

Effect of Scanner in Longitudinal Diffusion Tensor Imaging Studies

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Abstract: The purpose of this study was to evaluate the effects of longitudinal drift in scanner hardware, inter-scanner variability (bias), and scanner upgrade on longitudinal changes in global and regional diffusion properties using longitudinal data obtained on two scanners of the exact same model at one institution. A total of 224 normal subjects were scanned twice, at an interval of about 1 year, using two 3.0-T scanners of the exact same model. Both scanners were simultaneously upgraded during the study period. The subjects were divided into four groups according to the combination of scanners used. With use of tract-based spatial statistics, we evaluated the effects of scanner drift and inter-scanner variability (bias) on global and regional fractional anisotropy (FA), axial diffusivity (AD), and radial diffusivity (RD) changes of the white matter. Even with scanners of the exact same model, inter-scanner variability (bias) significantly affected longitudinal results. FA, AD, and RD of the white matter were relatively stable within the same scanner. We also investigated the effect of scanner upgrade on longitudinal FA, AD, and RD changes. The scanner upgrade included only software upgrade, not hardware upgrade; however, there was a significant effect of scanner upgrade on longitudinal results. These results indicate that inter-scanner variability and scanner upgrade can significantly affect the results of longitudinal diffusion tensor imaging studies. *Hum Brain Mapp* 33:466–477, 2012. © 2011 Wiley Periodicals, Inc.

Key words: bias; diffusion tensor imaging; drift; longitudinal study; magnetic resonance imaging; reliability; reproducibility; scanner; upgrade; variability

INTRODUCTION

Diffusion tensor imaging (DTI) is a magnetic resonance (MR) imaging technique that is sensitive to the random thermal motions of water and can provide contrasts which give insight about tissue architecture. DTI has been widely

used to study the integrity of white matter tracts in healthy brains and in a variety of neurological diseases. Longitudinal and multi-center neuroimaging studies have more power than smaller studies to conduct sophisticated studies of basic neuroanatomy and clinical disorders. Our current knowledge on the effects of normal aging and

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clinical disorders on the integrity of white matter is derived mostly from cross-sectional studies. However, the large amount of between-subject variability that exists in normal brains reduces the sensitivity of methods to detect white matter integrity changes. Longitudinal studies avoid some of the problems of secular trends and between-subject variation, as each subject forms his or her own control. DTI may be a promising marker to follow longitudinal changes in white matter tracts in normal aging and neurodegenerative diseases. However, for longitudinal studies to be feasible, it is imperative to establish that DTI measurements can be made reliably on individual subjects [Danielian et al., 2010].

The large number of subjects resulting from pooling multi-scanner data-sets has numerous advantages. It increases sensitivity thus allowing detection of subtle effects. Additionally, pooling offers increased reliability and confidence about the size of effect by averaging out unforeseen confounds. However, one important confound of combining images obtained from different scanners is the potential for scanner effects to introduce systematic error, thus making the interpretation of results difficult. Differences in DTI measurements due to scanner-dependent inaccuracies may either mimic or obscure true changes.

Several groups have evaluated intra-scanner and/or inter-scanner variability of DTI measurements [Bonekamp et al., 2007; Cassol et al., 2004; Cercignani et al., 2003; Ciccarelli et al., 2003; Danielian et al., 2010; Farrell et al., 2007; Fushimi et al., 2007; Heiervang et al., 2006; Huisman et al., 2006; Hunsche et al., 2001; Jansen et al., 2007; Landman et al., 2007; Marengo et al., 2006; Okada et al., 2006; Pfefferbaum et al., 2003; Qin et al., 2009; Reich et al., 2006]. Most studies investigated reliability by performing repeated scans on a few subjects acquired within the same scan session or within short scan intervals. This approach may underestimate the sources of variability relevant for longitudinal studies (e.g., scanner drift) [Danielian et al., 2010]. To our knowledge, there have been no studies that evaluated intra-scanner or inter-scanner reliability using longitudinal data obtained on a large number of subjects. In addition, we are not aware of any study that has investigated whether scanner upgrade influences longitudinal DTI results. In this study, we examined the effects of longitudinal drift in scanner hardware, inter-scanner variability (bias), and scanner upgrade on longitudinal changes in global and regional DTI measurements using longitudinal (1-year) data obtained on two scanners of the exact same model at one institution.

MATERIALS AND METHODS

Imaging Data Acquisition

A total of 224 normal subjects (63 females and 161 males, mean age = 57.1 ± 9.5 years, age range = 40.3–83.5 years) were included in this study. None of the subjects

had a history of neuropsychiatric disorder including serious head trauma, psychiatric disorders, or alcohol/substance abuse or dependence. The mean mini-mental state examination (MMSE) score was 29.6 ± 0.7 (range = 27–30). Each subject was scanned twice, at an interval of about 1 year (mean interval = 1.0 ± 0.11 years, range = 0.6–1.3 years). A board-certified radiologist reviewed all scans (including T1-weighted and T2-weighted images) and found no gross abnormalities such as infarct, hemorrhage, or brain tumors in any of the subjects. The Fazekas score (range, 0–3) was 0 or 1 [Fazekas et al., 1987]. The scale is a four-point rating scale of white matter hyperintensities devised by Fazekas et al. (0 = absence, 1 = caps, pencil-thin lining and/or punctuate foci). The ethical committee of the University of Tokyo Hospital approved this study. After a complete explanation of the study to each subject, written informed consent was obtained.

