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#### **RESEARCH ARTICLE**

# The consequence of cerebral small vessel disease: Linking brain atrophy to motor impairment in the elderly

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#### Abstract

In the elderly, brain structural deficits and gait disturbances due to cerebral small vessel disease (CSVD) have been well demonstrated. The relationships among CSVD, brain atrophy, and motor impairment, however, are far from conclusive. Particularly, the effect of CSVD on subcortical nuclear atrophy, motor performance of upper extremities, and associating patterns between brain atrophy and motor impairment remains largely unknown. To address these gaps, this study recruited 770 community-dwelling subjects (35-82 years of age), including both CSVD and non-CSVD individuals. For each subject, four motor tests involving upper and lower extremities were completed. High-resolution structural MRI was applied to extract gray matter (GM) volume, white matter volume, cortical thickness, surface area, and subcortical nuclear (caudate, putamen, pallidum, and thalamus) volumes. The results showed worse motor performance of lower extremities but relatively preserved performance of upper extremities in the CSVD group. Intriguingly, there was a significant association between the worse performance of upper extremities and atrophy of whole-brain GM and pallidum in the CSVD group but not in the non-CSVD group. In addition, mediation analysis confirmed a functional CSVD-to-"brain atrophy"-to-"motor impairment" pathway, that is, a mediating role of thalamic atrophy in the CSVD effect on walking speed in the elderly, indicating that CSVD impairs walking performance through damaging the integrity of the thalamus in aging populations. These findings provide important insight into the functional consequences of CSVD and highlight the importance of evaluating upper extremities functions and exploring their brain mechanisms in CSVD populations during aging.

# KEYWORDS

brain atrophy, cerebral small vessel disease (CSVD), elderly, motor performance, thalamus

Ning Su, Xinyu Liang contributed equally to this study.

Abbreviations: CSVD, cerebral small vessel disease; FWE, family-wise error; GLM, general linear model; GM, gray matter; GMV, whole-brain gray matter volume; ICV, intracranial volume; MTG, middle temporal gyrus; RFT, random field theory; SD, standard deviation; WM, white matter; WMV, whole-brain white matter volume.

# 1 | INTRODUCTION

Impairment of motor abilities is quite common in the aging population (from approximately 10% between the ages of 60 and 69 years to more than 60% in those over 80 years of age) (Mahlknecht et al., 2013)

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and can increase the risks of falls, institutionalization, and mortality (van der Holst et al., 2016). A number of studies have demonstrated a contributing role of cerebral small vessel disease (CSVD) in the motor impairment of the elderly. The degree of two major imaging markers of CSVD, lacunar infarct and white matter hyperintensity (WMH), have been associated with gait parameters such as walking velocity and stride length in older people (de Laat et al., 2010; Pinter et al., 2017; Smith et al., 2015).

Notably, the motor impairment associated with CSVD cannot be fully explained by lacunar infarcts and WMH (i.e., the CSVD burdens). To further clarify the pathogenesis of motor impairment in CSVD, a few studies applied cutting-edge neuroimaging techniques to ascertain associations between brain abnormalities (other than the CSVD burdens) and motor impairment in CSVD individuals. Along this line, the disruption of white matter (WM) integrity in specific normal-appearing WM regions was found to be partly responsible for gait disturbances (de Laat et al., 2011; Kim et al., 2016) in CSVD, and gray matter atrophy (e.g., cortical thinning) was correlated with gait performance across CSVD individuals (de Laat et al., 2012; Kim et al., 2016; Rosano et al., 2008). However, the causal relationships among CSVD, brain atrophy, and motor impairment in the elderly are far from conclusive.

In the vast majority of these neuroimaging studies, correlational analyses were applied within a CSVD group, and no control group was included. This paradigm led to a lack of information on brain-motor relationships in a non-CSVD group, which might be different from those in a CSVD group. CSVD may alter associating patterns between brain structure and motor performance from normal aging conditions. In addition, previous neuroimaging studies have been restricted to gait-related parameters, mainly characterizing the motor performance of lower extremities. Consequently, the effect of CSVD on the motor performance of upper extremities and its association with brain atrophy are largely unknown. Finally, the subcortical nuclei (e.g., basal ganglia and thalamus), brain structures likely susceptive to CSVD, putatively play crucial roles in motor control functions, and their atrophy has been associated with worse walking performance in older people (Dumurgier et al., 2012; Rosano, Aizenstein, Studenski, & Newman, 2007; Rosano et al., 2008). However, to date, how the abnormalities of subcortical nuclei relate to motor impairment in CSVD has remained largely unexplored.

