

Oropharyngeal Colostrum for Preterm Infants: A Systematic Review and Meta-Analysis

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ABSTRACT

Administration of oropharyngeal colostrum (OPC) is safe, feasible, and potentially beneficial in preterm infants. We aimed to assess the effects of OPC in preterm infants. A systematic review of randomized controlled trials (RCTs) and non-RCTs of OPC administration in preterm infants was conducted. We searched MEDLINE via PubMed and Ovid, EMBASE, the Cochrane Central Register of Controlled Trials, Emcare databases, abstracts of Pediatric Academic Societies meetings, and gray literature in April 2018. Six RCTs (n = 269) and 4 non-RCTs (n = 737) were included. One RCT (n = 40) focused on enteral bovine colostrum and hence was excluded from our review. Five of the 6 RCTs had unclear risk of bias in many domains of assessment. Meta-analysis (random effects model) of RCT data showed no significant difference in \geq stage 2 necrotizing enterocolitis (RR: 0.83; 95% CI: 0.39, 1.75; P = 0.62), late-onset sepsis (RR: 0.78; 95% CI: 0.50, 1.22; P = 0.28), all-cause mortality (RR: 0.74; 95% CI: 0.27, 2.06; P = 0.56); duration of hospital stay (mean difference [MD]: -1.65 d; 95% CI: -10.09, 6.80; P = 0.70), and time to full feeds (MD: -2.86 d; 95% CI: -6.49, 0.77; P = 0.12). Meta-analysis of data from non-RCTs also showed no benefit for any of these outcomes. OPC increased secretory IgA and lactoferrin concentrations (4 RCTs), and had only a transient effect on the oral microbiome (1 RCT). There were no adverse effects (e.g., aspiration) of OPC. The overall quality of evidence (Grades of Recommendation, Assessment, Development, and Evaluation analysis) was very low. Adequately powered RCTs are needed to confirm the nutritional and immunomodulatory benefits of OPC in preterm infants. *Adv Nutr* 2019;10:1152–1162.

Keywords: systematic review, meta-analysis, oropharyngeal colostrum, preterm infants, human colostrum

Introduction

Survival of extremely preterm infants has improved over the last few decades following advances in neonatal intensive care (1, 2). However, necrotizing enterocolitis (NEC) and lateonset sepsis (LOS) continue to be the major contributors to morbidity and mortality despite preventive strategies such as hand hygiene, probiotic supplementation, increased use of mother's own milk (MM), and aseptic precautions for insertion and maintenance of indwelling catheters and long lines (3–5).

Oropharyngeal administration of colostrum (OPC) is a simple, safe, and feasible intervention in infants (6-9). OPC has local immunostimulatory effects and can reduce the risk of sepsis while facilitating enteral feeds (10-12). The potential benefits of OPC relate to its bioactive factors (13). Colostral cytokines stimulate the oropharyngeal-associated lymphoid tissue (OPHALT) by activating T cells with systemic dissemination through cell-to-cell signaling (14). Milk oligosaccharides protect the mucosal barrier and stimulate growth of beneficial bacteria on the mucosa in a prebiotic effect. Secretory immunoglobulin A (sIgA) prevents attachment of pathogens to the mucosal surface. Lactoferrin protects the mucosal barrier by its anti-inflammatory effects and by promoting mucosal healing. Milk antioxidants protect against mucosal injury caused by free radicals and maintain membrane integrity. Intestinal trophic factors have trophic effects on immature intestinal cells. Milk biofactors are

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Supplemental Tables 1 and 2 are available from the "Supplementary data" link in the online posting of the article and from the same link in the online table of contents at https://academic.oup.com/advances/.

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Abbreviations used: CTIN, Clinical Trials Identifier Number; GRADE, Grades of Recommendation, Assessment, Development and Evaluation; LOS, late-onset sepsis; MD, mean difference; MeSH, Medical Subject Headings; MM, mother's own milk; NEC, necrotizing enterocolitis; OPC, oropharyngeal colostrum; OPHALT, oropharyngeal-associated lymphoid tissue; RCT, randomized controlled trial; ROB, risk of bias; RR, risk ratio; slgA, secretory immunoglobulin A; TFF, time to reach full feeds; VLBW, very low birth weight.

absorbed intact into the circulation and protect against NEC (10).

