



During infant maltreatment, stress targets hippocampus, but stress with mother present targets amygdala and social behavior

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Infant maltreatment increases vulnerability to physical and mental disorders, yet specific mechanisms embedded within this complex infant experience that induce this vulnerability remain elusive. To define critical features of maltreatment-induced vulnerability, rat pups were reared from postnatal day 8 (PN8) with a maltreating mother, which produced amygdala and hippocampal deficits and decreased social behavior at PN13. Next, we deconstructed the maltreatment experience to reveal sufficient and necessary conditions to induce this phenotype. Social behavior and amygdala deficits (volume, neurogenesis, c-Fos, local field potential) required combined chronic high corticosterone and maternal presence (not maternal behavior). Hippocampal deficits were induced by chronic high corticosterone regardless of social context. Causation was shown by blocking corticosterone during maltreatment and suppressing amygdala activity during social behavior testing. These results highlight (1) that early life maltreatment initiates multiple pathways to pathology, each with distinct causal mechanisms and outcomes, and (2) the importance of social presence on brain development.

maltreatment | amygdala | hippocampus | social behavior | corticosterone

Early life maltreatment from the caregiver is a risk factor for myriad physical and mental health disorders, most of which emerge in later life in both humans and animal models (1–7). Yet, we still have little understanding of how the infant brain responds to maltreatment and which specific variables in this complex social trauma initiate the aberrant developmental trajectory to induce later life pathology. Two variables have consistently been highlighted as detrimental during early life in both human studies and animal models: increases in stress hormones, particularly glucocorticoids, and trauma associated with the caregiver versus trauma experienced alone (8–13). Moreover, while typical rearing is associated with the caregiver being able to soothe a threatened infant and attenuating stress hormone release (termed social buffering), this process is compromised in maltreatment rearing (14). This suggests that the maltreated infant has pairings of elevated stress hormone while with the mother or other caregiver, which would rarely occur in typically reared children. Here, we manipulate these variables (maternal context of stress, corticosterone levels) and focus on brain regions consistently shown to be targeted by early life trauma in humans and animal models: the amygdala and hippocampus.

The delayed emergence of neurobehavioral vulnerability to pathologies induced by early life trauma challenges our identification of the developmental causes of later dysfunction. However, subtle predictive markers have been identified in young children, such as parental observations of their infant's heightened anxiety/fear and disrupted infant social behavior within mother–infant interactions (15, 16). For this reason, we assessed social behavior toward the mother as an early life biomarker for abnormal brain development. Understanding the neurobiology of these early life

behaviors has also been challenging, although the amygdala and hippocampus have been implicated as loci of dysfunction following trauma in young children and animal models (17–20). Accordingly, we focused on the amygdala and hippocampus to better understand the neural signature of the response to maltreatment.

We used a 2-pronged approach to assess the neurobehavioral response to maltreatment involving (1) a naturalistic paradigm where the mother rat maltreats the pups, providing a natural maltreatment-induced phenotype, and then (2) deconstructing the complex natural experiences associated with maltreatment to identify the necessary and sufficient conditions to mimic the maltreatment-induced phenotype. Specifically, in our deconstructed maltreatment experience, we precisely control and isolate 2 critical features of infant maltreatment: elevation of the stress hormone corticosterone (or control saline) and the social context of stress hormone elevation (with an awake-behaving mother expressing typical caregiving, an anesthetized mother to separate effects of maternal presence from maternal behavior, or a non-social tube). We present results suggesting the necessary and sufficient conditions for chronic stress to induce social behavior and

Significance

Identifying the critical components of the complex infant experience within the nest that are necessary and sufficient to increase vulnerability to physical and mental disorders is a critical step toward the development of targeted interventions for neurobehavioral deficits observed in maltreated children. While it is generally believed that maternal behavior is correlated with infant outcomes, no assessment of the infant brain during caregiver-related maltreatment has been conducted to uncover causal factors. Here, we use animal models of maltreatment designed to build a bridge between human and animal literature. Our results suggest maltreatment-related social behavior and amygdala dysfunction require both an increase of the stress hormone corticosterone and the context of maternal presence, while hippocampal dysfunction depends only on increased corticosterone.

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amygdala deficits require the social context of the mother, while hippocampal deficits are unconstrained by the social context of stress.

Results

Our naturalistic maltreatment study (experiment 1) and our deconstructed reproduction of some aspects of the maltreatment experience (experiment 2) both begin on postnatal day 8 (PN8) and continuing until testing. In both experiments, pups are removed from the nest on PN13 and given a social behavior test with an anesthetized mother to enable the observation of pups' neurobehavioral response to the mother without maternal behavioral participation.

Experiment 1.

Maltreatment rearing increases pups' corticosterone levels and alters social behavior toward the mother. To provide a benchmark for studying the role of corticosterone within a social context and maltreatment-induced deficits, we began by using a well-validated naturalistic maltreatment animal model of early life, scarcity-adversity (Fig. 1A), which is known to produce adult psychopathologies and target the amygdala and hippocampus (16, 21–24). In this model,

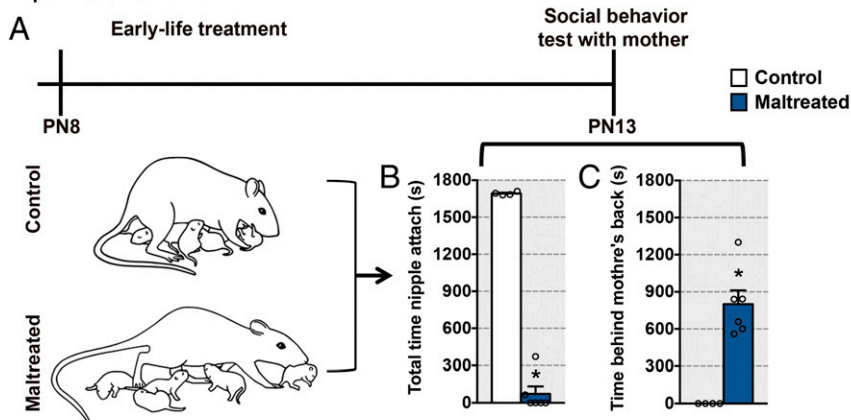
maltreatment-like maternal behaviors were induced by providing the mother rat with insufficient nest-building materials (Fig. 1D and *SI Appendix, Online Materials*). This induces rough handling of pups and frequent nest building, although pups gain weight normally. Control mothers were housed with sufficient nest-building materials and did not exhibit maltreatment-like behavior toward pups. Importantly, our results indicate that 5 d of this maltreatment rearing procedure increased pups' corticosterone levels (Fig. 1D), corroborating previous findings (16).

At PN13, pups in both rearing conditions underwent a 30-min social behavior test with an anesthetized mother. This test eliminates maternal behavior but retains the maternal odor cue to enable pups to identify their mother (25). The mild stress of exposing the pups to this social behavior test uncovered behavioral differences that are not observed within the nest environment (16). Specifically, our results show that maltreated-reared pups displayed aberrant social behavior toward the mother compared with controls (Fig. 1B and C), as they spend less time nipple-attached and spend more time behind the mother's back rather than at the ventrum.