This study aimed to demonstrate how motor impairment of the elderly is associated with brain atrophy in CSVD. To overcome the issues mentioned above, a large sample from the community containing both CSVD and non-CSVD individuals was studied. For each subject, the motor function evaluation included four tests involving upper and lower extremities. A set of brain morphometric measures was computed from high-resolution structural MRI. We hypothesized that (1) substantial brain atrophy induced by CSVD would be observed and that (2) brain-motor relationships specific to motor performance of the upper or lower extremities may differ between CSVD and non-CSVD groups.

# 2 | MATERIALS AND METHODS

#### 2.1 | Study participants and clinical data collection

All subjects were from the Shunyi cohort study, an ongoing population-based study (Su et al., 2017). From June 2014 to April 2016, five villages were randomly chosen from the Shunyi district, a suburb area of Beijing. In each village, every household received a letter, inviting all inhabitants aged 35 years and above to participate in this cohort study. The response rate of participation was 79.9%, and a total of 909 subjects (aged 35 years and above) agreed to join the study and completed standard baseline assessments, brain MRI examination, and motor function evaluation. All participants signed an informed consent form. The Medical Review Ethics Committee of Peking Union Medical College Hospital approved the study (reference number: B-160).

Participants were entered into our analysis if they met the following criteria: (1) no history of stroke, (2) no sign of abnormalities in neurological examination, and (3) had a qualified high-resolution T1-weighted MRI image. Accordingly, we excluded 58 participants with a history of stroke, 14 with muscle strength levels lower than grade three or with involuntary movements owing to diseases other than stroke and 67 with poor MRI quality, leaving 770 participants in our final analysis. The baseline characteristics of participants included and not included in the current study were balanced, except that the included participants had a lower proportion of male and current smokers and a higher proportion of those with hyperlipidemia.

Cardiovascular risk factors were defined as follows: hypertension was defined as blood pressure  $\geq$ 140/90 mmHg; diabetes mellitus (DM) was defined as a fasting plasma glucose level  $\geq$ 7.0 mmol/L or 2 hr plasma glucose level  $\geq$ 11.1 mmol/L during an oral glucose tolerance test; hyperlipidemia was defined as total cholesterol >5.2 mmol/L or low-density lipoprotein >2.58 mmol/L; and current smoker was defined as an individual smoking at least one cigarette per day for more than 6 months before enrollment.

According to the commonly applied criterion (Lawrence, Chung, Morris, Markus, & Barrick, 2014; Takakusaki, 2017), the subjects with severe WMH of a Fazekas scale ≥2, that is, at least two in the Fazekas scale for either deep or periventricular WMH, and at least one lacune were determined to be a part of the CSVD group (68 subjects), and the rest were placed in the control group (702 subjects) (See Table 1).

#### 2.2 | Assessment of motor functions

For each participant, the motor functions of lower and upper extremities were quantitatively evaluated using 3-m walking, chair-stand, pronation-supination, and finger-tapping tests (Su et al., 2017). For details, please see the Supporting Information.

# 2.3 | MRI acquisition and postprocessing

MRI acquisition was performed from July 2014 to April 2016 using the same 3-Tesla Siemens Skyra scanner (Siemens; Erlangen, Germany). Three-dimensional T1-weighted, T2-weighted, and fluid-attenuated inversion recovery (FLAIR) images were acquired (for detailed MRI

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#### **TABLE 1** Characteristics of the study population

	Study population (n = 770)	Control group (n = 702)	CSVD group (n = 68)	Group differences (p value)
Demographics				
Age, years	57.2 (9.3)	56.3 (9.0)	66.2 (8.1)	<.001*
Female, no. (%)	501 (65.1)	474 (67.5)	27 (39.7)	<.001*
BMI, kg/m <sup>2</sup>	26.5 (3.7)	26.5 (3.6)	27.3 (3.9)	.082
Current smoker, no. (%)	165 (21.9)	143 (20.8)	22 (32.4)	.028*
Hypertension, no. (%)	387 (50.3)	333 (47.4)	54 (79.4)	<.001*
Hyperlipidemia, no. (%)	371 (48.2)	336 (47.9)	35 (51.5)	.570
DM, no. (%)	129 (16.8)	101 (14.4)	28 (41.2)	<.001*
Lacunes, no. (%)	117 (15.2)	49 (7.0)	68 (100.0)	<.001*
Number of lacunes	.35 (1.18)	.11 (.42)	2.76 (2.76)	<.001*
PVWMH	.94 (.81)	.80 (.70)	2.34 (.54)	<.001*
DWMH	.80 (.71)	.70 (.62)	1.79 (.74)	<.001*
Motor performance				
3-m walking, s	3.56 (.83)	3.50 (.73)	3.91 (.95)	.012**
Chair-stand, s	8.92 (2.11)	8.70 (1.72)	9.81 (2.36)	.005**
Pronation-supination, s	7.53 (1.65)	7.40 (1.50)	8.15 (1.61)	.046*
Finger-tapping, s	5.40 (1.75)	5.25 (1.50)	5.75 (1.88)	.788
Global measure				
ICV, mL	1,402.9 (123.8)	1,398.2 (121.3)	1,439.2 (125.9)	.184
GMV, mL	584.6 (53.1)	585.8 (52.1)	565.8 (49.9)	<.001**
WMV, mL	487.0 (56.1)	487.1 (54.7)	477.6 (56.1)	<.001**
Mean thickness, mm	3.1 (.1)	3.1 (.1)	3.0 (.2)	<.001**
Total area, cm <sup>2</sup>	1,720.4 (139.3)	1,720.4 (131.1)	1,769.6 (147.5)	.127
Subcortical nucleus				
Putamen, mL	9.92 (1.19)	9.98 (1.12)	9.41 (1.39)	<.001**
Pallidum, mL	3.61 (.54)	3.61 (.43)	3.48 (.60)	.019*
Caudate, mL	6.45 (.79)	6.45 (.75)	6.32 (1.01)	.105
Thalamus, mL	14.50 (1.35)	14.56 (1.23)	13.79 (1.26)	<.001**

