



## ARTICLE

## Oxytocin receptor DNA methylation and alterations of brain volumes in maltreated children

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Although oxytocin (OXT) plays an important role in secure attachment formation with a primary caregiver, which is impaired in many children with childhood maltreatment (CM), epigenetic regulation in response to CM is a key factor in brain development during childhood. To address this issue, we first investigated differences in salivary DNA methylation of the oxytocin receptor (OXTR) between CM and Non-CM groups of Japanese children (CM:  $n = 44$ ; Non-CM:  $n = 41$ ) and its impact on brain structures in subgroup analysis using brain imaging and full clinical data (CM:  $n = 24$ ; Non-CM:  $n = 31$ ). As a result, we observed that the CM group showed higher CpG 5,6 methylation than did the Non-CM group and confirmed negative correlations of gray matter volume (GMV) in the left orbitofrontal cortex (OFC) with CpG 5,6 methylation. In addition, the CM group showed significantly lower GMV in the left OFC than did the Non-CM group. Furthermore, as a result of examining the relationship between GMV in the left OFC and psychiatric symptoms in CM, we observed a negative association with insecure attachment style and also confirmed the mediation effect of left-OFC GMV reduction on the relationship between OXTR methylation and insecure attachment style. These results suggest that any modulation of the oxytocin signaling pathway induced by OXTR hypermethylation at CpG 5,6 leads to atypical development of the left OFC, resulting in distorted attachment formation in children with CM.

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## INTRODUCTION

Childhood maltreatment (CM) is estimated to affect up to 30% of children worldwide [1]. CM encompasses a spectrum of abusive actions (sexual, physical, or emotional abuse) or lack of actions (physical or emotional neglect) by the parent or other caregivers. CM reportedly increases the risk for psychiatric disorders and results in several lifelong difficulties [2]. For example, CM could distort attachment to and sense of intimacy toward significant others [3]. Therefore, many maltreated children have interpersonal communication problems that persist later in life, with negative consequences for their social relationships, including those with friends, partners, and families [4–6].

Numerous neuroimaging studies about adults with CM experiences have suggested that structural and/or functional changes are caused in various brain regions (for review [7]). Structural neuroimaging studies have provided evidence for deficits in brain volume, the gray and white matter of several regions, most prominently the prefrontal and anterior cingulate cortices [8], but also the hippocampus, amygdala, and corpus callosum [9]. Additionally, diffusion tensor imaging studies have produced evidence for deficits in structural interregional connectivity between these areas, suggesting neural-network abnormalities [10]. Similarly, functional imaging studies have supported this evidence by reporting atypical activation in the same brain regions during executive functions [11], reward processing [12], and emotional processing [8, 11]. Although these studies have elucidated the link between CM and the matured

adult brain and the clinical consequences based on neurobiological evidence, it remains unclear how CM impacts brain development and function during childhood and adolescence, periods of major brain reorganization.

Childhood and adolescence are characterized by remarkable change in physical and psychological development, brain structure, and neuroendocrine function. Although the developmental process is guided by genetic factors, development is ultimately determined by life experience and the living environment. From birth, parent-infant interaction modulates fundamental brain processes [13, 14], whereas more complex psychological development is driven by socializing with peers [15]. Considering this issue, several recent imaging studies have also been conducted with children and adolescents in whom limited time had passed since their CM exposure (for reviews [16, 17]). While studies have revealed how CM modulates the developing brain, advancing the reorganization of the brain's structural/functional networks during childhood and adolescence [18, 19] (for reviews [16, 20]), the potential biological biases acting to link vulnerable brain structures and functions caused by CM remain undetermined.

Epigenetic modifications, such as DNA methylation, provide a critical link between external environmental factors and long-lasting phenotypic change. The mechanisms supporting the role of early-life experience on DNA methylation for social brain development remain unclear, but differences in DNA methylation patterns of the stress-response genes in the brain and periphery

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in individuals who have experienced CM have been associated with psychopathology and vulnerable brain structures in adulthood [21, 22]. In addition to stress-response genes, recent studies have linked adverse social environments and DNA methylation of the *oxytocin receptor* (*OXTR*) gene to negative cognitive schemas associated with attachment style [23], internalizing problems [24], and psychiatric symptoms [25]. There is increasing evidence that OXT plays an important role in regulating social-attachment behavior in diverse species [26]. In humans, many studies have revealed that OXT modulates mother-infant bonding, affiliation, and attachment [27], and children who have experienced maltreatment and who are lacking attachment formation or bonding with a “primary caregiver” have atypical OXT secretion patterns [28, 29].

Here we investigated the relationship between DNA *OXTR* methylation, CM, and its impact on the brain structures of children for whom limited time has passed since their CM exposure. First, we collected epigenetic data via saliva samples from children who had experienced maltreatment and investigated the cross-sectional case-control comparison of *OXTR* methylation to locate *OXTR* CpG sites associated with CM exposure. Second, structural neuroimaging data were collected using magnetic resonance imaging (MRI), and we analyzed the correlation between the methylation of *OXTR* CpGs and gray-matter volumes (GMVs) using a voxel-based morphometry (VBM) approach. Thus, our main hypothesis was that the *OXTR* methylation status would be modified by the experience of CM and impact brain structures implicated in social behavior via atypical brain development during childhood and adolescence.