This maltreatment-induced atypical social behavior with the mother was averted by preventing pup corticosterone increases

Corticosterone elevation during maltreatment causes social behavior deficits

Experiment 1 timeline



D Frequency of maternal and pup behaviors observed in the early-life scarcity-adversity model

| | Control | Maltreated |
|-------------------------------|------------|-------------|
| Mother abnormal behaviors | | |
| Steps or jump on | 1.65% | 27.90% |
| Rough handling | 0.00% | 4.43% |
| Nest building | 2.80% | 14.30% |
| Mother normal behaviors | | |
| Nursing | 71.15% | 40.63% |
| Mother's time in the nest | 77.80% | 52.47% |
| Pup vocalization | 2.20% | 59.40% |
| Pup body weight at PN13 (g) | 28.80±0.29 | 28.90±0.78 |
| Corticosterone levels (ng/mL) | 35.50±6.08 | 52.75±2.43* |

Fig. 1. Maltreatment induces social attachment behavior deficits. (A) Experimental design showing that half of the animals were exposed to a scarcity-adversity (maltreated) model of early life in which the mother and her pups were housed in a cage containing low bedding continuously starting from PN8. As a control, the remaining mothers were housed in cages with abundant bedding material for nest building. At PN13, pups received a 30-min pup social behavior test with an anesthetized mother. The use of an anesthetized mother eliminated the contribution of the mother to pup behavior and enabled us to uncover pup neurobehavioral deficits. (B and C) At PN13, pup behavior during the pup social behavior test showed that the maltreated pups showed aberrant social behaviors with the mother [total time nipple attached: $t_{(8)} = 21.26, P < 0.0001$; time behind the mother's back: $t_{(8)} = 5.75, P = 0.0004$]. Over the course of the treatment, approach toward the nonsocial tube stimulus did not differ between corticosterone-treated and saline-treated pups (number of contacts, tube + saline: day 1, 1 ± 0.26 ; end of treatment, 0.5 ± 0.22 ; number of contacts, tube + CORT: day 1, 0.83 ± 0.31 , end of treatment, 0.83 ± 0.307 ; number of contacts, mother + saline: day 1, 6 ± 0 ; end of treatment, 6 ± 0 ; number of contacts, mother + tube: day 1, 4 ± 0.68 ; end of treatment, 6 ± 0). (D) Table showing the proportion of maternal behaviors observed during the maltreatment exposure; body weight is not different between rearing conditions [$t_{(8)} = 0.10, P = 0.993$], and serum corticosterone levels were higher in maltreated pups [$t_{(8)} = 3.04, P = 0.016$] at PN13. Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Maltreated pups were different from control reared pups ($n = 4$ to 6 for all groups).

during maltreatment rearing. Specifically, we administered the corticosterone synthesis inhibitor metyrapone (intraperitoneally, 50 mg/kg; Sigma) or saline daily to pups before using the same scarcity-adversity-induced maltreatment described above. However, to limit our blockade of corticosterone to the time of maltreatment, we only depleted the dam's nesting resources daily for 1 h, again beginning on PN8 and testing on PN13 with an anesthetized mother (Fig. 2). Limiting bedding for 1 h each day reliably increased maltreatment by the mother [replicates (6)] and was associated with pup social behavior deficits toward the mother during the social behavior test, similar to chronic maltreatment.

Data presented in Figs. 1 and 2 indicate that maltreatment increases pup corticosterone levels and disrupts pup social behavior toward the mother, which can be prevented by blocking up-regulation of corticosterone during maltreatment. Taken together, these results suggest that maltreatment impacts social behavior with the mother through up-regulation of stress hormone levels.

Maltreatment produces immediate amygdala dysfunction but spares the hippocampus. To explore the neurobiology of maltreatment-induced behavioral deficits, we examined functional and structural changes to the amygdala and hippocampus, 2 brain areas highlighted as targets of stress in the literature (2, 20, 26). As shown in Fig. 3, maltreated pups' atypical social behavior with the mother at PN13 was associated with amygdala neural hyperactivity but no detectable changes in the hippocampus, as indicated by c-Fos expression 90 min after the mother-pup social behavior test. Specifically, neural activity in amygdala, including the basolateral (BLA), central (CeA), cortical (CoA), and medial (MeA) nuclei, was significantly higher in maltreated pups during social behaviors compared with control pups (Fig. 3 F–J). In contrast, overall hippocampal c-Fos and regional measures in CA1, CA3, and the dentate gyrus were not significantly different between groups (Fig. 3 A–E).

Due to the increased amygdala neural activity in maltreated pups, we measured amygdala local field potentials (LFPs) using telemetry in untethered pups to determine potential dynamic rhythmic neural activity within the amygdala as pups interacted with an anesthetized mother (Fig. 3 K–N). Our previous work indicated that typically reared pups' LFPs showed dynamic decreases in both the gamma- and beta-frequency bands with maternal presence, which co-occurred in the cortical areas (27) and

the somatosensory system (28). Our current results show that the amygdalae of maltreated pups, compared with controls, displayed significantly enhanced power in gamma (35 to 100 Hz) and beta (15 to 35 Hz) frequencies, while the theta-frequency band (5 to 15 Hz) was not altered (Fig. 3 K–N). Overall, pups exposed to maltreatment failed to exhibit the maternal presence-induced decrease in LFP high-frequency oscillations observed in control pups, suggesting diminished ability of maternal sensory cues to influence the maltreatment-reared pups (27).

Different from the functional changes, maltreatment-induced structural changes were observed in both the amygdala and hippocampus in PN13 pups. Specifically, we observed volumetric decreases in the left and right BLA nucleus of maltreated pups, compared with control pups (Fig. 4A). Conversely, the volume of the left and right CeA nucleus was increased in maltreated pups, compared with control pups (Fig. 4B). In the hippocampus, however, only the left side was affected, with maltreated pups exhibiting a smaller hippocampal volume compared with controls (Fig. 4C). The volumetric alterations in the BLA and CeA nuclei of maltreated pups were associated with altered neurogenesis [doublecortin expression (DCX), an endogenous protein maximally expressed in neuroblasts and immature neurons at ~2 wk of age (29, 30)]. As neurogenesis, differentiation, and migration are minimal between PN0 and PN14 in the amygdala (31), the sparse expression patterns observed here may reflect late-emerging embryonic neurogenesis (32) and differences between groups likely represent variation in neuronal survival (neuron density decreases between PN7 and PN14) (31). We observed that, parallel to volumetric alterations, maltreated pups exhibited suppressed DCX in the BLA nucleus and enhanced DCX in the CeA nucleus compared with controls (Fig. 4 D–F). The maltreatment-related increase in CeA volume replicates data from children in whom maltreatment was associated with greater amygdala volume (33). Together, these results provide a clinically relevant template to dissect specific causal features of the highly complex experience of maltreatment that initiates the pathway to pathology.

Experiment 2.

Daily corticosterone administration paired with maternal presence mimics effects of maltreatment. To identify which components of caregiver maltreatment are necessary and sufficient to induce the neuro-behavioral deficits observed in experiment 1, we deconstructed

Blocking corticosterone during maltreatment prevents social behavior deficits

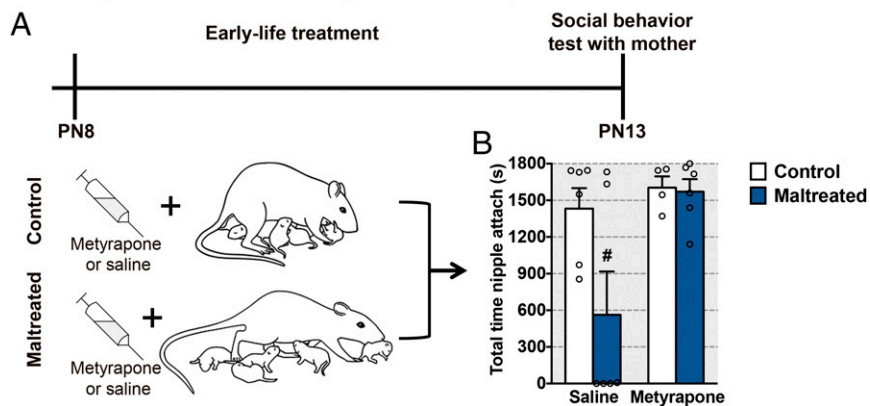


Fig. 2. Maltreatment produces corticosterone-dependent alterations in social behavior toward the mother, which are rescued by corticosterone blockade during maltreatment. (A) Schematic of study design in which rat pups received metyrapone (50 mg/kg) or an equal volume of saline before daily bouts of low bedding. (B) Social behavior during the interaction test with the mother shows that attachment deficits associated with maltreatment were prevented in pups that received metyrapone [rearing condition: $F_{(1,18)} = 6.64$, $P = 0.019$; metyrapone: $F_{(1,18)} = 3.89$, $P = 0.064$; interaction between rearing condition and metyrapone for total time nipple attached: $F_{(1,18)} = 3.34$, $P = 0.084$]. #A priori comparison between maltreated saline and maltreated metyrapone pups ($P = 0.021$). Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$ ($n = 4$ to 6 for all groups).

