



# Cooperation and spatial self-organization determine rate and efficiency of particulate organic matter degradation in marine bacteria

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Edited by Steven E. Lindow, University of California, Berkeley, CA, and approved October 4, 2019 (received for review June 3, 2019)

**The recycling of particulate organic matter (POM) by microbes is a key part of the global carbon cycle. This process is mediated by the extracellular hydrolysis of polysaccharides, which can trigger social behaviors in bacteria resulting from the production of public goods. Despite the potential importance of public good-mediated interactions, their relevance in the environment remains unclear. In this study, we developed a computational and experimental model system to address this challenge and studied how the POM depolymerization rate and its uptake efficiency (2 main ecosystem function parameters) depended on social interactions and spatial self-organization on particle surfaces. We found an emergent trade-off between rate and efficiency resulting from the competition between oligosaccharide diffusion and cellular uptake, with low rate and high efficiency being achieved through cell-to-cell cooperation between degraders. Bacteria cooperated by aggregating in cell clusters of ~10 to 20  $\mu\text{m}$ , in which cells were able to share public goods. This phenomenon, which was independent of any explicit group-level regulation, led to the emergence of critical cell concentrations below which degradation did not occur, despite all resources being available in excess. In contrast, when particles were labile and turnover rates were high, aggregation promoted competition and decreased the efficiency of carbon use. Our study shows how social interactions and cell aggregation determine the rate and efficiency of particulate carbon turnover in environmentally relevant scenarios.**

microbial cooperation | self-organization | marine microbes | particulate organic matter | public goods

The microbial breakdown of complex polysaccharides is a key ecosystem process that enables the recycling of carbon from plant and animal detritus into global biogeochemical cycles. Polysaccharide breakdown by heterotrophic microbes is a relevant process in all ecosystems, from animal guts (1–3) to soils (4, 5) and oceans (6–8), allowing the deconstruction of complex forms of organic matter. In aquatic environments, many of these polysaccharides are packed inside particles ~10 to 1,000  $\mu\text{m}$  in diameter, and are accessible only to microbes that interact with the particle surface and secrete hydrolytic enzymes (9, 10). Particulate organic matter (POM) provides a scaffold for cells to attach to and grow in close proximity, increasing the opportunity for microbial interactions to take place (11). A particularly relevant type of interaction in this context is cell–cell cooperation, in which cells mutually benefit from being close to each other by increasing the availability of public goods such as extracellular hydrolysis products (12–14). Although numerous studies have demonstrated that this type of interaction can occur both in the laboratory and in nature, we lack a quantitative understanding of the conditions in which cooperation takes place in an environment such as the ocean, and how it can affect bacterially mediated functions such as the turnover of POM. In this article, we ask how physiological and environmental parameters create the conditions for cell–cell cooperation to take place during the hydrolysis of polysaccharide particles, and we quantify the effect of this social behavior on the turnover of POM.

The extent to which social interactions mediated by public goods change the functioning of polysaccharide-degrading microbial populations should be highly dependent on how public goods diffuse (15, 16). In a 3D aqueous environment such as the ocean, if cells are too far apart, only a minuscule fraction of the public goods are recovered by neighbors, while the rest is lost to the environment. In contrast, if cells are sufficiently proximal to each other and the resource is limiting, growth kinetics can be cooperative, meaning that the per capita growth rate is positively dependent on the density of degrader cells (14, 17). This logic suggests that cooperation could be accompanied by the emergence of spatial patterns, such as cell patches (13). If the cooperative effects in these patches are strong, critical population density thresholds might emerge below which degradation cannot support population growth (12, 13, 17, 18). Less clear is the contribution of individual cell behaviors, such as surface attachment, chemotaxis, and biofilm formation, on the ability of cells to find those critical densities by aggregating into cell patches. To begin to understand the role of social interactions in natural systems, we need to take into account the physical constraints of the microenvironment and how populations interact with these constraints through their behaviors.

