

HHS Public Access

Neuroimaging Clin NAm. Author manuscript; available in PMC 2021 February 01.

Published in final edited form as:

Author manuscript

Neuroimaging Clin N Am. 2020 February ; 30(1): 97-114. doi:10.1016/j.nic.2019.09.009.

The neurodevelopment of autism from infancy through toddlerhood

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SYNOPSIS

Autism spectrum disorder (ASD) emerges during early childhood and is marked by a relatively narrow window in which infants transition from exhibiting normative behavioral profiles to displaying the defining features of the ASD phenotype in toddlerhood. Prospective brain imaging studies in infants at high familial risk for autism have revealed important insights into the neurobiology and developmental unfolding of ASD, showing great promise for both presymptomatic detection and informing the timing and nature of early intervention. In this article, we review neuroimaging studies of brain development in ASD from birth through toddlerhood, relate these findings to candidate neurobiological mechanisms, and discuss implications for future research and translation to clinical practice.

Keywords

neurodevelopment; neuroimaging; psychoradiology; infant; brain; autism spectrum disorder; magnetic resonance imaging; diffusion tensor imaging

INTRODUCTION

Autism spectrum disorder (ASD) is a neurodevelopmental disorder diagnosed in 1 in 59 children in the US¹. ASD is characterized by heterogeneous symptom profiles associated with varying levels of severity in social communication deficits and restricted and repetitive behaviors. There has been considerable interest in understanding the neurobiology of ASD, with neuroimaging playing a key role in describing the neuroanatomy and physiology of individuals with ASD for over three decades. However, the vast majority of studies to date have occurred post-diagnosis and been cross-sectional in nature, collapsing across wide age ranges. Given that we now understand that brain development – and the development of

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 ASD^{2-5} – is non-linear and dynamic, it is no surprise that non-replication left the field with few tenable brain phenotypes in ASD and even less insight into pathogenesis.

An increased understanding of the heritable nature of the disorder and recurrence risk in families⁶, led to a paradigm shift with the advent of the infant-sibling study design. Researchers began to follow the younger, high-risk siblings of older children with ASD – 20% of whom develop ASD themselves⁷ – through infancy and into toddlerhood, providing a window into the period when ASD first emerges^{8,9}. These prospective studies have revealed that the diagnostic symptoms of ASD emerge during the latter part of the first and second year of life^{10–14}. Differences in other developmental domains that are not necessarily specific to ASD, however, are detectable in the first year of life, including motor skills^{15–17}, attention to faces and social scenes^{18–20}, response to name²¹, visual reception¹⁵ and visual orienting²². Early in the second year of life, differences in language skills,^{9,15,23}, and disengagement of visual attention²⁴ are also evident.

These behaviors arise during a highly dynamic period of postnatal brain growth^{25,26}, marked by cortical expansion^{27,28}, fiber myelination and maturation^{29,30}, and functional organization of neural circuitry^{31,32}. Infant-sibling studies incorporating neuroimaging at the large-scale have provided great insight into brain development in ASD, revealing that atypical brain phenotypes emerge during infancy, with altered developmental trajectories preceding the consolidation of symptoms that begins in the second year of life³³. This body of work has enhanced our understanding of the developmental time course of early ASD, and recently demonstrated the possibility of using presymptomatic magnetic resonance imaging (MRI) in infants to predict diagnostic outcomes in toddlerhood^{34,35}, an advancement with important implications for clinical practice.

In this article, we review neuroimaging studies of early ASD including structural, diffusion, and functional MRI from the early postnatal period through preschool. The goal of this review is to synthesize information across studies to identify biomarkers endorsed across samples, outline the developmental time course of the emergence of ASD-related neural phenotypes, and identify candidate biological mechanisms. Additionally, we outline recent studies using neural phenotypes and machine learning approaches to predict subsequent diagnosis and discuss the implications for clinical practice. This review will conclude with future directions for the field, including the need to identify individual-specific areas of developmental concern, parse etiologic heterogeneity using neurological features, incorporate indices of genetic variation into neuroimaging studies of early brain development, chart the co-occurrence of developmental brain and behavioral phenotypes in individuals, and continue to bridge *in-vivo* MRI with basic science to reveal mechanistic insights into the pathophysiology of ASD.

STRUCTURAL MRI

Brain Overgrowth

Brain overgrowth in ASD has been widely documented, dating to the first reports of the phenomenon using MRI in adolescents and adults with ASD over two decades ago^{36-38} . These findings were later extended to young children^{39–47}, with convergent evidence across

studies suggesting that brain overgrowth was present by 2 years of age in children with ASD. Indirect evidence from head circumference measurements at birth and MRI in infancy and toddlerhood suggested that brain overgrowth was not present at birth, but emerged in the later part of the first year of life⁴². This finding was later confirmed using MRI in a cohort of 55 infants longitudinally examined from 6 to 24 months of age⁴⁸, such that infants who developed ASD (n = 10) demonstrated faster rates of total brain volume growth resulting in increased brain volumes by 12 to 24 months of age compared to infants who did not develop ASD. A more recent, large-scale study (106 high-risk infants, 42 controls) has provided additional evidence for brain volume overgrowth between 12 and 24 months, and linked the rate of change in total brain volume during the second year of life to the severity of ASDrelated social deficits³⁴. Importantly, the authors decomposed cortical volume into cortical thickness and surface area to reveal that faster rates of cortical surface area growth from 6 to 12 months of age precedes brain overgrowth in the second year of life in infants who later developed ASD $(n = 15)^{34}$. The rate of surface area expansion from 6 to 12 months was also correlated with total brain volume at 24 months of age. These findings directly support the hypothesis generated from prior work that cortical hyper-expansion drives brain overgrowth in ASD⁴⁵. A machine learning approach to diagnostic classification using MRI measures at 6 and 12 months was also employed in this study³⁴, and is discussed in detail below.

