

# Targeted Next Generation Sequencing for Genetic Mutations of Dilated Cardiomyopathy

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**Background:** Approximately one-third of cases of dilated cardiomyopathy (DCM) are caused by genetic mutations. With new sequencing technologies, numerous variants have been associated with this inherited cardiomyopathy, however the prevalence and genotype-phenotype correlations in different ethnic cohorts remain unclear. This study aimed to investigate the variants in Chinese DCM patients and correlate them with clinical presentations and prognosis.

**Methods and Results:** From September 2013 to December 2016, 70 index patients underwent DNA sequencing for 12 common disease-causing genes with next generation sequencing. Using a bioinformatics filtering process, 12 rare truncating variants (7 nonsense variants, 4 frameshift variants, and 1 splice site variant) and 29 rare missense variants were identified. Of these, 3 patients were double heterozygotes and 10 patients were compound heterozygotes. Overall, 47.1% (33/70) of the index patients had the seputatively pathogenic variants. The majority (33/41, 80.4%) of these variants were located in titin (*TTN*). More than 80% of the *TTN* variants (27/33, 81.8%) were distributed in the A band region of the sarcomere. Patients carrying these variants did not have a different phenotype in disease severity, clinical outcome and reversibility of ventricular function compared with non-carriers.

**Conclusions:** Several new rare variants were identified in a Chinese population in this study, indicating that there are ethnic differences in genetic mutations in DCM patients. *TTN* remains the major disease-causing gene. Our results could be a reference for future genetic tests in Chinese populations. No specific genotype-phenotype correlations were found, however a prospective large cohort study may be needed to confirm our findings.

**Key Words:** Dilated cardiomyopathy • Genetic mutation • Next generation sequencing

## INTRODUCTION

Dilated cardiomyopathy (DCM) is characterized by

left ventricular dilation and systolic dysfunction,<sup>1</sup> and has a prevalence of 1 in 250 the general population worldwide.<sup>2,3</sup> DCM patients require frequent hospitalizations for symptomatic heart failure and ventricular arrhythmia in early adulthood.<sup>4-6</sup> Diverse etiologies have been reported to cause DCM, including drugs, toxins, nutritional deficiency, endocrine disorders, and immune or infectious diseases. Approximately 30-48% of all cases of DCM are caused by genetic mutations.<sup>4,7</sup> Currently, more than 30 genes, encoding a variety of proteins from sarcomere, nuclear envelope, ion channels and cytoskeleton complexes,<sup>8</sup> have been reported to be associated with DCM. Moreover, these identified genetic variants are usually scattered across a gene, making se-

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quencing and analysis challenging and time-consuming. With the introduction of the massive parallel sequencing technique, genetic testing has become more comprehensive and efficient. Multiple disease-associated genes can now be targeted and sequenced simultaneously using next-generation sequencing (NGS) technology, providing greater coverage for a targeted disease.<sup>9</sup> However, along with the advance in sequencing technology, a rapidly growing number of disease-associated variants have been identified.<sup>7,10</sup> Clarifying the clinical significance of variants has become a challenge and requires replication and validation in clinical DCM cohorts.<sup>11</sup>

Several studies have reported improved ventricular function with an early diagnosis and treatment.<sup>12</sup> Patients with inherited cardiomyopathy usually have a long clinically indolent period before symptoms emergence. The early detection and initiation of interventions in the pre-symptomatic stage of probands and their relatives are expected to ameliorate disease progression and prevent major adverse events.<sup>13,14</sup> Since the report from Herman et al.<sup>15</sup> and subsequent studies, titin (*TTN*) truncating variants have been recognized in 25% of familial DCM cases and in 18% of sporadic cases.<sup>15-19</sup> *TTN* encodes the almost 4 million Dalton sarcomeric protein titin, which has several biologic functions including maintenance of resting tension and elasticity, stabilization of contractile proteins, and force transmission.<sup>20,21</sup> Recently, Jansweijer et al.<sup>22</sup> reported that patients with *TTN* truncating variants had a relatively mild phenotype and were more amenable to therapy than those with LMNA (lamin A/C) mutations. These results were in contrast to early reports which reported that *TTN* truncating variant carriers had a more severe<sup>19</sup> or similar<sup>15,18</sup> prognosis than non-carriers. On the other hand, earlier atrial and ventricular arrhythmias have been reported in *TTN* truncating variant carriers, which may suggest that different treatment strategies are needed to prevent cardiac morbidity and mortality in these patients. Genotype-phenotype correlations remain controversial, and racial differences in DCM genomics have yet to be explored. In order to establish a DCM genetic database in a Chinese population, we designed a target gene panel with 12 common DCM-associated genes to analyze genetic information in patients and controls. The correlations between genetic variants and clinical outcomes in these patients were also assessed.

## MATERIAL AND METHODS

### Study design and population

In this study, we prospectively screened patients admitted for heart failure symptoms at Chang Gung Memorial Hospital from September 2013 to December 2016. After a comprehensive workup, patients who were > 20 years old and had a clinical diagnosis of DCM were enrolled in the study. DCM was diagnosed according to the European Society of Cardiology criteria, with dilatation of the left ventricle and a left ventricular ejection fraction (LVEF) < 45%.<sup>23</sup> Patients with ischemic, hypertensive, significant valvular, and congenital heart diseases were excluded by history, physical examination, echocardiography, 24-hour Holter electrocardiography monitoring and coronary angiography. Patients with cardiotoxic drug exposure, chronic alcohol usage, severe concomitant diseases or systemic inflammatory diseases were also excluded. Healthy participants with no history of heart diseases and with a normal LVEF and electrocardiography results served as the normal control subjects. Blood samples from the participants were stored for genetic sequencing. Clinical information including family history, clinical symptoms, echocardiography evaluation, and laboratory results were collected.

