

SCIENTIFIC INVESTIGATIONS

Alpha-1 Adrenergic-Antagonist Use Increases the Risk of Sleep Apnea: A Nationwide Population-Based Cohort Study

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Study Objectives: Decreased upper-airway muscle responsiveness is one of the major phenotypes of obstructive sleep apnea. Use of α 1-adrenergic antagonists is correlated with decreased muscle responsiveness in animal studies, but this association has not yet been demonstrated in humans. This study examined whether use of α 1-adrenergic antagonists is an independent risk factor for sleep apnea in humans.

Methods: Data for this retrospective cohort study were obtained from the National Health Insurance Research Database from Taiwan. Between 2000 and 2012, 25,466 patients with hypertension and 18,930 patients without hypertension were enrolled. These groups were divided into α 1-adrenergic antagonist users and nonusers, matched by age, sex, and index year. Individuals were monitored for diagnosis of sleep apnea until 2013.

Results: After adjusting for propensity score and potential confounders, including age, geographic location, enrollee category, income, urbanization level, comorbidities, and medication, the adjusted hazard ratios (HRs) for development of sleep apnea with α 1-adrenergic antagonist use were 2.38 (95% confidence interval [CI] 1.82–3.10) and 2.82 (95% CI 1.79–4.44) in the hypertension and nonhypertension groups, respectively. Similarly, the adjusted HRs for development of severe sleep apnea with α 1-adrenergic antagonist use were 2.74 (95% CI 1.78–4.22) and 4.23 (95% CI 1.57–11.40) in hypertension and nonhypertension patient groups, respectively. The interaction between α 1-adrenergic-antagonist user and patients with hypertension was tested using multivariable Cox regression. The results showed that there are positive additive interactions for developing sleep apnea and severe sleep apnea, respectively.

Conclusions: Our study suggests that patients with hypertension using α 1-adrenergic antagonists have a higher risk of sleep apnea. Routine sleep apnea screening would be beneficial for patients with hypertension who take α 1-adrenergic antagonists.

Keywords: α 1-adrenergic antagonist, hypertension, sleep apnea

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BRIEF SUMMARY

Current Knowledge/Study Rationale: Decreased upper airway muscle responsiveness, particularly genioglossus muscle activity, is one of the phenotypes of obstructive sleep apnea. Although the use of α 1-adrenergic antagonist agents has been shown to decrease genioglossus activity through suppression of hypoglossal nucleus motor neuron activity in animal studies, this concept has not yet been examined in humans because of ethical issues.

Study Impact: In this study, we conducted a large-scale population-based cohort study and found that α 1-adrenergic antagonist use is associated with a higher risk of sleep apnea, both in patients with hypertension and those without hypertension. As such, early detection of sleep apnea would be beneficial for patients taking α 1-adrenergic antagonist agents.

INTRODUCTION

Obstructive sleep apnea (OSA) is an increasingly common disorder, characterized by repetitive pharyngeal narrowing and collapse during sleep,¹ which affects about 49.7% and 23.4% of the male and female population, respectively.² People with OSA may either experience complete pharyngeal collapse, causing apnea, or partial narrowing, causing hypopnea, and then progress to intermittent hypoxemia and sleep fragmentation.¹ Although continuous positive airway pressure (CPAP) therapy can resolve sleep-disordered

breathing, about half of patients with OSA are intolerant of or poorly adherent to this therapy.¹ Thus, a phenotypic approach may help the management of OSA.

Insufficient upper-airway muscle responsiveness to negative pharyngeal pressure is one of the major phenotypes in the pathophysiology of OSA.^{3–6} Genioglossus muscle activity plays an important role in insufficient upper-airway muscle responsiveness.⁷ In an animal study, genioglossus muscle activity during sleep was found to increase after injection of norepinephrine into the hypoglossal motor nucleus.⁸ It implied that norepinephrine could increase genioglossus muscle activity.

Previous *in vitro* studies have also proven that norepinephrine can depolarize and increase the excitability of hypoglossal motor neurons in brainstem tissue slices.^{9–11} However, genioglossus activity decreased significantly with microdialysis perfusion of terazosin, a type of $\alpha 1$ -adrenergic antagonist, into the hypoglossal motor nucleus.¹² Another $\alpha 1$ -adrenergic antagonist, tamsulosin, also caused exacerbation of OSA, according to a case report.¹³

There is a high incidence of hypertension among patients with OSA, and conversely, OSA is an independent risk factor for hypertension.¹⁴ The $\alpha 1$ -adrenergic antagonists are also widely used to control hypertension, especially in refractory cases.¹⁵ According to the afore-mentioned literature, patients with hypertension who use $\alpha 1$ -adrenergic antagonists may have more severe OSA.

To our knowledge, no large-scale human intervention study has focused on the relationship between the use of $\alpha 1$ -adrenergic antagonists and the development of sleep apnea, because of ethical issues. Using data deposited in the National Health Insurance Database, this population-based cohort study aimed to determine whether the use of $\alpha 1$ -adrenergic antagonist agents is an independent risk factor for the development of sleep apnea. Furthermore, we investigated the incidence of sleep apnea among patients with hypertension who were taking $\alpha 1$ -adrenergic antagonists.