Cortical Surface Area, Thickness, and Gyrification

The surface area and thickness of the cortex have been differentially examined – as opposed to jointly examined in studies of cortical volume – in only a handful of studies of young children with ASD. In the first study of its kind, Hazlett and colleagues reported increases in the surface area of the frontal, temporal, and parietal lobes in 2-year-olds with ASD⁴⁵, findings which were replicated in a sample of 3-year-old boys with ASD⁴⁹. A more recent study demonstrated both accelerated rates of total cortical surface area expansion, and regionalized expansion in areas in the occipital, temporal, and frontal lobes in infants who later went on to develop ASD, with robust rates of expansion notable in the visual cortex³⁴. Taken together, these findings support the pathological hyper-expansion of cortical surface area in ASD, with Hazlett and colleagues³⁴ tracing its origins to the first year of life. All three studies^{34,45,49} found no evidence of differences in cortical thickness between infants and toddlers with ASD and controls. One study in 2- to 5-year-old boys stands in contrast, reporting no differences in surface area but increased thickness in some localized cortical areas⁵⁰; this may be due to the relatively small sample size (66 ASD, 29 controls) given a wider developmental age-range, use of vertex-based image analysis pipelines (not employed by the other three studies), or lack of detection of a brain overgrowth phenotype in their sample. Cortical thickness differences have been observed in adolescents and adults with ASD, though the direction of effect varies 51-53. By employing a mixed cross-sectional and longitudinal design including individuals with ASD and controls (ages 3 to 39 years), Zielinski and colleagues provided some clarity to these incongruent findings⁵⁴. The authors reported greater cortical thickness across multiple brain regions in childhood, followed by a crossing of trajectories in middle childhood and finally reduced regional cortical thickness in early adulthood in individuals with ASD^{54,55}. In light of reports in infants and toddlers, it is likely that aberrant patterns of cortical thickness in ASD emerge sometime after age 3 and follow a dynamic developmental pattern thereafter. Cortical gyrification patterns – which

may reflect surface area expansion – in young children with ASD are largely unknown. One recent study in boys (105 with ASD, 49 controls) ages 3 to 5 years found that at age 3, boys with ASD had reduced gyrification in the fusiform gyrus⁵⁶. A longitudinal examination revealed that local indices of gyrification in boys with ASD increased across the preschool period in regions in the temporal, frontal, and parietal lobes⁵⁶, whereas local gyrification was generally stable or decreasing in typically-developing controls. This is consistent with other studies reporting increased gyrification in older children and adults with ASD^{57–61}. Further studies in young children and infants will be needed to discern developmental gyrification patterns in early ASD.

Subcortical Structures

There has been considerable interest in the role of the amygdala, as a core region in the social brain, in the pathophysiology of ASD⁶², yet there have be relatively few studies exploring the development of the amygdala and other subcortical brain regions in early ASD. Sparks and colleagues⁴⁰ found evidence of bilateral enlargement of the amygdala and hippocampus using MRI in a sample of 3 to 4-year-olds with ASD, though after adjusting for total brain volume, only amygdala volumes in a subset of children with more severe ASD remained significantly enlarged. A longitudinal follow-up of this cohort revealed that greater volumes in the right amygdala in toddlerhood related to poorer social and communication outcomes at age 663. Similar findings were reported in another study of toddlers with ASD⁶⁴, where increased amygdala size correlated with the severity of social and communication deficits, with a particularly robust amygdala phenotype reported in girls with ASD. In a longitudinal investigation of brain-behavior associations in toddlers with ASD (ages 2 to 4 years), Mosconi and colleagues⁶⁵ reported that amygdala enlargement was present and stable across the preschool period, but, in contrast to other earlier studies, found that increased amygdala volume conferred better joint attention among children with ASD. In a study of boys ages 18 to 42 months, several subcortical structures were found to have increased volume compared to typically-developing controls including the amygdala (20% larger), caudate nucleus, globus pallidus, and putamen⁶⁶. More recently, Qui and colleagues⁶⁷ reported bilateral caudate enlargement from 2 to 4 years of age compared to children with developmental delay, and Pote and colleagues reported an overall enlargement of subcortical regions in 4- to 6-month-old infants at high familial risk for ASD (including infants who did and did not develop ASD, n = 26 total, n = 4 with ASD), with greater volumes associated with increased restricted and repetitive behaviors at 36 months⁶⁸. A study of infants at elevated familial risk for ASD found differential associations between amygdala, thalamus, and caudate volumes at age 1 and language abilities at age 2 in infants who were later diagnosed with ASD versus those with language delay only⁶⁹, the authors suggest this is reflective of distinct neural mechanisms, and likely genetic and environmental risk factors, governing language development in infants with ASD.

Cerebellum

Cerebellar structural abnormalities measured by MRI are frequently reported in older children and adults with ASD^{70,71}, though the direction of effect varies⁷¹. Similar inconsistencies have been reported in studies of infants and toddlers. A study of 3 to 4-year-olds found that children with ASD fell between typically developing children (lowest

cerebellar volumes) and children with developmental delays (greatest cerebellar volumes)⁷²; the authors explored associations between cerebellar volumes and child behavior and found no associations. Larger white matter volumes within the cerebellum in young children with ASD have also been reported^{41,43}, as well as increased gray matter, though only in young females⁴³. Several other studies, however, found no differences in cerebellar volumes between cases and controls (ages 18 months to 5 years) after adjusting for total brain size^{40,42,45}. Taken together, these finding suggest that cerebellar abnormalities may exist, but future work will be needed to arrive at a consensus in the literature. Additionally, findings are highly dependent on statistical modeling, and studies should carefully control for overall brain size to ensure that findings of volumetric enlargement are specific to the cerebellum.