## METHODS

### Ethics statement

The study protocol was approved by the Ethics Committees of the University of Fukui, Japan (Assurance no. FU23–43), and the study was conducted in accordance with the Declaration of Helsinki and the Ethical Guidelines for Clinical Studies of the Ministry of Health, Labour and Welfare of Japan. All children and a parent or director of a child welfare facility provided written informed assent and consent, respectively, for participation in this study.

### Participants

Eighty-five Japanese children and adolescents aged 6–20 years (55 boys, 30 girls; mean age  $\pm$  SD:  $12.9 \pm 2.6$  years) participated in this study. The maltreated group consisted of 44 children with maltreatment experiences (CM group) who were recruited from the University of Fukui Hospital and the local child welfare facilities in Fukui Prefecture, Japan. All the children had experienced physical, emotional, sexual abuse, and/or neglect early in life prior to coming into care. Although the children were not living with their biological parents, they lived in a stable environment in a child welfare facility. The non-maltreated group consisted of 41 children with no history of maltreatment (Non-CM group), who were recruited from the local community via advertisements and served as controls.

For a subset of 55 (CM, 24; Non-CM, 31) participants, two licensed pediatric psychology clinicians conducted brain imaging and detailed clinical assessments to investigate the neural correlates associated with CM. To exclude other psychiatric diseases (e.g., mood-related disorders, anxiety disorders and stress disorders) and neurodevelopmental disorders (autism spectrum disorder and attention-deficit hyperactivity disorder), this subset of participants was administered the Mini-International Neuropsychiatric Interview for Children and Adolescents [30]. Participants who had a full-scale intelligence quotient (FSIQ) <70 on the Wechsler Intelligence Scale for Children-Fourth Edition or the Wechsler Adult Intelligence Scale-Third Edition were excluded [31, 32]. Participants were also excluded if they had history of

substance abuse, head trauma with loss of consciousness, marked fetal exposure to alcohol or drugs, perinatal or neonatal complications, neurological disorders, sleep disturbances, or medical conditions that might adversely affect growth and development. Most participants with CM (80.0%) were medication naïve, except for four (20.0%) who were using methylphenidate but had a wash-out of 72 h before scanning.

In addition to the clinical assessments, we evaluated the participants' psychiatric symptoms or psychological status using the following four scales under the supervision of pediatric psychology clinicians. The Child Abuse and Trauma Scale (CATS) was administered to assess the participants' maltreatment in early childhood [33]. The Depression Self-Rating Scale for Children (DSRSC) was used to measure depressive symptoms [34]. Behavioral/emotional problems were assessed using the Child Behavior Checklist (CBCL) [35]. To assess the secure or insecure (avoidant and ambivalent) attachment style of the participants, they completed the Japanese version of the Attachment Style Measures revised to a multiple-point Likert-type scale (Internal Working Model Scale; IWMS) [36–38] that has confirmed reliability and validity in both the original [36, 37] and the Japanese [38, 39] versions and is widely used for measuring attachment style.

### Saliva collection and DNA extraction

Saliva samples were collected using Oragene Discover OGR-500 kits (DNA Genotek Inc. Kanata, ON). DNA was extracted using prepIT<sup>®</sup>-L2P reagent (DNA Genotek) and was quantified with PicoGreen<sup>®</sup> (Quant-iT<sup>™</sup> PicoGreen<sup>®</sup> dsDNA Assay Kit, Thermo Fisher Scientific Inc., Waltham, MA).

### *OXTR* DNA methylation

One  $\mu$ g of DNA was treated with sodium bisulfite using the EpiTect Fast Bisulfite Kit (Qiagen, Hilden, Germany). The *OXTR* gene (chr3: 8 792 095 to 8 811 300; GRCh37/hg19 build) was interrogated using EpiTYPER (MassARRAY system; Agena Bioscience, San Diego, CA) according to the manufacturer's instructions. We aimed to target a specific 406 bp region (chr3: 8 810 719–8 811 124) termed MT2 [40] located in a CpG island as shown in supplementry Fig. S1, as it is a candidate region for identifying the association of the epigenetic vulnerability for CM [24, 25]. We selected the EpiTYPER platform to cover almost all CpG sites (20/27 CpGs in MT2) in this region by a single measurement, which is difficult by other conventional methods excluding amplicon bisulfite next-generation sequencing [41]. EpiTYPER provides an accurate and reproducible targeted quantification of individual CpGs and is based on *in vitro* transcription and uracil-specific cleavage of bisulfite-converted genomic DNA and matrix-assisted laser desorption/ionization time-of-flight mass spectrometry [42]. The detection precision allows quantifying subtle methylation differences down to 5%. The primer set (Forward: 5'- aggaagagagGAGGTTTGTAGGAGAGATTGTTAGTTAG-3'; Reverse: 5'- cagtaatacgaactcactataggga-gaaggctTCCCTACTAAAAAACCCTACCTC-3') was designed for EpiTYPER using EpiDesigner (Agena Bioscience), and the spectrum characteristics were validated with RSeqMeth [43]. One  $\mu$ L of bisulfite-converted DNA was used in a 5- $\mu$ L reaction mixture containing 0.4 U of PCR enzyme, 1xPCR Buffer with 20 mM MgCl<sub>2</sub>, 200  $\mu$ M dNTPs (Agena Bioscience), and 2 pmol of each primer. Cycling conditions were: denaturation (94 °C for 15 min) followed by 50 cycles of amplification (94 °C for 30 s, 58 °C for 60 s, and 72 °C for 30 s) and a final extension step (72 °C for 10 min) (GeneAmp<sup>®</sup> PCR System 9700, Thermo Fisher Scientific). Samples were electrophoresed using 2% agarose gel to confirm amplification. The mass spectra methylation ratios were generated using EpiTYPER ver. 1.2 (Agena Bioscience). For each participant, the *OXTR* methylation ratios were retained and used as the criterion variable in subsequent statistical analyses. The reproducibility and sensitivity of the *OXTR* EpiTYPER assay product were assessed