MR data were obtained on two 3.0-T Signa scanners (GE Medical Systems, Milwaukee, WI) with an 8-channel brain phased array coil. Both scanners were the exact same model, and simultaneously upgraded from HDx to HDxt during the study period. This upgrade included only software upgrade, not hardware upgrade. Of the 224 subjects, 159 subjects underwent baseline and follow-up scans before upgrade, and the remaining 65 subjects underwent a baseline scan before upgrade and a follow-up scan after upgrade. Diffusion tensor images were acquired using a single-shot spin-echo echo-planar sequence in 50 axial slices (repetition time = 13,200 ms; echo time = 62 ms; field of view = 288 mm; slice thickness = 3 mm with no gap; acquisition matrix = 96×96 ; number of excitations = 1; image matrix = 256×256). Diffusion weighting was applied along 13 non-collinear directions with a b -value of $1,000 \text{ s/mm}^2$ and a single volume was collected with no diffusion gradients applied (b_0). Parallel imaging (Array Spatial Sensitivity Encoding Technique [ASSET]) was used with an acceleration factor of 2.0. The acquired and reconstructed voxel dimensions were $3.0 \text{ mm} \times 3.0 \text{ mm} \times 3.0 \text{ mm}$ and $1.125 \text{ mm} \times 1.125 \text{ mm} \times 3.0 \text{ mm}$, respectively.

Image Processing

Tract-based spatial statistics

Image analysis was mainly carried out using tract-based spatial statistics (TBSS) 1.2 [Smith et al., 2006, 2007], part of FSL (FMRIB Software Library 4.1, <http://www.fmrib.ox.ac.uk/fsl>) [Smith et al., 2004]. First, the raw diffusion data were corrected for eddy current distortion and head motion using FMRIB's Diffusion Toolbox (FDT) 2.0 [Smith et al., 2004], and corrected for spatial distortion due to gradient non-linearity using "grad_unwarp" [Jovicich et al., 2006]. Following brain extraction using Brain Extraction Tool (BET) 2.1 [Smith, 2002], fractional anisotropy (FA), axial diffusivity (AD), and radial diffusivity (RD) maps were created by fitting a tensor model to the diffusion data using FDT. All subjects' FA data were then

aligned into Montreal Neurological Institute (MNI) 152 space using FMRIB's nonlinear registration tool (FNIRT) 1.0 [Smith et al., 2004], which uses a b-spline representation of the registration warp field. The FMRIB58_FA standard-space image was used as the target. Next, a mean FA image was created and thinned to create a mean FA skeleton which represents the centers of all tracts common to the group. The mean FA skeleton image was thresholded at a FA value of 0.2 to prevent inclusion of non-skeleton voxels [Smith et al., 2006]. Each subject's aligned FA data were then projected onto this skeleton. The AD and RD data were also aligned into MNI 152 space and projected onto the mean FA skeleton (using the FA data to find the projection vectors). Then, baseline skeleton-projected FA, AD, and RD data were subtracted from follow-up skeleton-projected FA, AD, and RD data, respectively. The resulting subtraction images were then fed into voxelwise statistical analysis.

Voxel-based analysis

Each subject's FA, AD, and RD data aligned into MNI 152 space were resampled ($2 \text{ mm} \times 2 \text{ mm} \times 2 \text{ mm}$), and then baseline FA, AD, and RD data were subtracted from follow-up FA, AD, and RD data, respectively. The resulting subtraction images were smoothed by an isotropic Gaussian kernel ($\sigma = 3 \text{ mm}$) and also fed into voxelwise statistical analysis.

Statistical Analyses

The subjects were grouped as follows: (a) baseline images were obtained on scanner 1 and follow-up images were obtained on scanner 1 ($n = 70$); (b) baseline images were obtained on scanner 1 and follow-up images were obtained on scanner 2 ($n = 45$); (c) baseline images were obtained on scanner 2 and follow-up images were obtained on scanner 1 ($n = 56$); and (d) baseline images were obtained on scanner 2 and follow-up images were obtained on scanner 2 ($n = 53$). Differences in longitudinal skeleton average FA, AD, and RD changes were tested using analysis of covariance (ANCOVA) with change in skeleton average FA, AD, or RD as the dependent variable and group, scanner upgrade, age, sex, and age \times sex as independent variables. These statistical analyses were performed using JMP 8.0 (SAS Institute, Cary, NC). A P value of <0.05 was considered to indicate a statistically significant difference.

Voxelwise analyses of the subtraction images were performed using permutation-based, voxelwise non-parametric testing [Nichols and Holmes, 2002] (as implemented in the randomize tool, part of FSL). First, we identified areas with significant differences in longitudinal changes between the groups (a-d). Scanner upgrade, age, and sex were included as covariates of no interest. Next, we identified areas with significant longitudinal changes in each group (a-d). We also identified areas with common changes in groups b and c. Finally, we identified areas

with a significant effect of scanner upgrade on longitudinal changes. Significance levels for t tests (one-tailed) and F tests were set at $P < 0.025$ and at $P < 0.05$, corrected for multiple comparisons using the FWE rate, respectively (voxel-level inference). We computed two t contrasts (positive, negative) for t tests. The number of permutations was 5,000.

RESULTS

Effects of Scanner on Global FA, AD, and RD

The ANCOVA for longitudinal skeleton average FA, AD, and RD changes revealed a significant effect of group (FA, $F = 32.6$, $P < 0.0001$; AD, $F = 67.5$, $P < 0.0001$; RD, $F = 80.3$, $P < 0.0001$). There was no effect of scanner upgrade (FA, $F = 0.49$, $P = 0.48$; AD, $F = 0.15$, $P = 0.70$; RD, $F = 1.11$, $P = 0.29$). There was no main effect of age (FA, $F = 0.0003$, $P = 0.99$; AD, $F = 1.92$, $P = 0.17$; RD, $F = 1.23$, $P = 0.27$) or sex (FA, $F = 3.58$, $P = 0.060$; AD, $F = 0.97$, $P = 0.33$; RD, $F = 3.79$, $P = 0.053$), nor was there a sex-by-age interaction (FA, $F = 0.21$, $P = 0.64$; AD, $F = 0.008$, $P = 0.93$; RD, $F = 0.17$, $P = 0.68$). The results show significant scanner bias (Fig. 1).