Corpus Callosum Morphology

The corpus callosum in older children, adolescents, and adults with ASD has been shown to be smaller in size when compared to controls^{73–75}. Studies in young children ages 3 and up have found results consistent with these findings. A study in 3 to 4-year-olds found that midsagittal corpus callosum area was disproportionately small relative to total brain size in children with ASD compared to typically-developing controls, with reduced area throughout the structure⁷⁶. A more recent longitudinal study of 3 to 5-year-olds echoed these findings, reporting that children with ASD had smaller regions dedicated to fibers projecting to the superior frontal cortex compared to typically-developing children⁷⁷. In the only prospective study in infants, Wolff and colleagues⁷⁸ found that corpus callosum area and thickness were significantly greater at 6 and 12 months, but not 24 months, in infants with familial risk who went on to develop ASD, with the most prominent group differences found in the anterior region of the corpus callosum connecting the prefrontal cortex. This study also found that cross-sectional measures of area and thickness at 6 months of age were correlated with degree of restricted and repetitive behaviors at 24 months in infants who developed ASD. Taken together, these finding suggest that the development of the corpus callosum reflects a dynamic process whereby the size of the corpus callosum in individuals who develop ASD is increased compared to controls in the first year of life, normalizes by age 2, and becomes smaller sometime in the third year of life.

Increased Extra-Axial Cerebral Spinal Fluid Volume

Recent studies have detected increased volumes of extra-axial fluid – defined as the cerebrospinal fluid occupying the subarachnoid space surrounding the cortical surface of the brain – in the first year of life in infants who go on to develop ASD. In the original study to describe this phenomenon in early postnatal life, Shen and colleagues⁴⁸ prospectively assessed brain and behavioral development in a sample of 55 infants (33 at familial risk for ASD, 22 controls), reporting increases in extra-axial fluid volumes at 6 months which persisted through 24 months in infants who went on to develop ASD (n = 10). The authors also reported that extra-axial fluid volumes at 6 months were related to ASD severity at the diagnostic visit. These findings were replicated in a much larger independent cohort of infants (N = 343, 221 at familial risk for ASD, 122 controls)⁷⁹, where those who went on to develop ASD (n = 47) had 18% more extra-axial fluid was disproportionately increased (25% greater than controls) in infants who went on to have the most severe ASD

symptoms⁷⁹. Shen and colleagues⁸⁰ extended these findings to a community-ascertained sample of 2 to 4-year-olds with ASD, reporting that increases in extra-axial fluid were nearly identical in children with ASD and familial risk and in children with ASD without familial risk, and persisted through age 3. The authors also found that increased extra-axial fluid was associated with greater sleep problems and lower non-verbal ability in children with ASD. Taken together, these three studies provide evidence that extra-axial fluid is a robust brain biomarker of ASD in early life that deserves further mechanistic study.

DIFFUSION MRI

White Matter Integrity and Connectivity

Using diffusion MRI, scientists have investigated white matter connectivity and integrity in ASD, though few studies have focused on the preschool period. In a small study of seven children ages 1 to 3 years, Ben Bashat and colleagues⁸¹ found higher fractional anisotropy (FA; reflects the degree of directed water diffusion in the brain, indicative of more mature white matter properties, including myelination, axonal density, and fiber packaging⁸²) in corpus callosum, corticospinal tract, and internal and external capsule when compared to typically-developing children. These early findings were in contrast to studies in adults that generally reported reduced FA in individuals with ASD⁸³, but later supported by additional independent studies. Weinstein and colleagues⁸⁴ reported that young children under the age of six with ASD had increased FA in many fiber tracts compared to controls, including the cingulum, corpus callosum, and superior longitudinal fasciculus. Xiao and colleagues⁴⁷ reported similar findings, with increased FA in the corpus callosum, cingulum, and limbic system in toddlers with ASD. Another study reported increased FA in the frontal, temporal, and subcortical regions in young children with ASD (n = 32) compared to those with developmental delay $(n = 16)^{85}$. The authors also reported an over-connectivity phenotype in ASD, though the methodology employed uses direct streamline counts as a measure of connectivity strength, which has limitations^{86,87}. Another more recent study of 97 toddlers (68 with ASD, 29 controls), found that FA in the corpus callosum fibers projecting to the temporal lobes was significantly greater in toddlers with ASD⁸⁸. Two other studies found opposite patterns of FA in preschoolers with ASD versus controls. One cross-sectional study in 2 to 6-year-olds with ASD reported reduced FA in children with ASD compared to controls (including both typically developing children and children with developmental delay)⁸⁹, which is in contrast with other cross-sectional studies, possibly due to collapsing across a relatively wide age range. Another found lower FA in toddlers and children with ASD (mean age 5 years, ranging from 2 to 11 years), again possibly due to collapsing across a wide developmental range⁹⁰.

Two longitudinal studies have provided clarity, revealing the dynamic developmental nature of white matter development in ASD. Wolff and colleagues⁹¹ utilized an infant-sibling research design to prospectively follow 92 infants at familial risk for ASD at 6, 12, and 24 months of age. The authors reported widespread significant differences in growth trajectories in major white matter fiber bundles in infants who went on to develop ASD (n = 28) compared to those who did not. Infants later diagnosed with ASD exhibited increased FA at 6 months of age followed by slower maturation through 24 months of age. In line with the

study by Wolff and colleagues⁹¹, a study of 1 to 4-year-olds reported abnormal age-related changes in FA, with greater FA at younger ages and slower rates of change thereafter, especially in frontal fiber tracts⁹². Taken together, these findings suggest that ASD is characterized by increased FA in the first year of life, marked be a slowing in maturation thereafter that may ultimately result in reduced FA values observed in older children and adults.

