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Intermolecular Stereoselective Iridium-Catalyzed Allylic Alkylation: An Evolutionary Account

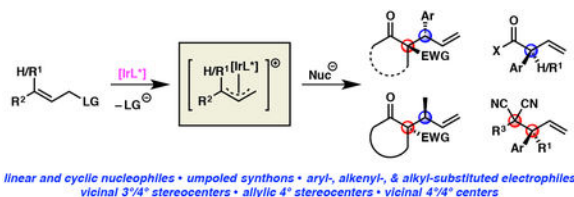
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Abstract

Our lab has long been interested in the development of methods for the creation of enantioenriched all-carbon quaternary stereocenters. Historically, our interest has centered on palladium-catalyzed allylic alkylation, though recent efforts have moved to include the study of iridium catalysts. Whereas palladium catalysts enable the preparation of isolated stereocenters, the use of iridium catalysts allows for the direct construction of vicinal stereocenters via an enantio-, diastereo-, and regioselective allylic alkylation. This account details the evolution of our research program from inception, which focused on the first iridium-catalyzed allylic alkylation to prepare stereodyads containing a single quaternary center, to our most recent discovery that allows for the synthesis of vicinal quaternary centers.

Graphical Abstract



Keywords

iridium; asymmetric catalysis; enantioselective; allylic alkylation; quaternary stereocenters; umpolung

1 Introduction

To enable our ongoing research program focused on the synthesis of complex natural products, we became interested in developing robust methods for the synthesis of stereochemically rich building blocks containing quaternary stereocenters. As a result, our group has disclosed a range of technologies for the enantioselective preparation of all-carbon quaternary stereocenters.¹ Perhaps most notably, we have developed a general asymmetric

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palladium-catalyzed allylic alkylation method to provide access to a wide variety of products **3** bearing a homoallylic quaternary stereocenter (Figure 1, top).² Over the past decade, we have expanded this methodology to a broad array of substrates **1**³ and applied this chemistry to facilitate expedient total syntheses of a variety of natural products, including (–)-cyanthiwigin F (**4**),⁴ (+)-dichroanone (**5**),⁵ and (+)-sibirinine (**6**, Figure 1, bottom).⁶

Despite its extensive substrate tolerance, our palladium-catalyzed allylic alkylation technology is limited to the synthesis of isolated stereocenters. Thus, to date this methodology is not amenable to the direct preparation of vicinal stereocenters, which are found in a variety of synthetic targets such as ligularone (**7**), crinipellin B (**8**), and elisabethin A (**9**, Figure 2, top). Inspired by these diverse and numerous stereodyad-containing natural products, we sought to expand our stereoselective allylic alkylation research program to include iridium-catalyzed processes that do enable the construction of such vicinal stereocenters **12** (Figure 2, bottom).

While the aforementioned palladium-catalyzed allylic alkylation has been under exploration since the 1960s,⁷ iridium-catalyzed allylic alkylation is a relatively new area of research. Takeuchi reported the first example of iridium-catalyzed allylic alkylation in 1997 using malonate nucleophiles **13**, demonstrating that iridium catalysts can provide high branched selectivity (branched to linear ratio, b:l) in contrast to palladium catalysts that favor the synthesis of linear products (Figure 3A).^{8,9} Shortly thereafter, Helmchen reported that the reaction could be rendered enantioselective with the inclusion of chiral phosphinooxazoline ligand **L1** (Figure 3B).¹⁰ In 2003, Takemoto disclosed the first report of a diastereoselective iridium-catalyzed allylic alkylation reaction, wherein prochiral nucleophiles **18** were utilized to form vicinal trisubstituted and tertiary stereocenters **20** (Figure 3C, top).¹¹ It was then a decade later before the next report of diastereoselective iridium-catalyzed allylic alkylation was disclosed, which this time enabled the preparation of vicinal tertiary and tetra-substituted stereocenters **23** (Figure 3C, bottom).¹²

Despite these seminal reports, when our group entered the field in 2013, there were no examples of an iridium-catalyzed allylic alkylation reaction to form a single all-carbon quaternary stereocenter, let alone a stereodyad containing an all-carbon quaternary stereocenter.¹³ Therefore, we were highly intrigued by the possibility of developing new iridium-catalyzed allylic alkylation technology that would allow for the preparation of sterically congested enantioenriched quaternary stereocenters, and thus open the door to the synthesis of a range of new natural product targets (Figure 2, top).

2 Synthesis of Vicinal Tertiary and All-Carbon Quaternary Stereocenters via Enantio- and Diastereoselective Iridium-Catalyzed Allylic Alkylation

2.1 Cyclic Nucleophiles^{14,15}

In our quest to develop the first iridium-catalyzed allylic alkylation reaction to form vicinal tertiary and all-carbon quaternary stereocenters, we initially selected cyclic prochiral enolates as our nucleophiles in order to obviate the need to control enolate geometry during the allylic alkylation reaction.^{1,16} Thus, our preliminary exploration into this research area

commenced with tetralone **24**. We rapidly found that the standard phosphoramidite ligands **L4** and **L5**, which were at the time typically utilized in iridium-catalyzed allylic alkylation with enolate equivalents, were not amenable to the synthesis of a quaternary stereocenter, as neither provided high levels of diastereoselectivity (Table 1, entries 1 and 2). Inspired by the work of the You group on the allylic alkylation of heterocycles,¹⁷ we evaluated the effect of MeTHQPhos (**L6**) as the ligand and found that it provided product **26** with a high degree of regio-, diastereo-, and enantioselectivity (entry 3).

At this point in our optimization efforts, we realized that the reaction conditions could be simplified if the exogenous base was removed and the carbonate leaving group was instead relied on as the stoichiometric base required for enolate formation. Toward this end, sodium hydride was excluded from the reaction, but we observed diminished selectivity (Table 1, entry 4). Previous literature reports documented the beneficial effects of halide salts on selectivity in iridium-catalyzed allylic alkylation reactions.¹⁸ When this strategy was explored in our reaction conditions, LiCl provided only minor enhancement in selectivity (entry 5). However, LiBr led to a pronounced enhancement in both conversion and selectivity, providing us with our optimized reaction conditions (entry 6).

Upon investigating the substrate scope of the developed transformation, we found the reaction amenable to a wide variety of substitution on both the allylic electrophile and the nucleophile (Scheme 1).¹⁴ Though, as a general trend, branched regioselectivity increases with greater carbocation stability on the allylic electrophile, thus electron-rich aromatics **28** ($R^2 = \text{EDG-Ar}$) provide higher branched to linear ratios. Additionally, the reaction is not limited to aryl substitution on the allylic electrophile, as both heteroaryl and alkenyl substitution provide the corresponding products in good yields and selectivities (e.g., **30**). A key limitation to this initial report is that alkyl-substituted allylic electrophiles are not tolerated and instead proceed with poor conversions and selectivities. With respect to nucleophile **27**, we found that the aryl ring of tetralone **24**, used as the optimization substrate, could be removed without diminishing reactivity or stereoselectivity of the reaction (e.g., as seen in products **31** and **32**). Finally, we observed that unsaturated nucleophiles **27** are tolerated, allowing for access to products bearing a 1,5-diene (e.g., **33**) for subsequent functionalization, though the addition of LiBr was not required in these reactions.¹⁵

Upon further optimization, we found that the reactions of unsaturated nucleophiles (e.g., **34**) progressed with a higher degree of selectivity when LiO*t*-Bu was utilized as a base additive in place of LiBr.¹⁵ These allylic alkylation conditions followed by a subsequent thermal Cope rearrangement, allow unsaturated compounds **34** to formally undergo allylic alkylation at the γ -position to produce compounds such as **35** with a high degree of enantioselectivity (Scheme 2).

2.2 Acyclic Nucleophiles¹⁹

In looking to expand our iridium-catalyzed allylic alkylation reaction from cyclic enolate nucleophiles to acyclic variants, we fortuitously discovered that our conditions for cyclic nucleophiles provide satisfactory reactivity and selectivity for acyclic nucleophile **36**

(Scheme 3). With further optimization though, we ultimately found LiO*t*-Bu to be the optimal additive as it led to shorter reaction times. With respect to the substrate scope of this transformation, we again observed that reaction regioselectivity is directly affected by the electronics of the aryl functionality on allylic electrophile **37**, however, enantio- and diastereoselectivities remain consistently excellent. Moreover, heteroaryl-substituted allylic electrophiles are tolerated, as are various substituents at the α -position of acyclic β -keto ester nucleophile **36**. However, in contrast to the cyclic variants, we noted pronounced decreases in the diastereomeric ratio of product **38** when ketone **36** was not an aryl ketone (e.g., **39** versus **42**).

2.3 Alkyl-Substituted Electrophiles²⁰

After disclosing methods for the synthesis of vicinal tertiary and all-carbon quaternary stereocenters using cyclic,¹⁴ acyclic,¹⁹ and extended enolate¹⁵ nucleophiles in iridium-catalyzed allylic alkylation, we noted that none of these protocols tolerated the use of alkyl-substituted electrophiles. In fact, at the time, no transition metal-catalyzed process enabled the construction of an alkyl-substituted stereodyad between neighboring tertiary and quaternary carbon atoms. Realizing that many synthetic targets require the installation of this specific stereodyad, we became intrigued with the possibility of further developing our enantio- and diastereoselective iridium-catalyzed allylic alkylation chemistry to allow for the use of allylic electrophiles bearing an sp³-hybridized substituent.

