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## Preschool language outcomes following perinatal hypoxic-ischemic encephalopathy in the age of therapeutic hypothermia

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### Abstract

Early studies following perinatal hypoxic-ischemic encephalopathy (HIE) suggested expressive language deficits and academic difficulties, but detailed study of language development in this population since widespread adoption of therapeutic hypothermia has been limited. Expressive and receptive language testing was performed as part of a larger battery with 45 children with a mean age of 26 months following perinatal HIE treated with therapeutic hypothermia. Overall cohort outcomes as well as the effects of gender, estimated household income, initial pH and base excess, and pattern of injury on neonatal brain MRI were assessed. The cohort overall demonstrated expressive language subscore, visual-reception subscore, and early learning composite scores significantly below test norms with relative sparing of receptive language subscores. Poorer expressive language manifested as decreased vocabulary size and shorter utterances. Expressive

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#### 8.5. Author Contributions

Eric Chin participated in the analysis and writing of the manuscript. Srishti Jayakumar participated in the analysis and reviewed the manuscript. Ezequiel Ramos participated in the analysis and reviewed the manuscript. Gwendolyn Gerner participated in the conceptualization and data collection for the project and the writing of the manuscript. Bruno Soares reviewed and scored the MRIs and reviewed the manuscript. Elizabeth Cristofalo participated in the conceptualization and data collection for the project and reviewed the manuscript. Mary Leppert participated in the conceptualization and data collection for the project and reviewed the manuscript. Marilee Allen participated in the conceptualization and analysis and writing of the manuscript. Charla Parkinson participated in the conceptualization and data collection for the project and reviewed the manuscript. Michael Johnston participated in the conceptualization of the project and reviewed the manuscript. Frances Northington participated in the conceptualization and data collection for the project and reviewed the manuscript. Vera Joanna Burton participated in the conceptualization and data collection for the project and the analysis and writing of the manuscript.

#### 8.2. Statement of Ethics

This study was performed following IRB approval. Parents/guardians of subjects provided written informed consent for all study procedures

#### 8.3. Disclosure Statement

The authors have no conflicts of interest to declare.

language subscores showed a significant gender effect, and estimated socioeconomic status showed a significant effect on both receptive and expressive language subscores. Initial blood gas markers and modified Sarnat scoring did not show a significant effect on language subscores. Binarized MRI abnormality predicted a significant effect on both receptive and expressive language subscores; presence of specific cortical/subcortical abnormalities predicted receptive language deficits. Overall, the language development profile of children following HIE in the era of hypothermia shows a relative strength in receptive language. Gender and socioeconomic status predominantly predict expressive language deficits; abnormalities detectable on MRI predominantly predict receptive language deficits.

## Keywords

Language development; hypoxic-ischemic encephalopathy; therapeutic hypothermia

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## 2. Introduction

Neonatal hypoxic-ischemic encephalopathy (HIE) affects approximately 1.5 in 1000 births and is the most common cause of perinatal brain injury in full-term neonates (1). Even with therapeutic hypothermia, now standard of care when available for neonates with HIE, there is incomplete neuroprotection-- on long-term follow-up, 17% of these children develop cerebral palsy, and 27% demonstrate IQ<70 (2). Prior to therapeutic hypothermia, a number of studies demonstrated poorer speech and language skills including selective reading and spelling difficulties in school age in children with HIE even in the absence of more serious cognitive or motor difficulties (3–5); therefore, it is critical to evaluate speech and language skills in the era of therapeutic hypothermia.

There have been few evaluations of language development in children with HIE post therapeutic hypothermia. Both the NICHD and TOBY trial of hypothermia for perinatal HIE performed neurocognitive follow-up studies at age 6–7 (using either the Wechsler Preschool and Primary Scale of Intelligence, Third Edition (6) or Wechsler Intelligence Scale for Children, Fourth Edition (7)). The NICHD follow-up study (2) noted low-normal verbal IQ in both hypothermia (mean standard score 85.9) and normothermia (mean standard score 86.4) groups, though a fraction of the sample population was deemed too low-functioning to assess verbal IQ. The TOBY trial (8) reported average verbal IQ in both hypothermia (mean standard score 105) and normothermia (mean standard score 101) groups. While correlations between Verbal IQ and scores on language assessments are generally moderate to high (9), they measure different skills and differently predict academic function (10).

In attempts to understand later neurodevelopmental outcomes following neonatal HIE, degree of injury as measured by modified Sarnat score, blood biomarkers, and patterns of brain injury seen on MRI have been used to predict outcome (11,12). There is evidence that such measures predict broad outcomes such as mortality and eventual diagnoses of cerebral palsy and intellectual disability (12,13). Little is known about value of these markers in predicting higher frequency, lower severity disorders such as language impairment. To date, the most promising predictive markers have been MRI-- findings of white matter or basal

ganglia injury have been shown to correlate with lower language scores at age 30 months (12,14) and with both lower performance and verbal scores at age 4 years (14).

In our cohort of children with moderate to severe HIE treated with therapeutic hypothermia, we hypothesized that while gender and socio-economic status would influence language outcomes (15–17), some early markers of hypoxic-ischemic injury severity (initial pH/base deficit, severity of HIE on post-cooling MRI) would also correlate with language outcomes. Further, we hypothesized that specific injury patterns seen on MRI may predict specific types of language skill deficits. Specifically, basal ganglia injury has a strong relationship with motor impairment, which is thought to affect speech and would therefore be expected to predominantly affect expressive language measures (18) while cortical injury might be expected to more likely affect language learning resulting in receptive language deficits (13,14,19).