Newer methodological approaches have been used to consider white matter in the human brain as a network, or connectome⁹³. Lewis and colleagues⁹⁴ estimated properties of white matter network efficiency in 2-year-olds and found that toddlers with ASD had reduced local and global efficiency, especially in sensory processing regions in the occipital and temporal lobes, compared to controls. In a follow-up study the authors downward extended these findings to reveal deficits in white matter network efficiency as early as 6 months of age in infants who went on to develop ASD⁹⁵.

There is a growing body of work linking white matter development and ASD-related behaviors in young children with ASD. Wolff and colleagues⁹⁶ recently reported that developmental changes in FA in cerebellar fibers and the corpus callosum in infants with ASD was positively associated with restricted and repetitive behaviors and response to sensory stimuli. Another study found that visual orienting at 7 months of age was associated with the microstructural organization of the splenium, but only in children without an ASD diagnosis, possibly suggesting an aberrant functional specialization of visual circuitry in ASD⁹⁷. Lewis and colleagues demonstrated the inefficiencies in white matter connectivity, especially in longitudinally in temporal regions, was associated with symptom severity at 24 months⁹⁵, findings echoed in a study by Fingher and colleagues⁸⁸ reporting that white matter integrity in the temporal segments of the corpus callosum in toddlers was associated with outcome measures of ASD severity at later ages. White matter correlates of language were studied in 104 preschool-aged boys with ASD⁹⁸, and authors reported that FA (and other measures of microstructure) in the bilateral inferior longitudinal fasciculus both differed within the ASD group based on level of language, and was associated with individual differences in language scores. In another recent study of language and white matter development, Liu and colleagues⁹⁹ reported altered lateralization patterns in language tracts in infants at familial risk for ASD (n = 16), with FA lateralization in 6-week-olds relating to language outcomes at 18 months and ASD symptomology at 36 months, though it is unclear how this relates to symptomology above the diagnostic threshold. These findings suggest that behavioral disruptions in ASD may result from a variety of alterations in white matter development that deserve further investigation.

FUNCTIONAL MRI

Auditory-Evoked fMRI

Functional MRI (fMRI) studies assessing spontaneous fluctuations in blood oxygenation as an index of neural activity and connectivity in very young children with ASD were relatively sparse until recently. The first auditory-evoked fMRI study during natural sleep in young children with ASD found significant differences in brain activation in a distributed network in response to forward and backward speech stimuli¹⁰⁰, with a rightward lateralization in

speech perception networks in toddlers with ASD (n = 12). Using the same experimental approach, Eyler and colleagues¹⁰¹ found that neural response to sound in infants ages 12 to 48 months later diagnosed with ASD (n = 40) was deficient in the left hemisphere and, again, abnormally right-lateralized in the temporal lobe compared to typically-developing controls. In a follow-up study, Dinstein and colleagues¹⁰² investigated spontaneous activity (by regressing out stimulus structure) to find that toddlers with ASD had significantly weaker interhemispheric synchronization in putative language areas including the inferior frontal gyrus. The strength of synchronization in the inferior frontal gyrus was positively correlated with verbal ability and negatively correlated with ASD severity. A more recent study by this group examining activation patterns found that toddlers with ASD who had poorer language performance a year later exhibited reduced activation in bilateral temporal and frontal brain regions when compared to controls¹⁰³. Further, the authors reported inverse and differential brain-behavior associations between the ASD groups and controls, suggesting aberrant functional specialization of language regions in ASD, in line with previous work.

Resting State fMRI

In a study of whole-brain resting state functional connectivity, Chen and colleagues¹⁰⁴ revealed two atypical circuits in young children with ASD (n = 58, 29 with ASD, mean age 4.98 years, all children sedated): one comprised of brain regions involved in social cognition exhibiting under-connectivity and the other comprised of sensory-motor and visual brain regions showing over-connectivity in ASD. The authors employed support vector regression analysis to show that the two circuits were differentially related to, and predictive of, individual social deficits and restricted behaviors in their sample. Another study investigating social brain network function found that newborns with a family history of ASD (n = 18) exhibited significantly greater neural activity in the right fusiform and left parietal cortex, and altered age-related changes in activity in the cingulate and insula¹⁰⁵, though it is unclear whether these patterns are specific to the development of early ASD, as the diagnostic outcome of the infants was not reported. Weakened functional connectivity of the amygdala and several brain regions involved in social communication and repetitive behaviors has also been reported in young boys with ASD (mean age 3.5 years)¹⁰⁶. Repetitive behaviors and whole-brain functional connectivity was recently investigated in a study of infants at familial risk for ASD $(n = 38)^{107}$. The authors found age-specific associations between functional connectivity in visual, control, and default mode networks, such that weaker positive correlations in activity at 12 months and between 12 and 24 months were associated with more restrictive and repetitive behaviors in infants at risk for ASD (n = 38, 20 went on to develop ASD). The direction of this association was reversed at age 2, such that more positive correlations between dorsal attention, subcortical, and default mode networks were associated with more restrictive and repetitive behaviors. The promise of resting-state connectivity as an ASD biomarker was recently demonstrated, where functional connectivity features in 6-month-olds (derived from connections associated with ASD-relevant behaviors) were able to accurately predict diagnostic outcome at 24 months of age³⁵. This study is described in detail below.

NEUROIMAGING FINDINGS IN A DEVELOPMENTAL CONTEXT

With growing evidence of brain changes in ASD preceding the emergence of the defining features of the disorder, it becomes critical to place these prodromal brain phenotypes in the context of early-emerging behaviors associated with ASD and ASD risk. Here we have developed a schematic (Figure 1) demonstrating key findings from the neuroimaging literature reviewed above placed in a developmental context alongside behavioral and clinical phenotypes. Aberrant white matter development (indicated by fractional anisotropy¹⁰⁸ and corpus callosum size¹⁰⁹) and increased extra-axial cerebrospinal fluid (CSF) volumes^{48,79,110} are detectable by 6 months of age in infants who go on to develop ASD. This coincides with motor delays^{15–17}, atypical visual orienting⁹⁷, and aberrant attention to social stimuli^{18,20}. It is important to note, however, that motor delays do not appear to be specific to children with ASD, and are also evident in high-risk infant siblings who do not meet diagnostic criteria^{16,111}.