Data are mean (SD) unless other indicated.

Abbreviations: BMI = body mass index; DM = diabetes mellitus; PVWMH, periventricular white matter hyperintensity (Fazekas grade 0 to 4); DWMH, deep white matter hyperintensity (Fazekas grade 0 to 4); ICV = whole-brain intracranial volume; GMV = whole-brain gray matter volume; WMV = whole-brain white matter volume.

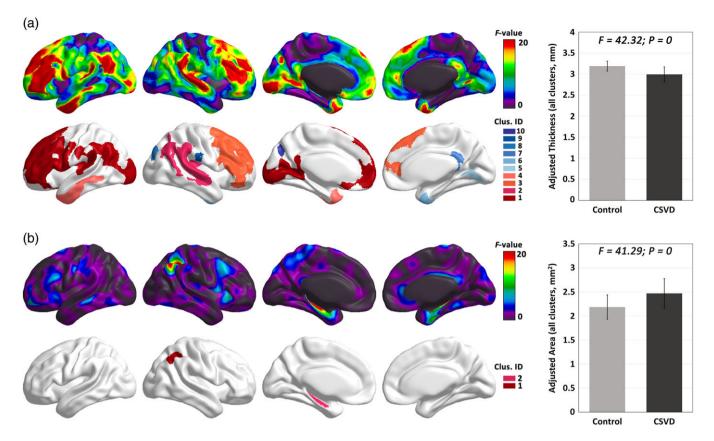
\*Uncorrected p < .05. \*\*Bonferroni corrected p < .05.

parameters, please see Supporting Information). WMHs were quantitatively assessed using FLAIR scans, which appeared normal or faintly hyperintense on the T1-weighted images. Periventricular white matter hyperintensities (PVWMHs) and deep white matter hyperintensities (DWMHs) were scored on axial FLAIR imaging using the Fazekas scale (Fazekas, Chawluk, Alavi, Hurtig, & Zimmerman, 1987). Individuals with severe WMH were defined as those with either PVWMHs or DWMHs rated at least two on the Fazekas scale. Lacunes were defined as focal lesions from 3 to 15 mm in size, which have the same signal characteristics as CSF on all sequences and are situated in the basal ganglia or white matter. Lacunar infarcts were rated on 3D T1-weighted images, and T2 and FLAIR images were used for confirming lesions. As described above, all participants were then divided into two groups: CSVD or control, using the obtained Fazekas scales and number of lacunes.

Using T1-weighted images, an automated issue segmentation was applied within the CIVET pipeline (see details in the Supporting Information), yielding three global volumetric measures: the intracranial volume (ICV), whole-brain gray matter volume (GMV), and wholebrain white matter volume (WMV), as well as the cortical thickness and surface area at the vertex level (Lerch & Evans, 2005). Next, four subcortical nuclei, that is, the caudate, pallidum, putamen, and thalamus, were accurately extracted using the FIRST algorithm embedded in the FSL (FMRIB Software Library, v5.0) (Patenaude, Smith, Kennedy, & Jenkinson, 2011). For each of these nuclei, the bilateral volumes were summed. We chose these four nuclei because of their well-known roles in motor functions, and other nuclei (e.g., the hippocampus and amygdala) were not considered to minimize the number of statistical comparisons.

# 2.4 | Statistical analysis

Given our inclusion criteria, there was no missing data in any domain for any subject who was entered into our statistical analysis. The twosample *t*-test or chi-square test, when appropriate, was applied to evaluate group differences in demographics.