METHODS

Design

This study was designed as a longitudinal, observational, retrospective cohort study. We enrolled a group of people exhibiting hypertension within a defined period as the hypertension study group. From the same population, we enrolled a comparison group of people who did not exhibit hypertension. In each group, we further classified people based on whether they did or did not use $\alpha 1$ -adrenergic antagonists. The use of $\alpha 1$ -adrenergic antagonist agents was defined as prescription at the outpatient department and patients having prescription records at least three times in one year were included. Therefore, people in both groups (hypertension and nonhypertension) were divided into $\alpha 1$ -adrenergic-antagonist user and nonuser subgroups. We then followed the outcomes of these four groups, including sleep apnea and severe sleep apnea, over time. The study was approved by the ethics committee and institutional review board of National Cheng Kung University Hospital (Institutional Review Board number: B-ER-107-302).

Database

The National Health Insurance (NHI) of Taiwan is a universal health insurance plan that has been in operation since 1995. The NHI of Taiwan provides coverage to almost 97% of the nation's population of 23 million.¹⁶ The NHI Research Database (NHIRD) of Taiwan uses the claims data from the NHI to extract numerous datasets for researchers, which are deposited in various databases. The data analyzed in the current study were obtained from the Longitudinal Health Insurance Database 2000, which contains one million insured people randomly selected from the total population. This database covers NHI claims data from 2000 to 2013. There are no

statistically significant differences in age, sex, or health care costs between the sample group and the total population. This database has been widely used for studies on epidemiology, prescription use, disease diagnosis, and hospitalization, and has been shown to be of high quality.¹⁶ Identifying information for all patients is encrypted to protect personal privacy.

Study Sample

The study sample in this retrospective cohort study was divided into four groups. Diagnosis codes were assigned to patients according to the International Classification of Disease, 9th Revision, Clinical Modification (ICD-9-CM). Initially, patients with diagnosis code HTN (401–405), assigned between January 2000 and December 2012, were included in the hypertension group. When enrolling patients with HTN, we excluded those who were taking alpha blockers, using noninvasive positive pressure ventilation, or had a diagnosis of sleep apnea (ICD-9-CM codes 780.51, 780.53, 780.57) before January 2000 or prior to diagnosis of hypertension. We also excluded patients younger than 30 years, to focus on the higher-risk population of older adults, and assessed the effects of an $\alpha 1$ -adrenergic-antagonist use on their risk of developing sleep apnea or severe sleep apnea. A total of 25,466 patients with newly diagnosed hypertension were included in the study (Figure 1). Each individual was followed until 2013.

Matching

Frequency matching, also known as category or group matching, was used to ensure an equal distribution of variables among study groups. We applied 1:1 frequency matching to ensure that patients who did or did not use $\alpha 1$ -adrenergic antagonists were equally distributed among the strata defined by sex, age (three categories, 30–44 years, 45–60 years, and older than 60 years), and year of receiving medical care.^{17,18}

