



Improving breast cancer sensitivity to paclitaxel by increasing aneuploidy

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Predictive biomarkers for tumor response to neoadjuvant chemotherapy are needed in breast cancer. This study investigates the predictive value of 280 genes encoding proteins that regulate microtubule assembly and function. By analyzing 3 independent multicenter randomized cohorts of breast cancer patients, we identified 17 genes that are differentially regulated in tumors achieving pathological complete response (pCR) to neoadjuvant chemotherapy. We focused on the *MTUS1* gene, whose major product, ATIP3, is a microtubule-associated protein down-regulated in aggressive breast tumors. We show here that low levels of ATIP3 are associated with an increased pCR rate, pointing to ATIP3 as a predictive biomarker of breast tumor chemosensitivity. Using preclinical models of patient-derived xenografts and 3-dimensional models of breast cancer cell lines, we show that low ATIP3 levels sensitize tumors to the effects of taxanes but not DNA-damaging agents. ATIP3 silencing improves the proapoptotic effects of paclitaxel and induces mitotic abnormalities, including centrosome amplification and multipolar spindle formation, which results in chromosome mis-segregation leading to aneuploidy. As shown by time-lapse video microscopy, ATIP3 depletion exacerbates cytokinesis failure and mitotic death induced by low doses of paclitaxel. Our results favor a mechanism by which the combination of ATIP3 deficiency and paclitaxel treatment induces excessive aneuploidy, which in turn results in elevated cell death. Together, these studies highlight ATIP3 as an important regulator of mitotic integrity and a useful predictive biomarker for a population of chemoresistant breast cancer patients.

MTUS1 | ATIP3 | taxanes | predictive biomarker | multipolar spindle

Breast cancer is a leading cause of cancer death among women worldwide. Neoadjuvant chemotherapy, administered before surgery, represents an option for a number of breast cancer patients (1). Preoperative chemotherapy decreases primary tumor burden, thus facilitating breast conservation (2, 3), and administration of chemotherapy on naïve tumors prior to surgery also provides the opportunity to rapidly measure tumor response and identify breast cancer patients who may gain an advantage from the treatment. The achievement of pathological complete response (pCR), characterized by complete eradication of all invasive cancer cells from the breast and axillary lymph nodes, is often considered a surrogate end point for cancer-free survival after neoadjuvant setting, especially in aggressive triple-negative breast tumors (4, 5). Clinical parameters, such as estrogen receptor-negative status, high histological grade, and high proliferative status, have been associated with greater sensitivity to chemotherapy (5, 6). However, the proportion of patients who achieve a pCR following preoperative chemotherapy remains low, reaching 15 to 20% in the whole population and 30 to 40% in ER-negative tumors (7, 8). Considering the rapidly growing

area of personalized medicine, the identification of efficient molecular markers that can predict sensitivity to chemotherapy is crucial to select patients who may benefit from therapy, thereby avoiding unnecessary treatment and associated toxicities for those who remain resistant (9).

The most used regimens in the neoadjuvant setting of breast cancer patients include taxanes and anthracyclines, whose combination is associated with improved outcome compared to anthracyclines alone (3). Taxanes (paclitaxel and docetaxel) are microtubule-targeting agents that bind and stabilize microtubules (MT), inducing mitotic arrest and apoptosis (10, 11). At clinically relevant concentrations in the nanomolar range, these drugs suppress MT dynamic instability (11–13) and behave as mitotic poisons that target the mitotic spindle during mitosis, inducing multipolar spindles and centrosomal abnormalities (13). The assembly and dynamics of the mitotic spindle are tightly regulated by a number of MT-associated proteins (MAPs) and mitotic kinases (14, 15), suggesting that alterations of MAP expression and/or function in breast tumors may regulate their sensitivity to taxane-based chemotherapy. Gene expression studies indeed identified the MAP tau protein as a predictive biomarker whose down-regulation is

Significance

Low levels of ATIP3 in breast tumors are associated with increased response to neoadjuvant chemotherapy, and ATIP3 silencing in breast cancer cells potentiates the effects of paclitaxel, highlighting the importance of this predictive biomarker to select breast cancer patients who are sensitive to taxane-based chemotherapy. ATIP3 depletion promotes mitotic abnormalities, including centrosome amplification and multipolar spindle formation, which is a source of chromosome segregation errors and aneuploidy. Excessive aneuploidy in ATIP3-deficient cells treated with low doses of paclitaxel results in massive cell death.

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associated with increased pCR rate in breast cancer patients (16–21), underlining the interest of studying MT-regulating proteins as predictors of chemotherapy efficacy.