Maltreatment produces immediate amygdala dysfunction but spares hippocampus

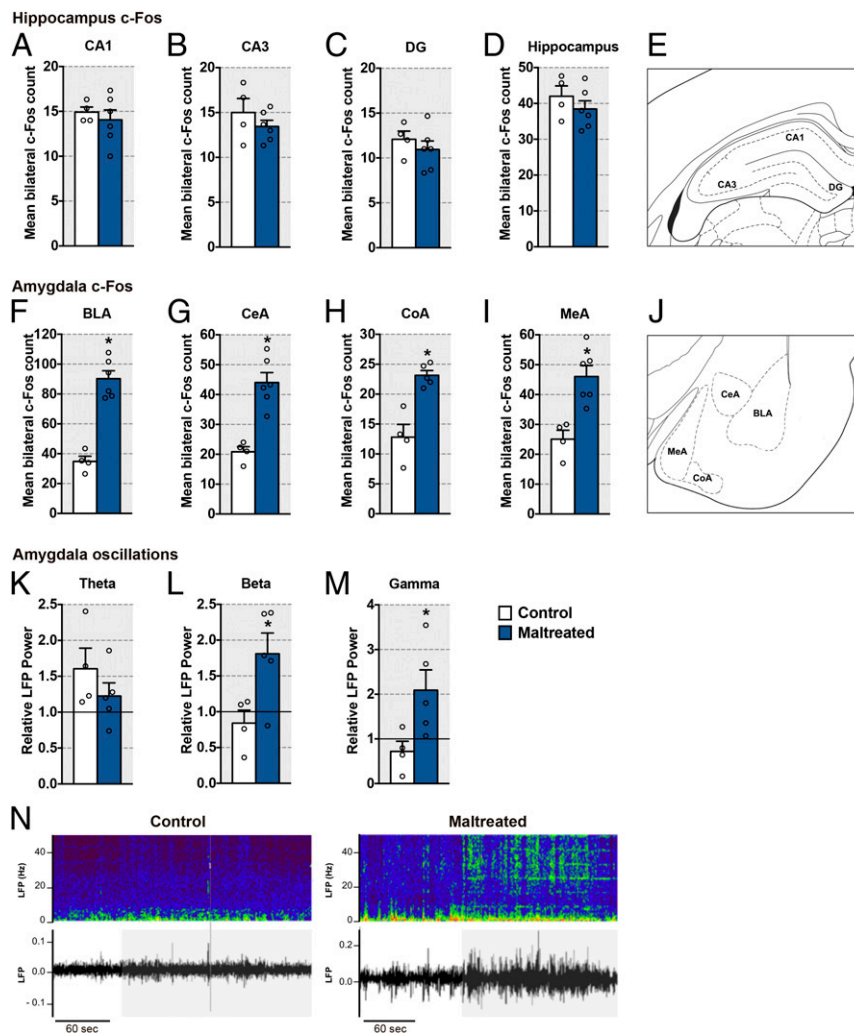


Fig. 3. Maltreatment alters the neural response to maternal presence. (A–D) c-Fos expression (mean \pm SEM) in different subfields of the hippocampus and total hippocampus 90 min following the social behavior test [CA1: $t_{(8)} = 0.59$, $P = 0.570$; CA3: $t_{(8)} = 1.04$, $P = 0.330$; dentate gyrus (DG): $t_{(8)} = 0.82$, $P = 0.430$; total hippocampus: $t_{(8)} = 0.97$, $P = 0.360$]. (E) Schematic representation of the hippocampus. (F–I) c-Fos expression (mean \pm SEM) in different amygdala nuclei 90 min following the social behavior test [BLA: $t_{(8)} = 7.82$, $P < 0.0001$; CeA: $t_{(8)} = 5.28$, $P = 0.0007$; CoA: $t_{(7)} = 4.97$, $P = 0.002$; MeA: $t_{(8)} = 4.04$, $P = 0.004$]. (J) Schematic representation of amygdala nuclei analyzed. (K–M) LFPs (mean \pm SEM) in the amygdala [theta: $t_{(7)} = 1.16$, $P = 0.285$; beta: $t_{(7)} = 2.67$, $P = 0.032$; gamma: $t_{(7)} = 2.48$, $P = 0.042$]. (N) Sonogram traces in response to maternal presence (beginning at the time indicated by the gray area) in control (Left) and maltreated (Right) pups. Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Maltreated pups were different from control pups ($n = 4$ to 6 for all groups).

pups' experiences within the maltreating mother–infant dyad. Here, we recapitulated 2 features of maltreatment, chronic high corticosterone and maternal context, using the same treatment age range as in experiment 1. This experiment is illustrated in Fig. 5A and involves daily injections of corticosterone (or saline) to pups while with an awake nurturing mother, an anesthetized mother, or a nonsocial polyethylene tube. In the “awake mother” treatment, pups were reared by a nurturing mother (i.e., typical control mother) and received 1 injection of corticosterone (3 mg/kg; Sigma) or saline once per day for 5 d. This corticosterone injection raised pups' corticosterone levels for about 60 min (34, 35). This treatment group receiving corticosterone during typical nurturing care from the mother was used to mimic the stress hormone increase observed with abuse (Fig. 1D) but without maltreatment behavior from the mother. In the “anesthetized mother” treatment, pups were also reared by a nurturing mother and removed from the nest for 90 min once per day for 5 d to receive a corticosterone injection to produce an increase in corticosterone

limited to the presence of an anesthetized mother. In this condition, pups remained with the anesthetized mother, engaged in social behaviors toward her, and maintained proximity to the mother. Thus, this condition preserved pup behavior while eliminating all maternal behaviors during the period of elevated corticosterone levels. Finally, the maternal context of stress was completely removed in another cohort of pups (“tube nonsocial”) that were removed from the nest, given corticosterone injections, and placed with a nonsocial stimulus (polyethylene tube) for 90 min once per day for 5 d. Similar to experiment 1, all pups were given a social behavior test with an anesthetized mother at PN13, instead of another treatment session. Importantly, no drug treatments occurred during this social behavior test. It should be noted that we did not find differences between our infant treatment groups when they were assessed in the nest with a typically behaving mother at PN13, where maternal behavior can facilitate typical nursing and social behaviors in pups (percentage of time