## Significance

**Microorganisms can cooperate by secreting public goods that benefit local neighbors; however, the conditions that favor cooperative growth in the environment, and the way in which this growth alters microbes' contribution to ecosystem functions, remain unexplored. Here, we show that cooperation mediates the degradation of polysaccharide particles recalcitrant to hydrolysis in aquatic environments. Combining experiments and models, we define the physiological and environmental parameters that mediate the transition from cooperation to competition. Cooperation emerges through the self-organization of cells into ~10- to 20- $\mu\text{m}$  clusters that enable uptake of diffusible hydrolysis products. When cooperation is required, the degradation of recalcitrant biopolymers can only take place when degraders exceed a critical cell concentration, underscoring the importance of microbial interactions for ecosystem function.**

Author contributions: A.E., J.S., and O.X.C. designed research; A.E. and J.S. performed research; A.E., J.S., and O.X.C. analyzed data; and A.E., J.S., and O.X.C. wrote the paper.

The authors declare no competing interest.

This article is a PNAS Direct Submission.

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1908512116/-DCSupplemental](https://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1908512116/-DCSupplemental).

First published October 30, 2019.



and starch (Fig. 1B). This led us to ask how variation in particle lability,  $K_p$ , affected population growth dynamics and the relationship between the rate of POM depolymerization and the uptake efficiency of released oligosaccharides.

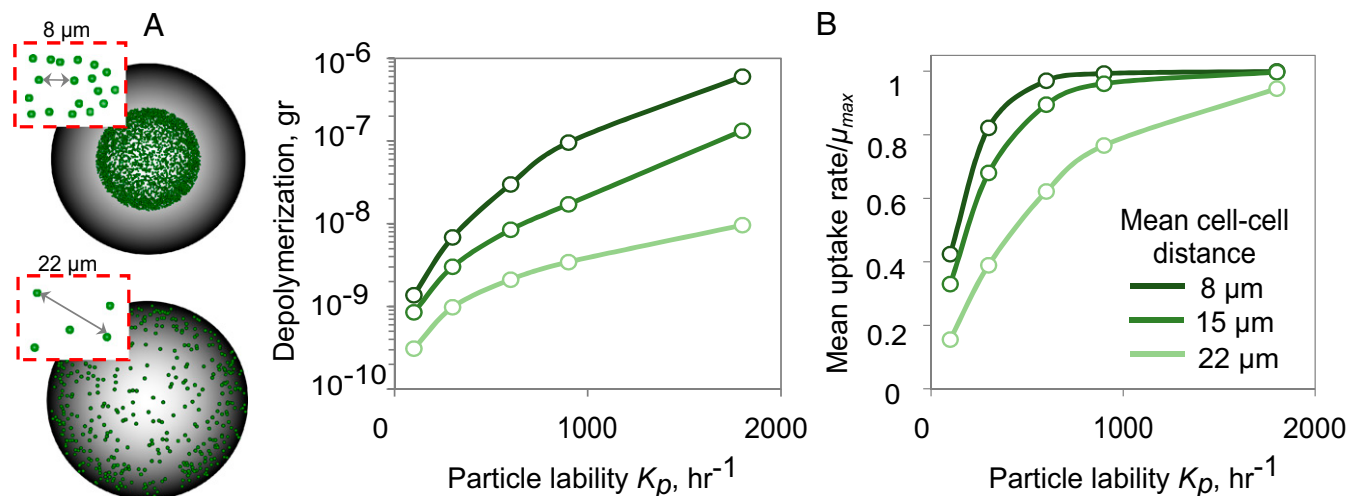
Our results revealed that among particle-associated bacteria, the rates of depolymerization (represented by particle lability) were negatively dependent on POM uptake efficiency (Fig. 1C) (27, 28). This emergent rate/efficiency trade-off was a consequence of the diffusion of oligosaccharide in a 3D environment where soluble products that were not taken up by cells in the vicinity of the particle were lost. At high values of  $K_p$ , oligosaccharides were produced in excess of  $K_s$ , the half-saturation constant of the Monod growth function, and therefore cells approached their maximum growth rate. However, high  $K_p$  also led  $\sim 99\%$  of hydrolyzed oligosaccharide to be lost to diffusion ( $\sim 1\%$  recovery), which reduced the theoretical biomass yield of the population and the POM uptake efficiency. For comparison, if the system was closed, as in a laboratory reactor, POM uptake efficiency could theoretically reach 100% because dissolved oligosaccharides would accumulate (SI Appendix, Fig. S1).