In the present study, we analyzed a panel of 280 genes encoding MT-regulating proteins to evaluate their predictive value as biomarkers of neoadjuvant taxane-based chemotherapy in breast cancer patients. Seventeen genes were identified as being differentially expressed in tumors from patients achieving pCR from 3 independent multicenter randomized breast cancer clinical trials. We focused our interest on candidate tumor suppressor gene *MTUS1* (22, 23) that encodes the MT-stabilizing protein ATIP3, previously reported as a prognostic biomarker of breast cancer patient survival (24, 25). We show here that low ATIP3 expression in breast tumors is associated with a higher pCR rate. Unexpectedly, ATIP3 deficiency, which is known to increase MT instability (25), improves rather than impairs cancer cell sensitivity to taxanes. Our results favor a model in which ATIP3 depletion sensitizes cancer cells to paclitaxel by increasing centrosome amplification and mitotic abnormalities, leading to massive aneuploidy and cell death.

Results

Gene Expression Studies Identify *MTUS1* Gene as a Predictor of Breast Tumor Response to Neoadjuvant Chemotherapy. To identify predictive biomarkers of sensitivity to neoadjuvant chemotherapy in breast cancer, we analyzed a panel of 280 genes encoding MT regulatory proteins, including MAPs and mitotic kinases. We compared gene expression profiles with clinical data in 3 independent cohorts (R02, MDA, and R04) of 115, 133, and 142 breast cancer patients, respectively (SI Appendix, Table S1). We identified a total of 118 genes that were significantly differentially regulated ($P < 0.01$) in patients who achieved pCR compared to those (NpR) who did not. Among them, 17 were common to all 3 cohorts (Fig. 1A and B, Table 1, and SI Appendix, Table S2). These genes encode structural MAPs that regulate MT stability (*MAPT*, *MTUS1*, *STMN1*), MT end-binding proteins (*ASPM*, *GTSE1*, *RACGAP1*), protein kinases and their regulators (*AURKB*, *MAST4*, *TPX2*), and molecular motors (a total of 8 kinesins) that control mitosis, cytokinesis, or intracellular transport. Of note, the MT-stabilizing protein tau encoded by *MAPT* was previously described as a potent predictor of taxane-based chemotherapy in breast cancer (16–21), therefore validating our gene profiling approach.

Besides *MAPT*, the *MTUS1* gene consistently reached higher fold change and better P value in all 3 cohorts (Fig. 1B). We thus focused our attention on *MTUS1*, whose gene product ATIP3 has been identified as a prognostic biomarker of patient survival with potent tumor suppressor effects in breast cancer (24, 25). In each cohort of breast cancer patients examined, *MTUS1* Affymetrix probe set intensities were significantly lower in cases with pCR than in those with NpR (Fig. 1C and SI Appendix, Figs. S1–S3). Receiver operating curves (ROCs) revealed area under the curve (AUC) values of 0.717 ($P = 0.007$), 0.699 ($P = 0.0005$), and 0.769 ($P < 0.0001$) in the R02, MDA and R04 cohorts, respectively, indicating that *MTUS1* levels predict the response to chemotherapy with good accuracy (Fig. 1D and SI Appendix, Figs. S1–S3).

Tumors were then classified into 3 groups expressing high, medium, and low *MTUS1* levels according to heat map hierarchical clustering (Fig. 1E and F). In the R02 cohort, the pCR rate was 32% (8/25) in tumors expressing low *MTUS1* levels compared to 11.9% (7/59) and 0% (0/31) in those expressing medium and high levels of *MTUS1*, respectively (Fig. 1G), indicating that the sub-population of low-*MTUS1*-expressing tumors is more likely to achieve complete response. Of note, 100% of tumors with high *MTUS1* levels failed to achieve pCR, suggesting that high *MTUS1* levels may identify patients unlikely to respond to chemotherapeutic treatment (Fig. 1G). Similar results were obtained using the 2 other cohorts of patients (SI Appendix, Figs. S2D and S3D).

Univariate logistic regression analysis including age, hormone receptors, HER2, tumor grade, stage, nodal status, and *MTUS1*

level identified hormonal receptors ER (odds ratio [OR]: 4.46; CI: 1.4 to 14.2) and PR (OR: 6.5; CI: 1.39 to 30.36), as well as *MTUS1* (OR: 5.37; CI: 1.71 to 16.84), as predictive factors associated with pCR after neoadjuvant chemotherapy (Table 2 and SI Appendix, Table S3). In multivariate analysis, ER (OR: 4.66; CI: 1.46 to 14.81) and *MTUS1* (OR: 12.16; CI: 3.88 to 38.07) were identified as independent predictors of pCR (Table 2 and SI Appendix, Table S3). These results indicate that low *MTUS1* status may be used to identify patients with high response rates. Notably, 32% of low-*MTUS1* tumors were associated with pCR, compared to 25% for ER-negative tumors. Combining ER status and low *MTUS1* levels further increased the pCR rate from 7 to 25% among ER-positive tumors and 25 to 39% among ER-negative tumors of the R02 cohort (Fig. 1H). Similar results were obtained with the 2 other series of patients (SI Appendix, Figs. S2E and S3E). Real-time RT-PCR analysis performed in a panel of 106 breast tumors

