at the start of the polymerization, the degradation of the polymer can be controlled. The presence of the methyl group in lactic acid makes PLGA more hydrophobic than glycolic acid and this means that PLGA polymers that contain higher proportions of lactic acid to glycolic acid will degrade slower than polymers with higher glycolic acid contents.<sup>39,40</sup> The one exception is that a 50:50 lactide/glycolide ratio will degrade the fastest. The mechanism by which degradation proceeds is either through enzymatic or non-enzymatic pathways. The by-products (lactic and glycolic acids) that result from the degradation of PLGA are non-toxic and eliminated through metabolic pathways. Both molecules are endogenous and are readily metabolized by the body through the Krebs cycle into water and carbon dioxide. Therefore, minimal toxicity is usually observed for PLGA-based materials and it represents an ideal starting material to produce drug carriers for intravenous administration.

Toxicological studies and one human clinical trial have been carried out using nanoparticles that share some similarities to TIMPs with regard to polymer composition and size. The results obtained from these studies are discussed below and summarized in Table 4. In one study, poly(ethylene glycol)-poly(lactide-*co*-glycolide) (PEG-PLGA) microcapsules ( $1.5$   $\mu\text{m}$  in diameter) that encapsulated perfluorodecalin (PFD) were intravenously administered to Wistar rats at a dose of  $1247$   $\text{mg}/\text{kg}$  (i.e.,  $202$   $\text{mg}/\text{kg}$ , human equivalent dose; HED).<sup>41</sup> The purpose of this study was to evaluate PFD-filled PEG-PLGA microcapsules as artificial oxygen/drug carriers. Microcapsules were administered by syringe pump (30 min,  $20$   $\text{mL}/\text{kg}$  body weight  $\times$  hour). Notably, all rats survived the microcapsule administration, however at



this extremely high dose, marked toxicity was observed (increased liver enzyme activities, increased pro-inflammatory cytokines and complement factors, and mild metabolic acidosis). Immediately after the start of dosing of the PFD-filled PEG-PLGA microcapsules, hypotension was observed but it was transient and returned to baseline after 70 min. Importantly, the infusion of similarly prepared microcapsules without PFD did not result in hypotension indicating that the change in mean arterial pressure was caused by the PFD and less likely due to the microcapsule itself. Infusion of microcapsules increased ALAT (3.6-fold), ASAT (6-fold), CK (5-fold), and LDH (24-fold). Histological analysis of the spleen, liver, and lung indicated some abnormal findings in these organs. The results obtained in this study are important as they have identified that microcapsules could be administered safely to rats when the dose is controlled for appropriately.

In another study, the safety profiles of Methoxy-PEG-PLA50 (Me.PEG-PLA50) and PLA50 nanoparticles (140 nm and 100 nm, respectively) after single dose intravenous administration to rat were evaluated.<sup>42</sup> The two types of nanoparticles were prepared from PLA50 and Me.PEG-PLA50 by emulsion/solvent evaporation using cholate as tensioactive agent. Me.PEG-PLA50 nanoparticles displayed a hydrophobic core of PLA and a hydrophilic surface of PEG, whereas PLA50 nanoparticles were hydrophobic and prepared from a single component. With PLA50/Cholate nanoparticles, no signs of toxicity were noted at 75 mg/kg. At higher doses, 220 and 440 mg/kg, marked toxicity (mortality and marked clinical signs) was observed with dose-related hematological changes (decrease of thrombocytes count, increase of Prothrombin Time and Activated Partial Thromboplastin Time) and biochemical changes (increase of aminotransferases activities). For the PLA50/Chol. suspension, doses of 220 and 440 mg/kg resulted in mortality in 2/5 rats and 5/5 rats, respectively. Furthermore, marked clinical signs (dyspnea, reduced motor activity) prior to death were observed Day 1 (3 to 4 h after treatment at 440 mg/kg) to Day 5 (220 mg/kg). A mild decrease in white blood cells was also observed for the three animals examined on Day 1 treated with PLA50/Chol. nanoparticles at 440 mg/kg. In the surviving animals, all changes were transient and disappeared by Day 9. No toxicity (no deaths and no clinical signs) was observed for both PLA50/Chol. and Me.PEG-PLA50/Chol. suspensions when administered at 75 mg/kg. The results obtained in this study demonstrate that delivery of PLA50 nanoparticle was safe and resulted in no measurable toxicity at doses up to 75 mg/kg in rats. Although distinct physicochemical differences exist between the nanoparticles utilized in this study, it can be concluded that when the appropriate dose levels are chosen nanoparticles can be delivered through the intravenous route of administration in a safe manner.

