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## Binge alcohol use is not associated with alterations in striatal dopamine receptor binding or dopamine release

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### Abstract

**Background:** Previous imaging studies using Positron Emission Tomography (PET) have shown that alcohol use disorder (AUD) is associated with a decrease in dopamine type 2/3 receptor ( $D_{2/3}$ ) binding and dopamine transmission. Although binge drinking is a risk factor for future AUD, little is known about the neurobiology of binge drinking in young adults. This study measured  $D_{2/3}$  receptor binding and stimulant-induced dopamine release using PET and [<sup>11</sup>C]raclopride in binge drinkers without an AUD.

**Methods:** This study included 14 healthy controls (HC) and 14 young adult binge drinkers (BD), aged 18–25. The BD met National Institute on Alcohol Abuse and Alcoholism (NIAAA) criteria for binge drinking and the HC subjects were social drinkers. The subjects were scanned with [<sup>11</sup>C]raclopride before and after the administration of oral methylphenidate (60 mg) to measure  $D_{2/3}$  binding and dopamine release.

**Results:** There was no significant difference in the PET measures of  $D_{2/3}$  binding or methylphenidate-induced dopamine release between the two groups. There was no significant association between Alcohol Use Disorders Identification Test (AUDIT) scores or 30-day drinking history and the imaging data.

**Conclusion:** In this sample of 18–25-year-old binge drinkers without a diagnosis of a substance use disorder, there were no significant differences in  $D_{2/3}$  receptor binding potential or methylphenidate-induced dopamine release relative to healthy controls.

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Contributors

JMW was responsible for the preparation of the manuscript and the data analysis. AG assisted with the recruitment and running of human subjects. MS performed the mathematical modeling and statistical analysis with the PET data. DM (Yale site) and DL assisted with the acquisition and performed the medical coverage of the PET scans. NN, JR, and YH provided the radiochemistry for the scans. DM served as the PI of the study and managed the overall project. All authors have approved the final manuscript.

Declaration of Competing Interest

None.

## Keywords

Alcohol; Binge drinking; Dopamine; PET; Imaging; Raclopride

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## 1. Introduction

Excessive alcohol drinking in young adulthood is a persistent public health problem (Hasin et al., 2007; Mokdad et al., 2004). The National Survey on Drug Use and Health indicates that 37.8% of college students and 32.6% of non-college young adults (ages 18–22) binge drank in the last month (SAMHSA, 2016). Even though binge drinking is associated with an increased risk of future alcohol use disorder (AUD) (Bonomo et al., 2004; Hasin et al., 2001), little is known about the neurobiology of binge drinking in this age group and how it compares to the known alterations seen in AUD.

Previous imaging studies have shown that AUD is associated with a disruption in striatal dopamine neurotransmission. Perhaps the most recognized neurobiological marker of addiction is low dopamine type 2/3 receptor ( $D_{2/3}$ ) binding measured with Positron Emission Tomography (PET) (for review see (Trifilieff and Martinez, 2014)). Low  $D_{2/3}$  receptor binding has been shown in at least eight human studies of AUD (Heinz et al., 2005, 2004; Hietala et al., 1994; Martinez et al., 2005; Rominger et al., 2012; Volkow et al., 1996, 2002; Volkow et al., 2007), and in laboratory animals, low  $D_{2/3}$  receptor binding has been shown to predict alcohol self-administration (for review see (Trifilieff and Martinez, 2014)).

In addition to low  $D_{2/3}$  receptor availability, low dopamine transmission has been demonstrated in PET research of AUD. Dopamine transmission can be measured by obtaining scans with [ $^{11}\text{C}$ ]raclopride before and after the administration of a psychostimulant, such as amphetamine or methylphenidate. Two studies have used these methods in AUD and both showed that dopamine transmission was blunted compared to matched controls (Martinez et al., 2005; Volkow et al., 2007). Another PET study used [ $^{18}\text{F}$ ]DOPA to measure pre-synaptic dopamine synthesis capacity in AUD, and showed that low radiotracer uptake correlated with greater craving for alcohol (Heinz et al., 2005). Taken together, these PET studies indicate that AUD is associated with a decrease in striatal dopamine signaling which correlates with a greater severity of disease.