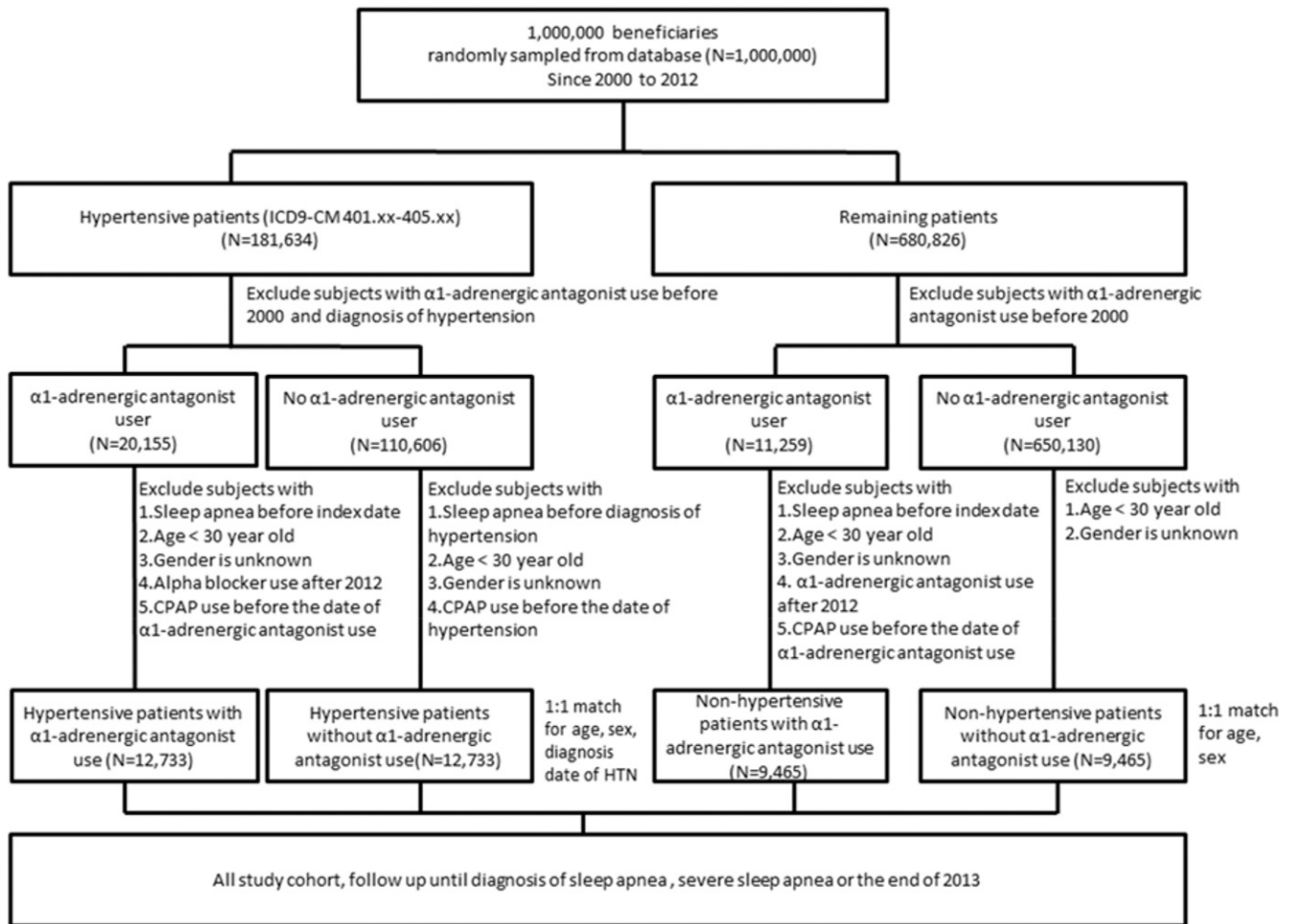
Potential Confounders

Enrollee category (EC) was used as a proxy measure of socioeconomic status to classify participants into four subgroups: EC 1 (full-time or regularly paid personnel of public schools, governmental agencies, or the civil service), EC 2 (employees of privately owned enterprises), EC 3 (other employees or paid personnel, members of farmer or fisher associations, or self-employed individuals), and EC 4 (members of low-income families, substitute-services draftees, and veterans). Relative to income, the cost of health insurance was most expensive for EC 1 members, followed by EC 2, EC 3, and then EC 4.

To evaluate the association between urbanization level and sleep apnea, the participants' residence locations were divided into three categories: urban, suburban, and rural. Locations were classified based on the following five variables: population density, the percentage of residents who were agricultural workers, the number of physicians per 100,000 people, the percentage of residents with college or higher education, and the percentage of residents who were age 65 years or older.¹⁹ In general, residents of rural areas had the lowest socioeconomic status.

We identified the following potential confounding risk factors for sleep apnea across all individuals: hypertension, type 2 diabetes mellitus (DM), atherosclerotic vascular disease

Figure 1—Study flow chart.



CPAP = continuous positive airway pressure.

(ASVD), hyperlipidemia, asthma, chronic obstructive pulmonary disease (COPD), allergic rhinitis, diseases of the musculoskeletal system and connective tissue, and obesity.^{20–24} We adjusted for benign prostate hyperplasia and aortic dissection in multivariate analyses, because these diseases are strongly associated with α 1-adrenergic antagonist use.^{25,26} We also used the ATC codes to adjust for the use of the following hypertension medications: beta blockers, thiazide-type diuretics, other diuretics, angiotensin-converting enzyme inhibitors, angiotensin II receptor blockers, calcium channel blockers, and direct renin inhibitors.

Main Outcome Measure

The endpoint of the study was defined as the development of sleep apnea or severe sleep apnea. Drug-related sleep apnea was defined by the following two criteria: (1) an ICD-9-CM examination code of polysomnography, followed by an ICD-9-CM diagnostic code of 780.51, 780.53, or 780.57 in the same year²⁷; and (2) use of an α 1-adrenergic antagonist agent for more than 1 month before diagnosis of sleep apnea. The definition of severe sleep apnea was based on the ICD-9-CM code for positive pressure ventilation.²⁸

Validation

We validated the ICD-9-CM codes for the identification of HTN by analyzing the medical records (charts) of patients treated at the National Cheng Kung University Hospital, a 1,200-bed tertiary referral hospital in Taiwan. We randomly selected 200 patients who had HTN ICD-9-CM codes of 401.0, 401.1, or 401.9 from the inpatient and outpatient claims database for January 2008 to December 2010 in National Cheng Kung University Hospital. The contents of this database were similar to those of the NHIRD. The clinical diagnosis of hypertension was ascertained based on the definition used by the American College of Cardiology and the American Heart Association's Task Force on Clinical Practice Guidelines, which stipulates that systolic blood pressure must be ≥ 130 mmHg or diastolic blood pressure must be ≥ 80 mmHg.²⁹ The results showed a positive predictive value of 95% (95% confidence interval [CI], 88.8–97.9%) for HTN.