The tolerability of PEG-poly lactide (PEG-PLA) nanoparticles that encapsulated docetaxel (approximately 100 nm) was evaluated in rats.<sup>43</sup> The doses evaluated were 1000, 1500, and 2000 mg/kg by a single intravenous injection. Rats were monitored for clinical observations, changes in body weight, and blood chemistry for 6 days (study termination). Importantly, no abnormal clinical observations or appreciable body weight loss was observed at all doses and no changes in blood chemistry parameters were observed. These results indicated that the PEG-PLA nanoparticles were well tolerated and no apparent hypersensitivity or anaphylactic responses were observed, which have been observed with high dose administration of nanoparticles.

In the same study,<sup>43</sup> the docetaxel-encapsulated PEG-PLA nanoparticles were administered to cynomolgus monkeys by 30 min infusion at doses ranging from 5 to 50 mg/m<sup>2</sup> and humans with advanced solid tumors at doses ranging between 3.5 and 75 mg/m<sup>2</sup>. The objective of these studies was to evaluate the pharmacokinetic profile of the nanoparticles. It was determined that the nanoparticle formulation increased the plasma concentrations of docetaxel by at least an order of magnitude over 24 h.

The few studies available demonstrate that intravenous administration of polymer-based particles, including PLGA, can be performed safely and with minimal toxicological effects when the appropriate dosing regimen is chosen.

## Conclusion

Immune tolerance remains the holy grail for treating autoimmunity. Currently, tolerance in humans has been elusive. This is obviously due to the complexity and multifactorial nature of most autoimmune diseases. The relatively recent description of CD-associated T cell epitopes and the ability to control Ag exposure in patients may hold the key for testing novel immune tolerance inducing therapies.

It is clear from human experience that the administration of T cell epitopes without careful targeting or modification has had significant setbacks. One potential avenue to address this failure may include TIMPs. TIMPs have emerged following four decades of research and development in the field of immune tolerance that have progressed from cell-based therapies to the polymeric particle-based platform that is being used today in the clinic. The careful design of future in human studies will be necessary if tolerance therapies are to reach widespread implementation. For example, one of the most promising avenues for development is in the area of allergy, where the Ags of interest are well known. However, to be successful, the design of new TIMP platforms will need to be carefully designed to mitigate any potential risks of adverse reactions upon injection like those that have been seen when specific immunotherapies (SITs) have been used. In the context of CD, we believe that the unique combination of gliadin proteins with the TIMP platform will enable the precise delivery of Ags to tolerogenic APCs in the spleen and liver, subsequently resulting in regulation of gliadin-specific CD4<sup>+</sup> T cell responses. As additional data are generated for the TIMP platform through the current clinical trial, we will move one step closer towards the development of a curative therapy for autoimmune diseases.

