

# **HHS Public Access**

JAm Soc Echocardiogr. Author manuscript; available in PMC 2020 December 01.

Published in final edited form as:

Author manuscript

JAm Soc Echocardiogr. 2019 December ; 32(12): 1608–1614. doi:10.1016/j.echo.2019.07.005.

# Demonstrating the Value of Outcomes in Echocardiography: Imaging-based Registries in Improving Patient Care

Jordan B. Strom, M.D., M.Sc.<sup>1</sup>, Varsha K. Tanguturi, M.D.<sup>2</sup>, Sherif F. Nagueh, M.D.<sup>3</sup>, Allan L. Klein, M.D.<sup>4</sup>, Warren J. Manning, M.D.<sup>5</sup>

<sup>1</sup>Richard A. and Susan F. Smith Center for Cardiovascular Outcomes Research, Division of Cardiovascular Medicine, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA

<sup>2</sup>Cardiology Division, Massachusetts General Hospital, Harvard Medical School, Boston, MA

<sup>3</sup>Department of Cardiology, Houston Methodist Hospital, Weill Cornell Medical College, Houston, TX

<sup>4</sup>The Robert and Suzanne Tomsich Department of Cardiovascular Medicine, Cleveland Clinic, Cleveland Clinic Lerner College of Medicine, Cleveland, OH

<sup>5</sup>Departments of Medicine (Cardiovascular Division) and Radiology, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA.

## Keywords

Echocardiography; Outcomes Research; Value; Registry

# INTRODUCTION

Echocardiography is one of the most commonly performed procedures for diagnosis, therapeutic guidance, and management of a large number of diseases. In 2010, echocardiography represented 11% of Medicare spending on imaging services, accounting for \$1.2 billion US dollars in cost, with roughly 25% of all Medicare Fee-for-service beneficiaries receiving one echocardiogram.<sup>1,2</sup> With the passage of the Patient Protection and Affordable Care Act in 2010, there has been a rise in interest and participation in bundled payment and cost-sharing programs that shift the financial responsibility for maintaining care quality to the individual provider or group.<sup>3</sup> Health care stakeholders including providers and groups are now facing mounting pressure to justify the added value of diagnostic tests, procedures, and other treatments that are provided to patients, deemed to be under their care. This is particularly the case with medical imaging, which has outpaced growth in other physicians services.<sup>1</sup> In response, the American Society of

*Corresponding Author*: Jordan B. Strom, MD, MSc, 375 Longwood Avenue, 4<sup>th</sup> Floor, Boston, MA 02215. Phone: 617-632-7672, Fax: 617-632-7698 (jstrom@bidmc.harvard.edu).

**Publisher's Disclaimer:** This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Echocardiography (ASE), American College of Cardiology, American Heart Association, and other cardiovascular societies have put forth appropriate use criteria (AUC) for diagnostic imaging, to create consensus about appropriate imaging that both payers and providers agree upon.<sup>4</sup>

While imaging services overutilization has been the primary focus of regulatory efforts, comparatively little attention has been given to the consequences of underutilization. In part, this bias towards overutilization in the published literature reflects the complexity of measuring underutilization. In an analysis of nationwide billing data from 2001–2011, billing codes for echocardiography were reported in only 8% of hospitalizations for acute myocardial infarction, cardiac dysrhythmia, acute cerebrovascular disease, heart failure, and sepsis, despite established echocardiography indications for these diagnoses, and demonstrated inverse association between echocardiography and inpatient mortality in this setting.<sup>5</sup> While this study suggests that the burden of underutilization of imaging services may be large, it is difficult to discern if echocardiograms were undercoded or if the apparent protective benefit of echocardiography is due to less sick individuals surviving to receive an echocardiogram, rather than a function of improved diagnosis or treatment provided by echocardiography.<sup>6</sup> Still, other studies have suggested a survival benefit to echocardiographic imaging. In an analysis of Olmsted County residents with clinical heart failure, only 63% of patients received an echocardiogram within 3 weeks of a heart failure diagnosis.<sup>7</sup> This group had a 39% improved survival compared to those not receiving an echocardiogram.