### 3. Materials and Methods

#### Study Design

This is a convenience sample of infants with hypoxic-ischemic encephalopathy who were treated with therapeutic hypothermia and participated in a comprehensive follow-up evaluation at age 2 years.

#### Neonatal Timepoint

All neonates who underwent whole-body cooling for moderate-to-severe encephalopathy between 2010 and 2014 at Johns Hopkins Children's Hospital neonatal intensive care unit (NICU) and participated in a comprehensive neurodevelopmental battery at age 2 were included in the study. Infants eligible for cooling were diagnosed with moderate to severe HIE on clinical exam based on modified Sarnat criteria (20,21) and blood gas from the umbilical cord or first hour of life with pH <7.15 or a base deficit >10 mmol/L. If a blood gas measurement was not available, 10-minute Apgar score <5 or assisted ventilation for 10 minutes after birth, an acute perinatal event, and moderate to severe encephalopathy were used to diagnose HIE. Additional eligibility criteria for this study included gestational age ≥35 weeks, birth weight ≥1800 g, initiation of whole-body cooling within 6 hours of birth, and a parent who spoke English as the primary language. Neonates with a contraindication to hypothermia therapy (e.g. coagulopathy) with active bleeding or congenital anomalies that could make cooling unsafe were not eligible for the study.

Variables gathered from the NICU period used for analysis in this study included initial blood gas (either cord or within the first hour of life as available) pH and base deficit. Lactate was not consistently obtained in this cohort (Table 1). Household income was not directly assessed on admission, but socioeconomic status was estimated using well-established (22,23) proxies: 1) 2015 median income of the census tract containing the family's home address at the time of delivery and 2) family insurance status (private vs. medical assistance/public).

Categorization of encephalopathy was determined by review of neurologic status as described in the admission history and physical. A neonatologist and a pediatric neurologist

both with additional training in developmental disabilities independently reviewed the admission records to determine moderate or severe encephalopathy. Level of encephalopathy was determined using modified Sarnat criteria (20,21). If infants had features from both categories, the level of encephalopathy was determined with the most criteria present. Agreement between the record reviewers was a kappa of 0.78. Disagreements were reviewed and determined by consensus.

MRI was obtained as a part of usual NICU care in the first two weeks of life in 44/45 subjects (average age  $8.7 \pm 3.0$  days). NICHD MRI grade (a grading scheme ranging between 0, or no detectable HIE-related injury to 3, or “global devastation” with distinction between cortical/subcortical and basal ganglia/thalamic injury based on T1- and T2-weighted sequences; see Table 1 for brief description of NICHD MRI grades; described in detail in (24) was assessed by a board-certified pediatric neuroradiologist blinded to outcomes.

### Preschool-age Timepoint

As standard of care, starting in 2010 all children treated for neonatal HIE in our NICU are referred for neurodevelopmental follow-up on discharge. In addition, families were eligible to participate in a research evaluation between 18–30 months. Children who were involved in the foster care system at the time of neurodevelopmental follow-up were not included in the research study.

As part of a larger battery, the research evaluation included the Mullen Scales of Early Learning (MSEL; Pearson Education, Inc., London, UK) and the MacArthur-Bates Communicative Development Inventories (MB-CDI; Brookes Publishing; Baltimore, MD). MSEL is a developmental battery assessing gross motor (GM), fine motor (FM), visual reception (VR), expressive language (EL), and receptive language (RL) which also provides a summary early learning composite (ELC) incorporating information from VR, EL, RL, and FM subscores. Testing norms are established for children from birth to 68 months of age. Subscores are reported as T-scores (population mean of 50; standard deviation 10; floor of instrument 20), and the ELC is reported as a standard score (population mean of 100; standard deviation 15). The MB-CDI is a detailed, standardized parent report instrument including subscores describing overall volume of word production (MB-PROD), and average length of longest 3 sentences (MB-M3L). Other MB-CDI subscores were not included in this analysis as they reflect skills (e.g. correct contextual matching of later developed word endings) not expected to be reliably present at this age. Norms for age and gender are reported as percentiles, and are available between ages 8–30 months. In normative samples, subscore performance below 10th percentile is considered concerning for language disability.

Forty-five children in this convenience sample had completed at least MSEL-RL, MSEL-EL, and MSEL-VR and were included in this analysis. Of these, 44/45 completed all MSEL subscores, and completed MB-CDI reports were obtained for 33/45 within the normed window. In this study, we were particularly interested in MB-PROD and MB-M3L as measures of speech output and complexity, respectively; for these measures, normed percentile values were available for 33/45 and 32/45 subjects, respectively.

In addition to primary study outcome measurements, other information collected at the preschool age timepoint included whether the individual had been clinically diagnosed with cerebral palsy. Additional demographic information (e.g. household tax bracket) was also elicited but with incomplete response (Table 1).

### Statistical Analysis

Overall cohort outcomes were assessed; statistics were performed using R 3.5.1 (R Foundation for Statistical Computing, Vienna, Austria), and figures were constructed using MATLAB R2018b (MathWorks, Natick, MA). Distributions of scores for each subtest were described and compared to typical average scores for age using a non-parametric Wilcoxon signed-rank test so as not to assume normally-distributed data. Developmental dissociation was assessed between subscores within the tested cohort using the Wilcoxon rank-sum test.

In order to better define motor contributions to language scores, post-hoc analysis was performed 1) on subjects with a clinical diagnosis of cerebral palsy, and 2) on all subjects. As only three subjects in this convenience sample were diagnosed with cerebral palsy, language scores were described narratively. To identify motor and cognitive contributions more quantitatively, a 2-way Type II ANOVA model was constructed using MSEL-VR (as a proxy for non-verbal intelligence) and MSEL-FM (a measure of fine motor performance) as predictors of MSEL-EL and MSEL-RL.