**FIGURE 1** The group effect on cortical thickness and surface area at the vertex level. *F*-map of the group effect on cortical thickness (a) and surface area (b). Significant clusters (FWE-corrected p < .05) are depicted with colors. The mean value across all significant clusters for each group is illustrated. Compared to control, the CSVD group showed an extensive decrease in cortical thickness and an increase in surface area [Color figure can be viewed at wileyonlinelibrary.com]

<u>Step 1. Group effect on motor functions</u>: To assess group differences in motor functions, we used a general linear model (GLM) with the group as the main factor and age and gender as covariates. Corrected p < .05 was considered significant, and the Bonferroni method was applied to correct for the four comparisons as p < .05/4 = .0125.

<u>Step 2. Group effect on brain morphology</u>: To evaluate group differences in global measures and subcortical nuclear (i.e., caudate, pallidum, putamen, and thalamus) volume, similar GLMs were applied, in which the ICV was additionally included as a covariate. The Bonferroni correction was also used as p < .0125. Regarding the group effect on cortical morphology, the same GLMs were applied to cortical thickness or surface area on each vertex of the cerebral cortex on both hemispheres. The random field theory (RFT)-based method was used to correct for multiple vertex-wise comparisons, and cortical clusters with a family-wise error (FWE)-corrected p < .05 (uncorrected p < .001) were considered significant.

<u>Step 3. Group effect on brain-motor relations</u>: For each motor function, we further assessed the group effect on brain-motor relations by testing the "group × brain measure" interaction effect in GLMs, wherein "group," "brain measure," and "group × brain measure" were predictor variables and age, gender, and ICV were included as covariates. Here, the "brain measure" was the global measures, subcortical volume, cortical thickness, and surface area. A significant "group × brain measure" interaction effect indicated that the correlation between motor performance and brain measure differed between the CSVD and control groups. For the remaining "brain measures" showing no significant "group × brain measure" interaction, we further tested the "brain measure" effect after removing the "group × brain measure" interaction term in the linear model. Such a significant "brain measure" effect indicated a similar significant correlation between motor performance and brain measures in both groups. Like above, the Bonferroni (p < .05/16 = .0031) and RFT-based methods were applied when appropriate.

For all statistical analyses described above, outliers were defined as data points beyond three *SDs* from the mean for each group. For each analysis, all outliers were discarded. The number of outliers for each analysis is summarized in Supporting Information Table S1. All GLM analyses were implemented using SurfStat (Worsley, 2008).

<u>Step 4. Mediation analysis</u>: To determine whether specific brain measures play a mediating role in the CSVD effect on motor functions, we applied a mediation analysis by taking the group factor, brain measures, and motor scores as the predictor, mediator, and outcome, respectively. This type of analysis can be implemented using the Structure Equation Modeling approach, in which all paths are considered simultaneously (Valeri & Vanderweele, 2013). For simplicity, we chose a standard step-wise convention, including four-step tests: (1) path *c*: the group effect on motor performance (see *Step 1* above), that is, the total effect of the predictor on the outcome; (2) path *a*: the group effect on brain measures (see *Step 2* above); (3) path *b*: the correlation between brain measures and motor scores, after controlling for the group factor (see *Step 3* above); and (4) the  $a \times b$  effect, which was referred to as the indirect effect and was indicative of whether

#### TABLE 2 Brain morphometry-by-group interaction effects on motor scores

	Pronation-supination		Finger-tap	Finger-tapping		Chair-stand		3-m walking	
	F value	p value	F value	p value	F value	p value	F value	p value	
Global measure									
$GMV \times group$	13.99	<.001**	3.39	.07	4.63	.03*	3.34	.07	
$WMV \times group$	1.26	.26	1.92	.17	4.80	.03*	2.51	.11	
Mean thickness $\times$ group	5.87	.02*	3.72	.05	.88	.35	1.71	.19	
Total area $\times$ group	.19	.66	.18	.67	.14	.70	.77	.38	
Subcortical nucleus									
Putamen $\times$ group	.49	.48	2.88	.09	.14	.71	.43	.52	
Pallidum $ imes$ group	15.29	<.001**	9.60	.002**	8.14	.004*	2.68	.10	
Caudate $\times$ group	.70	.41	.05	.83	.77	.38	.86	.35	
Thalamus $ imes$ group	.35	.56	.09	.76	.76	.38	.41	.52	

Abbreviations: GMV = whole-brain gray matter volume; WMV = whole-brain white matter volume.

\*Uncorrected *p* < .05. \*\*Bonferroni corrected *p* < .05.

the predictor-outcome relationship was significantly reduced after controlling for the mediator. If all four tests reached the level of significance, the brain measures were considered to significantly mediate the group effect on the motor functions.