Natural environments are rarely, if ever, closed systems. Using parameters based on field measurements, our simulation supports the idea that diffusive losses are likely to limit POM uptake efficiency in the ocean (SI Appendix, Table S3). Even at the highest particle colonization densities reported from field studies, the maximum POM uptake efficiency should not exceed  $\sim 7\%$  according to our simulations (SI Appendix, Table S3). This number goes down to 2% if we take into account the fact that particles sink, creating a convective flow that washes away soluble oligosaccharides (29–31) (see SI Appendix, Figs. S2–S5 for simulation results with flow). Although the exact value of POM uptake efficiency depends on biological parameters such as substrate affinity ( $1/K_s$ ; SI Appendix, Fig. S6), our data show that in open environments, uptake efficiency is extremely low, implying that most POM is turned into dissolved organic matter (DOM), rather than biomass. Moreover, depolymerization rate and uptake efficiency are bound to be anticorrelated due to the limited capacity of cells to take up diffusible oligosaccharides.

Surprisingly, we found that the high POM uptake efficiency observed at low  $K_p$  (recalcitrant particles or low enzymatic activity per cell) was mediated by the aggregation of cells into microscale patches on the particle surface, a phenomenon that

was not hardcoded in the model but emerged from the interplay among diffusion, cell behavior, and growth (Fig. 1C and SI Appendix, Fig. S7). Within these patches, cells grew cooperatively by sharing oligosaccharides that would otherwise be lost to diffusion, which increased the per capita growth rate and POM uptake efficiency up to a density of 0.3 cells/ $\mu\text{m}^2$  (SI Appendix, Figs. S8 and S9). To characterize the spatial-density dependence, we performed simulations to quantify particle depolymerization and mean growth rates as a function of the intercell distance (Fig. 2A). Our analysis showed that dense spacing (a nearest neighbor distance of 8  $\mu\text{m}$  among 1- $\mu\text{m}$  cells) promoted cooperation by sharing of oligosaccharides, but only when particles were recalcitrant and the oligosaccharide production rate was slow ( $K_p < 100 \text{ h}^{-1}$ ; Fig. 2B). More precisely, when the amount of oligosaccharide available to cells fell near  $K_s$ , the half-saturation of the Monod growth curve, an increase in the local concentration of oligosaccharide because of cell-cell aggregation, increased the per capita growth rate. In contrast, at high  $K_p$  ( $\sim 2,000 \text{ h}^{-1}$ ), oligosaccharides quickly accumulated, and the uptake rate was decoupled from the spatial organization of the cells on the particle, as there were enough resources for cells to grow at their maximal rate ( $[C] \gg K_s$ ; Fig. 2B). Under these conditions, there was no benefit to aggregation, and even cells spaced more than 20 cell lengths apart reached their maximum oligosaccharide uptake rate (Fig. 2B).