While improved survival with echocardiography may reflect the bias of healthier individuals receiving an echocardiogram, and therefore, a causal effect of echocardiography on reducing mortality cannot be inferred at the present time, it is also plausible that echocardiography has a substantial benefit by improving diagnosis and appropriate treatment allocation. For example, the role of echocardiography in risk prediction for sudden death after acute myocardial infarction relies heavily on our understanding of the role of left ventricular ejection fraction in sudden death risk.<sup>8</sup> Sudden death risk is integrally tied to systolic function, and treatment decisions regarding defibrillator placement are made based on this relationship. In this case, the value of imaging is to improve risk stratification and case selection, and while the optimal imaging technique for quantitation of systolic function is debated, there is broad agreement on the need for imaging.

More recent data have suggested that echocardiography may continue to be underutilized in certain circumstances. In a study of inpatients not undergoing echocardiography, 16% presented with conditions for which echocardiography was indicated.<sup>9</sup> In a another 2016 retrospective analysis of Commercial and Medicare insurers administrative claims data, only 17.5% of more than 67,000 patients with new onset heart failure received any testing for ischemic heart disease during the index hospitalization and only 27.4% at 90 days.<sup>10</sup> Stress echocardiography was performed in only 7.8% of index hospitalizations and in only 14.3% by 90 days. While standard 2D transthoracic echocardiography was the most commonly utilized test, only 63.6% of patients presenting with new heart failure received an echocardiogram during the index hospitalization with only 72.9% receiving an echocardiogram within 3 months.<sup>10</sup> Data from the Massachusetts General Hospital

evaluating surveillance for valvular heart disease have suggested that while 20% of providers can be considered over-utilizers of echocardiography, the problem of underutilization may be larger with 25% of providers considered under-utilizers relative to AUC recommendations.<sup>11</sup> Certain racial and demographic groups may be preferentially affected by underutilization. African American, female, older, and Medicaid patients may be less likely to receive appropriate echocardiographic surveillance for valvular heart disease.<sup>12</sup>

Yet does underperformance of echocardiograms in the setting of clear indications reflect underutilization? As it is currently not possible to interrogate the reasons for clinician behavior and ordering of an echocardiogram in a large dataset, it is difficult to say an echocardiogram was "underutilized" versus appropriately not utilized. Combined with the paucity of randomized clinical trials of echocardiography vs. no echocardiography for specific clinical indications, it is difficult, at the current time, to discern the direct health benefits conferred from echocardiography.

# THE CASE FOR VALUE IN OUTCOMES

#### The Current State of Outcomes Research in Echocardiography

Faced with rising cost pressure and an increasing need to justify testing, imagers need new strategies to demonstrate the value of imaging. In particular, imagers need to demonstrate that imaging data impacts outcomes of relevance to patients and thereby justifies the cost and inconvenience of testing. In this setting, developing a robust infrastructure to carry out high quality outcomes research is of paramount importance to help understand the circumstances in which echocardiography is over- and under- utilized and the value of imaging towards improving patient care. In 2014, the American Society of Echocardiography Foundation convened a multi-stakeholder healthcare summit on the role of cardiovascular ultrasound in the emerging value-based payment model.<sup>13</sup> Central to the goals of this summit which advocated for echocardiography as the "value choice" in cardiac imaging was the expansion of the role of echocardiography as a diagnostic tool through identification of how echocardiography impacts patient care.<sup>13</sup>

To date, while multiple studies have used echocardiographic findings (e.g. left ventricular ejection fraction, grade of mitral regurgitation, etc.) as outcomes,<sup>14</sup> comparatively fewer studies have studied whether detection of these findings leads to actionable changes in clinical management and improved patient outcomes. Additionally, current normal values for echocardiography are largely based on deviations from the average values in a normal population, rather than risk of adverse outcomes.<sup>15</sup> While large population studies of normal individuals have formed the basis of the current recommended normal values,<sup>16–21</sup> very few (i.e. left atrial size, left ventricular sizes, and left ventricular systolic function) are tied to cutoff values that are prognostically significant.<sup>15,22</sup> For example, the guideline recommended upper limit of normal for right atrial size is based on the distribution of right atrial size in a healthy, normal population, yet a moderately abnormal right atrial size does not necessarily equate to a moderately increased risk for clinical outcomes.