The clinical endpoints were defined as all-cause mortality, cardiovascular mortality, non-fatal stroke, heart failure re-hospitalization, or ventricular arrhythmia requiring an implantable cardioverter defibrillator (ICD). Heart failure re-hospitalization was defined as a hospital admission within 1 year after the first visit, in which the patients presented with heart failure symptoms and required diuretics, vasodilators, or inotropic agents. The reversibility of ventricular systolic function was defined as at least a 10-point increase in LVEF 1 year after standard therapy. When the patients had multiple events, the time to the first event was counted as the censored outcome. All participants were followed up in outpatient clinics at 3, 6, 9, 12, 24, and 36 months after study enrollment. Major cardiac events occurring during the first visit to enrollment were also included. All patients provided written informed consent. This study complied with the declaration of Helsinki, and the study protocol was approved by the Institutional Review Board of Chang Gung Memorial Hospital.

### Next generation sequencing of customized targeted gene panel

Genomic DNA from peripheral venous blood from the subjects was extracted using a QIAamp DNA blood mini kit (Qiagen, Taiwan) and sequenced on an Ion PGM™ System (Ion torrent) using NGS. A customized panel of 12 associated genes (*ACTC1*, *LDB3*, *MYBPC3*, *MYH6*, *MYH7*, *MYL2*, *MYL3*, *TTN*, *TNNC1*, *TPM1*, *TNNI3*, *TNNT2*) was designed using Ion Ampliseq™ Designer (Version 2.2, Applied Biosystems, Life Technologies, Carlsbad, CA) (Supplementary Table 1). We constructed sequencing libraries using the target exon amplification method for the protein-coding portions of the 12 disease-associated genes, composed of 1102 amplicons with a total size of 157.66 kilobases and a coverage of 98.46% of the targeted genes. More than 95% of the targeted bases were sequenced to a read depth of more than 20 times.

### Bioinformatic filtering process of genetic variants

The sequencing results were aligned to the human reference genome assembly (Feb. 2009, GRCh37/hg19) from the National Center for Biotechnology Information (NCBI) using Torrent Mapping Alignment. Variants were identified by the Torrent Variant Caller and were annotated with wANNOVAR.<sup>24</sup> Rare genetic variants were defined as an allele frequency < 1% in the 1000 Genomes Project and Exome Aggregation Consortium (ExAC) project.<sup>25,26</sup> Variants present in the in-house genetic database of 299 healthy controls were also excluded (Cardiovascular Laboratory, Linkou Chang Gung Memorial Hospital and Whole-Genome Research Core Laboratory of Human Diseases, Keelung Chang Gung Memorial Hospital). Nonsynonymous mutations of missense mutations were predicted with bioinformatics programs, including PolyPhen2 (<http://genetics.bwh.harvard.edu/pph2/>), SIFT (<http://sift.jcvi.org/>) and Mutation Taster (<http://www.mutationtaster.org/>). We divided these variants into two major types: truncating variants and missense variants (Supplementary Figure 1). All variants were confirmed using traditional Sanger sequencing.

### Statistical analysis

Statistics were presented as mean  $\pm$  standard deviation (SD) or median (interquartile range, IQR) depending on value distribution. Differences between subgroups

were assessed using the Student t-test for normally distributed values and the Mann-Whitney U test for values with skewed distribution. Pearson's chi-squared test was used for comparisons of categorical data. Event-free survival curves for major cardiac events were estimated and plotted using the Kaplan-Meier method. The log-rank test was used to investigate differences in event-free survival. All statistical analyses were performed using Statistical Package for Social Sciences V.18 software (IBM Corp., Armonk, NY, USA). Two-sided probability values were considered significant at  $p < 0.05$ .

## RESULTS

### Clinical characteristics of the study population

A total of 70 patients with DCM were recruited at our hospital. Of these patients, 18.6% were female, 12.8% had familial DCM, 24.3% had heart failure functional class III or IV, 25.7% had hypertension, 21.4% had diabetes mellitus and 28.6% had atrial fibrillation. The mean age of the patients was  $55 \pm 13$  years. The mean LVEF at diagnosis was  $28.0 \pm 11.1\%$ , and the mean left ventricular end diastolic volume index was  $130.5 \pm 43.6$  ml. The mean serum B-type natriuretic peptide level was  $1015.9 \pm 429.3$  pg/ml. With regards to medications, 84.2% of the patients received angiotensin-converting-enzyme inhibitors or angiotensin receptor blockers, and 81.4% received beta-blockers. The clinical characteristics of the patients are summarized in Table 1.

### Genetic variants in the DCM patients

A total of 742 variants were identified from the DNA samples from the 70 patients (Supplementary Figure 1). Among these variants, 404 were located in the exon or splicing regions and 258 were protein affecting variants. These variants were then selected for further analysis if they had (1) an allele frequency < 1% in the 1000 genome project and ExAC projects; and (2) were not present in the 299 in-house Chinese normal controls. After confirmation using traditional Sanger sequencing, 29 missense variants and 12 truncating variants were considered to be putatively pathogenic variants in these index patients (Figure 1). Of the truncating variants, 8 were nonsense variants, 3 were frameshift variants, and 1 was a splice site variant. The majority of these genetic