using commercially-available standards (EpiTect control DNA, Qiagen) run in triplicate and measured as previously shown [41].

#### Brain-image acquisition and preprocessing

Image acquisition was performed using a 3-Tesla scanner (Discovery MR 750; General Electric Medical Systems, Milwaukee, WI) with a 32-channel head coil. A T1-weighted anatomical dataset was obtained from each subject by a fast-spoiled gradient recalled imaging sequence (voxel size  $1 \times 1 \times 1$  mm, TE = 1.996 ms, TR = 6.38 ms, TI = 600 ms, flip angle =  $11^\circ$ , total scan time = 4 min 50 s).

VBM was performed using the Statistical Parametric Mapping version 12 (SPM12) software (Wellcome Department of Imaging Neuroscience, University College London, London, UK; <http://www.fil.ion.ucl.ac.uk/spm/software/spm12/>) implemented in MATLAB 9.0 (Math Works Inc., Natick, MA). The T1-weighted images were segmented coarsely into GM, white matter, cerebrospinal fluid, and skull/scalp compartments using tissue probability maps. The Diffeomorphic Anatomical Registration through Exponentiated Lie Algebra algorithm was applied to the segmented brain tissues to generate a study-specific template and to achieve an accurate inter-subject registration with improved realignment of smaller inner structures. The segmented GM images were spatially normalized, written out with an isotropic voxel resolution of 1.5 mm. Any volume change induced by normalization was adjusted via a modulation algorithm. Spatially-normalized GM images were smoothed by a Gaussian kernel of 8 mm full width at half maximum.

#### Statistical analysis

Demographics and clinical characteristics were compared in the full set and the subset of participants using chi-squared and *t* tests.

To investigate regionally-specific correlates of GMV associated with CpG 5,6 methylation, we performed multiple regression using SPM. Age, FSIQ, and total GMV were included as covariates in the model. Additionally, the DSRSC score was included as a covariate because depressive symptoms may influence regional GMV alterations [44]. Given our *a priori* hypotheses, we constrained our search to a predefined mask as a region of interest (ROI), which consisted of 21826 voxels. We set two ROIs bilaterally, the orbitofrontal cortex (OFC) and dorsal striatum, for the following reasons. First, we previously found a positive association between brain activation in these two regions and intranasal OXT administration for maltreated children [45]. Second, previous studies have reported the expression of *OXTR* in these regions in humans [46]. These ROIs were defined using automated anatomical labeling as implemented in the WFU Pick-Atlas toolbox [47]. The resulting set of voxel values used for comparison generated a statistical parametric map of *t*-statistic, SPM{*t*} that was transformed to a unit normal distribution (SPM{*Z*}). The statistical threshold was set at  $P < 0.001$  at the voxel level and  $P < 0.05$  with a family-wise error (FWE) correction for multiple comparisons. The anatomical localization of significant clusters was investigated with the Automated Anatomical Labeling and Broadman area atlases implemented in the TalairachClient software package. Regional GMV was compared using *t*-tests when the correlation analysis was significant. All statistical analyses were performed with SPSS Version 22 (IBM Corp., Armonk, NY) and SPM 12.

## RESULTS

### *OXTR* methylation between the groups

To evaluate the association between CM and *OXTR* methylation in the full set of participants ( $n = 85$ ; Table 1), we compared the methylation of each CpG unit in the CM versus Non-CM groups using *t*-tests (Table 2). As a result, we observed that the CM group had significantly higher methylation at CpG 5,6 than did the Non-

CM group ( $P_{\text{corr}} < 0.05$ ; Supplementary Fig. S2). Next, to consider the potential influence of sex and age on this finding, we also performed multiple regression. The analysis showed that 'group' was associated with CpG 5,6 methylation ( $\beta = 0.44$ ,  $t = 3.66$ ,  $P_{\text{corr}} < 0.05$ ), but sex ( $\beta = 0.13$ ,  $t = 1.28$ ,  $P = 0.21$ ) and age were not ( $\beta = 0.13$ ,  $t = 1.08$ ,  $P = 0.28$ ) (Table 2).

