

ORIGINAL RESEARCH



Prognostic profiling of the immune cell microenvironment in Ewing's Sarcoma Family of Tumors

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ABSTRACT

Ewing's Sarcoma Family of Tumors (ESFT) are clinically aggressive bone and soft tissue tumors in children and young adults. Analysis of the immune tumor microenvironment (TME) provides insight into tumor evolution and novel treatment options. So far, the scarcity of immune cells in ESFT has hindered a comprehensive analysis of rare subtypes. We determined the relative fraction of 22 immune cell types using 197 microarray gene expression datasets of primary ESFT tumor samples by using CIBERSORT, a deconvolution algorithm enumerating infiltrating leucocytes in bulk tumor tissue. The most abundant cells were macrophages (mean 43% of total tumor-infiltrating leukocytes, TILs), predominantly immunosuppressive M2 type macrophages, followed by T cells (mean 23% of TILs). Increased neutrophils, albeit at low number, were associated with a poor overall survival (OS) ($p = .038$) and increased M2 macrophages predicted a shorter event-free survival (EFS) ($p = .033$). High frequency of T cells and activated NK cells correlated with prolonged OS ($p = .044$ and $p = .007$, respectively). A small patient population (9/32) with combined low infiltrating M2 macrophages, low neutrophils, and high total T cells was identified with favorable outcome. This finding was confirmed in a validation cohort of patients with follow up (11/38). When comparing the immune TME with expression of known stemness genes, hypoxia-inducible factor 1 α (HIF1 α) correlated with high abundance of macrophages and neutrophils and decreased T cell levels. The immune TME in ESFTs shows a distinct composition including rare immune cell subsets that in part may be due to expression of HIF1 α .

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1. Introduction

The Ewing's Sarcoma Family of Tumors (ESFT) includes the previously as primitive neuroectodermal tumor of bone, Ewing sarcoma, and Askin tumor defined soft tissue and bone tumors with an EWS/ETS balanced translocation, giving rise to oncogenic chimeric proteins, the most common being the EWS-FLI1 t(11;22)(q24;q12) (85%) with type 1 (exon 7 of EWS to exon 6 of FLI1) and type 2 (exon 7 of EWS to exon 5 of FLI1).¹ In another 10-15% of cases, alternative translocations are found such as t(21;22)(22;12) resulting in EWS-ERG (ETS-related gene) fusion.² More complex molecular aberrations are found in a minority of cases. Histologically, they belong to the group of "small round blue cell tumors" composed of small scattered tumor cells with high nucleus/cytoplasm ratio, finely dispersed chromatin arranged in sheets with occasional rosettes and varying degree of neuroectodermal differentiation and areas of necrosis. Treatment comprises local surgery, radiotherapy and polychemotherapy, and emerging novel agents are being tested in patients in the relapsed and metastatic stage.³ ESFTs are clinically aggressive tumors with a survival of 70-80% for patients with standard risk and localized disease and around 30% for those with metastatic disease, often to the lungs and bones.⁴ The recent Euro-Ewing 99 clinical trial showed 3-year overall

survival (OS) rates of 72-78% and 3-year event-free survival (EFS) rates of 57-69%.⁵ The corresponding 8-year OS ranged from 56-65% and the 8-year EFS ranged from 47-61%.⁵ The Children's Oncology Group reported 5-year OS rates of 77-83% and 5-year EFS rates of 65-73%.⁶ Patients with relapsed ESFT have a dismal prognosis once metastasized.³ Thus, the continued search for biomarkers and novel therapeutic targets is urgently needed.⁷

The exact cell of origin in ESFT is unclear. However, recent evidence suggests that these tumors may arise from a mesenchymal stem cell (MSC) locked into a stemness phenotype through oncoprotein driven overexpression of enhancer of zeste homolog2 (EZH2).⁸⁻¹²

So far, a comprehensive immune tumor microenvironment (TME) characterization has been lacking. ESFT primary tumors contain varying numbers of tumor-infiltrating lymphocytes (TILs),^{13,14} with macrophages and T cells being far less abundant than in other malignant bone tumors,¹⁵ rendering a comprehensive quantitative characterization of immune subsets in tissue by standard immunohistochemistry (IHC) impossible. We try to fill this gap by using CIBERSORT,¹⁶ a retrospective in silico analysis that allows immune cell profiling by deconvolution of gene expression microarray data. It reconstructs the type and relative quantity of immune cell subsets in bulk tumor tissue using an expression matrix

derived from gene expression data of 22 known immune cells from the peripheral blood using 547 characteristic marker genes.¹⁶ It has the advantage of being able to detect even rare and functionally distinct immune cell types (e.g. mast cells, $\gamma\delta$ T cells, memory B cells, regulatory T cells/Tregs, etc.). This method has been successfully validated by flow cytometry and used to determine the infiltration of immune cells in various other malignant tumors (e.g. breast cancer and colon cancer).^{17,18}

ESFT is a prime example of an embryonic tumor displaying stemness features, both morphologically through its “small round blue cell” morphology, phenotypically and through epigenetic reprogramming via EZH2.^{11,12}

We therefore investigated whether the expression of previously published stemness genes¹⁹ might influence the composition of the immune TME found in ESFT.

2. Materials and methods

2.1. ESFT datasets and CIBERSORT analysis

Gene expression microarray data of 197 primary ESFT tumor samples from Gene Expression Omnibus (GEO)²⁰ datasets GSE1825,¹⁰ GSE37371,²¹ GSE34620,^{22,23} GSE17679,²⁴ and GSE15757¹² were analyzed using CIBERSORT.¹⁶ Affymetrix HG-U133A (GEO accession number GPL96) and Affymetrix HG-U133 Plus 2.0 (GEO accession number GPL570) platform data was selected, other datasets were excluded from this study because the leucocyte signature comparison matrix was validated on the above platforms.¹⁶ Seventy of 197 patients had follow up data including OS and EFS. Thirty-two patients from GSE17679²⁴ were included in the training, 38 patients from GSE34620²² in the validation cohort for Kaplan-Meier analysis.