Based on our prior efforts in optimizing iridium-catalyzed allylic alkylation reactions, we anticipated that we would need to explore new combinations of additives and ligands in order to effect selectivity in the reaction between tetralone nucleophile **24**, utilized in our seminal report¹⁴ (Table 1), and alkyl-substituted electrophile **43** (Table 2). Indeed, when we employed our previously reported conditions for cyclic or acyclic nucleophiles,^{14,19} we observed excellent yields and good diastereoselectivities but poor branched to linear ratios (Table 2, entries 1 and 2). Given the trends established in our earlier work where regioselectivity increased with increasing carbocation stability of the iridium π -allyl cation, these findings were not surprising as the methyl group is less stabilizing than an aryl substituent. We hypothesized that decreasing carbocation stability results in slow equilibration between iridium π -allyl diastereomers. Thus, we sought to employ LiCl as an additive, which has been proposed to facilitate iridium π -allyl equilibration and therefore improve regio- and enantioselectivity in iridium-catalyzed allylic alkylation reactions.¹⁸ While we did not see a marked effect by adding LiCl alone (entry 3), we postulated that by rendering all anions in solution congruent, the effect of the chloride additive would be more pronounced. This hypothesis led us to replace the methyl carbonate leaving group of crotyl electrophile **43** with chloride, and in doing so we noted a significant increase in reaction regioselectivity, though the diastereoselectivity was now only moderate (entry 4).

We next sought to improve the diastereoselectivity of the reaction by investigating additional bases other than LiO*t*-Bu, as we had found in our prior work that bases had a significant effect on selectivity.^{14,15,19} After an extensive screen of bases, we identified that the bulky amine base, proton sponge, allowed our transformation to proceed in good yield, regio- and diastereoselectivity, but poor enantioselectivity (entry 5). In order to increase the

enantioselectivity of our desired reaction, we moved to employ bulkier phosphoramidite ligand **L7**, which led to excellent enantioselectivity, though at the expense of yield (entry 6). Ultimately, we discovered that we could improve the reaction yield, with no effect on selectivity, by increasing the amount of the inexpensive LiCl additive to 400 mol % (entry 7). This extensive fine-tuning of reaction parameters is included here as an illustrative example of how altering one reaction partner (e.g., the substituent on the electrophile) in an iridium-catalyzed allylic alkylation reaction necessitates complete reoptimization of the system.

The optimized conditions allow for a wide range of substituted tetralone nucleophiles **45** to undergo a highly selective iridium-catalyzed allylic alkylation reaction with crotyl chloride (**46**, Scheme 4). However, at this time, the nucleophile scope is limited to β -keto ester-based tetralones. We postulate that this limitation is due to both pKa restrictions of the nucleophile that prevent the use of tetralones bearing other α -electron withdrawing groups (e.g., nitrile, ketone; **50** versus **44**) as well as the necessity of sp^2 -hybridized bulk at the carbonyl α' position to induce selectivity. With respect to the electrophile, longer chain alkyl-substituted electrophiles result in diminished yields and selectivities, likely due to increased sterics. Limitations aside, this transformation represents the first transition metal-catalyzed allylic alkylation reaction forming vicinal tertiary and all-carbon quaternary stereocenters between prochiral enolates and an alkyl-substituted electrophile.²⁰ We envision that with further exploration of new catalytic systems that the substrate scope of this transformation can be expanded to additional alkyl-substituted electrophiles in the future.

In order to demonstrate the synthetic utility of our enantio- and diastereoselective iridium-catalyzed allylic alkylation methodology, we carried out series of product transformations on allylic alkylation products **51** (Figure 4). Notably, all of these derivatizations proceed with excellent diastereoselectivity to facilitate the synthesis of complex building blocks, demonstrating the ease with which complexity can be added to these high-value products.

3 Unpoled Iridium-Catalyzed Allylic Alkylation Reactions

After four years of expanding the limits of enantio- and diastereoselective iridium-catalyzed allylic alkylation, we became aware of another limitation in the field. Specifically, we noted that over the past two decades of iridium-catalyzed allylic alkylation research since the seminal report,⁸ the technology had been widely developed for standard reactivity patterns between electrophilic π -allyl species and nucleophilic enolate equivalents (**58**), carbanion equivalents (**59**), or heteroatoms (**60**, Figure 5A).^{13,21} However, the application of an umpolung strategy in iridium-catalyzed allylic alkylation to stitch together two formally electrophilic species remained underexplored (**61**, Figure 5B, left). At the time, only two examples of reverse-polarity nucleophiles had been reported for this chemistry,²² though neither were in the carboxylic acid oxidation state which would allow for direct access to either enantioenriched amides, esters, or carboxylic acids.

3.1 Tertiary Allylic Stereocenters²³

With this gap in the literature noted we set forth to develop an iridium-catalyzed allylic alkylation method for accessing carboxylic acid derivatives and we identified masked acyl

cyanide (MAC) reagents **62** as potential nucleophiles (Figure 5B, left). These MAC reagents which were developed by Nemoto and Yamamoto,^{24a–e} and popularized by Rawal,^{24f–h} can expel an equivalent of cyanide when exposed to acid therefore allowing them to function as acyl cyanide nucleophiles (**63**, Figure 5B, right). As acyl cyanides are highly electrophilic, the MAC reagent truly functions as a carbon monoxide synthon (**64**). Thus, we envisioned that if the MAC reagent could react with an iridium- π allyl species to generate an alkylation product, we could use extensive literature precedent to unmask the MAC adduct and further transform the transient acyl cyanide to carboxylic acid derivatives **61** upon treatment with heteroatom nucleophiles (e.g., H₂O, RNH₂, ROH).^{24,25} As a result, the MAC reagent would function as each an amide, ester, and carboxylic acid synthon.

Employing our previously disclosed conditions for the iridium-catalyzed allylic alkylation of cyclic nucleophiles to form vicinal tertiary and all-carbon quaternary stereocenters,¹⁴ we were able to rapidly establish that the MAC reagent was a competent nucleophile in the iridium-catalyzed reaction given the judicious choice of protecting group on the hydroxyl moiety. Specifically, we found that a methoxymethyl (MOM) group (**65**) was required in order to access products **66** in high yields (Scheme 5). We hypothesize that this protecting group is optimal due to its small steric profile as well as its potential to coordinate and be activated by the LiBr additive. Using these optimized conditions, we discovered that a range of cinnamyl-derived electrophiles, including heteroaryl-substituted allylic electrophiles, react in high yields and excellent enantioselectivities in up to gram scale to provide allylic alkylation products **66**, which are amenable to the synthesis of highly desirable, enantioenriched vinylated α -aryl carboxylic acid derivatives.²³

3.2 Quaternary Allylic Stereocenters²⁶

After having developed the iridium-catalyzed allylic alkylation chemistry for MAC nucleophile **65**,²³ we sought to further leverage the utility of the MAC reagents to access an even more challenging class of compounds – acyclic α -quaternary carbonyl derivatives. However, our proposed allylic alkylation strategy had one major caveat, namely, enantioenriched quaternary allylic stereocenters had never been synthesized via iridium-catalyzed allylic alkylation reactions (Figure 6).²⁷

Over the past twenty years, enantioselective iridium-catalyzed allylic alkylation reactions have been exclusively limited to those synthesizing tertiary allylic stereocenters **72** (Figure 6, left).^{13,21} In contrast, use of a 1,1-disubstituted π -allyl to forge quaternary allylic stereocenters **73** was unreported (Figure 6, right). In order to access such a geminal-disubstituted π -allyl species, a trisubstituted allylic electrophile would need to be utilized; however, this class of electrophile was predicted to be unreactive in iridium-catalyzed allylic alkylation chemistry. Literature reports have demonstrated that the reaction rates of these processes decrease with increasing substitution on the olefin of the electrophile.²⁸ Therefore, we anticipated that our preliminary experiments into this research area would focus on identifying a method in which to unlock reactivity from a heretofore unreactive trisubstituted allylic electrophile **74** (Table 3).

As we anticipated, application of our standard conditions for the iridium-catalyzed allylic alkylation of cyclic nucleophiles failed to invoke reactivity from trisubstituted allylic electrophile **74**, instead returning starting material (Table 3, entry 1). We rationalized that we would need to explore other phosphoramidite ligand frameworks in order to identify a more reactive catalyst (entries 2 and 3). Ultimately, we identified that phosphoramidite **L7**, developed by Carreira, was uniquely effective in providing desired product **75**, though in only modest yield (entry 3).²⁹ In an effort to increase the yield and selectivity of the transformation, we performed an extensive investigation into alternative additives that have proven successful in promoting iridium-catalyzed allylic alkylation reactions. However, it was not until we identified the necessity of a strong Lewis acid co-catalyst to promote oxidative addition via facilitating the ionization of the carbonate leaving group from allylic electrophile **74**, that we saw improved reactivity. Specifically, we discovered that the addition of Lewis acidic triethylborane to the reaction provided access to desired product **75** in nearly triple the conversion and excellent enantioselectivity (entry 4). Finally, we found that we could further improve reaction yield by altering the nucleophile to electrophile stoichiometry such that an excess of electrophile is present (entry 5). Of note, the *E*-olefin isomer of allylic electrophile **74** is required, as the *Z*-trisubstituted allylic electrophile gave significantly decreased yields and selectivities.