Surface area hyper-expansion in the first year of life precedes brain overgrowth in the second year³⁴. Concurrently, infants who go on to develop ASD exhibit altered response to name beginning at 9 months and continuing through 24 months²¹, coinciding with differential trajectories in attention to eyes compared to controls¹⁹, and the emergence of ASD symptoms^{9,11–14}. Taken together, these results begin to build a developmental timeline in which brain and behavioral phenotypes associated with ASD and ASD risk emerge during a prodromal period largely prior the second birthday, after which time diagnostic symptoms begin to consolidate.

CANDIDATE NEUROBIOLOGICAL MECHANISMS

The first two years of life are marked by rapid, dynamic brain growth, with total brain volume doubling in the first year¹¹², largely driven by gray matter development, and specifically the expansion of cortical surface area²⁷. In ASD, however, this postnatal developmental trajectory is disrupted. Findings from behavioral and neuroimaging studies of infants who go on to develop ASD suggest that the hyper-expansion of cortical surface area co-occurs with a prodromal period of motor, sensory, and visual orienting deficits observed from 6 to 12 months of age, followed by brain overgrowth and the emergence of autistic social deficits in the second year of life². This highlights a central role for mechanisms governing surface area expansion in the pathophysiology of ASD.

The expansion of cortical surface area is thought to be governed by neural progenitor cell proliferation, differentiation, and migration^{113–116}, with updated models specific to the gyrencephalic cortex pointing to the role of the fan-like expansion of outer radial glial (oRG) cells in tangential surface area growth^{114,115}. The expansion of the oRG cell population is directly related to brain size¹¹³, as oRG give rise to highly proliferative intermediate progenitor cells that undergo amplifying divisions during neurogenesis¹¹⁷. Evidence for the potential role of neural progenitor proliferation and neurogenesis in the development of ASD has been supported by a wealth of preclinical, genetic, and postmortem data reviewed in detail elsewhere¹¹⁸. It is further supported by recent studies demonstrating that neural progenitor cells derived from individuals with ASD display excess proliferation compared to

controls^{119,120}, with the level of proliferation relating to the degree of brain overgrowth observed using MRI¹¹⁹. Another similarly-designed study found evidence of significant developmental acceleration in neuronal differentiation in ASD, resulting in neurons with more complex branching¹²¹. Increased brain volume and macrocephaly are hallmarks of several genetically-defined autistic syndromes including 16p11 deletion, PTEN, and *Chd8* mutations^{122–125}, providing a window into the underlying pathophysiology in at least a subset of individuals with ASD.

There is evidence that the overproduction of neurons alters neural connectivity, with downstream consequences for circuit function and behavior. In mice, the induced overpopulation of upper-layer pyramidal neurons disrupts the development of dendrites and spines and alters the laminar distribution of neurons, resulting in dysregulated synaptic connectivity and autism-like behaviors¹²⁶. This aligns with studies reporting alterations in synaptogenesis and neuronal excitability¹¹⁹, and relatively more inhibitory neurons and synapses in organoids derived from cells of ASD patients with macrocephaly¹²⁰. Another preclinical study observed postnatal brain overgrowth, altered long-range functional connectivity, motor delay, and anomalous response to social stimuli in *Chd8* mutant mice, suggesting that altered brain growth and disrupted long-range wiring may underlie behavioral deficits observed in at least some subtypes of ASD¹²⁷. Other evidence suggests that brain overgrowth in ASD may also be related to alterations in mechanisms governing synaptic pruning and the refinement of neural circuitry that occurs during early postnatal development¹²⁸. Experience-dependent plasticity has particularly notable impacts on primary sensory systems¹²⁹ which also exhibit surface area hyper-expansion in infants who go on the develop ASD³⁴. Deficits in the cellular mechanisms controlling experiencedependent elimination of synapses - specifically long-term depression (LTD) - has been observed in several mouse models of ASD and related neurodevelopmental disorders^{130–133}. Further, locally-balanced excitation and inhibition play a key role in modulating competition between synapses and ultimately in defining the critical period for plasticity and refinement¹²⁹; an imbalance in excitatory and inhibitory synapses like that reported by Marchetto and colleagues¹¹⁹ in ASD-derived neuronal cultures could have marked impacts on the development of neural circuitry.

When considering brain overgrowth and behavioral findings together, a picture emerges of how ASD may develop in early life. Cortical hyper-expansion from 6 to 12 months, especially in the visual cortex³⁴, may underlie concurrent deficits in visual orienting behaviors^{19,134}, in turn altering experience-dependent neuronal development and ultimately resulting in inefficiently pruned circuits, brain overgrowth, and the emergence of ASD traits². Though, it is also possible that brain volume overgrowth is secondary to the increase in intermediate progenitor cells and less influenced by experience-dependent pruning mechanisms.

Neuroimaging findings of increased extra-axial fluid volumes implicate additional pathogenic mechanisms in ASD. A body of recent work has elucidated the role of cerebrospinal fluid (CSF) in brain development and function¹³⁵. Lehtinen and colleagues¹³⁶ found that CSF contained growth factors with age-dependent effects on neuronal proliferation, suggesting an important role for CSF composition in cortical development.