Effects of Scanner on Regional FA, AD, and RD

The voxelwise analyses of skeletonized (subtraction) images revealed a number of regions with significant differences in longitudinal changes between the groups (Fig. 2 and Supporting Information Figs. S1,S2) (Table I). In the groups where both baseline and follow-up images were obtained on the same scanner (a and d), there were no significant longitudinal changes except for a few voxels. In the groups where baseline and follow-up images were obtained on different scanners (b and c), there were a number of regions with significant longitudinal changes. There was a tendency that the directions of the changes were opposite in these two groups (b and c). The voxelwise analysis showed no regions with common changes in these two groups (b and c) except for a few voxels (Supporting Information Fig. S3), which indicates that the observed longitudinal changes in the two groups were due to scanner bias. The voxelwise analysis also showed a number of regions with a significant effect of scanner upgrade on longitudinal changes (Fig. 3) (Table I).

The voxel-based analyses of whole brain (subtraction) images also revealed a number of regions with significant differences in longitudinal changes between the groups (Fig. 4, and Supporting Information Figs. S4,S5). In the groups where baseline and follow-up images were obtained on different scanners (b and c), there were a number of regions with significant longitudinal changes. There was a tendency that the directions of the changes were opposite in these two groups (b and c). The

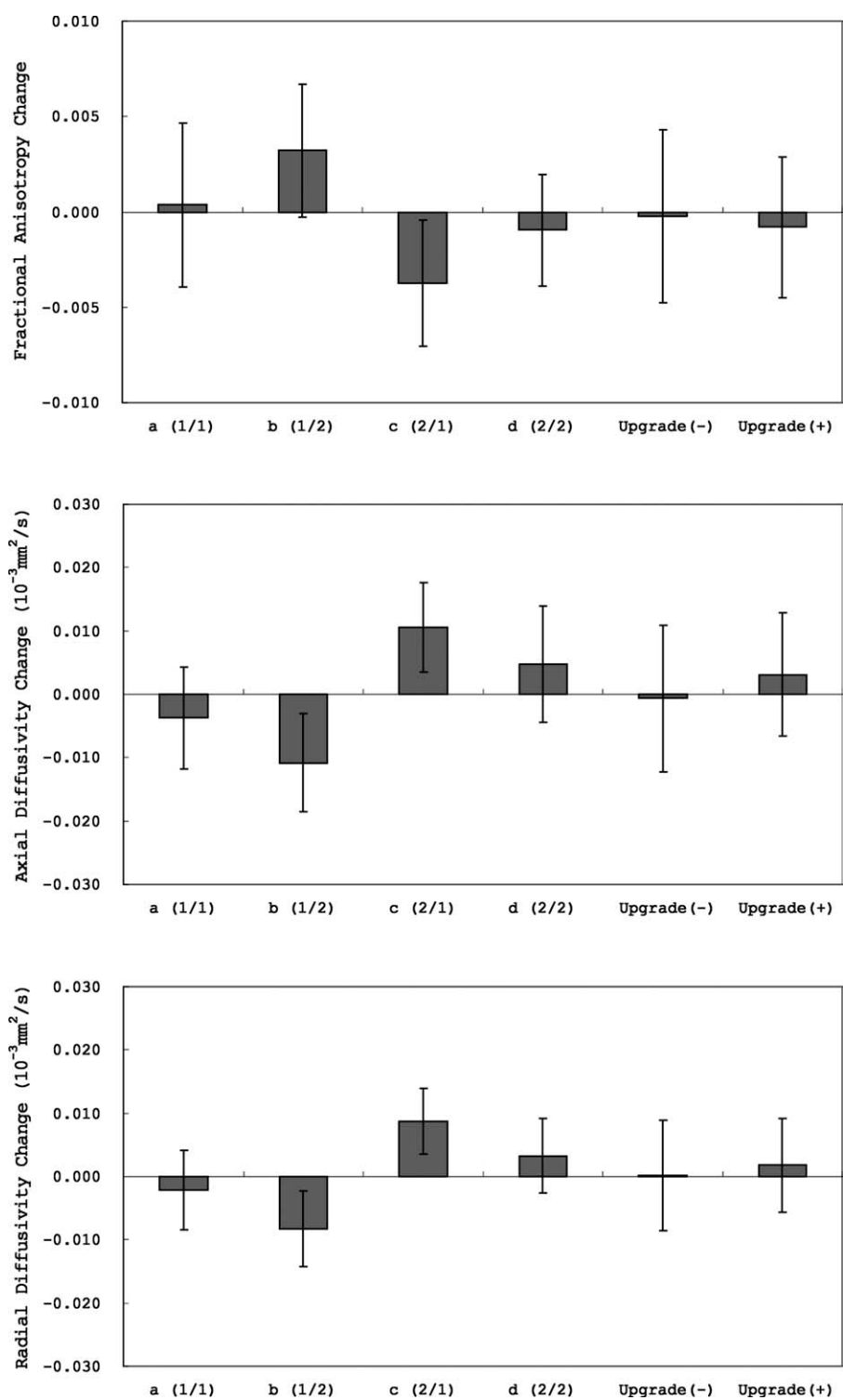


Figure I.

Longitudinal (1-year) skeleton average fractional anisotropy (FA), axial diffusivity (AD), and radial diffusivity (RD) changes (means \pm standard deviations).