In this study, PET was used to investigate this neurobiology in binge drinking. A group of young adult binge drinkers and matched control subjects underwent two scans with [ $^{11}\text{C}$ ]raclopride before and after a psychostimulant challenge (methylphenidate 60 mg PO) in order to obtain baseline measures of  $D_{2/3}$  receptor binding and methylphenidate-induced dopamine release. Based on previous research, we hypothesized that binge drinking subjects would show a decrease in baseline  $D_{2/3}$  receptor binding and decreased stimulant-induced dopamine increase.

## 2. Methods and materials

### 2.1. Subjects

The study was approved by the Institutional Review Board of the New York State Psychiatric Institute and all subjects provided written informed consent. Inclusion criteria for the binge drinking (BD) subjects were as follows: 1) ages 18–25 years old; 2) absence of significant medical illness; 3) absence of past or current substance use disorder, with a negative urine toxicology, but meet the National Institute on Alcohol Abuse and Alcoholism (NIAAA) definition of binge drinking (NIAAA, 2015). The NIAAA criteria consists of a pattern of drinking alcohol that brings blood alcohol concentration (BAC) to 0.08 g/dl or above. For the typical adult, this corresponds to consuming 5 or more drinks in males and 4 or more drinks in females within two hours. The BD subjects were required to have at least 4 binge drinking episodes resulting in acute intoxication in the month prior to study entry.

The criteria for healthy controls (HC) were: 1) ages 18–25 years old; 2) absence of psychiatric or medical illness; 3) absence of past or current substance use disorder, with a negative urine toxicology; 4) meet criteria for social drinking defined as no more than one drink a day for women, and no more than two drinks a day for men over the past month (NIAAA, 2016). The control subjects could not have consumed more than 7 (women) or 14 (men) drinks per week in the last month to meet NIAAA criteria for low-risk drinking, and could not have had more than two episodes of binge drinking in the past year.

Each subject underwent a psychiatric and medical evaluation to determine that these criteria were met. The Structured Clinical Interview for DSM-IV (SCID-IV) and a psychiatric interview by a psychiatrist was used to verify lack of psychiatric disorders. The time-line follow-back interview (Maisto et al., 1982) was used to estimate daily drinking over the 30 days prior to study entry. Socioeconomic position was assessed using years of education as a proxy (Sirin, 2005). The Alcohol Use Disorder Identification Test (AUDIT) was used to assess harmful alcohol consumption (Saunders et al., 1993). Participants were requested to be abstinent from alcohol two days prior to scanning and were breathalyzed on the day of scan to ensure that they were not intoxicated (breath alcohol content = 0.0%).

### 2.2. Imaging methods

The PET scans were obtained at the Yale University Positron Emission Tomography Center using the High Resolution Research Tomograph (HRRT, Siemens/CTI, Knoxville, TN) in list mode and reconstructed using the MOLAR algorithm. Subjects underwent two scans with [<sup>11</sup>C]raclopride, administered as a bolus and acquired over 60 min. Following a baseline scan, subjects were administered oral methylphenidate (60 mg) in an open-labelled fashion before undergoing a second scan using methods previously described (Martinez et al., 2011; Volkow et al., 2001). The PET data were analyzed using the Simplified Reference Tissue Model (Lammertsma and Hume, 1996) with the cerebellum as a reference region. The PET outcome measure was binding potential  $BP_{ND} = f_{ND} * B_{AVAIL} / K_D$ , where ND refers to the non-displaceable compartment,  $f_{ND}$  is the free fraction of the free plus non-specifically bound tracer in brain,  $B_{AVAIL}$  is the concentration of  $D_{2/3}$  receptors available to bind to the tracer (nmol \*cm<sup>-3</sup> of tissue), and  $K_D$  is the equilibrium dissociation constant

(Slifstein and Laruelle, 2001). The percent change in [ $^{11}\text{C}$ ]raclopride  $\text{BP}_{\text{ND}}$  following methylphenidate administration was calculated as  $\text{BP}_{\text{ND}} = (\text{BP}_{\text{ND}} \text{ methylphenidate} - \text{BP}_{\text{ND}} \text{ baseline}) / \text{BP}_{\text{ND}} \text{ baseline} * 100$  (Innis et al., 2007).

