



# Diagnosis of Autism Spectrum Disorders in Young Children Based on Resting-State Functional Magnetic Resonance Imaging Data Using Convolutional Neural Networks

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## Abstract

Statistics show that the risk of autism spectrum disorder (ASD) is increasing in the world. Early diagnosis is most important factor in treatment of ASD. Thus far, the childhood diagnosis of ASD has been done based on clinical interviews and behavioral observations. There is a significant need to reduce the use of traditional diagnostic techniques and to diagnose this disorder in the right time and before the manifestation of behavioral symptoms. The purpose of this study is to present the intelligent model to diagnose ASD in young children based on resting-state functional magnetic resonance imaging (rs-fMRI) data using convolutional neural networks (CNNs). CNNs, which are by far one of the most powerful deep learning algorithms, are mainly trained using datasets with large numbers of samples. However, obtaining comprehensive datasets such as ImageNet and achieving acceptable results in medical imaging domain have become challenges. In order to overcome these two challenges, the two methods of “combining classifiers,” both dynamic (mixture of experts) and static (simple Bayes) approaches, and “transfer learning” were used in this analysis. In addition, since diagnosis of ASD will be much more effective at an early age, samples ranging in age from 5 to 10 years from global Autism Brain Imaging Data Exchange I and II (ABIDE I and ABIDE II) datasets were used in this research. The accuracy, sensitivity, and specificity of presented model outperform the results of previous studies conducted on ABIDE I dataset (the best results obtained from Adamax optimization technique: accuracy = 0.7273, sensitivity = 0.712, specificity = 0.7348). Furthermore, acceptable classification results were obtained from ABIDE II dataset (the best results obtained from Adamax optimization technique: accuracy = 0.7, sensitivity = 0.582, specificity = 0.804) and the combination of ABIDE I and ABIDE II datasets (the best results obtained from Adam optimization technique: accuracy = 0.7045, sensitivity = 0.679, specificity = 0.7421). We can conclude that the proposed architecture can be considered as an efficient tool for diagnosis of ASD in young children. From another perspective, this proposed method can be applied to analyzing rs-fMRI data related to brain dysfunctions.

**Keywords** Autism spectrum disorder · Convolutional neural network · Transfer learning · Mixture of experts · Simple Bayes

## Introduction

One of the most important organs of the human body is the brain. Therefore, its function as the core member of the nervous system has always been of interest to researchers. During the life of a person, his or her brain function can partially be impaired due to living conditions and genetic factors. This impairment usually erupts in the form of diseases such as depression, Parkinson, multiple sclerosis (MS), attention deficit hyperactivity disorder (ADHD), and ASD. Some of these diseases such as depression are completely treatable using medications while others such as ASD do not have a definite cure and only their progress can be controlled. Unfortunately, in most cases, these types of diseases are only diagnosed when symptoms have erupted and the patient is suffering from

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untreatable complications. Therefore, accurate diagnosis of these diseases in the early stages is considered very important. There are numerous methods for studying brain functions, including electroencephalography (EEG), magnetoencephalography (MEG), positron emission tomography (PET) and fMRI. As a noninvasive tool, fMRI has the best spatial resolution among the abovementioned methods, and it has an acceptable time resolution compared to other methods [1]. This method studies the brain activity by measuring the fluctuations of oxygen levels in the blood [1, 2]. The fMRI is performed in two different ways: task-based fMRI and resting-state fMRI. Rs-fMRI has been extensively used to detect functional brain networks and provides information on brain functional connectivity [3–6]. ASD is one of the disorders that can be diagnosed using rs-fMRI data. Statistics show that the risk of ASD is increasing in the world. ASD is a pervasive developmental disability that can affect social communication, social skills, imagination, and behavior [7–11]. Thus far, the childhood diagnosis of ASD has been done based on clinical interviews and behavioral observations. There is a significant need to reduce the use of traditional diagnostic techniques and to diagnose this disorder in the right time and before the onset of behavioral symptoms as well as improving the accuracy of diagnosis based on advances in medical imaging [12, 13]. In other words, advances in medical imaging technology, such as fMRI, allows for constructive approaches for diagnosing of disorders associated with brain dysfunctions such as ASD.

Since the publication of ABIDE I [14] dataset, various studies have attempted to diagnose ASD based on rs-fMRI data. Each of which has their own advantages and disadvantages. These methods will be briefly addressed in this paper. Nielsen et al. [15] examined the model proposed by Anderson et al. [16] using general linear model on 964 samples from 16 different sites of ABIDE I dataset. A model was proposed by Uddin et al. [17] to distinguish between healthy and patient groups using the independent component analysis [18, 19] and logistic regression classifier on 40 fMRI data. In addition, some personality traits such as age, gender, and intelligence quotient were also involved in this analysis. Ghiassian et al. [20] rejected the method based on functional connectivity matrix of rs-fMRI data as an appropriate solution and proposed a new model for distinguishing between autistic and non-autistic people based on the features of structural magnetic resonance imaging (sMRI) and rs-fMRI data plus some personality traits such as intelligence quotient. Using histogram of oriented gradients, maximum relevance minimum redundancy and with the help of support vector machine with radial basis function kernel, they performed feature extraction, feature selection, and classification of images, respectively. Sen [21] proposed a new algorithm based on rs-fMRI data of ABIDE I dataset using principal component analysis and independent component analysis in order to distinguish between healthy group and people with ASD.

In recent years, machine learning techniques are employed to help improve diagnosis of neurological disorders. Deep learning techniques are by far one of the most powerful machine learning algorithms in the tasks of classification and representation learning [22]. The initial incentive of deep learning models is inspired by communication patterns in human nervous system [23]. Depending on these different patterns, various deep learning models have been proposed. These are beneficiary to exploit the latent high-level features inherent in data to enhance the diagnostic performance [22, 24–26]. Thus, deep learning approaches have yielded breakthroughs in medical imaging analysis recently. This study presents a model based on rs-fMRI data to diagnose ASD using CNNs as one of the most powerful deep learning methods. In addition, the presented model employs the two methods of “combining classifiers” both dynamic (mixture of experts) and static (simple Bayes) approaches, and “transfer learning,” to achieve the two following objectives: Firstly, obtaining acceptable classification results based on a combination of rs-fMRI data around three coordinate axes. Secondly, solving the challenge of training CNNs with comprehensive datasets such as ImageNet [27, 28] in medical imaging domain based on rs-fMRI data.

Furthermore, subjects ranging in age from 5 to 10 years from global ABIDE I and ABIDE II datasets were included in this analysis due to the fact that diagnosis of ASD will be much more effective at an early age.

## Materials and Methods

### Datasets

For this study, data were selected from ABIDE I and ABIDE II datasets [29], a collection of 1112 and 1144 resting-state scans from more than 24 international sites, respectively. The samples who participated in this analysis are between the ages of 5 and 10 due to the fact that the diagnosis of ASD will be more effective at an early age. Based on this criterion, the number of individuals selected from ABIDE I and ABIDE II datasets is equal to 116 (typical control (TC) = 62 and ASD = 54) and 343 (TC = 187 and ASD = 156), respectively. Table 1 summarizes participants' information. For more detailed information, see [29].

### fMRI Preprocessing

Preprocessing is an essential component in the fMRI data analysis. In other words, in order to obtain reliable results, preprocessing steps should be applied [30]. The preprocessing was conducted using SPM8 [31] through the following steps:

- The first five volumes were removed from the data for further processing to ensure magnetization equilibrium.

**Table 1** Participants information

Datasets	Sites	Sample size (total)	Sample size		Sample size				Measurements
			TC	ASD	TC		ASD		
					Female	Male	Female	Male	
			ABIDE I	Pitt	2	1	1	–	
Olin	1	1		–	–	1	–	–	210
OHSU	11	7		4	–	7	–	4	82
SDSU	1	1		–	1	–	–	–	180
USM	3	3		–	–	3	–	–	240
KKI	26	17		9	6	11	2	7	156
NYU	40	17		23	6	11	1	22	180
Stanford	24	12		12	3	9	2	10	180
UCLA	8	3		5	–	3	2	3	120
Total	116	62		54	16	46	8	46	–
ABIDE II	EMC	51	26	25	5	21	5	20	160
	GU	41	25	16	16	9	3	13	152
	IP	9	4	5	3	1	2	3	85
	KKI	90	64	26	28	36	9	17	156
	NYU1	53	20	33	1	19	4	29	180
	NYU2	27	–	27	–	–	3	24	180
	OHSU	42	32	10	16	16	3	7	120
	SDSU	11	4	7	–	4	1	6	180
	TCD	1	–	1	–	–	–	1	210
	UCLA	17	12	5	4	8	1	4	120
	USM	1	–	1	–	–	–	1	240
	Total	343	187	156	73	114	31	125	–
	Combination of ABIDE I and ABIDE II	Total	459	249	210	89	160	39	171

- In order to compensate for differences in the time of slice acquisition, slice-timing correction was performed [32]. Furthermore, the time corresponding to the first slice was chosen to be the reference.
- Head motion is the most damaging problem for fMRI data analysis. A little head motion can make the data meaningless and unusable. It can cause signal changes over time and it can also cause signal loss on the edges of the brain. The general process of spatially aligning two image volumes is called co-registration. The goal of motion correction is to adjust the series of images so that the brain is always in the same position. Motion correction is basically co-registering all of the brain volumes in a scan with the first (or another) subject-matched functional image.
- After motion correction, to map the functional and subject-matched structural images to each other, functional-structural co-registration was performed.
- By using a voxel size of  $2 \times 2 \times 2$  mm<sup>3</sup>, spatial normalization to the Montreal Neurological Institute (MNI) standard space was performed so that all samples had equal spatial

dimensions and coordinates [32, 33]. The product of this step was an image with  $79 \times 95 \times 68$  spatial dimensions.

- The fMRI data were smoothed in order to increase the signal to noise ratio (SNR). Gaussian filters are generally used to smooth images [31, 32]. In this study, spatial smoothing was performed with a Gaussian kernel of  $8 \times 8 \times 8$  Full-Width Half-Maximum (FWHM) mm<sup>3</sup>.
- Numerical normalization was done. In this step, values of each fMRI data are to be placed between zero and one.

### Dimension Reduction

In this study, dimensions of four-dimensional (4D) rs-fMRI data were reduced to two as follows:

1. As we know, each 4D rs-fMRI data (three spatial dimensions + one temporal dimension) consists of several 3D sMRI data (three spatial dimensions). Each sMRI data belonged to a specific time point. We also know that each

sMRI consists of several 2D spatial slices around  $x$ ,  $y$ , and  $z$  coordinate axes (first spatial slice, ..., middle spatial slice, ..., final spatial slice). By extracting the 2D first spatial slice of each sMRI belonged to a specific time point around  $x$ ,  $y$ , and  $z$  axes, 4D rs-fMRI data were converted to three 3D images (two spatial dimensions + one temporal dimension). Figure 1a, b, and c are the 2D first spatial slice of each sMRI belonged to a specific time point across  $x$ ,  $y$ , and  $z$  axes, respectively.