**Table 1.** Baseline characteristics of enrolled patients with dilated cardiomyopathy

Characteristics	(number = 70)
Age, years	55 ± 13 (27-84)
Female gender, n (%)	13 (18.6%)
BMI, kg/m <sup>2</sup>	24.4 ± 5.6
Hypertension, n (%)	18 (25.7%)
Diabetes mellitus, n (%)	15 (21.4%)
Atrial fibrillation, n (%)	20 (28.6%)
Systolic blood pressure, mmHg	118 ± 20
Heart rate, bpm	81 ± 16
NYHA III or IV, n (%)	17 (24.3%)
BNP, pg/mL	1015.9 ± 429.3
Creatinine	1.35 ± 1.58
eGFR, mL/min/1.73 m <sup>2</sup>	81.5 ± 30.5
Cholesterol, mg/dl	157 ± 42
HbA1C, %	5.9 ± 0.98
LVEF, %	28.0 ± 11.1
LVEDD, mm	66.0 ± 9.8
LVEDV index, ml/m <sup>2</sup>	130.5 ± 43.5
LV mass index, g/m <sup>2</sup>	179.6 ± 61.2
LA diameter, mm	46.0 ± 10.7
Mitral E/A ratio	1.5 ± 1.3
ACEI or ARB, n (%)	59 (84.2%)
Beta-blockers, n (%)	57 (81.4%)
Spironolactone, n (%)	40 (57.1%)
Loop diuretics, n (%)	46 (65.7%)
Digoxin, n (%)	21 (30.0%)

All numeric data were presented with means ± standard deviation.

All nominal data were presented with numbers (percentage).

ACEI/ARB, angiotensin I-converting enzyme inhibitor/angiotensin II receptor antagonists; BMI, body mass index; BNP, B-type natriuretic peptides; eGFR, estimated glomerular filtration rate; HbA1C, hemoglobin A1c; LA, left atrium; LV, left ventricular; LVEDD, left ventricular end-diastolic dimension; LVEDV, left ventricular end-diastolic volume; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association functional classification.

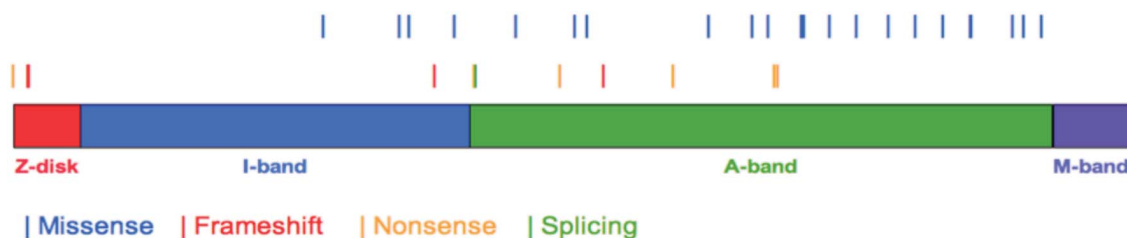
variants (33/41, 80.4%) were located in *TTN* (10 truncating variants, 23 missense variants). The distribution of other genetic variants included 1 nonsense variant and 4 missense variants in *MYBPC3*, 1 nonsense variant in *MYH6*, 1 missense variant in *MYH7*, and 1 missense variant in *ACTC1*. No rare variants were identified in the other DCM-associated genes (*TNNT2*, *TPM1*, *LDB3*, *MYL2*, *MYL3*, *TNNC1* and *TNNI3*) in the study (Supplementary Figure 2). The detailed information of genetic variants is summarized in Table 2 and the Supplementary Tables 2, 3.

### Truncating variants in the DCM cohort

Among the 70 DCM patients, 10 heterozygous *TTN* truncating variants in 12 subjects (17.1%, 12/70) were identified. These *TTN* truncating mutations included 6 nonsense, 3 frameshift mutations, and 1 splice variant. Two variants (p.R14191X and p.A475fs) were identified in familial DCM patients. In segregation analysis, the nonsense variant (p.R14191X) showed concordant segregation among the family members, in whom two affected individuals carried the variant and two unaffected individuals did not carry the variant (Supplementary Figure 3). All of these *TTN* variants were located in symmetric exons. Eight *TTN* variants (80%) were localized in the sarcomeric A-band region, and the distribution of these variants is shown in Figure 1. According to the *TTN* transcript annotations at <http://cardiodb.org/titin>, none of these 10 *TTN* truncating variants have been reported before. The other two nonsense variants in *MYH6* and *MYBPC3*, respectively, have also not been reported before.

### Missense variants in the DCM cohort

According to our bioinformatic filtering criteria, 23 rare *TTN* missense variants in 24 patients were identi-



**Figure 1.** The spatial distribution of genetic variants in titin protein. The canonical titin molecule of human (UniProtKB entry Q8WZ42-1) have 34350 amino acids. The whole titin protein extends from Z-disk, I-band, A-band to M-band. All *TTN* variants were shown as vertical bars with different colors, which represented of frameshift (red), splice site (green), nonsense (yellow), missense (blue) variants. Thicker bars indicated that variants located on the same or close sites.

