N-acetylcysteine for therapy-resistant tobacco use disorder: a pilot study

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Introduction: *N*-Acetylcysteine (NAC) may have efficacy in treating tobacco use disorder (TUD) by reducing craving and smoking reward. This study examines whether treatment with NAC may have a clinical efficacy in the treatment of TUD.

Methods: A 12-week double blind randomized controlled trial was conducted to compare the clinical efficacy of NAC 3 g/day versus placebo. We recruited 34 outpatients with therapy resistant TUD concurrently treated with smoking-focused group behavioral therapy. Participants had assessments of daily cigarette use (primary outcome), exhaled carbon monoxide (CO_{EXH}) (secondary outcome), and quit rates as defined by $CO_{EXH} < 6$ ppm. Depression was measured with the Hamilton Depression Rating Scale (HDRS). Data were analyzed using conventional and modified intention-to-treat endpoint analyses.

Results: NAC treatment significantly reduced the daily number of cigarettes used ($\Delta \text{ mean}\pm\text{SD} = -10.9 \pm 7.9$ in the NAC-treated versus -3.2 ± 6.1 in the placebo group) and CO_{EXH} ($\Delta \text{ mean}\pm\text{SD} = -10.4 \pm 8.6$ ppm in the NAC-treated versus -1.5 ± 4.5 ppm in the placebo group); 47.1% of those treated with NAC versus 21.4% of placebo-treated patients were able to quit smoking as defined by $\text{CO}_{\text{EXH}} < 6$ ppm. NAC treatment significantly reduced the HDRS score in patients with tobacco use disorder.

Conclusions: These data show that treatment with NAC may have a clinical efficacy in TUD. NAC combined with appropriate psychotherapy appears to be an efficient treatment option for TUD.

Keywords: N-Acetylcysteine, Smoking cessation, Depression, Oxidative stress, Inflammation, Glutathione.

Introduction

N-Acetylcysteine (NAC) is a widely available, tolerable, and affordable nutraceutical supplement that increases the intracellular levels of glutathione, a major antioxidant, and modulates oxidative, immune-inflammatory, glutamatergic, and neurotrophic pathways.^{1,2} NAC is well tolerated, with a side-effect profile that does not differ significantly from placebo when administered orally at doses up to 3 g/day.³ NAC reduces cue extinction and craving in models of opiate and cocaine dependence.^{4–6} There is evidence that glutamate, including the cystine–glutamate exchange system, mediate behavioral sensitization, craving and drug intake in preclinical models of addiction.⁷ In addictions, glutamate, which is restored by NAC, is a core determinant of relapses.⁸ NAC may reduce craving and reward behaviors in nicotine dependence, which are both modulated by glutamate.⁹ NAC by restoring glutamate levels at the inhibitory GluR2/3 pre-synaptic receptor may reduce the reinstatement of drug seeking.¹⁰ Treatment with NAC by activating cystine–glutamate exchange may prevent withdrawal and craving in addiction.¹¹ Moreover, NAC can improve some of the damage caused by tobacco smoke exposure, such as oxidative damage to the lung and other tissues.¹²

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Finally, animal models of addiction show that glutamate uptake is involved in the effects of NAC.¹³

There are only two studies that examined treatment with NAC for smoking cessation. A first small placebo-controlled study with 29 nicotine-dependent patients used 2.4 g/day of NAC as a treatment for tobacco cessation. There were no significant differences in exhaled carbon monoxide (CO_{EXH}) levels between patients treated with NAC and placebo, and no significant difference between NAC and placebo treatment in the daily use of cigarettes.¹⁴ A second pilot double-blind-controlled study examined the effects of NAC 3.6 g/day (n = 10) versus placebo (n = 12) on smoking reward.⁸ These authors found that smokers treated with NAC reported that the first cigarette after an abstinence period of 3.5 days was significantly less rewarding than reported by subjects who were treated with placebo. The authors also reported a non-significant trend toward fewer withdrawal symptoms in the patients treated with NAC.⁸ All in all, these two studies provide inconsistent evidence that NAC may have some efficacy in the treatment of tobacco use disorder.