- By applying fast Fourier transform on the time dimension of each 3D image and selecting the maximum frequency of each voxel, the dimensions of the images were reduced from three to two. This step produced images with  $95 \times 68$ ,  $79 \times 68$ , and  $79 \times 95$  dimensions, around the  $x$ ,  $y$ , and  $z$  axes, respectively.

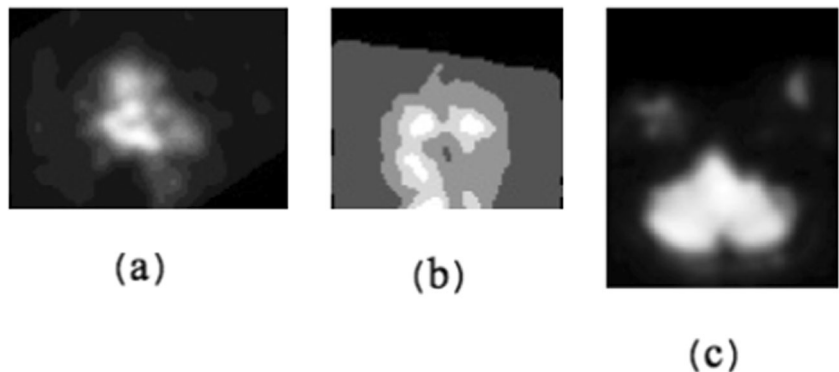
### Convolutional Neural Networks

CNNs are one of the most important deep learning approaches in the tasks of classification and representation learning. In general, a CNN is a hierarchical neural network consists of three main layers: convolutional layers, pooling layers, and fully-connected layers [34]. There are two stages for training a CNN: a feed forward stage and a backward stage. In the feed forward stage, the prediction output is used to calculate the loss cost with the ground truth labels. In the backward stage, based on the calculated error, the gradient of each parameter is computed by the chain rules, and all the parameters are updated based on the gradients. After adequate iterations of these two stages, the learning of the CNN can be stopped [34].

### Combining Multiple Networks

In order to achieve acceptable results, the results of several networks can be combined. In this paper, the results of multiple networks were fused using both dynamic (mixture of experts) and static (simple Bayes) combining classifiers methods, in order to classify autistic and non-autistic people based on rs-fMRI data around three coordinate axes ( $x$ ,  $y$ , and  $z$ ).

**Fig. 1** The 2D first spatial slice of each sMRI data belonged to a specific time point around three coordinate axes: (a) around  $x$  axis, (b) around  $y$  axis, and (c) around  $z$  axis



### Mixture of CNN Experts

This section discusses the combined structure of mixture of CNN experts (MCNNs), which is a new deep learning structure for achieving acceptable results in diagnosis of ASD. Firstly, the mixture of experts (MEs) method will be explained in the “Mixture of Experts” section.

**Mixture of Experts** The MEs is one of the most popular dynamic models in combining classifiers. MEs was proposed by Jacobs et al. [35] based on the “divide and conquer” principle in which a gating network divide the problem space among a number of neural network experts. Figure 2 illustrates the structure of MEs with three experts and a gating network. In this paper, the CNN was used as experts and a gating network.

**MEs Learning Method** In MEs architecture, there is competitive learning process among experts; that is, the expert with fewer errors will be rewarded [35]. Let  $\Omega = \{\omega_1, \dots, \omega_K\}$  be the set of class labels,  $E = \{E_1, E_2, \dots, E_L\}$  the set of experts and  $x = \{x_1, x_2, \dots, x_n\}$  the input pattern vector. If the output of expert  $i$  for the input vector  $x$  is denoted as  $y_i(x)$  and the final output of the architecture is denoted as  $Y(x)$ , then we have:

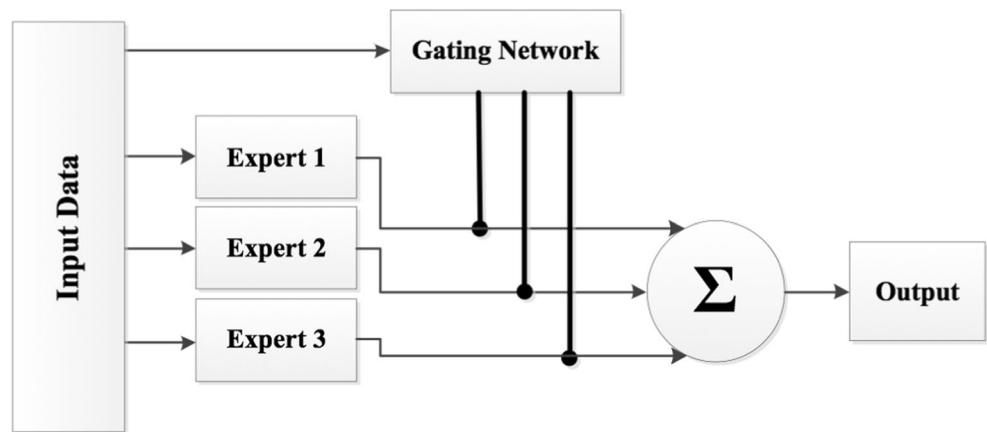
$$y_i(x) = w_i^e \cdot x \tag{1}$$

$$Y(x) = \sum_{i=1}^L g_i y_i(x) \tag{2}$$

where  $w_i^e$  is the weight vector of expert  $i$ ; the operator  $(\cdot)$  is a symbol for dot product of two vectors of  $w$  and  $x$ ;  $L$  is the number of experts and  $g_i$  is the weight assigned to the output of expert  $i$  generated by the gating network.  $g_i$  can be considered as an estimation of the probability function  $p(E_i|x, w_i^e)$ . In this architecture, the number of neurons in the output layer of the gating network is equal to the number of experts. The output of neuron  $i$  of the gating network can be defined by Eq. (3):

$$h_i(x) = \sum_{t=1}^n x_t w_{it}^g \quad i = 1, 2, \dots, L \quad , \quad t = 1, 2, \dots, n \tag{3}$$

**Fig. 2** Structure of MEs with three experts and a gating network



where  $w_{it}^g$  is the connection weight between neuron  $t$  in the input layer to neuron  $i$  in the output layer of the gating network. Given the values of  $h_i$ , the  $g_i$  values are calculated from Eq. (4):

$$g_i(x) = \frac{\exp(h_i(x))}{\sum_{j=1}^L \exp(h_j(x))} \quad i = 1, 2, \dots, L \quad (4)$$

where  $g_i$  is softmax function, so the sum of all the  $g_i$  is equal to one. The biggest  $g_i$  for an expert means that the expert is more capable of generating desired output.

For each expert  $i$  and gating network, the weights are updated according to the following rules:

$$\Delta w_i^e = \eta_e k_i (d(x) - y_i(x)) \cdot x^T \quad i = 1, 2, \dots, L \quad (5)$$

$$\Delta w_i^g = \eta_g (k_i - g_i) \cdot x^T \quad i = 1, 2, \dots, L \quad (6)$$

where  $d(x)$  is ideal output vector for the input vector  $x$ ,  $\eta_e$ , and  $\eta_g$  are learning rate for each expert  $i$  and gating network, respectively. Furthermore,  $k_i$  can be defined by Eq. (7):

**Table 2** Architecture of expert 1 and gating network

Input image	Layer	Layer type	Size	Output shape
Across x axis	1	Convolution + ReLu	Filters 8, kernel 3 × 3	(8, 93, 66)
Across y axis				(8, 77, 66)
Across z axis				(8, 77, 93)
Across x axis	2	Max pooling	Kernel 2 × 2, stride 2	(8, 46, 33)
Across y axis				(8, 38, 33)
Across z axis				(8, 38, 46)
Across x axis	3	Convolution + ReLu	filters: 8, kernel: 3 × 3	(8, 44, 31)
Across y axis				(8, 36, 31)
Across z axis				(8, 36, 44)
Across x axis	4	Max pooling	Kernel 2 × 2, stride 2	(8, 22, 15)
Across y axis				(8, 18, 15)
Across z axis				(8, 18, 22)
Across x axis	5	Convolution + ReLu	Filters 8, kernel 3 × 3	(8, 20, 13)
Across y axis				(8, 16, 13)
Across z axis				(8, 16, 20)
Across x axis	6	Max pooling	kernel: 2 × 2, stride: 2	(8, 10, 6)
Across y axis				(8, 8, 6)
Across z axis				(8, 8, 10)
Across x axis	7	Fully connected + ReLu dropout (rate 0.5)	300 hidden units	300
Across y axis				300
Across z axis				300
Across x axis	8	Softmax	2	2
Across y axis				2
Across z axis				2

$$k_i = \frac{g_i \exp\left(-\frac{1}{2}(d-y_i)^T (d-y_i)\right)}{\sum_{j=1}^L g_j \exp\left(-\frac{1}{2}(d-y_j)^T (d-y_j)\right)} \quad i = 1, 2, \dots, L \tag{7}$$

**Structure of the Proposed MCNNs** The general architecture of our proposed MCNNs network is shown in Fig. 2. Experts and a gating network consist of three convolutional layers, three max pooling layers, and one fully connected layer. The architectural details of gating and expert networks are presented in Tables 2, 3, and 4, respectively. As can be observed, there are two differences among these architectures: the number of convolutional filters and the number of neurons in the fully connected layer. In this study, the non-linear activation function called rectified linear unit (ReLU) was used [36] in each convolutional layer. In addition, in order to prevent overfitting, dropout strategy with probability 0.5 was also employed [37] in the fully connected layer of each expert and gating network (Tables 2, 3, and 4). It should be noted that the binary softmax regression (logistic regression) was used as the classifier.

### Combining MCNNs Using Simple Bayes Method

This section combines the MCNNs networks with rs-fMRI input data around three coordinate axes (*x*, *y*, and *z* axes) using simple Bayes method in order to achieve acceptable results in the diagnosis of ASD and what was discussed in the “Dimension Reduction” section (Fig. 3). Firstly, this method will be explained in the “Simple Bayes method” section.