**Table 2.** List of *TTN* truncating variants identified in the study group

Patient ID	Mutation type	AA change (Q8WZ42-1)	Exon	Domain	Nucleotide change (NM_001256850)	dbSNP	ClinVar	AMA and ACMG guidelines	SIFT	Polyphen2	Mutation taster	1000 Genomes ASN	ExAC ASN	Normal control (allele fre, %)	Other mutation
<i>Z-disk</i>															
NGS008	Nonsense	p.Q10X	2	I1 Ig	c.C28T	rs1309114959		Pathogenic	D	-	A	0	0	0	-
NGS036	Frameshift	p.A475fs	9	Z-repeat 2	c.1425delT	-		Pathogenic	-	-	D	0	0	0	-
NGS067	Frameshift	p.A475fs	9	Z-repeat 2	c.1425delT	-		Pathogenic	-	-	D	0	0	0	-
<i>A-Band</i>															
NGS047	Frameshift	p.P12945fs	187	I86 Ig	c.38834delC	-	Likely pathogenic	Pathogenic	-	-	D	0	0	0	-
NGS009	Nonsense	p.R14191X	203	A2 FN3	c.C42571T	rs751746401	Likely pathogenic	Pathogenic	D	-	A	0	0	0	TN: p.V11879I
NGS013	Nonsense	p.R14191X	203	A2 FN3	c.C42571T	rs751746401	Likely pathogenic	Pathogenic	D	-	A	0	0	0	TTN: p.Y24227H
NGS032	Splice site	-	204	Unique sequence	c.42650-2A>G	-		Pathogenic	-	-	D	0	0	0	-
NGS033	Nonsense	p.R16810X	236	I101 Ig	c.C50428T	rs1440093502		Pathogenic	D	-	A	0	0	0	-
NGS005	Frameshift	p.P18143fs	251	I105 Ig	c.54428_54429del	rs886039027	Likely pathogenic	Pathogenic	-	-	D	0	0	0	-
NGS066	Nonsense	p.S20300X	263	I111 Ig	c.C60899A	-		Pathogenic	D	-	A	0	0	0	TTN: p.R15445H; p.R25922C
NGS069	Nonsense	p.G23394X	276	A70 FN3	c.G70180T	-		Pathogenic	D	-	A	0	0	0	TTN: p.H29228Y
NGS068	Nonsense	p.Q23503X	276	I119 Ig	c.C70507T	-		Pathogenic	D	-	A	0	0	0	-

A, Disease\_causing\_automatic; D, Deleterious/Disease\_causing; FN3, fibronectin type III; Ig, immunoglobulin.

fied (Supplementary Table 2). The distribution of these genetic variants in titin protein is shown in Figure 1. Of them, 18/23 (78.2%) *TTN* missense variants resided in the C terminal zone of the A-band region, which is organized by numerous repeated fibronectin type III (FN-III) domains and immunoglobulin domains. Other identified missense variants included 4 in *MYBPC3*, 1 in *MYH7* and 1 missense variant in *ACTC1*, that the distribution of this variants is shown in Supplementary Figure 4. Among these missense variants, familiar segregation analysis for p.P29444L did not show the presentation of a concordant disease phenotype. Two of three carriers who were family members developed the disease phenotype, whereas none of the non-carriers were affected.

### Genotype-phenotype analysis

Comparisons of the clinical characteristics of the patients with and without affecting variants are summarized in Table 3 (carriers vs. non-carriers or *TTN* truncating variants vs. others). There were no significant differences in age at diagnosis, sex, the severity of symptoms, serum B-type natriuretic peptide level, cardiac chamber diameter, and LVEF. There was also no significant difference in the reversibility of left ventricular systolic function after 1-year of standard therapy between the groups. Although patients with these variants tended to have less severe clinical manifestations than those without variants, it did not reach statistical significance. After a median of 44 months clinical follow-up, there were 8 all-cause deaths (5 cardiovascular deaths), 4 non-fatal strokes, 15 ventricular arrhythmias requiring ICD implantation, and 16 re-hospitalizations within 1 year among these DCM patients. There were no significant differences in event rates between the patients with or without these variants, although there were numerically more events in the non-carriers. We defined major adverse cardiac events as all-cause death, non-fatal stroke, and ICD implantation. The Kaplan-Meier survival curve for major adverse cardiac events also did not show a significant difference in the outcomes of patients with and without these variants (log-rank test  $p = 0.218$ , Figure 2).

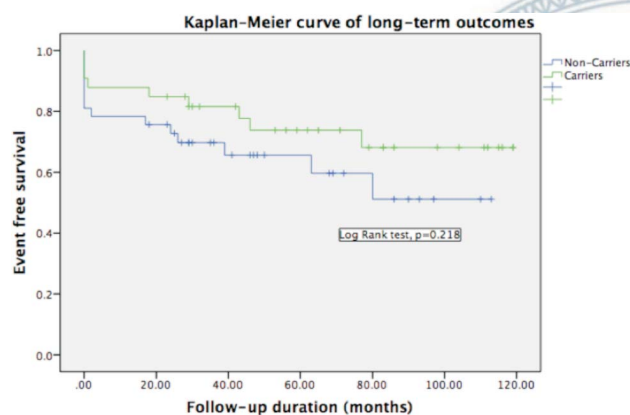
### DISCUSSION

In this study, we used high coverage NGS sequenc-

**Table 3.** Comparison of clinical outcomes in DCM patients with or without truncating variants

Characteristic	Carrier	Non-carrier	p value	Truncation	Non-truncation	p value
Patients, n	33	37		11	59	
Age of onset, y	53.4	52.7	0.838	52.0	53.2	0.788
NYHA III or IV, %	6 (20.0%)	9 (30.6%)	0.333	2 (22.2%)	15 (20.3%)	
LVEDD, mm	62.9	68.6	0.015	62.4	66.6	0.180
LA, mm	44.4	47.0	0.331	46.6	45.7	0.789
LVEF, %	31.0	27.4	0.196	33.6	28.3	0.185
BNP, pg/ml	812	1184	0.284	1121	1002	0.859
Reversibility, %	5 (15.2%)	9 (24.3%)	0.342	1 (9.1%)	13 (22.0%)	0.328
All mortality, %	4 (12.1%)	4 (10.8%)	0.361	1 (9.1%)	7 (11.9%)	0.792
Cardiac mortality, %	2 (6.1%)	3 (8.1%)	0.742	1 (9.1%)	4 (6.8%)	0.947
Stroke, %	1 (3.0%)	3 (8.1%)	0.361	0 (0)	4 (6.8%)	0.377
ICD implant, %	6 (18.2%)	9 (24.3%)	0.535	3 (27.3%)	12 (20.3%)	0.609
HF hospitalization, %	5 (15.2%)	11 (29.7%)	0.150	1 (9.1%)	15 (25.4%)	0.240

BNP, B-type natriuretic peptide; DCM, dilated cardiomyopathy; HF, heart failure; ICD, implantable cardioverter-defibrillator; LA, Left atrium; LVEDD, left ventricular end-diastolic diameter; LVEF, left ventricular ejection fraction; NYHA, New York Heart Failure Association functional class.