While optimizing the reaction parameters for this novel transformation, we noted the importance of the guanidine base, 1,3,5-triazabicyclo[4.4.0]dec-5-ene (TBD), on reactivity. Typically, TBD is utilized as a substoichiometric base additive to promote the formation of an active iridicyclic catalyst.³⁰ However, Carreira has reported that phosphoramidite **L7** does not form an iridicyclic catalyst and thus a base additive is not required when employing this ligand in iridium-catalyzed allylic alkylation reactions.³¹ We postulate that in the case of our developed reaction, TBD may be serving as a labile placeholder ligand to prevent the formation of an inactive catalyst or as a base to promote the formation of a novel active iridicyclic catalyst. Studies are currently ongoing to elucidate the unique effect of TBD under our reaction conditions.

In looking to how this new reaction could be even further improved, we envisioned that hydrolysis of the MAC functionality of allylic alkylation product **77** could be carried out in the same reaction vessel as the iridium-catalyzed reaction to provide direct access to the corresponding carboxylic acid in a one-pot, two-step procedure (Scheme 6).^{25e} Moreover, we hypothesized that carboxylic acid products **78** could be isolated in high purity after a simple acid/base work up alone, with no need for column chromatography. We were pleased to find that our hypothesis was valid and with our optimized conditions a range of acyclic α -quaternary carboxylic acids **78** were prepared with varying substitution at the α -position. Specifically, both electron withdrawing and donating groups are well tolerated at the *para* and *meta* positions of the aryl substituent on electrophile **76**, though diminished yields are observed for bis-*meta*-substituted arenes and no reactivity is observed with *ortho*-substituted aryl groups. With respect to the alkyl substituent (R), lengthening the *n*-alkyl group beyond an ethyl moiety results in poor yields, as does the use of branched alkyl substituents. Also of note, bis-alkyl-substituted allylic electrophiles do not currently fare well in this chemistry (cf., **82**) and might represent an interesting avenue of future exploration.

As MAC adducts can be transformed into essentially any carboxylic acid derivative, we sought to develop additional onepot methods to access α -quaternary esters and amides.^{25e} Toward this end, we were pleased to find that MAC adduct **83** could be advanced in a one-pot fashion to a variety of esters (e.g., **84**), as well as amides (e.g., **85**) in moderate to high yields following cleavage of the MOM group and interception of the transient acyl cyanide by the appropriate nucleophile (Figure 7).

4 Synthesis of Vicinal All-Carbon Quaternary Centers via Enantioselective Iridium-Catalyzed Allylic Alkylation³²

Noting that our preparation of enantioenriched α -quaternary carboxylic acid derivatives progresses through intermediate **77**, bearing vicinal tetra-substituted and quaternary centers, led us to imagine that we could utilize this iridium-catalyzed allylic alkylation methodology to synthesize highly congested vicinal all-carbon quaternary centers. In designing a nucleophile for this desired reaction, we postulated that the most facile reaction development would be achieved if the malononitrile functionality of the previously utilized MAC nucleophile **65** was kept intact and the α -substitution were not more sterically demanding than the MOM group. Indeed, our previously reported conditions for the synthesis of α -quaternary carboxylic acid derivatives using MAC reagent **65** translated directly to the reaction of methylmalononitrile (**86**, R¹ = Me) with trisubstituted allylic electrophile **87**, though the enantioselectivity was low (Scheme 7). As our early work demonstrated that basic additives have pronounced effects on selectivity, we explored the effect of base on our current reaction. Ultimately, DABCO was revealed to be uniquely effective for this transformation, providing products **88** bearing vicinal all-carbon quaternary centers in moderate to good yields and excellent enantioselectivities for a variety of substituted malononitrile nucleophiles **86** and trisubstituted allylic electrophiles **87**.

As we are inspired and driven by the application of our methods in complex molecule synthesis, we sought to demonstrate the feasibility of chemoselective manipulation of allylic alkylation product **88** (Figure 8). The olefin functionality of **88** can either be chemoselectively reduced or oxidized via ozonolysis. Furthermore, multistep procedures can be utilized to prepare densely-functionalized compounds bearing two contiguous all-carbon quaternary stereocenters, such as **95** and **96**.

5 Summary and Future Outlook

Building on our group's longstanding interest in the synthesis of enantioenriched quaternary stereocenters, we have sought to expand the limits of iridium-catalyzed allylic alkylation chemistry to encompass the synthesis of sterically congested all-carbon quaternary stereocenters. Initially, we focused on the development of enantio- and diastereoselective iridium-catalyzed allylic alkylation technology for the construction of vicinal tertiary and all-carbon quaternary stereocenters. Our work has enabled the use of both cyclic and acyclic nucleophiles, as well as alkyl-substituted allylic electrophiles in this methodology. We then shifted our attention to umpolung strategy iridium-catalyzed allylic alkylation chemistry and developed MAC reagents as nucleophiles. Continued study of the umpolung chemistry led to the synthesis of allylic quaternary stereocenters via the use of trisubstituted allylic

electrophiles in conjunction with a Lewis acid co-catalyst. Most recently, we have discovered iridium-catalyzed allylic alkylation technology that provides access to vicinal all-carbon quaternary centers.

Despite these advances by our group, as well as beautiful work from other groups in the area,^{13,21} this emerging field is not without limitations. While there have been extensive advances to widen the substrate scope with respect to the nucleophile partner, the allylic electrophile remains largely limited to aryl- and alkenyl-substitution, aside from our reported method for the use of crotyl chloride as an electrophile.²⁰ Most importantly though, no general method for iridium-catalyzed allylic alkylation exists. As demonstrated *vide supra*, even minor changes to either the nucleophile or electrophile partner necessitate complete reoptimization of the reaction parameters. Ideally, a single catalyst system will be developed in the future which enables highly selective iridium-catalyzed allylic alkylation reactions for any combination of nucleophile and electrophile.

In looking forward, we envision that the field will shift its attention to the development of enantio- and diastereoselective iridium-catalyzed allylic alkylation for the synthesis of vicinal all-carbon quaternary stereocenters; a highly challenging motif to access that has also become a holy grail for many other areas of synthetic methodology. We hope that our recent work on the synthesis of vicinal quaternary centers will inspire and enable these studies. Ultimately, we look forward to a time when the broader synthetic community embraces iridium-catalyzed allylic alkylation as an enabling and reliable technology for the synthesis of complex targets.

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Biosketches

(Middle)

Samantha E. Shockley was born in Birmingham, AL in 1990. She received her B.S. degree in Chemistry from the University of Chicago in 2012 where she conducted research for Professor Richard F. Jordan. After graduating from the College, she worked with Professor Martin Banwell at the Australian National University as a U.S. Fulbright scholar. She is now pursuing her graduate studies at the California Institute of Technology under the guidance of Professor Brian M. Stoltz. Her graduate research focuses on the development of enantioselective transition metal catalysis and natural product total synthesis.

(Right)

J. Caleb Hethcox was born in Montgomery, AL in 1988. He graduated from Auburn University in 2010 where he conducted research with Professor Michael E. Squillacote. In 2015, he completed his Ph.D. in the laboratory of Professor Stephen F. Martin at the University of Texas at Austin, where he studied total synthesis and enantioselective halolactonization reactions. Currently, he is the Henry and Camille Dreyfus Postdoctoral Fellow in Environmental Chemistry in the laboratory of Professor Brian M. Stoltz at the California Institute of Technology. His research interests include the development and study of asymmetric transition metal catalyzed reaction and their applications in natural product synthesis.

(Left)

Brian M. Stoltz was born in Philadelphia, PA in 1970 and obtained his B.S. degree from the Indiana University of Pennsylvania in Indiana, PA. After graduate work at Yale University in the laboratories of John L. Wood and an NIH postdoctoral fellowship at Harvard in the Corey laboratories, he took a position at the California Institute of Technology. A member of the Caltech faculty since 2000, he currently is a Professor of Chemistry. His research interests lie in the development of new methodology for general applications in synthetic chemistry.