To investigate the value of potential prognostic factors available in the NICU setting to later language ability, we examined covariance of selected expressive and receptive language subscores (MSEL-EL, MSEL-RL, and MB-PROD) with gender, geocode-based estimated income, initial pH and base excess, modified Sarnat scores and binarized normal/abnormal MRI.

Data from additional variables of interest (reported household income, level of maternal education, and lactate) were only available from a minority of subjects. Cohort-wide values were summarized (Table 1), but these variables were not included in further analysis.

We examined covariance of selected subscores (MSEL-EL, MSEL-RL, MSEL-VR, MB-PROD, MB-M3L) with respect to  $2 \times 2$  covariates of the presence vs. absence of cortical/subcortical lesions and basal ganglia/thalamic lesions, respectively. Determination of anatomical location was based on NICHD MRI criteria-- grades 1A and 1B were considered to have cortical/subcortical involvement alone; grade 2A was considered to have basal ganglia/thalamic involvement alone; grades 2B and 3 were considered to have both types of involvement.

Given the sample size, analysis was first performed in a univariate manner on subjects with data including the variable of interest. Again, non-parametric statistics were used; a Wilcoxon rank-sum test was used for binary covariates, and a significance test on Kendall's tau correlation vs. a null hypothesis of no effect was used for continuous covariates. The  $2 \times 2$  model based on factors of presence/absence of 1) cortical/subcortical (MRI-CORT) and 2) basal ganglia/thalamic lesions (MRI-BGT) was analyzed using a two-way Type II ANOVA structure; significance was determined based on an F test. To better understand the relative

contributions of variables studied, we also constructed a 4-way Type II ANOVA model including all variables that showed significant associations on univariate analyses (MRI-CORT, MRI-BGT, gender, insurance status, initial pH, and initial base excess).

## 4. Results

### Sample Characteristics

127 infants were treated with therapeutic hypothermia during the study time period. 14 of these infants were deceased at the time of follow-up. A subset of 45 of these children participated in a comprehensive research evaluation near two years of age. Participant characteristics are summarized in Table 1.

### Whole-Cohort Language and Cognitive Outcomes

Whole-cohort testing characteristics are summarized in Table 2. MSEL-RL scores were not significantly different from those of the test norms (T-score estimate 49.0, CI [45, 52.5],  $p=0.57$ ), but MSEL-EL (44.5, CI [41,48],  $p=0.0023$ ), MSEL-VR (42.5, CI [38.5,46.5],  $p=0.0015$ ), and MSEL-ELC (SS=90.5, CI [85,96],  $p=0.0016$ ) were all significantly lower than test norms (Figure 1A, indicated by \*). 4/44 subjects (9.1%) had MSEL-ELC standard scores < 70; 3/45 (6.7%) and 4/45 (8.9%) had MSEL-RL and MSEL-EL T-scores < 30, respectively (2 standard deviations below the population mean in all cases). MB-PROD and MB-M3L measures were both significantly lower than test norms (Figure 1B; estimate 27.5 percentile, CI [20–40 percentiles],  $p=0.00087$ ; estimate 35 percentile, CI [25–47.5 percentiles],  $p=0.016$ , respectively). 24% of subjects (MB-PROD) and 16% of subjects (MB-M3L), respectively had scores below 10th percentile for age and gender, representing classification as “high-risk”. MSEL-RL scores were significantly higher than MSEL-VR scores ( $p=0.018$ ) and the early learning composite ( $p=0.037$ ) but non-significantly higher than MSEL-EL scores ( $p=0.083$ ; Figure 1A).

### Covariate Analysis

All 3 children with CP had basal ganglia/thalamic involvement (one individual with MRI grade 2A; two individuals with MRI grade 2B). Those with grade 2B MRI scores had variable motor functioning (one with Gross Motor Functional Classification System (GMFCS) I, one with GMFCS V) and very poor language outcomes (MSEL-RL and MSEL-EL T-scores <25); the individual with a grade 2A MRI had GMFCS II and borderline language scores (MSEL-RL T=46; MSEL-EL T=37).

Variance in MSEL-VR (a proxy for non-verbal cognitive skills) predicted a significant minority of variance in both MSEL-EL (27.2% of variance;  $p=0.00014$ ) and MSEL-RL (23.1% of variance;  $p=0.00074$ ). Variance in MSEL-FM contributed significantly only to variance in MSEL-EL (10.0% of variance;  $p=0.014$ ; Table 3).

Univariate evaluation of effects of covariates is summarized in Table 4. There were gender effects in MSEL-EL subscores (estimated T-score 7.0 points greater in females than males;  $p=0.024$ ) but not MSEL-RL subscores. Estimated household income predicted MSEL-EL and MSEL-RL deficits. pH and base excess did not predict MSEL-EL, MSEL-RL, or MB-

PROD. Modified Sarnat scores did not predict deficits. Binarized normal vs. abnormal MRI (NICHD score > 0) showed significant effects on both expressive language (estimated difference in T-scores 7.0 points;  $p=0.038$ ) and receptive language (estimated difference in T-scores 10.1 points;  $p=0.0061$ ) subscores.

In the 2-factor anatomical injury model (Figure 2, Table 5), only presence of cortical/subcortical lesions showed significant effects on receptive language subscores ( $p=0.0023$ ), but neither showed significant effects on expressive language or visual reception subscores. There was not a significant interaction effect between the two factors. This model explained a total of 28.4% of variance in MSEL-RL as compared to 17.8% of variance in MSEL-EL and 10.6% of variance in MSEL-VR. The same model also explained a total of 10.9% of variance in MB-PROD and 18.9% of the variance in MB-M3L -- in the case of MB-M3L, it was predominantly the interaction term (presence of both cortical/subcortical and basal ganglia/thalamic lesions) that predicted deficits ( $p=0.036$ ).