Studies support the potential of OPC to be an effective intervention in preterm infants (15-24). A recent randomized controlled trial (RCT) in preterm very-low-birth-weight (VLBW: birth weight <1500 g) infants evaluated the local and systemic immune effects of OPC, by measuring salivary and urinary concentrations of sIgA and lactoferrin on the first day of starting oropharyngeal colostrum and on the 7th and 21st day of life. A total of 64 preterm infants (mean [\pm SE] gestation: 29.86 \pm 2.02 vs. 30.46 \pm 2.5 wk) were randomly allocated to receive 0.2 mL of colostrum or normal saline every 4 h for 7 d. OPC increased salivary lactoferrin concentrations but had no effect on urinary sIgA and lactoferrin (15). Glass et al. have recently compared sIgA concentrations in 30 preterm VLBW infants (mean gestation: 28.4 ± 0.7 vs. 28.5 ± 0.8 wk) randomly allocated to receive oral care with 0.2 mL colostrum or sterile water every 3 h from days 2 to 7. Salivary sIgA was measured on days 2, 7, and 14. OPC increased salivary sIgA by the 7th day of life (16). Sohn et al. randomly allocated 12 VLBW infants (median [IQR] gestation: 27 [25-30] vs. 27 [25-28] wk) to receive 0.2 mL of colostrum every 2 h for 46 h, or routine care. Oral swabs were collected before, and at 46 h and 96 h after starting the intervention. The results showed that OPC influenced colonization of the oral cavity, with differences persisting 48 h after completing the intervention as per the protocol (18). Assessing whether the benefits reported in these small trials translate into clinically important outcomes for preterm infants is important considering that these infants are at the highest risk of mortality and morbidity due to, for example, NEC and LOS. We therefore aimed to conduct a systematic review of studies assessing OPC in preterm infants. The evidence provided by our systematic review is expected to help in guiding research and clinical practice in this field.

Methods and Participants

Guidelines from the Cochrane Neonatal Review Group, Centre for Reviews and Dissemination (25, 26), the PRISMA statement (27), and the Meta-analysis Of Observational Studies in Epidemiology (MOOSE) guidelines were followed for conducting and reporting this systematic review and meta-analysis (28).

Eligibility criteria

Types of studies.

RCTs, non-RCTs, and studies assessing laboratory-based outcomes of OPC administration in preterm infants were included. Narrative reviews, letters, editorials, and commentaries were excluded, but read to identify potential additional studies.

Types of participants, intervention, and comparison.

Studies in preterm (gestation <37 wk) VLBW infants in which clinical and/or laboratory-based outcomes after administration of fresh or frozen OPC started within the first 7 d of life and continued for at least 46 h, were compared with placebo or standard care, were eligible for inclusion in the review.

Clinical (primary) outcomes.

These were: NEC \geq Stage II, all-cause mortality, LOS, time to reach full feeding (TFF), duration of hospital stay, and safety of OPC administration.

Laboratory-based (secondary) outcomes.

These were: 1) salivary bioactive protein and immune peptide concentrations (sIgA, lactoferrin, lysozyme, epidermal growth factor, TGF- β , IL-8); 2) urinary bioactive protein concentrations (sIgA, lactoferrin); and 3) oral microbial diversity.

Review methods: search strategy.

MEDLINE via PubMed (http://www.ncbi.nlm.nih.gov/ pubmed/, 1966-2018) and via Ovid (http://ovidsp.tx. ovid.com, 1946-2018), EMBASE (Excerpta Medica dataBASE) via Ovid (http://ovidsp.tx.ovid.com, 1946-2018), the Cochrane Central Register of Controlled Trials (www.thecochranelibrary.com, through April 2018), Emcare via OVID (http://ovidsp.tx.ovid.com, 1980-2018) databases, and e-abstracts from the Pediatric Academic Society (https://www.pas-meeting.org/about/#past, meetings 2000-2018) were searched in November 2017 and April 2018. Abstracts of other conference proceedings such as the Perinatal Society of Australia and New Zealand, the European Academy of Paediatric Societies, and the British Maternal and Fetal Medicine Society were searched in EMBASE. Google Scholar was searched for articles that might have missed citation in the standard medical databases. Gray literature was searched using the US National Technical Information Service (http://www.ntis.gov/), Open Grey (http://www.opengrey.eu/), Mednar (http://mednar. com/mednar/desktop/en/search.html), the Canadian Agency for Drugs and Technologies in Health (https: //www.cadth.ca/resources/finding-evidence/grey-matters), and Trove (http://trove.nla.gov.au/). The reference lists of identified studies and key review articles were searched to identify additional studies. No language restriction was applied. Authors were contacted for additional data and clarification of methods. Only published data were used for those studies, where available.

MEDLINE via PubMed was searched using MeSH (Medical Subject Headings) terms: "Infant, Extremely Premature" [MeSH] "Infant, OR Extremely Low Birth Weight" [MeSH] OR "Infant, Very Low Birth Weight"[MeSH] OR "Infant, Small for Gestational Age"[MeSH] OR "Infant, Premature, Diseases"[MeSH] OR "Infant, Premature" [MeSH] OR "Infant, Newborn, Diseases" [MeSH] OR "Infant, Newborn" [MeSH] OR "Infant, Low Birth Weight" [MeSH] OR "Infant" [MeSH] AND "Colostrum" [MeSH]. Keywords such as colostrum, oral, oropharyngeal were also used with infant OR newborn OR neonate to enhance the comprehensive search. Other databases were searched using similar terms. We searched ClinicalTrials.gov (https://clinicaltrials.gov), the International Clinical Trials Registry Platform (http://www.who.int/ictrp/en/), and BioPortfolio (www.bioportfolio.com) for ongoing RCTs.