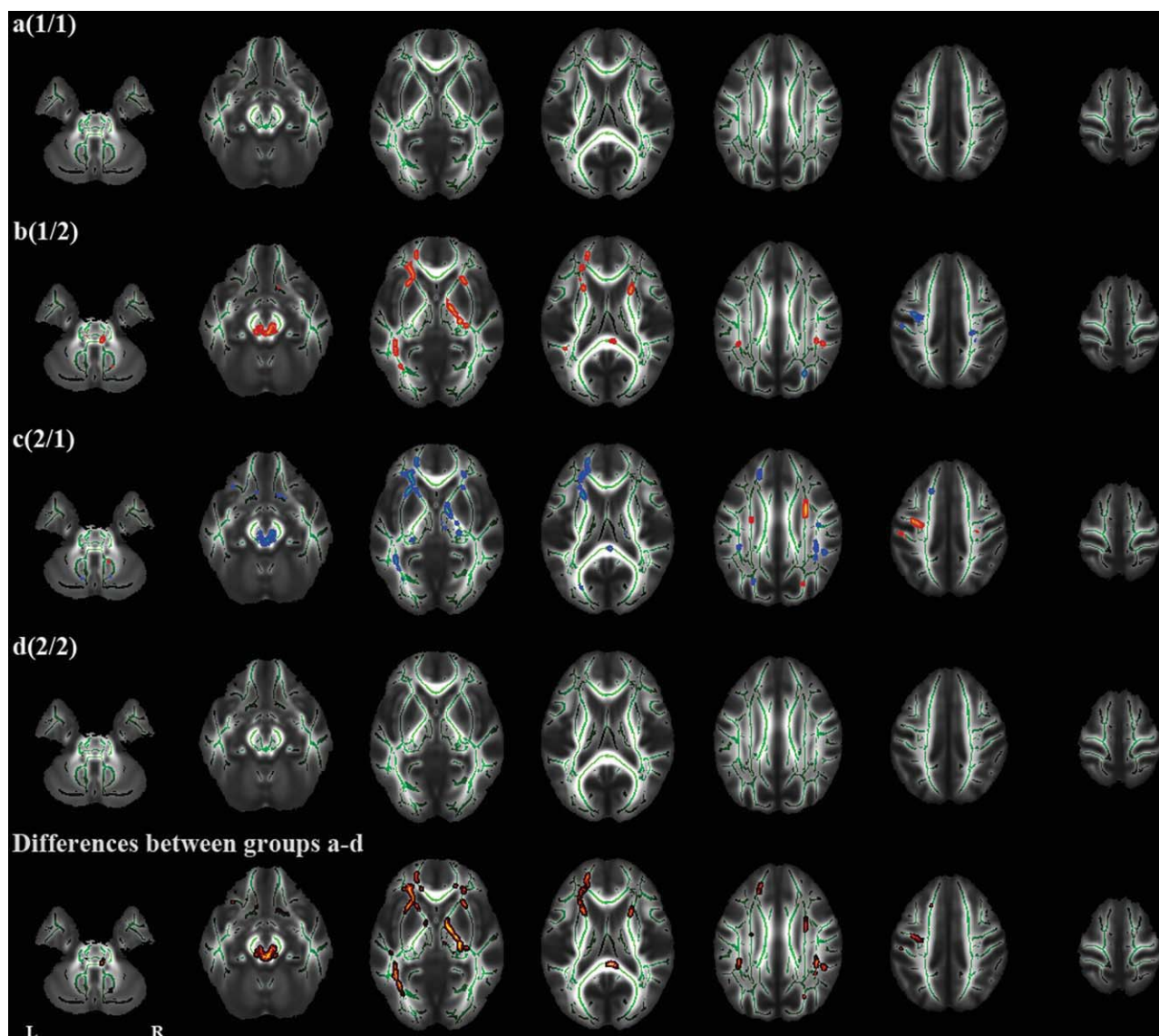


Figure 2.

Tract-based spatial statistics (TBSS) analysis of longitudinal (1-year) fractional anisotropy (FA) changes. The first four rows indicate an analysis of each group (a-d). The fifth row indicates an analysis of differences between groups a, b, c, and d (*F* test). Red and blue represent an increase and decrease in FA, respectively.

voxelwise analysis showed no regions with common changes in these two groups (b and c) except for small peripheral regions (Supporting Information Fig. S6). The voxel-based analysis (VBA) also showed a number of regions with a significant effect of scanner upgrade on longitudinal changes (Fig. 5).

Supporting Information Figures S7–S9 show mean longitudinal changes in FA, AD, and RD, respectively. Supporting Information Figures S10–S12 show standard deviations of longitudinal changes in FA, AD, and RD, respectively.

Histogram Analysis of Whole-Brain FA, AD, and RD

To investigate the differences between the two scanners in more detail, whole-brain histograms were calculated for FA, AD, and RD maps (aligned into MNI 152 space) of the groups where baseline and follow-up images were obtained on different scanners (b and c). FA histogram bin width was set to 0.01 between 0.0 and 1.0. AD and RD histograms bin width was $0.04 \times 10^{-3} \text{ mm}^2/\text{s}$, between $0.0 \times 10^{-3} \text{ mm}^2/\text{s}$ and $4.0 \times 10^{-3} \text{ mm}^2/\text{s}$. The mean AD

TABLE I. The Numbers of Significant Voxels in Tract-Based Spatial Statistics (TBSS) Analyses of Longitudinal (1-year) Changes

	FA	AD	RD
Differences between groups a–d	5,339 (4.5%)	2,516 (2.1%)	4,205 (3.5%)
Group a			
Increase	1 (0.0%)	0 (0.0%)	1 (0.0%)
Decrease	0 (0.0%)	9 (0.0%)	3 (0.0%)
Group b			
Increase	2,207 (1.8%)	10 (0.0%)	8 (0.0%)
Decrease	151 (0.1%)	835 (0.7%)	1,882 (1.6%)
Group c			
Increase	291 (0.2%)	1,258 (1.0%)	1,349 (1.1%)
Decrease	2540 (2.1%)	7 (0.0%)	14 (0.0%)
Group d			
Increase	0 (0.0%)	2 (0.0%)	5 (0.0%)
Decrease	0 (0.0%)	0 (0.0%)	0 (0.0%)
Effects of Scanner Upgrade			
Positive	101 (0.1%)	687 (0.6%)	1,045 (0.9%)
Negative	714 (0.6%)	98 (0.1%)	288 (0.2%)

FA, indicates fractional anisotropy; AD, axial diffusivity; RD, radial diffusivity.

and RD histograms were shifted toward lower values in scanner 2 compared with scanner 1 (see Fig. 6). This indicates that AD and RD measured by scanner 1 was higher than those measured by scanner 2 as a whole. The mean FA histogram was shifted toward higher values in scanner 2 compared with scanner 1 (see Fig. 6). This indicates that FA measured by scanner 1 was lower than that measured by scanner 1 as a whole.