Patients’ characteristics and microarray datasets used in this study are shown in Table 1.

2.2. Microarray preprocessing

Affymetrix array data was downloaded as CEL files (raw data) from GEO²⁰ and probes were aggregated to HUGO gene symbols. All microarray studies were normalized according to the “Robust Multi-array Average” (RMA) method²⁵ prior to analysis using the “affy” package in Bioconductor and R (R Foundation for Statistical Computing).

2.3. Assessment of immune infiltration by CIBERSORT

We used CIBERSORT to examine the relative fractions of 22 infiltrating immune cell types in each tumor tissue, using the LM22 signature matrix with 1,000 permutations (other parameters were left at default values). The LM22 matrix includes naïve and memory B cells, plasma cells, seven T cell types (CD8 T cells, naïve CD4 T cells, resting memory CD4 T cells, activated memory CD4 T cells, follicular helper T cells, regulatory T cells, $\gamma\delta$ T cells), resting and activated natural killer (NK) cells, monocytes, macrophages (M0 macrophages, M1 macrophages, M2 macrophages), resting and activated dendritic cells (DC), resting and activated mast cells, eosinophils and neutrophils.¹⁶ The sum of all evaluated immune cell type fractions equals one for each tumor sample, hence all estimates are relative to total leukocyte content.

2.4. Statistical analysis

Statistical analysis was performed using the software package IBM SPSS (Chicago, IL) Statistics for Windows (version 24). Mean value comparisons were performed with the Mann-Whitney-U and Kruskal-Wallis test depending on the number of compared groups. The Benjamini-Hochberg (BH) procedure was used to correct for multiple testing errors with a false discovery rate of 0.05, where indicated.²⁶ If not otherwise specified in the figure legends, data are presented as box plots with horizontal bars representing the median.

Survival analyses were performed using the Kaplan-Meier method and the log-rank test. Optimal cutoff points of cell abundance expressed as the percentage of a specific cell fraction within all immune cells were set at the point with the most significant (log-rank test) separation using the web-based tool “cutoff Finder”.²⁷ Due to the small number of patients with survival data (training cohort: n = 32, validation cohort: n = 38), only exploratory data analysis within these subgroups was performed (no further multiple testing error analysis due to small cohort size). Univariate and multivariate analysis were performed using Cox regression analysis. Multivariate Cox regression analysis was run backwards with $p(\text{in}) = 0.05$ and $p(\text{out}) = 0.1$. Hazard ratios (HR) and their 95% confidence intervals (95% CI) were calculated.

P-values less than 0.05 were considered statistically significant.

Table 1. Patient characteristics and microarray datasets.

GEO ID	no. of primary ESFT	EWS translocated	platform	age (in years)			sex		no. of patients with survival information		no. of patients with pretreatment	
				< 10	10 to 18	>18	female	male	OS data	EFS data	yes	no
GSE1825	5 (2.5%)	5/5	U133A	NA	NA	NA	NA	NA	NA	NA	NA	NA
GSE37371	39 (19.8%)	NA – data not available	U133A/U133Plus2	NA	NA	NA	NA	NA	NA	NA	NA	NA
GSE34620	117 (59.4%)	117/117	U133Plus2	30/117	63/117	24/117	51/117	66/117	38/117	39/117	NA	NA
GSE17679	32 (16.2%)	32/32	U133Plus2	4/32	15/32	13/32	11/32	21/32	32/32	32/32	5/32	27/32
GSE15757	4 (2%)	NA – data not available	U133A	NA	NA	NA	NA	NA	NA	NA	NA	NA
TOTAL	197 (100%)											

GEO, gene expression omnibus; OS, overall survival; EFS, event-free survival; NA, not applicable.

Gene expression microarray profiles of 197 primary ESFT tumor samples from Gene Expression Omnibus (GEO)²⁰ datasets GSE1825,¹⁰ GSE37371,²¹ GSE34620,^{22,23} GSE17679²⁴ and GSE15757;¹² total numbers of primary ESFTs within Gene Series Expression (GSE) and the percentage within the entire cohort. Age of patient at onset of disease in years. A total of 70 patients had complete OS and EFS survival data, 32 patients (GSE17679) (Figures 2 and 3) were used as a training cohort and 38 patients (GSE34620) (supplementary Figures 2 and 3) were used as a validation cohort.

3. Results

3.1. Immune cell composition in ESFT

The predominant immune cell type in ESFT determined by CIBERSORT were macrophages (43% of all leucocytes) with immunosuppressive M2 macrophages being the predominant population. The second most prominent cell fraction were T cells (mean: 23%) (follicular helper T cells > CD4 memory T cells > CD8 T cells > regulatory T cells > CD4 naïve T cells > $\gamma\delta$ T cells). Interestingly, B cells (8%) and plasma cells (5%) as well as neutrophils (3%) and NK cells (6%) represented small immune cell subsets (Figure 1).

3.2. No effect of neoadjuvant therapy and age of onset on immune subsets in ESFT

By subgroup analysis, pretreated tumors ($n = 5$) were compared to tumors of ESFT that were not treated with neoadjuvant chemotherapy ($n = 27$) (GSE17679) (Table 1). Although the absolute number of infiltrating leukocyte content is not given by CIBERSORT analysis, neoadjuvant treatment had no significant impact on relative immune cell subsets nor on PD-L1 expression (data not shown).