Moreover, what defines normalcy within clinical subgroups remains unclear. For example, while transmitral e'-velocity declines with age, the parameters that distinguish normal and

abnormal aging with regard to risk of heart failure hospitalization are unknown, or how to use this information to intervene so as to prevent hospitalization or improve prognosis. With aging of the population, the prevalence of valvular and structural heart disease is rapidly increasing and there is an urgent need to understand the implications of imaging findings and the optimal use of imaging to identify and manage disease.<sup>23,24</sup> To the extent that further patient phenotyping with imaging provides incremental risk information that guides therapeutic management and leads to improved outcomes, the indications for imaging will continue to expand to the benefit of the patients we treat. From the patient's perspective, in addition to potential improvements in diagnosis and treatment, outcomes research in imaging may provide useful prognostic information and guide shared decision making. It may help to understand both over- and under-utilization of echocardiography and lead to improvements in evidence-based recommendations for appropriate imaging, based on patient-centric values. Efforts are already underway to evaluate whether normal chamber sizes differ across countries, regions, and cultures through the World Alliance of Societies of Echocardiography (WASE) Normal Values study, an international effort led by the ASE and ASE International Partners across 6 continents.<sup>22</sup> Whether or not such differences, if identified, lead to measurable changes in outcomes to certain treatments remains uncertain, but such efforts are important first steps towards answering this question.

#### Methods for Capturing Outcomes

To determine if echocardiography is associated with improved outcomes, we first have to measure these outcomes and link them with imaging data. Sources of outcomes data include patient self-report (e.g. patient-reported quality of life measures), electronic health records, clinical trials, large registries and cohorts, and administrative billing data (Table 1). Additionally, mobile and wearable devices increasingly collect information on a patient's health status that may be valuable for research purposes.

Each of these data sources presents unique challenges and opportunities. For example, while short, well-validated, and reliable questionnaires exist to monitor a patient's symptoms and quality of life,<sup>25</sup> these outcomes have been proven difficult to capture in busy clinical practices where there are competing demands.<sup>26</sup> Electronic health records are a rich and granular sources of patient data, but even in large integrated health systems such as Veterans Administration hospitals, outcomes may be incompletely captured leading to biased estimates of risk. Similarly, although clinical trials represent the gold standard for evaluating the efficacy of an intervention due to the ability to control, via randomization, for unmeasured confounding and use of rigorously adjudicated clinical events, they may selectively enroll healthier individuals whose characteristics do not generalize to real world practice.<sup>27</sup> Large registries and cohorts may overcome many of the generalizability issues inherent in clinical trial enrollment. However, they rely on site participation, complete and accurate data entry, and enrollment of generalizable populations to provide accurate insights into outcomes.

Administrative billing claims may also provide information on clinical diagnoses and procedures performed during a given hospitalization but have unique advantages and disadvantages. For example, while national payer data, such as Medicare, allow for

Page 5

outcomes to be captured from hospitalizations at sites other than that of the index echocardiogram (and thus may be more complete than outcomes captured locally), analysis of claims is limited in scope to those participating in a given insurance plan (e.g. Medicare Fee-for-service beneficiaries). To date, few integrated data repositories exist aggregating multi-channel claims such but access and completeness remain barriers to widespread use. Claims maybe subject to errors in coding and incomplete capture of the number and severity of clinical comorbidities. Despite these issues, validated coding algorithms exist to capture clinically relevant outcomes with several more algorithms in development.<sup>28–31</sup> Additionally, as claims reflect the actual hospital and provider reimbursements, they may be used to study cost of care and predictors of utilization. While death information can be captured through linkage of imaging data to the Social Security Death Master File or National Death Index, it is difficult to interpret results of studies analyzing death as the endpoint without detailed clinical records to identify interventions a patient may have received that could confound the underlying relationship under evaluation. Claims may enrich the set of outcomes available to researchers, such as procedures, medications, comorbidities, and non-death outcomes (e.g. stroke, heart failure hospitalization, myocardial infarction, etc.) but require careful validation work to ensure that the outcome being assessed is well captured by the set of individuals claims substituting for it.