In the 4-factor ANOVA model (Table 6), total explained variance ranged between 26.3% (MB-PROD) to 41.4% (MSEL-RL). Estimated household income was the most consistent predictor with significant impacts on MSEL-EL ( $p=0.0068$ ), MSEL-RL ( $p=0.014$ ), and MSEL-VR ( $p=0.0047$ ). Gender also contributed significantly to MSEL-EL ( $p=0.027$ ), and MRI-CORT also contributed significantly to MSEL-RL ( $p=0.010$ ).

## 5. Discussion/Conclusion

Mean performance on language measures fell within the normal range for all measures, and most individuals performed within the normal range for cognitive and language measures. While receptive language scores on MSEL were not significantly different from existing normative samples, expressive language and visual reception subscales were significantly lower as were composite scores. These findings are consistent with previous studies that as a group, children with perinatal HIE treated with therapeutic hypothermia perform in the average to low-average range on some language measures. This study demonstrates that patterns of strengths and weakness previously demonstrated at age 4–7 years are evident by age two (2,13). Receptive language at age two was most preserved when compared to expressive language and a non-verbal visual reception task. While expressive language measures fell in the average range for the group, mean performance was significantly lower than published normative samples on a performance-based measure (MSEL) indicating a larger number of children than would be predicted with low-average or below average expressive and non-verbal skills. Additionally, parent report of expressive one-word vocabulary on the MacArthur-Bates was consistent with the children's performance on MSEL with 24% of the study group with scores classified as highrisk. While language performance at age 2 is variable and children with isolated expressive language delays have a good prognosis, early language disability can be an indicator for neurodevelopmental problems and should be monitored in a high risk population such as children with a history of perinatal HIE. There is evidence of good concurrent and predictive validity of parent report measures such as the MacArthur Bates in this age range (25). Further, there is growing evidence that while 'late talkers', defined as children between the ages of 18–35 months who acquire language at a slower rate than their typically developing peers, may

catch-up in terms of vocabulary, language-based learning difficulties re-emerge as reading and writing difficulties in school age (26–29). Pre-hypothermia outcomes have found these very areas of difficulty in children with perinatal HIE (2,8).

Variation in general cognitive factors and, in the case of expressive language, in motor skills appear to explain a portion of the variation in preschool language skills. However, large portions of variation in language functioning also remain independent of these factors, which highlights the need for serial developmental monitoring across domains in this high-risk population.

As expected, there were intervening gender and environmental effects on language outcomes following perinatal HIE. Girls had better performance than boys on the expressive portions of MSEL, but there were not receptive differences. As the MacArthur-Bates normative percentiles are based on gender and age, gender was already accounted for on these measures. Proxies of socioeconomic status predicted differences in both expressive and receptive portions of the Mullen. This finding is not unexpected given the large body of literature demonstrating socio-economic effects on language production; however it reinforces the idea that additional exposures, especially in low-income households, are important for language learning. This is particularly important in a vulnerable population such as children with a history of HIE and provides some evidence for early intervention.

Consistent with previous studies, while neither modified Sarnat score nor pH/BE independently correlated with 2 year language outcomes, injury as demonstrated on early MRI did relate to outcome. Specifically, children with normal early MRI had significantly higher expressive and receptive language scores than those with any MRI abnormalities. As expected, children with the most extensive injury patterns had lower scores in all measures. Presence of cortical/subcortical lesions showed effects on receptive language subscores, but neither cortical/subcortical nor basal ganglia/thalamic types of injury showed significant effects on expressive language or visual reception subscores.

## Limitations

This study was a convenience sample and was not fully powered for multivariate analysis. We did not intentionally select for participants based on injury characteristics or socioeconomic factors, but inclusion only of individuals maintaining research follow-up may have biased our sample.

As with any preschool outcome, caution should be used when interpreting the relationship between performance in any specific domain and later functional outcomes. This is especially true for language, which is rapidly developing at this age.

High-grade injury (by encephalopathy score or MRI) was not equally represented in this sample, and our findings apply most directly to individuals without devastating injury on MRI (NICHD grade 3). That said, the percentage of normal (NICHD grade 0) MRI scores in our cohort was similar to that of prior studies (e.g. 57% vs. 52% in (21)), and data collected reflect on the developmental status of individuals who have sustained a broad spectrum of hypoxic-ischemic brain injury patterns.



## Implications

This cohort of children with perinatal HIE treated with therapeutic hypothermia had relatively preserved receptive language skills at age 2. Expressive language and visual reception were in the normal range but significantly lower than normative samples. The MacArthur-Bates has been validated in many populations, and it similarly appears to be appropriate to identify expressive language deficits in preschool children with a history of HIE. While blood gas variables and modified Sarnat score did not predict outcome, only a single subject in our study with a normal MRI demonstrated below-normal expressive language functioning (and none demonstrated below-normal receptive language functioning), allowing for some reassurance about language outcomes at the age of 2 for children with a normal MRI. Further, one of the major determinants of expressive and receptive language was estimated socioeconomic status, suggesting that much remaining risk following HIE treated with therapeutic hypothermia may be modifiable through environmental enrichment and early intervention. While portions of variance in language outcomes appear to be driven by variance in motor and cognitive domains, significant unexplained variance remains. This highlights the need for close monitoring of all developmental domains in this high-risk population.

