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# **Gas Flow at the Ultra-nanoscale: Universal Predictive Model and Validation in Nanochannels of Ångstrom-Level Resolution**

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# **Abstract**

Gas transport across nanoscale pores is determinant in molecular exchange in living organisms as well as in a broad spectrum of technologies. Here we report an unprecedented theoretical and experimental analysis of gas transport in a consistent set of confining nanochannels ranging in size from the ultra-nano- to the sub-microscale. A generally applicable theoretical approach quantitatively predicting confined gas flow in the Knudsen and transition regime was developed. Unlike current theories, specifically designed for very simple channel geometries, our approach can be applied to virtually all geometries, for which the probability distribution of path lengths for particle-interface collisions can be computed, either analytically or by numerical simulations. To generate a much needed benchmark experimental model, we manufactured extremely reproducible membranes with two-dimensional nanochannels. Channel sizes ranged from 2.5 to 250 nm, and Ålevel of size control and interface tolerances were achieved using leading-edge nanofabrication techniques. We then measured gas flow in the Knudsen number range from 0.2 to 20. Excellent agreement between theoretical predictions and experimental data was found, demonstrating the validity and potential of our approach.

#### **Keywords**

nanochannels; convective gas flow; Knudsen regime; nanofluidic membrane

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**Supporting Information** available including Smoluchowski equation for mean free path, probability density function from Holmin at al., membrane fabrication, and COMSOL simulation of gas flow across 250 nm membrane.

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# **1. Introduction**

Numerous medical and engineering applications rely on gas flow in nanopores where the collisions of fluid molecules with confining walls dominate the characteristics of gas transport. A highly relevant example is represented by natural gas extraction from nanoporous shale rock<sup>1</sup>. Here, understanding nano-confined transport becomes extremely important for designing extraction techniques as well for predicting trends of gas production. Other examples are represented by gas filtration in chemical plants<sup>2,3,4</sup> or the development and characterization of high efficiency membranes for applications such as molecular sieving<sup>5,6</sup> or drug<sup>7,8,9</sup> and cell delivery<sup>10,11</sup> among others. These involve gas molecules traveling across tight spaces where the interactions with the walls are predominant as compared to the interactions among gas molecules. Furthermore, in the context of heat transport in nanoscale systems, the mean free path of a confined phonon gas is an essential ingredient in models of thermal conductivity<sup>12</sup>. Ideally, one can identify two limiting regimes, characterized by distinct length scales: i) A viscous regime, in which the thermal mean free path  $\lambda$ <sub>T</sub>  $\ll$  L<sub>C</sub>, where L<sub>C</sub> is the characteristic length scale of the confining nanostructure; ii) A rarefied (free molecular flow) regime, in which  $\lambda_T \gg L_C$ . The latter was first investigated by Knudsen<sup>13</sup>. Accordingly, the Knudsen number Kn is defined as the ratio Kn =  $\lambda$ <sub>T</sub> / L<sub>C</sub>. On a finer scale, three regions can be identified: i) when Kn < 0.1, the gas is treated as a continuum and the Navier-Stokes equation with slip boundary condition governs gas transport<sup>14</sup>; ii) when Kn > 10, gas collisions with the confining walls dominate; this free molecular flow regime is also known as Knudsen diffusion; iii) an intermediate region, 0.1 <  $Kn < 10$ , called transition regime, has been experimentally and theoretically explored in the literature, primarily for gases at low pressure in microfluidic systems<sup>15</sup>. Pressure-driven gas transport in this latter regime is characterized by the coexistence of diffusive and convective (advective) transport. As is the case for concentration driven diffusive transport<sup>16,17</sup>, the formal description of gas flow in the transition regime in nanofluidic channels remains an open question. Surprisingly, free molecular flow is also debated: Knudsen's results rigorously apply only to gas flow inside cylindrical channels, and although his work is more than a century old, its generalization to other geometries remains controversial<sup>18</sup>. Experimental studies of nanoconfined gas flow are challenging, especially because of technological limitations in the fabrication of precise nanofluidic systems with a high number and density of channels and tight dimensional and geometrical tolerances. Most investigations have relied on experimental data collected with a few channels of irregular cross section, approximate size<sup>14</sup>, and minuscule gas flow outputs<sup>19</sup>. Experiments adopting polymeric membranes have been limited by intricate pore geometries, poor reproducibility or high channel tortuosity<sup>18</sup>. A variety of porous materials such as zeolites<sup>20</sup>, and carbon materials<sup>21,22</sup>, such as carbon nanotubes (CNT), have received considerable attention due to their confining fluidic properties. However, their intrinsic geometric and structural variability<sup>22</sup> limit the investigator's ability to study the underlying transport phenomena; one can at best know the average properties of these structures, such as their average pore size thus preventing the study of gas transport as a function of the actual Knudsen number. By leveraging leading-edge, industrial grade microfabrication techniques, we have manufactured highly accurate fluidic structures with tightly reproducible geometry, ranging in size from the ultra-nanoscale to the sub-microscale<sup>23</sup>. By offering an unmatched control