The age of disease onset was available in 149 ESFT cases. This subgroup was divided into group 1 (0 to 10 years of age), group 2 (10 to 18 years) and group 3 (>18 years). No significant differences between age groups were found (Kruskal-Wallis test) (data not shown).

3.3. Prognostic role of tumor-infiltrating immune cells

The distribution of relative immune cell fractions in ESFT (Figure 1) was correlated with OS and EFS in a training cohort of 32 patients with clinical follow-up data (GSE17679) and validated in an independent cohort of 38 patients (GSE34620). Infiltrating neutrophils correlated with

shortened OS (median OS not reached vs. median OS of 20.7 months, 95% CI 11.9–29.5 months, $p = .038$, Figure 2(a)), whereas activated NK cells were associated with a prolonged OS (median OS not reached vs. median OS of 20.7 months, 95% CI 12.1–29.3 months, $p = .007$, Figure 2(b)) by Kaplan-Meier analysis. Higher levels of M2 macrophages were associated with shorter EFS (median EFS of 47 months vs. median EFS of 15.3 months, 95% CI 8.6–22.0 months, $p = .033$, Figure 2(d)) and memory B cells also correlated with shorter EFS (median EFS of 28.6 months, 95% CI 0.8–56.4 months, vs. median EFS of 11.7 months, 95% CI 7.5–15.9 months, $p = .024$, Figure 2(e)). When all T cell fractions were summed, high frequency of total T cells was associated with longer OS and EFS (median OS not reached vs. median OS of 21.3 months, 95% CI 12.1–30.5 months, $p = .044$, Figure 2(c); median EFS of 47 months vs. median EFS of 14 months, 95% CI 6.9–21.1 months, $p = .032$, Figure 2(f)). The combination of low M2 macrophages, low neutrophils and high T cells (“T cell predominant”) identified a small patient cohort (9/32 patients) with favorable outcome for both, OS (median OS not reached, $p = .014$, Figure 3(a)) and EFS (median EFS: 47 months, 95% CI not determined, $p = .005$, Figure 3(b)). The subgroup with high M2 macrophages, high neutrophils and low T cells (“M2-neutrophil predominant”) showed the shortest OS and EFS (median OS: 15.6 months, 95% CI 3.2–28 months; median EFS: 11 months, 95% CI 8.7–13.3 months). All other combinations of M2 macrophages, neutrophils and T cells (“mixed”) did not reach the median OS and had a median EFS of 19.4 months (95% CI 0–39 months).

For univariate and multivariate comparative analysis binary variables were used (Table 3).

Multivariate Cox regression analysis confirmed that activated NK cells ($p = .012$) and the combination of M2 macrophages, neutrophils and T cells ($p = .005$) were the most significant and independent prognostic factors for OS and EFS, respectively (Table 3).

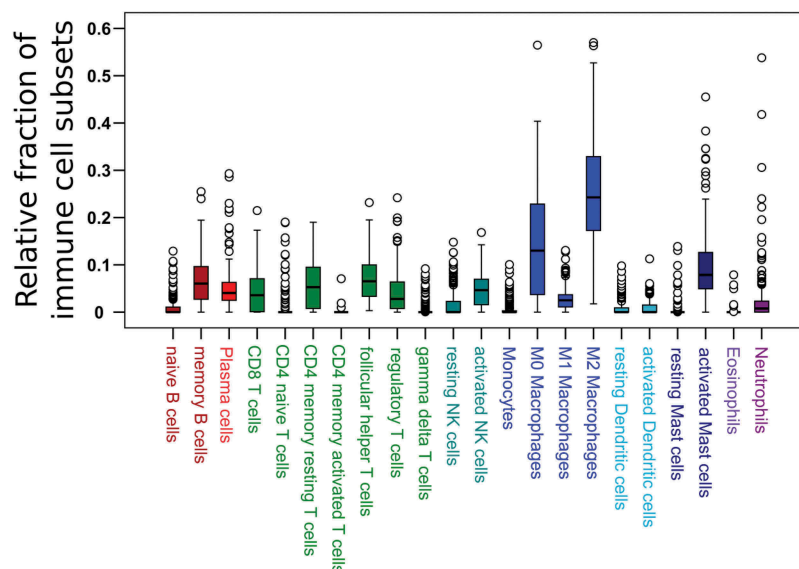


Figure 1. Immune cell distribution in 197 ESFT primary tumors (CIBERSORT).

Relative proportion of all tumor-infiltrating leucocytes of 22 immune cell subsets in ESFT.