There is a strong comorbidity between mood disorders, including depression, and tobacco use disorder.^{15,16} Tobacco use disorder is two to four times more likely to be diagnosed in subjects with psychiatric disorders. In major depressive disorder, the prevalence of tobacco use disorder is as high as 40–60%.¹⁷ There is some evidence that NAC has clinical efficacy in unipolar depression and bipolar disorder.^{18,19} Trials in bipolar disorder showed large effect sizes for the treatment of depression and quality of life.^{18,20} These findings suggest that, in addition to the previously highlighted mechanisms of reducing craving and drug-seeking behavior, NAC may potentially be of benefit in alleviating depressive symptoms that are common in nicotine withdrawal states.

The aim of this study was to delineate whether NAC (3 g/day) may have a clinical efficacy in treating tobacco use disorder by reducing both daily cigarette use and CO_{EXH}, and whether these effects are associated with reductions in severity of depression.

Experimental procedures

Study participants

The study was conducted at the Center of Smoking Cessation, at Londrina State University (UEL), Brazil. We included 34 outpatients with tobacco use disorder. They received monthly group behavioral therapy treatments before and during the course of the study. All were current smokers and were refractory to first-line smoking cessation treatments, which included nicotine replacement therapy, bupropion or varenicline.¹⁷ Subjects were men and women aged 18–65 years of all ethnicities.

The diagnosis of tobacco use disorder was made by a research psychiatrist using a Portuguese translation of the semi-structured DSM-IV interview (SCID).²¹ We diagnosed 'current smokers' according to the US Centers for Disease Control and Prevention (CDC) criteria, i.e. individuals who and at the time of interview reported smoking every day or some days and had during their lifetime smoked at least 100 cigarettes.²² We included individuals with and without mood disorders. Females of childbearing potential who were sexually active were only included if they were using effective contraception. Exclusion criteria were: unstable systemic disease that requires medical treatment, active gastrointestinal ulcers, pregnancy or breast-feeding and a history of anaphylactic reaction to NAC or any other component of the preparation. All participants had results in the normal range on routine laboratory tests, such as hemogram, aspartate transaminase (AST), alanine transaminase (ALT), and creatinine. The study period extended from January 2013 to March 2014. The study was evaluated and approved by the Ethics Research Committee of UEL. All individuals gave written informed consent. The study was registered on clinicaltrials.gov number NCT02124525.

Measurements

Prior to randomization into the clinical trial, all individuals underwent a semi-structured interview and provided information on socio-demographic and clinical data and current and lifetime smoking history. We also scored the Fagerstrom Test for Nicotine Dependence (FTND) and used this scale to assess the severity of tobacco by dependence.²³ The test was translated and adapted to Portuguese by Carmo and Pueyo.²⁴ We used a cut-off point for FTND nicotine dependence >5.^{25,26} The number of pack-years was calculated as the number of cigarettes smoked per day multiplied by number of years smoked and divided by 20 (1 pack has 20 cigarettes).

The primary outcome measurement (number of cigarettes per day) was collected by face-to-face interviews by a trained, senior psychiatrist (blinded to treatment conditions) using a semi-structured interview at baseline and 4, 8, and 12 weeks later. The secondary outcome measure of smoking reduction was evaluated using exhaled carbon monoxide (CO_{EXH}). The latter was measured at baseline and 4, 8, and 12 weeks later using a Micro CO Meter with an electrochemical sensor (Micro CO - Micro Medical Ltd, Rochester, Kent, UK). All participants took a deep breath, held their breath for 20 seconds and exhaled completely through a mouthpiece. A cut-off point for CO_{EXH} levels ≤ 6 ppm was used as a criterion for smoking cessation.²⁷ The raters of the primary and secondary outcome measures were blind to treatment

assignment. The third outcome measure was severity of depression as measured with the Hamilton Depression Rating Scale – 17 items (HDRS) at baseline and endpoint. The HDRS was translated and adapted for the Brazilian population.²⁸

The Sheehan Disability Scale, a self-rated scale, was used to assess the disability in three areas: (1) occupational, (2) social life and leisure, (3) family life, activities and household activities. Items are scored from 0 to 10: 0-3 indicates mild, 4-6 moderate and 7-10 severe disability.²⁹ We computed the body mass index as the body weight $(kg)/height (m)^2$ ratio. We measured the systolic and diastolic blood pressure using a mercury sphygmomanometer (after 10minute rest; right arm; sitting position) and computed the mean value of two consecutive measurements (5 minutes apart). The primary and secondary outcome measures, the Sheehan Disability Scale, and BMI were measured at baseline and 4, 8, and 12 weeks later. The HDRS and blood pressure were assessed at baseline and endpoint.