In fact, path *c*, path *a*, and path *b* were completed during earlier steps in the analysis (i.e., *Step 1, 2, and 3*). The remaining  $a \times b$  indirect effect was evaluated using the PROCESS macro implemented in SPSS (Hayes, 2018). A bootstrap method with 10,000 repetitions was used to estimate the confidence intervals for the indirect effects. An empirical 95% confidence interval that did not include zero indicated significance at the .05 level.

# 3 | RESULTS

#### 3.1 | Demographics

The demographic characteristics are summarized in Table 1. A total of 770 participants were included in this study with a mean age of 57.2 years and males accounting for 34.9%. All included subjects were divided into two groups: CSVD (68 subjects) and control (702 subjects) group. Compared with the control group, the CSVD group had a higher age and higher proportion of males, current smokers, and those with histories of hypertension and DM.

# 3.2 | Group differences in motor functions

As shown in Table 1, compared with controls, the CSVD group performed significantly worse in motor performance of lower extremities (3-m walking, p = .012; chair-stand, p = .005), after controlling for age and gender. For motor performance of upper extremities, there was a trend of impaired performance in the pronation-supination test in the CSVD group (p = .046 but did not survive the Bonferroni correction), and no significant group difference was observed in finger-tapping performance (p = .788).

# 3.3 | Group differences in brain morphology

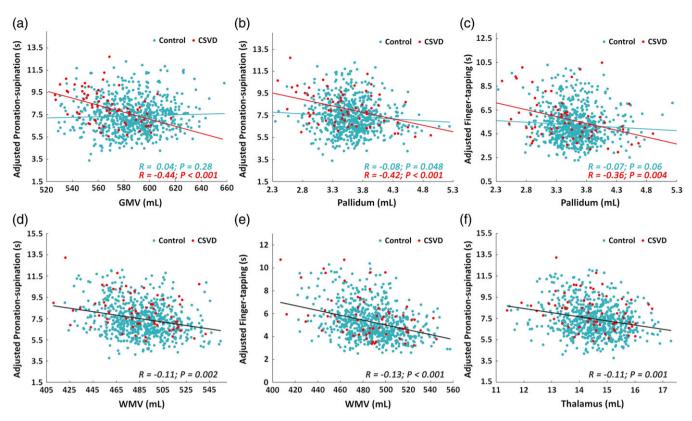
Age, gender, and ICV were included as covariates when evaluating the group effects on brain morphological measures. Regarding the global

brain morphological measures (Table 1), the CSVD group showed a significant overall atrophy of both GM (p < .001) and WM (p < .001) and a thinner cortex on average (mean thickness, p < .001). The total cortical surface area, however, showed no significant group difference (p = .127). Regarding the subcortical nuclei of interest, the putamen and thalamus exhibited a significant atrophy (p < .001 and p < .001, respectively), and the pallidum showed a trend of reduced volume in the CSVD group (p = .019 but did not survive the Bonferroni correction). There was no volumetric difference in the caudate between the two groups (p = .105).

The *F* value maps for the group effect on vertex-wise thickness and surface area across the entire cerebral cortex are illustrated in Figure 1. The cortical thickness exhibited a widespread effect of the group factor, with 10 clusters of a significant group difference (FWEcorrected p < .05). These clusters mainly covered the bilateral frontal lobe, bilateral tempo-parieto-occipital joint areas, bilateral superior temporal gyri and temporal pole, and left para-calcarine areas, and a consistent cortical thinning was observed in the CSVD group compared with cortex thickness in the controls. In contrast, the surface area exhibited a very focal group effect, and only two significant clusters were found, with one around the right angular gyrus and superior frontal gyrus and the other around the left para-hippocampal gyrus.

#### 3.4 | Interaction of group effects and brain measures

As illustrated in Table 2 and Figure 2a-c, there were significant "GMV × Group" (p < .001) and "Pallidum × Group" interaction effects (p < .001) on "pronation-supination" performance, and a significant "Pallidum × Group" interaction effect (p = .002) on "finger-tapping" performance, indicating that GMV-"pronation-supination," pallidum-"pronation-supination," and pallidum-"finger-tapping" relations differed between the CSVD and control groups. Specifically, the post hoc analyses further revealed that the relevant brain measures (i.e., GMV or pallidum volume) consistently showed a significant negative correlation with pronation-supination and finger-tapping performance only in the CSVD group but not in the control group (Figure 2a-c).



**FIGURE 2** Group differences in brain morphometry-motor relations. (a–c) scatter plots of significant brain morphometry-by-group interaction on motor scores (corrected p < .05). There was a significant negative correlation in the CSVD group (red) but not in the control group (blue). (d–f) scatter plots of significant negative correlations between brain morphometry and motor scores regardless of group (i.e., after controlling for group) [Color figure can be viewed at wileyonlinelibrary.com]

The cerebral cortical *F*-maps of the "group × thickness/area" interaction effect for all motor scores are illustrated in Supporting Information Figure S1. Only one cortical cluster around the right middle temporal gyrus (MTG) showed a significant "group × thickness" interaction on pronation-supination performance (FWE-corrected p < .001). Similarly, the cluster exhibited a significant negative correlation between the thickness of the MTG region and pronation-supination score in the CSVD group but not in the control group. No significant "group × thickness" interaction cluster was observed for the other motor scores. There were no

significant results for the "group  $\times$  area" interaction effect on all motor scores.