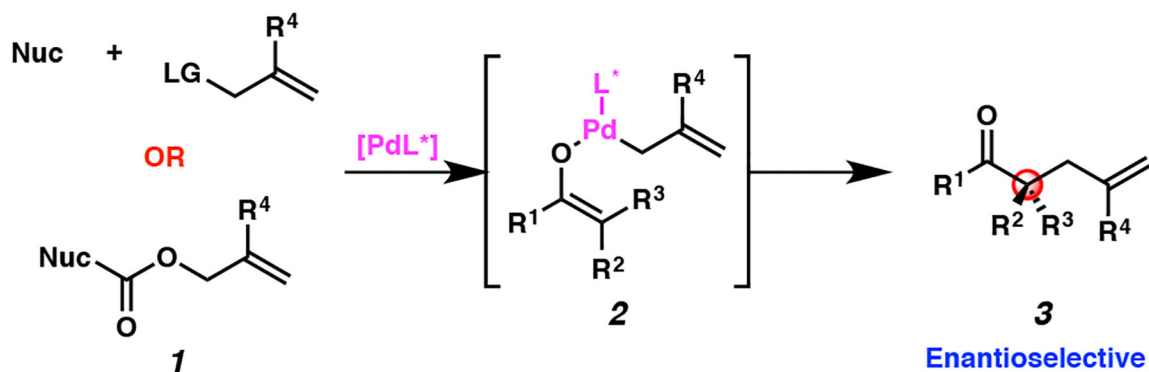
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Stoltz Group Palladium-Catalyzed Allylic Alkylation (2004–present)
Homoallylic Quaternary Stereocenters



Select Synthetic Applications

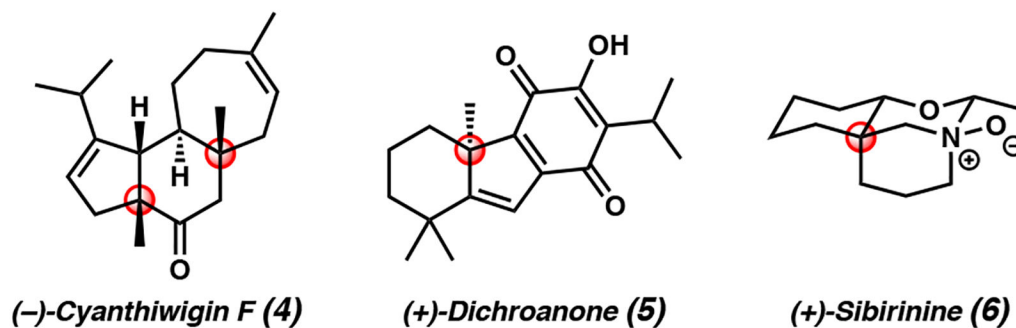
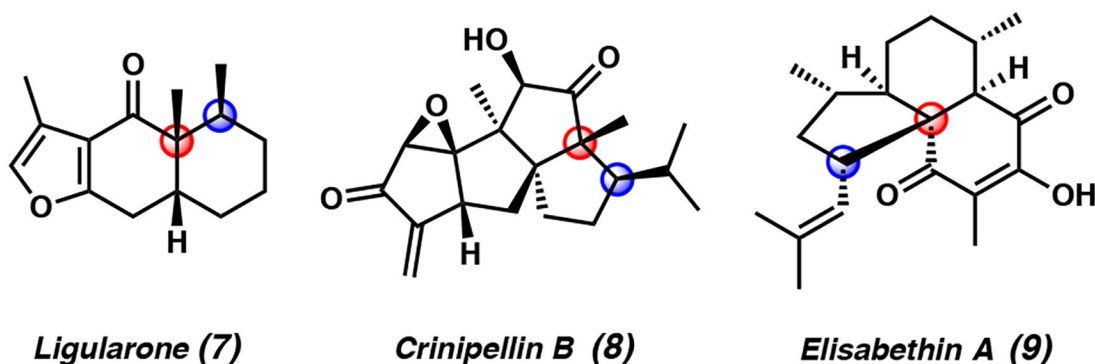


Figure 1. Stoltz group contributions to palladium-catalyzed allylic alkylation methodology and application in natural product total synthesis

Select Natural Products Inaccessible via Palladium-Catalyzed Allylic Alkylation



Stoltz Group Initial Planned Iridium-Catalyzed Allylic Alkylation

Vicinal 4°/3° Stereocenters

(Unreported as of 2013)

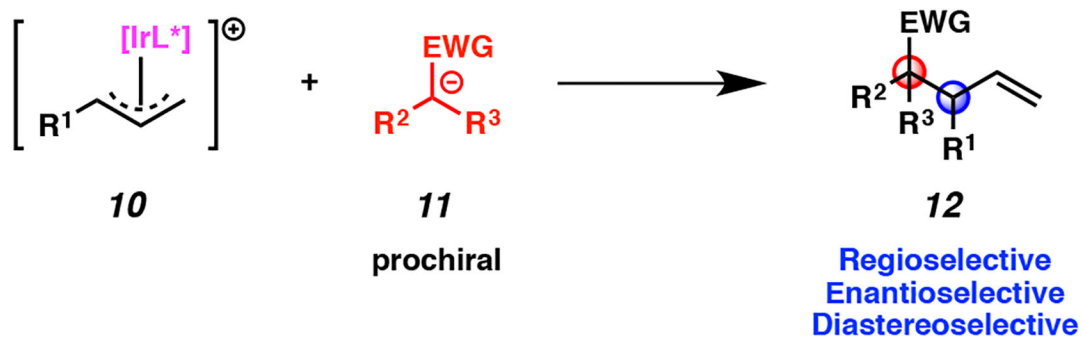
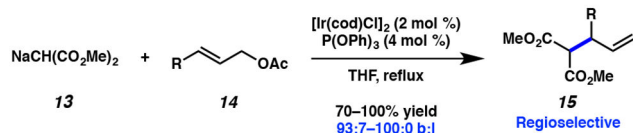
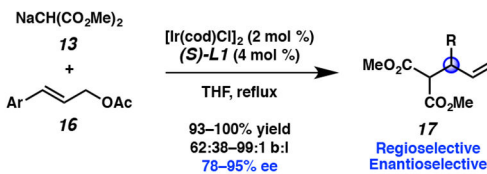


Figure 2. Stereodyad-containing natural products as inspiration for the development of novel iridium-catalyzed allylic alkylation technology

A) First Report of Iridium-Catalyzed Allylic Alkylation (Takeuchi, 1997)

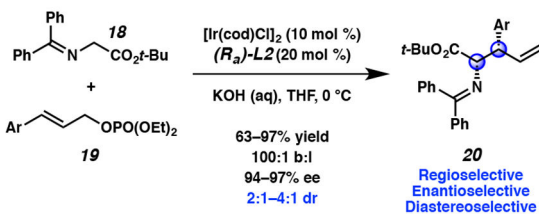


B) First Enantioselective Iridium-Catalyzed Allylic Alkylation (Helmchen, 1997)



C) First Diastereoselective Iridium-Catalyzed Allylic Alkylations

(Takemoto, 2003)



(Hartwig, 2013)

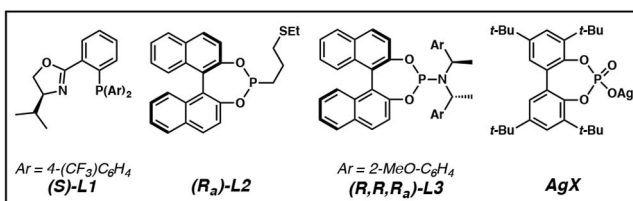
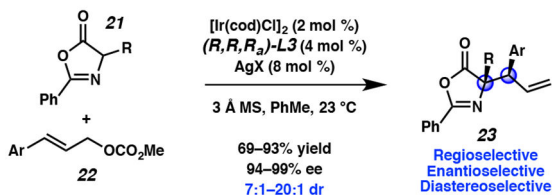


Figure 3. Timeline for the development of iridium-catalyzed allylic alkylation prior to the Stoltz group's entry into the field