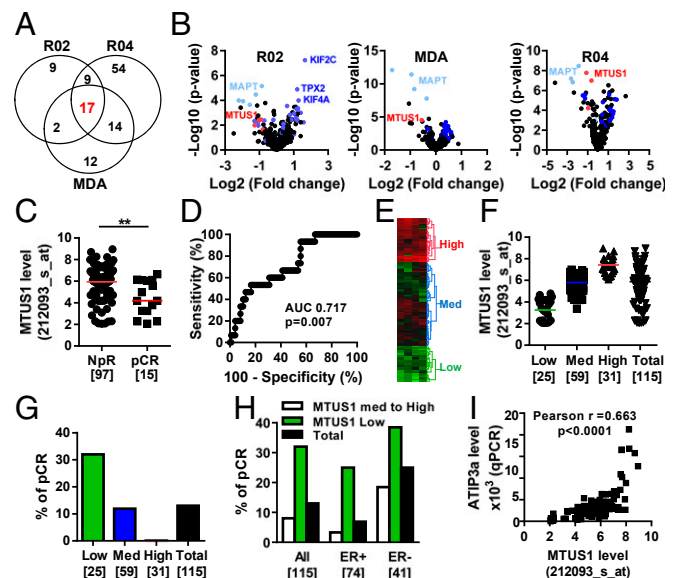


Fig. 1. Low levels of *MTUS1* predict the response to neoadjuvant chemotherapy. (A) Venn diagram of the number of differentially expressed genes between sensitive (pCR) and resistant (NpR) tumors in the REMAGUS02 (R02), REMAGUS04 (R04), and MD Anderson (MDA) cohorts, and common genes among them. (B) Volcano plots showing differentially expressed genes between pCR and NpR tumors from patients of the R02 (Left), MDA (Middle), and R04 (Right) cohorts. Each dot represents the fold change and the P value obtained for a single gene probe set. Genes common to all 3 cohorts are plotted in blue. *MAPT* is in light blue, and *MTUS1* is in red. Names of the best candidates are indicated. (C) Scattered dot plot of the *MTUS1* probe set (212093_s_at) intensity in tumors from patients of the R02 cohort with NpR or pCR after neoadjuvant chemotherapy. Numbers of samples are in brackets. $**P < 0.01$. (D) ROC curve evaluating the performance of *MTUS1* expression for predicting complete response to neoadjuvant chemotherapy. (E) Heat map and hierarchical clustering of 115 breast tumor samples based on the intensities of 4 *MTUS1* probe sets (212096_s_at, 212093_s_at, 212095_s_at, 239576_at). The heat map illustrates relative expression profiles of *MTUS1* (column) for each tumor sample (line) in a continuous color scale from low (green) to high (red) expression. A dendrogram of the 3 selected tumor groups is shown on the right. (F) Scattered dot plot of *MTUS1* expression in each of the 3 selected clusters based on the dendrogram shown in E. Numbers of samples are in brackets. (G) Proportion of patients with pCR according to the *MTUS1* level in each selected cluster. Numbers of tumors in each group are indicated in brackets. (H) Proportion of patients with pCR according to the *MTUS1* level in all tumors (All) and among ER+ and ER- tumors. Numbers of tumors in each group are indicated in brackets. (I) Correlation between *MTUS1* (212093_s_at) probe set intensities and ATIP3 mRNA levels measured by real-time RT-PCR (qPCR) using oligonucleotides designed in 5' exons that are specific to ATIP3 transcripts in 106 breast tumor samples of the R02 cohort.

Table 1. MT-regulating genes differentially expressed in chemosensitive breast tumors

Gene symbol	Gene name	Process
ASPM	Abnormal spindle microtubule assembly	MT minus-end binding, spindle organization
AURKB	Aurora kinase B	Ser/Thr protein kinase, spindle organization
GTSE1	G2 and S phase-expressed 1	MT plus-end binding, spindle organization, cell migration
KIF11	Kinesin family member 11	MT molecular motor, spindle organization
KIF14	Kinesin family member 14	MT molecular motor
KIF15	Kinesin family member 15	MT molecular motor
KIF18B	Kinesin family member 18B	MT depolymerizing, spindle organization
KIF20A	Kinesin family member 20A	MT molecular motor
KIF2C	Kinesin family member 2C	MT depolymerizing, spindle organization
KIF4A	Kinesin family member 4A	MT molecular motor, chromokinesin
KIFC1	Kinesin family member C1	MT molecular motor, spindle assembly
RACGAP1	Rac GTPase-activating protein 1	MT plus-end binding, spindle midzone assembly, cytokinesis
STMN1	Stathmin 1	MT-destabilizing, spindle organization
TPX2	Targeting protein for Xklp2	Aurora kinase A regulator, spindle organization
<i>MAPT</i>	Microtubule-associated protein tau	MT stabilizing, EB1 binding
<i>MAST4</i>	Microtubule associated serine/threonine kinase family member 4	Ser/Thr protein kinase
<i>MTUS1</i>	Microtubule-associated tumor suppressor 1	MT stabilizing, EB1 binding, tumor suppressor effects

Properties of the 17 differentially regulated genes common to the 3 cohorts. Genes up-regulated in pCR tumors are in bold; those down-regulated are in italics.

of the R02 cohort using 3 different pairs of oligonucleotides showed significant correlation between ATIP3 messenger RNA (mRNA) levels and *MTUS1* Affymetrix probe set intensities (Fig. 1I and *SI Appendix, Fig. S1 D–F*). Together, these results indicate that low ATIP3 mRNA levels predict breast tumor response to chemotherapy.