on channel size and geometry, such structures represent an ideal model system for the accurate investigation of the influence of geometry and size on gas transport $^{24}$  from the Knudsen to the transition regime<sup>25</sup>.

#### **2. Materials and Methods**

#### **2.1 Model derivation**

The kinetic theory of gases allows to compute the distribution of paths of length *I* between intermolecular collisions<sup>26</sup> in thermal equilibrium,  $p_T(1)$ , whose mean value is the thermal mean free path  $\lambda_T$ . For a gas of identical spherical molecules of diameter d, where velocities are Maxwell-distributed at temperature T and pressure P, the thermal mean free path reads as  $λ_T = \frac{η}{F}$ *P*  $\pi k_B T$  $\frac{B}{2m}$ , where k<sub>B</sub> is the Boltzmann's constant,  $\eta$  is the gas viscosity and m the molecular mass. It should be noted that the expectation that  $p_T$  is exponential:  $p_T(1)$  =  $\exp(-1/\lambda_T)$ dl /  $\lambda_T$ , is generally not true. For simplicity, we will treat it as exponential in the applications that follow. Consider a gas in a channel of rectangular section  $h \times w$  and length L. When a pressure difference  $\Delta P = P_{in} - P_{out}$  is applied between the channel's ends, a flux F results. Kinetic theory states that the volumetric gas flow is

$$
F = D\frac{hw}{L}\frac{\Delta P}{P} = \frac{4\lambda_0 \lambda_T}{3\pi \eta} \frac{hw}{L} \Delta P
$$
 (1)

where m is the molecular mass and  $D = c\lambda_0/3$  is the gas diffusion coefficient written in terms<br>of the mean thermal velocity c and the average distance between consecutive collisions,  $\lambda_0$ .<br>The latter is the characteris of the mean thermal velocity c and the average distance between consecutive collisions,  $\lambda_0$ . 0. The latter is the characteristic length that has to be computed as a function of the geometry. At Knudsen numbers Kn 1, it is expected that  $\lambda_0 \approx \lambda_T$  in Eq. (1). When Kn >> 1, collisions between gas molecules can be neglected, and particle-wall encounters dominate the gas transport. In such conditions,  $\lambda_0 \approx \lambda_\sigma$  the ength that has t<br>t is expected th<br>neglected, and<br> $0 \approx \lambda_{\rm g}$ , the latte<br>nolecule with the radius  $\lambda = 2I$ g, the latter being the average distance between successive collisions of a gas molecule with the channel's walls. Knudsen computed  $\lambda_g$  for a cylindrical geometry and showed that  $\lambda_g = 2R$ . He then computed  $\lambda_g$  for a long rectangular channel of uniform cross-section  $h \times w$ , where h,  $w \ll L$ , and found that  $\lambda_g = 2hw/(h+w)$ . For a gas confined between two infinite parallel plates ( $w \rightarrow \infty$ ),  $\lambda_g = 2h$ . Stops<sup>27</sup> computed the effective mean free path in the transition regime for two infinite plates at distance h. By considering that a number of molecular paths are prematurely terminated by the boundaries, so that the effective mean free path  $\lambda_0$  is less than the thermal mean free path  $\lambda_T$ , he computed an explicit expression, given in Eq. (8) in Stops<sup>27</sup>. We re-derive Stops'  $\lambda_0$  and prove that his result is exact. Stops' formulation is ad hoc for the infinite parallel plates geometry; it did not consider that the geometric mean free path  $\lambda_g$  can be defined as the average of an appropriate distribution of path lengths, in the same way as  $\lambda_T$  can. Such distributions, that we call "geometric distribution of path lengths" ( $p<sub>g</sub>(l)$ ), are well known in the theory of the Lorentz gas,  $28$ ,  $29$  as well as in classical billiard dynamics. For the latter,  $p_g(\Lambda)$  has been recently published by Holmin *et al*.<sup>30</sup> (see the full expression for a 3D box in Supporting Information Eq. S1–2).