Study selection

Abstracts of the citations obtained from the initial broad search were read independently by reviewers HP and GJ to identify potentially eligible studies. Full-text articles of these studies were obtained and assessed independently for eligibility by reviewers HP and GJ, under the predefined eligibility criteria. Differences in opinion were resolved by discussion between HP and GJ to reach consensus. Multiple publications of the same study were excluded to avoid data duplication.

Data extraction.

Authors HP and GJ independently extracted the data using a standardized data collection form. Discrepancies were resolved by discussion and consensus among all authors.

Contacting authors.

Authors of studies that did not report the relevant outcomes were contacted. Such studies were excluded if there was no response from authors.

Assessment of risk of bias of RCTs.

Risk of bias (ROB) was assessed using the Cochrane Risk of Bias Assessment Tool (26). Authors HP and GJ independently assessed the ROB in all domains including random sequence generation, allocation concealment, blinding of intervention and outcome assessors, completeness of follow up, selectivity of reporting, and other potential sources of bias. For each domain, the ROB was assessed as low, high, or unclear based on the Cochrane Collaboration guidelines (26).

Assessment of ROB of non-RCTs.

This was assessed using the Newcastle–Ottawa scale for quality assessment of observational studies (29).

Data synthesis and statistical analysis.

Meta-analysis was performed using the Review Manager (version 5.3; Cochrane Collaboration, Nordic Cochrane Centre). A random effects model was used. Analysis was also conducted using a fixed effect model to ensure consistency of results and effect of the choice of model on the results. For data not suitable for meta-analysis, results are given in a tabular format (Table 1). Mean difference (MD) and 95% CIs were calculated for continuous variables. RR and 95% CIs were used for binary outcomes.

Heterogeneity.

Clinical heterogeneity was assessed and reported by summarizing characteristics such as the study population, and the dose and duration of OPC. Statistical heterogeneity was estimated using the I^2 statistic. An I^2 statistic

Publication bias.

This was assessed by visual inspection of the funnel plot (30).

Summary-of-findings table.

The key information about the quality of evidence, the magnitude of the effect of the intervention, and the sum of available data on the main outcome was presented in the summary-of-findings table according to the Grades of Recommendation, Assessment, Development and Evaluation (GRADE) guidelines (31).

The quality of evidence was assessed using GRADE-pro Guideline Development Tool consideration of the following factors: number of studies, study design, ROB, inconsistency, indirectness of evidence, imprecision, and other considerations. Under the heading "other considerations" the following aspects were assessed: publication bias, presence or absence of large effect, plausible confounding, and dose–response gradient (31).

Results

Selection, characteristics, and quality of studies

Of the 3347 citations that were identified after an initial broad search, 1875 nonduplicate citations were screened, of which 1467 articles were excluded after title/abstract screen. This left 408 articles to be read to identify if they were relevant. Of these, 398 were excluded, which left 6 RCTs (15–20) and 4 non-RCTs (21–24) for inclusion in the review. **Figure 1** shows the flow diagram of the study selection process.

Six RCTs (15-20) assessed human colostrum as an intervention, including 5 (15-17, 19, 20) that assessed biochemical outcomes, and 1 that assessed clinical and biochemical outcomes (18). The RCT by Juhl et al. was excluded because it assessed enteral bovine colostrum (32).

In 3 of the 4 included non-RCTs (21, 22, 24) the primary focus was on clinical outcomes, whereas it was on biochemical outcomes in the fourth study (23). The characteristics of the included studies are shown in Table 1. Rodriguez et al. have completed a large multicenter trial across 5 large neonatal units in the United States [Clinical Trials Identifier Number (CTIN): NCT02116699]. They recruited 622 extremely premature infants (birth weight <1250 g) to compare the effects of MM with those of a placebo, for reducing the incidence of LOS (primary outcome). The secondary outcomes included NEC, mortality, and assessment of the pathways for the benefits of MM including enhancement of gut microbiota and reduced oxidative stress (33). The results of this trial are awaited. In addition there are ongoing RCTs from Egypt (CTIN: NCT03513146), France (CTIN: NCT02650167), and Brazil (CTIN: NCT02912585).