Further, increased volumes of extra-axial fluid suggest a disruption in the circulation of CSF and an accumulation of brain metabolites that impact brain function including amyloid beta and pro-inflammatory cytokines^{137,138}. These findings, coupled with evidence that extra-axial fluid volume is increased *prior* to surface area hyper-expansion^{48,79}, implicates the functional role of CSF in pathophysiology of ASD and related neurodevelopmental disorders. Preclinical work will be needed to further explore a potential regulatory role for CSF in surface area hyper-expansion in ASD.

Alterations in corpus callosum morphology and in the development of white matter microstructure in early ASD implicates processes governing myelination, axon caliber, density and axonal connectivity. A study of several mouse models of ASD recently identified a significant enrichment of myelination genes, and gene-set analysis implicated genes and pathways associated with myelination and oligodendrocyte differentiation¹³⁹. Altered oligodendrocyte function has been documented in Pten-mutant mouse models of ASD, such that oligodendrocyte progenitor cells developed too early, resulting in reduced myelin sheaths¹⁴⁰, which would impede information transfer along axons. The finding of reduced myelin sheath thickness has also been observed in postmortem studies of individuals with ASD¹⁴¹. This same study¹⁴¹ also reported a decrease in large-diameter long-range axons and an increase in small-diameter short-range axons in the frontal cortex, consistent with inefficient connectivity observed in imaging studies in infants and toddlers with ASD^{94,95}. White matter integrity and connectivity may also be altered through experience-dependent myelination^{142,143}, where oligodendrocytes selectively myelinate axons which receive more input from neurons, in line with altered excitability observed in neurons derived from ASD patients¹¹⁹.

In summary, it is likely that ASD arises from multiple pre- and postnatal pathogenic mechanisms involving neural proliferation and migration, synaptogenesis, pruning, myelination, and axonal development and connectivity – with each of these processes having important independent and interactive contributions to brain development. This is no surprise, as a large-scale genetic study of over 18,000 individuals with ASD identified that one's risk for ASD depends on the level of polygenic burden of thousands of common variants in a dose-dependent manner¹⁴⁴. Further, many of the genes implicated in ASD are pleiotropic in nature, impacting numerous cellular and molecular pathways¹⁴⁵. This, coupled with what is known about the development of ASD from neuroimaging studies, suggests an early-emerging vulnerability that is non-specific in nature with effects on brain development detectable as early as the first year of life. This mechanistic complexity likely underlies the notable behavioral and clinical variability observed in ASD, calling for a need to parse phenotypic heterogeneity in order to arrive at more parsimonious etiological models.

PREDICTING ASD DIAGNOSIS

Presymptomatic Prediction using MRI

Two recent studies employing a prospective longitudinal design coupled with machine learning approaches demonstrated the potential for predicting ASD diagnosis at 24 months using infant MRI scans collected in the first year of life. Both studies followed younger siblings of older children with ASD from 6 months of age and collected MRI scans,

behavioral measures, and clinical outcomes. In the first study, the authors used supervised deep learning to build a classification algorithm that relied primarily on measures of regional cortical surface area growth from 6 to 12 months of age to predict ASD diagnostic outcome at 24 months³⁴. This algorithm correctly predicted diagnosis in a sample of 106 infants at risk for ASD (15 received a diagnosis at 24 months) with 88% sensitivity, 95% specificity, and a positive predictive value (PPV) of 81%. This study is notable for two major reasons: (1) it significantly outperformed behavioral measures in the first two years in predicting diagnostic outcome^{146–149}, and (2) it used features derived from a standard structural MRI preceding the onset of the defining behavioral features of the disorder, demonstrating the possibility of assigning infants to pre-symptomatic intervention during a period of heightened neural plasticity. The other study from the same group found that a support vector regression machine using whole-brain functional connectivity matrices - culled to connections significantly correlated with 24-month scores on measures of social behavior, language, motor development, and repetitive behavior - could predict diagnostic outcome with 82% sensitivity, 100% specificity, and a PPV of 100% in a sample of 59 high-risk infants, 11 of which received a diagnosis³⁵. Both of these studies pave the way for largerscale investigations of presymptomatic diagnostic classification using MRI.

Diagnostic Prediction with MRI: Best Practices in an Emerging Field

Machine learning, and particularly deep learning, have recently taken on a prominent role in neuroimaging research by allowing for the design of powerful classifiers able to exploit complex relationships between brain structural and functional features and cognitive and clinical phenotypes¹⁵⁰. Several supervised discriminative machine learning methods have gained popularity for use with MRI datasets, perhaps the most popular (especially in the context of low-dimensional and limited datasets), being support vector machines (SVM), followed by, more recently, deep learning (DL). Following a feature reduction step, SVM works by finding the optimal linear plane separating classes (i.e., diagnostic groups) using the original data (linear SVM), or data mapped into a new feature space using pre-defined kernel functions (non-linear SVM) where classes become linearly separable¹⁵¹. On the other hand, with the increasing availability of larger neuroimaging datasets, DL algorithms have shown success in automatically identifying the optimal data representation in a data-driven manner, by passing the need for prior selection of an appropriate non-linear mapping 150. This distinction is evidenced in the two studies mentioned above, where Hazlett and colleagues³⁴ used a DL approach that did not require a separate feature reduction step prior to, or separate from, building the algorithm, whereas Emerson and colleagues³⁵ reduced their connectivity features to those correlated with behavior prior to building the SVM classifier. Potential advantages of DL methods over SVM include the fact that input features are learned from the data and not derived, which is less prone to overfitting, and the ability of DL to achieve a higher level of abstraction and complexity, allowing for the detection of more subtle patterns in the data¹⁵⁰. For further information on machine learning algorithms used in pediatric neuroimaging, see a recent review by Mostapha and Styner¹⁵². Regardless of the approach taken, these methods should be employed with the oversight of an experienced artificial intelligence (AI) scientist, statistician, or an engineer who regularly applies machine learning algorithms to high-dimensional datasets. Equally importantly, insight from

individuals with clinical knowledge of the disorder will be critical in interpreting the complex results generated from these types of models.