Low ATIP3 Expression in Breast Tumors and Cancer Cells Increases Sensitivity to Taxanes but Not DNA-Targeting Agents. Preclinical studies were undertaken using patient-derived xenografts (26) to

confirm and extend our results obtained on breast cancer patients. Twenty-two models of human breast cancer xenografts grown in mice (HBCx) were exposed to either docetaxel (DTX) or anthracycline plus cyclophosphamide (AC). ATIP3 expression levels in HBCx were evaluated by real-time RT-PCR and validated by immunohistochemistry (IHC) (Fig. 2A and *SI Appendix, Table S4*). ATIP3 mRNA levels were then plotted according to sensitivity or resistance of tumors to DTX and AC treatment. As shown in Fig. 2B, DTX-sensitive xenografts displayed significantly lower ATIP3 levels than DTX-resistant ones (median expression

Table 2. Predictive factors of pCR in the R02 study

Variable	pCR (%)	Univariate analysis		Multivariate analysis	
		OR (95% CI)	P value	OR (95% CI)	P value
Age					
<50	8 (10.3)	0.44 (0.145–1.33)	0.147		
>50	7 (20.6)				
ER					
Negative	10 (25)	4.46 (1.4–14.2)	0.011	4.66 (1.46–14.81)	0.0076
Positive	5 (6.9)				
PR					
Negative	13 (21.3)	6.5 (1.39–30.36)	0.017	1.88 (0.40–8.78)	0.281
Positive	2 (4)				
HER2					
Negative	9 (12.2)	1.35 (0.11–4.13)	0.597		
Positive	6 (15.8)				
Grade					
III	10 (16.1)	2.01 (0.59–6.89)	0.262		
I/II	4 (8.7)				
Tumor stage					
T2	10 (17.8)	2.21 (0.70–6.96)	0.172		
T3–4	5 (8.9)				
Nodal status					
N0	8 (19.1)	2.05 (0.684–6.14)	0.199		
N+	7 (10.3)				
<i>MTUS1</i> level					
Low	8 (32)	5.37 (1.71–16.84)	0.0039	12.16 (3.88–38.07)	<0.0001
Medium/high (n = 7)	7 (8.1)				
Continuous*		1.78 (1.19–2.76)	0.005		

*Entered as continuous variable (probe set 212096_s_at values). Significant P values are indicated in bold.

value of 0.14 versus 0.33). No significant difference in ATIP3 level was observed between AC-sensitive and AC-resistant HBCx (Fig. 2B), indicating that ATIP3 levels are associated with the response to the microtubule-targeting agent DTX rather than to DNA-targeting drugs. Accordingly, the response rate to DTX was significantly higher in low-ATIP3 compared to high-ATIP3 expressing HBCx (70 vs. 16.7%), whereas the response rate to AC remained similar in both groups of tumors (80 vs. 75%) (Fig. 2C).

We then investigated the consequence of ATIP3 depletion on breast cancer cell viability upon exposure to chemotherapy. Breast cancer cells were grown in 3 dimensions as multicellular spheroids (MCSs) to mimic the main features and tissue architecture of solid tumors (27) and were treated with increasing doses of chemotherapeutic agents. ATIP3 silencing in SUM52-PE cells (*SI Appendix, Fig. S4A*) markedly improved the cytotoxic effects of both DTX and paclitaxel (PTX) (Fig. 2D and *SI Appendix, Fig. S4 B–D*) but had no effect on the cellular response to doxorubicin (Fig. 2E). Similar results were obtained in HCC1143 and MDA-MB-231 breast cancer cells treated with DTX and PTX, respectively (*SI Appendix, Fig. S4 E and F*), confirming that ATIP3 deficiency sensitizes breast cancer cells to taxanes but not to DNA-targeting drugs.

Taxanes are mitotic poisons that arrest cells in mitosis and trigger apoptosis. MCSs treated with clinically relevant doses of PTX (13) were arrested in mitosis, a phenotype that was further increased in ATIP3-deficient cells (*SI Appendix, Fig. S5A*). ATIP3 deficiency also increased the percentage of cells undergoing apoptosis following treatment with low doses of PTX (Fig. 2F and *SI Appendix, Fig. S5B*). Accordingly, molecular markers of apoptosis, such as cleavage of PARP (Fig. 2G) and of caspase-3 (*SI Appendix, Fig. S5C*) as well as decline in antiapoptotic protein Mcl-1 levels (*SI Appendix, Fig. S5D*), were elevated upon PTX treatment in ATIP3-deficient compared to ATIP3-proficient spheroids. Thus, ATIP3 deficiency improves the mitotic and proapoptotic effects of taxanes.

PTX-Induced Mitotic Defects Are Increased in ATIP3-Deficient Cells.