### Demographics, clinical assessments, and psychiatric symptoms of participant subset

To investigate the neural correlates associated with CM and *OXTR* methylation, we conducted brain imaging and several clinical assessments for a subset of participants ( $n = 55$ ). The demographics, clinical assessments, and psychiatric symptoms of each group are listed in Table 3. The two groups were matched for sex. Compared to the Non-CM group, the CM group had lower age ( $t[53] = 3.06$ ,  $P < 0.001$ ) and FSIQ ( $t[53] = 7.25$ ,  $P < 0.001$ ) and significantly higher levels of perceived severity of maltreatment during childhood (CATS:  $t[47] = 5.34$ ,  $P < 0.001$ ). Similarly, the CM group had higher levels of psychiatric symptoms: depressive symptoms (DSRSC:  $t[53] = 4.45$ ,  $P < 0.001$ ) and total behavior problems (CBCL:  $t[53] = 5.56$ ,  $P < 0.001$ ). Regarding the attachment styles as assessed by the IWMS, the CM group showed significantly lower secure style and higher insecure style than did the Non-CM group ( $t[51] = 2.55$ ,  $P = 0.014$ ;  $t[51] = 3.19$ ,  $P = 0.002$ ; respectively). There was a significant difference in total GMV between the groups. The CM group showed a 5.5% decrease in total GMV compared to the Non-CM group ( $t[53] = 2.30$ ,  $P = 0.025$ ).

### Replication for CpG 5,6 methylation difference in the participant subset

The CM group had higher, although not significant, CpG 5,6 methylation than did the Non-CM group ( $t[53] = 1.88$ ,  $P = 0.066$ ; Table 3). We also performed a regression analysis with CpG 5,6 methylation as the dependent variable and age, FSIQ, and group (CM vs Non-CM) as independent variables. The analysis showed that the model was significant ( $F[4,49] = 2.56$ ,  $P = 0.049$ ) and that 'group' was a significant predictor of CpG 5,6 methylation ( $\beta = 0.65$ ,  $t = 3.18$ ,  $P = 0.003$ ), while age, FSIQ, and DSRSC were not ( $\beta = 0.10$ ,  $t = 0.61$ ,  $P = 0.547$ ;  $\beta = 0.31$ ,  $t = 1.59$ ,  $P = 0.119$ ;  $\beta = -0.27$ ,  $t = -1.63$ ,  $P = 0.109$ ).

### Correlation between regional GMV alterations and DNA methylation of *OXTR*

As shown in Fig. 1, the results of the multiple regression showed that the degree of CpG 5,6 methylation was negatively correlated with the regional GMV in the left OFC after correcting for age, FSIQ, DSRSC, and total GMV (Broadman area 11; Talairach coordinates  $x = -25$ ,  $y = 49$ ,  $z = -8$ ,  $Z = 4.23$ ; cluster size = 129 voxels,  $P = 0.038$ , FWE-corrected for cluster level), whereas no significant association was found in the dorsal striatum ( $P > 0.10$ ). These negative correlations between regional GMV and *OXTR* methylation were significantly retained in both the CM and Non-CM groups ( $r = -0.45$ ,  $P = 0.028$ ;  $r = -0.55$ ,  $P < 0.001$ ). There was significant left-OFC GMV reduction in the CM group ( $t[53] = 2.21$ ,  $P = 0.034$ ; Supplementary Fig. S3).

### Correlation between regional GMV alterations and clinical assessments

As described in the previous section and presented in Fig. 2a, we observed significant negative correlations between regional GMV and *OXTR* methylation in the CM group. We then performed correlation analysis for the CM group to confirm the relationship between left-OFC GMV reduction and clinical psychological status. The analysis showed that the insecure attachment style score was significantly correlated with the OFC GMV estimates (IWMS-insecure:  $r = -0.60$ ,  $P = 0.003$ ; Fig. 2b). There were no significant correlations between the OFC GMV estimates and intellectual

**Table 1.** Demographics of all participants

	CM (n = 44)	Non-CM (n = 41)	Statistics	P value
Male participants, n (%)	27 (61.4)	28 (68.3)	$\chi^2(1) = 0.19$	0.66
Age (years), Mean (SD)	11.4 (2.90)	14.5 (2.23)	$t(83) = 5.50$	<0.001

CM children with exposure to child maltreatment, Non-CM children with no history of child maltreatment