With regards to best practices for conducting neuroimaging-based prediction studies, several key topics emerge, including sample size and generalizability, interpretation, and methodological transparency. Sample size is a major factor in designing accurate, generalizable supervised classification algorithms, particularly when dealing with MRI datasets that are as heterogeneous as those observed in early postnatal development¹⁵². Future work using large, publicly available datasets - with compatible MRI sequences, age windows, and serial scans - will help combat this problem, alongside employing rigorous cross-validation methods to ensure that the trained models generalize to unseen data. Classimbalance is another major issue in predicting outcomes with low prevalence in the population. Algorithms tend to optimally recognize classes (or outcomes) with larger training samples, as opposed to minority samples with fewer training samples¹⁵², as would be the case with predicting an ASD diagnosis. There are new methods on the rise for addressing these concerns, including synthetic oversampling strategies^{153,154}. Once classification algorithms are built and tested, it is scientifically critical to understand which features derived from the MR images (i.e., which brain connections or regions) contributed to the classification. At the moment, it is still challenging to interpret what deep learning models have learned, although methods to solve this problem are increasingly proposed 152, including backtrack methods like the one employed by Hazlett and colleagues³⁴. Finally, in order to share knowledge and create standards for best practice in the field, transparency is needed in the reporting and sharing of machine learning algorithms used in publications. Authors should outline the rationale for the selection of the machine learning algorithm employed in the study and report sample sizes, cross-validation and training, and testing procedures. Steps taken to address class-imbalance and details regarding tuning and optimization parameters should also be noted. Finally, the steps taken to interpret the findings, including methods used for identifying information learned by the algorithm and clinically-relevant performance metrics (specificity, sensitivity, positive predictive value) should be included. The code used for building algorithms and conducting analyses must be made readily available to others for verification and replication.

Clinical and Ethical Considerations

Presymptomatic, individualized prediction at the large scale has substantial implications for shaping clinical practice, yet it comes with ethical implications¹⁵⁵ that must be carefully considered. The transition from group-level correlations to individual-level prediction in neuroscience is a key step towards improving the lives of individuals, and begins with carefully replicating pioneering studies by applying their models to new, independent datasets¹⁵⁶. The development of psychoradiology, however, has shown promise in this regard aiming to achieve the individualized prediction for psychiatric disorders^{157–161}, The next step is to integrate validated algorithms into clinical practice, in keeping with the precision medicine framework designed to assign individuals to personal treatment plans, maximizing treatment efficacy¹⁶². While there are some evidence-based behavioral interventions for early ASD^{163–166}, pre-emptive intervention has yet to be proven successful¹⁶⁷. This both highlights the urgent need for developing and testing

presymptomatic interventions, and raises the concern of implementing early diagnostic screening if no validated treatment options are available. Neuroimaging should be harnessed as a biologically-based screening tool that may offer insights into when and how to intervene, guiding future research.

FUTURE DIRECTIONS

Predicting Dimensional Outcomes

A major next step for the field will be to develop methodologies to predict individualized areas of concern, as ASD and other neurodevelopmental disorders exhibit substantial phenotypic variability. Additionally, more than one quarter of infants at familial risk for ASD will develop subthreshold atypical behaviors in the first years of life¹¹¹, and could also be candidates for targeted intervention. Neuroimaging studies employing machine learning approaches have demonstrated the possibility of individualized prediction of cognitive outcomes in toddlerhood using neonatal diffusion MRIs^{168,169}; future work should consider applying similar methodologies to infants at risk for ASD. Using MRI to target intervention to the first year of life may be most beneficial, as behaviors appear to be more separable and potentially more targetable in infancy¹⁷⁰.

Parsing Heterogeneity

ASD has a strong heritable component, but complex genetic origins that overlap with other neuropsychiatric disorders, calling for a need to move beyond the traditional clinical diagnostic model to one increasingly guided by biology¹⁷¹. However, while heterogeneity in brain functioning is observable in psychiatric disorders and across individuals^{172–175}, it is rarely considered in experimental designs. Parsing heterogeneity in neurodevelopmental profiles is likely a promising avenue for improving our understanding of the diversity and variability in symptomology associated with complex neuropsychiatric disorders, and is a major focus of the NIMH Research Diagnostic Criteria (RDoC) project¹⁷⁶. Novel approaches to implementing clustering algorithms to identify subgroups in the population based on neural features has great promise to reveal meaningful insight into both etiology and treatment. In a similar manner, a developmental approach should be taken to identify subgroups with similar trajectories of the disorder, likely to be reflective of distinct etiologies¹⁷⁷.

Relating ASD Genetic Liability to Neurodevelopment

While significant advances in genetics have identified *de novo* mutations in a portion of the ASD population, common^{178,179}, additive^{178,180} polygenic variation is thought to account for the vast majority of ASD cases. It is currently unknown how heritable common background genetic variation and polygenic risk for ASD contribute to individual differences in brain development during infancy and toddlerhood. The familial nature of the infant-sibling study design is well suited to explore these associations. Recent work in syndromic ASD has demonstrated the predictive power of background genetic for behavioral development in young children¹⁸¹, and future studies should extend this to idiopathic ASD, using neuroimaging to reveal etiological insights into the early behavioral manifestation of the disorder.