**Figure 2.** Kaplan-Meier event-free survival curves for death, non-fatal stroke, ventricular arrhythmia requiring ICD implant in variant carriers and non-carriers. During a median follow up of 44 months, patients carrying variants had less adverse events although it did not reach statistical significance for limited patient numbers. ICD, implantable cardioverter-defibrillator.

ing and stringent bioinformatic filtering strategies and identified 12 truncating and 29 missense variants in 12 common disease-associated genes, considered to probably be affecting-function variants in a Chinese population. *TTN* remained the major pathogenic gene in DCM, and approximately 80% of the identified variants in the study were located in *TTN*. The prevalence of *TTN* truncating variants in our cohort was 17.1%, which is consistent with previous reports.<sup>15-17,27,28</sup> Based on the database of *TTN* transcript annotations (<http://cadiodb.org/titin>), in which most of these variants have been reported in cohort studies from North American and Euro-

pean countries, none of the 10 *TTN* truncating variants identified in the study have been reported before. To date, more than 30 genes and 400 variants have been associated with DCM, however the pathogenicity of most genetic variants is still undetermined.<sup>29</sup> Truncating variants of *TTN* were the most commonly identified variants in this study, and carried the greatest risk of DCM, especially the variants located at the A-band. Most previous studies have also focused on the genetic variants of *TTN* in DCM, however comparisons of the distribution of variants in DCM-associated genes among ethnic groups has not been reported before. In a review of previous publications, two novel *TTN* variants (1 nonsense p.R4109X and 1 missense mutation p.G5919R) were reported in two distinct Chinese DCM families,<sup>30</sup> however neither were present in our cohort. Furthermore, probably affecting-function variants in 3 candidate genes, tropomyosin (*TPM1*), cardiac troponin T type-2 (*TNNT2*), and nuclear lamina protein A/C (*LMNA*), have been reported in Chinese patients.<sup>31-33</sup> However, none of the aforementioned variants in the 3 genes were identified in our DCM cohort. To the best of our knowledge, this is the first panel-based, comprehensive NGS and bioinformatic filtering investigation for DCM genetic variants in a Chinese population. We assumed that disease-associated genetic variants in a Chinese population should be distinct from those in Caucasian and other ethnic groups. With accumulating genetic data in Chinese populations, our study results could be a reference for clinical genetic tests in the future.

Beyond differences in sequencing and bioinformatic filtering methods among studies, we hypothesized that there would be actual racial differences in the complex DCM genomics. This would indicate that certain interactions between environmental and genetic variants play an important role in the pathogenesis and clinical phenotype of DCM. With advances in genomics, several overlapping or crossover genetic variants have been identified in DCM and other inherent cardiomyopathies,<sup>34</sup> such as hypertrophy cardiomyopathy,<sup>35</sup> long QT syndrome,<sup>36</sup> arrhythmogenic right ventricular dysplasia,<sup>37</sup> and left ventricular noncompaction.<sup>38</sup> Furthermore, a shared common genetic background has also been observed in DCM and peripartum cardiomyopathy.<sup>17,39</sup> Currently, information about the mechanisms between genetic mutations and presenting phenotypes is very limited. Moreover, these genetic mutations may behave as pure disease modifiers and clinical phenotypes resulting from a series of maladaptive remodeling and interactions with genetic, epigenetic, and environmental factors.

Despite advances in heart failure therapies, DCM is still a leading cause of heart transplantation. Numerous clinical markers have been identified for the early prediction of advanced heart failure with a poor prognosis.<sup>40,41</sup> A genetic test is expected to improve the clinical outcomes of probands and their relatives by risk stratification, preventative measures and early treatment. For example, individuals with *LMNA* mutations invariably have conduction system disorders, atrial or ventricular arrhythmia, and severe ventricular dysfunction at a young age which lowers the threshold for device implantation and anti-arrhythmic treatment. However, the prognostic value of other genetic information in DCM is controversial. In an unselected DCM cohort, patients with *TTN* truncating variants had more severely impaired left Ventricle (LV) function, more sustained ventricular tachycardia, faster disease progression, and earlier events of death, cardiac transplant or left ventricular assist devices.<sup>19</sup> The presence of truncating *TTN* variants was considered to be an indicator of lower LVEF at 1 year follow-up.<sup>39</sup> Patients with rare variants in *MYH6*, *MYH7*, *MYBPC3* and *TNNT2* genes have also been reported to have a worse prognosis than noncarriers.<sup>42</sup> In contrast, other studies have shown no significant difference in clinical manifestations and outcomes among pa-

tients with or without *TTN* truncating mutations.<sup>15,18,27</sup>

Two single center cohort studies in Canada and Singapore concluded that patients with or without *TTN* truncating variants had a similar response to medical therapy<sup>14</sup> or left ventricular assist device support.<sup>43</sup> In this study, there were no significant differences in clinical manifestations and long-term adverse events between the patients with or without these variants. Although the number of patients in this study may be too small to identify differences between them, a recent, large, well-designed prospective cohort study in London also found no association among titin truncation, cardiac phenotype and outcomes in DCM.<sup>44</sup>