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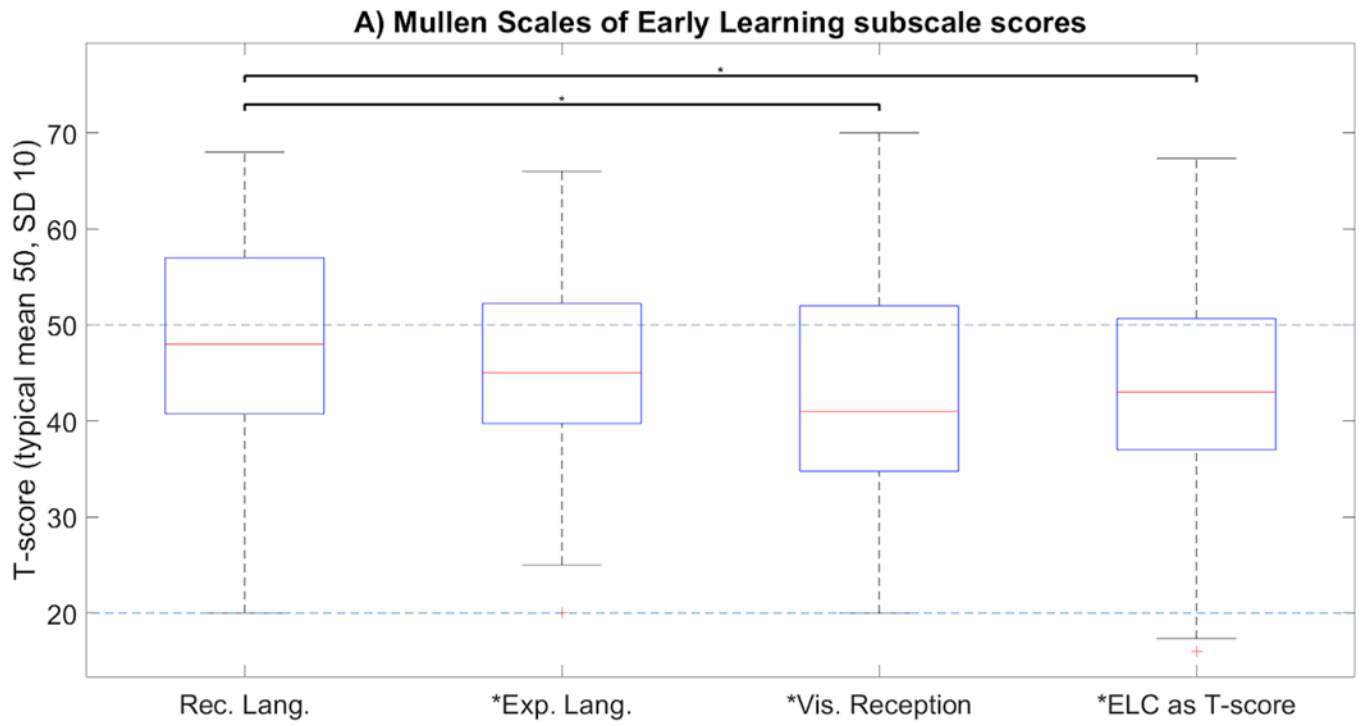
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