#### Methods for Capturing Imaging Data and Linkage to Outcomes

As adverse outcomes may be relatively infrequent, large registries of aggregated imaging report data are needed to demonstrate an association between cardiac structural or functional variables and clinical outcomes. Moving beyond echocardiographic reports and traditional outcomes-research-based approaches, large databases of echocardiographic images stored in a common picture archiving and communications system (PACS) and linked to patient outcomes may also permit testing of novel machine learning methods to identify individuals at elevated risk of adverse outcomes. Using convolutional neural networks, a type of machine learning method that extracts information from raw images, computers have been trained to automatically recognize echocardiographic views better than board-certified echocardiographers,<sup>32</sup> automate echocardiographic measurements with comparable accuracy to manually adjudicated ones,<sup>33</sup> and discriminate individuals on echocardiogram with certain cardiomyopathies with a high degree of success.<sup>33</sup> Whether these same approaches could be used to identify clinical risk (e.g. individuals with atrial fibrillation at high risk of stroke or poor prognosis prior to transcatheter interventions), remains to be tested.

Aside from large registries of images, there are a number of additional challenges to developing large multicenter databases of echocardiographic report data. First, there may be intrinsic variability in echocardiographic measurements related to non-standard views, variable image quality (due to non-uniform use of modern echocardiographs or patient-related factors), and physiologic parameters (e.g. heart rate, blood pressure). Second, there is site-variation in the acquisition and recording of echocardiographic data that make large-scale analyses more challenging.<sup>34</sup> While structured echocardiographic data fields are readily analyzable and linked to outcomes, variable definitions can differ across sites, requiring mapping of site-obtained variables to a common variable name. Many health systems report echocardiographic data in non-structured data formats that require manual

data extraction or use of natural language processing to extract the relevant report information. Regional and institutional variations in echocardiogram image and report quality may be difficult to standardize across sites. If data analysis is restricted to echocardiograms with adequate or good quality, this may introduce selection bias as those with adequate image quality may differ systematically from those that do not. In this setting, professional organizations such as the American Heart Association, American College of Cardiology, and American Society of Echocardiography and national oversight bodies such as the Intersocietal Accreditation Commission (IAC) have a central role at promoting standardization of image acquisition and reporting.

Third, inter-operability between major electronic health record systems and privacy restrictions continue to challenge sharing information between sites. Fourth, image report data may be appropriately missing if the underlying image quality is sufficiently poor so as to impede accurate interpretation, limiting the power for detecting true associations. Fifth, few large imaging registries collect DICOM image files given the complexity of obtaining and storing this information. Challenges to developing and using large image-containing registries include privacy concerns, the need for large image storage capacity, efficient retrieval of studies for analysis, extracting image elements from Digital Imaging and Communications in Medicine (DICOM) files, and the choice of what clips or still images to include or exclude. Vendor specific configurations in DICOM formatting or post-processing of images may create inter-vendor differences in echocardiographic parameters (e.g. global longitudinal strain) that may be difficult to harmonize across systems.<sup>35</sup> Moreover, these vendor-specific configurations create challenges for developing new software applications to extract image information from multiple sites where those sites do not share the same vendor. The costs of extracting and maintaining large databases of raw images have so far been a major barrier to routine incorporation of images in existing registries. However, as raw images are often needed to apply machine learning based approaches for automated image quantification and predictive analytics, both echocardiographic report and image data are necessary to extract the full value of information from an echocardiogram.

Even in circumstances where data collection is perfect, data privacy restrictions may limit data sharing across sites and the ability to link with outcomes data. For example, Medicare claims data may not be shared across sites to protect patient privacy, thus limiting claims access to those with specified contractual agreements (e.g. data use agreements) with Medicare for their use. Merging aggregated echocardiographic data with outcomes requires individual-level linkage to ensure that the individual who underwent a given echocardiogram is the same as experienced an outcome. While strategies exist for deterministic matching using minimal patient health information,<sup>36,37</sup> most direct linkage strategies rely on patient identifiers such as medical record number, social security number, date of birth, name, or home address to serve as a unique key to connect individuals across datasets. Sites may be wary to share potentially discoverable patient information across sites or may do so under strict data use agreements that limit data access.