TABLE 1 Characteristics of included RCTs and non-RCTs studying effects of oropharyngeal colostrum (OPC) administration

Study author (year), country, study design	Sample size; colostrum vs. controls	Participants	Protocol for OPC/placebo administration	Specimen collection (for biochemical outcomes)	Biochemical outcomes (colostrum vs. controls)	Clinical outcomes (colostrum vs. controls) ¹
Zhang et al. (15) (2017), China, RCT	n = 64; 32 vs. 32 (5 of 32 vs. 4 of 32 excluded, hence analyzed 27 vs. 28)	GA ⁴ : 29,86 ± 2.02 vs. 30.46 ± 2.5 wk BW ⁴ : 1241 ± 275 vs. 1248 ± 233 g	0.2 mL of colostrum vs. normal saline every 4 h for 7 d	Urine and saliva collected within 24 h of life (baseline), day 7 and day 21	Salivary slg A^{24} , at day 7: 31.92 \pm 869 μ g/mL vs. 27.78 \pm 5.36 μ g/mL; $P = 0.04$ Salivary lactoferrin ²⁴ . i) at day 7: 5.18 \pm 7.07 μ g/mL vs1.74 \pm 4.67 μ g/mL ($P < 0.001$); 2) at day 21: 5.31 \pm 9.74 μ g/mL vs1.17 \pm 10.38 μ g/mL ($P = 0.02$) Urinary lactoferrin ² , no differences	NEC \geq stage II ³ : 1 vs. 5; $P = 0.10$ Clinical sepsis ⁵ : 4 vs. 8; $P = 0.61$ Proven sepsis ³ : 3 vs. 6; $P = 0.17$ Days starting oral feeding ^{3A} > 5 mL: 15.38 (10.74) vs. 16.29 (10.13) d; $P = 0.75$ TFF ^{3A} : 24.71 (11.23) vs. 32.72 (20.11) d; $P = 0.09$ Safety: no adverse effects
Glass et al. (16) (2017), USA, RCT	n = 30; 17 vs. 13	GA ⁴ : 28.4 ± 0.7 vs. 28.5 ± 0.8 wk BWM ⁴ : 1132 ± 64 vs. 1079 ± 59 g	Oral care with 0.2 mL colostrum vs. sterile water every 3 h from day 2 to day 7 of life	Saliva collection at day 2 (before colostrum/water), day 7, and day 14	slgA in urine and salivar: no differences Salivary slgA ² at day 2: no difference Salivary slgA ² concentration increased from day 2 to day 7 in intervention vs. controls (no numerical data, only graphical data; $P < 0.0001$). Salivary slgA ² at day 7 was higher in the intervention group (only graphical data; $P < 0.05$). Salivary lgA ² at day 14: changes not sustained	Suspected sepsis ³ $P = 0.58$ (P value is for overall sepsis): None: 7 (41%) vs. 6 (46%); 1 episode: 6 (35%) vs. 5 (39%); 2 or more episodes: 4 (24%) vs. 2 (15%) Culture-positive sepsis ³ (overall): $P = 0.37$ None: 12 (71%) vs. 10 (77%); 1 episode: 4 (23%) vs. 3 (23%); 2 episodes: 1 (6%) vs. 0 NEC stage $\ge 11^3$: 3 (18%) vs. 2 (15%); $P = 0.87$ (for overall NEC \ge stage 1)
Romano-Keeler et al. (17) (2017), USA, RCT USA, RCT	n = 99; 48 vs. 5 1	GA^5 : 30 (27, 31) vs. 29 (28, 30) wk; P = 0.252 BW ⁶ : 1272 (988, 1602) vs. 1170 (905, 1340) g; $P = 0.121$	0.2 mL of colostrum every 6 h from 48 h to 5 d of life	Saliva collection at day 1–2, day 8–9, and day 30 of life	OPC ² priming had minimal effects on salivary immune peptide concentrations Peptides corresponding to lactoferith, IgA, and lysozyme-C increased whereas S100 A7 and w-defensin-5 decreased at 8–9 days of life vs. 1–2 days of life, P < 0.05 No differences between groups at baseline and postintervention Oral bacterial diversity and overall composition ³ were not altered by OPC priming. OPC marginally modified oral taxa with decline in streptococccal	Safety: no adverse effects Dargety in a doverse effects P = 0.038 Total days intubated ³⁵ : 1 (0, 3) vs. 1 (0, 5) d; $P = 0.971$ Age at feeding initiation ^{3,5} (d): 2 (2, 3) vs. 2 (2, 3); P = 0.671 Age at feeding initiation ^{3,5} (d): 2 (2, 3) vs. 2 (2, 3); P = 0.671 Ref ^{3,5} : 11 (8, 15) vs. 11 (9, 19) d (TFF was defined as 100 m/L/sQ, 49; $P = 0.391$ NEC > stage 10 ³ : 46; vs. 20 ⁶ ; $P = 0.642$ Culture-proven sepsis EOS: 0% vs. 2%; $P = 0.332$ LOS: 2% vs. 6%; $P = 0.332$
Sohn et al. (18) (2016), USA, RCT	n = 12; 6 vs. 6	$GA^{5}: 27$ (25, 30) vs. 27 (25, 28) wk; $P = NS$ $BW^{5}: 1092$ (490, 1350) vs. 1015 (735, 1300) g; $P = NS$	0.2 mL colostrum every 2 h for 46 h regardless of enteral feeding status vs. routine care (no colostrum)	Oral swabs collected before initiation (0 h), after completion of protocol (48 h), and at 96 h	abundance in OPC group at day 30 of life (P = NS) At enrollment (hour O): similar oral microbiota (no numerical data, graphical only) ² At 48 h: lower <i>Noraxellaceae</i> (nonpathogenic environmental) vs. controls (<i>t</i> -statistic2.91; Satterthwaite DF: 5.36, P = 0.03) At 96 h: lower <i>Stathyhyoccoccaee</i> (colonizer) vs. controls (<i>t</i> -statistic3.2.1; Satterthwaite DF. 9.36, P = 0.01) and a trend toward a greater percentage of <i>Planoccaceaee</i> (nonpathogenic environmental) (<i>t</i> -statistic 2.06; Satterthwaite DF. 5.08, P = 0.09) By 96 h: control group (5 of 6 infants): Staphylococcaceae (colonizers) were the dominant organism vs. 1 of 6 infants for colostrum group	Safety: no adverse effects NEC \geq stage II ³ = 1, 1 vs. 1, 0 respectively; $P = NS$ Net cei als spiss' early-onset?: 0 vs. 1, late-onset: 0 vs. 2 Fungal sepsiss': early-onset: 0 vs. 0, late-onset: 1 vs. 0 VAP ³ = 1 vs. 0 Other pneumonia ³ : 1 vs. 0 DPD ³ : 3 vs. 2 Deart first feeding ³⁷ : 2.5 (1–3) vs. 2 (1–3) d TFP ³⁷ : 17 (14–41) vs. 13 (9–24) d TFP ³⁷ : 17 (14–41) vs. 13 (9–24) d Safety: no adverse effects