**Simple Bayes Method** Static methods such as voting-based algorithms are based solely on the output label computed by each classifier. No expertise is considered. However, using Bayes theorem, the expertise of classifier is also considered. In this method, first, a confusion matrix,  $CM^j$ , is formed according to the training data for each classifier  $D_j$ . Each row of the matrix represents the instances in an actual class while each column represents the instances in a predicted class. The elements of this matrix are denoted as  $cm^j(k, s)$ ; that is, number of instances (input pattern  $x$ ) belong to class  $\omega_k$  but classifier  $D_j$  is categorized into class  $\omega_s$ .  $cm^j(s)$  can be calculated from Eq. (8).

$$cm^j(s) = \sum_{k=1}^K cm^j(k, s) \tag{8}$$

**Table 3** Architecture of expert 2

Input image	Layer	Layer type	Size	Output shape
Across <i>x</i> axis	1	Convolution + ReLu	Filters 10, Kernel: 3 × 3	(10, 93, 66)
Across <i>y</i> axis				(10, 77, 66)
Across <i>z</i> axis				(10, 77, 93)
Across <i>x</i> axis	2	Max pooling	Kernel 2 × 2, stride 2	(10, 46, 33)
Across <i>y</i> axis				(10, 38, 33)
Across <i>z</i> axis				(10, 38, 46)
Across <i>x</i> axis	3	Convolution + ReLu	Filters 10, kernel 3 × 3	(10, 44, 31)
Across <i>y</i> axis				(10, 36, 31)
Across <i>z</i> axis				(10, 36, 44)
Across <i>x</i> axis	4	Max pooling	Kernel 2 × 2, stride 2	(10, 22, 15)
Across <i>y</i> axis				(10, 18, 15)
Across <i>z</i> axis				(10, 18,22)
Across <i>x</i> axis	5	Convolution + ReLu	Filters 10, kernel 3 × 3	(10, 20, 13)
Across <i>y</i> axis				(10, 16, 13)
Across <i>z</i> axis				(10, 16, 20)
Across <i>x</i> axis	6	Max pooling	Kernel 2 × 2, stride 2	(10, 10, 6)
Across <i>y</i> axis				(10, 8, 6)
Across <i>z</i> axis				(10, 8, 10)
Across <i>x</i> axis	7	Fully connected + ReLu dropout (rate 0.5)	400 hidden units	400
Across <i>y</i> axis				400
Across <i>z</i> axis				400
Across <i>x</i> axis	8	Softmax	2	2
Across <i>y</i> axis				2
Across <i>z</i> axis				2

**Table 4** Architecture of expert 3

Input Image	Layer	Layer type	Size	Output shape
Across <i>x</i> axis	1	Convolution + ReLu	Filters 12, kernel 3 × 3	(12, 93, 66)
Across <i>y</i> axis				(12, 77, 66)
Across <i>z</i> axis				(12, 77, 93)
Across <i>x</i> axis	2	Max pooling	Kernel 2 × 2, stride 2	(12, 46, 33)
Across <i>y</i> axis				(12, 38, 33)
Across <i>z</i> axis				(12, 38, 46)
Across <i>x</i> axis	3	Convolution + ReLu	Filters 12, kernel 3 × 3	(12, 44, 31)
Across <i>y</i> axis				(12, 36, 31)
Across <i>z</i> axis				(12, 36, 44)
Across <i>x</i> axis	4	Max pooling	Kernel 2 × 2, stride 2	(12, 22, 15)
Across <i>y</i> axis				(12, 18, 15)
Across <i>z</i> axis				(12, 18, 22)
Across <i>x</i> axis	5	Convolution + ReLu	Filters 12, kernel 3 × 3	(12, 20, 13)
Across <i>y</i> axis				(12, 16, 13)
Across <i>z</i> axis				(12, 16, 20)
Across <i>x</i> axis	6	Max pooling	Kernel 2 × 2, stride 2	(12, 10, 6)
Across <i>y</i> axis				(12, 8, 6)
Across <i>z</i> axis				(12, 8, 10)
Across <i>x</i> axis	7	Fully connected + ReLu dropout (rate 0.5)	500 hidden units	500
Across <i>y</i> axis				500
Across <i>z</i> axis				500
Across <i>x</i> axis	8	Softmax	2	2
Across <i>y</i> axis				2
Across <i>z</i> axis				2

Using the values of  $cm^i(s)$  and  $cm^i(k, s)$ , the label matrix,  $LM^i$ , can be calculated. This is a  $K \times K$  matrix. The elements of this matrix are denoted as  $lm^i(k, s)$  which are calculated by the following formula:

$$lm^i(k, s) = \hat{P}(\omega_k | D_j(x) = \omega_s) = \frac{cm^i(k, s)}{cm^i(s)} \tag{9}$$

If  $S_1, S_2, \dots, S_L$  are the labels that classifiers  $D_1$  to  $D_j$  assign to input pattern  $x$ , the estimation of the probability of actual label of input pattern  $x$ ;  $\omega_i$ , is calculated from Eq. (10).

$$\begin{aligned} \mu_D^i(x) &= \prod_{j=1}^L \hat{P}(\omega_i | D_j(x) = S_j) = \prod_{j=1}^L lm_{i,S_j}^i, i \\ &= 1, \dots, K \end{aligned} \tag{10}$$

where  $\hat{P}(\omega_i | D_j(x) = s_j)$  is estimated based on the training data.

### Transfer Learning

Transfer learning [38–42] is the process of using a pretrained CNN on dataset with a large number of samples, freezing the

weights of the convolutional layers, replacing fully-connected layers and training these layers based on the small dataset. This method is very popular in CNN-based networks due to the fact that it accelerates the learning process and is one of the most effective strategies for training a CNN when the dataset may not be adequate to train a full structure of network.

### Applying Transfer Learning in Diagnosis of ASD

The number of individuals ranging in age from 5 to 10 years in ABIDE I, ABIDE II, and the combination of them are 116, 343, and 459, respectively. In order to train CNNs, these are small datasets. Since CNNs are basically trained using a large-scale dataset, transfer learning strategy is one of the most effective strategies when having datasets with limited number of samples. Consequently, in this study, transfer learning strategy was applied as follows:

1. Formation of large datasets: Since each rs-fMRI data contains multiple sMRI images equal to the number of its time points, a large dataset considering the image of each time point as a sample was formed. As a result, the size of the created large ABIDE I, ABIDE II, and the