Differences in Head Position

Differences in changes in head position were tested using ANCOVA with change in head position (rotation [x, y, or z] or translation [x, y, or z]), calculated from the transformation parameters derived from aligning each subject's FA data into MNI 152 space, as the dependent variable, and group and scanner upgrade as independent variables. Although the changes in head position were relatively small (Fig. 7), there was a significant effect of group on changes in head position except for translation y ([rotation] x, $F = 3.0$, $P = 0.03$; y, $F = 11.3$, $P < 0.0001$; z, $F = 5.8$, $P = 0.0008$; [translation] x, $F = 48.7$, $P < 0.0001$; y, $F = 2.2$, $P = 0.08$; z, $F = 5.0$, $P = 0.002$). There was no

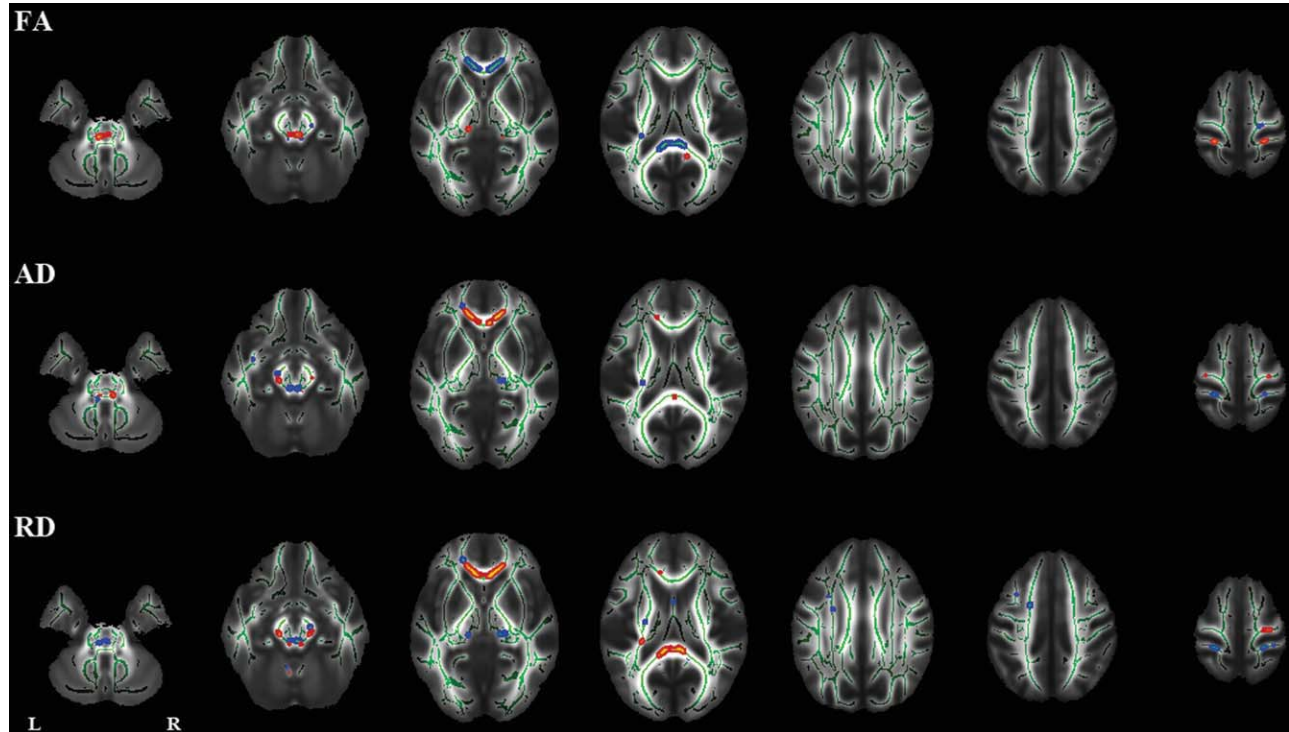


Figure 3.

Tract-based spatial statistics (TBSS) analysis of the effect of scanner upgrade on longitudinal (1-year) changes. FA indicates fractional anisotropy; AD, axial diffusivity; RD, radial diffusivity.