Identifying Developmental Associations between Brain and Behavior

Phenotypes—Infants who go on to develop ASD – *as a group* – exhibit a variety of brain phenotypes, including brain overgrowth, increased extra-axial fluid volumes, abnormal development of the corpus callosum and other white matter pathways, and altered functional brain connectivity patterns. None of these phenotypes, on their own, are sufficient to predict diagnosis or identify causal mechanisms, pointing to multiple etiologies both *within* and *between* individuals. To date, we do not have a clear understanding of how these brain phenotypes are related in individuals, or how they link to behavior. Some of the earliest behaviors disrupted in infants who go on to develop ASD include motor skills, which have notable implications for later-emerging language and communication abilities^{182–184}. Charting the developmental co-emergence and co-occurrence of brain and behavioral phenotypes in ASD from infancy through diagnosis should be a major scientific goal in the next generation of infant-sibling studies. Such detailed phenotypic developmental mapping would greatly improve our understanding of the unfolding of ASD, possibly revealing distinct etiological subgroups.

Linking MRI and Basic Science

Recently, substantial strides in basic science have allowed for the use of neural stem cells to recapitulate *in-vivo* brain development *in-vitro*. Several reports reviewed here used cells derived from individuals with ASD and macrocephaly to mimic early prenatal cortical development^{119–121}. These studies represent an important step for the field in relating brain phenotypes observed in MRI to *in-vitro* models derived from the same individuals, though methodological advances will be needed to allow for modeling later stages of brain development¹⁸⁵ that may be more central to ASD³³. Future work should move beyond only studying individuals with ASD and brain overgrowth phenotypes^{119–121} to reveal broader insights into etiology.

SUMMARY

Brain phenotypes derived from neuroimaging provide the earliest distinction between infants at risk for ASD and typically developing children, with group differences noted during the presymptomatic period before aberrant behavior is reliably detectable. A wealth of studies converge upon several key findings including brain overgrowth, increased extra-axial fluid volumes, altered white matter development, and aberrant structural and functional connectivity patterns in individuals with ASD. This implicates a variety of neurobiological mechanisms that both independently and jointly contribute to brain and behavioral development in early childhood. The field has made significant strides in describing brain phenotypes in ASD, and has recently taken steps towards implementing individualized-prediction models to identify infants at heightened risk for developing ASD, calling for an urgent need for the concurrent development of effective presymptomatic interventions. In the coming years, scientists will need to focus on a variety of key areas for further investigation, including tackling the problem of etiological heterogeneity and linking brain and behavioral development to underlying genetic mechanisms, a goal that will be achieved through a multidisciplinary approach combining neuroimaging, behavioral, and basic science research.

ACKNOWLEDGEMENTS

We thank Mahmoud Mostapha and Martin Styner for their scientific overview as experts in applying deep learning methods to infant neuroimaging datasets.

DISCLOSURE STATEMENT

Jessica B. Girault is funded by NIH T32-HD040127 to JP. JP is funded by NIH R01-HD055741, R01-MH118362, and U54-HD079124.

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KEY POINTS

- 1. Neuroimaging has played a key role in revealing brain phenotypes associated with autism spectrum disorder (ASD) during infancy and toddlerhood.
- 2. A wealth of studies converge upon several key findings including brain overgrowth, increased extra-axial cerebrospinal fluid volume, altered white matter development, and aberrant structural and functional connectivity patterns in ASD.
- **3.** It is likely that ASD arises from multiple pre- and postnatal pathogenic mechanisms involving neural proliferation and migration, synaptogenesis, pruning, myelination, and axonal development and connectivity.
- **4.** Predicting diagnostic and dimensional outcomes using neuroimaging data in infancy holds great promise for advancing clinical practice.
- 5. Future work should focus on parsing heterogeneity in ASD, linking genetic variation to brain imaging data in infancy, charting the co-occurrence of developmental brain and behavior phenotypes, and coupling neuroimaging studies with basic science research.

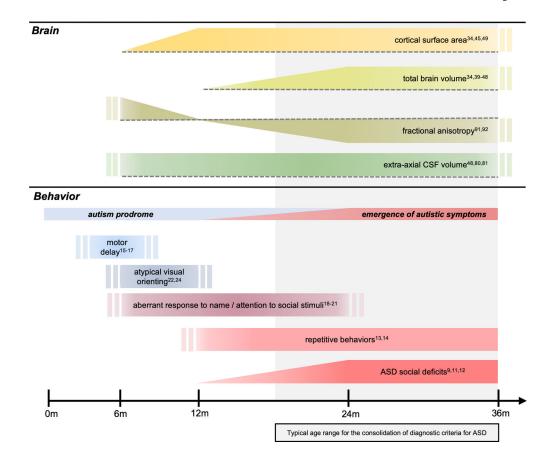


Figure 1. Summary of neuroimaging findings in ASD in the context of emerging behaviors from infancy through toddlerhood.

Brain changes in ASD precede the development of the defining diagnostic features of the disorder, and are temporally associated with behavioral changes in the first year of life that are both specific and non-specific to ASD. Aberrant white matter integrity (fractional anisotropy) and increased extra-axial cerebrospinal fluid (CSF) volumes are detectable as early as 6 months of age in infants who go on to develop ASD, concurrent with motor and sensory delays. Surface area hyper-expansion in the first year of life precedes brain overgrowth in the second year, during which time ASD symptoms become apparent and begin to consolidate, while brain phenotypes remain relatively stable. Findings presented in the figure are those which are supported by multiple study paradigms (reference numbers noted in the figure), including at least one longitudinal study per phenotype. Double bars indicate that the start and/or end point of the trajectory is unknown or not well documented in the literature. Dashed lines in the top panel represent a reference to typical brain development, where bars above or below the dotted line indicate the brain phenotype is either increased or decreased relative to controls, respectively. For example, fractional anisotropy in ASD is increased at 6 months, not significantly different at 12 months, and decreased from 24 to 36 months when compared to controls. Repetitive behaviors and ASD social deficits are shown to continue past 36 months without citations, as these are diagnostic features that are, by definition, present in individuals with an ASD diagnosis.