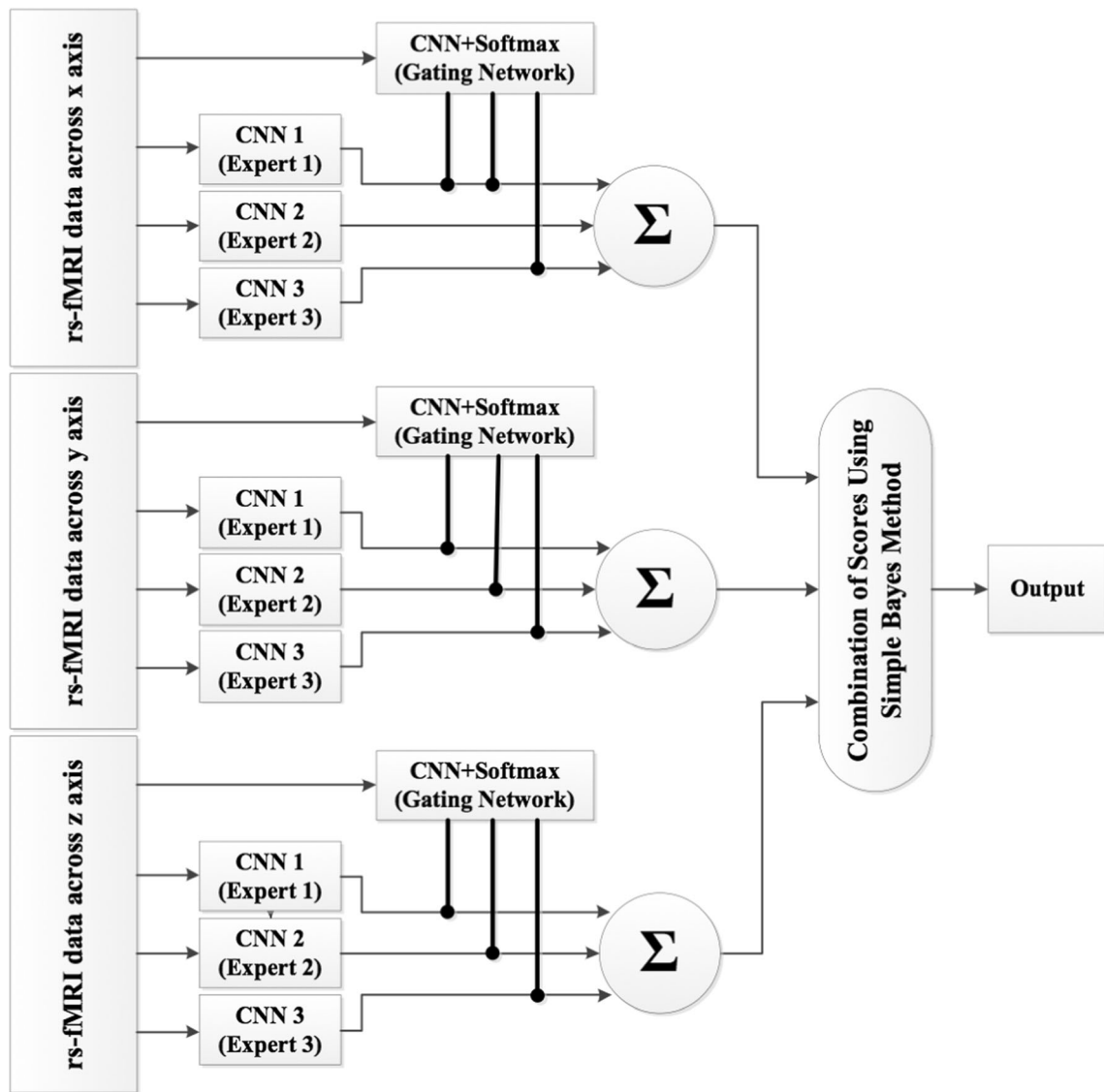


Fig. 3 The combined MCNNs

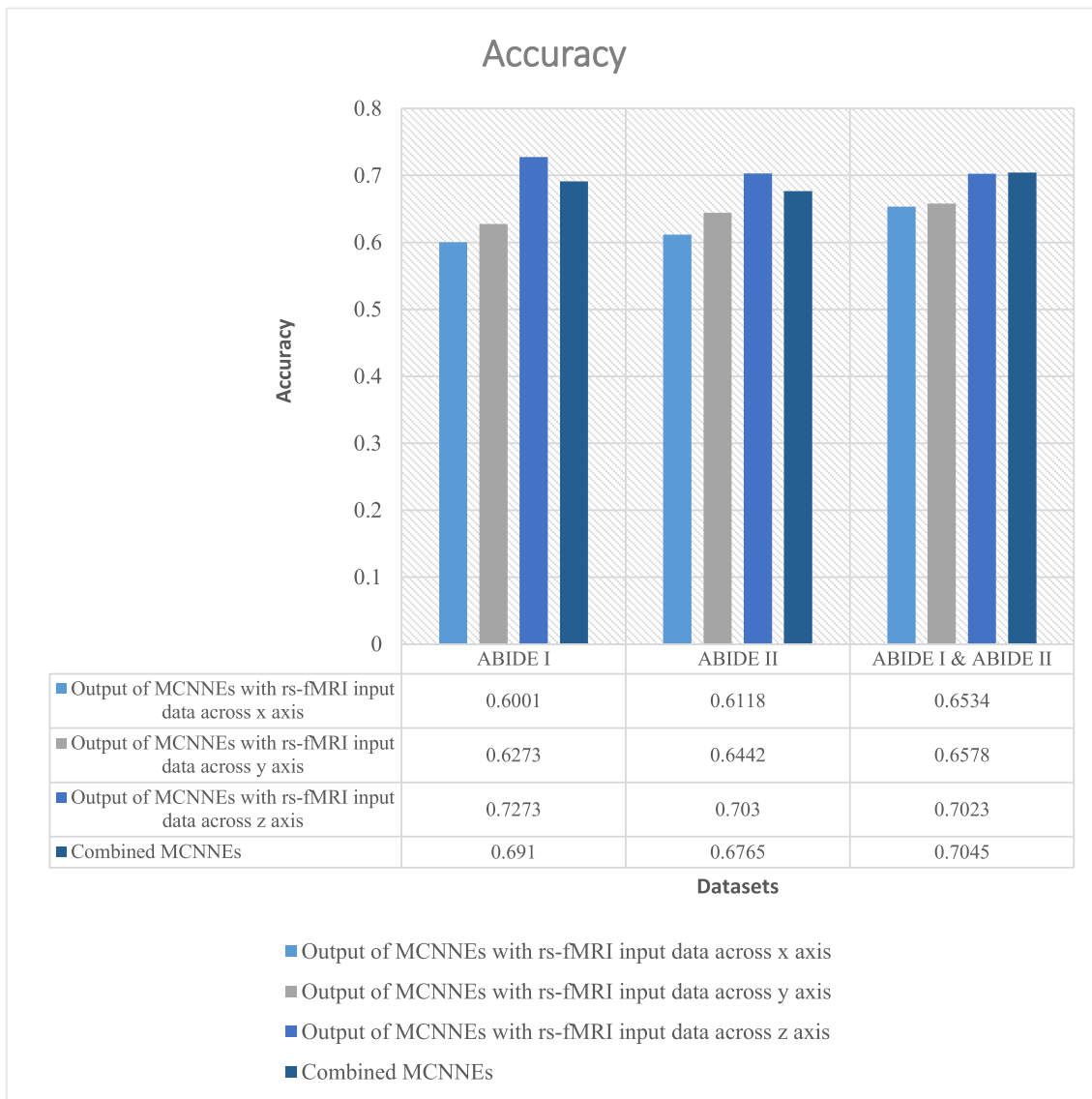
combination of these two datasets is 19,808; 51,392; and 71,200; respectively.

2. Pretraining of the proposed CNNs: Large datasets generated in step 1 were used for pretraining of the proposed CNNs.

Table 5 Hyper-parameters

Datasets	Batch size		Number of epochs	Regularization	Optimization method	Learning rate	Learning rate decay	Beta-1	Beta-2	Epsilon
	Pre-training	Fine-tuning								
ABIDE I	30	22	50	0.01	Adam Adamax	0.01 0.002	0	0.9	0.999	1e-08
ABIDE II		20			Adam Adamax	0.01 0.002				
ABIDE I and ABIDE II		20			Adam Adamax	0.01 0.002				





**Fig. 4** Accuracies obtained from fine-tuning of the last layers of MCNNEs structures with rs-fMRI input data around three axes and combining them using Adam optimization technique

- Using the pretrained CNNs from step 2, by freezing the weights of the initial layers and replacing the fully connected layer, only the fully connected and classifier layers of these networks were fine-tuned by these small datasets (datasets transformed to the frequency domain in the “Dimension reduction” section).

It should be noted that for transfer learning in medical imaging domain, natural image datasets or other medical imaging datasets can be used [43]. Furthermore, the transfer learning is possible between two different domains. In this study, transfer learning between two spatial and frequency domains was performed.

### Implementation Details

In this research, the proposed model was implemented using Keras [44], one of the deep learning libraries written in Python. Keras can use both Theano [45, 46] and Tensorflow [47] as the backend. Keras with the Theano backend was used in this study. All the experiments were conducted using computer with an Intel Core i7 CPU (2.2 GHz) and 16 GB DDR3 memory. To train the model, the loss function and optimization methods are required. Since the problem is a binary classification, a binary cross-entropy loss function was used. In order to minimize loss function, adaptive moment estimation (Adam) and Adamax [48] optimization techniques were used. Adamax method was employed for pretraining of each expert and gating network. Furthermore, both Adam and Adamax

approaches were used for fine-tuning of the last layers of proposed CNNs. A list of hyper-parameters used in this study is presented in Table 5.

### Results

This section presents the results and analysis of proposed model, combination of pretrained MCNNs using simple Bayes method. In order to do so, in the “Comparison of the Results of Combining MCNNs with Each of These Networks on the Three Datasets” and the “Comparison of the Results of Combining MCNNs with Combination of Simple CNNs on the Three Datasets” sections, we will compare the results of combination of three MCNNs with the results of both each MCNNs and combination of three

simple CNNs. In each section, the results will be presented based on fine-tuning of the last layers of the CNNs in two stages. Adam and Adamax optimization methods were used in the first and second stages, respectively.

### Comparison of the Results of Combining MCNNs with Each of These Networks on the Three Datasets

#### Results Obtained from Adam Optimization Technique

The classification accuracies, sensitivities, and specificities obtained from fine-tuning of the last layers of MCNNs structures with rs-fMRI input data around three axes and combining these three structures using Adam optimization technique are shown in Figs. 4, 5, and 6 respectively.

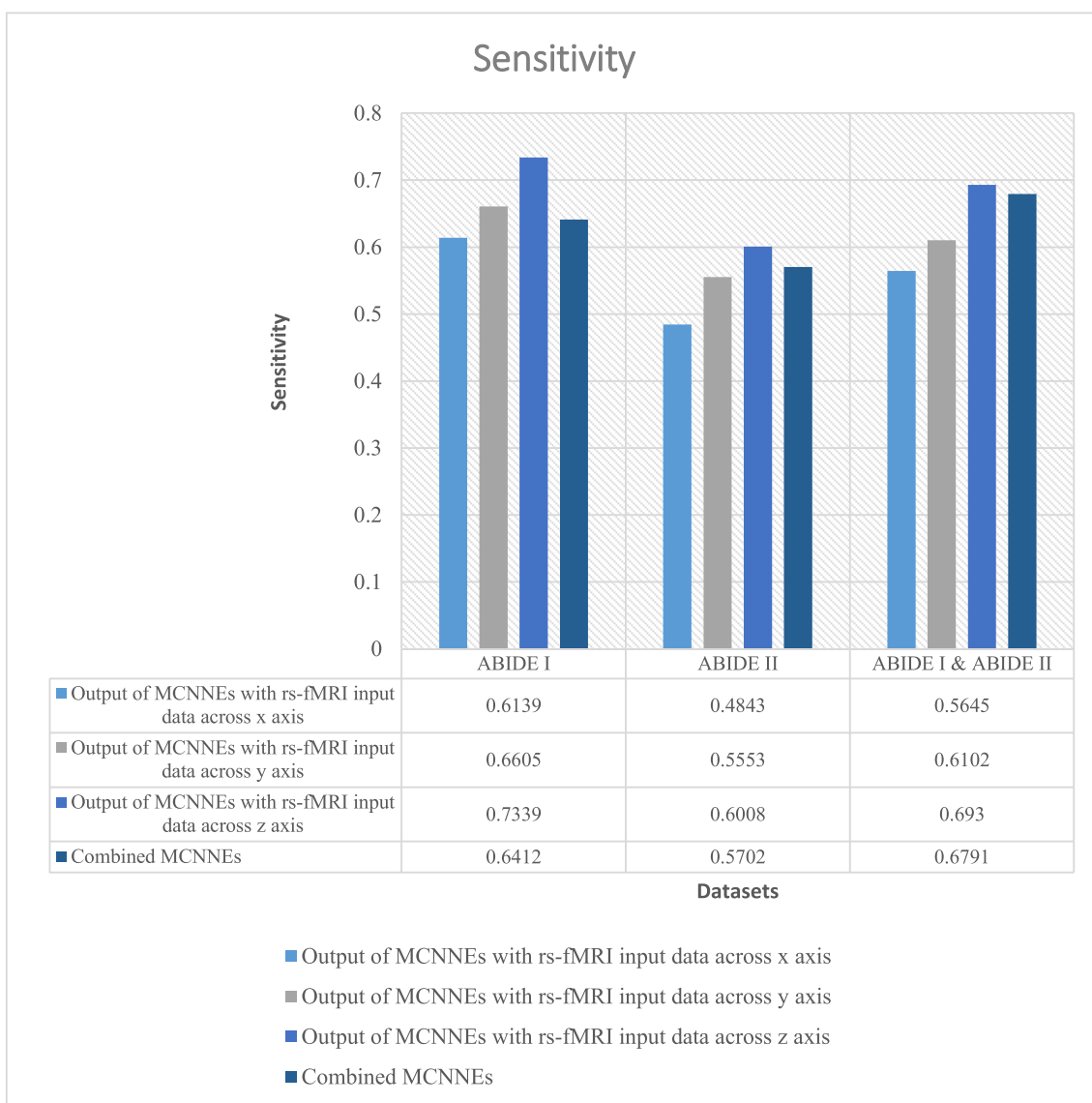
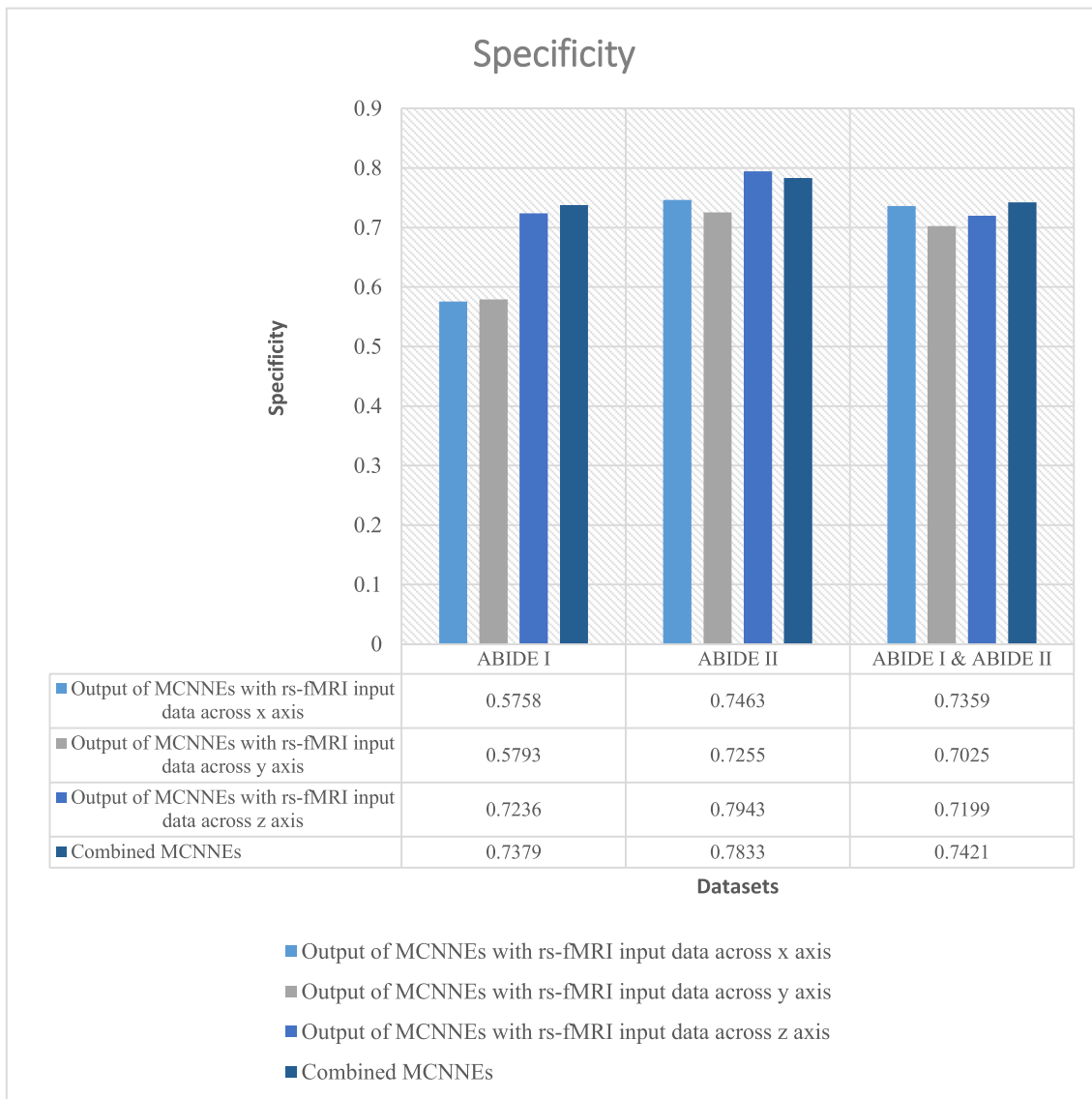