(Continued)

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TABLE 1 (Continued)

Study author (year), country, study design	Sample size; colostrum vs. controls	Participants	Protocol for OPC/placebo administration	Specimen collection (for biochemical outcomes)	Biochemical outcomes (colostrum vs. controls)	Clinical outcomes (colostrum vs. controls) ¹
Seigel et al. (22) (2013), USA, non-RCT	n = 369, 89 vs. 280	GA^6 ; 26 (25–27) vs. 25 (24–27) vk; $P = 0.22$ BW ⁶ ; B20 (700–910) vs. 750 (628–860) g; P = 0.004	0.2 mL of fresh colostrum every 4 h for 5 d beginning in the first 48 postnatal h vs. historical controls	No biochemical outcomes	1	Time of commencing enteral feeds ^{2,6} ; 4 (2–6) vs. 6 (3–11) d; $P < 0.001$ TF ^{2,6} (defined as 100 mL/kg/d); 25 (17–34) vs. 29 (19–40) d; $P = 0.09$ Time to regain BW ^{2,6} ; 14 (11–19) vs. 15 (11–23) d; P = 0.21 Length of stay ^{2,6} ; (4); 79 (48–125) vs. 69 (37–109); P = 0.14 Medical NEC ² ; 6 (7%) vs. 17 (6%); $P = 0.80$ Surgical addominal pathology ² ; 14 (16%) vs. 44 (16%); P = 0.14 Surgical NEC ² ; 4 (4%) vs. 19 (7%); $P = 0.62$ Surgical NEC ² ; 4 (4%) vs. 19 (7%); $P = 0.62$ Surgical NEC ² ; 4 (4%) vs. 19 (7%); $P = 0.62$ Surgical NEC ² ; 4 (4%) vs. 25 (10%); $P = 0.53$ Surgical NEC ² ; 4 (4%) vs. 25 (10%); $P = 0.35$ Surgical NEC ² : 4 (4%) vs. 25 (10%); $P = 0.35$
Martin Alvarez er al. (23) (2016), Spain, non-RCT	n = 38; total 5 abandoned; analyzed 17 vs. 16	GA (mean): 29.82 vs. 29.88 wk; $P = 0.95$ BW (mean): 1259.41 vs. 1346.87 g; $P = 0.47$	0.2 mL of colostrum every 4 h starting in first 24 h, for 15 d vs. historical controls	Serum immune peptides measured at birth, 3, 15, and 30 d of life	Increase in serum sigA concentrations found in both groups (birth vs. 30th day concentrations) ² Colostrum (mean): 5.84 vs. 30.34, $P = 0.001$; control (mean): 12.48 vs. 20.34, $P = 0.001$ (mean): 12.48 vs. 22.48; $P = 0.001$ sigA statistically increased in colostrum group compared with the control group at 1 month of age (mean): 30.34 vs. 22.48; $P = 0.026$ TNF a^2 (pg/mL) higher in controls ($P < 0.01$); [L-6 and L1-80 ver in colostrum group ($P < 0.01$).	Date y: no doverse entects Bay 3: 145,71 vs. 1230; $P = 0.48$ Day 15: 1338 vs. 1400; $P = 0.67$ Day 30: 1802 vs. 1830; $P = 0.67$ Day 30: 1802 vs. 1830; $P = 0.69$ Enteral nutrition volume ³ (mean) (mL/kg/d): Day 3: 45.86 vs. 50.56; $P = 0.63$ Day 15: 135.50 vs. 175.13; $P = 0.35$ Day 15: 135.50 vs. 174.64; $P = 0.35$ Day 30: 152.29 vs. 174.64; $P = 0.35$ Safety: no adverse effects
Hariharan et al. (24) (2017), India, non-RCT	n = 112;56 vs.56	GA ⁴ : 28.7 ± 0.4 vs. 29.1 ± 0.4 wk; P = NS BW ⁴ : 9.70 ± 23 vs. 986 ± 32 g; P = NS	0.2 mL colostrum every 6 h until 30 wk corrected age or until oral feeds, vs. historical controls	No biochemical outcomes		Gram-negative sepsis ² : 4 vs. 9; $P < 0.05$ Ventilator \pm inotrope support ³ (n): 25 vs. 27; $P = NS$ Feed intolerance episodes ³ : 28 vs. 41, $P < 0.05$ Stage 2/3 NEC ³ : 1 vs 1; $P = NS$ TFF ³ (d): 17.5 vs. 26.6; $P < 0.05$ Dath 2.1 vs. 26.6; $P < 0.05$ Dath 2.1 vs. 26.6; $P < 0.05$ Dath 2.1 vs. 20.5 Dates effects
¹ Values for sepsis, NEC, ² Primary outcome for s ³ Secondary outcome fr	VAP, pneumonia, BPD and i tudy. St study	death denote number of cases (₁	percentage of total cases) unle	ss otherwise specified.		