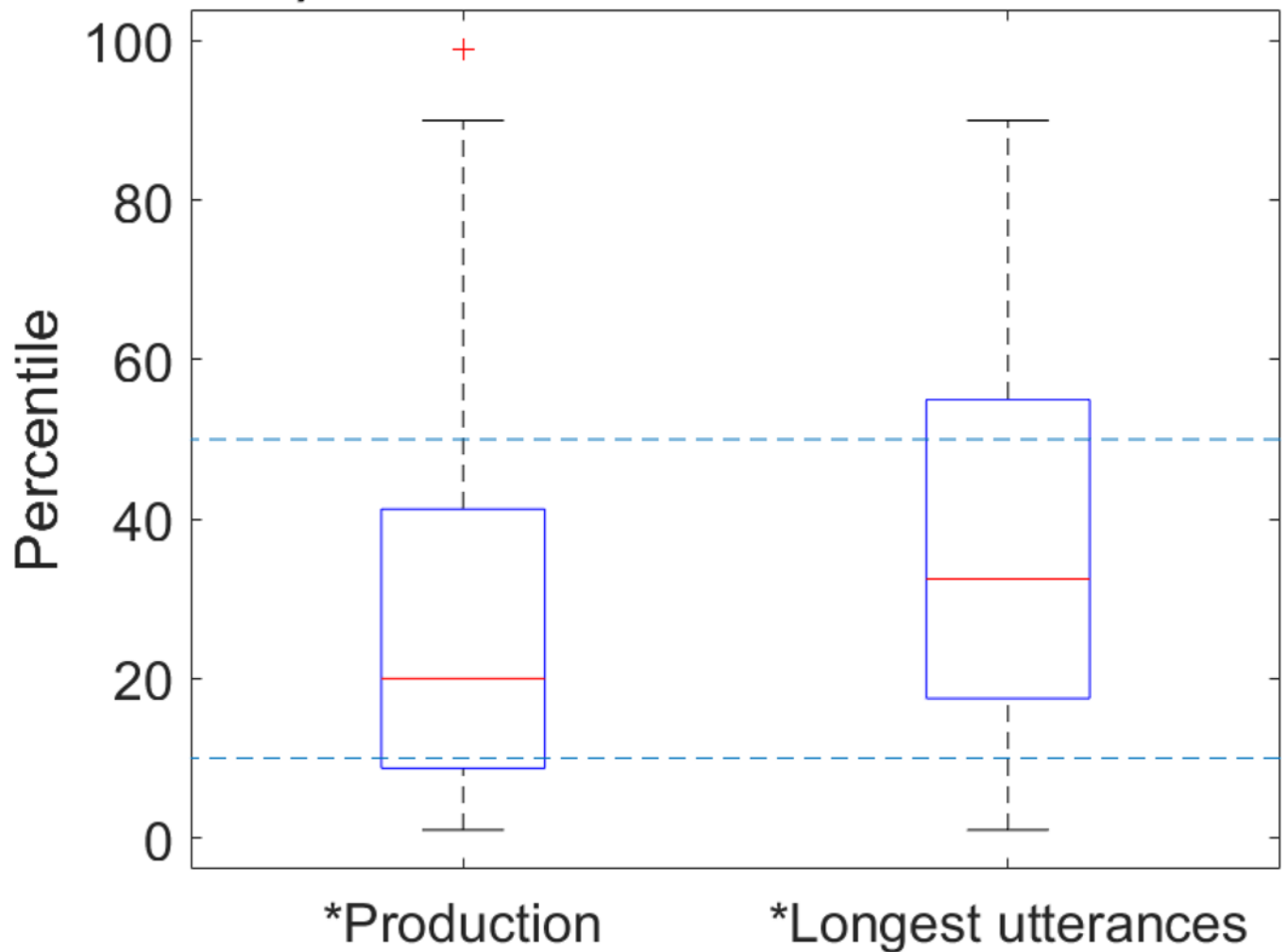
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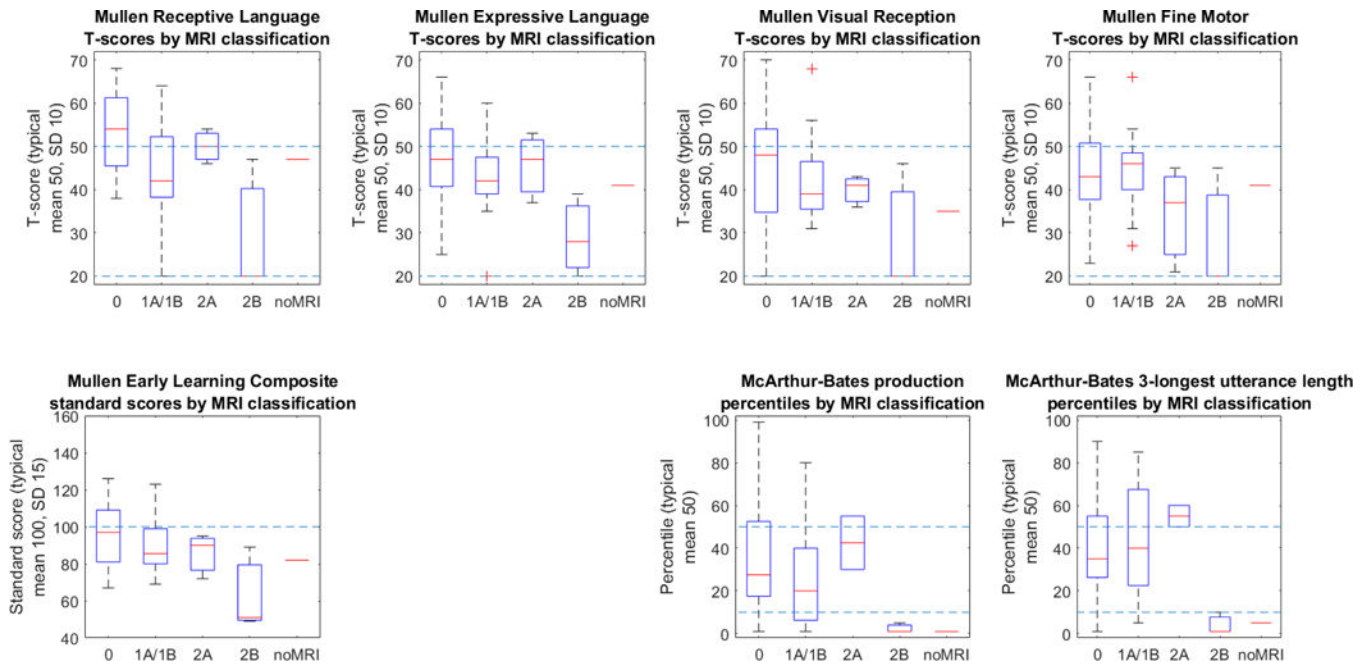
## B) MacArthur-Bates cohort scores