Fig. 5 Sensitivities obtained from fine-tuning of the last layers of MCNNs structures with rs-fMRI input data around three axes and combining them using Adam optimization technique



**Fig. 6** Specificities obtained from fine-tuning of the last layers of MCNNEs structures with rs-fMRI input data around three axes and combining them using Adam optimization technique

Referring to Fig. 4, it can be observed that in a combination of ABIDE I and ABIDE II datasets, the accuracy of combined MCNNEs using simple Bayes method is higher than accuracies obtained from singular MCNNEs with rs-fMRI input data around each axis. Meanwhile, in ABIDE I and ABIDE II datasets, in spite of obtaining less accuracy from the composition mode compared to maximum accuracy obtained from the single mode (around z axis), there was no significant difference. That is because, as it is shown in Table 6, by applying the *t* test to the accuracies obtained from tenfold cross validation, no significant difference was found between the maximum accuracy obtained from the singular structure (around z axis) and the accuracy of the combined MCNNEs ( $p$  (ABIDE I) = 0.6695, ( $p$  (ABIDE II) = 0.5658)).

The results were also calculated in terms of other evaluation criteria such as sensitivity and specificity (Figs. 5 and 6).

These figures also demonstrate that the composition mode will not provide better results compared to single networks. Furthermore, by applying the *t* test to the sensitivities and specificities which resulted from tenfold cross validation and calculating the *p* value, it can be concluded that there was no significant difference between maximum of these criteria obtained from each single network and their combination (Table 6).

### Results Obtained from Adamax Optimization Technique

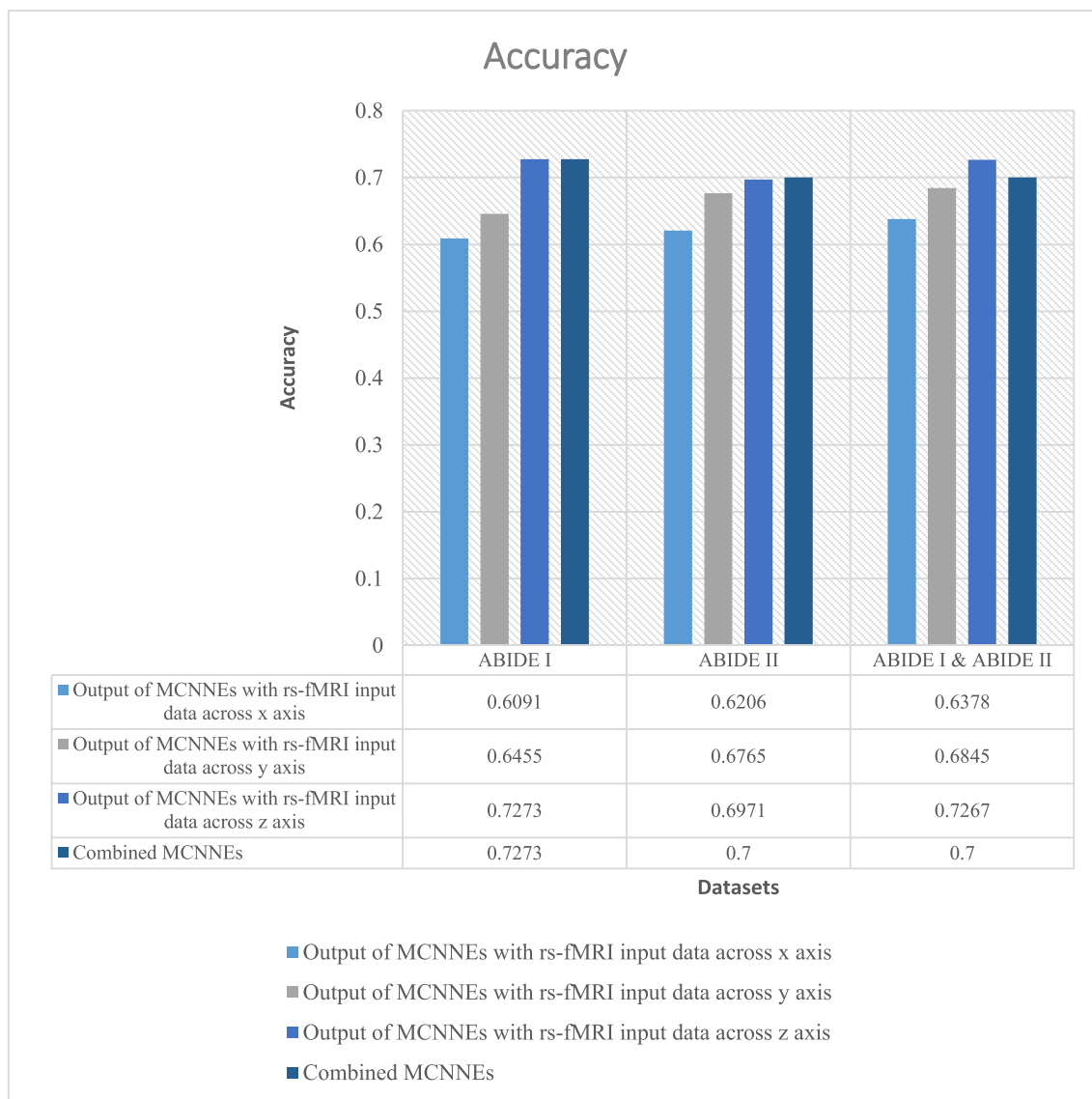
The classification results in terms of accuracies, sensitivities, and specificities obtained from fine-tuning of the last layers of MCNNEs structures with rs-fMRI input data around *x*, *y*, and *z* axes and combining them using Adamax optimization technique are shown in Figs. 7, 8, and 9, respectively.

**Table 6** Applying the *t* test to evaluation criteria obtained from tenfold cross validation on MCNNs with maximum value obtained around one axis and combining MCNNs according to rs-fMRI data based on first slices and using Adam and Adamax optimization techniques