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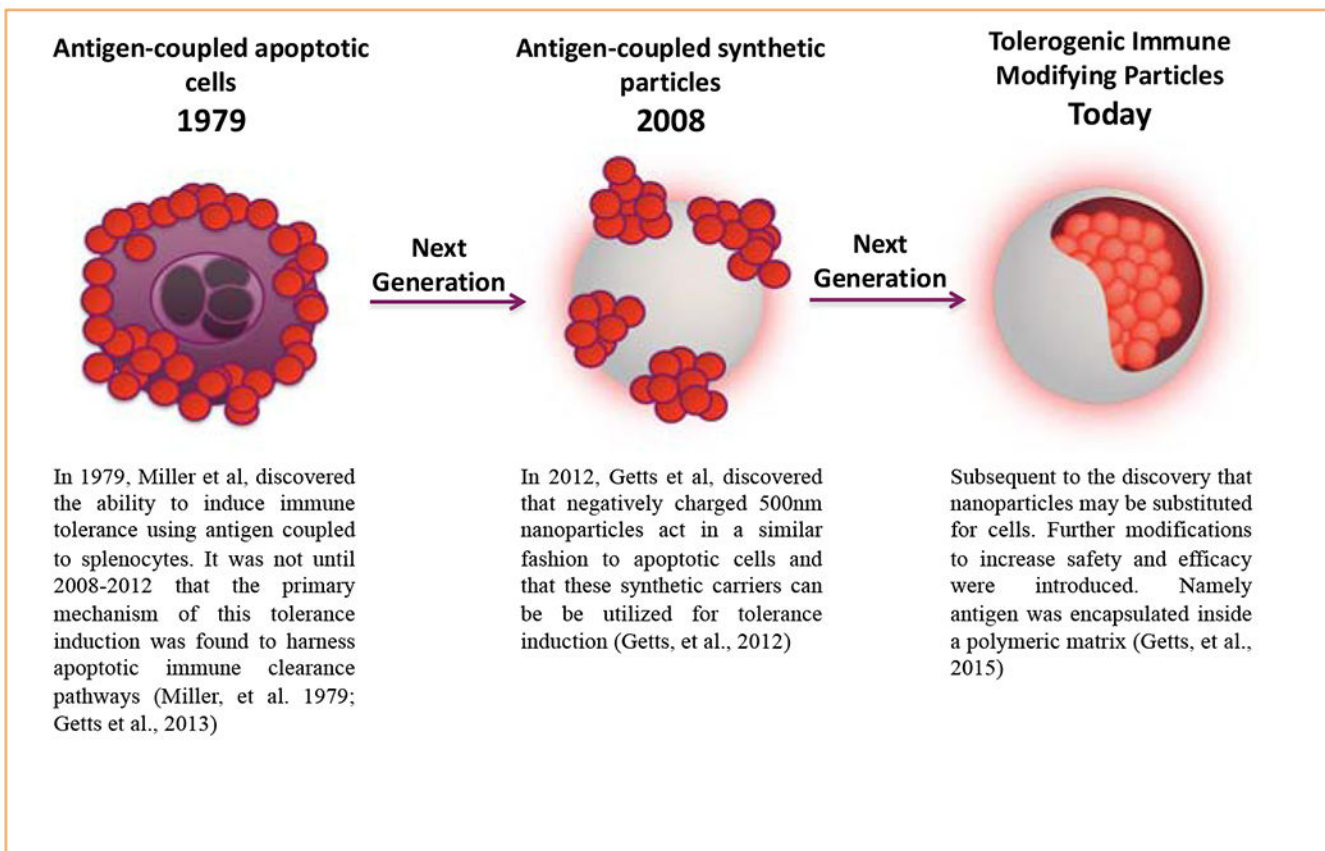
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**Figure 1.**  
The evolution of the TIMP platform from antigen-coupled apoptotic cells.

Table 1

Human antigen-specific tolerance-based clinical trials for autoimmune disease.

Citation	Disease	Antigen Specific Treatment	Results and Safety Observations
16	CD	Nexvax2 mixture of DQ2.5-glia- $\alpha$ 1, - $\alpha$ 2 DQ2.5-glia- $\omega$ 1, and $\omega$ 2 DQ2.5-hor3 immunodominant epitopes Ascending dose study testing 3 intradermal injections of 60 $\mu$ g, 90 $\mu$ g, or 150 $\mu$ g given over 15 days (total exposure max exposure 450 $\mu$ g)	No efficacy was determined. Biopsy showed no worsening of intestinal pathology in patients receiving Nexvax2. Most common side effects included vomiting, nausea, and headache and were the only treatment-emergent adverse events that occurred in at least 5% of participants. <i>Study showed that administration of immunodominant epitopes to celiac patients shown to be reactive to these epitopes was associated with self-limited acute gastrointestinal symptoms.</i>
16	CD	Nexvax 2 mixture of DQ2.5-glia- $\alpha$ 1, - $\alpha$ 2 DQ2.5-glia- $\omega$ 1, and $\omega$ 2 DQ2.5-hor3 immunodominant epitopes 16 intradermal injections given over 53 days of either 150 $\mu$ g, or 300 $\mu$ g (total 4800 $\mu$ g)	No efficacy was determined. Biopsy showed no worsening of intestinal pathology in patients receiving Nexvax2. Most common side effects included vomiting, nausea, and headache and were the only treatment-emergent adverse events that occurred in at least 5% of participants in either study. <i>Study showed that administration of immunodominant epitopes to celiac patients shown to be reactive to these epitopes was associated with self-limited acute gastrointestinal symptoms.</i>
44	MS	Myelin basic peptide altered peptide ligand 50 mg weekly subcutaneous injections up to 9 months (total exposure 1800 mg).	2 out of 8 patients showed increased brain lesions; 1 showed hypersensitivity; 3 others had non-specific side effects; 1 patient dose lowered to 5 mg and still developed increased MS lesions; Study suspended.
44	MS	Myelin basic peptide altered peptide ligand Randomized, double-blind clinical trial in 142 patients; 5 mg, 20 mg, or 50 mg weekly subcutaneous injections for 4 months and then decreased to 5 mg	No significant difference in relapse rate of treated and placebo groups but volume of new brain lesions was reduced in some of the patients that received 5 mg throughout; 9% developed hypersensitivity to the therapy and the trial was suspended.
45	MS	Glatiramer acetate injection (Trade name Copaxone) Randomized, double-blind tri; dose-comparison of 20 mg and 40 mg subcutaneous injection in relapsing-remitting MS	Higher dose was well tolerated and a decrease in relapse rate observed. Drug is approved for treating MS.
17	MS	Myelin Basic Peptide Intravenous Infusion Randomized, double-blind trial; Phase 2 dose-infusing 500 mg of MBP peptide	Drug was well tolerated but had questionable efficacy. <i>Showed intravenous infusion of 500 mg of immunodominant T cell epitope was safe in MS patients</i>
20	MS	Peptide coupled leukocytes (PBL) Intravenous administration of ECDI-fixed, autologous PBLs coupled with 7 immunodominant myelin peptides; a total estimated dose of 0.5 mg to 3.5 mg of total peptide This is further discussed in Section 3.1.2	Phase 1 clinical trial data show a good safety profile; patients were dosed with $1 \times 10^3$ up to $3 \times 10^9$ peptide-coupled PBLs. Patients receiving over $1 \times 10^9$ peptide-coupled PBLs showed a decrease in the level of peptide-specific CD4 <sup>+</sup> T cell responses in vitro. <i>Showed that the delivery of immunodominant epitopes attached to the surface of ECDI-fixed cells could be safely administered via intravenous infusion.</i>