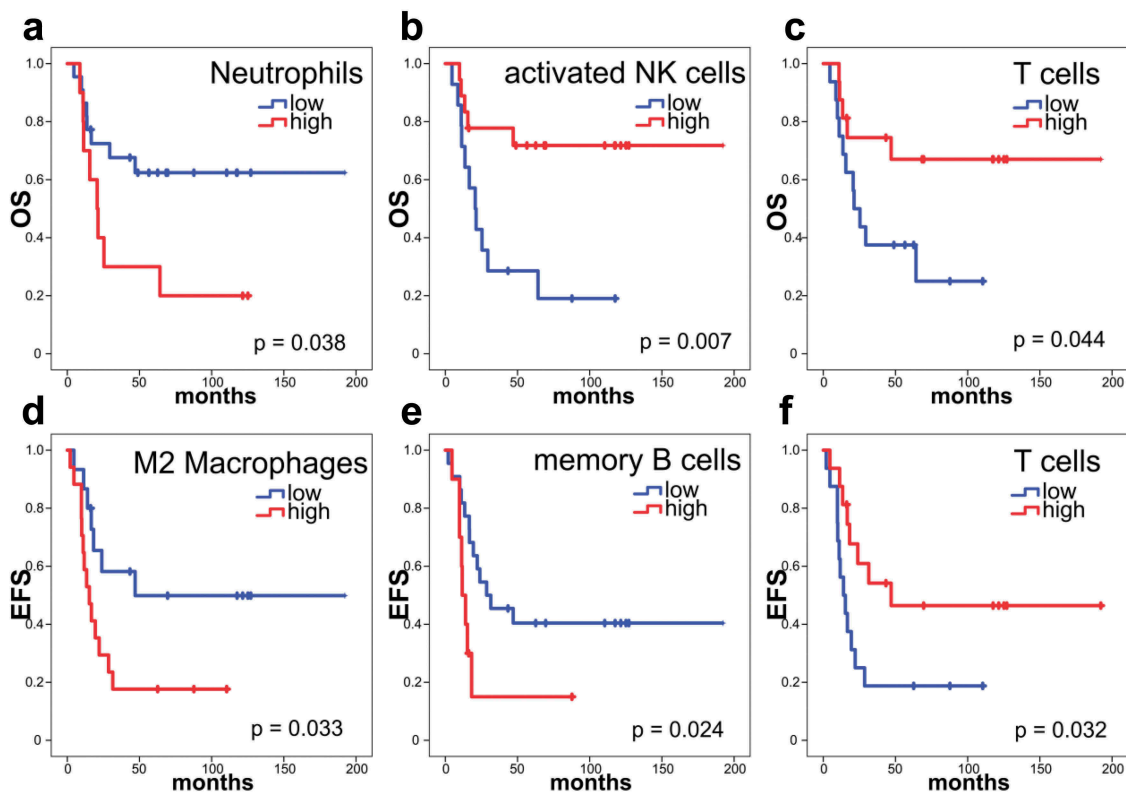


Figure 2. Survival in patients with ESFT is dependent on the abundance of immune cell types.

Kaplan-Meier analyses showing OS and EFS with tumors with low (blue) and high (red) frequency of specific immune cells determined by CIBERSORT, as indicated: (a, b, c) OS analysis. (d, e, f) EFS analysis. Thirty-two patients within the training cohort (GSE17679) were included in this survival analysis. (a) Low frequency of neutrophils in ESFT (<0.2546% of total immune cells) were associated with a good prognosis (median OS not reached), high frequency of neutrophils (>0.2546% of total immune cells) with an estimated median survival of only 20.7 months (95% CI 11.9–29.5 months) ($p = .038$). (b) High frequency of activated NK cells (>3.771% of total immune cells) were associated with a good prognosis (median OS not reached), low frequency of activated NK cells (<3.771% of total immune cells) with an estimated median survival of only 20.7 months (95% CI 12.1–29.3 months) ($p = .007$). (c) High frequency of total T cells (>22.43% of total immune cells) were associated with a good prognosis (median OS not reached), low frequency of total T cells (<22.43% of total immune cells) with an estimated median survival of only 21.3 months (95% CI 12.1–30.5 months) ($p = .044$). (d) Whereas patients ESFTs with a low frequency of M2 macrophages (<24.83% of total immune cells) had a median EFS of 47 months, high frequency of M2 macrophages (>24.83% of total immune cells) were associated with an estimated median survival of only 15.3 months (95% CI 8.6–22.0 months) ($p = .033$). (e) Low frequency of memory B cells (<8.655% of total immune cells) were associated with a median EFS of 28.6 months (95% CI 0.8–56.4 months), high frequency of memory B cells (>8.655% of total immune cells) with an estimated median survival of only 11.7 months (95% CI 7.5–15.9 months) ($p = .024$). (f) High frequency of total T cells (>22.43% of total immune cells) were associated with a median EFS of 47 months, low frequency of total T cells (<22.43% of total immune cells) with an estimated median survival of only 14 months (95% CI 6.9–21.1 months) ($p = .032$). Log-rank test.

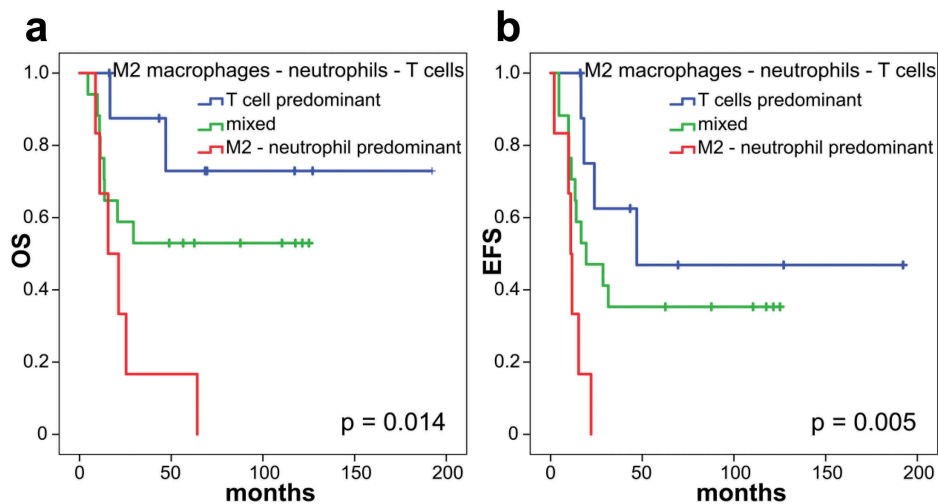


Figure 3. Combining M2 macrophage, neutrophil and T cell frequency predicts prognosis in primary ESFT.