accontant o utuating to study. 4 Denotes values represented as mean \pm SD. 5 Denotes values represented as median quartiles. 6 Denotes values represented as median (QR).

⁷ Denotes values represented as median (range).

BPD, bronchopulmonary dysplasia; BW, birth weight. EGF, epidermal growth factor; EOS, early-onset sepsis; GA, gestational age; JNH, intraventricular hemorrhage; LOS, late-onset sepsis; NA, not available; NEC, necroitzing enterocolitis, NS, not specified; OPC, oropharyngeal colostrum; RCT, randomized controlled trial; RDS, respiratory distress syndrome; ROP; retinopathy of prematurity; slgA: secretory immunoglobin A; SIP, spontaneous intestinal perforation; TFF, time to reach full feeds; VAP, ventilator-associated pneumonia.



FIGURE 1 Flow diagram showing study selection process.

Summary of characteristics and outcomes of included studies

The 10 included studies (6 RCTs and 4 non-RCTs) had differences in the intervention (dose, duration of colostrum supplementation) as well as population characteristics (e.g., gestation range: 24+2 to 32+5 wk; birth-weight range: 701-1602 g). The total sample size was 1005, with a larger contribution from non-RCTs than from RCTs (73.4% vs. 26.7%). The median sample size of RCTs was 39 and that of non-RCTs was 165. The details of gestation and birth weight are given in Table 1. The protocol for administering OPC in the 6 included RCTs (15-20) varied from 0.2 mL colostrum commenced within 48 h to a maximum of 7 d of life; and the duration of OPC administration varied from 46 h to a maximum of a week of life. Sterile water (16, 19, 20) or normal saline (15) was used as a control. Specimens such as saliva (16, 17), urine and saliva (15, 19), tracheal aspirates (20), serum immune peptides (17), and oral swabs (18) were collected at different time points, usually prior to initiation and after completion of the OPC protocol. The primary and secondary outcomes in the included studies varied (Table 1).

ROB of included studies

The results of the ROB assessment are shown in **Tables 2** and **3**. Five of the 6 included RCTs had a moderate risk of bias, and 3 of the 4 non-RCTs had a low risk of bias.

Publication bias.

The risk of publication bias could not be assessed considering the small number of studies.

Outcomes

Summary results.

Meta-analysis using a random effects model, separately for the 6 RCTs (n = 268) and 4 non-RCTs (n = 737), showed effects of OPC on various outcomes (**Supplemental Table 1**).

Meta-analysis of data from RCTs.

OPC administration showed no differences in the risk of NEC \geq Stage II (12 of 131 vs. 15 of 128; RR: 0.83; 95% CI: 0.39, 1.75; P = 0.62) (Figure 2), LOS (23 of 131 vs. 28 of 128; RR: 0.78; 95% CI: 0.50, 1.22; P = 0.28) (Figure 3), all-cause mortality (6 of 104 vs. 7 of 100; RR: 0.74; 95% CI: 0.27, 2.06; P = 0.56), duration of hospital stay (MD: -1.65 d; 95% CI: -10.09, 6.80; P = 0.70), and TFF (MD: -2.86 d; 95% CI: -6.49, 0.77; P = 0.12). The results were similar using a fixed effect model, indicating the robustness of the results (Supplemental Table 1).

Meta-analysis of data from non-RCTs.