**Table 2.** Statistical analysis results using t-test and multiple regression analysis for each CpG fragment

	MAPINFO	b.p. <sup>a</sup>	t test	P value	Multiple regression analysis <sup>b</sup>	P value
CpG 1	8811028	-1154	$t(83) = 1.99$	0.05	$t(83) = 1.82$	0.07
CpG 2,3	8811010,8811005	-1136,-1131	$t(83) = 1.52$	0.13	$t(83) = 1.91$	0.06
CpG 4,22	8810999,8810734	-1125	$t(75) = 0.43$	0.67	$t(75) = 0.10$	0.92
CpG 5,6	8810995,8810993	-1121,-1119	$t(83) = 3.56$	<0.001 <sup>c</sup>	$t(83) = 3.66$	<0.001 <sup>c</sup>
CpG 7	8810981	-1107	$t(83) = 1.93$	0.06	$t(83) = 1.61$	0.11
CpG 8,9	8810974,8810971	-1100,-1097	$t(83) = 2.58$	0.01	$t(83) = 1.66$	0.10
CpG 10	8810958	-1084	$t(83) = 0.36$	0.72	$t(83) = 0.27$	0.79
CpG 11,12	8810936,8810930	-1062,-1056	$t(83) = 1.33$	0.19	$t(83) = 1.31$	0.19
CpG 13	8810924	-1050	$t(83) = 0.53$	0.60	$t(83) = 0.72$	0.47
CpG 14	8810890	-1016	$t(81) = 0.04$	0.97	$t(81) = 0.28$	0.78
CpG 15	8810875	-1001	$t(83) = 0.72$	0.48	$t(83) = 0.88$	0.38
CpG 16	8810863	-989	$t(83) = 1.60$	0.11	$t(83) = 2.29$	0.02
CpG 17	8810856	-982	$t(83) = 1.18$	0.24	$t(83) = 0.29$	0.78
CpG 18	8810833	-959	$t(83) = 1.93$	0.06	$t(83) = 0.94$	0.35
CpG 19,20	8810808,8810798	-934,-924	$t(83) = 0.16$	0.88	$t(83) = 0.18$	0.86
CpG 21	8810775	-901	$t(83) = 0.26$	0.79	$t(83) = 1.13$	0.26
CpG 23	8810709	-835	$t(83) = 0.23$	0.82	$t(83) = 1.26$	0.21
CpG 24	8810700	-826	$t(83) = 1.11$	0.27	$t(83) = 0.72$	0.48
CpG 25,26	8810682,8810680	-808,-806	$t(83) = 0.02$	0.99	$t(83) = 0.45$	0.66
CpG 27	8810648	-774	$t(83) = 0.69$	0.49	$t(83) = 0.10$	0.93

<sup>a</sup>Distance from the transcription start site

<sup>b</sup>Adjusted for age and gender

<sup>c</sup>The statistical threshold was set at corrected \* $P < 0.05$  ( $0.05/20 = 0.0025$ ) with the Bonferroni adjustment for multiple comparisons

ability (FSIQ:  $r = -0.17$ ,  $P = 0.416$ ), severity of maltreatment (CATS:  $r = -0.14$ ,  $P = 0.535$ ), depressive symptoms (DSRSC:  $r = 0.08$ ,  $P = 0.714$ ), behavioral problems (CBCL:  $r = 0.18$ ,  $P = 0.404$ ), and secure attachment style score (IWMS-secure:  $r = -0.31$ ,  $P = 0.160$ ).

Furthermore, we conducted an additional path analysis to examine whether left-OFC GMV reduction mediated the relationship between *OXTR* methylation and insecure attachment style. As shown in Fig. 2c, *OXTR* methylation at CpG 5,6 was a significant predictor of the OFC GMV estimates ( $\beta = -0.45$ ,  $t = 2.40$ ,  $P = 0.016$ ). Furthermore, when the OFC GMV estimates and *OXTR* methylation were entered simultaneously as predictors of insecure attachment style, the OFC GMV estimates were found to be significant predictors ( $\beta = -0.68$ ,  $t = 3.66$ ,  $P < 0.001$ ), while *OXTR* methylation was not ( $\beta = -0.05$ ,  $t = 0.29$ ,  $P = 0.772$ ). The overall model fit the data well ( $\chi^2[1] = 0.090$ ,  $P = 0.765$ , NFI = 0.994, CFI = 1.000, RMSEA < 0.001, AIC = 16.090), and then the indirect effect of *OXTR* methylation at CpG 5,6 on the insecure attachment style mediating left-OFC GMV reduction was confirmed to be significant by the Sobel test ( $\beta = 0.29$ ,  $Z = 2.05$ ,  $P = 0.040$ ). Thus, these findings suggest that left-OFC GMV reduction mediates the relationship between *OXTR* methylation at CpG 5,6 and insecure attachment style.

## DISCUSSION

In this study, we investigated the relationship between *OXTR* methylation, CM, and its impact on the brain structures of children. To address this issue, after confirming that the CM group showed higher *OXTR* CpG 5,6 methylation than did the Non-CM group, we investigated the relationship between CpG 5,6 methylation and alterations in brain morphology using a VBM approach. We observed negative correlations of GMV in the left OFC with CpG 5,6 methylation. Additionally, there was significant left-OFC GMV reduction in the CM group. Finally, as a result of examining the relationship between GMV in the left OFC and clinical psychological status in the CM group, we confirmed a negative association with insecure attachment style and a mediation effect of left-OFC GMV reduction on the relationship between *OXTR* methylation and insecure attachment style. These results suggest that any modulation of the oxytocin signaling pathway induced by hypermethylation of *OXTR* at CpG 5,6 leads to atypical development of the left OFC, resulting in distorted attachment formation in children with CM.