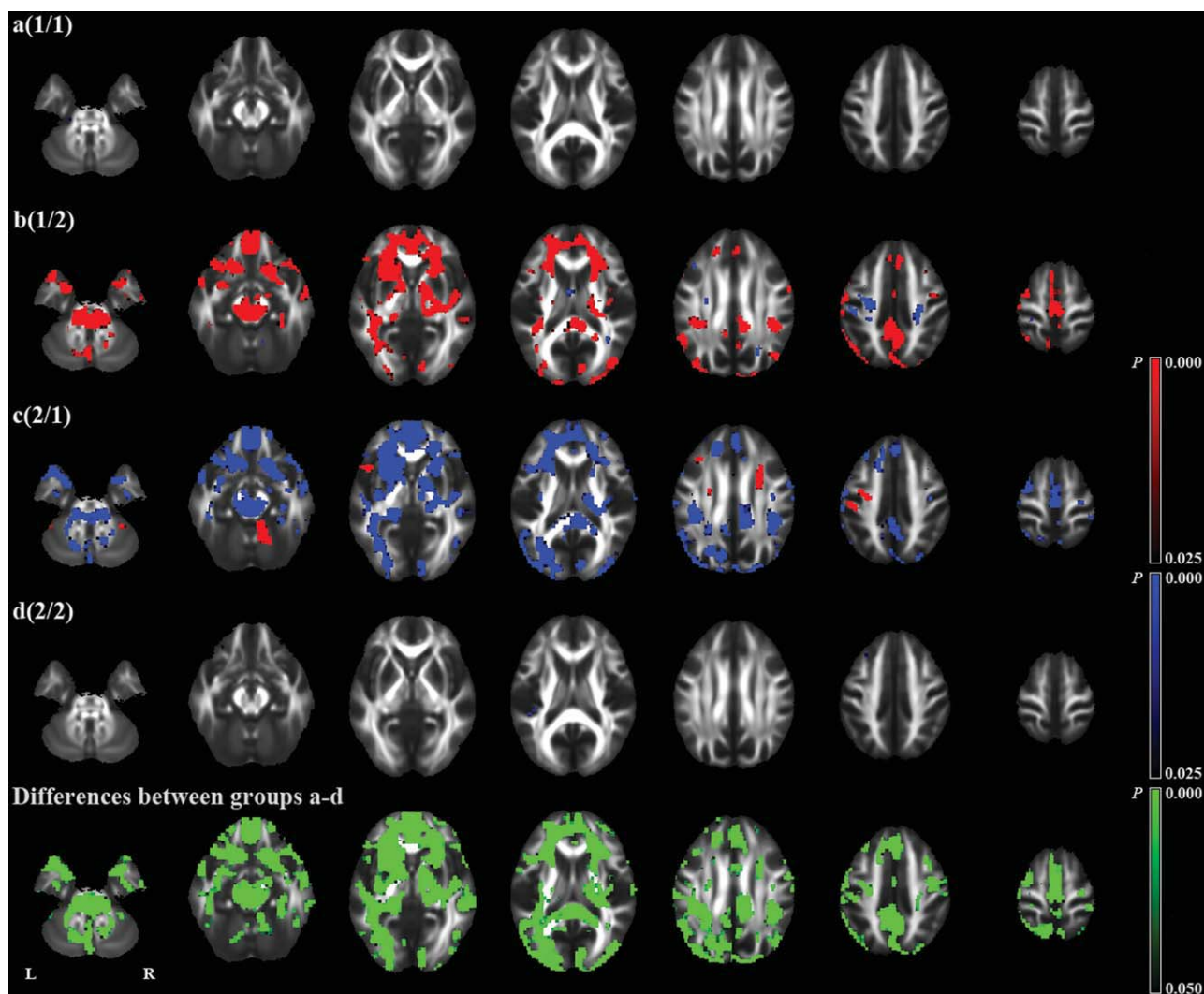


Figure 4.

Voxel-based analysis (VBA) of longitudinal (1-year) fractional anisotropy (FA) changes. The first four rows indicate an analysis of each group (a-d). The fifth row indicates an analysis of differences between groups a, b, c, and d (F test). Red and blue represent an increase and decrease in FA, respectively.

significant effect of scanner update ([rotation] x , $F = 0.15$, $P = 0.69$; y , $F = 1.3$, $P = 0.25$; z , $F = 0.26$, $P = 0.61$; [translation] x , $F = 1.1$, $P = 0.30$; y , $F = 1.3$, $P = 0.26$; z , $F = 2.8$, $P = 0.10$).

DISCUSSION

In this study, we examined the effects of longitudinal drift in scanner hardware, inter-scanner variability (bias), and scanner upgrade on global and regional FA, AD, and RD changes using longitudinal data obtained on two scanners of the exact same model at one institution. Even with

scanners of the exact same model, inter-scanner variability (bias) significantly affected longitudinal results. The difference in skeleton average FA between the two scanners was approximately 0.0035. This is equivalent to about 6 years of change in skeleton average FA (one-year change estimated cross-sectionally from the baseline scans = -0.00058 per year). DTI measurements were relatively stable with repeated scans obtained on the same scanner. Reliability of DTI measurements can be affected by a number of factors, including b_0 field inhomogeneities, gradient stability, signal-to-noise level, head motion and head positioning. The mean AD and RD histograms were shifted toward lower values in scanner 2 compared with scanner

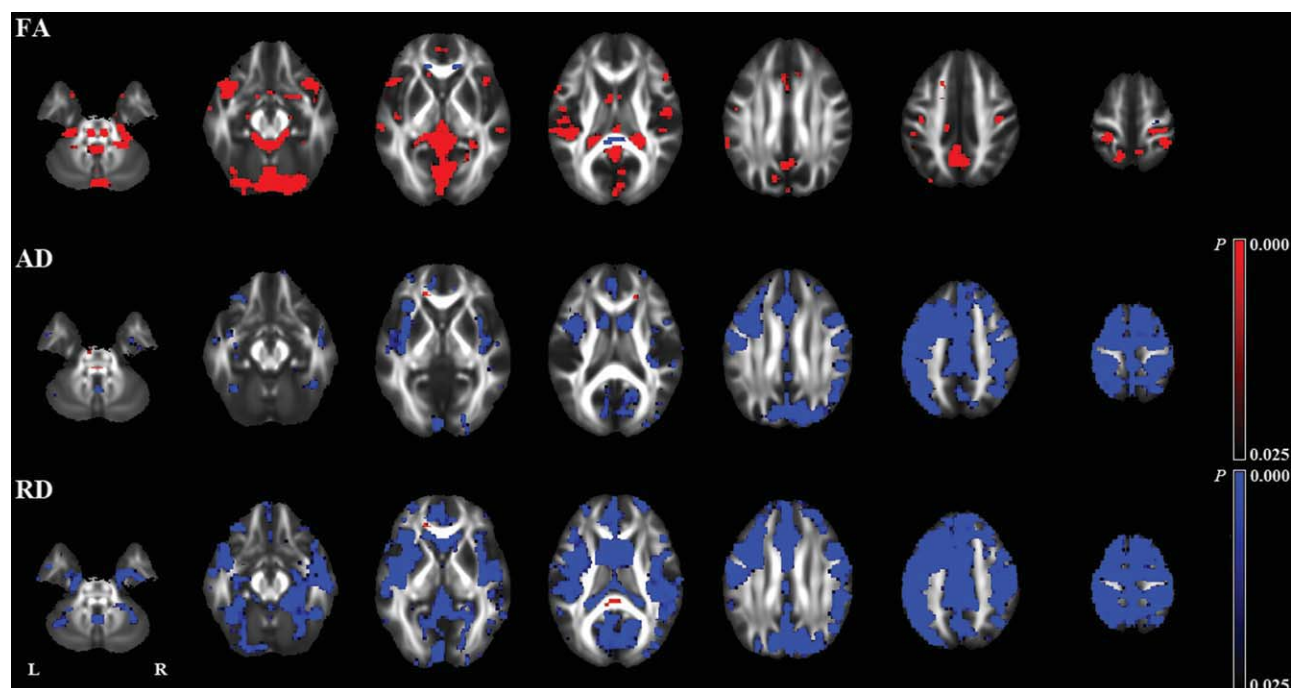


Figure 5.