Maltreatment produces structural changes in amygdala and hippocampus

Amygdala and hippocampus volume

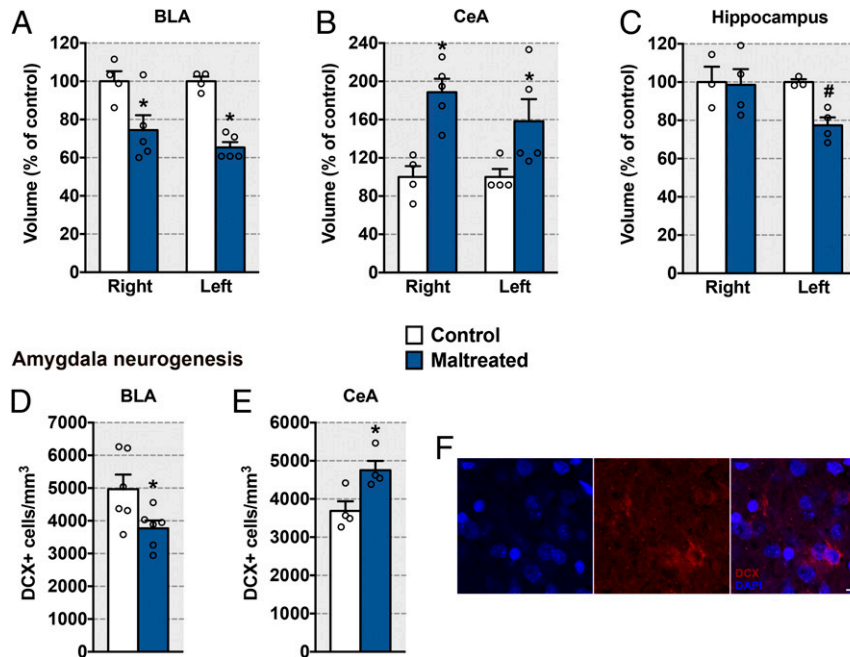


Fig. 4. Maltreatment induces amygdala structural alterations. (A–C) Volume (mean \pm SEM) of different amygdala nuclei and the hippocampus [BLA, maltreatment: $F_{(1,7)} = 23.89$, $P = 0.002$; side: $F_{(1,7)} = 1.04$, $P = 0.342$; interaction between maltreatment and side: $F_{(1,7)} = 1.09$, $P = 0.329$; CeA, maltreatment: $F_{(1,7)} = 11.34$, $P = 0.01$; side: $F_{(1,7)} = 1.26$, $P = 0.297$; interaction between maltreatment and side: $F_{(1,7)} = 0.42$, $P = 0.536$; hippocampus, maltreatment: $F_{(1,5)} = 2.66$, $P = 0.163$; side: $F_{(1,5)} = 3.41$, $P = 0.124$; interaction between maltreatment and side: $F_{(1,5)} = 4.08$, $P = 0.09$]. #A priori comparison between maltreated and control on the left ($P = 0.006$). (D and E) DCX (mean \pm SEM) in different amygdala nuclei [BLA: $t_{(10)} = 2.36$, $P = 0.040$; CeA: $t_{(6)} = 3.06$, $P = 0.022$]. (F) Representative images of DCX-labeled immature neurons in the amygdala (red, DCX; blue, DAPI). (Scale bar, 10 μ m.) Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Maltreated pups were different from control pups ($n = 3$ to 5 for all groups).

spent nursing: control + saline vs. control + corticosterone [45.4 ± 1.1 vs. 58.54 ± 4.92 ; $t_{(5)} = 1.42$, $P = 0.214$).

Social context of stress constrains social behavior deficits. As illustrated in Fig. 5, behavioral effects of maltreatment could be mimicked by elevating corticosterone levels in the presence of the mother (both awake and anesthetized), but not by elevating corticosterone in the presence of a nonsocial stimulus. Specifically, during the PN13 social behavior test, pups that received daily treatment with corticosterone in the context of a mother, regardless of whether she was awake or anesthetized, resulted in aberrant social behavior with the mother, as pups showed reduced time nipple-attached and spent more time behind the mother's back compared with controls (Fig. 5 B and C). Remarkably, exposure to high corticosterone levels within a nonsocial context (tube) did not induce any infant social behavior deficits with the mother during the PN13 test. Together, these results suggest that the association between high corticosterone levels and the mother's presence, but not the mother's behavior, results in social behavior deficits in infancy.

Social context of stress constrains amygdala dysfunction but not the hippocampus. The aberrant social behavior with the mother observed in pups previously exposed to high corticosterone levels in the presence of a social context (awake or anesthetized mother) was associated with amygdala hyperactivity (Fig. 6 E–H). Indeed, evaluation of c-Fos expression after the mother–pup social behavior test indicated that amygdala (BLA, CeA, MeA, and CoA nuclei) neural activity was significantly higher in all PN13 pups exposed to corticosterone paired with an awake or anesthetized mother, compared with pups that received saline injections. Importantly, no alteration in amygdala neural activity was observed in pups that were exposed to daily high corticosterone levels within a nonsocial context (tube). Hippocampal neural activity was not altered by any of the treatments (Fig. 6 A–D).

The elevated LFP beta- and gamma-band activity found in PN13 pups exposed to continuous maltreatment rearing in their nest was also mimicked by daily 90-min treatments of corticosterone injections within a social context (Fig. 6 I–K). Similar to control-reared pups in experiment 1, daily saline-treated pups showed a decrease in high-frequency oscillations when the mother was placed in the testing area, consistent with previous work (27). In contrast, pups that had simply received corticosterone injections with the maternal presence for 5 d before testing exhibited enhanced amygdala beta- and gamma-frequency oscillations when the mother was placed in the testing area (Fig. 6 I–K). In contrast, pups injected daily with corticosterone or saline in the presence of a tube failed to show these beta- and gamma-band elevations when exposed to a mother on PN13.

Social context of stress constrains amygdala structural alterations but not the hippocampus. The functional alterations in amygdala responsiveness to the mother observed in pups exposed to high corticosterone levels in the presence of the mother were also accompanied by structural alterations. Indeed, the volume of the left and right BLA nucleus was smaller in pups exposed to high corticosterone levels while in the presence of an anesthetized mother compared with pups exposed to high corticosterone levels within a nonsocial context (Fig. 7A). Conversely, the volume of the left and right CeA nucleus was larger in pups exposed to high corticosterone levels while in the presence of an anesthetized mother compared with pups exposed to high corticosterone levels within a nonsocial context (Fig. 7B).

Corticosterone pairings with social context was not required for all neural deficits associated with maltreatment. The volume of the left hippocampus in all pups exposed to high corticosterone levels, independent of context, was smaller when compared with the hippocampal volume of pups that received saline (Fig. 7C). The

Social context of stress constrains social behavior deficits

Experiment 2 timeline

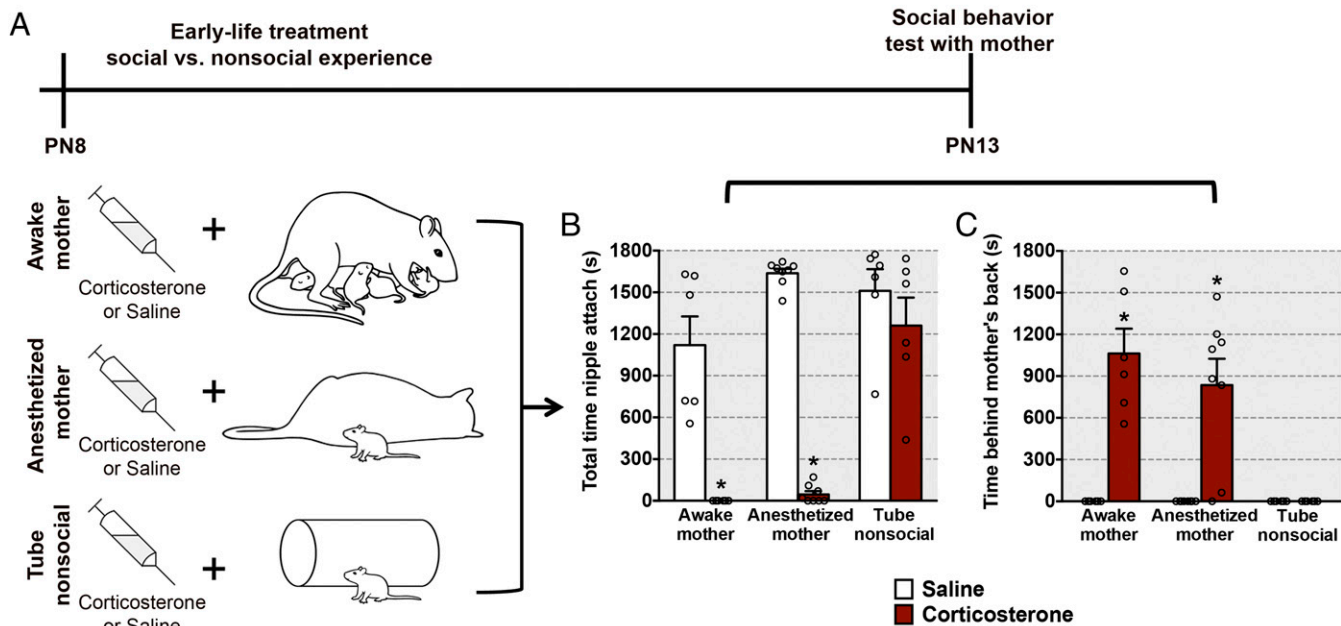


Fig. 5. High corticosterone levels within a social context mimic effects of maltreatment on social behavior at PN13. (A) Experimental design showing that half of the animals were maintained with high corticosterone levels through daily injections (3 mg/kg), while the other half were injected with saline (control). For the awake mother group, pups were reared by a nurturing mother and received daily injections of corticosterone or saline. For the anesthetized mother group, pups were reared by a nurturing mother, were injected with corticosterone or saline, and were placed in the presence of an anesthetized mother for 90 min daily beginning at PN8, which eliminated all maternal behaviors but limited elevated corticosterone levels to this specific social context. For the tube nonsocial group, pups were reared by a nurturing mother, injected with corticosterone or saline, and placed in the presence of a nonsocial stimulus (odorized tube), which completely removed the maternal influence but maintained elevated corticosterone levels. At PN13, pups received a 30-min social behavior test with an anesthetized mother with no drug treatment. (B and C) Pup behavior during the mother–pup social behavior test [total time nipple attached, social context: $F_{(2,34)} = 21.92$, $P < 0.0001$; corticosterone: $F_{(1,34)} = 98.53$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,34)} = 15.99$, $P < 0.0001$; time behind the mother's back, social context: $F_{(2,34)} = 11.04$, $P = 0.0002$; corticosterone: $F_{(1,34)} = 45.32$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,34)} = 11.04$, $P = 0.0002$]. Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Difference from all other groups ($n = 6$ to 8 for all groups).