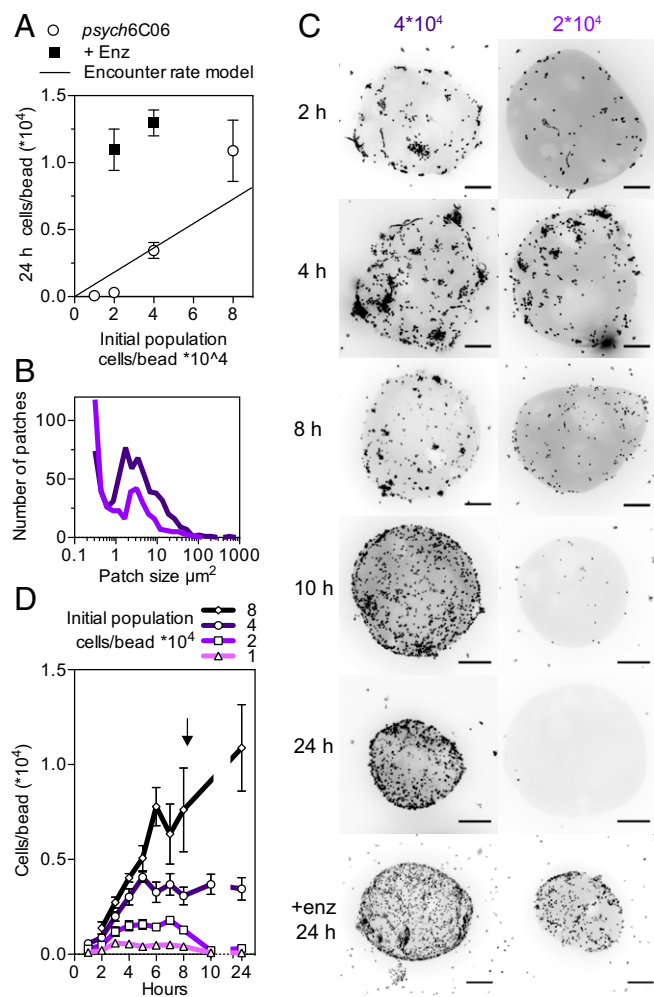
In our model, cell detachment and reattachment from the particle surface was a critical behavior that enabled the formation of patches and the degradation of recalcitrant particles. On recalcitrant particles ( $K_p = 10\text{--}100 \text{ h}^{-1}$ ), 1% detachment significantly increased the particle degradation rate and its uptake efficiency (Fig. 3A) and also increased the mean carbon uptake rate by a factor of  $\sim 5$  (SI Appendix, Fig. S9A) compared with a nondetaching population. Detachment allowed populations to survive on recalcitrant particles that might otherwise not sustain growth (SI Appendix, Fig. S9B). Without chemotaxis, random motility alone still allowed detaching populations to grow on more recalcitrant particles than nondetaching populations, but at  $\sim 1/6$  the maximum POM uptake efficiency (Fig. 3A) and  $\sim 1/10$  the total biomass accumulation (SI Appendix, Fig. S9B). With chemotactic motility, most cells had access to the same concentration of hydrolysis products emanating from cell patches; the distribution of carbon uptake rates for individual cells displayed a tight peak near the maximum uptake rate ( $\mu \sim 0.8\mu_{\text{max}}$ ;



**Fig. 2.** Patch formation on particle surface enhances the rates of polysaccharide depolymerization and breakdown product uptake. (A) The cell spatial distribution on particles for scenarios with 8- and 22- $\mu\text{m}$  mean cell distances are shown. (B) Total amount of depolymerization and mean uptake rate for a range of mean cell-cell distance are represented as a function of particle lability after 10 h from start of the simulation. The simulations are initialized by placing 1,000 individual cells uniformly on the particle at the indicated mean cell-cell distance between neighboring cells. No detachment is allowed in simulations.