To get insight into the mechanism by which ATIP3 depletion sensitizes cancer cells to the effects of PTX, we examined the consequences of ATIP3 silencing on mitosis. HeLa cells were used as a reference model in these experiments because they express endogenous ATIP3 and are more suitable than SUM52 cells for cell imaging. As shown in Fig. 3A, ATIP3 depletion induced the formation of multipolar spindles (18%) and raised the percentage of multipolar cells from 55 to almost 100% in the presence of low doses of PTX. ATIP3 depletion also markedly increased the number of spindle poles formed upon PTX treatment, with a significant fraction of spindles showing more than 5 poles (Fig. 3B), some of them being acentrosomal (Fig. 3C), indicating excessive mitotic abnormalities. The increased number of spindle poles was mainly due to centrosome amplification, which was markedly increased when combining ATIP3 silencing and PTX treatment (Fig. 3D). Accordingly, ATIP3 silencing was associated with supernumerary centrosomes, and the number of centrosomes per mitotic cell was further elevated in ATIP3-deficient cells upon PTX treatment (Fig. 3E and *SI Appendix, Fig. S6A*). More than half of supernumerary centrosomes contained either one or no centriole (*SI Appendix, Fig. S6B*), underlining major centrosomal defects. Together, these results indicate that ATIP3 silencing induces centrosome amplification leading to multipolar spindles, a phenotype that is amplified upon PTX treatment.

We then examined the consequences of ATIP3 silencing and PTX treatment on cell fate at the single cell level using time-lapse video microscopy (Fig. 3F and *Movies S1–S4*). ATIP3 silencing increased the time in mitosis (Fig. 3G) and induced the formation of multipolar cells that were able to divide, giving rise to 2 or 3 viable daughter cells containing several nuclei (Fig. 3H

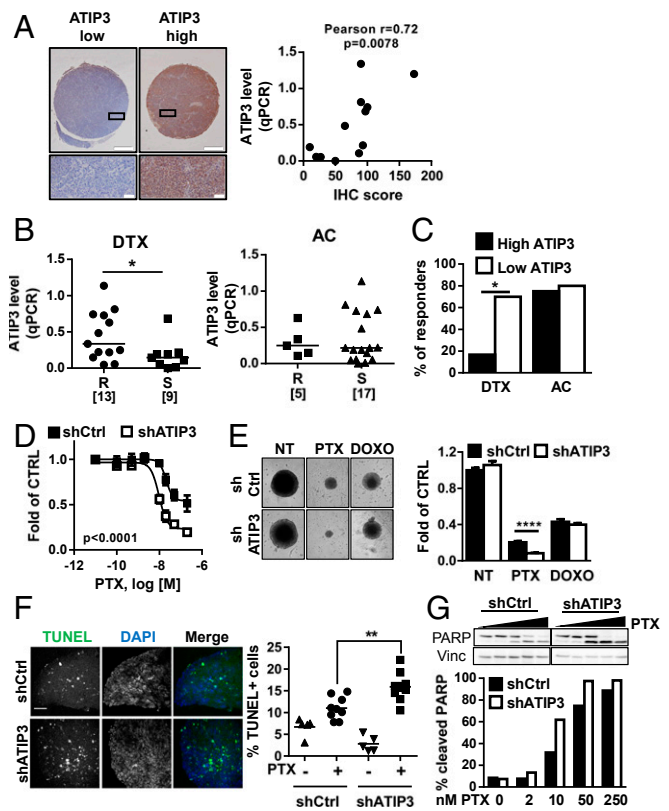


Fig. 2. Low ATIP3 levels are associated with sensitivity to taxanes in patient-derived xenografts and breast cancer MCSs. (A, Left) Immunohistochemistry performed on human breast cancer xenograft sections of a tissue microarray using anti-MTUS1 monoclonal antibody. Shown are representative photographs of tumors expressing low (Left) or high (Right) levels of ATIP3. (Scale bar, 500 μ m.) Close-ups are shown in the bottom. (Scale bar, 50 μ m.) (A, Right) Correlation between ATIP3a mRNA expression level (by qPCR) and IHC score. (B) Scattered dot plot of ATIP3 (qPCR) mRNA expression level in HBCx treated with DTX (Left) or with (Right). Tumors are classified according to their response to drug treatment. R indicates resistance, and S indicates sensitivity. Numbers of samples are in brackets. * $P < 0.05$. (C) Percentage of responsive HBCx according to ATIP3 level. Tumors were subdivided into groups expressing high ATIP3 versus low ATIP3 levels based on the median value of ATIP3 measured by real-time RT-PCR. * $P < 0.05$. (D) Dose–response curves of SUM52PE spheroids (MCSs) expressing (shCtrl) or not expressing (shATIP3) ATIP3 and treated with increasing concentrations of PTX. (E) SUM52PE MCSs as in D were treated for 6 d with 50 nM PTX or 100 nM doxorubicin (DOXO) and photographed. The picture represents one MCS of the quadruplicate. Measures of spheroid area are plotted in the histogram on the Right. **** $P < 0.0001$. (F) Representative photographs of SUM52PE MCSs as in D treated with 50 nM of PTX for 72 h prior to staining with TUNEL reagent (green) and DAPI (blue). Quantification of apoptosis, measured as percent of TUNEL-positive cells, is shown on the Right. (Magnification, 20 \times .) (Scale bar, 100 μ m.) ** $P < 0.01$. (G) Western blot analysis of PARP cleavage in SUM52PE MCSs as in D treated for 72 h with increasing concentrations of PTX. Vinculin (Vinc) is used as the internal loading control. Quantification is shown below.