Statistical Analysis

Baseline descriptive data are presented as the mean \pm standard deviation (SD) for continuous variables and percentages for categorical variables. Pearson chi-square test or Fisher exact

test were used to compare the demographic and clinical characteristics between hypertensive patients who did or did not use α 1-adrenergic antagonists, as well as between patients without hypertension who did or did not use α 1-adrenergic antagonists. The hazard ratios (HRs) were analyzed using multivariable Cox proportional hazards models with adjustment of propensity score and other potential confounders. To estimate the propensity score (ie, the probability of using an α 1-adrenergic antagonist agent) for each participant, patient characteristics were entered into a logistic regression model as the independent variables. These characteristics included age, sex, area of residence, enrollee category, monthly income, level of urbanization, and comorbidities. We then analyzed the first adjusted HRs (adjusted HR1) with adjustment of propensity score and the second HRs (adjusted HR2) with adjustment of propensity score and potential confounders. The HRs that violated the assumption of proportional hazards were calculated using a stratified Cox regression model.³⁰ We also used competing-risk models to adjust for risk of death, because death may act as a competing risk for sleep apnea and severe sleep apnea.³¹ Statistical analysis was carried out using SAS software, version 9.4 (SAS Institute, Cary, North Carolina, USA).

Sensitivity Analysis

To investigate the effect of other potential residual confounding factors on the observed results, we conducted a sensitivity analysis using the R package “obsSens.”³² In this analysis, we added another hypothetical unmeasured confounding factor with a similar risk effect size as our disease variable. We then tested whether this additional factor confounded our observation with respect to differences in prevalence between the α 1-adrenergic-antagonist user and nonuser groups.

RESULTS

A total of 25,466 patients with hypertension and 18,930 patients without hypertension were included in this study, most of whom were male (81.9%) and a large proportion of whom were older than 60 years (48.3%; **Table 1**). Patients who used α 1-adrenergic antagonists had higher rates of comorbidities, such as DM, hyperlipidemia, and asthma. The use of medication for cardiovascular disease was also higher in patients who used α 1-adrenergic antagonists. In the hypertension group, the average follow-up times were 64.5 ± 41.6 and 71.0 ± 42.1 months, respectively, for patients who did or did not use α 1-adrenergic antagonists. In the group without hypertension, the average follow-up times were 64.5 ± 42.4 and 72.6 ± 42.3 months, respectively, for patients who did or did not use α 1-adrenergic antagonists.

The incidence rate of sleep apnea was 1.39% in the hypertension group and 0.95% in the group without hypertension (**Table 2**). Sleep apnea was more likely to develop during the follow-up period in patients using α 1-adrenergic antagonists, with crude HRs of 2.10 and 2.90 in the hypertension and no hypertension groups, respectively. After adjusting for propensity score and other potential confounders, the HRs for developing sleep apnea with α 1-adrenergic antagonist use

were 2.38 and 2.82 in the hypertension and no hypertension groups, respectively. Further, when death was considered as a competing risk factor for sleep apnea, the HRs of the competing-risk regression (CRR) model showed similar results. With stratification by sex, α 1-adrenergic antagonist use was found to be a significant sleep apnea risk for both male and female patients with hypertension, but only for male patients without hypertension.

The incidence rates of severe sleep apnea were 0.54% and 0.23% in the hypertension and no hypertension groups, respectively (**Table 3**). Severe sleep apnea was more likely to develop during the follow-up period in patients using α 1-adrenergic antagonists, with crude HRs of 2.58 and 4.31 in patients with hypertension and those without hypertension, respectively. After adjusting for the propensity score and other potential confounders, the HRs for developing severe sleep apnea with α 1-adrenergic antagonist use were 2.74 and 4.23 in patients with and those without hypertension, respectively. The HRs of the CRR model also showed similar results when death was considered as a competing risk factor for severe sleep apnea. The α 1-adrenergic antagonist use was found to be a significant risk factor for severe sleep apnea among both male and female patients with hypertension, but only for male patients without hypertension. The incidence of severe sleep apnea among female patients without hypertension was too low to estimate the HR.

To compare the HRs among patients with and without hypertension, we also used frequency matching between patients with and without hypertension, in α 1-adrenergic-antagonist user and nonuser groups. After matching, there were 8791 patients in each group available for analysis (**Table 4**). The interaction between α 1-adrenergic-antagonist user and patients with hypertension was tested using multivariable Cox regression. The results showed that there is a positive additive interaction, but an absence of multiplicative interaction. Using patients without hypertension who did not use α 1-adrenergic antagonists as the reference, the crude HRs for developing sleep apnea were 3.08, 2.26, and 4.50, respectively, in the following groups: patients without hypertension using α 1-adrenergic antagonists, patients with hypertension not using α 1-adrenergic antagonists, and patients with hypertension using α 1-adrenergic antagonists. After adjusting for propensity score and other potential confounders, the HRs for developing sleep apnea during the follow-up period were 2.56, 2.64, and 4.91, respectively, in the same three groups. When death was considered as a competing risk factor for sleep apnea, the CRR model showed the similar results. Additionally, the crude HRs for developing severe sleep apnea were 5.11, 5.27, and 11.02, respectively, in the same three groups. After adjusting for propensity score and other potential confounders, the HRs for developing severe sleep apnea during the follow-up period were 4.04, 5.15, and 8.88, respectively. When death was considered as a competing risk factor for severe sleep apnea, the CRR model also showed the similar results.