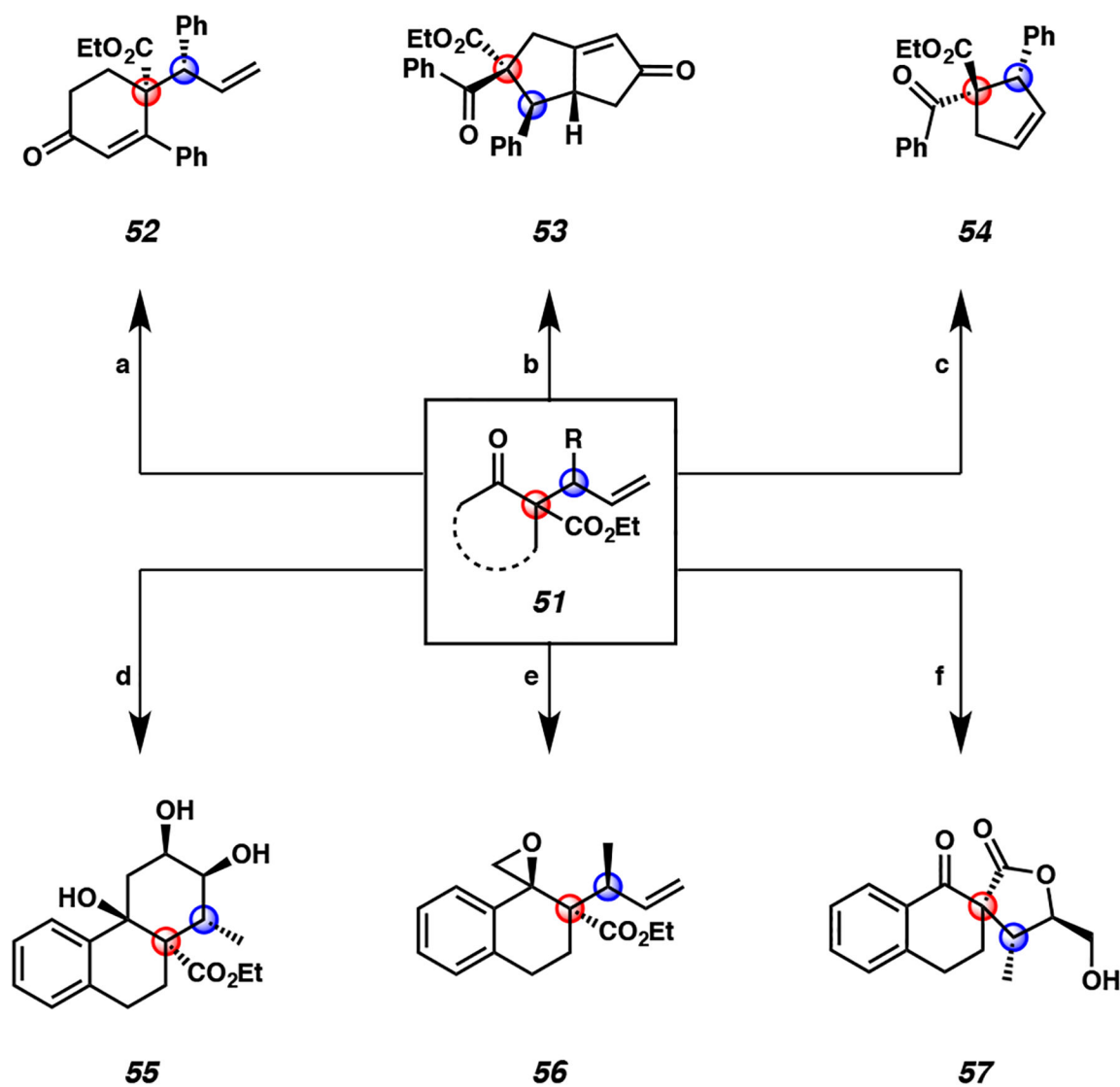
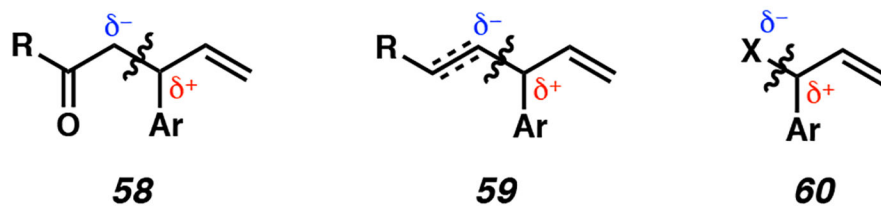


Figure 4.

Select examples of diverse product transformations of enantio- and diastereoselective iridium-catalyzed allylic alkylation products **51**^a

^aConditions: (a) pyrrolidine, AcOH, *t*-BuOMe, reflux, 95% yield. (b) Co₂(CO)₈, CH₂Cl₂, then Me₃NO×2H₂O, >20:1 dr, 99% yield. (c) HG-II (10 mol %), CH₂Cl₂, 40 °C, 96% yield. (d) i) allylmagnesium chloride, THF, -78 °C, 71% yield, ii) HG-II, CH₂Cl₂, 81% yield, iii) K₂OsO₄, NMO, THF/H₂O, 59% yield. (e) Me₃S(O)I, NaH, DMSO, 82% yield. (f) K₂OsO₄, NMO, THF/H₂O, 65% yield.

A) Standard Iridium-Catalyzed Allylic Alkylation



B) Umpolung Strategy Iridium-Catalyzed Allylic Alkylation via MAC Reagent

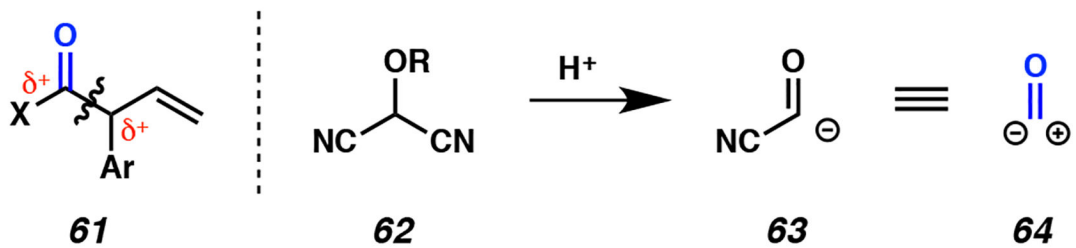


Figure 5.
Iridium-catalyzed allylic alkylation strategies

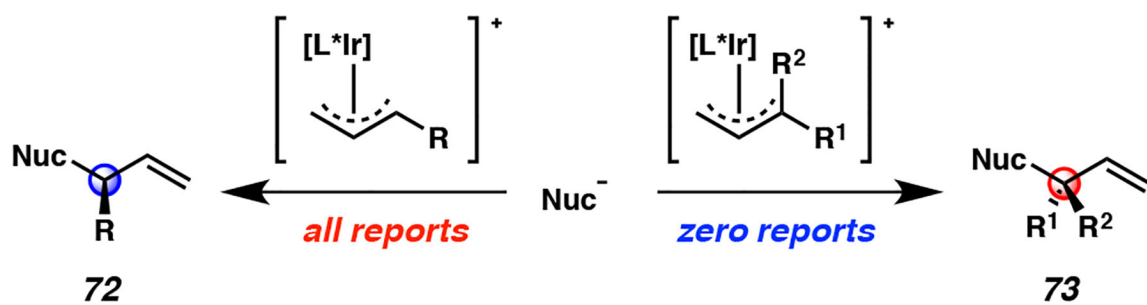


Figure 6.
Limitations in enantioselective iridium-catalyzed allylic alkylation prior to 2017

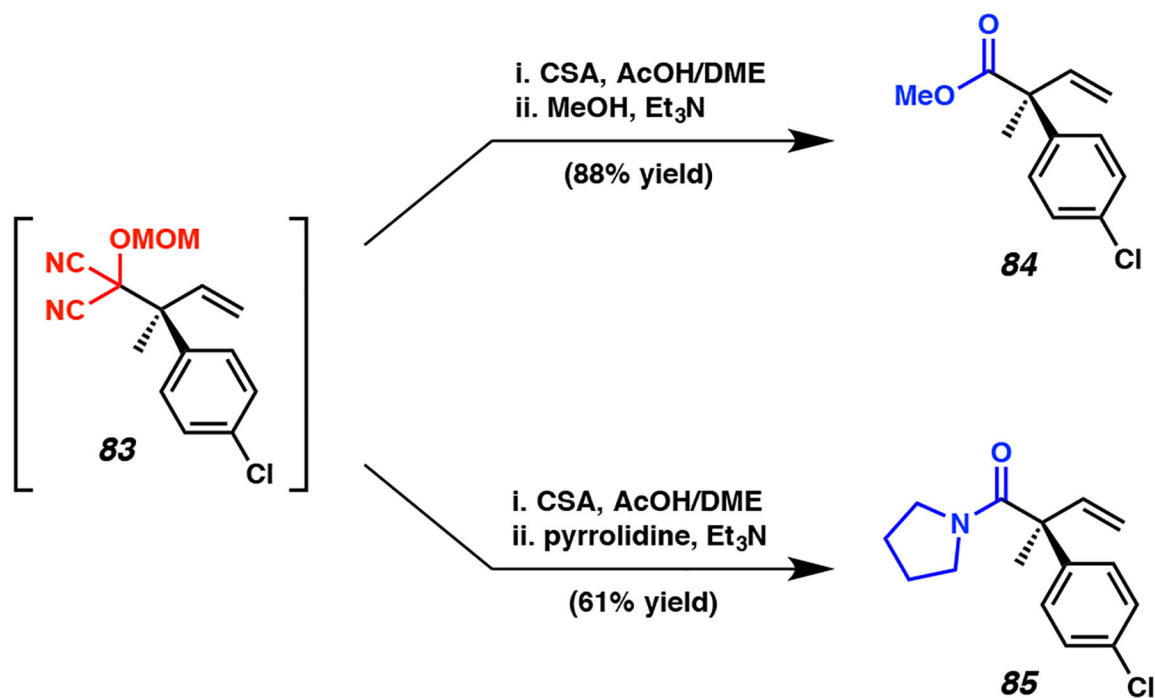


Figure 7.
Enantioselective synthesis of acyclic α -quaternary esters **84** and amides **85**