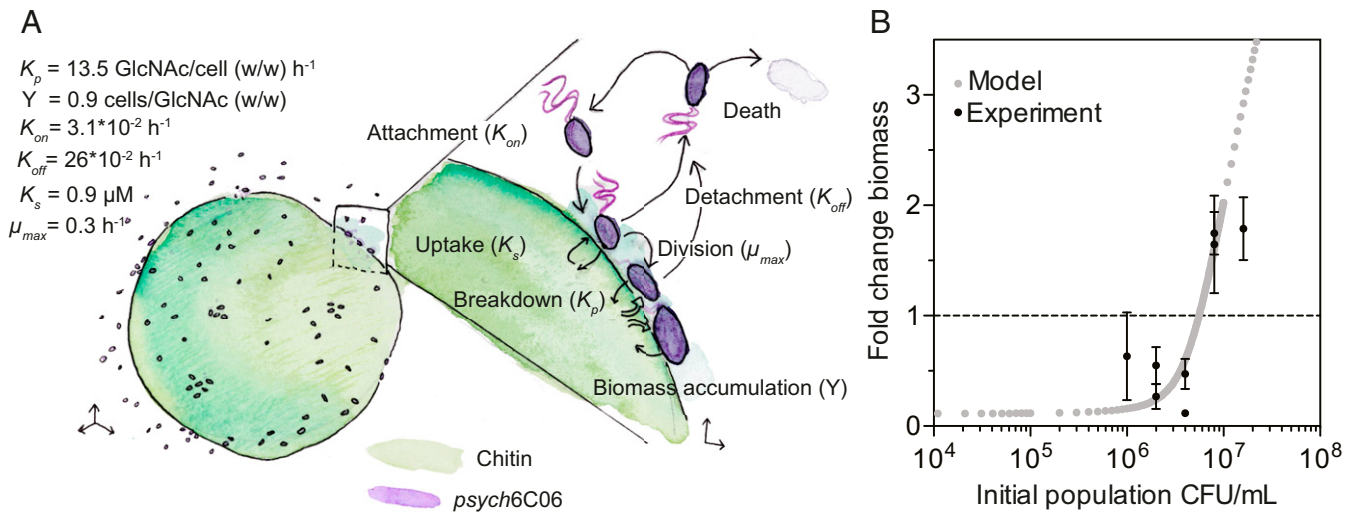


**Fig. 4.** Chitinase limitation and encounter rate drive critical population-density thresholds of chitin-degrading strain *psych6C06*. (A) Colonization of chitin particles by *psych6C06* shows dependence on initial population density (open symbols) and chitinase production (closed symbols). Solid line gives the predicted colonization density based on measured surface residence time, without division or death. Above a critical threshold (intercept of encounter rate model and experimental data), populations are able to survive at their initial density or grow. Below this threshold (gray shaded zone), populations are unable to maintain their initial density, and they go extinct. Adding exogenous chitinase (776 GlcNAc  $\mu\text{g}$  per hour, filled circles) allows populations below the critical density to maintain their size and grow. In comparison, the  $K_p$  of *psych6C06* chitinase is 13.5 g GlcNAc/g cells/h, meaning that the exogenous chitinase supplies the equivalent of  $7 \times 10^4$  cells/particle. (B) Distribution of *psych6C06* cells in patches after 4 h. Dark purple line indicates an initial population of  $4 \times 10^4$  and light purple line indicates an initial population of  $2 \times 10^4$ . (C) Representative images showing density-dependent colonization dynamics for initial populations of cells below ( $2 \times 10^4$  cells/particle) and above ( $4 \times 10^4$  cells/particle) the colonization threshold at times during colonization. +enz 24 h indicates the addition of exogenous chitinase, as earlier. (Scale bars, 20  $\mu\text{m}$ .) (D) Particle colonization dynamics for populations of *psych6C06* over the course of 24 h. Arrow indicates 8-h time, where populations below the critical threshold begin to decrease in density on chitin particles. Data points are combined from 3 experimental replicates. Initial population densities (cells/particle) are noted by symbols: diamonds,  $8 \times 10^4$ ; circles,  $4 \times 10^4$ ; squares,  $2 \times 10^4$ ; diamonds,  $1 \times 10^4$ . Error bars are SEM from at least 6 individual measurements of colonization density on chitin particles from 3 independent experiments (at least 18 measurements). Lines represent the mean trajectory for each cell density.

fewer and smaller patches than at higher densities (Fig. 4B), and by 8 h, the initial population began to die off (Fig. 4A and *SI Appendix, Fig. S10A*), meaning that the population was not able to

sustain the cell numbers needed to colonize particles or to degrade them (Fig. 4C and D). At the colonization threshold, cells were able to maintain their initial population size, but not to support net growth (Fig. 4A). The colonization density of the particles at the critical threshold matched the population size predicted by measured rates of *psych6C06* surface attachment and detachment (Fig. 4A and *SI Appendix, Fig. S10B and C*), suggesting that a viable population must be maintained off the particle, in addition to on its surface. To test whether low particle lability limited the persistence of the population, we artificially increased  $K_p$  by adding exogenous chitinase to supply 776  $\mu\text{g/h}$  GlcNAc, equivalent to the amount of chitinase produced by  $7 \times 10^4$  cells per particle *psych6C06*. Consistent with individual-based model results (Fig. 3D), the addition of the exogenous chitinase activity lowered the cell density-dependent threshold for colonization of the chitin particles (Fig. 4A), allowing the population to persist and grow on the particle (Fig. 4C). Taken together, our results show that oligosaccharide limitation leads to the emergence of cooperative growth behaviors.