To evaluate the time-dependent effect on the risk of sleep apnea, we classify the follow-up period into years with α 1-adrenergic antagonist use and years without α 1-adrenergic antagonist use, then perform the time-dependent survival analysis. The use of α 1-adrenergic antagonist is still associated increased risk of sleep apnea (**Table S1** and **Table S2** in the supplemental material).

Table 1—Demographic information and premorbid comorbidities for the cohort of sampled patients, stratified by hypertension and use of α 1-adrenergic antagonist.

Characteristics	With Hypertension		P	Without Hypertension		P
	User (n = 12,733)	Nonuser (n = 12,733)		User (n = 9,465)	Nonuser (n = 9,465)	
Male	9,410 (73.90)	9,410 (73.90)	> .99	8,777 (92.73)	8,777 (92.73)	> .99
Age, years						
30–44	1,005 (7.89)	1,005 (7.89)	> .99	1,524 (16.10)	1,524 (16.10)	> .99
45–60	5,110 (40.13)	5,110 (40.13)		3,842 (40.59)	3,842 (40.59)	
> 60	6,618 (51.98)	6,618 (51.98)		4,099 (43.31)	4,099 (43.31)	
Living area						
North	5,596 (43.95)	5,814 (45.66)	< .001	4,388 (46.36)	4,465 (47.17)	.02
Central	3,257 (25.58)	2,806 (22.04)		2,253 (23.80)	2,171 (22.94)	
South	2,484 (27.36)	3,634 (28.54)		2,520 (26.62)	2,588 (27.34)	
East and offshore	396 (3.11)	479 (3.76)		304 (3.21)	241 (2.55)	
Category ^a						
1	1,552 (12.19)	1,565 (12.29)	< .001	1,257 (13.28)	1,056 (11.16)	< .001
2	4,386 (34.45)	4,617 (36.26)		3,650 (38.56)	3,972 (41.97)	
3	5,481 (43.05)	5,421 (42.57)		3,752 (39.64)	3,473 (36.69)	
4	1,314 (10.32)	1,130 (8.87)		806 (8.52)	964 (10.18)	
Income, NT ^b						
≤ 15,840	3,757 (29.51)	3,461 (27.18)	< .001	2,418 (25.55)	2,902 (30.66)	< .001
15,841–25,000	5,973 (46.91)	5,914 (46.45)		4,109 (43.41)	3,864 (40.82)	
≥ 25,001	3,003 (23.58)	3,358 (26.37)		2,938 (31.04)	2,699 (28.52)	
Urbanization						
1 (most)	3,982 (31.27)	3,829 (30.07)	.05	3,109 (32.85)	3,100 (32.75)	.14
2	3,289 (25.83)	3,430 (26.94)		2,450 (25.88)	2,562 (27.07)	
3 (least)	5,462 (42.90)	5,474 (42.99)		3,906 (41.27)	3,803 (40.18)	
Comorbidities						
Type 2 DM	4,408 (34.62)	2,828 (22.21)	< .001	958 (10.12)	409 (4.32)	< .001
Heart failure	15,222 (11.95)	734 (5.76)	< .001	162 (1.71)	56 (0.59)	< .001
Hyperlipidemia	4,272 (33.55)	3,112 (24.44)	< .001	1,021 (10.79)	411 (4.34)	< .001
ASVD	3,385 (26.58)	2,123 (16.67)	< .001	514 (5.43)	186 (1.97)	< .001
COPD	1,775 (13.94)	1,054 (8.28)	< .001	1,000 (10.57)	354 (3.74)	< .001
Asthma	976 (7.67)	621 (4.88)	< .001	448 (4.73)	176 (1.86)	< .001
Allergic rhinitis	1,212 (9.52)	844 (6.63)	< .001	943 (9.96)	404 (4.27)	< .001
Obesity	86 (0.68)	46 (0.36)	.001	11 (0.12)	2 (0.02)	.03
MSCT	1,313 (10.31)	530 (4.16)	< .001	516 (5.45)	118 (1.25)	< .001
Aortic dissection	91 (0.71)	26 (0.20)	< .001	3 (0.03)	1 (0.01)	.63
BPH	5,622 (44.15)	99 (0.78)	< .001	6,896 (72.86)	50 (0.53)	< .001
Medication						
Mirtazepine	135 (1.06)	87 (0.68)	.002	97 (1.02)	22 (0.23)	< .001
PHB	112 (0.88)	20 (0.16)	< .001	99 (1.05)	6 (0.06)	< .001
Trazodone	726 (5.70)	396 (3.11)	< .001	487 (5.15)	108 (1.14)	< .001
Beta blocker	6,704 (52.65)	5,228 (41.06)	< .001	858 (9.06)	381 (4.03)	< .001
Diuretics	6,893 (54.13)	4,667 (36.65)	< .001	995 (10.51)	331 (3.50)	< .001
ACEI	3,669 (28.81)	3,011 (23.65)	< .001	146 (1.54)	66 (0.70)	< .001
ARB	7,100 (55.76)	5,285 (41.51)	< .001	175 (1.85)	98 (1.04)	< .001
CCB	9,404 (73.86)	7,491 (58.83)	< .001	322 (3.40)	155 (1.64)	< .001
Both alpha and beta blocker	1,986 (15.60)	1,033 (8.11)	< .001	75 (0.79)	21 (0.22)	< .001
Death	2,731 (21.45)	2,234 (17.54)	< .001	1,682 (17.77)	1,391 (14.70)	< .001