Thirty-two patients within the training cohort (GSE17679) were included in this survival analysis. Blue curve (9/32 patients): low M2, low neutrophils and high T cells (“T cell predominant”) were associated with a good prognosis (median OS not reached; median EFS: 47 months, 95% CI not determined), red curve (6/32 patients): high M2, high neutrophils and low T cells (“M2-neutrophil predominant”) were associated with a dismal prognosis (median OS: 15.6 months, 95% CI 3.2–28 months; median EFS: 11 months, 95% CI 8.7–13.3 months) and green curve (17/32 patients): any other combination of M2 macrophages, neutrophils and T cells (“mixed”) with intermediate risk (median OS not reached, median EFS: 19.4 months, 95% CI 0–39 months). (a) Overall survival analysis, $p = .014$. (b) Event-free survival analysis, $p = .005$. Log-rank test.

Kaplan-Meier analysis of 38 patients (GSE34620) within the validation cohort confirmed the negative prognostic value of neutrophils and positive prognostic value of T cells by both OS and EFS ($p = .029$ and $p = .026$ for neutrophils; $p = .005$ and $p = .02$ for T cells; supplementary Figure 1). When M2 macrophages, neutrophils, and T cells were combined, a subgroup with favorable OS and EFS was confirmed in the validation cohort ($p = .026$ and $p = .012$; supplementary Figure 2). The prognostic value of the combination of M2 macrophages, neutrophils, and T cells was also confirmed by multivariate analysis in the validation cohort ($p = .019$ and $p = .014$; supplementary table 1).

3.4. Gene expression of published stemness genes and checkpoint molecules in ESFT

Out of 18 previously published stemness-related genes¹⁹ Myc, HIF1 α and EZH2 showed the highest gene expression in ESFT with mean expression levels of 10.1, 9.9 and 9.6 (\log_2 Affymerix RMA intensity values) respectively (Figure 4(a)). Next, we analyzed checkpoint molecule expression in our cohort of ESFT cases. PD-L1 gene expression and most other molecules were low to undetectable in ESFTs (Figure 4(b)). No difference in PD-L1 expression was observed in tumors with low vs. high total T cell infiltrates (data not shown).

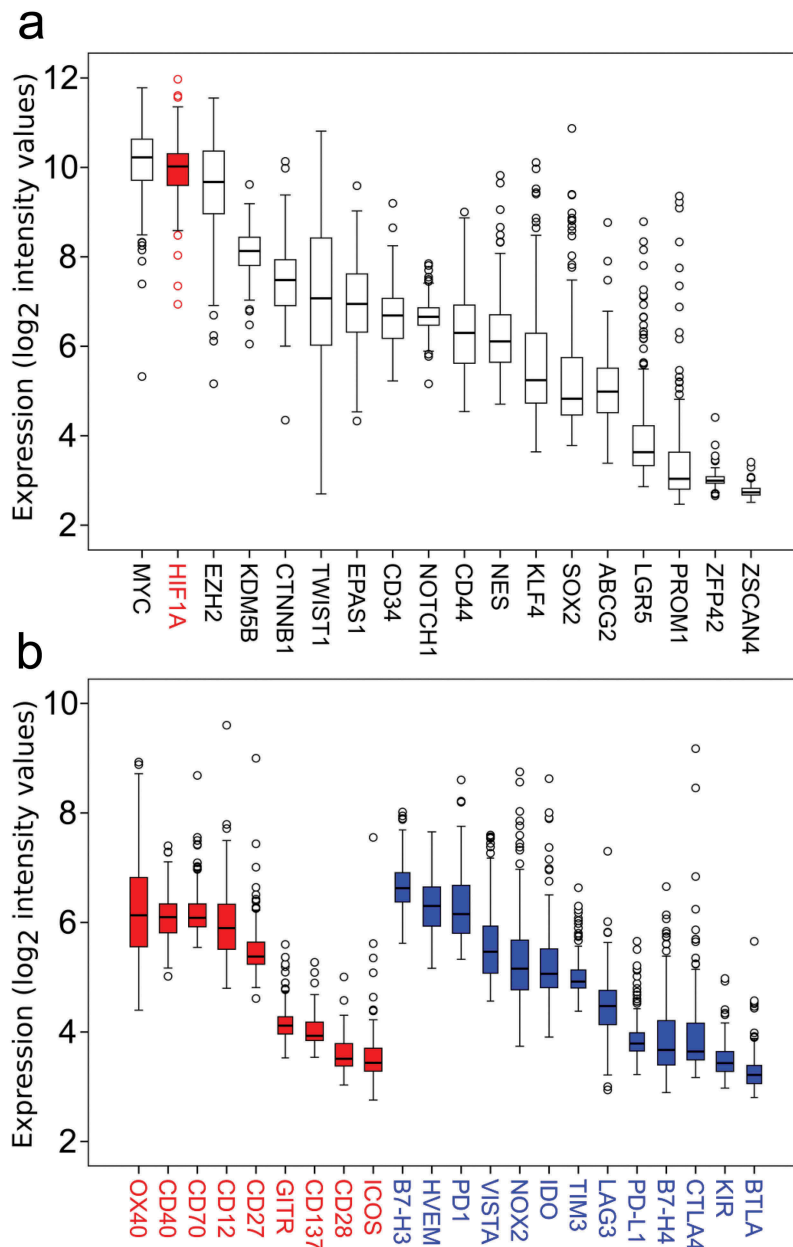


Figure 4. Gene expression of hallmark stemness genes and checkpoint molecules in 197 human ESFT samples.