Each subject had a T1-weighted structural MRI scan for identification of the regions of interest (ROIs). The analysis of the [ $^{11}\text{C}$ ]raclopride scans was limited to the striatum which was divided into 5 subdivisions: 1) the caudate (anterior and posterior); 2) the putamen (anterior and posterior); and 3) the ventral striatum, which includes the nucleus accumbens, ventral caudate, and ventral putamen, as described previously (Martinez et al., 2003).

### 2.3. Statistical analysis

Demographic variables were compared with two-group t-tests or Chi-Squared statistics. PET measures were tested in a mixed model framework with ROIs as repeated measures and ROI and group as fixed regressors. Relationships between PET data and clinical characteristics were analyzed with Pearson product moments. A two-tailed probability value of  $p = 0.05$  was chosen as the level of significance.

## 3. Results

### 3.1. Group composition

14 HC and 14 BD subjects completed the PET scans with [ $^{11}\text{C}$ ]raclopride (see Table 1). The subjects were matched for cigarette smoking (only 2 smokers in each group, who did not meet criteria for tobacco use disorder) and ethnicity (HC: 7 African American, 5 Hispanic, 2 Caucasian; BD: 9 African American, 3 Hispanic, 1 Caucasian, 1 Asian). The BD group reported more recent cannabis use than the HC (5 of the BD reported weekly use, with no weekly use reported in HC). No subjects met criteria for cannabis dependence per DSM-IV. The BD group also reported higher AUDIT scores, more drinks in the past month, and began drinking at an earlier age compared to the HC group (reported in Table 1).

### 3.2. Imaging results

**3.2.1. Scan Parameters**—There was no significant difference in the [ $^{11}\text{C}$ ]raclopride injected dose between the HC and BD subjects both at baseline (HC:  $13.8 \pm 3.9$  mCi; BD:  $14.7 \pm 2.4$  mCi,  $p = 0.5$ ) and post-methylphenidate (HC:  $13.5 \pm 4.3$  mCi; BD:  $13.7 \pm 2.7$  mCi,  $p = 0.9$ ). There were also no significant differences in injected mass between the groups at baseline (HC:  $1.26 \pm 1.11$   $\mu\text{g}$ ; BD:  $0.87 \pm 0.98$   $\mu\text{g}$ ,  $p = 0.3$ ) and post-methylphenidate (HC:  $1.49 \pm 1.25$   $\mu\text{g}$ ; BD:  $0.81 \pm 0.68$   $\mu\text{g}$ ,  $p = 0.08$ ). The average specific activity was  $1932 \pm 967$  Ci/mmoles for HC and  $1787 \pm 955$  Ci/mmoles for the BD ( $p = 0.7$ ) at baseline and  $1881 \pm 731$  Ci/mmoles for HC and  $1570 \pm 753$  Ci/mmoles for the BD ( $p = 0.3$ ) post-methylphenidate.

**3.2.2. Baseline D2 receptor availability and methylphenidate-induced dopamine release**—There were no significant group differences in receptor availability ( $\text{BP}_{\text{ND}}$ ,  $F(1,26) = 0.802$ ,  $p = 0.379$ ) or dopamine increase ( $\Delta \text{BP}_{\text{ND}}$ ,  $F(1,26) = 0.432$ ,  $p = 0.517$ ) in the mixed models (Fig. 1). Methylphenidate significantly decreased striatal [ $^{11}\text{C}$ ]raclopride binding in the HC ( $p < 0.001$ ) and BD ( $p < 0.001$ ) groups, as well as in the

entire sample ( $p < 0.001$ ). Table 2 shows group means  $\pm$  SD for  $BP_{ND}$  and  $BP_{ND}$  with  $p$  values from two-group  $t$ -tests for illustration.

**3.2.3. Relationships between scan data and clinical measures**—There were no significant associations between AUDIT score and [ $^{11}C$ ]raclopride  $BP_{ND}$  or  $BP_{ND}$  in BD or HC subjects ( $p > 0.3$  in all cases). There were no significant associations between 30-day drinking history and [ $^{11}C$ ]raclopride  $BP_{ND}$  or  $BP_{ND}$ .