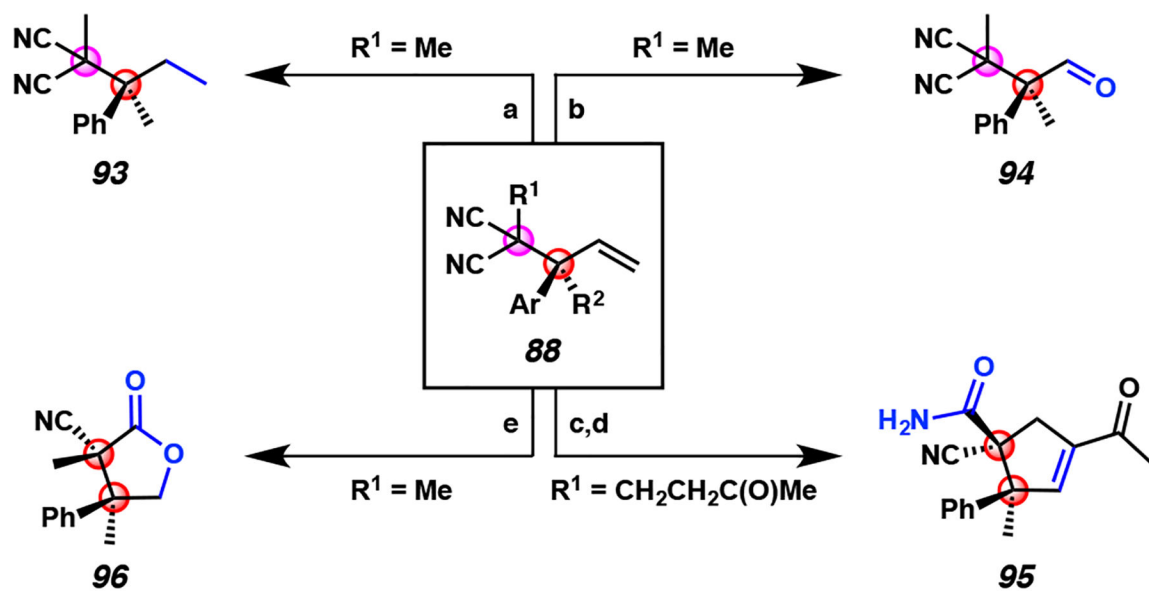
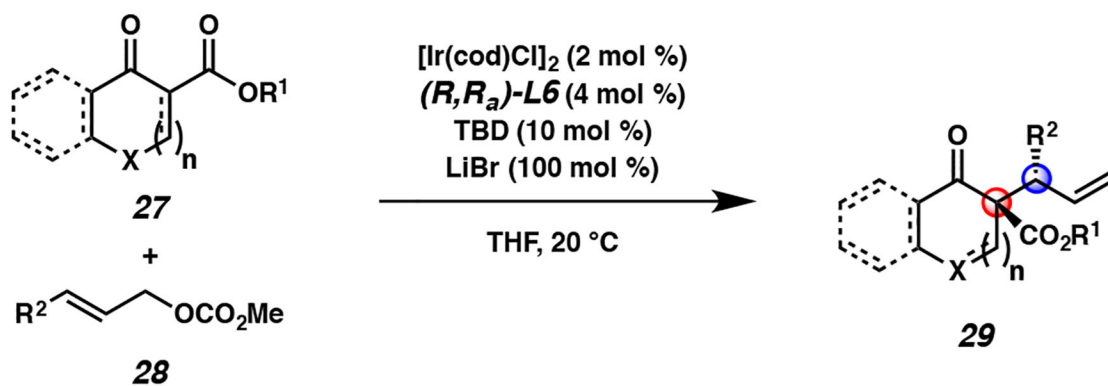


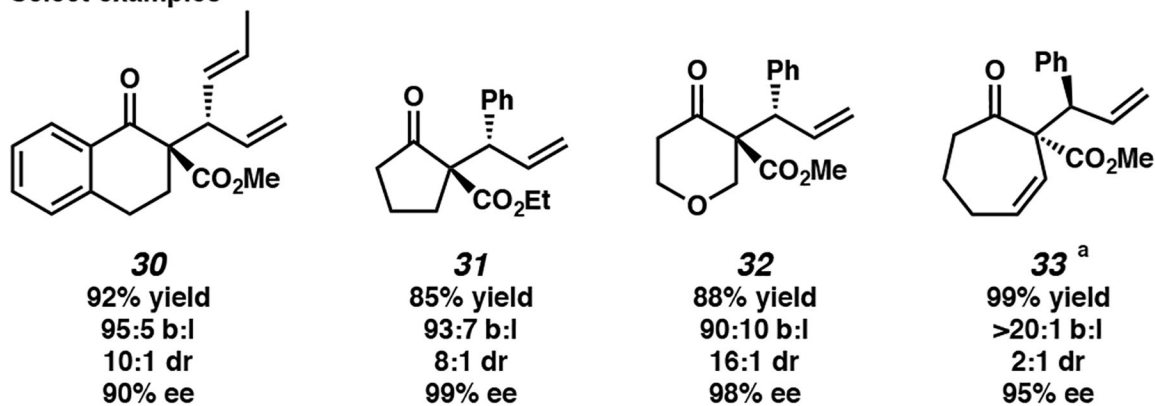
Figure 8.

Product derivatizations of iridium-catalyzed allylic alkylation products **88** bearing vicinal all-carbon quaternary centers

^aConditions: (a) RhCl(PPh₃)₃, H₂ (balloon), benzene, 23 °C, 18 h, 92% yield. (b) O₃, pyridine, CH₂Cl₂, -78 °C, 4 min, 93% yield. (c) i. O₃, pyridine, CH₂Cl₂, -78 °C, 4 min, ii. *p*-TsOH, benzene, reflux, 18 h, 47% yield. (d) NaOH, EtOH/H₂O (1:1), 60 °C, 18 h, 38% yield. 1:1:1 dr. (e) i. O₃, MeOH, -78 °C, 0.5 h, ii. NaBH₄, 0 °C, 3 h, 65% yield, 1:2.5 dr.



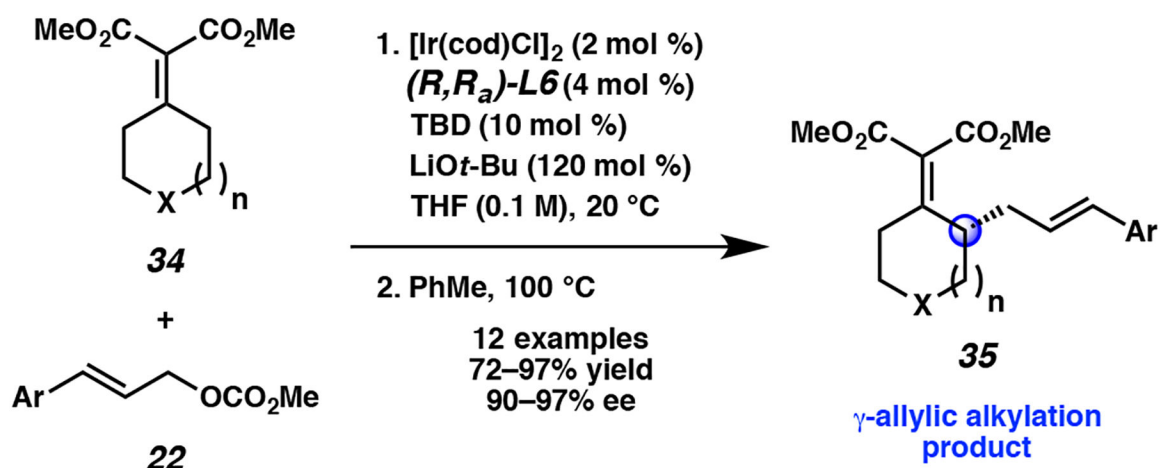
Select examples



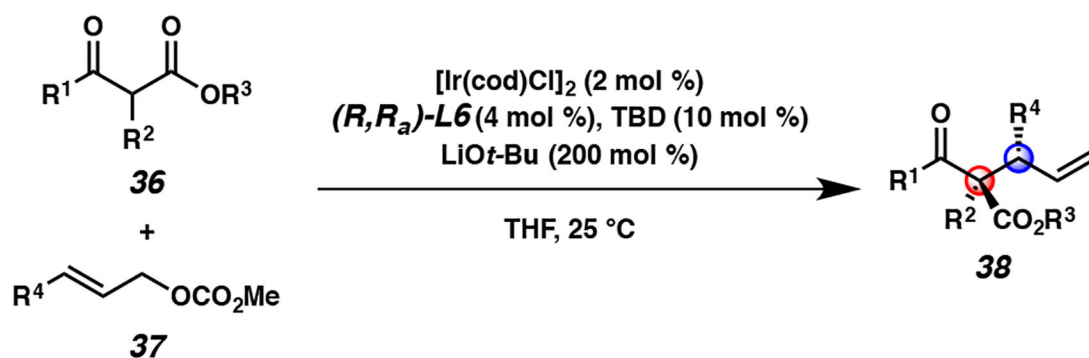
Scheme 1.