**Figure 1: Whole-cohort testing characteristics.**

Asterisk on x-axis label indicates significant group difference below testing norms ( $p < 0.05$ ); starred bracket indicates significant discrepancy in performance between domains ( $p < 0.05$ ).

T-scores are normed against typical validation groups with mean scores of 50 and a standard deviation of 10.



**Figure 2: Assessment outcomes by modified NICHD MRI grade.**

NICHD MRI grade reflects both the degree and gross distribution of brain injury on conventional MRI. Grades include categories for normal MRI (0), cortical/subcortical involvement only (1A (minimal cerebral lesions) and 1B (more extensive cerebral lesions), basal ganglia/thalamic/deep white matter involvement only (2A (basal ganglia, thalamic, internal capsule lesions only), or involvement of both areas (2B (basal ganglia, thalamic, internal capsule, and cerebral lesions) and 3 (cerebral devastation)). T-scores are normed against typical validation groups with mean scores of 50 (higher dotted line) and a standard deviation of 10; a T-score of 20 represents the floor of the instrument for individual subscores (lower dotted line).

**Table 1 -  
Participant characteristics.**

Measures obtained from NICU admission include proxies of socioeconomic status (parental public vs. private insurance and home census tract-based estimate of household income) and injury markers. N reflects number of subjects for which the measure was obtained and (for categorical variables) the number of subjects falling into each category. Modified Sarnat Score reflects documented degree of encephalopathy on exam within the first six hours of life (moderate (2) vs. severe (3)). NICHD MRI grade reflects both the degree and gross distribution of brain injury on conventional MRI. Grades include categories for normal MRI (0), cortical/subcortical involvement only (1A (minimal cerebral lesions) and 1B (more extensive cerebral lesions), basal ganglia/thalamic/deep white matter involvement only (2A (basal ganglia, thalamic, internal capsule lesions only), or involvement of both areas (2B (basal ganglia, thalamic, internal capsule, and cerebral lesions) and 3 (cerebral devastation)). Parent-reported household tax bracket and clinical diagnosis of cerebral palsy were obtained from the 2-year research visit.

Demographics	N	%	Mean +/- SD	Range
Female sex	21/45	47%		
Private insurance	34/45	76%		
Maternal education (years, 12 = completed high school)	18/45		15.1+/-2.1	11-17
Annual household income (parent-reported)	18/45		\$126.1k+/-83.7k	\$31.2k-305.9k
Annual household income (estimated from median by census tract)	45/45		\$75.5k+/-33.4k	\$16.9k-167.6k
<b>NICU biomarkers</b>				
Modified Sarnat Score				
2 (moderate encephalopathy)	32/45	71%		
3 (severe encephalopathy)	13/45	29%		
NICHD MRI classification				
0 (normal)	25/44	57%		
1A (minimal cerebral lesions)	11/44	25%		
1B (more extensive cerebral lesions without other involvement)	2/44	4.5%		
2A (basal ganglia, thalamic, internal capsule lesions only)	3/44	6.8%		
2B (basal ganglia, thalamic, internal capsule, and cerebral lesions)	3/44	6.8%		
3 (cerebral devastation)	0/44	0%		
pH	45/45		6.96+/-0.13	6.62-7.26
Base excess/deficit	43/45		-15.9+/-6.2	-33.8--7.0
Serum lactate (mmol/L)	22/45		3.9+/-4.1	0.7-16.7
<b>Other diagnoses at age 2</b>				
Cerebral palsy	3/45	6.7%		

**Table 2:**  
**Whole-cohort testing characteristics.**

Bolded entries indicate  $p < 0.05$  for significant group difference below testing norms. T-scores are normed against typical validation groups with mean scores of 50 and a standard deviation of 10. Standard scores are normed against typical validation groups with mean scores of 100 and a standard deviation of 15.

	Estimate	95% Confidence interval	p-value
Mullens RL T-score	49.0	[45.0,52.5]	0.57
Mullens EL T-score	<b>44.5</b>	<b>[41.0,48.0]</b>	<b>0.0023</b>
Mullens VR T-score	<b>42.5</b>	<b>[38.5,46.5]</b>	<b>0.0015</b>
Mullens FM T-score	<b>42.0</b>	<b>[38.5, 45.0]</b>	<b>0.000062</b>
Mullens ELC standard score	<b>90.5</b>	<b>[85.0,96.0]</b>	<b>0.0016</b>
M-B Production percentile	27.5	[20.0,40.0]	<b>0.00087</b>
M-B M3L percentile	35.0	[25.0,47.5]	<b>0.016</b>
	Estimated group difference	95% Confidence interval	p-value
Mullens RLt-VRt	<b>+6.0</b>	<b>[1.0,11.0]</b>	<b>0.018</b>
Mullens RLt-ELCt	<b>+5.0</b>	<b>[0.67,10.0]</b>	<b>0.037</b>
Mullens RLt-ELt	+4.0	[0,9.0]	0.083

Abbreviations: ELt: Mullen expressive language T-score; RLt: Mullen receptive language T-score; ELC: Mullen Early Learning Composite; M-B: MacArthur-Bates Communicative Development Inventories; M3L: MacArthur-Bates mean of 3 longest utterance percentile



**Table 3:**  
**Cognitive and motor determinants of language function.**

Table entries indicate percent of variance of each outcome measure (columns) by each predictor (row) explained within a 2-factor ANOVA based on proxies of non-verbal cognitive (visual reception scores) and motor (fine motor) functioning. The third row represents the interaction term between the two factors as a predictor.

Predictor	MSEL Expressive language T-score	MSEL Receptive language T-score
MSEL Visual reception T-score	<b>27.2%***</b>	<b>23.1%***</b>
MSEL Fine motor T-score	<b>10.0%*</b>	2.5%
MSEL-VR × MSEL-FM interaction effect	1.7%	5.4%
<b>Total explained variance</b>	38.9%	31.0%

Bolded entries indicate  $p < 0.05$  by F-test (\*  $p < 0.05$ ; \*\*\*  $p < 0.001$ ).

Abbreviations: MSEL: Mullen Scales of Early Learning; MSEL-VR: Mullen Scales Visual Reception T-score; MSEL-FM: Mullen Scales Fine Motor T-score

**Table 4:****Effects of NICU covariates.**

Bolded entries indicate  $p < 0.05$  for significant between-group differences. T-scores are normed against typical validation groups with mean scores of 50 and a standard deviation of 10.