and I and *Movie S2*). PTX at low dose induced a majority (79%) of cells with multipolar spindles, among which 14% were unable to divide. These cells either died in mitosis or underwent cytokinesis failure, giving rise to groups of multinucleated cells that ultimately died during the following division (Fig. 3H and I and *Movie S3*). ATIP3 silencing combined with a low dose of PTX induced massive (95%) formation of multipolar cells, 41% of which died during the first division from cytokinesis failure or mitotic death (Figs. 3H and I and *Movie S4*). Thus, ATIP3 silencing exacerbates mitotic abnormalities and subsequent cell death induced by PTX treatment.

ATIP3 Depletion Is Associated with Increased Aneuploidy. The formation of multipolar spindles is a source of chromosome mis-segregation and aneuploidy, suggesting that ATIP3 silencing may promote aneuploidy. To test this hypothesis, we analyzed cellular DNA content by flow cytometry to assess DNA ploidy in HeLa cells treated or not with low doses of PTX. In line with previous studies (11, 13), treatment with PTX at the nanomolar range resulted in a hypodiploid (<2N) population of cells (Fig. 4A and *SI Appendix, Fig. S7A*). This population of aneuploid cells disappeared at higher concentrations of PTX (100 nM) when cells were arrested in G2/M (*SI Appendix, Fig. S7B*) and was negative for annexin V labeling (*SI Appendix, Fig. S7C*), excluding the possibility that these cells may be apoptotic. In ATIP3-depleted cells, hypodiploidy could not be detected by flow cytometry procedures in the absence of treatment (Fig. 4A). However, following PTX exposure, the population of hypodiploid cells was raised from 12% in control cells to 25% in ATIP3-deficient cells, indicating that ATIP3 silencing increases PTX-induced aneuploidy.

Aneuploidy was also evaluated by counting the chromosome number per cell in metaphase chromosome spreads of HCT116 cells, used as a reference cellular model in these studies because they are nearly diploid and chromosomally stable. PTX treatment significantly increased the incidence of both hypodiploid (<40 chromosomes) and hyperdiploid (>50 chromosomes) cells, and ATIP3 silencing further increased aneuploidy (Fig. 4B and C).

To assess the clinical relevance of our findings, we analyzed a series of 88 breast cancer patients in whom ploidy had been evaluated. Tumors were grouped according to low and high *MTUS1* levels using heat map classification as reported (24, 28) and compared with ploidy status (*SI Appendix, Table S5*). As shown in Fig. 4D, 65% of low-*MTUS1* tumors were found to be aneuploid compared to 42% of high-*MTUS1* tumors. Since aneuploidy often results from chromosomal instability (CIN), we investigated whether *MTUS1* levels may also be associated with CIN. A “CIN25 signature,” comprising a panel of 25 differentially regulated genes, was previously reported in breast cancer (29). We therefore classified breast tumors as high CIN or low CIN based on the CIN25 signature and compared the signature with *MTUS1* levels. As shown in Fig. 4E and *SI Appendix, Fig. S8*, 40% of breast tumors with high *MTUS1* levels were classified as high CIN compared to 60% of low-*MTUS1* tumors. Together, these results support the notion that breast tumors expressing low levels of ATIP3 are more prone to chromosomal instability and aneuploidy.

Discussion

Based on transcriptional profiling of 3 independent cohorts of breast cancer patients treated with taxane-based neoadjuvant chemotherapy, we show here that microtubule-associated protein ATIP3 is an independent predictive biomarker of the response to treatment. Low levels of ATIP3 were significantly more frequent in breast tumors that achieved pCR compared with those that did not respond to treatment, suggesting that low ATIP3 expression may be used as a marker to identify breast cancers that are highly sensitive to taxane-containing chemotherapy. Importantly, in all 3 cohorts analyzed, 98 to 100% of tumors expressing high levels of ATIP3 failed to achieve pCR, indicating that ATIP3 may also be a useful biomarker to select patients unlikely to respond to conventional chemotherapy, which is of clinical importance to limit toxicity and side effects of ineffective treatments. Low ATIP3 levels predict the response to neoadjuvant chemotherapy even better than ER-negative status and can further identify responders among ER-negative tumors. This finding is of particular interest for triple-negative breast tumors for which chemotherapy remains the unique therapeutic option (30). Further validation in adjuvant trials is warranted to firmly establish the value of ATIP3 as a predictive biomarker in clinical practice in breast cancer. It will be interesting to broaden