Non-RCTs did not show beneficial effects of OPC on NEC \geq Stage II (26 of 278 vs. 47of 421; OR: 0.90; 95% CI: 0.52, 1.56; P = 0.71), LOS (4 of 56 vs. 9 of 56; OR: 0.90; 95% CI: 0.52, 1.56; P = 0.71), mortality (25 of 298 vs. 60

TABLE 2 ROB assessment for RCTs

Study ID	Random sequence generation	Allocation concealment	Blinding of participants and personnel	Blinding of outcome assessment	Incomplete outcome data	Selective reporting	Other bias ¹
Zhang et al. (15)	Low risk	High risk	Low risk	Unclear risk	Unclear risk	Low risk	Low risk
Glass et al. (16)	Low risk	Unclear risk	High risk	High risk	Low risk	Low risk	Unclear risk
Romano-Keeler et al. (17)	High risk	High risk	High risk	High risk	Low risk	Low risk	Low risk
Sohn et al. (18)	Unclear risk	Low risk	High risk	High risk	Low risk	Low risk	Unclear risk
Lee et al. (19)	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk	Low risk
Rodriguez et al. (20)	Unclear risk	Unclear risk	Unclear risk	Unclear risk	Low risk	Low risk	Unclear risk

¹Other bias: *1*) The conduct of the study is affected by interim results (e.g., recruiting additional participants from a subgroup showing more benefit). *2*) There is deviation from the study protocol in a way that does not reflect clinical practice (e.g., post hoc stepping-up of doses to exaggerated concentrations). *3*) There is prerandomization administration of an intervention that could enhance or diminish the effect of a subsequent, randomized intervention. *4*) Inappropriate administration of an intervention (or co-intervention). *5*) Contamination (e.g., participants pooling drugs). *6*) Occurrence of "null bias" due to interventions being insufficiently well delivered or overly wide inclusion criteria for participants. *7*) An insensitive instrument is used to measure outcomes (which can lead to underestimation of both beneficial and harmful effects). *8*) Selective reporting of subgroups. *9*) Fraud. RCT, randomized controlled trial; ROB, risk of bias.

of 439; OR: 0.91; 95% CI: 0.52, 1.60; P = 0.74), duration of hospital stay (MD: 12.33 d; 95% CI: -1.27, 25.93; P = 0.08), and TFF (MD: -0.23 d; 95% CI: -7.42, 6.96; P = 0.95). Only Seigel et al. reported longer duration of hospital stay in the OPC group (22). No adverse effects of OPC administration (e.g., aspiration pneumonia) were reported (Supplemental Table 1).

Biochemical outcomes.

OPC administration improved the concentrations of salivary lactoferrin (15) and sIgA (16), reduced clinical sepsis by inhibiting proinflammatory cytokines (19), and had a maturational immunostimulatory effect on the intestinal epithelium (20). Sohn et al. reported only transient changes in the oral microbiome following administration of buccal colostrum in preterm infants. These included significantly reduced colonization with *Moraxellaceae* and *Staphylococcaceae* in the OPC-treated group compared with the control group at 48 and 96 h, respectively (Table 1) (18). Romano-Keeler et al. reported no effect of OPC on salivary immune peptides, or on the oral microbiome (17).

GRADE analysis.

The overall quality of evidence was deemed very low in view of the small sample size, unclear ROB in most of the included studies, variable difference in effect size estimates, and wide CIs with minimal overlap (**Supplemental Table 2**).

Discussion

Our systematic review of 6 RCTs (n = 268) and 4 non-RCTs (n = 737) showed that OPC has the potential to improve outcomes in high-risk preterm infants.

The OPHALT system constitutes a vital part of the preterm infant's immune system. Commencement of feeding within 24 h of delivery with mother's colostrum has become standard practice in most neonatal units (34). Trophic feeds

TABLE 3 ROB assessment of non-RCTs (using Newcastle–Ottawa scale)¹

Domain	Details	Snyder et al. (<mark>21</mark>)	Seigel et al. (22)	Martin Alvarez et al. (23)	Hariharan et al. (24)
Selection	Representativeness of exposed cohort	1	1	1	1
	Selection of nonexposed cohort	1	1	1	1
	Ascertainment of exposure	1	1	1	N/A
	Demonstration that outcome of interest was not present at start of study	1	1	1	1
Comparability	Study controls	1	1	1	1
	Study controls for additional factors	1	1	1	1
Outcome	Assessment of outcome	1	1	1	1
	Was follow-up long enough for outcomes to occur?	1	1	1	1
	Adequacy of follow-up of cohorts	2	2	2	N/A
Total score (10)		10	10	10	7

¹N/A, despite adequate attempts to contact the authors, this information was not available; RCT, randomized controlled trial; ROB, risk of bias.



FIGURE 2 Forest plot illustrating the overall risk ratio of necrotizing enterocolitis risk in preterm infants administered oropharyngeal colostrum compared with controls in randomized controlled trials. There was no significant difference in the 2 groups. This analysis was conducted using a random effects model. M-H, Mantel–Haenszel test; OPC, oropharyngeal colostrum.

improve gastrointestinal blood flow and gut motility, while reducing dysbiosis. Infants receiving trophic feeds have improved feeding tolerance and weight gain, with reduced LOS and hospital stay (35).