Voxel-based analysis (VBA) of the effect of scanner upgrade on longitudinal (1-year) changes. FA indicates fractional anisotropy; AD, axial diffusivity; RD, radial diffusivity.

1, and the mean FA histogram was shifted toward higher values in scanner 2 compared with scanner 1. This might reflect differences in gradients between the two scanners. In this study, there were significant differences in changes in head position between the groups, although the changes were relatively small. Differences in head position might be one of the causes of inter-scanner variability. The scanner upgrade included only software upgrade, not hardware upgrade. Although it is unclear which software components affected DTI measurements, however, there was also a significant effect of scanner upgrade on longitudinal results.

Several groups have evaluated intra-scanner and/or inter-scanner variability of DTI measurements [Bonekamp et al., 2007; Cassol et al., 2004; Cercignani et al., 2003; Ciccarelli et al., 2003; Danielian et al., 2010; Farrell et al., 2007; Fushimi et al., 2007; Heiervang et al., 2006; Huisman et al., 2006; Hunsche et al., 2001; Jansen et al., 2007; Landman et al., 2007; Marengo et al., 2006; Okada et al., 2006; Pfefferbaum et al., 2003; Qin et al., 2009; Reich et al., 2006]. Most studies investigated reliability by performing repeated scans on a few subjects acquired within the same scan session or within short scan intervals. Danielian et al. assessed scan-rescan and longitudinal reliability in four subjects who had six scans, with two sets of three scans separated by 1 year, using deterministic fiber tracking [Danielian et al., 2010]. They reported that FA, mean diffusivity (MD) and RD were reliable with repeated scans

(intraclass correlation coefficient (ICC) > 0.8). However, the effect of scanner drift on longitudinal results is unclear. Pfefferbaum et al.[2003] evaluated within-scanner and between-scanner reliability of FA and MD in 10 subjects who had three scans on two different scanners. FA and MD acquired on the same scanner were generally more similar than across scanners. Cercignani et al.[2003] evaluated within-scanner reliability of diffusion properties in eight subjects who had two scans on two different scanners, and between-scanner reliability of diffusion properties in four patients who had two scans on the same scanner, using histogram analysis. Inter-scanner variability was generally larger than intra-scanner variability. To our knowledge, there have been no studies that investigated whether scanner upgrade influences longitudinal DTI results. The results of our study demonstrated that inter-scanner variability (bias) and scanner upgrade significantly affected longitudinal results.

In volumetric studies, several groups have analyzed the effects of different scanners on cross-sectional or longitudinal morphometric results [Briellmann et al., 2001; Dickerson et al., 2008; Ewers et al., 2006; Fennema-Notestine et al., 2007; Fjell et al., 2009; Han et al., 2006; Ho et al., 2010; Huppertz et al., 2010; Jovicich et al., 2009; Kruggel et al., 2010; Meda et al., 2008; Moorhead et al., 2009; Pardoe et al., 2008; Schnack et al., 2004; Stonnington et al., 2008; Walhovd et al., 2009]. Usually, inter-scanner variability of volumetric measures is larger than intra-scanner