#### Unique Challenges to Outcomes Research in Echocardiography

Outcomes research in echocardiography presents a number of unique challenges. A patient's outcome may be determined by a given provider's response to the echocardiographic diagnosis, rather than the diagnosis itself. For example, while a correct wall motion abnormality diagnosis can lead to prompt treatment of an acute myocardial infarction and improved outcomes for the patient, the same correct diagnosis could lead to adverse outcomes if ignored by the ordering providers. Similarly, an incorrect diagnosis (e.g. false positive wall motion abnormalities, confusion between aortic stenosis continuous wave velocity profiles with that of mitral regurgitation) could lead to adverse outcomes if it leads to erroneous treatment or avoidance of the correct treatment. While it is therefore important to evaluate which treatments a patient received, it is more challenging to assess the impact of performance of an echocardiogram on clinical decision making. Likewise, non-cardiac findings, present in roughly 7.5% of trans-thoracic echocardiograms, could increase cost and potentially influence management.<sup>38</sup>

Additionally, many other potentially confounding variables may be associated with cardiac structural or functional abnormalities and also related to outcomes, leading to false observed associations unless accounted for in the analysis. These variables can be broadly categorized into several domains (Table 2). While summary comorbidity measures such as the Charlson or Elixhauser comorbidity indices have been used for confounding adjustment in outcomes research,<sup>39,40</sup> it is uncertain how many of the component variables of these summary measures are related to cardiac structure or function or how well these scores will work in the analysis of imaging data.

# FORGING THE ROAD AHEAD

The creation of large multicenter registries of imaging data tied to outcomes is critically important for the advancement of outcomes research in echocardiography and improving clinical care. The ImageGuide Registry has taken a lead in this regard.<sup>41</sup> Established in 2015 by the American Society of Nuclear Cardiology (ASNC), the ImageGuide Registry aims to track and improve the quality of patient care through understanding trends in imaging utilization, cardiac structure and function parameters, and the outcomes of imaged patients. In 2017, the American Society of Echocardiography partnered with ASNC to establish the ImageGuideEcho registry which collects aggregated echocardiogram reports across multiple sites and collates specific quality metrics, allowing sites to have timely feedback on their performance. As sharing of data and resources may involve significant investment of human and financial capital from an individual site, the ImageGuideEcho registry has been set up to improve value for sites by collecting and reporting back data on CMS Merit-Based Incentive Payment System (MIPS) performance measures that are required for meeting minimum reporting requirements.<sup>41</sup> Additionally, participating laboratories receive benchmark reports to evaluate performance on these and other measures relative to normative data, which can be used to demonstrate appropriate use of cardiac imaging testing to payers, enhance patient care at a provider and laboratory-wide level, and improve lab efficiency. Globally, these measures can be used to develop normative data on lab quality, distributions of echocardiographic measurements within clinical subgroups (to evaluate departures from

these norms), and can drive further standardization of laboratory practice toward the goal of promoting adherence to best practices. As site participation in national registries (including the ImageGuideEcho registry) is often driven by financial consequences of non-participation or to highlight lab quality in public rankings of performance, the ability to aggregate, evaluate, and report lab quality allows participating sites an efficient means to collect measures required for public reporting and assess deficiencies in lab quality that might result in future financial penalties for subpar performance. Participation in the registry may also permit future site enrollment and participation in pragmatic clinical trials that identify participants for inclusion based on echocardiographic information.<sup>42</sup> Additionally, strong industry backing and support has endowed the ImageGuideEcho registry with resources to collect and maintain such data for sites, payors, interested researchers, industry partners, regulators, and professional organizations. While this registry is in the beginning stages of development, long term plans include incorporation of outcomes data to track a patient along the continuum of care.