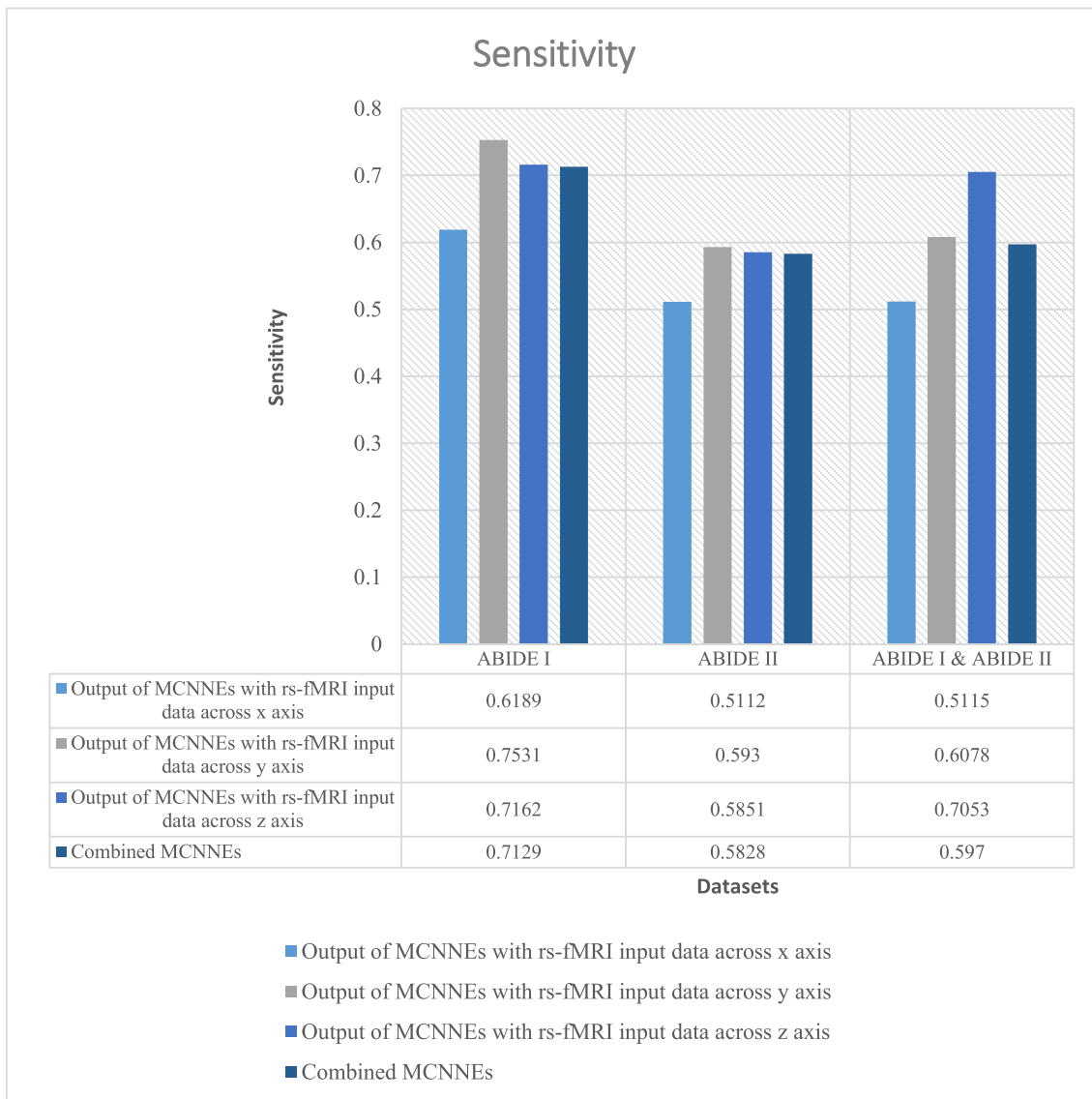
Optimization techniques	Datasets	<i>p</i> value		
		Accuracy	Sensitivity	Specificity
Adam	ABIDE I	0.6695	0.3473	–
	ABIDE II	0.5658	0.6519	0.7912
	Combination of ABIDE I and ABIDE II	–	0.8583	–
Adamax	ABIDE I	1	0.7014	0.925
	ABIDE II	–	0.8945	–
	Combination of ABIDE I and ABIDE II	0.2495	0.0906	–

As shown in Fig. 7, it can be observed that, unlike Adam optimization technique on ABIDE II dataset, the accuracy of combined MCNNs using simple Bayes method is higher than accuracies obtained from each MCNNs with rs-fMRI

input data around each axis. Meanwhile, in ABIDE I dataset in composition mode, despite obtaining accuracy equal to maximum accuracy obtained around *z* axis, a sustainable result was obtained. That is because, as it is shown in Table 6, by



**Fig. 7** Accuracies obtained from fine-tuning of the last layers of MCNNs structures with rs-fMRI input data around three axes and combining them using Adamax optimization technique



**Fig. 8** Sensitivities obtained from fine-tuning of the last layers of MCNNEs structures with rs-fMRI input data around three axes and combining them using Adamax optimization technique

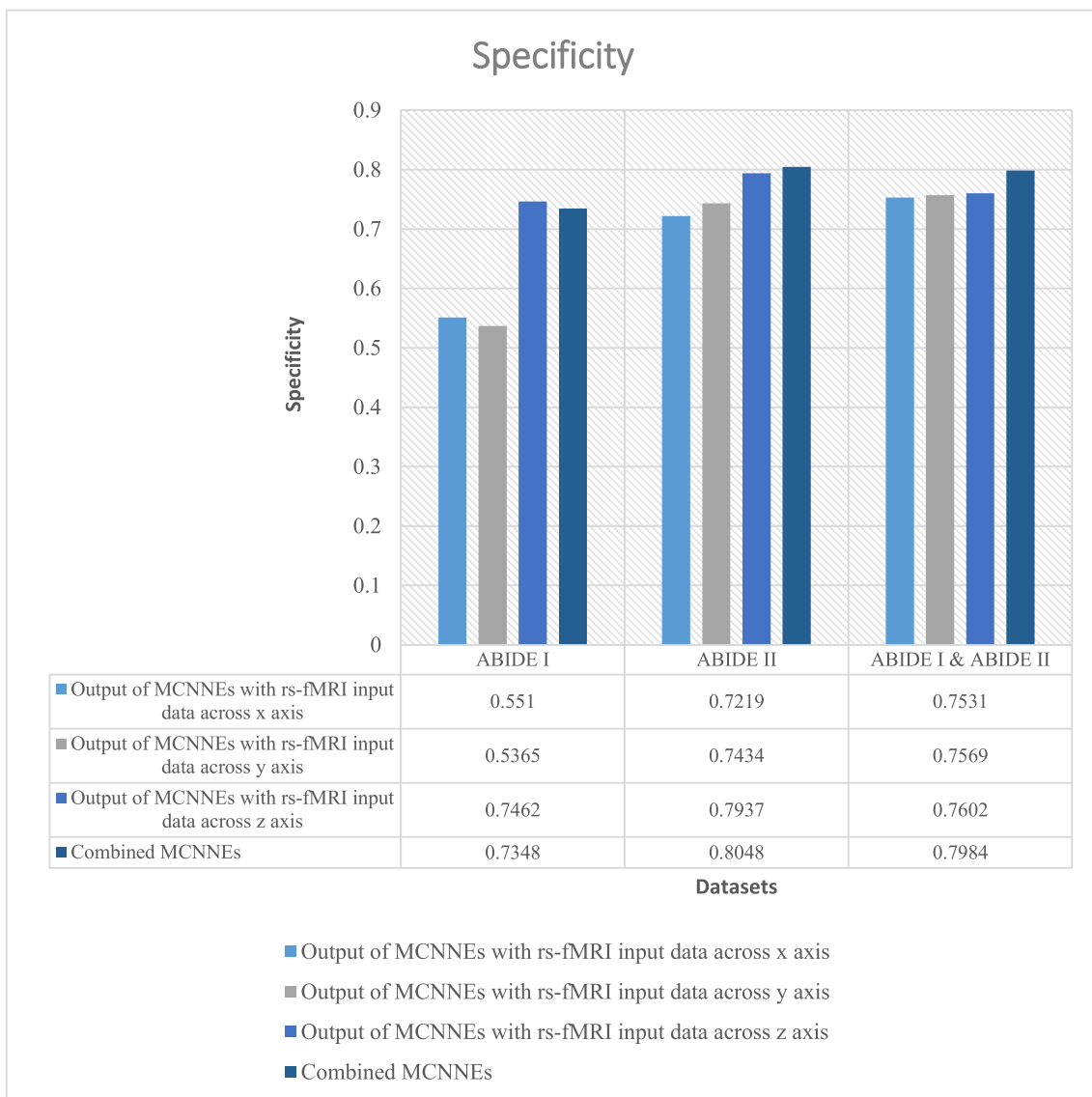
applying the *t* test to the accuracies obtained from tenfold cross validation, there was no significant difference between the maximum accuracy and combined MCNNEs ( $p = 1$ ). In the results obtained from a combination of these two datasets, the accuracy obtained from the composite mode is lower than the maximum accuracy (around *z* axis); however, by applying the *t* test (Table 6), a similar conclusion is drawn in terms of lack of a significant difference ( $p = 0.2495$ ). Meanwhile, in Adam optimization technique, the accuracy obtained from the combined MCNNEs with simple Bayes method on a combination of these two datasets was higher compared to the each MCNNEs alone. Figures 8 and 9 represent other evaluation criteria such as sensitivity and specificity. As it was mentioned in the “Results Obtained from Adam Optimization Technique” section, *t* test was also applied to sensitivity and specificity of MCNNEs with maximum values

and the result of combined MCNNEs. With consideration to the *p* value, it can be concluded that the null hypothesis of equality of the averages of these criteria cannot be rejected (Table 6).

**Comparison of the Results of Combining MCNNEs with Combination of Simple CNNs on the Three Datasets**

**Results Obtained from Adam Optimization Technique**

The performance measures namely, accuracy, sensitivity, and specificity obtained from fine-tuning of the last layers of combined MCNNEs and combined simple CNNs structures with rs-fMRI input data using Adam optimization technique are shown in Figs. 10, 11 and 12, respectively.



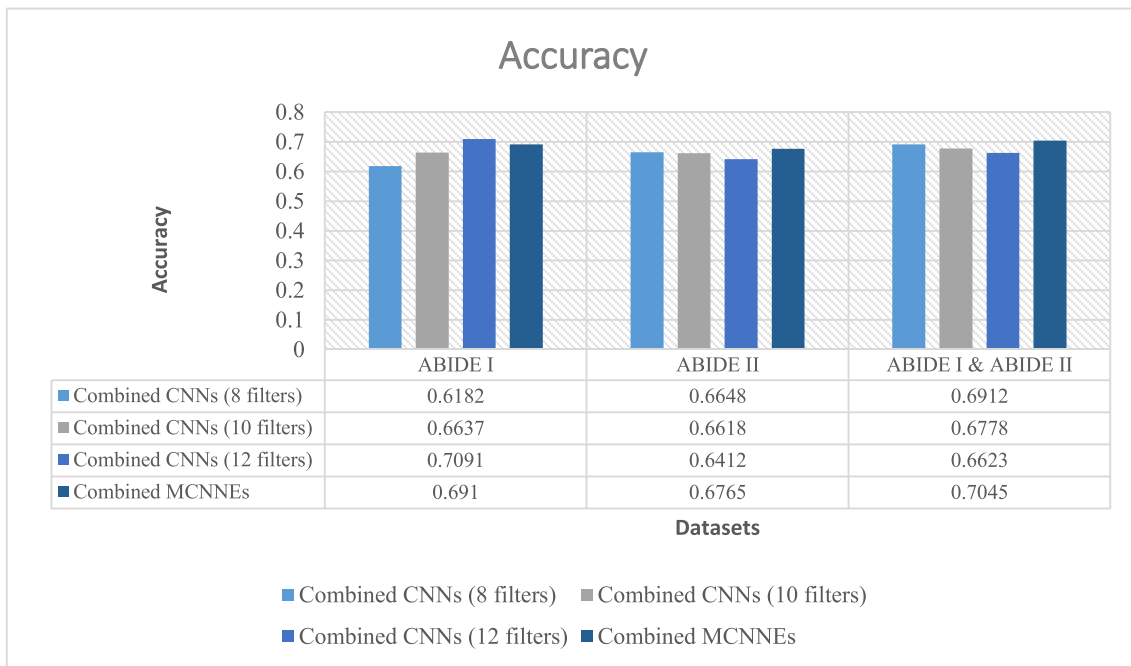
**Fig. 9** Specificities obtained from fine-tuning of the last layers of MCNNEs structures with rs-fMRI input data around three axes and combining them using Adamax optimization technique

Figure 10 illustrates that the best accuracy was obtained from combined MCNNEs on ABIDE II dataset and a combination of ABIDE I and ABIDE II datasets. The accuracy obtained from combined MCNNEs on ABIDE I dataset only fails to exceed the combined CNNs with 12 filters. In other words, by applying the *t* test, considering the *p* value, it can be concluded that there was no significant difference between the accuracy of combined MCNNEs and combined CNNs with 12 filters on ABIDE I dataset ( $p = 0.8384$ ).