The molecular mechanisms for the pathogenesis of titin-truncating variants are unclear. About 1-2% of the general population has titin-truncating variants in the absence of DCM. Recently, eccentric remodeling was detected by high-resolution 2D and 3D cardiac magnetic resonance imaging in healthy individuals with titin-truncating variants. Moreover, another study showed that cardiac physiology and performance of rats with titin-truncating variants at baseline were also unremarkable, but became impaired during cardiac stress.<sup>45</sup> In metabolic and signaling analyses, rat hearts harboring titin-truncating variants had a shift in metabolism from fatty acids toward glycolysis and elevated mTORC1 signaling at baseline. These changes were commonly seen in failing or pressure-loaded hearts.<sup>45</sup> We suggest that individuals with titin-truncating variants may be in a compensated state at baseline, and only develop disease upon an environmental stimulus such as alcohol, pregnancy or chemotherapy. Thus, titin-truncating variant-associated molecular, physiologic, functional and geometric changes may precipitate future cardiac events compared to normal controls. Individuals with titin-truncating variants have a higher risk of disease development than those without variants, however the disease manifestations are similar to heart failure of other etiologies. Another possible explanation is position-dependent effects and penetrance of titin-truncating variants. In observational analysis, distal I-band and A-band of titin-truncating variants were found to be associated with the highest incidence of DCM. Simplified comparisons between all titin-truncating variants with others may attenuate the effects of true pathologic variants in disease manifestations. Further large-scale population studies

are needed to elucidate the associations between clinical manifestations and genotypes. Our results provide valuable information for the development of risk stratification tools and disease management strategies in clinical practice.

NGS technologies provide a quicker, cost-effective and comprehensive tool for analyzing genetically heterogeneous disorders. However, the greatest obstacle is to differentiate whether variants are affecting-function or innocent variants. For example, few individuals without overt cardiomyopathy also have titin-truncating variants.<sup>46,47</sup> In this study, we used high-coverage NGS sequencing, a stringent bioinformatics approach and accurate variant confirmation to identify relevant pathogenic mutations. Any variants present in the in-house genome database of 299 healthy individuals were excluded from analysis. Results of integrated analysis in more than 5000 individuals have shown that *TTN* truncating mutations within sarcomeric A band are likely to be pathogenic.<sup>19</sup> The A-band region, which provides repetitive binding sites for myosin-associated proteins, is critical for biomechanical sensing and signaling. The *TTN* truncating mutations (8/10, 80%) identified in this study were non-uniformly distributed in the sarcomeric A band region, which is consistent with previous conclusions. On account of the small number of families and limited availability of DNA samples from affected and unaffected members, we could not validate the pathogenicity of all identified variants in co-segregation analysis. Of the truncating variants, nonsense mutation p.R14191X was present in affected members but not in unaffected members, which increases the pathogenic evidence of this variant.

With regards to missense variants, the relevance in cardiomyopathy is still uncertain. In the Exome Sequencing Project cohort, 23 *TTN* missense variants per individual on average were found.<sup>16</sup> According to another DCM cohort study, more than a half of *TTN* missense variants, identified by stringent filtering criteria, demonstrated incompatible segregation with clinical phenotypes in their families.<sup>48</sup> In the current study, 29 rare missense variants were identified. From available DNA samples in distinct families, 3 variants, p.V11879I, p.P29444L and p.Y24227H revealed discordant segregation with phenotype, and missense variants were also present in the unaffected relatives. How-

ever, age-dependent penetrance is commonly observed in genetic DCM, in which a disease-causing mutation manifests an overt phenotype later in life, commonly in the fourth to sixth decades. In the study by Akinrinade et al.,<sup>27</sup> the disease penetrance increased with age from 53.8% at 50 years to 84.6% at 60 years and 100% at 70 years. The phenotype could not be fully assessed in the individuals aged < 60 years. Thus, we cannot conclude that these 3 missense variants were not relevant, because the majority of relatives in co-segregation analysis were < 50 years. These genetic variant carriers may still have an increased risk of developing cardiac dysfunction under superimposed stress, such as increased hemodynamic demands during pregnancy or cardiotoxic chemotherapy treatment or vulnerable to viral myocarditis.

## CONCLUSIONS

Our study results from a comprehensive target exon sequencing in DCM patients and healthy controls provide a reliable reference for genetic testing of DCM in a Chinese population. Several large families available for co-segregation analyses are needed to increase the strength of evidence in the pathogenicity of these variants. Further functional investigations on these variants may provide a better understanding and new insights into the pathogenesis of DCM.

## Limitations

Only 12 pre-specified DCM-associated genes were sequenced in this study. It is possible that some other rare genetic variants also occurred in other genes in our DCM cohort. Because we used inclusive criteria for DCM patient enrollment, there was probably selection bias for the patients with more advanced disease and fewer concomitant systemic diseases. Even with comprehensive family history acquisition, not all genetic materials from the relatives of probands were available for co-segregation analysis. In addition, the limited number of patients made the interpretation of the study results less reliable and confident. Further assessments of mRNA transcripts and protein levels in subjects with these rare variants may help to estimate the probability of the pathogenicity of these genetic variants.



**CONFLICT OF INTEREST**

All the authors declare no conflict of interest.