Having defined both  $\lambda_T$  and  $\lambda_g$  as the mean values of  $p_T(1)$  and  $p_g(1)$ , respectively, we can leverage the statistical independence of particle-wall and particle-particle collisions. Since  $p_T(1)$  is the probability that a molecule collides with another molecule after traveling a distance  $I$ , the total probability that a molecule travels a distance  $I$  without collisions with other molecules is equal to  $1 - \int_0^l p_T(x) dx = \int_l^{\infty} p_T(x) dx$ . Similarly, the probability that a molecule travels a distance *l* without collisions with walls reads  $\int_{l}^{\infty} p_g(x) dx$ . Statistical independence guarantees that the probability of a molecule colliding with a wall but not with other molecules after traveling a distance *l* is  $p_g(l) \int_l^{\infty} p_T(x) dx$ . Interchanging the subscripts "T" and "g" yields the probability of a molecule colliding with another molecule, but not with a wall:  $p_T(l) \int_l^{\infty} p_g(x) dx$ .

#### **2.2 Experimental setup**

To test our model against experimental data, we developed and micro-fabricated several sets of nanoslit membranes, all identical except for the height h of the nanoslits. The membranes (Fig. 1) were fabricated through a sacrificial layer technique. By precisely tailoring the thickness of a tungsten film deposited by physical vapor deposition with angstrom-level resolution, slit channels with nominal heights of, respectively, 2.5, 3.5, 20, 50, 200, and 250 nm, parallel to the membrane surface (Fig. 1A) were obtained. During the fabrication process the tungsten layer represented the "space keeper" for the nanochannels. Details of the membrane fabrication are available elsewhere $23,24$  and in Supporting Information. Scanning and transmission electron microscopy image analysis showed deviations from the nominal height of less than  $5 \text{ Å}$  (Fig. 1B–E). Each membrane is composed of exactly 340,252 nanochannels regularly and densely organized in rectangular arrays and connected to the membrane inlet and outlet surfaces via arrays of microchannels (Fig. 1A).

This design affords high channel density and mechanical robustness<sup>23</sup> and unparalleled dimensional tolerances as compared with other channel geometries. Indeed, even the most refined membranes presenting cylindrical nanopores (e.g. TiO<sub>2</sub> or carbon nanotubes) suffer from much poorer dimensional accuracy and manufacturing control, as well as lower scalability. We verified that despite the presence of microchannels, gas flow across our membranes is entirely dominated by the parallel set of nanochannels that represent the dominant fluidic resistance. Finite element simulations (COMSOL Multiphysics) showed that in first analysis, even for the membranes with the largest nanochannels ( $h = 250$  nm), the pressure drop is localized between the inlet and outlet of the nanochannel region (Supporting Information Fig. S1), accounting for more than 95% for the pressure drop across the membranes, when considering entrance and exit effects.