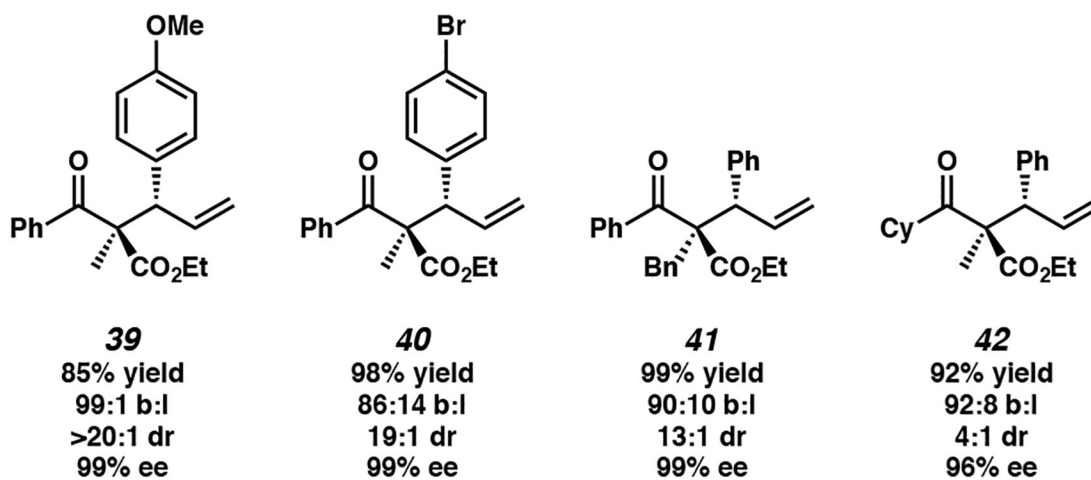
Enantio- and diastereoselective iridium-catalyzed allylic alkylation of cyclic nucleophiles

27, ^aReaction performed with $(S,S_a)\text{-L6}$ without LiBr.

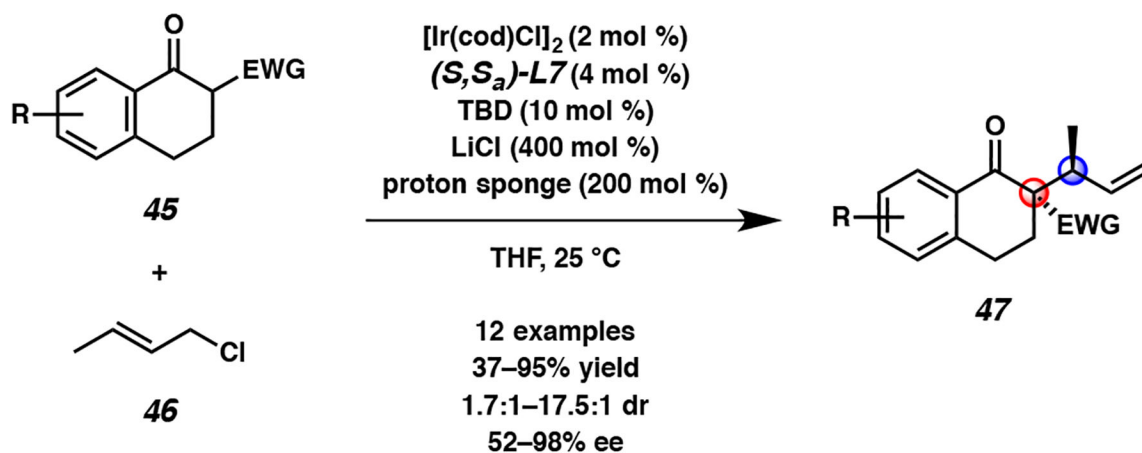


Scheme 2.
Iridium-catalyzed allylic alkylation/Cope rearrangement sequence

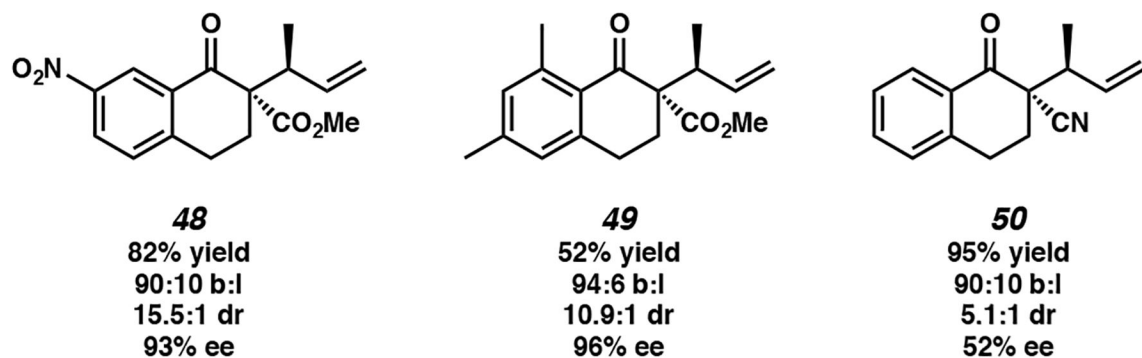


 Select examples


Scheme 3.
 Enantio- and diastereoselective Iridium-catalyzed allylic alkylation of acyclic nucleophiles **36**

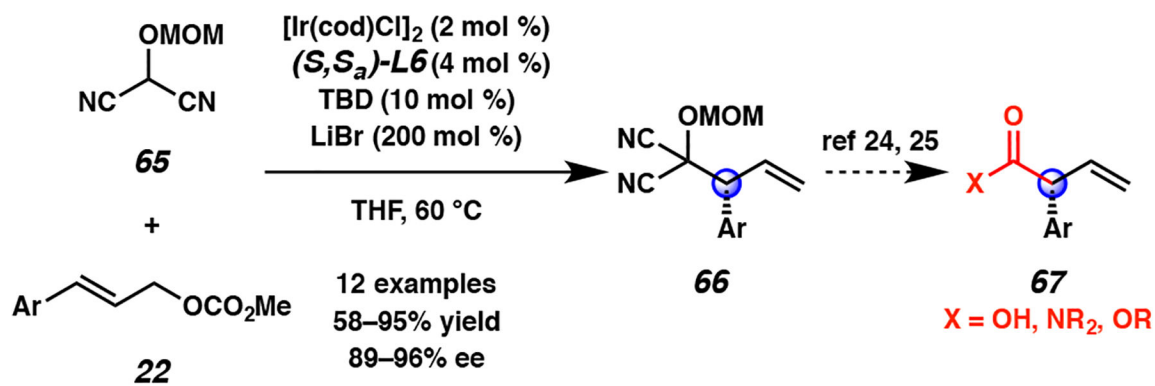


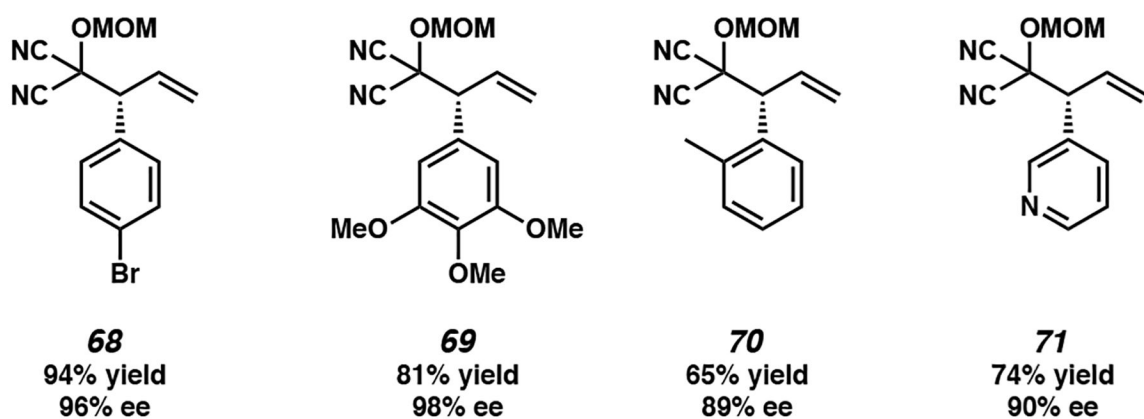
Select examples



Scheme 4.