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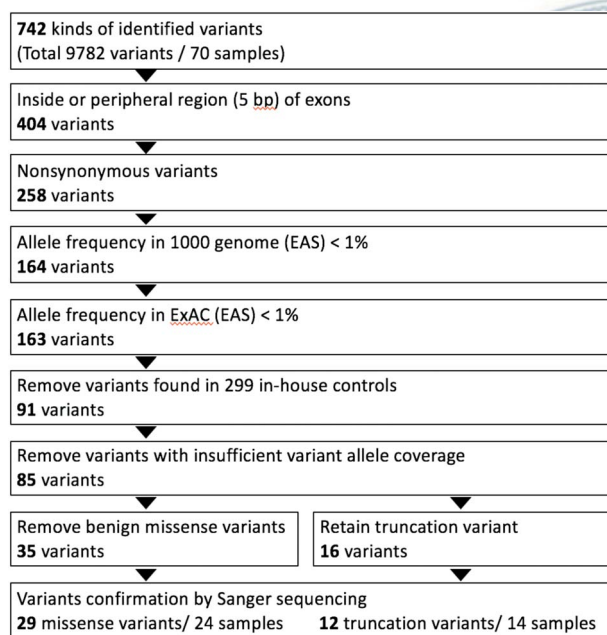
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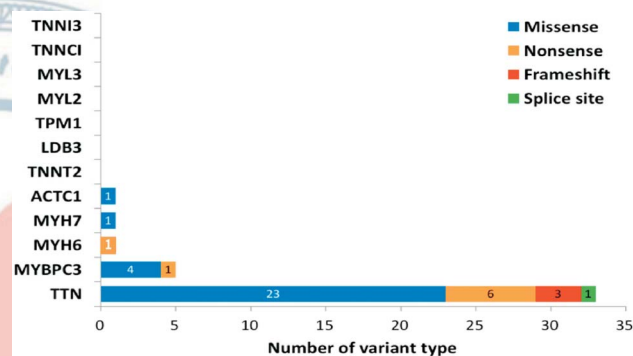
SUPPLEMENT

**Supplementary Table 1.** Twelve targeted genes sequencing for dilated cardiomyopathy in the study

Gene	Description	CDS (bps)	# of Exons
ACTC1	Actin $\alpha$ , cardiac muscle	3693	7
LDB3	Lim domain-binding 3	4978	13
MYBPC3	Cardiac myosin-binding protein C	4217	35
MYH6	Myosin, heavy chain 6, cardiac muscle, a	5941	39
MYH7	$\beta$ - Myosin heavy chain 7, cardiac muscle	6044	39
MYL2	Regulatory myosin light chain 2, cardiac, slow	855	7
MYL3	Myosin light chain 3, alkali; ventricular, skeletal, slow	942	7
TNNC1	Troponin C, type 1	705	6
TNNI3	Troponin I type 3, cardiac	866	8
TNNT2	Troponin T type 2, cardiac	1195	16
TPM1	Tropomyosin 1, $\alpha$	1797	9
TTN	Titin	281434	367



**Supplementary Figure 1.** The diagram of genetic variants analyzing and filtering workflow in this study. A customized dilated cardiomyopathy (DCM) panel and Ion torrent PGM sequencer were used for genetic screening in 70 DCM patients. Residual variant numbers after each step of analyzing and filtering processes were listed in the figure. Identified variants were classified as missense and truncation variants (nonsense, splice site, insertion-deletion).



**Supplementary Figure 2.** The bar diagram demonstrated the numbers and distribution of genetic variants in twelve disease-associated genes. The number of selected variant types was counted and their distribution in associated genes are shown in the graph.

**Supplementary Table 2.** List of *TTN* missense variants identified in the study group

Patient ID	Mutation type	AA change (Q8WZ42-1)	Exon	Domain	Nucleotide change (NM_001256850)	dbSNP	ClinVar	AMA and ACMG recommended guidelines	SIFT	Polyphen2	Mutation taster	1000 Genomes ASN	ExAC ASN	Normal control (allele fre, %)	Non-TTN gene mutation
<i>I-band</i>															
NGS072	Missense	p.A9522V	103	Unique sequence	c.C28565T	rs578069922		Uncertain significance	D	D	D	0.001	0	0	
NGS009	Missense	p.V11879I	169	PEVK 27	c.G35635A	rs587780488	Likely benign	Uncertain significance	D	D	D	0	0	0	
NGS059	Missense	p.R12167H	176	I81 Ig	c.G36500A	-		Uncertain significance	D	D	D	0	0.0002	0	
NGS064	Missense	p.P13572S	197	I92 Ig	c.C40714T	rs867112278		Uncertain significance	D	P	D	0	0	0	
<i>A-band (D-zone)</i>															
NGS066	Missense	p.R15445H	221	A12 FN3	c.G46334A	rs72632860	-	Uncertain significance	D	D	D	0	0.0002	0	
NGS014	Missense	p.V17255M	241	A25 FN3	c.G51763A	rs370629962	Likely benign; Uncertain significance	Uncertain significance	D	P	D	0	0.0012	0	
NGS041	Missense	p.I17637V	245	A27 FN3	c.A52909G	rs56025724	-	Uncertain significance	D	D	D	0	0	0	
<i>A-band (C-zone)</i>															
NGS031	Missense	p.F21385S	274	A113 Ig	c.T64154C	rs375365023	-	Uncertain significance	D	P	D	0	0	0	
NGS017	Missense	p.P22706L	276	A65 FN3	c.C68117T	rs762412998	-	Uncertain significance	D	D	D	0	0	0	
NGS011	Missense	p.N23202D	276	A68 FN3	c.A69604G	rs373527654	Uncertain significance	Uncertain significance	D	D	D	0	0.0005	0	
NGS013	Missense	p.Y24227H	276	A121 Ig	c.T72679C	rs755691336	-	Uncertain significance	D	D	D	0	0.0007	0	
NGS018	Missense	p.G24255V	276	A76 FN3	c.G72764T	rs766283033	-	Uncertain significance	D	D	D	0	0.0006	0	MYBPC3: p.P186L; p.Q508X
NGS059	Missense	p.L24309F	276	A76 FN3	c.C72925T	rs376814602	Uncertain significance	Uncertain significance	D	D	D	0.003	0.0022	0	