Data presented as n (%). ^a Enrollee categories defined as 1 (full-time or regularly paid personnel of public schools, governmental agencies, or the civil service), 2 (employees of privately owned enterprises), 3 (other employees or paid personnel, members of farmer or fisher associations, or self-employed individuals), and 4 (members of low-income families, substitute-services draftees, and veterans). ^b Monthly income in Taiwan New Dollar (NT). ACEI = angiotensin-converting enzyme inhibitor, ARB = angiotensin II receptor blockers, ASVD = arteriosclerotic vascular disease, BPH, benign prostate hypertrophy, CCB = calcium channel blockers, COPD = chronic obstructive pulmonary disease, DM = diabetes mellitus, MSCT = diseases of the musculoskeletal system and connective tissue, PHB = phenoxybenzamine.

Table 2—Multivariable analysis of hazard ratios of sleep apnea stratified by sex, hypertension and use of α 1-adrenergic antagonist.

Total Population	With Hypertension		Without Hypertension	
	User (n = 12,733)	Nonuser (n = 12,733)	User (n = 9,465)	Nonuser (n = 9,465)
Sleep apnea, n (%)	233 (1.83)	121 (0.95)	130 (1.37)	50 (0.53)
Crude HR (95% CI)	2.10 (1.69–2.62) ^a	1	2.90 (2.06–4.02) ^a	1
Adjusted HR1 (95% CI)	1.93 (1.48–2.52) ^a	1	3.15 (1.97–5.03) ^a	1
Adjusted HR2 (95% CI)	2.38 (1.82–3.10) ^{a,b}	1	2.82 (1.79–4.44) ^{a,b}	1
CRR (95% CI)	2.31 (1.77–3.01) ^{a,b}	1	2.70 (1.75–4.17) ^{a,b}	1
Male	User (n = 9,410)	Nonuser (n = 9,410)	User (n = 8,777)	Nonuser (n = 8,777)
Sleep apnea, n (%)	206 (2.19)	104 (1.11)	123 (1.40)	47 (0.54)
Crude HR (95% CI)	2.13 (1.69–2.70) ^a	1	2.92 (2.09–4.09) ^a	1
Adjusted HR1 (95% CI)	2.07 (1.53–2.79) ^a	1	3.28 (1.98–5.42) ^a	1
Adjusted HR2 (95% CI)	2.43 (1.80–3.26) ^{a,b}	1	2.81 (1.72–4.57) ^{a,b}	1
CRR (95% CI)	2.36 (1.75–3.19) ^{a,b}	1	2.66 (1.68–4.19) ^{a,b}	1
Female	User (n = 3,323)	Nonuser (n = 3,323)	User (n = 688)	Nonuser (n = 688)
Sleep apnea, n (%)	27 (0.81)	17 (0.51)	7 (1.02)	3 (0.44)
Crude HR (95% CI)	1.81 (0.99–3.33)	1	2.54 (0.66–9.84)	1
Adjusted HR1 (95% CI)	1.72 (0.91–3.25)	1	2.68 (0.68–10.62)	1
Adjusted HR2 (95% CI)	2.04 (1.06–3.91) ^{a,b}	1	3.06 (0.84–13.26) ^b	1
CRR (95% CI)	1.93 (1.01–3.69) ^{a,b}	1	3.54 (0.69–18.07) ^b	1

Adjusted HR1 = adjustments were made for propensity score. Adjusted HR2 = adjustments were made for propensity score, age, geographic location, enrollee category, income, urbanization level, comorbidities, and medication. ^a $P < .05$. ^b Stratified Cox regression model. CI = confidence interval, CRR = competing-risk regression model, HR = hazard ratio.

To investigate the effect of other potential residual confounding factors on the observed results, we conducted a sensitivity analysis to investigate the trend estimates for the HR of sleep apnea in the hypertension group and no hypertension group using a multivariable-adjusted Cox regression model with the addition of a residual confounding factor (**Figure S1**, **Figure S2**, **Figure S3** and **Figure S4** in the supplemental material). For example, when an additional residual confounder was present in all patients with hypertension not using α 1-adrenergic antagonists (the prevalence of the unmeasured confounder was 1.0) and none of the patients with hypertension using α 1-adrenergic antagonists had this residual confounder (the prevalence of the unmeasured confounder was 0.0), α 1-adrenergic-antagonist use would be a risk for sleep apnea (HR = 5.47, the top line in **Figure S1**). **Figure S1** and **Figure S2** show that in all situations, both patients with and without hypertension who received α 1-adrenergic antagonists had a higher risk of sleep apnea occurrence relative to patients who did not take α 1-adrenergic antagonists, even if an unmeasured confounder existed. **Figure S3** and **Figure S4** show similar results for the risk of severe sleep apnea.