Study design and procedures

This randomized clinical trial was designed to examine the clinical efficacy of NAC for tobacco use disorder. We randomized the patients (n = 34) into two groups (17 patients in each group) in a double-blind manner to receive NAC or indistinguishable placebo. The dose of NAC was 3 g/day administered in 500 mg capsules in two daily doses, three capsules in the morning and three in the evening. This dosage was based on previous studies in which similar dosages had shown to be effective and well tolerated.

Statistical analyses

We checked baseline data, including clinical and sociodemographic data for balance between the NAC and placebo group using analysis of variance (ANOVA) and analyses of contingence tables (χ^2 -tests). The primary outcome measure was the daily number of cigarettes, the secondary outcome measure was the CO_{EXH}, and the tertiary outcome measure was the HDRS. Systolic and diastolic blood pressure, creatinine, AST, ALT, and quality of life measurements were other outcome measurements. We performed a conventional intention-to-treat (ITT) analysis on the basis of an as-randomized approach with inclusion of all patients treated or not; and a modified ITT (mITT) with an as-treated (AT) protocol design, i.e. patients who started treatment and who had at least one rating 1 month after starting the treatment. These analyses were performed using the last observation carried forward method. We also performed a per protocol (PP) approach whereby only patients who completed all measurements were included (treatment received). The recommendations of the FDA and

the Committee for Proprietary Medicinal Products are that an RCT should be assessed through both PP and ITT analyses and that when these approaches reach the same conclusion confidence in the results increases. The primary analyses were generalized linear model (GLM) analyses with the endpoint measurements as dependent variables and the baseline levels and treatment modality as predictor variables. We also performed RM design ANOVAs, which considered baseline and endpoint measurement as time effect and treatment (NAC versus placebo) as factor and the interaction time x treatment as the primary outcome. Side effects were analyzed by using Fisher's exact probability test and analysis of contingence tables (χ^2 = tests). Repeated measurements of binary data over time were assessed using the nonparametric McNemar test. We analyzed the data using SPSS (version 19). Statistical significance was set at $\alpha = 0.05$ (two tailed).

Results

Socio-demographic and clinical data

Figure 1 shows the CONSORT flow diagram illustrating the progress of the patients through the trial. Of the 40 individuals screened for inclusion and exclusion criteria, 34 were randomized to enter the study. Three randomized patients declined to participate (all three were randomized to the placebo group). Therefore, 17 patients allocated to the NAC treatment arm and 14 patients allocated to the placebo treatment arm started the study. One month later, 27 patients continued to participate in the study, i.e. 16 in the NAC group and 11 in the placebo group. Two months after starting treatment 20 patients participated, i.e. 12 in the NAC group and 8 in the placebo group. Eighteen patients completed the treatment protocol without violations of the protocol and thus participated in the study for the full 3 months. Eleven patients were in the NAC and seven in the placebo treatment groups. Thus, at endpoint there were 6 dropouts in the NAC group and 10 in the placebo group. The causes for dropouts in the study were refusal to take medication (three patients), having family and/or social matters (eight patients), and referral for clinical problems (five patients). Adverse effects reported as causes of discontinuation were nausea in two patients allocated to the NAC group, and general clinical problems not related to the intervention in the other cases.

Table 1 compares the clinical and socio-demographic data between both the NAC and placebo treatment groups. There were no significant differences at baseline in clinical and socio-demographic data, including age, years of education, onset of tobacco use disorder, duration of tobacco use, Sheehan disability scale, cigarettes smoked per day, FTND score,

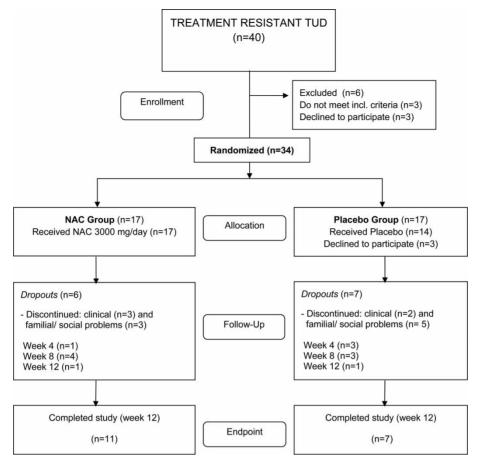


Figure 1 CONSORT flow chart.

lifetime consumption, HDRS score, BMI, and BP, between the two treatment groups.