Gas flow through silicon membranes (Fig. 1A) possessing rectangular slit-nanochannels (W  $= 3 \mu m$ ,  $L = 1 \mu m$ , and variable h) was measured with a high sensitivity apparatus consisting in a pressure controller (PC3–15PSIG, Alicat) and three mass flow meters (M-500SCCM, M-10SCCM, M-0.5SCCM, Alicat) with different sensitivity to ensure a high signal to noise ratio always larger than 13.85 (Fig. 2). Measurements were performed by a custom-made algorithm (Matlab, The MathWorks, Inc.) setting several differential pressures from 1 to 15 psi and recording the gas flow through the silicon membrane in steady state conditions.

#### **3. Results and Discussion**

The derivation of probabilities of particle-particle and particle-wall collisions allowed us to determine the probability distribution of path lengths in a confined region of arbitrary geometry  $p_g(l) \int_l^\infty p_T(x) dx + p_T(l) \int_l^\infty p_g(x) dx$ , and the effective mean free path  $\lambda_0$  of a gas confined in a container of arbitrary geometry:

$$
\lambda_0 = \int_0^\infty l \bigg[ p_g(l) \int_l^\infty p_T(x) dx + p_T(l) \int_l^\infty p_g(x) dx \bigg] dl \,. \tag{2}
$$

Eq. (2) is the first important result of this paper. Eq. (2) is completely general, and for it to be useful one has to prescribe  $p_T$  and  $p_g$ . For instance, assuming both  $p_T$  and  $p_g$  to be exponential, the result  $\lambda_0^{-1} = \lambda_T^{-1} + \lambda_g^{-1}$  is recovered. The calculations of the effective mean free path  $\lambda_0$  in an infinite channel with rectangular cross section or in the parallel planes geometry<sup>27</sup> are not trivial. From Eq. (2),  $\lambda_0$  can be obtained through integrations:  $p_T(1)$  can be found in Paik<sup>31</sup> or assumed to be exponential, while  $p_g(1)$  can be found in Holmin *et al*.<sup>30</sup> and in Supporting Information (Eq. (S1–2)). The resulting  $\lambda_0$  is shown in Fig. 3 (red solid line) for a gas with thermal mean free path  $\lambda_T = 44.5$  nm in a box of dimensions  $w = 3 \mu m$ ,  $L = 1 \mu m$ .

Figure 3 also shows  $\lambda_g$ , as obtained analytically by Holmin *et al.* for a box of sides h, w and L (solid blue line):  $\lambda_g = 2h w L/(hw + hL + wL)$ . This coincides with Knudsen's  $\lambda_g = 2hw/(hR)$ +w); for an infinite channel, L →∞, and that for infinite parallel planes (w → ∞),  $\lambda_g = 2h$ . In this latter case, the geometric path distribution  $p_g^{\infty}(l)$  can be found when w, L  $\rightarrow \infty$  in the geometric path distribution of Holmin et  $al^{30}$  (Supporting Information Eq. (S1-2):

$$
p_g^{\infty}(l) = \begin{cases} 0, & \text{for } l < h \\ \frac{2h^2}{l^3} & \text{otherwise} \end{cases} \tag{3}
$$

Alternatively, Eq. (3) can be derived from Lambert's cosine law,  $p_{\theta}(\theta) = 2\sin\theta\cos\theta$ , together with the relations  $p_g^{\infty}(l)$  dl =  $p_{\theta}(\theta) d\theta$ , l = h/cos $\theta$ . From Eq. (3), assuming  $p_T(1)=\exp(-1/\lambda_T)/\lambda_T$ , and performing integrations, yields:

$$
\frac{\lambda_0}{\lambda_T} = 1 - e^{-\beta} + \beta e^{-\beta} - \beta^2 \int_{\beta}^{\infty} x^{-1} e^{-x} dx,
$$
\n(4)

which coincides with Eq. (8) in Stops<sup>27</sup> where  $\beta = h/\lambda_T$ . Eq. (4) is also shown in Fig. 3 for comparison (dashed black line).