## 4. Discussion

This study did not find any difference in  $D_{2/3}$  receptor availability or dopamine release in binge drinkers when compared to healthy controls. Additionally, AUDIT and 30-day drinking scores were not significantly associated with the PET outcome measures.

Our findings did not support the hypothesis that binge drinking is associated with decreased striatal  $D_{2/3}$  binding potential or blunted dopamine transmission. We had expected to find measures of reduced striatal dopamine signaling, as has been reported previously for AUD (for review see (Ravan et al., 2014)). The mostly likely reason for our findings is that our study sample included binge drinking young adults who did not meet criteria for AUD. Thus, while this sample is at risk for developing AUD (Bonomo et al., 2004; Hasin et al., 2001), they had not met this milestone.

Casey et al. (Casey et al., 2014) previously performed a PET study imaging striatal dopamine signaling in a similar population of young adults. They used [ $^{11}C$ ]raclopride and an amphetamine challenge to measure  $BP_{ND}$  and  $BP_{ND}$  in volunteers with a high risk of developing a substance use disorder, but who did not meet this criteria. They scanned three groups of subjects: 1) drug users (cocaine or amphetamines) with a multigenerational family history of a substance use disorder; 2) drug using subjects without a family history; and 3) drug naïve healthy controls, also without a family history. Their results showed that subjects with cocaine/amphetamine use and a family history of a substance use disorder had blunted measures of  $BP_{ND}$  compared to the other two groups. Subjects with risky stimulant use, but without a family history, did not differ from the drug naïve controls. Our study shows a similar finding, although without the group of high-risk family history positive subjects. We saw no difference in  $BP_{ND}$  and  $BP_{ND}$  when comparing risky alcohol users to controls. However, our study sample did not include enough subjects with a strong family history of addiction to allow for an investigation of this factor. Thus, a future study of binge drinkers with a prominent family history of addiction would be needed to address this relationship.

### 4.1. Limitations

This study has several limitations that should be recognized. We had a relatively small number of subjects per group with a wide range of 30-day drinking in the BD group. Also, since participants were not detoxified or hospitalized, we could only rely on self-report for the duration of abstinence. The BD group also had more regular cannabis users than the HC group. Although earlier studies did not observe dopaminergic differences with chronic cannabis use (Urban et al., 2012), a more recent study with severe cannabis dependence did find a decrease in dopamine release (van de Giessen et al., 2017). The subjects in the current

study neither met criteria for a cannabis use disorder nor exhibited chronic or heavy use, thus we would not expect this to affect our results.

Our procedure for imaging the D<sub>2</sub> receptor and dopamine release cannot inform us about intracellular dopamine stores, only receptor availability and dopamine release in the synapse. Thus, any changes in dopaminergic stores that did not affect receptor availability or neurotransmitter transmission would not have been detected by this study. We also did not study any expectancy effects using placebos or substance cues, which has been recently reported to alter dopamine transmission (Wang et al., 2019). In our study, non-specific binding was not measured. Thus, it is possible that the lack of a between group difference in BP<sub>ND</sub> or BP<sub>ND</sub> could have resulted from differences in non-specific binding. Additionally, we did not measure methylphenidate levels. However, our challenge procedure has been previously shown to decrease dopamine BP<sub>ND</sub>, and methylphenidate levels have not been shown to correlate with dopamine release (Volkow et al., 2001)

## 5. Conclusion

In this sample of 18–25-year-old binge drinkers without a diagnosis of a substance use disorder, there were no significant differences in D<sub>2/3</sub> receptor binding potential or methylphenidate-induced dopamine release. Our findings are in contrast to PET findings in participants with AUD and in drug users with a strong family history of a substance use disorder, where decreased measures of BP<sub>ND</sub> and BP<sub>ND</sub> have been observed. Further study should examine the changes in the dopaminergic system in binge drinkers with a strong family history of substance use disorders.