DISCUSSION

In this large-scale, retrospective cohort study using a nationwide database, we found that patients who used α 1-adrenergic

antagonists had a significantly higher risk of sleep apnea. Patients with hypertension using α 1-adrenergic antagonists had the highest HR (8.70) for the development of severe sleep apnea, relative to patients without hypertension who did not use α 1-adrenergic antagonists. Among males, α 1-adrenergic-antagonist use significantly increased the risk of sleep apnea in both hypertension and no hypertension groups. Among females, however, this correlation could only be demonstrated for the hypertension group, due to a lower overall rate of sleep apnea.

Based on previous population-based studies, the prevalence of OSA is higher in males than in females.^{33–35} A meta-analysis including 24 studies also demonstrate higher prevalence of OSA in the male population (13% to 33%) than in the female population (6% to 19%).³⁶ When focusing on the effect of hypertension in the Vitoria Sleep Cohort, there is a significant relationship between hypertension and OSA in the male population but not in the female population.³⁷ In the hypertension group of the current study, the incidence of sleep apnea higher in the male population was twice higher as the incidence in the female population (**Table 2**). This difference may be related to a relatively poor genioglossus response in males. In a physiologic study, females were found to have higher phasic and tonic genioglossus muscle activity than males when facing an inspiratory load.³⁸ Furthermore, another study using hypoxic challenge showed that females presented better genioglossus muscle responsiveness.³⁹ The relatively poor genioglossus

Table 3—Multivariable analysis of hazard ratios of severe sleep apnea stratified by sex, hypertension and use of α 1-adrenergic antagonist.

Total Population	With Hypertension		Without Hypertension	
	User (n = 12,733)	Nonuser (n = 12,733)	User (n = 9,465)	Nonuser (n = 9,465)
Severe sleep apnea, n (%)	97 (0.76)	41 (0.32)	35 (0.37)	9 (0.10)
Crude HR (95% CI)	2.58 (1.79–3.71) ^a	1	4.31 (2.07–8.96) ^a	1
Adjusted HR1 (95% CI)	2.54 (1.66–3.90) ^a	1	4.84 (1.82–12.88) ^a	1
Adjusted HR2 (95% CI)	2.74 (1.78–4.22) ^{a,b}	1	4.23 (1.57–11.40) ^{a,b}	1
CRR (95% CI)	2.67 (1.73–4.11) ^{a,b}	1	3.95 (1.56–9.98) ^{a,b}	1
Male	User (n = 9,410)	Nonuser (n = 9,410)	User (n = 8,777)	Nonuser (n = 8,777)
Severe sleep apnea, n (%)	86 (0.91)	37 (0.39)	35 (0.40)	9 (0.10)
Crude HR (95% CI)	2.50 (1.70–3.67) ^a	1	4.31 (2.07–8.96) ^a	1
Adjusted HR1 (95% CI)	2.68 (1.67–4.28) ^a	1	4.84 (1.82–12.88) ^a	1
Adjusted HR2 (95% CI)	2.71 (1.69–4.33) ^{a,b}	1	4.23 (1.57–11.40) ^{a,b}	1
CRR (95% CI)	2.62 (1.63–4.22) ^{a,b}	1	3.95 (1.56–9.98) ^{a,b}	1
Female	User (n = 3,323)	Nonuser (n = 3,323)	User (n = 688)	Nonuser (n = 688)
Severe sleep apnea, n (%)	11 (0.33)	4 (0.12)	0 (0.00)	0 (0.00)
Crude HR (95% CI)	3.16 (1.00–9.92) ^a	1	NA	1
Adjusted HR1 (95% CI)	2.65 (0.81–8.70)	1	NA	1
Adjusted HR2 (95% CI)	3.57 (1.00–12.73) ^{a,b}	1	NA	1
CRR (95% CI)	3.42 (1.02–11.54) ^{a,b}	1	NA	1

Adjusted HR1 = Adjustments were made for propensity score. Adjusted HR2 = Adjustments were made for propensity score, age, geographic location, enrollee category, income, urbanization level, comorbidities, and medication. ^a $P < .05$. ^b Stratified Cox regression model. CI = confidence interval, CRR = competing-risk regression model, HR = hazard ratio, NA = not available.

Table 4—Multivariable analysis of hazard ratios of sleep apnea and severe sleep apnea, patients without hypertension and non α 1-adrenergic antagonist use cohort as reference.