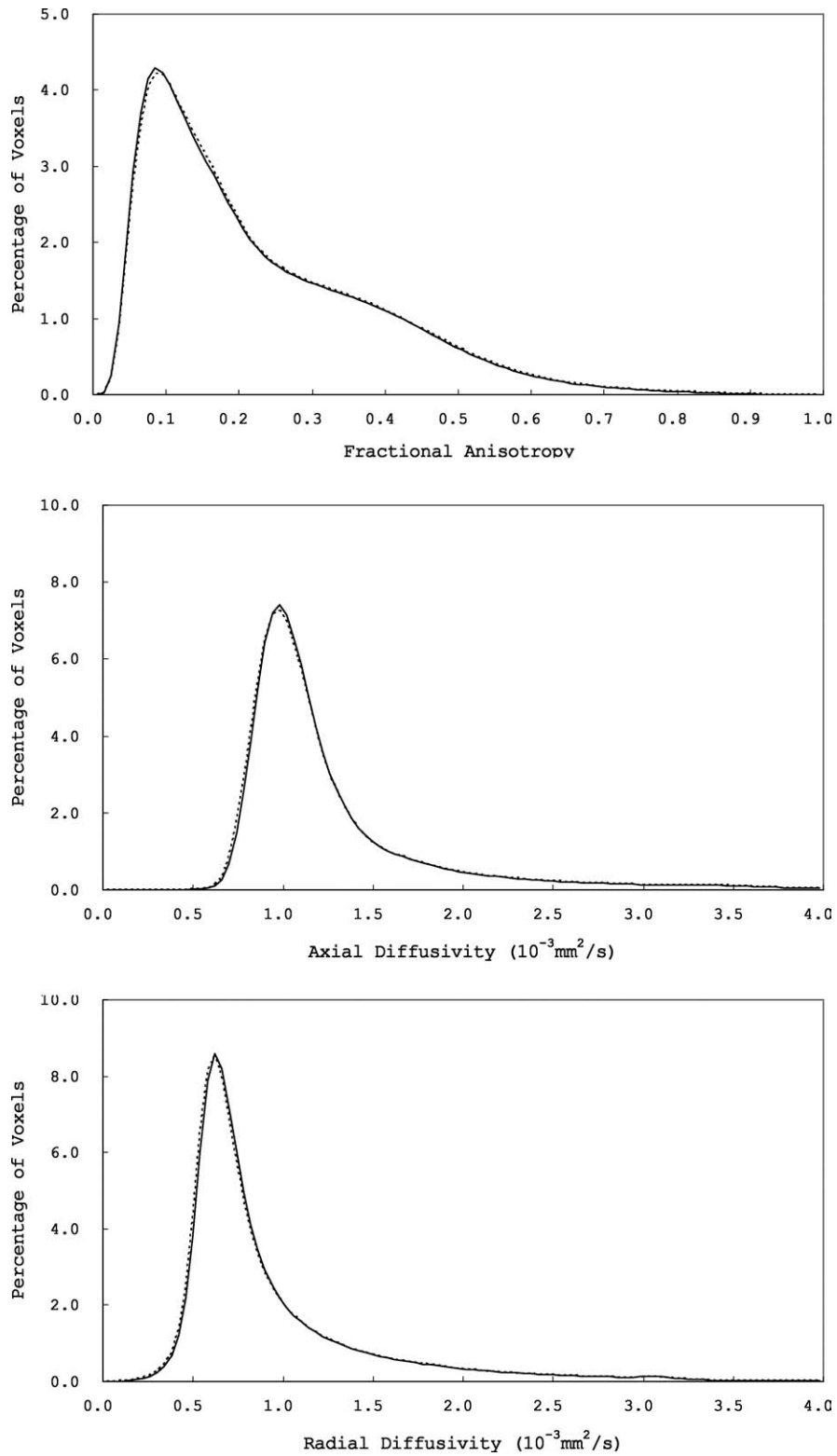


Figure 6.

Mean whole-brain fractional anisotropy (FA), axial diffusivity (AD), and radial diffusivity (RD) histograms (solid lines, scanner 1; dotted lines, scanner 2).

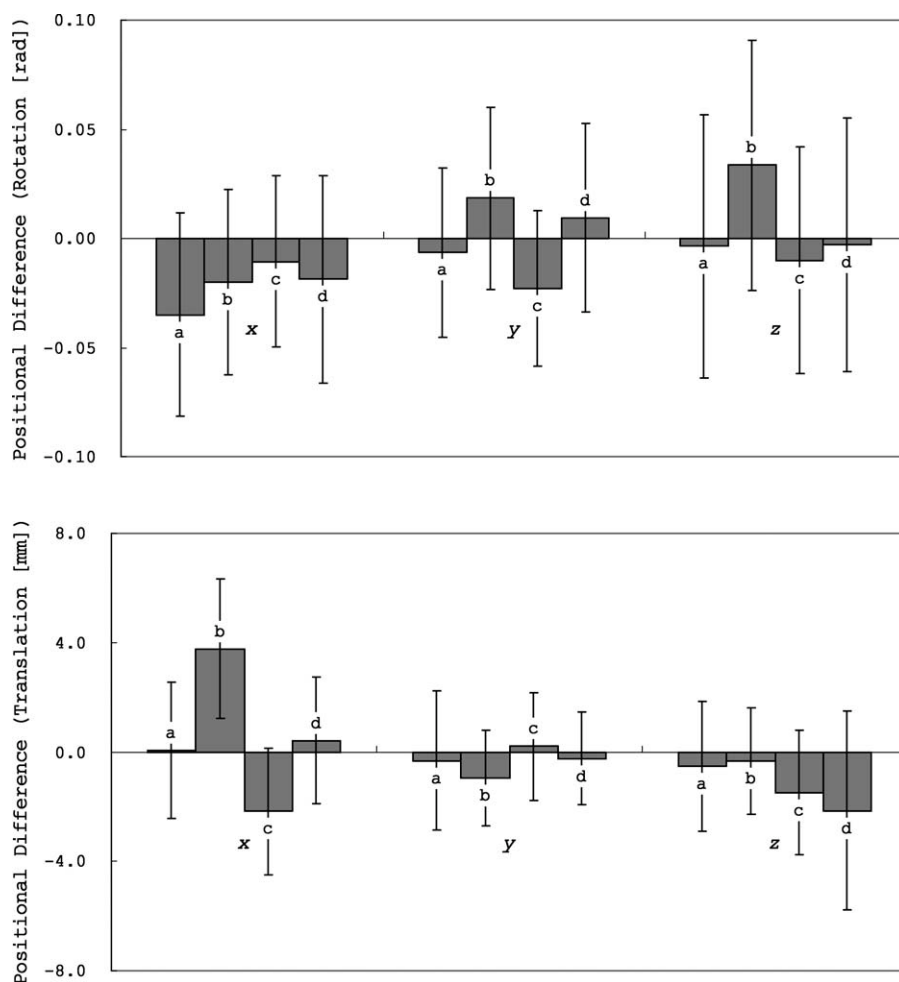


Figure 7.

Differences in head position (rotation and translation) between baseline and follow-up scans (means \pm standard deviations).

variability. Drift in scanner hardware significantly affects longitudinal volumetric results, which is an important potential source of error in longitudinal volumetric studies. Any changes in the voxel sizes introduced by scanner instability may either mimic or obscure true changes. Scanner drift is under-recognized and sometimes ignored in longitudinal volumetric studies [Freeborough and Fox, 1998; Whitwell et al., 2001].

TBSS is an unbiased and automated whole-brain analysis technique that compares diffusion tensor properties between multiple subjects [Smith et al., 2006, 2007]. Unlike conventional voxel-based analyses, TBSS does not require perfect brain alignment or smoothing, and instead projects brains onto an FA skeleton prior to comparison. TBSS combines the strengths of voxel-based analyses (being able to analyze the whole brain without predefining voxels or tracts of interest) with the strengths of tractography-based analyses (ideally, being confident that the estimates of FA

are truly taken from the relevant voxels) [Smith et al., 2006]. By projecting FA values onto a subject-mean FA tract skeleton, cross-subject FA becomes more Gaussian and of lower variability; hence analyses become more robust and more sensitive. TBSS has been widely used to investigate integrity of white matter. Thus, we evaluated the effects of scanner on DTI measurements mainly using TBSS.

CONCLUSION

The results of our study indicate that, even with scanners of the exact same model, inter-scanner variability (bias) significantly affects longitudinal DTI results, and that scanner upgrade can also affect longitudinal DTI results. The results of our study indicate that DTI measurements are relatively stable within the same scanner.

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