Effects of treatment on the primary outcome

Table 2 shows the effects of treatment with NAC or placebo on the primary and secondary outcome measurements. In the ITT GLM analysis, we found that the number of cigarettes smoked at endpoint was significantly lower in the NAC group compared to the placebo group and that there were significant effects of baseline daily cigarette number. The Δ (mean ± SD) daily number of cigarettes from baseline to three months later was -10.9 ± 7.9 in NAC-treated versus -3.2 ± 6.1 in placebo-treated patients (F = 8.77, df = 1/29, P = 0.006). RM design ANOVA performed on the time series of cigarette smoking showed a significant interaction time × treatment (F = 8.77, df = 1/29, P = 0.006), a significant effect of time (F = 29.65, df = 1/29, P < 0.001), but no overall difference between NAC and placebo (F = 0.83, df = 1/29, P = 0.364). The mITT GLM analysis showed that the endpoint daily cigarette usage was significantly lowered by treatment with NAC compared to the placebo group (Wald = 7.38, df = 1, P = 0.007; there were significant effects of baseline number of cigarettes/day: Wald = 39.15, df = 1, P < 0.001). In the PP GLM analysis, we found that there was a trend toward a significant effect of NAC reducing daily cigarette use as compared with placebo (Wald = 3.23, df = 1, P = 0.072;

Table 1 Clinical and socio-demographic data of the NAC and placebo treatment groups

Characteristics	NAC group (<i>n</i> = 17)		Placebo group (<i>n</i> = 14)				
	Mean	SD	Mean	SD	F	df	Р
Current age	51.93	7.022	50.76	11.819	0.105	1/29	0.748
Years of education	10.86	5.318	9.18	5.040	0.812	1/29	0.375
Smoking onset age	16.86	2.507	16.18	3.340	0.396	1/29	0.534
Years of smoking	35.00	7.766	33.29	11.889	0.213	1/29	0.648
Pack-years	32.64	18.519	31.43	18.369	0.038	1/29	0.846
FTND	4.50	1.743	4.82	2.186	0.201	1/29	0.657
Gender	M = 7	F = 10	M = 2 F = 12		NA	NA	0.132*

Variable		Mean (±SD)		Treatment Effect			Effect of Baseline		
Valiable	NAC/ Placebo	Time 0 (Baseline)	Week 12 (<i>Endpoint</i>)	Wald	df	Р	Wald	df	Р
Cigarettes	Placebo NAC	19.6 (9.7) 20.3 (9.8)	16.4 (11.7) 9.4 (10.1)	9.52	1	0.002	43.03	1	<0.001
COexh	Placebo NAC	16.6 (5.8) 20.8 (7.6)	15.1 (7.6) 10.4 (8.3)	9.92	1	0.002	13.96	1	<0.001
HAM-D	Placebo NAC	13.6 (4.4) 12.7 (7.1)	12.1 (4.6) 7.2 (6.3)	5.62	1	0.018	17.75	1	< 0.001
BMI	Placebo NAC	26.2 (6.6) 27.1 (5.0)	26.4 (6.9) 26.5 (5.3)	3.98	1	0.046	916.52	1	< 0.001
SBP	Placebo NAC	121.4 (23.4) 128.2 (16.7)	118.9 (15.3) 121.8 (13.3)	0.18	1	0.893	4.42	1	0.035
DBP	Placebo NAC	75.7 (12.7) 75.5 (8.2)	77.1 (11.1) 79.1 (5.4)	0.35	1	0.554	2.67	1	0.102
Sheehan (Social)	Placebo NAC	3.7 (3.1) 1.6 (2.5)	2.6 (3.6) 1.0 (2.0)	0.28	1	0.594	9.62	1	0.002
Sheehan (Work)	Placebo NAC	2.6 (3.2) 1.7 (2.5)	2.7 (3.9) 0.9 (2.1)	2.85	1	0.092	88.97	1	<0.001
Sheehan (Family)	Placebo NAC	4.0 (3.7) 1.7 (2.5)	2.9 (3.0 0.6 (1.5)	2.66	1	0.103	56.21	1	<0.001

Table 2 Effects of treatments on outcome measurements

there were significant effects of baseline cigarette use: Wald = 24.05, df = 1, P < 0.001). Figure 2 shows the time course data for the effects of NAC versus placebo at the 4 time points. It can be seen that NAC began to diverge from placebo 8–12 weeks after starting treatment.