	With Hypertension		Without Hypertension	
	User (n = 8,791)	Nonuser (n = 8,791)	User (n = 8,791)	Nonuser (n = 8,791)
Sleep apnea, n (%)	179 (2.04)	97 (1.10)	121 (1.38)	44 (0.50)
Crude HR (95% CI)	4.50 (3.24–6.26) ^a	2.26 (1.59–3.23) ^a	3.08 (2.18–4.35) ^a	1
Adjusted HR1 (95% CI)	4.40 (3.15–6.13)	2.21 (1.55–3.16) ^a	3.11 (2.20–4.39) ^a	1
Adjusted HR2 (95% CI)	4.91 (3.34–7.23) ^{a,b}	2.64 (1.81–3.85) ^{a,b}	2.56 (1.79–3.66) ^{a,b}	1
CRR (95% CI)	4.78 (3.25–7.01) ^{a,b}	2.57 (1.78–3.72) ^{a,b}	2.56 (1.80–3.66) ^{a,b}	1
Severe sleep apnea, n (%)	70 (0.80)	36 (0.41)	32 (0.36)	7 (0.08)
Crude HR (95% CI)	11.02 (5.07–23.97) ^a	5.27 (2.35–11.85) ^a	5.11 (2.26–11.58) ^a	1
Adjusted HR1 (95% CI)	11.26 (5.16–24.54) ^a	5.40 (2.39–12.16) ^a	5.05 (2.23–11.46) ^a	1
Adjusted HR2 (95% CI)	8.88 (3.73–21.15) ^{a,b}	5.15 (2.21–12.02) ^{a,b}	4.04 (1.75–9.33) ^{a,b}	1
CRR (95% CI)	8.70 (3.71–20.41) ^{a,b}	5.03 (2.19–11.53) ^{a,b}	4.04 (1.77–9.22) ^{a,b}	1

Adjusted HR1 = Adjustments were made for propensity score. Adjusted HR2 = Adjustments were made for propensity score, age, geographic location, enrollee category, income, urbanization level, comorbidities, and medication. ^a $P < 0.05$. ^b Using a stratified Cox regression model. CI = confidence interval, CRR = competing-risk regression model, HR = hazard ratio.

muscle responsiveness in males could explain the higher incidence of sleep apnea in this population.

Intermittent hypoxemia during OSA events increases sympathetic tone, which results in a nondipping blood pressure pattern and daytime resistant hypertension.⁴⁰ Several studies

have focused on the incidence of hypertension among patients with OSA. A longitudinal study has demonstrated that such patients have a significantly higher risk of hypertension, with an HR of 2.67.⁴¹ In the Wisconsin Sleep Cohort, a dose-response relationship was found between the risk of development of

nondipping blood pressure and the severity of OSA. Compared to patients with an apnea-hypopnea index (AHI) of < 1 event/h, patients with AHI \geq 15 events/h have a higher relative risk (2.84) of the development of nondipping blood pressure.⁴² Conversely, 38% of patients with hypertension have OSA, which is ninefold the rate in patients with normal blood pressure (4%).⁴³ In another case-control study, the incidence of OSA was 71% in patients with resistant hypertension, approximately double that in patients with controlled hypertension (38%).⁴⁴

The genioglossus muscle is the major component of the group of pharyngeal dilator muscles, which act to prevent pharyngeal collapse during sleep.⁴⁵ Although poor upper-airway muscle responsiveness alone, in the absence of anatomical compromise (critical pressure less than -5 cmH₂O), does not result in OSA,⁷ severe OSA could develop in patients with profound anatomical compromise (critical pressure more than $+5$ cmH₂O) combined with impaired muscle responsiveness.⁴⁶ Almost all patients with severe OSA had prominent anatomical compromise, the incidence of which was significantly higher than in patients with mild OSA.⁷ As mentioned previously, genioglossus muscle activity is mainly governed by the hypoglossal motor nucleus, which receives input from brainstem noradrenergic neurons.^{47,48} The use of noradrenergic blockade was shown to cause a significant decrease in genioglossus activity in animal studies.^{12,49,50} In the current study, the use of α 1-adrenergic antagonists was associated with a higher risk of both sleep apnea and severe sleep apnea.

A strength of our study is the large number of patients, including patients with sleep apnea who used α 1-adrenergic antagonists, enrolled from a nationwide database in Taiwan. The retrospective cohort design and large sample size provided considerable statistical power to detect differences between these two groups. Additionally, we adequately adjusted for sleep apnea risk factors, including age and comorbid clinical illnesses such as hypertension, DM, ASVD, hyperlipidemia, asthma, COPD, allergic rhinitis, obesity, and musculoskeletal system and connective tissue diseases.

This study has several limitations. First, the diagnoses of sleep apnea and other comorbid medical conditions were based on administrative claims data; thus, it is possible there was some misclassification. However, based on previous epidemiologic database studies, the quality of the NHIRD data is acceptable, and the hypertension codes were validated by chart review.^{16,18,27,28} Second, frequency matching was conducted in order to control for potential confounding factors, but the matching process may not eliminate all bias. Third, many items, such as body mass index and neck circumference, were not available in the NHIRD administrative data. These factors may be important determinants of sleep apnea development.

This study is the first to demonstrate the relationship between the use of α 1-adrenergic antagonists and the incidence of sleep apnea. Our results suggest that patients with hypertension using α 1-adrenergic antagonists have a higher risk of sleep apnea.

ABBREVIATIONS

AHI, apnea-hypopnea index

ASVD, arteriosclerotic vascular disease

COPD, chronic obstructive pulmonary disease

CRR, competing-risk regression

DM, diabetes mellitus

HR, hazard ratio

OSA, obstructive sleep apnea

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