volume of the right hippocampus was not affected by exposure to high corticosterone. The volumetric alterations observed in the BLA and CeA nuclei of pups exposed to high corticosterone levels while in the presence of an anesthetized mother did not necessarily align with changes in immature neurons. Specifically, pups exposed to high corticosterone levels showed decreased DCX in the BLA nucleus when compared with pups that received saline (Fig. 7D). Additionally, all pups exposed to the tube nonsocial context showed decreased DCX in the CeA nucleus when compared with pups exposed to a social context, regardless of the corticosterone treatment (Fig. 7E). Together, these social constrained amygdala and nonsocial constrained hippocampal results recapitulate the results induced by the scarcity-adversity maltreatment of experiment 1.

Amygdala engagement is causal in disrupted social behavior following chronic stress within a social context. To directly assess whether amygdala engagement was causal in the behavior deficits observed in the pups exposed to chronic stress in a social context, we suppressed amygdala neural hyperactivity of pups during the PN13 social behavior test. Specifically, pups were implanted with bilateral amygdala cannulae at PN12 following the corticosterone–mother treatment. At PN13, we temporarily silenced the amygdala during the mother–pup social behavior test by intraamygdala infusions of the gamma-aminobutyric acid (GABA) agonist muscimol (0.4 nmol; Sigma). Suppression of amygdala hyperactivity by muscimol infusion reestablished pups' typical social behavior with the mother (Fig. 7F–H), while saline infusions did not prevent expression of deficits. Muscimol and vehicle

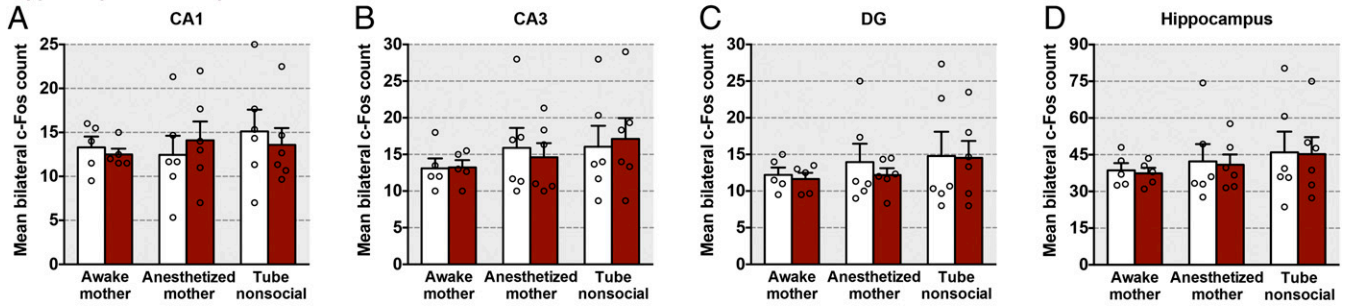
infusions did not affect the typical social behavior of pups that had been exposed to daily saline injections with maternal presence or pups exposed to daily corticosterone/saline injections in the nonsocial tube condition. These results suggest that (1) the amygdala does not normally participate in infant rat social behavior, and (2) experience with chronic high corticosterone levels within a social context prematurely engages the amygdala to disrupt social behavior. This is consistent with research in infant nonhuman primates, where amygdala engagement has been suggested to put a “brake” on infant social behavior (36).

Discussion

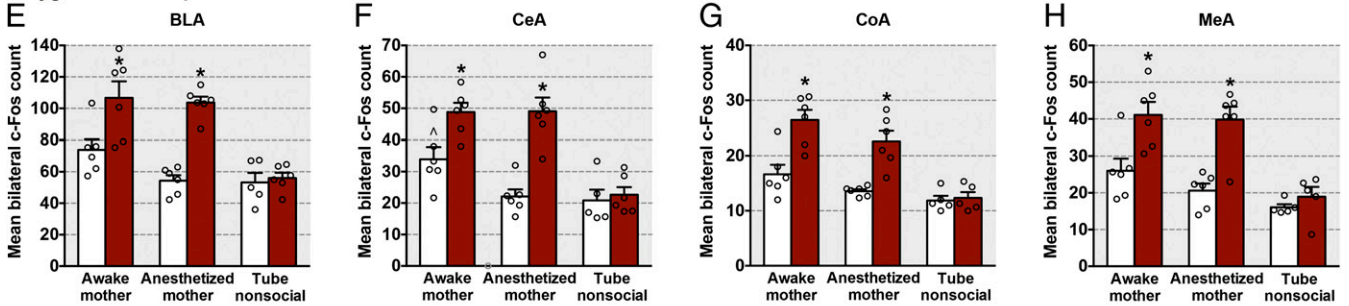
The link between infant maltreatment and later life vulnerability to psychopathologies is well documented. Here, we present specific mechanisms underlying abnormal amygdala and hippocampus development, with only the amygdala requiring social context for initiation of abnormal development. In the present series of experiments, rat pups were reared for 5 d with a maltreating mother beginning on PN8, which increased PN13 pup corticosterone levels, impacted social behaviors with the mother, and altered hippocampal structure (volume, neurogenesis) and amygdala function (c-Fos, LFP) and structure (volume, neurogenesis) (Fig. 8). Next, we deconstructed the infant maltreatment experience to reveal sufficient and necessary conditions to induce these outcomes using the same treatment ages. While hippocampal damage could be phenocopied by merely elevating corticosterone levels under any experimental condition, unexpectedly, pairing corticosterone with the mother, even when anesthetized, was required to recapitulate