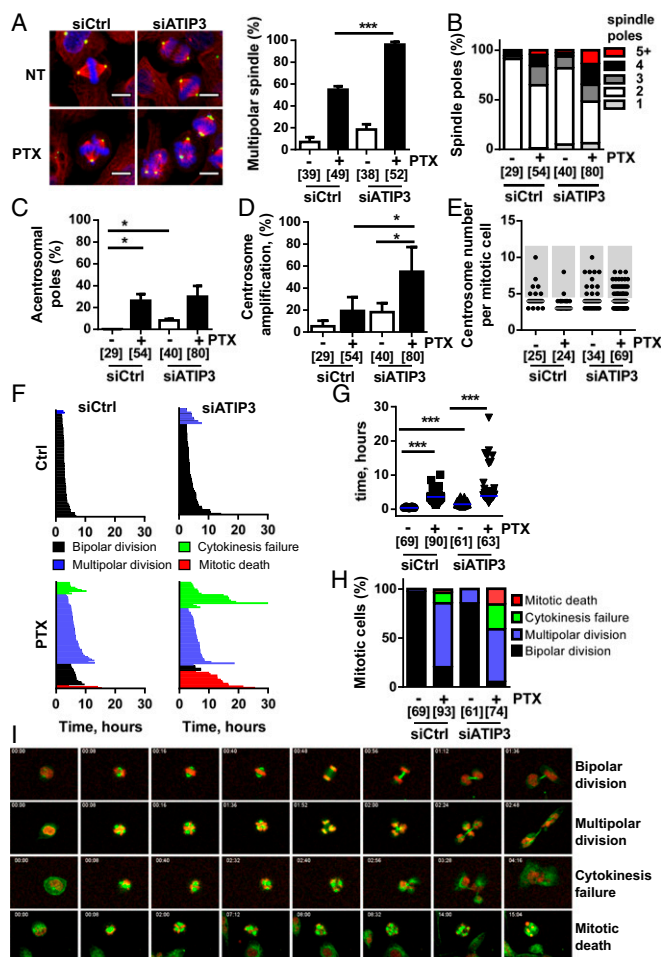


Fig. 3. Low ATIP3 levels increase PTX-induced mitotic defects. (A) Immunofluorescence photographs of HeLa cells expressing ATIP3 (siCtrl) or not (siATIP3) and treated or not (NT) with 5 nM of PTX prior to staining with antibodies against α -tubulin (red) and pericentrin (green). Nuclei are stained with DAPI (blue). Quantification of abnormal mitoses is shown on the *Right*. (B) Proportion of mitotic HeLa cells with an abnormal number of spindle poles upon 48 h ATIP3 silencing and 18 h PTX treatment (2 nM). (C) Percentage of mitotic HeLa cells containing acentrosomal poles following treatment as in B. (D) Percentage of mitotic HeLa cells containing more than 2 centrosomes. Cells were treated as in B. (E) Scattered dot plot of the number of centrosomes per mitotic HeLa cells treated as in B. (F) Cell fate profiles of control (*Left*) and ATIP3-silenced (*Right*) HeLa cells in the absence (*Top*) or in the presence of 2 nM PTX (*Bottom*). (G) Scattered dot plot of mitotic length measured from chromosome condensation to initiation of cytokinesis in HeLa cells silenced or not for ATIP3 and treated or not with 2 nM PTX. (H) Proportion of cell fate profiles measured in F. (I) Images from the time-lapse experiment performed in F, showing representative cell fates. Microtubules are stained in green; DNA is in red. Time, in hours:minutes, is indicated in top left of the picture. (Magnification, 20 \times .) (A–H) Number of mitotic cells is in brackets. * $P < 0.05$, *** $P < 0.001$.

our study to other types of malignancies, such as prostate, lung, and ovarian cancer, where taxanes are frequently used.

Preclinical studies performed on breast cancer patient-derived xenografts and in 3-dimensional models of multicellular spheroids further allowed us to investigate the predictive value of ATIP3 in the response to taxanes compared to anthracyclines and showed that low levels of ATIP3 are associated with high sensitivity to docetaxel, with no impact on the response to DNA-targeting agents. Accordingly, ATIP3 silencing sensitizes cancer cells to low doses of paclitaxel and potentiates the well-known effects of the drug on mitotic arrest and apoptosis. Although consistent with data from breast cancer patients, this was an unexpected