Figures 11 and 12 also represent sensitivity and specificity of combined MCNNEs and combined CNNs based on different filters. Figure 11 shows that only the sensitivity of combined MCNNEs exceeds combined CNNs in all structures with 8, 10 and 12 filters on a combination of two datasets. However, the

sensitivity in the composite mode of MCNNEs on ABIDE I dataset is higher compared to combined CNNs with 8 filters. Also, on ABIDE II dataset, the sensitivity of combined MCNNEs is higher than combined CNNs with both 10 and 12 filters. In other words, despite obtaining less sensitivity from the composite mode of MCNNEs compared to combined CNNs, no significant difference was obtained (Table 7).

Figure 12 shows that in terms of specificity, the composition mode of MCNNEs has obtained lower results compared to combined CNNs with 8, 10, and 12 filters on all three datasets whereas by applying the *t* test and considering the *p* values ( $p > 0.05$ ) on all three datasets, the null hypothesis of equality of the averages of specificities cannot be rejected (Table 7).

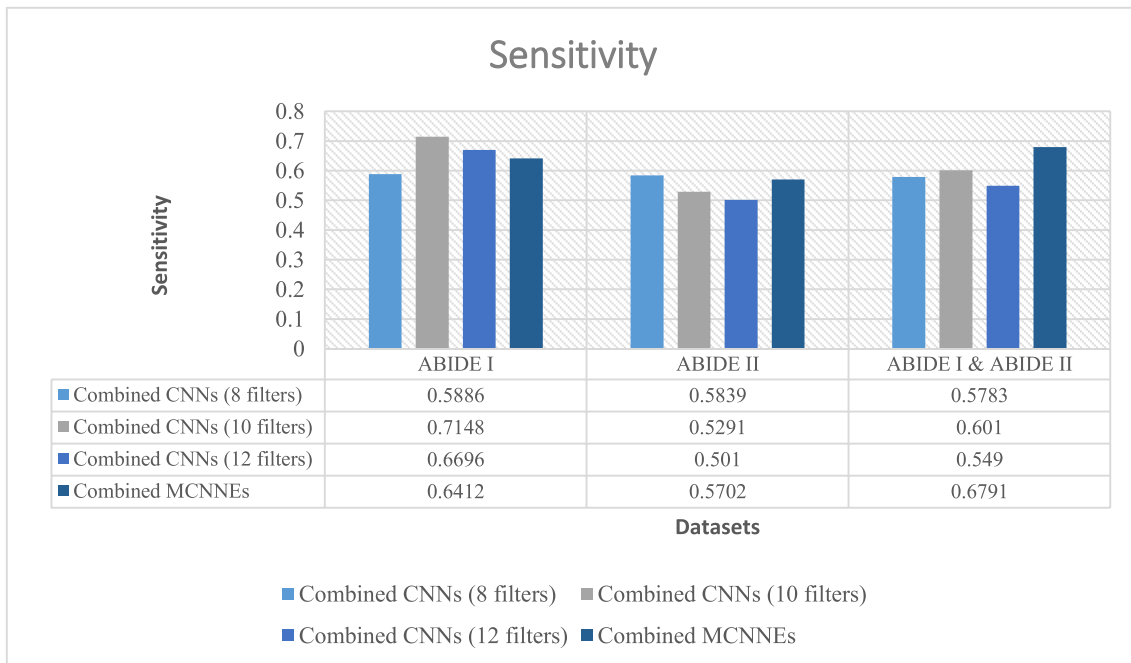


**Fig. 10** Accuracies obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adam optimization technique

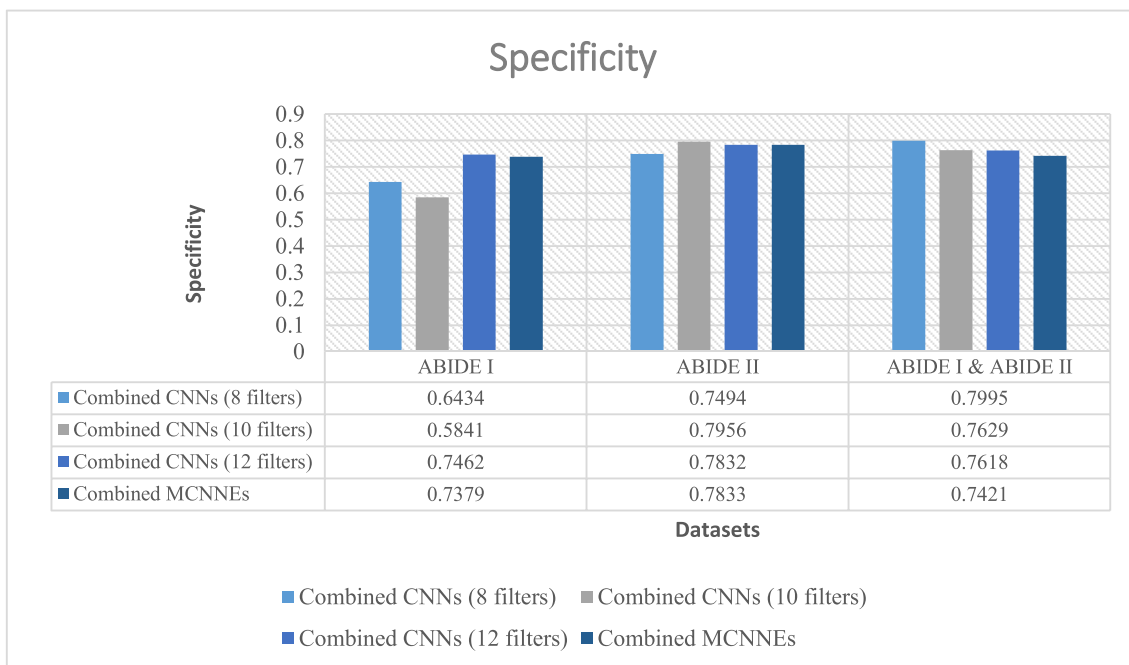
**Results Obtained from Adamax Optimization Technique**

The classification accuracies, sensitivities, and specificities obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with rs-fMRI input data using Adamax optimization technique are shown in Figs. 13, 14, and 15 respectively.

Figure 13 indicates that (unlike Adam optimization technique) on ABIDE I and ABIDE II datasets, the best accuracy was obtained from the combined MCNNs. The accuracy obtained from combined MCNNs on a combination of these two datasets (unlike Adam optimization technique) only fails to exceed the combined CNNs with 12 filters. In other words, by applying the *t* test, considering the *p* value, it can be



**Fig. 11** Sensitivities obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adam optimization technique



**Fig. 12** Specificities obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adam optimization technique

concluded that there was no significant difference between the accuracy of combined MCNNs and combined CNNs with 10 filters on a combination of ABIDE I and ABIDE II datasets ( $p = 0.617$ ).

Moreover, Figs. 14 and 15 also represent criteria such as sensitivity and specificity of combined MCNNs and combined CNNs based on different filters. Figure 14 demonstrates that only the sensitivity of combined MCNNs exceeds combined CNNs in all structures with 8, 10, and 12 filters on ABIDE I dataset (unlike Adam optimization technique). However, the sensitivity in the composite mode of MCNNs increased (unlike Adam optimization technique) on the combination of two ABIDE I and ABIDE II datasets compared to combined CNNs with 8 and 12 filters. Also, on ABIDE II dataset (similar to Adam optimization technique), the sensitivity of combined MCNNs is higher compared to combined CNNs with 10 and 12 filters. In other words, despite obtaining less sensitivity in the composite mode of

MCNNs compared to combined CNNs, no significant difference was observed (Table 7).

According to Fig. 15, unlike Adam optimization technique, the specificity of combined MCNNs compared to combined CNNs is exceeded in all structures with 8, 10, and 12 filters only on ABIDE I dataset. Meanwhile, by applying the  $t$  test and considering the  $p$  values, according to Table 7, the null hypothesis of equality of the averages of specificities cannot be rejected.

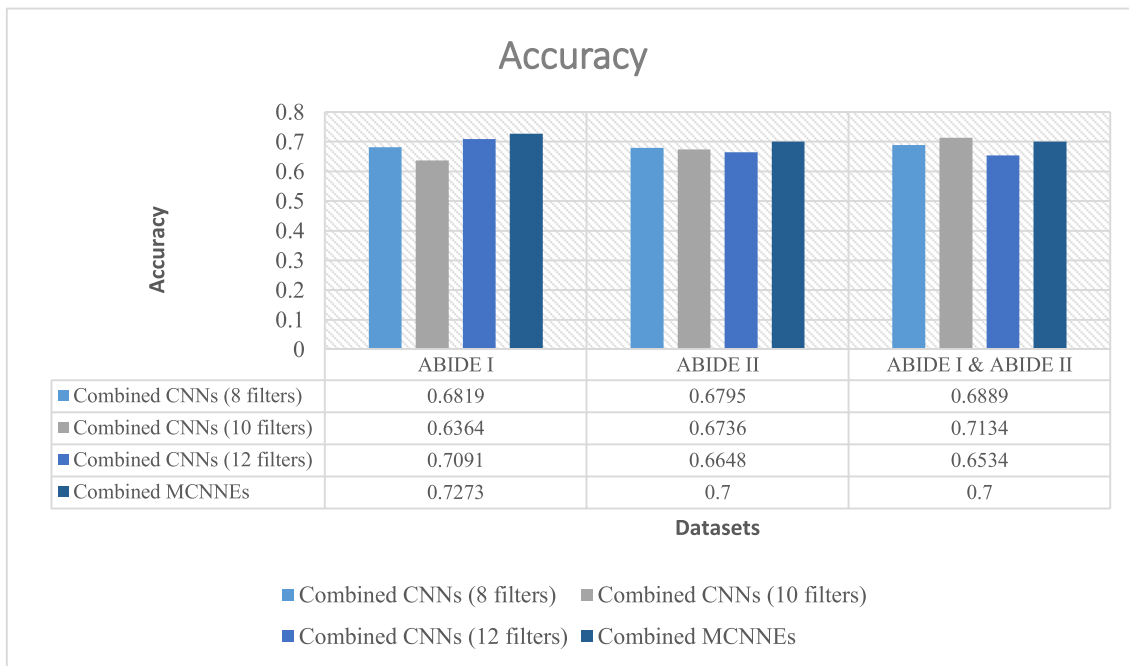
### Discussion

Accuracy, sensitivity, and specificity of our proposed method and previous works are summarized in Table 8. This research demonstrated the utility of combined MCNNs model based on both Adam and Adamax optimization techniques and achieved higher accuracy,