Effects of treatment on secondary outcomes

Table 2 shows the effect of NAC versus placebo on CO_{EXH} . In the ITT GLM analysis, we found that endpoint CO_{EXH} was significantly lower in the NAC treatment group than in the placebo group and that there was a significant effect of baseline CO_{EXH} . RM design ANOVA performed on the time series of CO_{EXH} showed a significant interaction time X treatment (F = 12.20, df = 1/29, P = 0.002), a significant

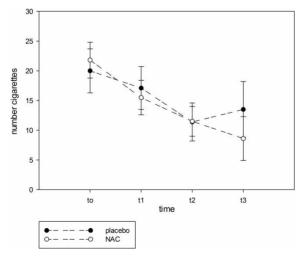


Figure 2 The time course data for the effects of NAC versus placebo at the 4 time points (shown are the estimated marginal means with standard error). t_0 = baseline, t_1 = 4 weeks, t_2 = 8 weeks, t_3 = 12 weeks.

effect of time (F = 21.79, df = 1/29, P < 0.001) but no overall difference between NAC and placebo (F =0.00, df = 1/29, P = 0.932). In the mITT GLM analysis, we found that endpoint CO_{EXH} was significantly lowered by treatment with NAC compared to the placebo group (Wald = 5.60, df = 1, P = 0.018; there were significant effects of baseline CO_{EXH}: Wald = 6.22, df = 1, P = 0.013). In the PP GLM analysis, we found that there was a trend toward a significant effect of NAC reducing CO_{EXH} (Wald = 2.55, df = 1, P = 0.11; there were also no significant effects of baseline number of CO_{EXH}: Wald = 1.91, df = 1, P =0.167).

The Δ (mean \pm SD) CO_{EXH} from baseline to 3 months later was -10.4 ± 8.6 in NAC-treated patients versus -1.5 ± 4.5 in placebo-treated patients (F =12.20, df = 1/29, P = 0.002). There was a significant correlation between the Δ CO_{EXH} and Δ daily cigarette usage (r = 0.705, P < 0.001, n = 31). In NAC-treated subjects there was a significantly greater quit rate (as defined by CO_{EXH} <6 ppm), i.e. 8/17 (McNemar test: P = 0.008), whereas in placebo-treated patients no such effect was found, i.e. 3/14 (P = 0.250).

Effects of treatment on other outcome measurements and side effects

Table 2 shows the effects of treatment on other outcome measurements according to PP GLM analyses. We found a significant effect of NAC reducing the HDRS score as compared with placebo. There were no significant correlations between the Δ HDRS (from baseline to endpoint) and Δ number of daily cigarettes (r = 0.316, P = 0.201, n = 18) or Δ CO_{EXH} (r = 0.14, P = 0.577 n = 18). There was also a marginally significant effect of NAC reducing the BMI. There were no significant effects of NAC

	Week 4		Week 8		Week 12		
Side effects	NAC	Placebo	NAC	Placebo	NAC	Placebo	Р
Nausea	6	0	4	1	6	0	0.596
Diarrhea	1	0	0	1	0	0	0.389
Skin allergy	1	0	1	0	1	0	1
Respiratory allergy	1	0	2	0	1	0	0.497

Table 3	Side effects report	ted during the	course of the study
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versus placebo treatment on the other measurements (see Table 2).

Adverse events were monitored at each contact and they are summarized in Table 3. No serious treatment emerging adverse events were reported during the course of the study. The most common adverse effect was nausea and some patients reported treatment emergent diarrhea, skin allergy and respiratory allergies. There were no significant differences in any of these adverse events between patients treated with NAC or placebo.

Discussion

In this study, we found that treatment with NAC in combination with smoking-focused psychotherapy during 3 months significantly impacted the primary and secondary outcome measures, i.e. reducing the daily number of cigarettes used (Δ mean \pm $SD = -10.9 \pm 7.9$ in the NAC versus -3.2 ± 6.1 in the placebo group) and CO_{EXH} levels (Δ mean \pm $SD = -10.4 \pm 8.6$ ppm versus -1.5 ± 4.5 ppm in the placebo group) in patients with therapy-resistant tobacco use disorder. 47.1% of those treated with NAC versus 21.4% of placebo-treated patients were able to quit smoking (as defined by COEXH <6 ppm). The results of our study extend those of previous papers that treatment with NAC may reduce logged daily use of cigarettes.¹⁴ The latter study, however, was unable to find effects of NAC on CO_{EXH.} Overall, NAC treatment (3 g/day) was well tolerated and only few participants reported adverse effects, nausea being the most frequent, not exceeding that of placebo. NAC is a well-tolerated treatment across a number of different conditions and illnesses.^{1,2}