Colostrum is rich in immunomodulatory biofactors, which are essential to stimulate the preterm infant's defense system (13). The oropharynx of breast-fed infants becomes filled with colostrum, which activates the OPHALT system (14). Critically sick, extremely preterm infants receiving trophic feeds via an orogastric tube are deprived of the benefits of OPC. Priming the oral mucosa with small amounts of colostrum at regular intervals seems to be a simple solution to address this issue. The safety and feasibility of administering OPC in preterm infants have been demonstrated in numerous studies (6–9). Various investigators have assessed the immunomodulatory benefits of OPC by measuring biochemical parameters such as sIgA, lactoferrin, and changes in the microbial milieu, and whether these translate to a reduction in neonatal morbidity (15–20).

Key results of previous studies in this field need to be discussed. Glass et al. reported increased concentrations of secretory IgA on day 7 in OPC-supplemented infants, suggesting an immunomodulatory effect of the intervention (16). There was no effect on clinical outcomes. Zhang et

al. reported increased concentrations of salivary lactoferrin in OPC-supplemented infants but no effect on clinical outcomes (15). Lee et al. reported increased concentrations of urinary sIgA and lactoferrin at 1 wk, and reduced LOS in infants supplemented with OPC (19). Rodriguez et al. reported no effect on urinary IgA or lactoferrin, but reduced TFF in OPC-supplemented infants (20). Romano-Keeler et al. reported no difference in oral microbiota but reduced hospital stay in the OPC-supplemented infants (17). In contrast, Sohn et al. reported improved oral microbiota with OPC, but no effect on clinical outcomes (18). Sohn et al. and Lee et al. showed no increase in the incidence of ventilator-associated pneumonia with OPC administration (18, 19). Overall, these data suggest that administration of OPC is feasible and safe in preterm infants but evidence of improvement in clinically important outcomes is inadequate.

The strengths and limitations of our study need to be discussed. To our knowledge this is the first systematic review of OPC in preterm infants using a comprehensive methodology. Overall, the results showed minimal statistical heterogeneity. The limitations include small sample size, clinical heterogeneity, lack of blinding, and the fact that clinical outcomes were not the primary focus of 5 of the 6 included RCTs. Large, well-designed, and adequately

	Oropharyngeal col	ostrum	Contro	ols		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% C	I M-H, Random, 95% CI
Glass et al. (16)	5	17	3	13	13.1%	1.27 [0.37, 4.39]	
Lee et al. (19)	11	24	14	24	65.8%	0.79 [0.45, 1.36]	-8-
Rodriguez et al. (20)	3	9	0	6	2.5%	4.90 [0.30, 80.69]	
Romano-Keeler et al. (17)	1	48	3	51	4.0%	0.35 [0.04, 3.29]	
Sohn et al. (18)	0	6	2	6	2.5%	0.20 [0.01, 3.46]	
Zhang et al. (15)	3	27	6	28	12.2%	0.52 [0.14, 1.87]	
Total (95% CI)		131		128	100.0%	0.78 [0.50, 1.22]	•
Total events	23		28				
Heterogeneity: Tau ² = 0.00; Chi ² = 4.01, df = 5 (P = 0.55); l ² = 0%							
Test for overall effect: Z = 1.	09 (P = 0.28)						Favors OPC Favors Controls

FIGURE 3 Forest plot illustrating the overall risk ratio of late-onset sepsis in preterm infants administered oropharyngeal colostrum compared with controls in randomized controlled trials. There was no significant difference in the 2 groups. This analysis was conducted using a random effects model. M-H, Mantel–Haenszel test; OPC, oropharyngeal colostrum.

powered RCTs are thus necessary to assess the effects of OPC on clinically important outcomes (e.g., NEC, LOS) in preterm infants. The results of the large multicenter RCT (n = 600) by Rodriguez et al. will be important in this context (33).

Although our review focused on oropharyngeal human colostrum, there is also some evidence concerning the effects of bovine colostrum as an enteral supplement (36, 37). The Pre Colos 2017 (Phase A and B) and Juhl 2018 (Phase C) studies assessed the safety and tolerance of enteral bovine colostrum in preterm infants (32, 38). Feed intolerance, surgical NEC, and mortality did not increase, and the median [IQR] TFF (120 mL/kg/d) was reduced, but not by a statistically significant amount (15 [12–20] vs. 22 [15–44] d; P = 0.097), in the group receiving bovine colostrum compared with the control group. The elevated plasma tyrosine concentrations (>200 μ mol/L on day 7) observed in the bovine colostrum group (8 of 21 vs. 2 of 19 controls) were probably related to higher enteral protein intake (32).

In summary, the results of our systematic review indicate that the evidence for effects of OPC on clinically important outcomes (e.g., LOS, NEC) in preterm infants is limited and of low quality. Adequately powered RCTs are needed to address this issue.

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All authors: read and approved the final manuscript.

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