**Table 7** Applying the  $t$  test to evaluation criteria obtained from tenfold cross validation on combined CNNs with maximum value and combined MCNNs according to rs-fMRI data based on first slices and using Adam and Adamax optimization techniques

Optimization techniques	Datasets	$p$ value		
		Accuracy	Sensitivity	Specificity
Adam	ABIDE I	0.8384	0.4528	0.9438
	ABIDE II	–	0.8541	0.7385
	Combination of ABIDE I and ABIDE II	–	–	0.3233
Adamax	ABIDE I	–	–	–
	ABIDE II	–	0.8784	0.78
	Combination of ABIDE I and ABIDE II	0.617	0.5967	0.3856

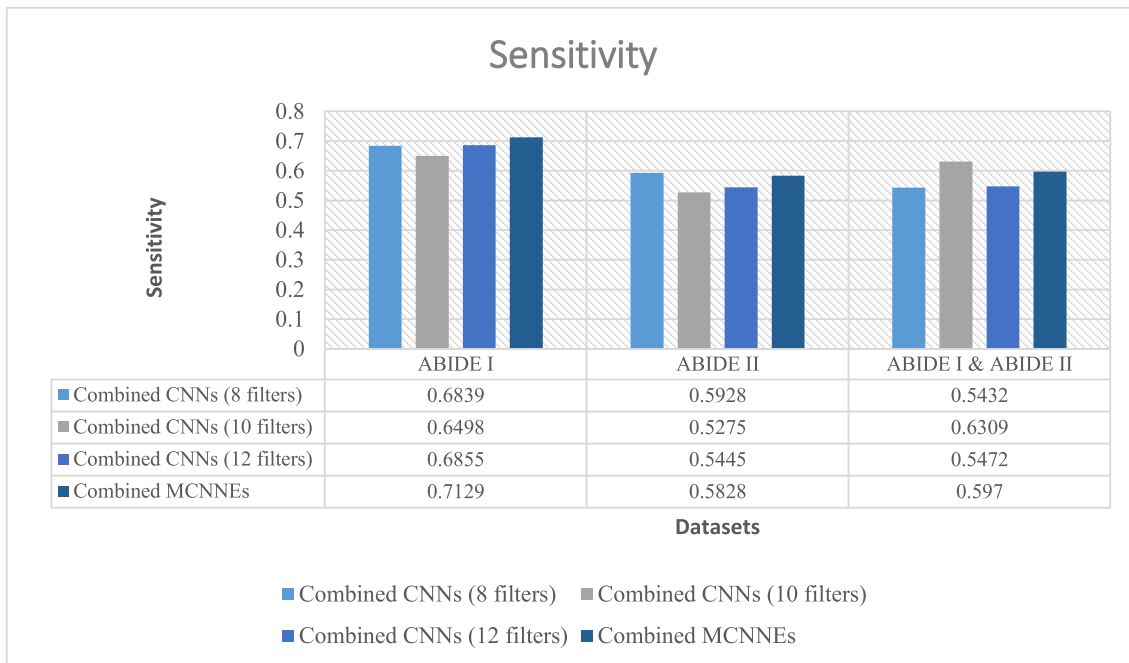




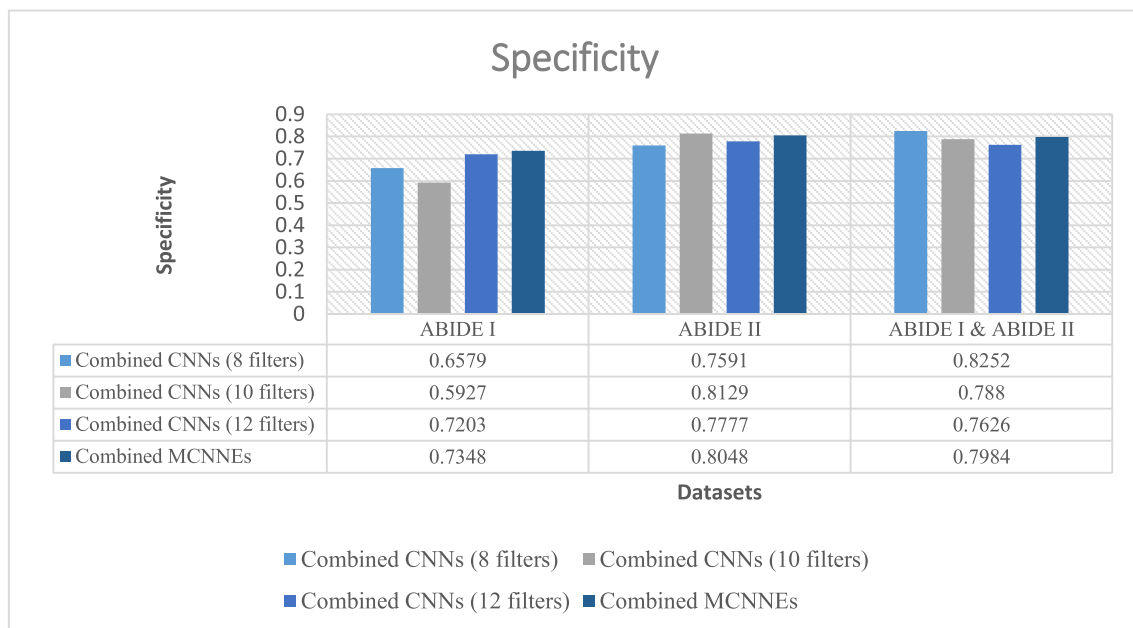
**Fig. 13** Accuracies obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adamax optimization technique

sensitivity, and specificity in comparison to models presented by Nielsen et al. [15], Ghiassian et al. [20], and Sen [21]. Previously, on ABIDE I dataset, the best accuracy, sensitivity, and specificity was 0.6502 [20], 0.62 [15], and 0.6475 [21], respectively. Our proposed model built with CNNs and Adamax optimization technique increased that accuracy (from 0.6502 to 0.7273), sensitivity (from

0.62 to 0.712), and specificity (from 0.6475 to 0.7348), respectively. It should be noted that the results obtained based on ABIDE II dataset and combination of ABIDE I and II datasets are not comparable to the results of the study of Nielsen et al. [15], Ghiassian et al. [20], and Sen [21], because no results have been published on them. However, acceptable classification results obtained on



**Fig. 14** Sensitivities obtained from fine-tuning of the last layers of combined MCNNs and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adamax optimization technique



**Fig. 15** Specificities obtained from fine-tuning of the last layers of combined MCNNes and combined simple CNNs structures with 8, 10, and 12 filters with input data, using Adamax optimization technique

these datasets. CNN is able to extract hierarchical representations from large-scale data via multiple layers of non-linear transformations. These transformations created representations that are beneficial for automated feature learning and classification task. With such discovering latent feature representation, particularly in case of complex fMRI datasets, it becomes easier to achieve better performance than traditional machine learning models that used hand-crafted features and considered only simple low-level features extracted from fMRI data. Furthermore, our combined MCNNes architecture has its drawbacks as well. Deeper networks and especially presented model require more computational time and take longer time to train compared to the traditional methods such as support vector machine [20]. However, the computational burden of our proposed model was mostly related to the pretraining phase. In addition, despite increasing computational

burden in combined networks compared to a single network, combined model exceeds a single model due to obtaining a more reliable, stable, and reproducible results. Another difficulty is the selection of appropriate parameters such as the number of convolutional filters and neurons in each fully connected layer and training hyper-parameters like learning rate, in order to obtain the best results and have acceptable computational burden. Furthermore, based on the experience gained in this research, the two following methods are recommended for future works and improvements of the results: Firstly, since the spatial dimensions of fMRI data are three, a 3D CNN can be designed instead of the 2D CNN presented in this study. Secondly, the CNNs proposed in this study were forward networks. Such networks are able to classify static images. Having sequences of images requires dynamic models. One solution for such problems is using recurrent

**Table 8** Comparison of results

Datasets	Method	Accuracy	Sensitivity	Specificity
ABIDE I	Nielsen et al. [15]	0.6	0.62	0.58
	Ghiassian et al. [20]	0.6502	–	–
	Sen [21]	0.6139	0.5781	0.6475
	Our proposed method	Adam	0.691	0.641
Adamax		0.7273	0.712	0.7348
ABIDE II	Adam	0.6765	0.570	0.783
	Adamax	0.7	0.582	0.804
Combination of ABIDE I and ABIDE II	Adam	0.7045	0.679	0.7421
	Adamax	0.7	0.59	0.798

neural network such as long short-term memory along with CNNs.

## Conclusions

This study presented an intelligent image-based computer-aided detection system for diagnosis of ASD based on rs-fMRI data of subjects ranging in age from 5 to 10 years from the ABIDE I and ABIDE II datasets. This system is based on CNNs using “combining classifiers,” both dynamic (mixture of experts) and static (simple Bayes) approaches, and “transfer learning” strategy. Some of the benefits of this model include achieving acceptable results and overcoming the challenge of obtaining dataset with sufficient samples in medical imaging domain. In addition, compared to the previous methods, researchers and specialists will be able to automatically extract features and classify images in a unique structure. In this paper, the results of diagnosis of ASD increased compared to the results of previous studies based on ABIDE I dataset. Moreover, acceptable classification results were obtained on the ABIDE II and the combination of ABIDE I and ABIDE II datasets. Furthermore, Adam and Adamax optimization techniques were employed. According to the obtained results on all three datasets, ABIDE I, ABIDE II, and the combination of them, it was observed that Adamax optimizer effectively tends to have smaller errors compared to Adam optimizer. We can conclude that the proposed architecture can be considered as an efficient tool for diagnosis of ASD in young children. From another perspective, this proposed method can be applied to analyzing fMRI data related to brain dysfunctions.

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## Compliance with Ethical Standards

**Conflict of Interest** The authors declare that they have no conflict of interest.

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