Another important finding is that treatment with NAC significantly reduced the HDRS score from baseline to 3 months later. It has been shown that NAC has a clinical efficacy in the depressed phase of bipolar disorder reducing the severity of depressive symptoms.^{9,18} In addition, this study replicates the efficacy of NAC in the management of unipolar depression, showing arguably more robust effects.¹⁹ While this may be due to participant selection or methodological issues, dose may be a critical factor. This study used a 3 g daily dose, compared to 2 g in the original study. There is some albeit weak dose

finding data suggesting that the higher dose may be of greater efficacy.³⁰ Mood effects of NAC may be important as tobacco use is associated with mood changes, including depressive symptoms, and functional impairment.³¹ Depressive smokers more strongly endorse beliefs that smoking reduces negative affect and craving.³² In the present study; however, we found that the reduction in cigarette use and CO_{EXH} levels were not related the NAC-induced changes in the HDRS score. Thus, the effects of NAC on tobacco use disorder occur independently from the antidepressant effects of NAC. However, the most robust meta-analytic evidence shows that smoking cessation is associated with long-term improvement in mental health.³³

Another finding of our study is that treatment with NAC may reduce BMI although there is no significant difference in BMI between placebo and NAC in the post-treatment condition. During tobacco withdrawal, body weight increases on average 2–3 kg (American psychiatric Association, 2013).³⁴ This is important as worry about weight gain may be a disincentive to quitting especially in women.³⁵ Non-significant decreases in body weight with NAC have been seen in other human trials and in preclinical models.^{20,36} Our findings thus suggest that treatment with NAC may have another advantage since it does not induce weight gain.

Quality of life is another important issue as many patients with tobacco use disorder show a decreased quality of life. This is important as the diagnosis of tobacco use disorder is more associated with work disability.^{35,37} There are now many placebo-controlled trials using treatment with NAC in different conditions and illnesses showing significant benefits in quality of life parameters.¹ In the present study; however, we were unable to detect a significant effect of NAC treatment on quality of life measurements. This may be explained by a relative shorter duration of treatment (3 months) and the smaller number of patients included.

The findings should be interpreted in the context of a number of limitations. Firstly, the present randomized controlled trial was a pilot study. Nevertheless, we recruited patients with therapy-resistant tobacco use disorder who additionally showed a high lifetime cigarette consumption. Secondly, the generalizability

The clinical efficacy of NAC in tobacco use disorder may be explained by its effects on craving and reward. which are in part modulated by glutamate metabolism.9 Another theory revolves around the effects of NAC on immune-inflammatory and oxidative and nitrosative (IO&NS) pathways in relation to serotonin metabolism. Recently, we found that both tobacco use disorder and mood disorders are associated with the STin2.12 (a 17-bn variable number of tandem repeats in the functional 5-HTT intron) allele, leading to a lowered availability of serotonin.³⁸ Both tobacco use disorder and depression are also accompanied by activation of IO&NS pathways, which in turn through induction of indoleamine 2,3dioxygenase cause a depletion of tryptophan thereby driving further decreases in serotonin.35,39 There is now evidence that nicotine has short-term antidepressant effects by increasing the metabolism of serotonin.^{40–43} Nicotine dependence may therefore be regarded as an operational conditioned response aiming to compensate the depleted serotonergic metabolism in specific brain areas. NAC treatment by attenuating IO&NS pathways¹ may therefore dampen the IO&NS forces that drive serotonin depletion. Such an effect would attenuate the use of nicotine and thus lead to an improvement in tobacco use disorder. NAC has robust effects on glutathione, while smoking is associated with reduced peripheral as well as brain glutathione levels.⁴⁴ The extent to which glutathione changes are related to the efficacy of NAC in addiction is however unknown.²⁰

All in all, our findings provide some evidence that treatment with NAC 3 g/day may significantly augment the efficacy of behavioral therapy in the treatment of tobacco use disorder. Moreover, our results show an effect of NAC reducing depressive symptoms during smoking cessation. Another factor regarding NAC treatment is that it does not increase body weight.

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Conflicts of interest The authors declare that they have no competing interests.

Ethics approval The study was evaluated and approved by the Ethics Research Committee of UEL. All individuals gave written informed consent. The study was registered on clinicaltrials.gov number NCT02124525.

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