Finally, we tested whether the population-density-dependent threshold for cell–cell cooperation can be quantitatively predicted from cell physiology. We calculated the particle attachment and detachment rates by quantifying cell density on particles (Fig. 5A and *SI Appendix, Fig. S10B and C*). Our measurements revealed rapid attachment and detachment rates (attachment rate,  $0.03 \text{ h}^{-1}$ ; detachment rate,  $0.26 \text{ h}^{-1}$ ; or equivalently a 3.8-h residence time). These rates were similar to those previously observed for natural marine bacterioplankton isolates (24) and were dependent on the density of cells off and on the particle, suggesting that *psych6C06* populations undergo frequent exchange and rearrangement, similar to what we observed in our simulations (Fig. 3A). We measured  $K_p$  for *psych6C06*, using the fluorescent substrate 4-methylumbelliferyl-*N*-acetyl- $\beta$ -D-glucosaminide, which detects the release of GlcNAc from chitin. We noted that very little chitinase activity was detected in the culture supernatant of *psych6C06*, but robust activity was associated with the cells themselves (Fig. 5A and *SI Appendix, Fig. S10D*), indicating that enzymes were membrane bound. Using methylumbelliferyl-conjugated substrates with different cleavage specificities, we determined that most of the *psych6C06* chitinase activity was derived from exochitinase, which releases GlcNAc as a product (Fig. 5A and *SI Appendix, Fig. S10E*). Thus, we assessed the GlcNAc to biomass conversion factor (the biomass yield) of this strain by direct measurement of sugar consumption and cell density in exponentially growing cultures (Fig. 5A and *SI Appendix, Fig. S10F*). We measured the growth rate of *psych6C06* on a range of GlcNAc concentrations, and used these substrate-limited growth measurements to derive  $\mu_{\text{max}}$  and  $K_s$  from a fit of the Monod growth equation (26) (Fig. 5A and *SI Appendix, Fig. S10G*). We parameterized a simplified version of the model with these measurements to describe population-level growth dynamics on a surface where cells can attach, detach, and grow as a function of the hydrolyzed product concentration (see *Methods* for a full description of the population-level model). This model assumes a rate of diffusive loss of oligosaccharides predicted from the individual-based simulations, and also that all cells on the surface experience the same environment (no local gradients). Using this simplified model, with no free parameters, we studied how the initial cell density determines the population-average colonization rate and the growth of bacteria on the particle surface. We found a remarkable quantitative agreement between this population-level model and our experiments, with critical thresholds predicted between initial densities of  $2.5 \times 10^4$  and  $5 \times 10^4$  cells/particle (Fig. 5B). Our analysis thus shows that cell-level physiology and behavior together with diffusion regulate the onset of social degradation of POM.



**Fig. 5.** Physiological traits predict the population-density dependence of growth on chitin particles by *psych6C06* in a diffusive environment. (A) Summary of measurements made to parameterize the population-level bottom-up model of *psych6C06* growth on chitin. The key parameter for each process is given in parentheses:  $K_{on}$ , rate of cell attachment to particles (SI Appendix, Fig. S10B);  $K_{off}$ , rate of cell detachment from particles (SI Appendix, Fig. S10C);  $K_p$ , rate of GlcNAc release by cell-associated chitinase (SI Appendix, Fig. S10D);  $Y$ , the yield of GlcNAc conversion to biomass during carbon-limited growth (SI Appendix, Fig. S10F);  $K_s$ , Monod half-saturation constant for GlcNAc (SI Appendix, Fig. S10G);  $\mu_{max}$ , maximum growth rate on GlcNAc (SI Appendix, Fig. S10G). wt/wt, ratio of weights. (B) Prediction of the fold change in biomass of *psych6C06* populations after 24 h of growth on a chitin surface with an analytical model based on *psych6C06* physiological parameters measured in SI Appendix, Fig. S10 (gray points). Black data points show the experimentally measured change in biomass from Fig. 3A. Dotted horizontal line indicates no biomass change. Error bars represent SEM from at least 3 measurements of particle colonization density.