Although the role of *OXTR* methylation in the relationship between CM clinical phenotypes and structural brain alterations has not been extensively studied, a recent study showed that

**Table 3.** Demographic and clinical characteristics for the subset of participants

	CM (n = 24)	Non-CM (n = 31)	Statistics	P value
Male participants, n (%)	16 (66.7)	22 (71.0)	$\chi^2(1) = 0.12$	0.73
Age (years), Mean (SD)	12.6 (2.2)	14.9 (2.2)	$t(53) = 3.74$	<0.001
<i>Types of maltreatment, n (%)</i>				
Physical abuse	16 (66.7)	—		
Emotional abuse	18 (75.0)	—		
Neglect	21 (87.5)	—		
Sexual abuse	2 (8.3)	—		
Number of types of maltreatment, Mean (SD)	2.4 (1.0)	—		
Duration (years) of maltreatment, Mean (SD)	8.0 (3.9)	—		
Duration (years) elapsed from maltreatment, Mean (SD)	5.1 (4.9)	—		
WISC-IV FSIQ, Mean (SD)	88.6 (10.9)	108.5 (9.5)	$t(53) = 7.25$	<0.001
CATS total <sup>a</sup> , Mean (SD)	35.0 (20.9)	10.9 (7.0)	$t(48) = 5.71$	<0.001
DSRSC, Mean (SD)	12.4 (6.5)	6.3 (3.6)	$t(53) = 4.45$	<0.001
CBCL total, Mean (SD)	60.3 (8.6)	47.9 (7.9)	$t(53) = 5.56$	<0.001
IWMS secure <sup>b</sup> , Mean (SD)	21.0 (6.9)	25.6 (6.2)	$t(51) = 2.55$	=0.014
IWMS insecure (avoidant/ambivalent) <sup>b</sup> , Mean (SD)	30.6 (9.8)	23.2 (7.0)	$t(51) = 3.19$	=0.002
Total GMV, Mean (SD)	791.2 (75.8)	834.9 (64.8)	$t(53) = 2.30$	=0.025
CpG 5,6 methylation, Mean (SE)	0.07 (0.03)	0.05 (0.02)	$t(53) = 1.88$	=0.066

CM children with exposure to maltreatment, Non-CM children with no history of maltreatment, WISC-IV Wechsler intelligence scale for children ver. IV, FSIQ full scale I.Q., CATS child abuse and trauma scale (Sanders B et al., 1995), DSRSC Depression Self-Rating Scale for Children (Birlleson P, 1981), CBCL child behavior checklist (Achenbach TM & Rescorla LA, 2001), IWMS Internal Working Models Scale (Takuma & Toda, 1988)

Some subjects' data were not available due to missing values in specific questionnaires

<sup>a</sup>Child Abuse and Trauma Scale (CM: n = 1, Non-CM: n = 3)

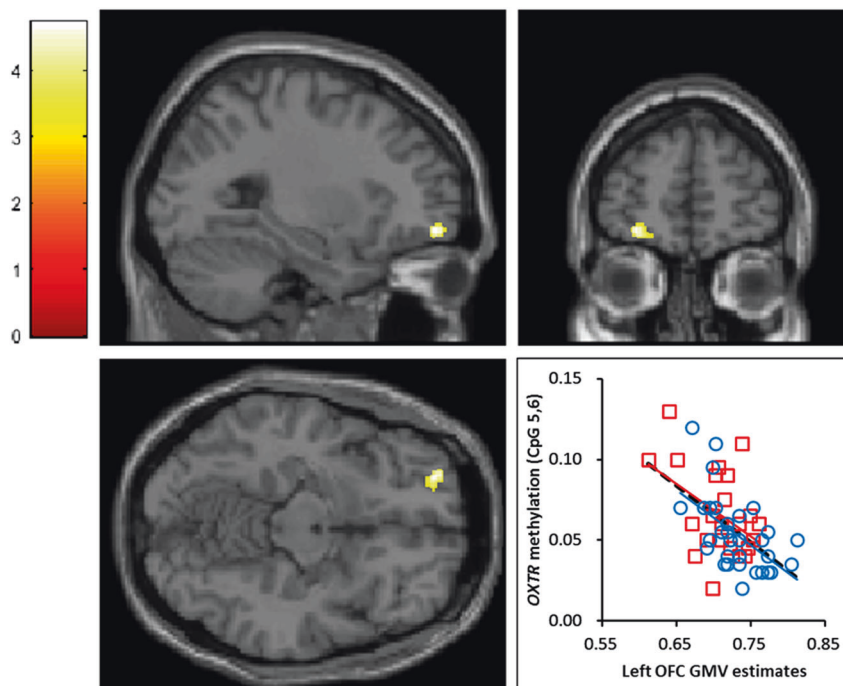
<sup>b</sup>Internal Working Models Scale (CM: n = 2)

hypermethylation of the *OXT* gene is associated with smaller OFC volumes and social-cognition deficits in patients with psychotic disorders [48]. This is in line with the notion that CM increases the risk for impaired social functioning, and the CM group in our study also showed significantly reduced socio-emotional adaptation (as shown by the CBCL or IWMS scores in Table 3). This finding is also largely consistent with the results of earlier studies that found that *OXT* gene methylation is negatively related to GMV reduction in brain regions related to human sociability, although the areas where the reduction was observed were not consistent [49]. This study showed for the first time that *OXT* hypermethylation in children for whom limited time has passed since their CM exposure is associated with difficulties in interpersonal relationships by mediating the structural alteration on the atypical brain development.