(a) Myc, HIF1 α , and EZH2 are highly expressed compared to other stemness genes in ESFT. (b) Co-stimulatory (red, left) and co-inhibitory checkpoint molecules (blue, right) show a low (<7 \log_2 intensity value) expression in ESFTs.

3.5. Correlation between HIF1 α expression and immune cell subsets

When expression of known stemness genes was compared with the fraction of immune cell types generated by CIBERSORT, HIF1 α showed a correlation with 12 immune cell populations (Table 2). We therefore divided the cohort into a low (< median) and high (> median) HIF1 α expression group. The HIF1 α high expression group showed significantly more macrophages, especially M2 macrophages ($p < .001$, Figure 5(b)) and neutrophils ($p < .01$, Figure 5(c)), while several T cell populations including CD8 positive T cells, follicular helper T cells, and Tregs were significantly reduced in the high HIF1 α group (Table 2). When all T cell populations were summed, total T cells were significantly reduced in the high HIF1 α expression group ($p < .001$, Figure 5(a)). The mean of relative immune cell subset in the low and high HIF1 α group is shown in Table 2.

As HIF1 α positively correlated with dismal prognostic immune cell subsets like M2 macrophages and neutrophils and negatively correlated with good prognostic T cells, we further asked, whether HIF1 α also was associated with a shortened survival. A trend was observed toward poor prognosis by OS and EFS, respectively, by Kaplan–Meier analysis of the training cohort ($p = .071$, $p = .091$, respectively). This correlation was confirmed by Kaplan–Meier analysis in the validation cohort. Here, high HIF1 α expression was also associated with a shortened OS ($p = .043$).

Table 2. Relative proportions of immune cell subsets in low and high HIF1 α expression subgroups of ESFT. The mean relative fractions of immune cell subsets in 197 ESFT tumors in subgroups with low and high HIF1 α expression. Significant differences after correcting for multiple testing error using the Benjamini–Hochberg (BH) procedure with a false discovery rate of 0.05 are indicated in bold. * $p < .05$, ** $p < .01$, *** $p < .001$ (Mann–Whitney–U-test).

	low HIF1 α	high HIF1 α	p	BH corrected p value
Naive B cells	0.009	0.01	.211	.253
Memory B cells	0.073	0.058	.022*	.044*
Plasma cells	0.056	0.047	.001**	.003**
CD8 T cells	0.06	0.03	<.001***	.003**
CD4 naive T cells	0.011	0.01	.252	.275
CD4 memory resting T cells	0.052	0.063	.080	.113
CD4 memory activated T cells	0	0.001	.077	.113
Follicular helper T cells	0.081	0.056	<.001***	.003**
Regulatory T cells	0.058	0.025	<.001***	.003**
Gamma delta T cells	0.004	0.008	.109	.145
Total T cells	0.265	0.194	<.001***	.003**
Resting NK cells	0.017	0.016	.78	.78
Activated NK cells	0.05	0.042	.057	.105
Monocytes	0.008	0.006	.199	.251
M0 macrophages	0.128	0.158	.067	.113
M1 macrophages	0.03	0.028	.515	.537
M2 macrophages	0.222	0.288	<.001***	.003**
Total macrophages	0.38	0.474	<.001***	.003**
Resting DC	0.011	0.005	.001**	.003**
Activated DC	0.013	0.007	.021*	.044*
Resting mast cells	0.003	0.007	.006**	.014*
Activated mast cells	0.095	0.096	.073	.113
Eosinophils	0	0.001	.239	.273
Neutrophils	0.019	0.036	.005**	.013*

Table 3. Univariate and multivariate analysis of immune cell subsets and survival of 32 ESFT patients within the training cohort (GSE17679). All variables with a p -value of less than 0.05 by Kaplan–Meier analysis were analyzed using univariate and multivariate Cox regression analysis.

A		Overall survival		
		HR	95% CI	p
Univariate				
Neutrophils	low vs. high	2.733	1.018–7.337	.046
Activated NK cells	low vs. high	0.252	0.086–0.736	.012
T cells	low vs. high	0.350	0.121–1.016	.053
M2-neutrophil-T cell	T cell/mixed vs.M2-neutro	3.755	1.341–10.51	.012
Multivariate				
Neutrophils	low vs. high	1.427	0.300–6.790	.655
Activated NK cells	low vs. high	0.252	0.086–0.736	.012
M2-neutrophil-T cell	T cell/mixed vs.M2-neutro	2.178	0.703–6.743	.177
B		Event-free survival		
		HR	95% CI	p
Univariate				
M2 macrophages	low vs. high	2.603	1.043–6.492	.040
Memory B cells	low vs. high	2.787	1.100–7.059	.031
T cells	low vs. high	0.391	0.161–0.952	.039
M2-neutrophil-T cell	T cell/mixed vs.M2-neutro	4.380	1.579–12.15	.005
Multivariate				
M2 macrophages	low vs. high	1.813	0.654–5.025	.253
Memory B cells	low vs. high	2.250	0.841–6.020	.106
T cells	low vs. high	1.118	0.212–5.908	.895
M2-neutrophil-T cell	T cell/mixed vs.M2-neutro	4.380	1.579–12.15	.005

(A) Univariate Cox regression of overall survival (OS) analysis showed that neutrophils ($p = .046$), activated NK cells ($p = .012$) and a combination of M2 macrophages, neutrophils and total T cells ($p = .012$) correlated with OS. By multivariate Cox regression analysis, high abundance of activated NK cells ($p = .012$) showed a prolonged OS.