Social context of stress constrains amygdala dysfunction but not hippocampus

Hippocampus c-Fos expression



Amygdala c-Fos expression



Amygdala oscillation

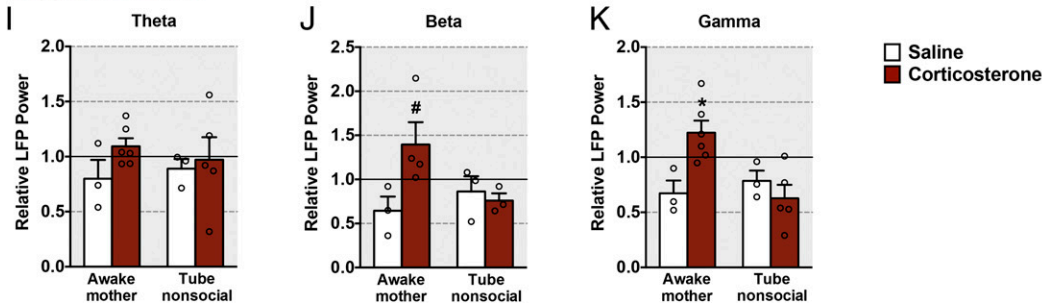


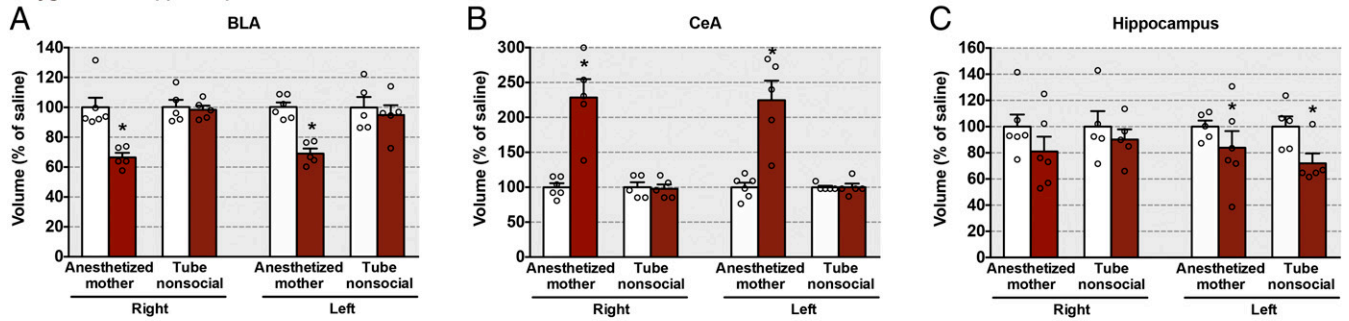
Fig. 6. High corticosterone levels within a social context daily over 5 d mimic the effects of maltreatment on amygdala function at PN13. (A–D) c-Fos expression (mean \pm SEM) in the hippocampus 90 min following the social behavior test [CA1, social context: $F_{(2,28)} = 0.29$, $P = 0.749$; corticosterone: $F_{(1,28)} = 0.02$, $P = 0.892$; interaction between social context and corticosterone: $F_{(2,28)} = 0.38$, $P = 0.687$; CA3, social context: $F_{(2,28)} = 1.02$, $P = 0.373$; corticosterone: $F_{(1,28)} = 0.002$, $P = 0.987$; interaction between social context and corticosterone: $F_{(2,28)} = 0.13$, $P = 0.876$; dentate gyrus (DG), social context: $F_{(2,28)} = 0.79$, $P = 0.463$; corticosterone: $F_{(1,28)} = 0.23$, $P = 0.632$; interaction between social context and corticosterone: $F_{(2,28)} = 0.07$, $P = 0.932$; total hippocampus, social context: $F_{(2,28)} = 0.75$, $P = 0.478$; corticosterone: $F_{(1,28)} = 0.05$, $P = 0.826$; interaction between social context and corticosterone: $F_{(2,28)} = 0.001$, $P = 0.998$]. (E–H) c-Fos expression (mean \pm SEM) in different amygdala nuclei 90 min following the social behavior test [BLA, social context: $F_{(2,29)} = 16.04$, $P < 0.0001$; corticosterone: $F_{(1,29)} = 31.07$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,29)} = 7.01$, $P = 0.003$; CeA, social context: $F_{(2,29)} = 18.25$, $P < 0.0001$; corticosterone: $F_{(1,29)} = 29.17$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,29)} = 7.18$, $P = 0.003$; MeA, social context: $F_{(2,28)} = 16.04$, $P < 0.0001$; corticosterone: $F_{(1,28)} = 27.23$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,28)} = 4.05$, $P = 0.028$; CoA, social context: $F_{(2,28)} = 20.57$, $P < 0.0001$; corticosterone: $F_{(1,28)} = 29.30$, $P < 0.0001$; interaction between social context and corticosterone: $F_{(2,28)} = 5.97$, $P = 0.007$]. (I–K) LFP (mean \pm SEM) in the amygdala [theta, social context: $F_{(1,13)} = 0.10$, $P = 0.921$; corticosterone: $F_{(1,13)} = 1.47$, $P = 0.247$; interaction between social context and corticosterone: $F_{(1,13)} = 0.46$, $P = 0.507$; beta, social context: $F_{(1,9)} = 1.08$, $P = 0.324$; corticosterone: $F_{(1,9)} = 2.62$, $P = 0.140$; interaction between social context and corticosterone: $F_{(1,9)} = 4.54$, $P = 0.06$]. #A priori comparison between awake mother paired with saline and awake mother paired with corticosterone ($P = 0.036$) for beta-frequency [gamma, social context: $F_{(1,13)} = 3.71$, $P = 0.08$; corticosterone: $F_{(1,13)} = 2.46$, $P = 0.140$; interaction between social context and corticosterone: $F_{(1,13)} = 7.98$, $P = 0.01$]. Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Difference from all other groups ($n = 3$ to 6 for all groups).

maltreatment effects on social behavior and the amygdala. Causation was shown by blocking corticosterone during maltreatment or suppressing amygdala activity during social behavior testing. These results significantly extend our current understanding of maternal behavior and late-life outcomes by defining immediate infant mechanisms initiating the neurobehavioral developmental trajectory, ultimately increasing vulnerability to psychopathologies.

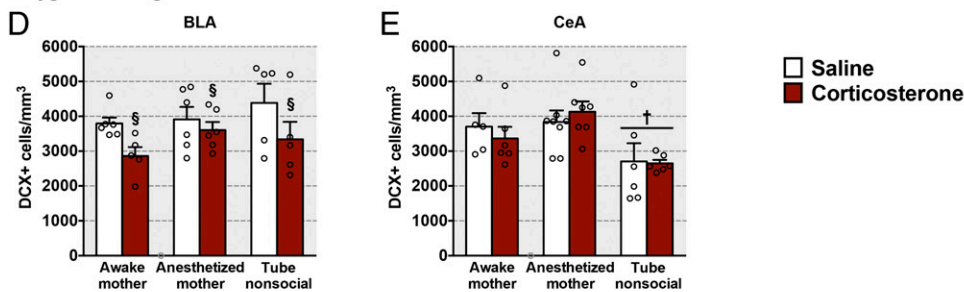
Maltreatment-Induced Changes to the Hippocampus Could Be Recapitulated with or without a Social Context. During maltreatment or any of our deconstructed stress treatment paradigms, the hippocampus showed no activity changes (c-Fos), but pups exhibited a smaller left hippocampal volume compared with controls. The late onset of hippocampal engagement in social behavior likely contributes to our failure to show functional hippocampal changes during social behaviors (37–40).

Social context of stress constrains amygdala structural alterations but not hippocampus

Amygdala and hippocampus volume



Amygdala neurogenesis



Amygdala inactivation with muscimol restores pups' typical social behavior

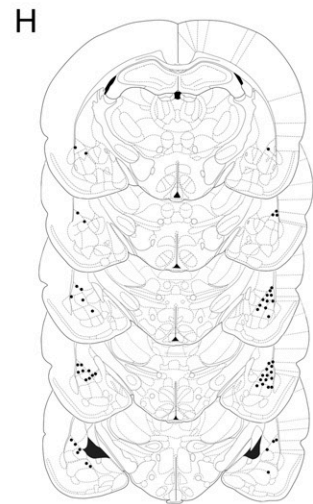
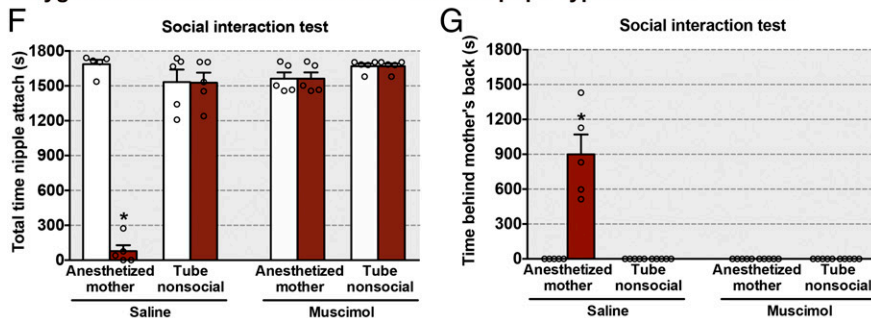