## Discussion

Here we have shown that cell–cell cooperation, an emergent social behavior of populations, changes the rate and efficiency with which POM is broken down in aquatic environments. Cooperation emerged in populations as a result of 3 key physiological parameters: the affinity of cells for hydrolyzed oligosaccharide, the rate of polysaccharide hydrolysis, and the attachment and detachment of cells on/off the particle surface. These 3 parameters defined how public goods were shared among individuals in the population. Low hydrolysis rates favored cooperation because cell–cell clustering increased the uptake efficiency of soluble oligosaccharides. In contrast, when hydrolysis rates were high or when aggregates exceeded a certain size threshold, cell–cell clustering decreased the per capita access to resources, and instead promoted competition among cells. Remarkably, we observed that active rearrangement of cells caused the dissolution of cell clusters and mitigated competition, but decreased the per capita uptake efficiency. This observation also highlights the fact that in systems with potential for spatial self-organization, that is, most systems outside the laboratory, the balance between cooperation and competition can be delicate and is modulated by the intersection of physical processes with microbial physiology. Although our simulations reveal that physiology and diffusion alone are sufficient to explain the onset of cooperation, many bacteria actively regulate enzyme secretion and other group behaviors at the level of transcription in a density-dependent manner (32–35), potentially amplifying the effects described here.

Our study highlights 2 important consequences of bacteria–particle interactions in the ocean: most of the POM is lost to diffusion after hydrolysis, effectively turning into DOM, and density dependencies can control the degradation of recalcitrant polysaccharides. In simulations of an open, 3D environment, a faster depolymerization rate for labile particles meant that cells experienced higher local concentrations of oligosaccharide. However, a larger fraction of this oligosaccharide was lost to diffusion, thus reducing the final biomass yield of the population. Uptake efficiency was maximized on hard-to-degrade recalcitrant particles,

where cell–cell cooperation was required to secure access to enough resources to maintain the population size and grow. As a consequence, we observed the emergence of cell density thresholds associated with the onset of cooperation, which drastically changed the hydrolysis rate of particles. This result contrasts with the assumption that the breakdown of POM in the environment is primarily controlled by abiotic factors, and that bacteria degrade it at fixed per capita rates (36–40). Instead, we showed experimentally that degradation in an environment such as the ocean can be bacteria-limited. Compared with the complexity of POM breakdown by polysaccharide-degrading microbes in the ocean, the model developed in this study is vastly simplified. However, such an approach presents an opportunity to address the fundamental question of how cellular and population-level microbial processes affect the turnover of organic matter in the ocean (41), and in particular, at what spatial and temporal scales variation impacts the higher-order function of communities or ecosystems (42).

## Methods

**Individual-Based Model of Cell Behavior and Physiology.** The mathematical model represents metabolism, surface interaction, and flagellar motility of individual cells in 3D space in the presence of chemical gradients. We introduce an individual-based model (43, 44) to quantify single-cell interactions with organic particles by abstracting the structural heterogeneities of natural POM into a mathematically simpler spherical shape, while preserving some key physical and chemical processes associated with POM degradation. A spherical organic particle of 200  $\mu\text{m}$  radius is simulated such that it remains static in the middle of an aqueous volume ( $\sim 1 \text{ mm}^3$ ). Although natural organic matter aggregates may show various shapes and chemical compositions, we modeled particles as perfect spheres made of a single type of insoluble linear polysaccharides such as chitin, alginate, or cellulose. This computational model is inspired by experimental model systems used to study community assembly on marine POM (10, 20). The particle's size and its surface chemistry are assumed to be unchanged during particle degradation: only the particle density changes over time to satisfy mass conservation. This assumption is consistent with experimental observations that have shown no significant change in organic particle size during microbial degradation until the final stages of collapse (20). We simulated a scenario in which an isogenic population of cells is allowed to colonize and degrade a particle with a defined



volume. The simulations were started with zero oligosaccharides, and the particle was considered to be the sole carbon source.