More complex situation can be addressed. Since Eq. (2) is an exact expression, it allows to compute the mean free path of a gas in a channel for any channel shape and wall roughness, provided  $p_g(1)$  is known. In general,  $p_g(1)$  has to be computed numerically, e.g. by Monte Carlo simulations which are routinely employed to evaluate the diffusion coefficient in the Knudsen regime inside channels with complicated geometries and/or rough walls. Surface

roughness was shown to lower the diffusion coefficient<sup>32</sup>. Thus, we propose to generalize Eq. (1) by replacing D with D' =  $\alpha_0$  D, and argue that the roughness-dependent  $\alpha_0$  plays a similar role in the definition of the accommodation coefficient of the continuum Navier-Stokes equation with Maxwell boundary conditions, when collisions with the walls are properly accounted for  $33,34$ . Arkilic *et al* have derived an analytical expression for gas flow through a rectangular channel, from the zero-th order solution of the compressible Navier-Stokes equation<sup>35</sup>. Rewriting their mass flow (Eq. (21) in Arkilic *et al.*<sup>35</sup>) as a volumetric flow Q, and introducing the average pressure  $\bar{P} = (P_{in} + P_{out})/2$ , yields

$$
Q = \frac{h^3 w}{12\eta L} \left[ \frac{\bar{P}}{P_{out}} + 6 \frac{2 - \sigma}{\sigma} \lambda_T \right] \Delta P, \tag{5}
$$

Where  $(2 - \sigma)/\sigma$  is the streamwise momentum accommodation coefficient. At Kn >> 1, Eq. (5) must agree with Eq. (1) when  $\alpha_0$  D replaces D; this implies that  $\frac{2-\sigma}{\sigma}$  $\frac{8\alpha_0\lambda_0}{3\pi h}$ , so that  $\sigma = \sigma(h)$ . Defining  $\sigma_0 = \sigma(h = 0)$ , one can write explicitely:

$$
\sigma(h) = \frac{4\sigma_0 h}{2\lambda_0 + \sigma_0(2h - \lambda_0)}.
$$
\n<sup>(6)</sup>

From  $\lambda_0$  - 2*h* as *h*  $\rightarrow$  0, one finds that  $\alpha_0 = 3\pi(2 - \sigma_0)/(16\sigma_0)$ , so that for diffusively reflecting walls,  $\sigma_0 = 1$  implies  $\alpha_0 \approx 0.589$ . We can therefore rewrite Eq. (5) as follows:  $\int_0^{\infty} 2h \text{ as } h \to 0, \text{ and }$ <br>0 = 1 implies  $\alpha$ 

$$
Q = \frac{h^3 w}{12\eta L} \left[ \frac{\overline{P}}{P_{out}} + 3 \frac{2 - \sigma_0}{\sigma_0} \frac{\lambda_0(h)\lambda_T}{h^2} \right] \Delta P. \tag{7}
$$

Equation (7) is the second important result of the present work, because only Navier-Stokes equations with geometry-dependent accommodation coefficient are able to reproduce experimentally observed Knudsen minimum in the channel permeability  $q = \frac{3\pi}{8}$ 8 *L h* 2*w P ΔP*  $\frac{Q}{RT}$ .

We demonstrate this in Figure 4 where the results of permeability as a function of  $\delta = 1/Kn$ are shown. Figure 4 displays Eq. (5) in Arkilic *et a* $\hat{P}^5$  with  $\sigma = \sigma_0 = 1$  (dashed black line), our model Eq.(7) with  $\sigma_0 = 1$  (solid red line), as well as the permeability computed by Cercignani et al.<sup>36</sup> for the Boltzmann equation (solid blue line). The Navier-Stokes equation with constant accommodation coeffcients, Eq. (5), reproduces both the small h (large Kn) and the large h (small Kn) limits, but fails to exhibit a minimum around Kn  $\approx 1$ . Cercignani et al.'s solution to the Boltzmann equation shows the expected minimum, at the expense of an unphysical divergence at small h. This is a well-known drawback of the linearized Boltzmann equation with (geometry-independent) Maxwell boundary conditions. This divergence is enhanced in the limit of entirely specular reflection: for free molecular flow, the flow rate diverges even faster as  $\sigma_0$  decreases. In contrast, our Eq. (7) satisfies all requirements with a simple analytical expression adaptable to any channel geometry.