CD = Celiac Disease; MS = Multiple Sclerosis.

Table 2

Experience of using antigen-coupled cell based tolerance in rodent models of disease.

Citation	Disease Model	Antigen-Specific Therapy	Treatment Regimen	Estimated Antigen per Dose	Results
18,22,46,47	EAE	Myelin peptide/proteins	$50 \times 10^6$ antigen-coupled splenocytes (coupled with 0.5 mg/mL peptide in the presence of ECDI).	24-55 µg/dose	Treatment prevented or reversed disease depending on when treatment was initiated.
6	T1D	Full length bovine insulin	NOD mice were injected i.v. with $5 \times 10^7$ insulin coupled splenocytes at numerous time points. (coupled with 0.5 mg/mL peptide in the presence of ECDI).	Not defined	Demonstration of disease prevention or remission, inhibition of pathogenic T cell proliferation, decreased cytokine production, and induction of anergy.
48	T1D	1040-p31 peptide	NOD.BDC2.5.Thy1.1 TCR Tg <sup>-/-</sup> cells transfer into NOD/scid mice; mice received $50 \times 10^6$ antigen-coupled splenocytes (coupled with 0.5 mg/mL peptide in the presence of ECDI).	Not defined	Demonstration of disease prevention or remission, inhibition of pathogenic T cell proliferation, decreased cytokine production, and induction of anergy.
23	Islet Transplant	ECDI fixed allogeneic splenocytes	$1 \times 10^8$ ECDI-treated allogeneic splenocytes were transferred into streptozotocin-treated mice that received allogeneic beta-islet cells under the kidney capsule.	Not defined	Demonstration of indefinite survival of allogeneic islet grafts in the absence of immunosuppression
49	EAT	Thyroglobulin-coupled spleen cells	Suppression of experimental autoimmune thyroiditis in guinea pigs.	133 µg/dose	Prevention of Thyroiditis in all pre-treated animals
50	Uveitis	S-antigen (S-Ag) and interphotoreceptor retinoid binding protein (IRBP)	Injection of S-Ag or IRBP coupled to spleen cells 5 days prior to immunization with native S-Ag or IRBP, respectively.	Protein homogenate, unknown concentration of antigens	Prevention of Uveitis in 6/8 rats
51	Neuritis	Myelin P2 <sub>53-78</sub>	Lewis rats receive 100 µg of SP-26/CFA; treated with $20 \mu\text{g}/1 \times 10^7$ splenocytes and each rat received $50 \times 10^6$ coupled cells.	Not defined	Prevention of neuritis



Table 3

Literature supporting tolerogenic immune-modifying nanoparticles in rodent models.