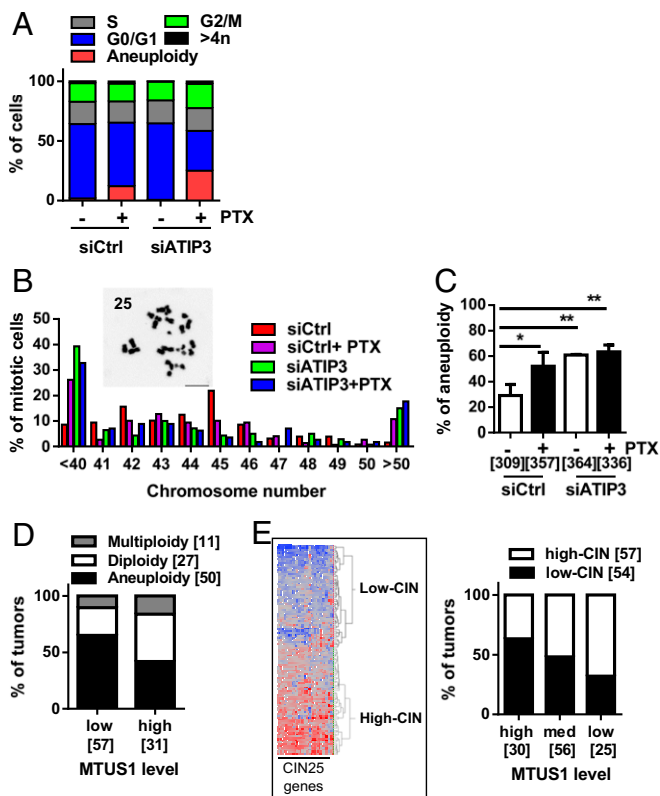


Fig. 4. Low ATIP3 level increases aneuploidy. (A) FACS analysis of DNA content of HeLa cells transfected with scramble (siCtrl) or siATIP3 prior treatment with 5 nM PTX for 18 h. Shown is the proportion of cells in each cell cycle fraction. (B) Proportion of HCT116 metaphase spreads containing abnormal numbers of chromosomes. *Inset* shows a representative image of metaphase spread. The corresponding number of chromosomes is indicated in the top left corner. (C) Percentage of aneuploid cells among HCT116 cells silenced (siATIP3) or not (siCtrl) for ATIP3 and treated or not with 5 nM PTX for 18 h. The number of metaphase spreads analyzed in 3 independent experiments is shown in brackets. * $P < 0.05$, ** $P < 0.01$. (D) Proportion of tumors from the Curie cohort showing altered ploidy according to ATIP3 level. The number of tumors analyzed is in brackets. (E) Heat map and hierarchical clustering of 115 breast tumor samples of the R02 study based on the intensities of the 25 genes from the CIN signature (29). The proportion of tumors expressing a high level (high-CIN) or low level (low-CIN) of CIN signature according to ATIP3 levels is shown on the *Right*. The number of tumors is indicated in brackets.

result given that ATIP3 silencing increases MT dynamics (25), which is opposite to the MT-stabilizing effects of taxanes.

Results presented here indicate that silencing of the MT-stabilizing protein ATIP3 induces multiple mitotic abnormalities that mimic those induced by PTX. We propose a mechanism in which ATIP3 silencing, by causing centrosome amplification and multipolar spindle formation, amplifies the effects of taxanes and thereby exacerbates mitotic abnormalities, chromosome segregation

errors, CIN features, and aneuploidy, ultimately leading to cell death in response to treatment.

It has been widely shown that centrosome amplification, leading to spindle multipolarity and subsequent chromosome missegregation and aneuploidy, promotes tumor initiation and progression (31–33). Centrosome amplification is also associated with worse clinical outcome in breast cancer (34, 35). In this context, our findings that ATIP3 deficiency induces centrosome amplification are consistent with previous observations that low ATIP3 levels in breast tumors are associated with poor patient prognosis (25). Strikingly, our data also indicate that combining ATIP3 deficiency and PTX treatment causes excessive centrosome amplification and aneuploidy, which in turn triggers massive cell death in mitosis. This is in line with previous observations that increasing chromosome missegregation and aneuploidy beyond a critical threshold leads to cancer cell death and tumor suppression (36, 37) and supports our clinical results showing higher pCR for ATIP3-deficient breast cancer patients treated with taxane-based chemotherapy.

In conclusion, while the consequences of centrosome amplification and CIN for therapeutic responses in cancer patients still remain a matter of debate (38), our data emphasize the link between centrosome amplification and increased pCR rates for breast tumors. Our results highlight ATIP3 as a predictive biomarker to select a population of breast cancer patients who are likely to benefit from taxane-based chemotherapy and open the way to therapeutic strategies based on increasing centrosomal alterations to achieve chemosensitivity.

Materials and Methods

Studies using the R02 (6) and R04 cohorts of patients were reviewed by the French ethical committee of Paris-Bicêtre in compliance with the Helsinki Declaration. Studies on the MDA cohort (39) were approved by the institutional review boards of MD Anderson Cancer Center and Instituto Nacional de Enfermedades Neoplásicas and those on the Curie cohort (24) and patient-derived xenografts (26) were approved by the institutional review boards of Institut Curie (Paris, France). All patients signed an informed consent for voluntary participation in the trial. Details on patients and samples, clinical data, and gene profiling are provided in *SI Appendix, Materials and Methods*. These materials and methods also describe RNA extraction and real-time RT-PCR analysis, cells used, multicellular spheroids, Terminal deoxynucleotidyl transferase dUTP Nick End Labeling assay and Fluorescence Activated Cell Sorting analysis of apoptosis, DNA content analysis and chromosome spread, confocal and time-lapse imaging, analysis of mitotic defects, immunohistochemistry, and statistical analyses.

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