Internationally, the National Echocardiographic Database of Australia (NEDA) has taken a lead in developing solutions to overcome several of the aforementioned challenges and has already collected > 40 million aggregated echocardiogram reports across 14 clinical laboratories, linked to nearly 60,000 all-cause deaths over a median of 40 months.<sup>43</sup> Using a vendor-agnostic algorithm, back-ups of clinical studies are automatically uploaded to an Azure Cloud Server and variables names are transformed semi-automatically to meet a common NEDA variable definition. Filtering is applied to remove duplicate studies, merge identical patients, remove nonsensical values using range limiting tools, and assign a unique identifier, specific to each individual included. Once populated into the Master NEDA Dataset, data are inspected and cleaned using graphical and statistical tools to ensure data elements conform to standards and are within physiologic ranges, and are linked to Australian national death statistics. NEDA researchers have used this unique dataset to identify risk in pulmonary hypertension at an earlier cutoff than previously identified,<sup>44</sup> evaluate the risk of non-severe aortic stenosis, and evaluate the prognostic importance of different methods of ejection fraction calculation.<sup>45</sup> Additionally, they have developed automated systems for impute aortic valve area using other echocardiographic data without the need for left ventricular outflow tract measurements with plans to further utilize artificial intelligence in other applications.<sup>46</sup> Applying these automated data upload and quality filters to existing datasets may improve the amount and types of imaging data aggregated, and reduce the costs and barriers to acquisition.

Additionally, local databases may be useful to exploit rich electronic health record data. At Beth Israel Deaconess Medical Center, we have linked a database of 271,618 echocardiograms performed on 135,792 unique individuals over an 18-year period to death information from the Social Security Death Master File. There were a total of 26,163 deaths that occurred during this time period, allowing for more than adequate power to evaluate associations between cardiac structural and functional variables and risk of death. Additionally, we have linked 96,975 (71.4%) of those individuals over the same time period to complete 100% Medicare Fee-for-service inpatient and outpatient claims from 2003– 2016. Recent efforts at Massachusetts General Hospital have resulted in a multi-institutional linkage of echocardiography databases, including demographic and limited outcomes data,

which have enriched the diversity, power, and generalizability of analyses with echocardiographic data. Additionally, these large site-specific datasets may serve as data seeds for multicenter federated data networks such as Sentinel, PCORNet, and the NIH Collaboratory, many of which are already linked to CMS claims, which aggregate electronic health record data including diagnoses, procedures, laboratory results, and other testing across participating sites with data sharing agreements.<sup>47</sup> There has already been significant public investment in creation of these datasets, yet all lack imaging data elements, and sharing of site-specific echocardiographic data may overcome this critical deficiency. Important to all types of imaging registries is the urgent need for standardization of imaging metadata and variable definitions across sites. Here, the American Society of Echocardiography, European Association of Cardiovascular Imaging, and other international professional bodies can provide leadership by convening a committee to provide consensus definitions of echocardiographic variables under a common data model.

In summary, despite seemingly surmountable barriers, outcomes research in echocardiography remains critically important, and with improvements in technology and data analytics, there is perhaps no better time than the present for building the infrastructure to do such essential work. If, however, we malign the narrative of overuse as an arbitrary obstruction to care, we ignore both the real external pressure for accountability and the opportunity to find metrics tied to improvements in patient outcomes that could be widely implemented in place of existing measures of performance. An improved understanding of the relationship of cardiac structure and function to outcomes could improve the ability of new guidelines to reflect real-world practice, aligning with patient-centric outcomes rather than consensus agreement. The ability to identify how outcomes differ in amongst clinical and imaging subgroups, may lead to more specific and tailored recommendations, targeted towards a specific risk group. Moreover, widespread adoption of this approach could lead to substantial, measurable improvements in patient health and refinements in the diagnostic and prognostic abilities of echocardiography.

With support for the creation of large multicenter registries linked to outcomes, we hope to expand upon existing data resources with the goal of creating unique and collaborative resources to understand the importance of structural and functional perturbations identified by echocardiogram to clinical care. It is our hope that continued efforts to link imaging data to outcomes may provide new indications for echocardiography in clinical management and help precision medicine efforts to tailor therapeutic strategies to the optimal patients, demonstrating the value and importance of imaging to patient management.