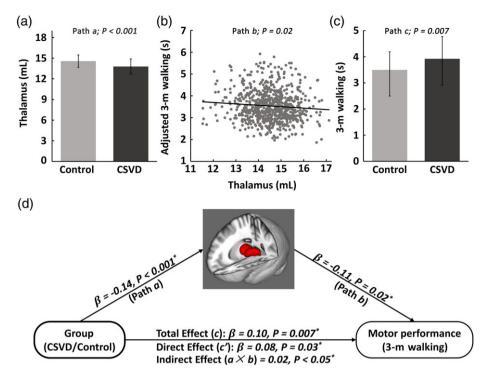
# 3.5 | Group-independent brain-motor relations

As illustrated in Table 3 and Figure 2d–f, we found three significant negative brain-motor correlations after controlling for group, age, gender, and ICV: (1) between WMV and pronation-supination performance ( $\beta$  = -.02, *p* = .002); (2) between WMV and finger-tapping performance ( $\beta$  = -.02, *p* < .001); (3) between thalamic volume and

**TABLE 3** Group-independent correlations between brain morphometry and motor scores

	Pronation-se	Pronation-supination		Finger-tapping		Chair-stand		3-m walking	
	T value	p value	T value	p value	T value	p value	T value	p value	
Global measure									
GMV	-	-	92	.36	.25	.80	-1.25	.21	
WMV	-3.04	.002**	-3.63	<.001**	-1.49	.14	97	.33	
Mean thickness	.00	.99	.45	.65	80	.42	92	.36	
Total area	1.36	.17	67	.51	.59	.55	.04	.97	
Subcortical nucleus									
Putamen	17	.86	-1.21	.23	-1.70	.09	62	.54	
Pallidum	-	-	-	-	92	.36	-2.84	.01*	
Caudate	.61	.54	.31	.75	1.31	.19	1.34	.18	
Thalamus	-3.20	.001**	-1.93	.05	-1.84	.07	-2.33	.02*	

Abbreviations: GMV = whole-brain gray matter volume; WMV = whole-brain white matter volume. \*Uncorrected p < .05.



**FIGURE 3** Mediation model of the CSVD-thalamus-walking pathway. (a) Path a: Significant CSVD group effect on thalamic volume. (b) Path b: Significant thalamus-walking correlation after controlling for group. (c) Path c: Significant CSVD group effect on 3-m walking performance. (d) Schematic diagram of the mediation model of the pathway from CSVD to thalamic atrophy to slower walking speed in the elderly [Color figure can be viewed at wileyonlinelibrary.com]

pronation-supination performance ( $\beta = -.39$ , p = .001). Across the cerebral surface with nonsignificant "group × thickness/area" interaction, there was one cluster around the cuneus that showed a significant correlation between cortical thickness and chair-stand performance (See Supporting Information Figure S2). No significant correlation was observed between cortical surface area and any of the motor performances.

# 3.6 | Mediation model from the CSVD to thalamic atrophy and impaired walking performance

Path *c*, path *a*, and path *b* of the mediation model correspond to the above-described group difference in motor function, group difference in brain measures, and group-independent brain-motor relations, respectively. Given the requirement for a significant path *c*, path *a*, and path *b*, there was only one candidate mediation path, in which the group factor, thalamic volume, and walking performance are the predictor, mediator, and outcome, respectively (path *c*,  $\beta = .10$ , p = .007; path *a*,  $\beta = -.14$ , p < .001; path *b*,  $\beta = -.11$ , p = .02). The bootstrap simulation (*n* = 10,000) further confirmed a significant indirect effect (effect size  $a \times b = .02$ , 95% confidence interval = [.0084, .0892], p < .05). Therefore, as illustrated in Figure 3, thalamic volume can be considered to significantly mediate the group effect on walking performance. That is, CSVD might partially impair 3-m walking speed through thalamic atrophy.

# 4 | DISCUSSION

Using a large community-dwelling cohort data set, this study provided novel insights into the mechanisms of brain damage and "motor

deficit" in CSVD by (1) demonstrating CSVD-induced alterations of specific relationships between brain morphometric measures and motor performance of upper extremities and (2) revealing one specific CSVD-to-"brain atrophy"-to-"motor deficit" pathway.