Many studies have reported associations between exposure to early-life adversities and *OXT* DNA methylation. Unternaehrer et al. reported an association between low maternal care and *OXT* DNA methylation [50]. Similarly, Smearman et al. found that early abuse exposure was associated with greater methylation in two CpG sites within the *OXT* promoter [25]. Additionally, Needham et al. also reported that low childhood socioeconomic status was associated with *OXT* methylation [51]. The results of this study are in line with these findings on the relationships between *OXT* DNA methylation and childhood adversity, as in CM. Conversely, it should also be noted that the specific CpG sites varied across studies. The results of past studies are not directly comparable with our findings because the previous studies investigated different types and timing of early-life adversities. Due to dense CpG arrangement in our targeted region, it is difficult to measure the entire region using other conventional methods. Likewise, the microarray chip covers only 1 (Illumina methylationEPIC array) or 2 (Illumina 450 K array) per 26 CpGs [23, 25]. However, some studies have focused on CpG-934

(corresponding to CpG 19 in our assay) by pyrosequencing [24, 46, 52], but single-site analysis would not be sufficiently informative to fully characterize the influence of the region. This highlights the importance of using a similar set of CpG dinucleotides in future research to facilitate comparison across studies.

The results of the structural MRI analysis revealed an association between *OXT* DNA methylation and left-OFC GMV reduction in the CM group. In this study, although we could not clarify the role of the physiological mechanism of *OXT* DNA methylation in the modulation of the *OXT* signaling pathway, *OXT* DNA methylation was found to lead to decreased *OXT* expression in both animal and human studies [53, 54]. Based on these findings, hypermethylation of CpG 5,6 may have led to the modulation of *OXT* signaling based on lower *OXT* expression in maltreated children. It is also an interesting question how this *OXT* DNA methylation selectively affects left-OFC GMV reduction because *OXT* is reportedly expressed in many brain regions [55, 56]. Although it has been suggested that coordination between the OFC and subcortical structures, such as the striatum or amygdala, via *OXT* has an important role in attachment formation [57, 58], previous research and our supplementary observation (Supplementary Fig. S4) has suggested that *OXT* is relatively more highly expressed within these brain regions in animals [59] and humans [46, 60]. Moreover, the OFC has been projected from dopaminergic neurons expressing *OXT* in the ventral tegmental area [61], and the mesocortical reward pathway has also been regarded as significant in attachment formation [62]. Combined, one of the possible reasons why the relevance to *OXT* DNA methylation was specifically observed in the OFC may be that the OFC is a key region of the network involved in attachment formation via *OXT*. This speculation is also supported by the fact that *OXT* administration is associated with increased OFC activity when maltreated children engage in reward tasks and with



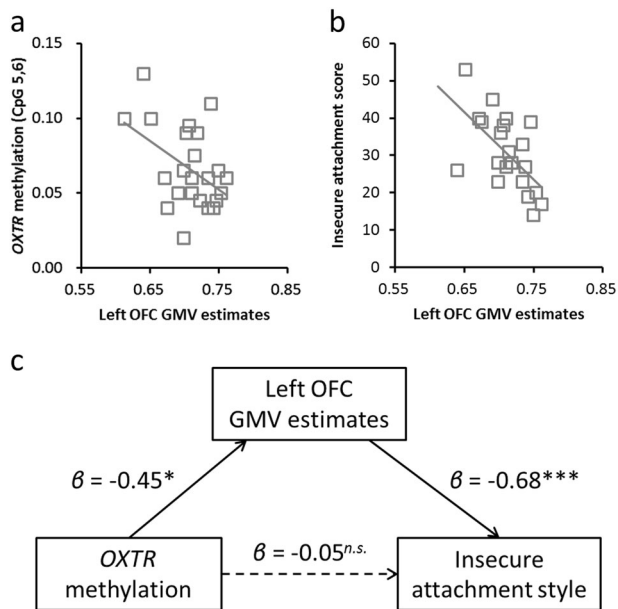
**Fig. 1** Results of the multiple regression analysis between the regional gray-matter volume (GMV) and CpG 5,6 methylation. Brain region showing negative correlations between the degree of CpG 5,6 methylation and GMV in the left orbitofrontal cortex (−25, 49, −8 [BA 11]) as determined by multiple regression analysis. The statistical threshold for the contrasts was voxel-level  $P < 0.001$  uncorrected for height and cluster-level  $P < 0.05$  family-wise error corrected for multiple comparisons. The color bar denotes the  $t$ -statistic range. The scatter plot and dashed line show the association between the degree of CpG 5,6 methylation and the GMV in the left orbitofrontal cortex. The red squares and line represent the childhood maltreatment (CM) group, and the blue circles and line represent the Non-CM group. *OXTR*: oxytocin receptor gene, OFC: orbitofrontal cortex

improvement in their related clinical symptoms [45], and higher levels of *OXTR* methylation were associated with decreased functional coupling of the amygdala with the OFC involved in affect appraisal and emotion regulation [52].