Supplementary Table 2. Continued

Patient ID	Mutation type	AA change (Q8WZ42-1)	Exon	Domain	Nucleotide change (NM_001256850)	dbSNP	ClinVar	AMA and ACMG recommended SIFT Polyphen2 guidelines	Mutation taster	1000 Genomes ASN	ExAC ASN	Normal control (allele fre, %)	Non-TTN gene mutation
NGS039	Missense	p.C25096S	276	A82 FN3	c.T75286A	rs566764105	Uncertain significance	Uncertain	D	0.001	0.0001	0	
NGS066	Missense	p.R25922C	276	A88 FN3	c.C77764T	rs72648214	Uncertain significance	Uncertain	D	0	0.0001	0	
NGS027	Missense	p.P26910T	276	Unique sequence	c.C80728A	rs142478636	Likely benign	Uncertain	D	0	0.0001	0	
NGS038	Missense	p.R27734C	280	A102 FN3	c.C83200T	rs368439674	Uncertain significance	Uncertain	D	0	0.0006	0	
NGS064	Missense	p.S28585P	285	A108 FN3	c.T85753C	rs133412265	-	Uncertain	D	0	0	0	
NGS069	Missense	p.H29228Y	289	A113 FN3	c.C87682T	-	-	Uncertain	D	0	0	0	
NGS022	Missense	p.P29444L	289	A114 FN3	c.C88331T	rs549841864	Likely benign; Uncertain significance	Uncertain	D	0.001	0.0015	0	
NGS075	Missense	p.P29444L	289	A114 FN3	c.C88331T	rs549841864	Likely benign; Uncertain significance	Uncertain	D	0.001	0.0015	0	
NGS020	Missense	p.T30729N	298	A138 lg	c.C92186A	rs146098114	Uncertain significance	Uncertain	D	0	0.0007	0	
NGS018	Missense	p.E31056D	301	A125 FN3	c.A93168C	rs748057839	-	Uncertain	D	0	0.0005	0	MYBPC3: p.P186L; p.Q508X
<i>M-band</i>													
NGS011	Missense	p.K31616T	305	Unique sequence	c.A94847C	rs766867347	-	Uncertain	D	0	0.0008	0	

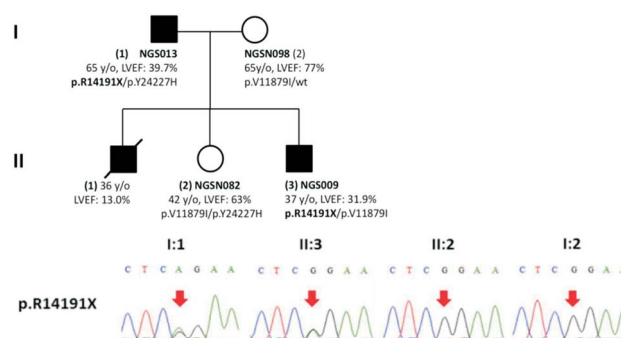
D, Deleterious/Disease causing; P, probably damaging.

**Supplementary Table 3.** List of rare variants in ACTC1, MYBPC3, MYH6 and MYH7 genes

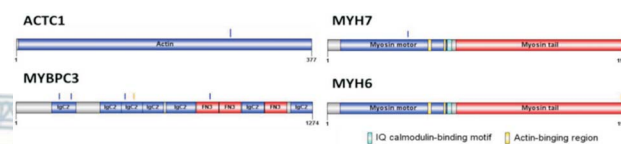
Patient ID	Gene	Mutation type	AA change	Exon	Domain	Nucleotide change	dbSNP	ClinVar	AMA and ACMG recommended guidelines	SIFT	Polyphen2	Mutation taster	1000 Genomes ASN	ExAC ASN	Normal control (allele fre, %)
NGS056	ACTC1	Missense	p.A274P	6	Actin	c.G820C	-	-	Uncertain significance	D	D	D	0	0	0
NGS024	MYBPC3	Missense	p.R238H	6	IgC-1	c.G713A	rs727504396	Uncertain significance	Uncertain significance	D	D	D	0	0	0.0004
NGS018	MYBPC3	Nonsense	p.Q508X	16	IgC-3	c.C1522T	rs730880544	Pathogenic	Likely pathogenic	T	-	A	0	0	0
NGS070	MYBPC3	Missense	p.G835E	24	IgC-3	c.2504_2505TT	-	-	Uncertain significance	-	-	D	0	0	0
NGS028	MYBPC3	Missense	p.R470Q	15	IgC-3	c.G1409A	rs776734314	Uncertain significance	Uncertain significance	D	D	D	0	0	0
NGS018	MYBPC3	Missense	p.P186L	5	IgC-1	c.C557T	rs727503216	Uncertain significance	Uncertain significance	D	P	D	0	0.0003	0
NGS021	MYH6	Nonsense	p.R1923X	38	Myosin tail	c.C5767T	rs765861895	Uncertain significance	Likely pathogenic	T	-	A	0	0	0
NGS015	MYH7	Missense	p.P527S	16	Myosin motor	c.C1579T	rs1437377039	-	Uncertain significance	D	P	D	0	0	0

\* Coding reference used in this table: ACTC1, NM\_005159; MYBPC3, NM\_000256; MYH6, NM\_002471; MYH7, NM\_000257.

A, disease causing automatic, D, Deleterious/Disease causing; P, probably damaging; T, tolerated.



**Supplementary Figure 3.** The pedigree of familial dilated cardiomyopathy and their genetic variants in individuals of NGS013, NGS009, NGS080 and NGS083. (A) Pedigree of this family. (B) Results of Sanger sequencing of p.R14191X.



**Supplementary Figure 4.** The spatial distribution of variants in ACTC1, MYBPC3, MYH6 and MYH7 proteins. Blue bars indicate the locations of missense variants. Orange color bar indicate the nonsense variant.