(B) Univariate Cox regression of event-free survival analysis (EFS) showed that M2 macrophages ($p = .04$), memory B cells ($p = .031$), T cells ($p = .039$) and a combination of M2 macrophages, neutrophils and total T cells ($p = .005$) were associated with EFS. By multivariate Cox regression analysis, a combination of M2 macrophages, neutrophils and total T cells ($p = .005$) correlated best with EFS.

4. Discussion

ESFTs represent a group of highly aggressive bone and soft tissue tumors in children and young adults. Clinically, ESFTs rapidly metastasize with 25% of patients presenting with metastatic disease initially.^{4,28} The relapse rate has been lowered in patients with localized disease due to intensified chemotherapy protocols and combination with autologous stem-cell therapy.⁵ While therapy has improved for patients with localized disease, novel-targeted therapies are urgently required for patients with relapsed and metastatic disease.

Our retrospective gene expression analysis shows low levels of PD-L1 transcripts in ESFTs (Figure 4), confirming previous reports demonstrating PD-L1 protein expression determined by IHC in only 0–19% of ESFT tumor samples depending on type of tissue, antibody used, and whether patients had been treated prior to biopsy.^{13,29,30}

Immunoprofiling via CIBERSORT of ESFT gene expression microarrays confirms and extends prior findings of a TME rich in macrophages³¹ and devoid of checkpoint molecules such as PD-L1.³⁰ Our results provide a road map by which tumor-infiltrating immune cells can be used as biomarkers and possible novel immunotherapeutic targets.

As this is an exploratory study, the CIBERSORT results will need to be compared with other methods like single-cell RNA sequencing, which would allow a more detailed analysis of the immune cell infiltrates. Moreover, larger clinical cohorts and longitudinal studies correlating molecular as well as immune TME data will be required to confirm the

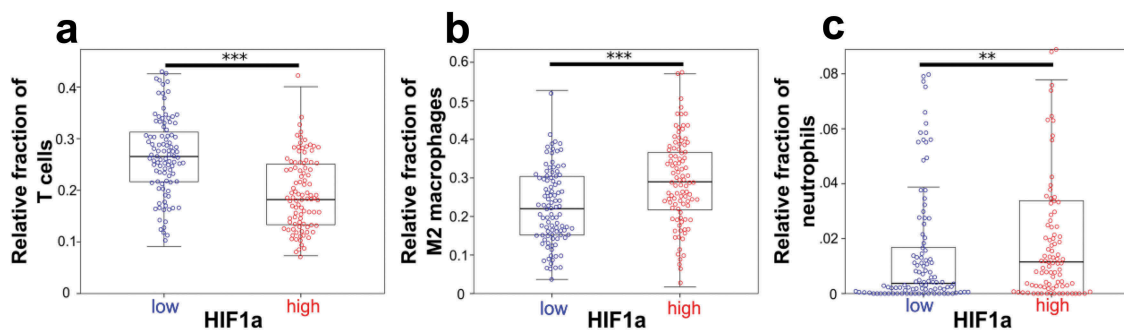


Figure 5. HIF1 α correlates with total T cells, M2 macrophages, and neutrophils.

(a) T cells were significantly reduced in the high HIF1 α expression group (mean: 26.5% vs. 19.4%). (b) M2 macrophages were significantly higher in the high HIF1 α expression group (mean: 22.2% vs. 28.8%). (c) Neutrophils were significantly more abundant in the high HIF1 α expression group (mean: 1.9% vs. 3.6%). The entire cohort of 197 ESFT was included. Box plots. ** $p < .01$, *** $p < .001$ (Mann-Whitney-U-test).

findings. In addition, other methods assessing absolute immune cell numbers and phenotypic data using flow cytometry and immunohistochemistry as well as algorithms assessing absolute cell counts will need to confirm the above findings.

Previously, unsupervised clustering of gene expression data identified gene signatures that may have come from non-neoplastic tumor-associated stromal cells.³² Here, we can show that at least a part may be derived from immune cells. It appears that M2 type macrophages and neutrophils are associated with a poor prognosis, whereas T cells and even relatively few activated NK cells predict a good prognosis in ESFT patients.

Embryonal tumors such as ESFT bear similarities morphologically and by gene expression with stem cells, thus we were interested to determine, which stemness genes were upregulated in ESFT and whether relative immune subsets in our cohort correlated with stemness. Interestingly, out of 18 known hallmark genes of stemness¹⁹ examined, HIF1 α emerged as an upregulated hallmark stemness gene that also shows significant correlation with immune cell composition (Table 2). In ESFT with high HIF1 α expression high numbers of macrophages and neutrophils and low numbers of adaptive immune cells were observed fitting other observations of a relative lack of adaptive immune cells and high frequency of innate immune cells in tumors with a hypoxic TME (Table 2, Figure 5).

Increased HIF1 α protein expression has previously been observed by IHC studies in ESFT.^{33,34} HIF1 α directly binds and regulates EWS-FLI-1 protein^{33,35,36} and is induced within hypoxic/necrotic areas in ESFT.³³

EZH2 is highly upregulated in ESFT in our analysis (Figure 4), in line with previous observations that EZH2 maintains a stemness expression signature through epigenetic regulation in ESFT.^{12,37} It is upregulated through the oncogenic EWS/FLI1 fusion protein.⁸ Recently, it was shown that EZH2 inhibition together with chimeric antigen receptor (CAR) T cell therapy is an interesting novel immunotherapeutic treatment approach in EWST, as it leads to upregulation of the immune target ganglioside G_{D2}.³⁸

Similar to adult tumor types, the relative contribution of total T cells correlates directly with a better OS and EFS (training cohort: $p = .044$, $p = .032$, respectively; validation

cohort: $p = .005$, $p = .02$, respectively) in ESFT. Previously, it has been demonstrated that HLA-G, a non-classical, immune-inhibitory MHC class I molecule is expressed in tumor cells and/or lymphocytes in ESFT primary tumor samples.^{39,40}