Fig. 7. High corticosterone levels within a social context induce amygdala structural alterations, and amygdala infusions of muscimol (GABA agonist) restored pups' typical social attachment behavior. (A–C) Volume (mean \pm SEM) of different amygdala nuclei and hippocampus [BLA, social context: $F_{(1,17)} = 29.13$, $P < 0.0001$; corticosterone: $F_{(1,17)} = 15.93$, $P = 0.009$; side: $F_{(1,17)} = 1.83$, $P = 0.193$; interaction between social context and corticosterone: $F_{(1,17)} = 9.96$, $P = 0.006$; CeA, social context: $F_{(1,17)} = 19.06$, $P = 0.0004$; corticosterone: $F_{(1,17)} = 21.15$, $P = 0.0002$; side: $F_{(1,17)} = 0.99$, $P = 0.333$; interaction between social context and corticosterone: $F_{(1,17)} = 21.91$, $P = 0.0002$; hippocampus, social context: $F_{(1,18)} = 0.18$, $P = 0.678$; corticosterone: $F_{(1,18)} = 4.22$, $P = 0.05$; side: $F_{(1,17)} = 14.07$, $P = 0.001$; interaction between side and corticosterone: $F_{(1,18)} = 5.54$, $P = 0.03$]. (D and E) DCX (mean \pm SEM) in different amygdala nuclei [BLA, social context: $F_{(2,27)} = 1.25$, $P = 0.303$; corticosterone: $F_{(1,27)} = 6.84$, $P = 0.014$; interaction between social context and corticosterone: $F_{(2,27)} = 0.65$, $P = 0.527$; CeA, social context: $F_{(2,32)} = 7.48$, $P = 0.002$; corticosterone: $F_{(1,32)} = 0.01$, $P = 0.912$; interaction between social context and corticosterone: $F_{(2,32)} = 0.42$, $P = 0.662$]. †Significant main effect of social context, where all tube nonsocial animals are different from awake and anesthetized mother animals independent of corticosterone. ‡Significant main effect of corticosterone exposure, where all animals exposed to corticosterone are different from animals exposed to saline independent of social context. (F and G) Pup behavior during the social behavior test [total time nipple attached, interaction between social context, corticosterone, and muscimol: $F_{(1,32)} = 85.65$, $P < 0.0001$; time behind the mother's back, interaction between social context, corticosterone, and muscimol: $F_{(1,32)} = 28.04$, $P < 0.0001$]. (H) Representative cannula placements in the amygdala for animals receiving either a muscimol or saline infusion at PN13. Schematic brain section images are displayed from the most rostral to most caudal orientation. Adapted from ref. 90. Data are expressed as mean (\pm SEM) and considered significant when $P \leq 0.05$. *Difference from all other groups ($n = 5$ to 8 for all groups).

However, the reduced left hippocampal volume observed here replicates extensive work in humans showing enhanced effects of maltreatment on the left hippocampus (41–45). Although mechanisms remain unknown, indirect mediation of glucocorticoid effects via glutamate may play a role in these effects (46). Some have speculated that asymmetry in the distribution of *N*-methyl-D-aspartate (NMDA) receptors between the left and right hippocampus (47) translates to hemispheric differences in NMDA receptor function and synaptic plasticity in hippocampal subfields

to produce these outcomes. Moreover, the ability of stress, regardless of social context and regardless of maternal behavior, to disrupt hippocampal development is consistent with the literature showing this region to be a target of a wide range of early life trauma (48). While stress hormones are well known to impact brain development (2, 49, 50), our data also suggest that some effects are dependent upon maternal presence during stress and illustrate the importance of the social figure in guiding some features of brain development (20, 51).

Chronic stress effects on amygdala but not hippocampus require maternal presence



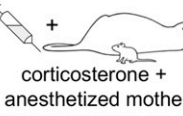
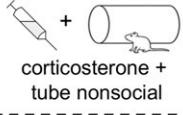
| 5 days treatment | amygdala | hippocampus structure | social behavior | causation |
|---|----------|-----------------------|-----------------|--|
|  maltreated | atypical | atypical | atypical | blocking corticosterone prevents atypical social behavior |
|  corticosterone + awake mother | atypical | atypical | atypical | suppressing amygdala activity prevents atypical behavior |
|  corticosterone + anesthetized mother | atypical | atypical | atypical | |
|  corticosterone + tube nonsocial | typical | atypical | typical | suppressing amygdala does not change typical social behavior |
| saline + any context | typical | typical | typical | |

Fig. 8. Summary of behavioral and neural effects of maltreatment and corticosterone injection paired with a social context (awake or anesthetized mother) or a nonsocial context (tube). Maltreatment impacts both the hippocampus and amygdala. The effects of maltreatment on the hippocampus can be mimicked simply by repeatedly injecting pups with corticosterone, regardless of context, ranging from experience with a nurturing mother to placement with the nonsocial context of a tube. On the other hand, the effects of maltreatment on the amygdala required a social context that was independent of maternal behavior since stress hormone increased within the context of a maltreating mother, a nurturing mother, and an anesthetized mother all produced similar amygdala outcomes.

The Amygdala Is Not Typically Incorporated into the Infant Social Behavior Circuit but Is Prematurely Engaged by Chronic Stress Hormone Elevation. The present results suggest the amygdala is not part of the social behavior network of typically developing infants and its precocious engagement disrupts social behavior with the mother. This is consistent with the nonhuman primate literature, where precocious activation of the amygdala puts a brake on social behavior (36, 52, 53). Leveraging the advantages of animal research, we silenced the amygdala in pups following treatment with high corticosterone levels in the presence of a social context; removing the amygdala from the infant social behavior network was sufficient to return social behavior to control levels, while leaving control social behavior undisturbed. Together, these data suggest that chronic stress in a social context precociously engages the amygdala, disrupting social behavior toward the mother.

The literature also suggests that stress hormone elevation may be required to engage the amygdala in the social behavior circuit (16). Indeed, it is well documented that behavioral deficits are difficult to detect in children but can be uncovered by challenges and stress, such as occurs in the classic Strange Situation Procedure developed by Ainsworth and Bell (15). Only after repeated challenges of separation and reunion with the mother and a stranger can one observe attachment disorders (aberrant social behaviors with the attachment figure), as can be induced by caregiver maltreatment and the resultant disordered attachment (15, 54). In the present study, where maltreatment is ongoing, pups still have high stress hormone levels and readily express social behavior deficits. This is significant, as stress levels return to baseline following termination of maltreatment and pup behavior becomes indistinguishable from controls until around weaning, when neurobehavioral deficits again emerge (16). It should be noted that we also did not find differences between

groups when they were assessed in the nest with a typically behaving mother, where maternal behavior can facilitate typical nursing and social behaviors in pups. Thus, our design permitted us to characterize immediate neurobehavioral deficits when stress hormone differences are detectable, before maltreatment effects become latent, reemerging at weaning (21).

It is important to note that during testing, pups do not appear to respond to the mother as an aversive stimulus. Indeed, regardless of infant treatment within our naturalistic and experimentally controlled rearing conditions, all pups continue to respond to the mother as an attachment figure, as evidenced by continued approach and contact with the mother (attached or behind) and expression of the nipple attachment that is well documented to occur only to a mother rat that expresses the maternal odor learned by the pup (55). What we find statistically significant between groups is pups' behavior once contact is made with the mother (i.e., pups' social partner): Pups reared with a maltreating mother or that received corticosterone in the presence of a mother (awake or anesthetized) showed reduced prosocial behaviors toward the mother.

As with any ecologically relevant naturalistic experimental paradigm using social stimuli, it is impossible to completely separate the social dimension from confounds, such as stimulus complexity (56–59). It is for this reason that we experimentally deconstructed the pup's complex social experience with the mother and progressively eliminated some of the complexity. The data presented here complement and expand work from our laboratory and others showing that specific sensory components of the mother and/or her behavior can be experimentally broken down to uncover myriad "hidden" causal relationships between very specific maternal behaviors or sensory stimuli and very specific outcomes [i.e., Hofer's "hidden regulators" (60)]. For example, the mere odor of the

mother, a relatively simple social stimulus, is sufficient to drive neurobehavioral responses in the pup that mimic the effects of the complex social stimulus of the mother's presence (61–63). Our work also shows that pups' experience with a maltreating mother degrades the value of this maternal odor, which greatly attenuates the ability of this social stimulus to alter the neurobehavioral impact of this odor throughout the lifespan (62, 64, 65). On a broader scale, literature from across the lifespan has shown that social stimuli can engage specific neurobehavioral responses, including within the amygdala (66). It is also noteworthy that there is a large literature suggesting that a social context guides the brain's response to stress, with stress within a social context compared with a nonsocial context having a distinct neurobehavioral signature (67–70). Here, we have highlighted a specific "hidden" infant experience within the complex mother–infant social relationship that is causally related to neurobehavioral changes in the developmental trajectory, one of which is socially bound, while the other is not. Our research and others' clearly highlight that other specific "hidden" relationships coexist within the mother–infant relationship (71, 72).