Although we found a negative association between the left-OFC GMV and insecure attachment style in the CM group in this study, no previous studies have reported similar relevance of reduced GMV in the left OFC. Meanwhile, several studies have suggested that there is a positive association between insecure attachment style and emotion-regulation deficits due to functional reduction in the OFC [63, 64] (for review [57]). In a functional MRI study with adults, left-OFC activation while thinking negative thoughts for intimate relationship, such as with partners, was negatively correlated with insecure attachment style, suggesting that individuals with insecure style are less able to downregulate emotions evoked by negative thoughts on their relationships due to under-recruitment of the OFC [63]. Similarly, another functional MRI study with adolescents also suggested that there are negative associations between insecure attachment and brain activity during social-feedback processing of emotional faces in the left ventrolateral prefrontal cortex including the OFC (Brodmann area 11), suggesting that adolescents with insecure attachment style are less able to perform social-feedback processing due to under-recruitment of this brain area [64]. Thus, our results on the negative association between the left-OFC GMV and insecure attachment style are in line with these findings because the reduction can be considered to lead to decrease in recruitment of resources for socio-emotional processing, and such decreased function with volume reduction may contribute to the formation of unstable attachment in individuals who have experienced CM. Furthermore, we confirmed the mediation effect of left-OFC GMV reduction on the relationship between *OXTR* methylation and insecure attachment style in CM and no direct relationship was

found between the two. Taken together, these findings suggest that higher *OXTR* DNA methylation indirectly affects distorted attachment formation, i.e., insecure style, mediated by modulation of atypical development in the left OFC, one of the distributed brain regions with relatively high *OXTR* density.

Several limitations of this study should be noted. First, this study included a relatively small sample size and had a cross-sectional design that precluded the identification of causal links between *OXTR* methylation, CM, and its impact on the brain structures of children. It was also difficult to consider the difference in impact depending on the type of CM. Longitudinal studies with larger sample sizes are required to more fully elucidate these associations. Second, we adopted ROI analysis to identify structural brain alterations related to *OXTR* methylation. It is important to consider that a ROI approach on previously-defined regions can, however, promote biased and inappropriately constrained anatomy characterization [65]. Third, we assayed peripherally-derived DNA from saliva as a marker. A recent study showed that methylation of DNA extracted from saliva more greatly resembles the methylation patterns observed in brain tissues than those observed in the blood [66]. Thus, saliva may be a good proxy tissue for methylation studies of brain-based traits, such as sociability [49]. A public web tool is available to examine the correlation between brain, blood, saliva, and buccal cells collected from the same living human [67]. However, there is no matched probe corresponding to CpG 5, 6 in the Illumina methylationEPIC array this web tool uses, but a neighboring site (CpG 3) is included (cg17285225). Saliva methylation of this site is not correlated with brain methylation (Spearman's  $\rho = 0.12$ ,  $P = 0.7$ ), but buccal-cell methylation tends to be positively correlated with brain methylation (Spearman's  $\rho = 0.5$ ,  $P = 0.085$ ). Considering that the mean proportion of buccal epithelial cells assessed by microscopic quantitation in children (70.3%) is significantly higher than that in



**Fig. 2** The mediating role of gray-matter volume (GMV) in the relationship between oxytocin receptor gene (*OXTR*) methylation and insecure attachment style in childhood maltreatment. **a** Relationship between GMV and *OXTR* methylation. The vertical axis indicates *OXTR* methylation levels at CpG 5,6, whereas the horizontal axis indicates GMV estimates in the left orbitofrontal cortex (OFC). **b** Relationship between GMV and insecure attachment style. The vertical axis indicates the insecure attachment style score, whereas the horizontal axis indicates GMV estimates in the left OFC. **c** Path model on the mediation effect of GMV on the relationship between *OXTR* methylation and insecure attachment style (\* $P < 0.05$ ; \*\*\* $P < 0.001$ )

adults (47.3%) [68], buccal cells could comprise most of the saliva DNA in this study. From this speculation, saliva methylation in our samples may correlate with brain methylation. However, cellular heterogeneity in saliva DNA still can act as a confounding factor. Collecting buccal samples (mean proportion of buccal epithelial cells: 90.3%; between-subject variation expressed as interquartile range: 10.3%) instead of saliva to minimize cellular heterogeneity variability or microscopic quantitation of buccal cells using fresh saliva specimens for later adjusting process was required to correct for the potential confounding effect [68]. Fourth, our focus on *OXTR* was hypothesis-driven, and we did not conduct genome-wide analysis in an exploratory manner. Moreover, although we focused on a target region called MT2 [40], one of the most interesting regions for identifying the association of the vulnerability of social brain functions, DNA methylation other than that of *OXTR* could also be involved and cannot be assessed using common microarray approaches. Finally, as seen in Table 1, there were substantial IQ differences in our study, with the CM group showing a lower FSIQ, as previous CM studies have also reported [69, 70]. However, we used statistical methods to control for these confounders and adjusted for the FSIQ in the VBM analysis. Despite these limitations, this study sheds light on the neural underpinnings of social and emotional dysregulation caused by an environmental factor, i.e., the adverse experiences of children with CM.

In conclusion, this study found significant hypermethylation of *OXTR* CpG 5,6 in the CM group and a correlation with GMV in the left OFC, along with reduced volume in the CM group. This GMV decrease, which is inferred to be within a region highly relevant to emotion regulation, might explain the presence of poor social

functioning or emotional processing in individuals who have experienced CM. Given the important role of both the oxytocinergic system and environmental input from caregivers for attachment formation, our finding of *OXTR* methylation and its impact on brain structure might provide an important link for elucidating the attachment formation and clinical dysfunctions caused by its failure.

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### ADDITIONAL INFORMATION

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