Citation	Disease Model (Tolerogenic Nanopeptide Used)	Size (mean)	(mv)	Ag µg/mg Polymer	Dose (mg/polymer)	Antigen (µg)/dose	Total Ag Dose (µg)	Result
21	Experimental Autoimmune Encephalomyelitis (Myelin antigen PLP coupled to surface of PLGA nanoparticles)	500	ND	16	1 infusion of 62 mg/kg	20	20	The induction of specific tolerance to TIMP-Ag associated peptide, as determined by decreases in disease severity and decreases in peptide-specific CD4 <sup>+</sup> T cell responses.
31	Experimental Autoimmune Encephalomyelitis (Myelin antigen PLP coupled to surface of PLGA nanoparticles)	500	-67	8.4	1 infusion of 62 mg/kg	10.5	10.5	
36	Experimental Autoimmune Encephalomyelitis TIMP-PLP <sub>139-151</sub>	621	-43	2.4	1 infusion of 125 mg/kg	6	6	
34	Experimental Autoimmune Encephalomyelitis TIMP-PLP <sub>139-151</sub>	398	-43	2.6	2 infusions of 125 mg/kg	6.5	13	
33	Experimental airway hypersensitivity TIMP-OVA	543	-41	14.2	2 infusions of 125 mg/kg	35.5	71	Abrogation of allergic airway inflammation
38	Bone Marrow Transplantation Dby-IMP <sup>l</sup> Uty-IMP <sup>l</sup>	500	-45	12.1	2 infusions of 62 mg/kg	0.15-15.13	0.3-33.26	40-60% engraftment/Transplant acceptance
	Bone Marrow Transplantation	500	-45	12	1 infusion of 62 mg/kg	15	15	0% (0.15 dose) -60% engraftment/Transplant acceptance
	Dby-IMP <sup>l</sup>		1.2		1 infusion of 6.2 mg/kg	1.5	1.5	
	Uty-IMP <sup>l</sup>		0.12		1 infusion of 0.062 mg/kg	0.15	0.15	
	Bone Marrow Transplantation	403	-53	2.53	2 infusion of 125 mg/kg	6.3	12.6	20-week graft acceptance/Transplant acceptance
	TIMP-Dby	497	-46	3.21	2 infusion of 125 mg/kg	8.0	16	
	TIMP-Uty							
37	Islet Transplantation TIMP-Lysate	460	-73	100-150	2 infusions of 150 mg/kg	300-450	600-900	20% graft acceptance and 60% graft acceptance with short course of rapamycin/Transplant acceptance

Table 4

Literature supporting safety of PLGA nanoparticles.

Citation	Nanoparticle Formulation	Dosing Regimen	Follow-Up	Observations/Results
41	Perfluorodecalin (PFD)-filled PLGA microcapsules (92% PLGA, 8% PEG-PLGA)	Single dose i.v. (Rat) (1247 mg/kg)	0 to 4.5 h	All animals survived dosing. Marked toxicity (increased enzyme activity, increased pro-inflammatory cytokines and complement factors). Transient hypotension in PFD-filled microcapsule group.
42	PLA/Cholate	Single dose i.v. (Rat) 1. 440 mg/kg 2. 220 mg/kg 3. 75 mg/kg	0 to 9 days	1. Reduced motor activity D1, dyspnea D1, 100% mortality D1. 2. Reduced motor activity D1, dyspnea D1, 40% mortality D4 D5. 3. No clinical signs detected. 0% mortality.
	PEG-PLA	Single dose i.v. (Rat) 1. 440 mg/kg 2. 220 mg/kg 3. 75 mg/kg	0 to 9 days	1. No clinical signs detected. 0% mortality. 2. No clinical signs detected. 0% mortality. 3. No clinical signs detected. 0% mortality.
43	Docetaxel-encapsulated PEG-PLA	Single dose i.v. (Rat) 1. 2000 mg/kg 2. 1500 mg/kg 3. 1000 mg/kg	0 to 6 days	1. No changes in blood chemistry or body weight. 0% mortality. 2. No changes in blood chemistry or body weight. 0% mortality. 3. No changes in blood chemistry or body weight. 0% mortality.
	Docetaxel-encapsulated PEG-PLA	Single dose for 3 weeks i.v. (Cynomolgus monkey) 5 to 50 mg/m <sup>2</sup>	0 to 15 days	Increased circulation half-life. No change in pharmacokinetic profile following repeat dosing. 0% mortality.
	Docetaxel-encapsulated PEG-PLA	Single dose every 3 weeks i.v. (Human) 3.5 to 75 mg/m <sup>2</sup>	-	Increased circulation half-life. No change in pharmacokinetic profile following repeat dosing. 0% mortality.