The finding that activated NK cells confer a prolonged OS, is encouraging regarding current efforts to use chimeric antigen receptor-expressing NK cells in patients with ESFT therapeutically.⁴¹

Our finding of macrophages contributing the major immune cell subset in ESFT is consistent with IHC analyses³¹ and appears to be a general feature in pediatric cancers.⁴² Tumor-associated macrophages are known to promote cancer cell proliferation, immunosuppression and angiogenesis supporting metastasis and progression and through differentiation to M2 type macrophages expressing anti-inflammatory cytokines (IL-10, TGF β) that have an inhibitory effect on cytotoxic CD8 + T cells.⁴³ Interestingly, in the peripheral blood monocytes and other changes in blood parameters are more commonly observed in ESFT patients with a poor prognosis,⁴⁴ a phenomenon that supports the systemic changes of immune cells and cytokines in ESFT patients. Our findings may encourage efforts to target macrophages in ESFT, as currently tested for other types of malignancies using macrophage ablation or restoring their immunostimulatory potential.^{45–47}

By combining low number of neutrophils, low M2 macrophages and high T cells in ESFT tumor samples we retrospectively identified a small patient subpopulation (9/32 patients) with a good prognosis (Figure 3). This was confirmed in a validation cohort (11/38 patients, supplementary Figure 2). Future clinical studies will need to determine whether the frequency of neutrophils, M2 macrophages and T cells in ESFTs may improve prognostication in this tumor entity and whether these cells can be targeted in a therapeutically favorable way.⁴³

In chronic inflammatory conditions, neutrophils and monocytes/macrophages interact directly and enable the host to efficiently defend against and eliminate foreign pathogens as a first line of defense and during regeneration and repair.⁴⁸ In the immune TME, neutrophils and macrophages can exert protumoral functions, enhancing tumor cell invasion and metastasis, angiogenesis, and extracellular matrix

remodeling, while inhibiting antitumoral immune surveillance.⁴⁹ Recently, neutrophil plasticity in the TME has been revisited with PMN-MDSCs being identified as immunosuppressive neutrophil suppressor cells⁵⁰ and neutrophil extracellular traps containing chromatin and neutrophil proteins have been identified in ESFT tumor samples.⁵¹ Clearly, additional functional and phenotypic experiments are necessary to look more into the subtypes of neutrophils detected by CIBERSORT in ESFT and to characterize the contribution of each of these subsets further.

The connection of HIF1 α overexpression and elevated innate immune cells (macrophages and neutrophils), but decreased adaptive immune cells such as T cells and plasma cells (Table 2) in ESFT is interesting, because HIF1 α is a major transcription factor responsible for triggering tumor progression. In response to changes in oxygen, tension HIF1 α regulates angiogenesis and tumor growth.^{52,53} In hypoxic tumors such as ESFT, hypoxia-driven formation of reactive oxygen species (ROS) destabilizes Prolyl Hydroxylases causing stabilization of HIF1 α . Expression of the EWS-FLI1 oncoprotein in ESFT hijacks the developmental transcription factor SOX6, leading to constitutively elevated ROS levels and therapeutic vulnerability (synthetic lethality) to Elesclomol.⁵⁴

Our findings are in line with previous hypotheses that HIF1 α promotes tumor progression through suppressive myeloid and T cell populations and by creating a metabolically hostile environment for immune effector cells.^{33,55} Reprogramming of the tumor metabolic program into glycolysis in part via HIF1 α results in “metabolic competition” between cancer cells and T cells, which may explain the paucity of T cells in ESFT.⁵⁶ Alternatively, low levels of T cells in ESFT may occur because mutation frequencies are low in ESFT, similar to other pediatric tumors,⁵⁷ thus providing few neoantigens. A lack of available neoantigens to induce effective T cell responses are frequently the cause of a poor adaptive immune response.

Currently, several novel avenues of immunotherapeutic treatment are being explored for ESFT patients in the relapsed or metastatic stage; however, most have shown limited success or are in early clinical trials.⁵⁸ Anti-insulin like growth factor receptor-1 (IGFR1) therapy may provide therapeutic benefit for a selected group of patients.⁵⁹ Here, characterization of the immune TME in clinical trials using CIBERSORT may be of interest to identify predictive biomarkers.

Of interest, most checkpoint molecules that we assessed were expressed at only low levels (Figure 4(b)), with somewhat elevated expression of OX40 (Figure 4(b)). While these in silico data need to be confirmed, OX40 may be an interesting immunotherapeutic target in otherwise “cold” EWST tumors,⁶⁰ as co-stimulatory anti-OX40 antibodies are already tested in other advanced malignancies.⁶¹

Interestingly, HIF1 α is responsible for regeneration and tissue repair.⁶² This may explain why immune cells involved in reparative processes such as phagocytes move in and adaptive immune cells that are necessary to fight infection move out in hypoxic tumors such as ESFT. HIF1 α mediated hypoxia could play a role in an increase of myeloid-derived suppressor cells in the immune TME of ESFT⁶³ and polarization toward M2 macrophages.⁶⁴ Therefore, targeting macrophages

through specific ablation or repolarization^{7,45} either alone or in combination with other treatment modalities could be an interesting novel treatment strategy in the era of personalized and TME adapted medicine. Additional in vitro and in vivo studies will need to address which soluble factors are responsible for M2 macrophage and neutrophil migration into the tumor and retention.

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Conflict of interest

The authors report no conflict of interest.

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