With regard to motor performance, the majority of CSVD studies have focused on gait parameters. To address this, our previous CSVD study assessed motor performance for both lower and upper extremities and demonstrated associations of CSVD imaging markers with motor performance of both lower and upper extremities in community-dwelling populations (Su et al., 2017). Using the same cohort data, our present study further applied comprehensive analyses of brain imaging data, yielding a variety of brain morphometric measures (e.g., brain tissue volume, deep nucleus size, cortical thickness, surface area). The relationships among CSVD, brain structural morphometry, and motor performance were then thoroughly investigated in contrast to our previous study, which simply focused on the relationship between CSVD and motor performance (Su et al., 2017). By dividing the entire population into a CSVD group and non-CSVD group, the present study found that 3-m walking and repeated chairstand performance were significantly worse in the CSVD group, highly consistent with our previous study that showed an association of WMHs (CSVD marker) with performance in these two lower extremity tasks (Su et al., 2017). These converging results strongly support a central role of WMHs or lacunes in lower motor performance of lower extremities in the elderly (Smith et al., 2015).

The upper extremities did not exhibit a significantly worse performance in the CSVD group, which is compatible with a previous study showing no direct relation between WMHs (CSVD marker) and motor function in upper extremities (Linortner et al., 2012). Intriguingly, however, we observed that the lower motor performance of upper extremities was associated with the atrophy of whole-brain GM and the pallidum in the CSVD group but not in the non-CSVD group. These results suggest that severe CVSD burdens can initiate a correlation between GM atrophy and lower motor performance in upper extremities. This effect might relate to specific mechanisms of motor functional reorganization at the neural level and warrants further investigation. These findings highlighted a differential influencing pattern of CSVD on motor performance between the lower and upper extremities, which deserves more attention.

Notably, worse performance in upper extremities was found to be correlated with WM atrophy in both the CSVD and non-CSVD groups. Accordingly, a few studies have reported that age-related declines in size and microstructural integrity of the corpus callosum (the biggest white matter tract) were crucial for bimanual control deficits in the elderly (Fling et al., 2011; Fujiyama et al., 2016), indicating the importance of WM integrity in motor performance of upper extremities. The motor performance of upper extremities is a relatively sophisticated skill, likely relying on heavy integration among different brain functions, such as visual, somatosensory, and motor functions (Shumway-Cook & Woollacott, 2015). Therefore, WM integrity underlying functional integration is crucial to motor abilities of upper extremities, and disruption of WM connections can lead to worse performance in upper motor abilities.

Like previous studies, the present study observed substantial CSVD-induced atrophy of GM/WM tissues and region-specific cortical thinning. WM atrophy could be a pathological consequence of subcortical lesions (e.g., WMH and lacunar infarcts in CSVD) that results in WM rarefaction and shrinkage (Appelman et al., 2009; Ikram, Vernooij, Vrooman, Hofman, & Breteler, 2009; Smith et al., 2015). Meanwhile, WM disruption may further lead to secondary degeneration of cerebral GM tissue, which is possibly represented by cortical thinning in the CSVD group (de Laat et al., 2011; Kim et al., 2011; Kim et al., 2016; Tuladhar et al., 2015). Notably, our observed region-specific cortical thinning in the CSVD group was mainly around the prefrontal and temporal cortex, which is in accordance with previous results (Kim et al., 2016; Tuladhar et al., 2015).

In addition to cortical GM and WM atrophy, the CSVD group also exhibited volumetric loss of deep subcortical nuclei, with a focus on the putamen and thalamus. This observation is compatible with previous studies showing an increased ventricular size and atrophy of the basal ganglia (as a whole) with increasing WM lesion (the major CSVD burden) (Aribisala et al., 2013; Wardlaw et al., 2013). The atrophy of the putamen and thalamus might be a consequence of direct vascular lesion or secondary degeneration. Due to their surrounding small perforating arteries from the middle and posterior cerebral arteries, the deep nuclei are vulnerable to the direct ischemic or hemorrhagic damage associated with CSVD (Gold et al., 2005; Pantoni, 2010). On the other hand, deep subcortical nuclei, especially the thalamus, have rich reciprocal connectivity with various brain regions and therefore are susceptible to secondary hypometabolism and apoptosis due to disturbances in subcortical WM integrity in CSVD (Bjorklund et al., 2014; Houtchens et al., 2007; Petito, Feldmann, Pulsinelli, & Plum, 1987; Pulsinelli, Brierley, & Plum, 1982).