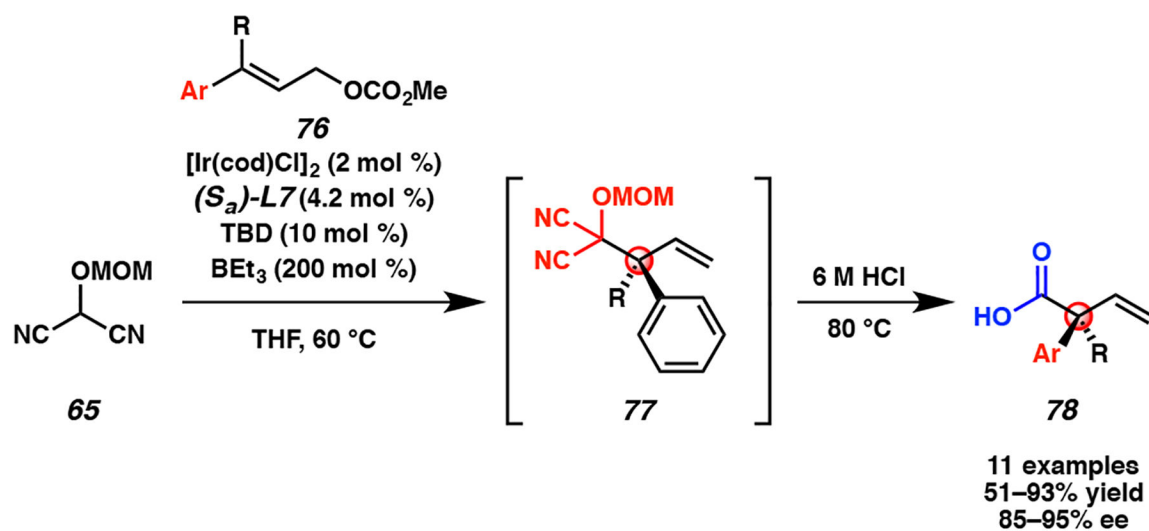
Enantio- and diastereoselective iridium-catalyzed allylic alkylation reactions with crotyl chloride (**46**)

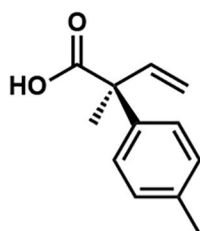


 Select examples


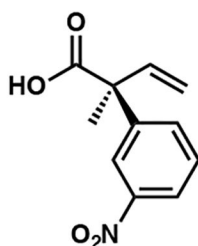
Scheme 5.

 First report of MAC reagent **65** in an asymmetric transition metal-catalyzed reaction

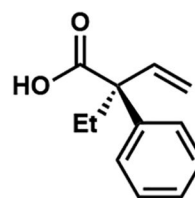


 Select examples


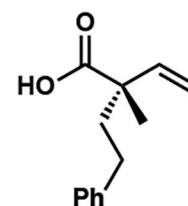
79
 65% yield
 94% ee



80
 93% yield
 87% ee

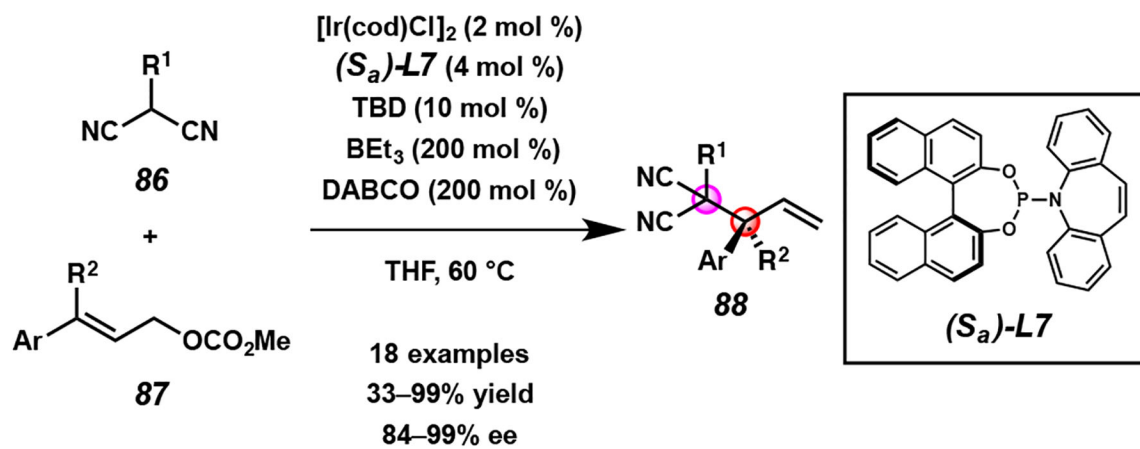


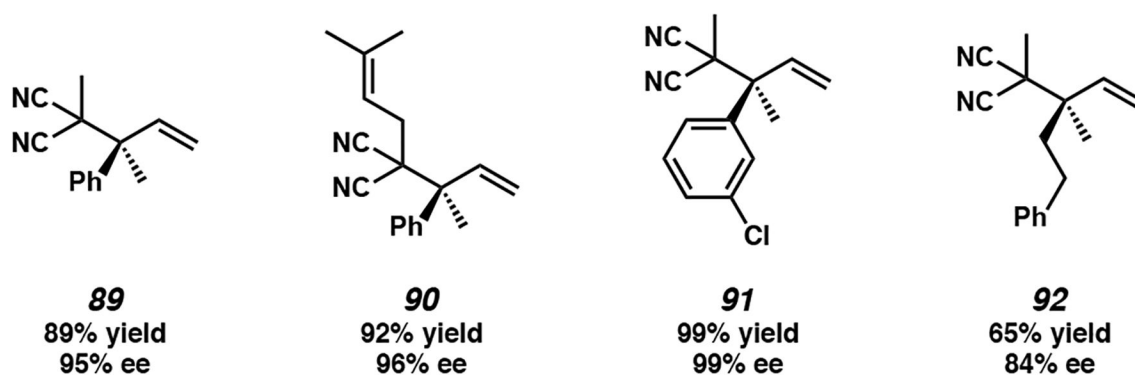
81
 61% yield
 92% ee



82
 32% yield
 3% ee

Scheme 6.
 Enantioselective synthesis of acyclic α -quaternary carboxylic acids **78**

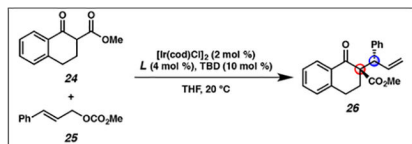


Select examples

Scheme 7.

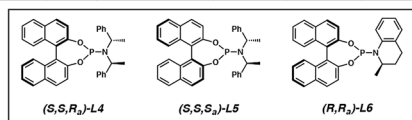
Synthesis of vicinal all-carbon quaternary centers **88** via enantioselective iridium-catalyzed allylic alkylation

Table 1

Development of conditions for the iridium-catalyzed allylic alkylation reaction of cyclic nucleophiles forming vicinal tertiary and all-carbon quaternary stereocenters^a



Entry	L	Additive (X mol %)	b:l ^b	dr ^b	ee (%) ^c
1	L4	NaH (200)	>95:5	1:1	99
2	L5	NaH (200)	>95:5	1:2	32
3	L6	NaH (200)	95:5	>20:1	98
4	L6	-	80:20	11:1	96
5	L6	LiCl (100)	88:12	14:1	98
6	L6	LiBr (100)	95:5	>20:1	>99



^aReactions performed with 0.1 mmol of **25**, 0.2 mmol of **24** in THF (0.1M) and allowed to proceed to complete consumption of **25**.

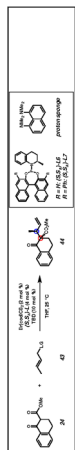
^bDetermined by ¹H NMR and UHPLC-MS analysis of the crude mixture.

^cDetermined by chiral HPLC analysis of the major diastereomer.

^dTBD = 1,3,5-triazabicyclo[4.4.0]dec-5-ene.

Optimization of iridium-catalyzed allylic alkylation reaction of alkyl-substituted electrophile **43**^a

Table 2



Entry	L	Base (200 mol %)	Additive (mol %)	LG	yield (%) ^b	b:f ^c	dr ^c	ee (%) ^d
1	L6	-	LiBr (100)	OCO ₂ Me	100	55:45	6.4:1	-
2	L6	LiOt-Bu	-	OCO ₂ Me	85	34:66	5.3:1	-
3	L6	LiOt-Bu	LiCl (100)	OCO ₂ Me	69	50:50	7.2:1	-
4	L6	LiOt-Bu	LiCl (100)	Cl	94	86:14	4.8:1	-
5	L6	Proton sponge	LiCl (100)	Cl	100	93:7	7.9:1	66
6	L7	Proton sponge	LiCl (100)	Cl	46	95:5	6.0:1	96
7	L7	Proton sponge	LiCl (400)	Cl	78	94:6	6.7:1	97

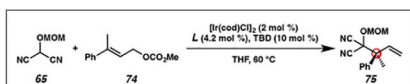
^aReactions performed on 0.1 mmol of **43**, 0.2 mmol of **24** in THF (0.1 M) for 18 h.

^b¹H NMR yield of the mixture of diastereomers based on internal standard.

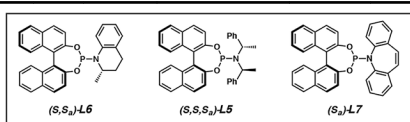
^cDetermined by ¹H NMR analysis of the crude reaction mixture.

^dDetermined by chiral SFC analysis.

^eProton sponge = 1,8-bis(dimethylamino)naphthalene.

Table 3Optimization of the enantioselective synthesis of acyclic α -quaternary carbocyclic acid derivatives **75**

Entry	L	65:74	Additive (200 mol %)	Yield ^b	ee (%) ^c
1	L6	2:1	LiBr	0	-
2	L5	2:1	LiBr	0	-
3	L7	2:1	LiBr	13	79
4	L7	2:1	BEt ₃	34	93
5	L7	1:2	BEt₃	99	94

^aReactions performed on 0.1 mmol scale.^b¹H NMR yield based on internal standard.^cDetermined by chiral HPLC analysis.