To take into account the fact that cells might regulate their enzymatic activity, the model limits enzyme secretion to 2 scenarios: when cells adhere to the particle surface or when the rate of oligosaccharide supply exceeds the maintenance threshold. Importantly, our simulations ensure mass conservation between total carbon uptake and growth and loss of oligosaccharides. Individual cells are initialized as a uniform random distribution in the aqueous volume, and are allowed to disperse following gradients of chemoattractant (in this case, oligosaccharide). The cells can consume the oligosaccharide, grow, and divide to new daughter cells and experience a range of local conditions. A full derivation of the mathematical expressions and steps used for modeling of microbial growth, dispersal, and enzyme secretion can be found in the *SI Appendix*.

**Experimental Methods.** Strain *psych6C06* was previously isolated from an enrichment of nearshore coastal seawater (Nahant, MA) for surface-associated chitin degrading microbial communities (10, 20). The strain was maintained as colonies on Marine Broth 2216 (Difco 279110) with 1.5% agar (BD 214010). To establish exponential growth, we modified a culturing protocol previously developed for *Escherichia coli* K12 (45) and grew cells on a defined seawater medium with the *N*-acetyl- $\beta$ -D-glucosamine (GlcNAc) at the concentrations indicated. Chitin hydrogel particles (NEB) were washed and diluted to 200 to 250 particles per milliliter with size range from 40 to 100  $\mu$ m in diameter. The particles were rotated end over end at 21 °C to 25 °C. The density of inoculated cells was set to be at an absorbance at 600 nm, ( $A_{600}$ ) of 0.01, diluted from 20 mM GlcNAc minimal medium cultures prepared as described earlier. To visualize particles and their surface-associated bacteria, 200- $\mu$ l subsamples were stained with the DNA-intercalating dye SYTO9 (Thermo Fisher, S34854) at a 1:285 dilution of the stock in 96-well plates with optically clear plastic bottoms (VWR 10062-900).

Cell density measurements  $A_{600}$  of exponentially growing cells were used to measure the maximum cellular growth rate, and plating was used to

measure growth under GlcNAc limitation, from which we derived the half-saturation constant. GlcNAc depletion was measured during growth, using the dinitrosalicylic acid reagent method (46), and the depletion rate was used to calculate the biomass yield (*SI Appendix*).

Chitinase activity was quantified using methylumbelliferyl-conjugated substrates *N,N'*-diacetyl- $\beta$ -D-chitobioside, *N*-acetyl- $\beta$ -D-glucosaminide, and  $\beta$ -D-*N,N',N''*-triacetylchitotriose (Sigma C51030). Microscopy was performed on microconfocal high-content imaging system (ImageXpress Micro Confocal, Molecular Devices), using the 60- $\mu$ m pinhole spinning disk mode. Fluorescent signal was visualized with a LED light cube (Lumencore Spectra X light engine), and bandpass filters (ex 482/35 nm em 538/40 nm dichroic 506 nm), with a 40 $\times$  objective (Nikon Ph 2 S Plan Fluor ELWD ADM 0.60 NA cc 0 to 2 mm, correction collar set to 1.1), and a sCMOS detector (Andor Zyla). Image analysis was performed in MATLAB (release 2018a). Briefly, image stacks were split in half and a maximum intensity projection was obtained for each half. The low level of fluorescent signal associated with free dye in the hydrogel particles was used to define an intensity threshold suitable to create a binary mask for the particle projections. A mask of the cells on the particles was then defined using their brighter fluorescence intensity. We used this segmentation to quantify the total surface area occupied by the cells on the particle and to quantify the total surface area occupied by patches. We define patches as an area greater than that equivalent to 3 cells (>10  $\mu$ m<sup>2</sup>), where cells contact other cells or were in close proximity (<10  $\mu$ m from nearest neighbor). This definition is analogous to the spacing constraints defined in the individual-based simulation.

**ACKNOWLEDGMENTS.** We thank Lu Lu for technical assistance and all members of the O.X.C. laboratory for their support and critical feedback. We also thank Terry Hwa and members of his laboratory for discussions. This project was supported by Simons Early Career Award 410104 and the Simons Collaboration: Principles of Microbial Ecosystems, award number 542395. A.E. acknowledges funding from Swiss National Science Foundation: Grants P2EZP2 175128 and P400PB\_186751.

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