The volumetric gas flows measured at  $\Delta P$  varying between 1 and 15 psi or at varying nanochannel size ( $\Delta P = 15$  psi) are represented in Figure 5A and B, respectively. Results are shown for membranes with  $h = 2.5, 3.5, 20, 50, 200, 250$  nm (n=30 replicate measurements), where the standard deviation (always  $<$  7%) is smaller than the size of data marks. Plotted lines are Eq. (7) with  $\sigma_0 = 1$ . Striking agreement between the experimental data and our model (Eq. 7) was found for all values of h, which is remarkable considering that no free parameters were used.

Although other works considered a geometry-dependent mean free path in the boundary conditions<sup>34,35</sup>, our approach has the distinct advantage to provide a systematic way of computing the mean free path in a channel with any geometry. As  $p_T(1)$  is known, one only needs to compute the path length distribution  $p_g(l)$ , which is only determined by wall-wall collisions and can be evaluated numerically for arbitrary geometry. The geometry-dependent effective mean free path  $\lambda_0$  can then be computed from Eq. (2) to any desired accuracy, and from the latter, the geometry-dependent accommodation coeffcient can be obtained. Inserting the latter in the Navier-Stokes equation (5), the volumetric flow for the entire span of Kn can be quantitatively predicted, as with (7).

# **4. Conclusions**

In this paper we developed a statistical model for the calculation of the effective mean free path that takes into account particle-particle and particle-wall collisions. The nanochannel membranes employed in this work, also have unique characteristics: they achieved the high standard of accuracy and reproducibility, unmatched in the literature to date, allowing us to reliably test our statistical approach to nanoconfined gas transport. The remarkable agreement between model and experiments validated both. Such compelling outcomes is of great interest for numerous applications: in the natural gas industry our predictive model could provide a valuable tool to improve extraction from shale formations and tight sands<sup>37</sup>, where gas is trapped in complex networks of micro- and nanopores. Refined modeling of gas flow in shale fractures could lead to increased yield, efficiency and environmental safety of gas recovery. For analytical technologies, a better description of gas transport across chromatography columns could lead to more efficient instrumentation and reduced time of analysis. Further application examples include nanofluidics for the detection of chemical agents, membranes for physical separation of gases in the chemical industry, analysis of confined fluids<sup>17,38</sup> and controlled drug release<sup>39,40,41,42</sup>.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Figure 1:**

Schematic depiction of the membrane structure (A); TEM cross-sectional images of selected membranes (B, C, D, E); see text for details.







#### **Figure 3.**

Comparison of mean free path variation for the present model (solid red line), results from Stops (dashed black line), and Knudsen's model (solid blue line).



# **Figure 4.**

Permeability calculated for Eq. (7) of the present model (solid red line), for Cercignani's solution of Boltzmann equation (solid blue line), for Arkilic model from Eq. (5) (dashed black line), and experimental data at  $\Delta P = 15$  psi (black dots) as a function of the rarefaction parameter *δ*=1/Kn.

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#### **Figure 5.**

(A) Volumetric gas flow Q as a function of P for membranes with  $h = 2.5$  (black squares), 3.5 (black circles), 20 (blue squares), 50 (blue circles), 200 (red squares), 250 (red circles) nm, respectively. The solid lines represent Eq. (7) for the considered values of h. (B) Volumetric nitrogen flow at  $\Delta P = 15$  psi as a function of the nanochannel height.