	Estimated group difference	95% Confidence interval	p-value
Mullens ELt F-M	<b>+7.0</b>	<b>[1.0,14.0]</b>	<b>0.024</b>
M-B Production Percentile F-M	+15.0	[-9.5,35.0]	0.063
Mullens RLt F-M	+4.0	[-3.0,11.0]	0.32
Mullens ELt ModSarnat 2- ModSarnat 3	+4.0	[-3.0,12.0]	0.23
M-B Production Percentile ModSarnat 2- ModSarnat 3	+0.0	[-19.0,25.0]	0.85
Mullens RLt ModSarnat 2- ModSarnat 3	+3.0	[-5.0,11.0]	0.42
Mullens ELt NI-Abnl MRI	<b>+7.0</b>	<b>[0.0,14.0]</b>	<b>0.038</b>
Mullens RLt NI-Abnl MRI	<b>+10.1</b>	<b>[3.0,19.0]</b>	<b>0.0061</b>
Mullens VRt NI-Abnl MRI	+7.0	[-2.0,15.0]	0.10
Mullens FMt NI-Abnl MRI	+1.0	[-6.0,9.0]	0.89
MB ProdPerc NI-Abnl MRI	+15.0	[0.0,34.0]	0.070
MB M3LPerc NI-Abnl MRI	+11.6	[-10.0,30.0]	0.28
	Estimated Kendall's rank correlation coefficient ( $\tau$ )		p-value
Mullens ELt vs. Estimated Income	<b>0.305</b>		<b>0.0035</b>
M-B Production Percentile vs. Estimated Income	0.180		0.15
Mullens RLt vs. Estimated Income	<b>0.250</b>		<b>0.017</b>
Mullens ELt vs. pH	-0.045		0.67
M-B Production Percentile vs. pH	0.10		0.43
Mullens RLt vs. pH	-0.0010		0.99
Mullens ELt vs. BE	0.11		0.33
M-B Production Percentile vs. BE	-0.027		0.84
Mullens RLt vs. BE	0.091		0.40

Abbreviations: ELt: Mullen expressive language T-score; RLt: Mullen receptive language T-score; M-B: MacArthur-Bates Communicative Development Inventories; M3L: MacArthur-Bates mean of 3 longest utterance percentile; ModSarnat: Modified Sarnat score; BE: Base excess

**Table 5:**  
**Outcomes by pattern of injury on MRI.**

Table entries indicate percent of variance of each outcome measure (columns) by each predictor (row) explained within a 2-factor ANOVA based on NICHD MRI scoring. Grades 1A/1B represent presence of cortical/subcortical injury only; grade 2A represents presence of basal ganglia/thalamic injury only, and grades 2B/3 represent presence of both types of injury. The last row represents the interaction term between the two factors as a predictor.

Predictor	MSEL Expressive language t-score	MSEL Receptive language t-score	MSEL Visual reception t-score	MB Production Percentile	MB 3-longest utterance length
Presence of cortical/subcortical injury	8.1%	<b>18.5%**</b>	3.3%	4.3%	1.3%
Presence of basal ganglia/ thalamic injury	5.7%	6.5%	6.3%	1.6%	3.4%
(Cortical/ subcortical injury) × (basal ganglia/ thalamic injury) interaction effect	4.0%	3.5%	1.1%	4.9%	<b>14.1%*</b>
<b>Total explained variance</b>	17.8%	28.4%	10.6%	10.9%	18.9%

Bolded entries indicate  $p < 0.05$  by F-test (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

Abbreviations: MSEL: Mullen Scales of Early Learning; MB: MacArthur-Bates Communicative Development Inventories

**Table 6:**  
**4-factor ANOVA including all significant covariates.**

Table entries indicate percent of variance of each outcome measure (columns) by each predictor (row) explained within the 4-factor model. Rows with multiple predictors listed separated by colons represent interaction term predictors.

	MSEL-EL	MSEL-RL	MSEL-VR	MB-PROD	MB-M3L
<b>MRICort</b>	4.0%	<b>13.7%**</b>	0.9%	0.4%	0.1%
<b>MRibgt</b>	1.0%	2.1%	2.6%	0.0%	0.1%
<b>Gender</b>	<b>10.5%*</b>	1.1%	4.4%	9.6%	0.7%
<b>TractEstIncome</b>	<b>16.4%**</b>	<b>12.5%*</b>	<b>19.1%*</b>	1.0%	5.4%
<b>MRibgt:Gender</b>	1.1%	0.9%	0.0%	0.0%	0.0%
<b>MRibgt:MRICort</b>	0.3%	0.0%	0.1%	2.4%	9.9%
<b>Gender:MRICort</b>	0.6%	2.3%	0.6%	8.5%	0.5%
<b>MRibgt:TractEstIncome</b>	0.7%	0.9%	0.6%	0.2%	3.4%
<b>Gender:TractEstIncome</b>	0.0%	0.0%	4.0%	0.5%	0.5%
<b>MRICort:TractEstIncome</b>	1.1%	2.4%	0.0%	3.0%	8.0%
<b>MRibgt:Gender:MRICort</b>	0.0%	0.0%	0.0%	0.0%	0.0%
<b>MRibgt:Gender:TractEstIncome</b>	0.0%	0.0%	0.0%	0.0%	0.0%
<b>MRibgt:MRICort:TractEstIncome</b>	1.0%	0.5%	1.2%	0.0%	0.0%
<b>Gender:MRICort:TractEstIncome</b>	0.7%	4.9%	0.2%	0.6%	2.9%
<b>MRibgt:Gender:MRICort:TractEstIncome</b>	0.0%	0.0%	0.0%	0.0%	0.0%
<b>Total Explained Variance</b>	37.4%	41.4%	33.8%	26.3%	31.5%

Bolded entries indicate  $p < 0.05$  by F-test (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ).

Abbreviations: MSEL-EL: Mullens expressive language T-score; MSEL-RL: Mullens receptive language T-score; MSEL-VR: Mullens visual reception T-score; MB-PROD: MacArthur-Bates production percentile; MB-M3L: MacArthur-Bates mean of 3 longest utterance percentile; MRibgt: Presence of basal ganglia/thalamic injury on MRI; MRICort: presence of cortical/subcortical injury on MRI; TractEstIncome: household income as estimated based on home census tract.