The Amygdala Structural and Functional Alterations Did Not Require Maternal Behavior but Did Require the Presence of the Mother.

Elevating stress hormone levels with an anesthetized, nonbehaving mother was sufficient to phenocopy the neurobehavioral effects of maltreatment. These data complement research highlighting the impact of specific features of maternal behavior associated with infant maltreatment (73, 74). Moreover, the current findings demonstrating that corticosterone has unique amygdala effects depending on the social context open a previously under-explored avenue to investigate why some but not all adverse childhood experiences lead to neurobehavioral deficits. However, the downstream effectors of corticosterone that may mediate these effects remain elusive. We have previously shown that systemic corticosterone levels and maternal presence drive amygdala plasticity at the level of signaling molecular cascades (62, 75), and mitogen-activated protein kinase has been identified as a downstream mediator of corticosterone at the synapse (76). Future work will be necessary to determine the specific effectors of corticosterone on amygdala plasticity (77, 78).

How Do We Interpret These Results within the Context of Abundant Evidence Suggesting the Importance of Maternal Behavior for Brain and Behavioral Development?

Abundant evidence has accumulated to show that maternal sensory stimuli, such as licking or time spent nursing, can impact brain development within a typical range, while experiencing maternal behavior inducing trauma and pain during maltreatment can program the brain for later maladaptive behavior (20, 77, 79, 80). The current findings do not challenge the data, some of which were generated by our laboratory, supporting this notion. Instead, we suggest that the value of the caregiver be expanded to include more than simple overt maternal behavior, including the learned maternal odor in rodents and the learned sight, smell, and sound of the mother in children. Indeed, as our manipulations progressively eliminated maternal behavior, they did not eliminate the maternal olfactory and somatosensory stimuli received by pups. These stimuli gain hedonic value as the infant interacts with the mother or other caregiver, including adoptive or foster parents for children, rodents, and nonhuman primates (14, 65, 81). Our results highlight the importance of these sensory cues in patterning infant brain function identified in the child and animal developmental literatures.

The maternal odor is a powerful stimulus for children and rodents, where the odor helps guide the infant's social behaviors with the mother, decreases trauma-induced stress elevation (social buffering), and decreases pain. It is important to note that altricial infants should rarely have a stress hormone increase while with the mother. When threatened or stressed, altricial infants have a stress

hormone increase but use the caregiver as a "safe haven" and rapidly approach the caregiver for protection (82, 83). This contact with the attachment figure lowers stress hormone levels, a process termed social buffering (14, 84, 85). This process of social buffering is greatly reduced in compromised caregiver–infant dyads involving maltreatment in children, nonhuman primates, and rodents (48, 54, 86). Therefore, we suggest that our deconstructed modeling of the stress hormone elevation in maltreatment provides clues about the specific neurobehavioral pathologies that are induced by a maltreating caregiver who cannot socially buffer the offspring. In other words, the combined effect of stress hormones and social pairings may reflect one pathway by which a compromised attachment with the caregiver may initiate a specific developmental perturbation.

In summary, the current results begin to unravel the complexity of natural mother–infant interactions and identify specific causal mechanisms for neurobehavioral deficits found within the ubiquitous effects of being reared by an abusive caregiver. The major significance of our results is that social context paired with stress hormones is required to produce amygdala-dependent social behavior deficits, while stress hormones in any context produce corticosterone-induced hippocampal deficits. Importantly, within a strong attachment relationship, the caregiver should protect the infant from corticosterone elevation and socially buffer the infant. The developmental psychology literature identifies social buffering importance during both transient, infrequent caregiver-induced stressors and external stressors that can be socially buffered once the infant approaches the caregiver for comfort and protection. Maltreating caregivers typically fail to socially buffer the infant under either context, potentially exposing the infant to the repeated, chronic caregiver social context while stress hormone levels are elevated. This may represent one way in which maltreatment initiates an aberrant developmental trajectory associated with social behavior and amygdala deficits, although many others are likely to coexist.

Materials and Methods

All procedures were approved by the Institutional Animal Care and Use Committee of the Nathan Kline Institute and New York University, in accordance with guidelines from the NIH. More details are provided in [SI Appendix, Online Materials](#).

Subjects. Male and female Long–Evans rats were born and bred on-site. Unless otherwise indicated, an equal number of males and females were used, with 1 male and 1 female per condition from a given litter. Based on previous work from our laboratory, where we did not observe sex differences in animals at this young age following maltreatment (21, 87, 88), the current study did not include sex as a variable. The rearing environment was altered at PN8 using either continuous or 90-min daily manipulations. At PN13, behavioral, amygdala, and hippocampus data were collected.

Maltreatment. Infant maltreatment was induced by the scarcity-adversity model of low bedding (89), which disrupts maternal care by reducing the mother's resources for nest building (Fig. 1 A and E). This procedure is validated to produce maternal maltreatment of pups (i.e., rough treatment, such as stepping on pups) and results in the later life amygdala disruption, depressive-like and anxiety-like behavior, and dysregulation of fear expression (21–24).

Corticosterone Manipulations. In experiment 1, pups received daily administration of metyrapone (50 mg/kg; Sigma) or an equal volume of saline 90 min before exposure to a dam with low bedding to reduce pups' stress hormone release during the 1-h maltreating (or control) treatment. In experiment 2, pups received daily (5 d) administration of corticosterone 2-hydroxypropyl- β -cyclodextrin complex (3 mg/kg; Sigma) or an equal volume of saline 30 min before being placed with an awake nurturing mother, with an anesthetized mother, or in a chamber with a polyethylene tube for 90 min per day. A radioimmunoassay was used to assess pup serum corticosterone.

Social Behavior Testing, c-Fos, Neurogenesis, and Structural Measurements. At PN13, all pups received a 30-min social behavior test with an anesthetized mother (milk letdown blocked) placed on her side to give pups access to

nipples (16). Pups were tested individually, with free interaction with the mother. Tests were recorded and scored blinded to experimental condition. Sixty minutes after the test, brains were harvested and processed for amygdala and hippocampus c-Fos expression, DCX, and volume.

Electrophysiology. PN12 pups were anesthetized and implanted with a wireless telemetry transmitter (DSI) with a recording electrode targeting the BLA nucleus and a reference electrode targeting the right posterior cortex. LFPs were recorded during the PN13 social behavior test. The pup was placed in a small arena in a sound-attenuated recording booth, and amygdala LFP activity was recorded continuously (10 min of habituation, followed by the addition of an anesthetized dam for 20 min). Neural signals were amplified, filtered (0.5 to 300 Hz), digitized at 2 kHz with Spike2 software (CED, Inc.), and analyzed offline. Recordings were all from the left amygdala. Fast Fourier transform power analyses were performed on the raw LFP data to quantify oscillatory power in 2.9-Hz frequency bins from 0 to 100 Hz (Hanning). Power in the theta-frequency (5 to 15 Hz), beta-frequency (15 to 35 Hz), and gamma-frequency (35 to 80 Hz) bands was calculated for each specified behavioral window bin (1 min). The change in LFP oscillatory power as a function of the mother's presence was calculated as the ratio of LFP power during maternal presence versus alone. Electrode placement was verified histologically.

Cannulation and Muscimol Administration. PN12 pups were anesthetized by isoflurane inhalation, and cannulae were implanted bilaterally into the amygdaloid complex targeting the BLA nucleus (caudal: -0.90 mm; lateral: ± 4.50 mm from bregma). At PN13, vehicle or muscimol (0.4 nmol) was infused bilaterally via a Harvard syringe pump, and pups were given the social behavior test. Cannula placement was verified histologically.

Statistical Analysis. Data were analyzed with Student's *t* tests or 2-way or repeated measures ANOVA, followed by Newman-Keuls post hoc tests. Further analyses utilized planned comparisons to test the a priori hypothesis that (1) maltreatment or corticosterone injection will alter outcomes compared with controls and (2) blocking corticosterone will prevent the behavioral effects of high corticosterone.

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