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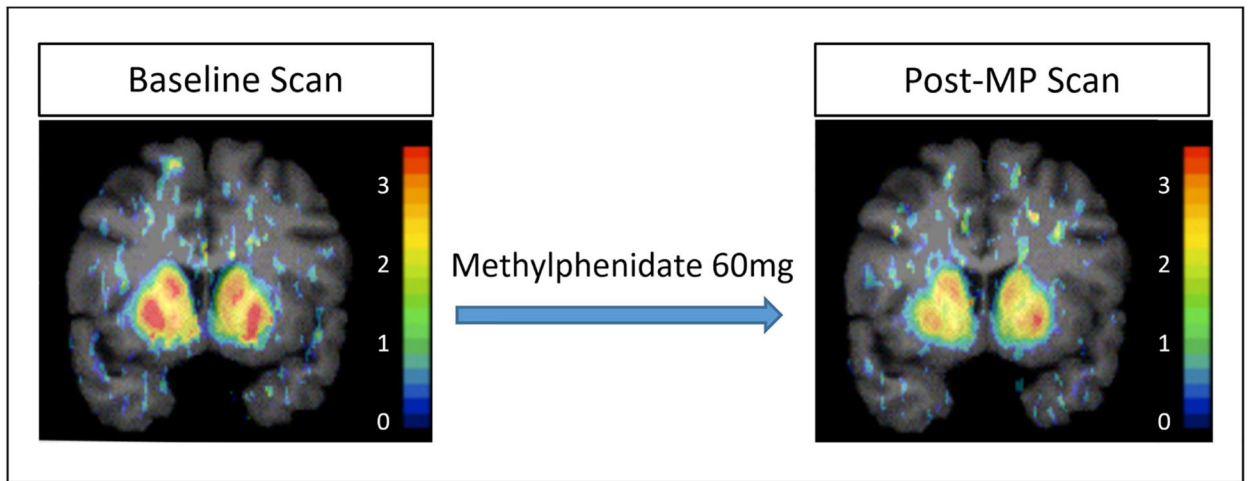
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**Fig. 1. Representative PET scans from binge drinking participants and healthy controls.** The scan on the left is at baseline, while the scan on the right is after the oral methylphenidate 60 mg challenge. Post-challenge, synaptic dopamine is increased so that there are fewer available  $D_{2/3}$  receptors to bind to [ $^{11}\text{C}$ ]raclopride. Groups did not differ in their baseline scans, or in percent difference after the methylphenidate challenge. The color bar shows values for  $\text{BP}_{\text{ND}}$ . Abbreviations: MP = methylphenidate.

**Table 1**

## Demographic data.

	HC	BD	p
Sex	6M/8F	10M/4F	0.14
Age (yrs)	22.9 ± 1.3	22.9 ± 1.8	1
Age started drinking (yrs)	20.2 ± 1.3	17.4 ± 1.8	< 0.01
Years education	15.2 ± 2.3	13.7 ± 1.9	0.07
Family history of addiction (n)	6	8	0.47
AUDIT	3.7 ± 2.3	12.6 ± 7.0	< 0.01
Drinks/30 days	7.4 ± 7.0	84.9 ± 93.3	<0.01

Abbreviations: HC = healthy control and BD = binge drinker.

**Table 2**Comparison of [<sup>11</sup>C]raclopride BP<sub>ND</sub> and BP<sub>ND</sub> between groups.

ROI	HC BP <sub>ND</sub>	BD BP <sub>ND</sub>	p	HC BP <sub>ND</sub>	BD BP <sub>ND</sub>	p
prePU	3.15 ± 0.29	3.30 ± 0.30	0.20	13 ± 7%	14 ± 5%	0.65
preCA	2.43 ± 0.26	2.63 ± 0.34	0.09	10 ± 8%	14 ± 8%	0.18
postPU	3.28 ± 0.33	3.36 ± 0.40	0.55	22 ± 10%	19 ± 10%	0.50
postCA	1.75 ± 0.38	1.84 ± 0.35	0.51	12 ± 14%	16 ± 11%	0.40
VST	2.52 ± 0.20	2.60 ± 0.25	0.36	12 ± 5%	14 ± 6%	0.56
STR	2.79 ± 0.23	2.92 ± 0.33	0.24	15 ± 7%	15 ± 6%	0.75

All values are mean ± standard deviation (SD). Abbreviations: pre/post = anterior (pre-) or posterior (post-) to the anterior commissure. ROI = region of interest, PU = putamen, CA = caudate, VST = ventral striatum, STR = whole striatum, HC = healthy control, and BD = binge drinker.

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