Importantly, there was a correlation between the atrophy of the thalamus and the walking speed across both CSVD and non-CSVD subjects, indicating a thalamic input on gait in general. As an important relay hub within the motor circuit, specific neurons of the ventrolateral and reticular nucleus in the thalamus are modulated during locomotion and can transmit integrated signals to the motor cortex (Marlinski, Nilaweera, et al., 2012; Marlinski, Sirota, et al., 2012). Furthermore, thalamic degeneration has been proposed to cause severe deficits in motor initiation, control, and learning (Goldberg, Farries, & Fee, 2013). With regard to gait performance, a number of structural and functional imaging studies have demonstrated thalamic involvement in this specific motor function. For example, functional activation in the thalamus was observed during a walking task in healthy subjects but not in individuals with gait disorders, and the degree of thalamic activation was negatively correlated with gait performance scores (Iseki et al., 2010). Moreover, impaired thalamic activity was found to be related to the freezing of gait in Parkinson disease (Mi et al., 2017). Structurally, thalamic atrophy can partially account for the compromised walking speed in multiple sclerosis patients (Motl, Zivadinov, Bergsland, & Benedict, 2016). Additionally, thalamic tracts, such as the anterior thalamic radiation, are associated with gait stabilization in elderly (Bruijn, Van Impe, Duysens, & Swinnen, 2014). Together, these observations suggest an important role of the thalamus in gait performance, supporting the plausibility of our current observation. Our currently identified association between thalamic atrophy and slower gait speed, regardless of CSVD, further implies a robust effect of the thalamus on gait performance in the elderly.

Mediation analyses confirmed that the CSVD-induced thalamic atrophy mediates the effects of CSVD on slower walking speed in the elderly. That is, CSVD impaired the walking performance of the elderly through damaging the integrity of the thalamus. This pathway is of great value for elucidating functional mechanisms of CSVD because it provides answers to two important questions: (1) what neural structure is damaged by CSVD to impair motor performance? (2) What motor function is impaired by CSVD-induced thalamic damage in the elderly? However, it should be noted that this pathway is unlikely to be the only pathway by which CSVD influences the brain and motor functions; that is, other CSVD-to-brain damage-to-motor impairment pathways exist. For example, Kim et al. revealed that cortical thinning or disruption of WM integrity can also mediate the WMH effects on gait disturbances (Kim et al., 2016).

Notably, the mediation analysis in our present study is exploratory in nature. While the Bonferroni correction was used separately for path *a* (i.e., the CSVD effect on motor functions), path *b* (i.e., the CSVD effect on brain measures), and path *c* (i.e., brain-motor relations) of the mediation model, we did not apply the Bonferroni correction for all paths across all possible mediation models (i.e., all brain measures  $\times$  all motor tasks). The identified mediating path from CSVD to thalamic atrophy to walking deficit would not survive such a strict correction. In addition, inferring causation from CSVD to thalamic decline to gait impairment should be done with caution due to the crosssectional nature of the comparison in this study. A convincing causal inference from mediation models requires temporal precedence from the dependent variable to the mediator to the outcome variable (MacKinnon, Fairchild, & Fritz, 2007). For each individual in our data set, it is rational to assume that the CSVD markers occurred before the MRI scanning, and the individual's motor assessment was then performed shortly after the MRI scan was completed. Therefore, a temporal precedence from CSVD to the acquisition of the brain measurements to motor assessment held for each participant, theoretically supporting a causal mediating path. However, the interval between the onset of CSVD and the acquisition of the brain measurements is uncertain across subjects, and the interval between the acquisition of the brain measurements and motor performance was very short, possibly confounding the causal inference. In the future, longitudinal data with appropriate statistical models (Gollob & Reichardt, 1991; Kraemer, Wilson, Fairburn, & Agras, 2002) is highly warranted to validate this mediating path and its causation (Kraemer, Stice, Kazdin, Offord, & Kupfer, 2001).

Finally, this study has a few limitations. We used a communitydwelling cohort data set rather than a CSVD cohort or a case-control data set, resulting in an unbalanced sample size between the CSVD and non-CSVD groups, reflecting the actual occurrence of CSVD in community-dwelling populations. Although a contributing role of this sample size unbalance to our findings can be largely ruled out by the illustrated scatter plots, our results would ideally be validated with a balanced dataset in the future. Finally, participants with cognitive disorders such as mild cognitive impairment or mild dementia were not excluded in our analysis, possibly contaminating our results to some degree.

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N.S., X.Y.L., Y.C.Z, and G.G drafted the manuscript and X.Y.L. conducted the statistical analyses. Z.F.F. managed the database. Y.C.Z., L.Y.C., S.Y.Z., and Z.Y.J. contributed to the conception and design of the study and interpretation of the data. G.G. provided expertise on brain imaging analysis. F.T. was the technical expert for motor performance acquisition. All authors gave final approval for the version of the manuscript submitted for publication, and agree to be accountable for the work. Y.C.Z. and G.G. were responsible for the study conception and interpretation of data and had final responsibility for the decision to submit for publication.

#### CONFLICTS OF INTEREST

The authors have no conflicts of interest to disclose.

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# SUPPORTING INFORMATION

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