## CONCLUSIONS

The increased utilization of non-invasive cardiac imaging has resulted in national efforts to curtail its growth. The value of imaging can be demonstrated through its impact on patient outcomes. To do so requires a concerted effort to aggregate imaging studies across sites and to link them to measurable clinical outcomes that matter to patients and payors. Ongoing efforts to do so will lead to optimization of diagnostic strategies, changing indications for echocardiography, and an overall improvement in patient outcomes.

# Funding:

Dr. Strom is funded by a grant from the National Heart, Lung, and Blood Institute (1 K23 HL144907) outside of the submitted work.

# **ABBREVIATIONS:**

AUC	Appropriate Use Criteria
ASE	American Society of Echocardiography
ASNC	American Society of Nuclear Cardiology
CMS	Centers for Medicare and Medicaid Services
IAC	Intersocietal Accreditation Commission
PACS	Picture Archiving and Communications System
DICOM	Digital Imaging and Communications in Medicine
WASE	World Alliance of Societies of Echocardiography
NEDA	National Echocardiographic Database of Australia

# REFERENCES

- Iglehart JK. The new era of medical imaging--progress and pitfalls. N Engl J Med. 2006; 354(26): 2822–2828. [PubMed: 16807422]
- Virnig BA SN, O'Donnell B, Zeglin J, Parashuram S. Trends in the Use of Echocardiography, 2007 to 2011: Data Points #20. Rockville, MD: Agency for Healthcare Research and Quality (US).
- 3. Patient Protection and Affordable Care Act, 42 U.S.C. § 18001 (2010).
- 4. Douglas PS, Garcia MJ, Haines DE, et al. ACCF/ASE/AHA/ASNC/HFSA/HRS/SCAI/SCCM/ SCCT/SCMR 2011 Appropriate Use Criteria for Echocardiography. A Report of the American College of Cardiology Foundation Appropriate Use Criteria Task Force, American Society of Echocardiography, American Heart Association, American Society of Nuclear Cardiology, Heart Failure Society of America, Heart Rhythm Society, Society for Cardiovascular Angiography and Interventions, Society of Critical Care Medicine, Society of Cardiovascular Computed Tomography, and Society for Cardiovascular Magnetic Resonance Endorsed by the American College of Chest Physicians. J Am Coll Cardiol. 2011;57(9):1126–1166. [PubMed: 21349406]
- Papolos A, Narula J, Bavishi C, Chaudhry FA, Sengupta PP. U.S. Hospital Use of Echocardiography: Insights From the Nationwide Inpatient Sample. J Am Coll Cardiol. 2016;67(5): 502–511. [PubMed: 26846948]
- Jellis CL, Griffin BP. Are We Doing Too Many Inpatient Echocardiograms? J Am Coll Cardiol. 2016;67(5):512. [PubMed: 26846949]
- Senni M, Rodeheffer RJ, Tribouilloy CM, et al. Use of Echocardiography in the Management of Congestive Heart Failure in the Community. J Am Coll Cardiol. 1999;33(1):164–170. [PubMed: 9935024]
- 8. Al-Khatib SM, Stevenson WG, Ackerman MJ, et al. 2017 AHA/ACC/HRS Guideline for Management of Patients With Ventricular Arrhythmias and the Prevention of Sudden Cardiac Death: Executive Summary: A Report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines and the Heart Rhythm Society. Circulation. 2018 Sep 25;138(13):e210–e271.
- 9. Ballo P, Bandini F, Capecchi I, et al. Application of 2011 American College of Cardiology Foundation/American Society of Echocardiography Appropriateness Use Criteria in Hospitalized

Patients Referred for Transthoracic Echocardiography in a Community setting. J Am Soc Echocardiogr. 2012;25(6):589–598. [PubMed: 22560735]

- Doshi D, Ben-Yehuda O, Bonafede M, et al. Underutilization of Coronary Artery Disease Testing Among Patients Hospitalized With New-Onset Heart Failure. J Am Coll Cardiol. 2016;68(5):450– 458. [PubMed: 27470451]
- 11. Tanguturi VK, Hidrue MK, Picard MH, et al. Variation in the Echocardiographic Surveillance of Primary Mitral Regurgitation. Circ Cardiovasc Imaging. 2017;10(8).
- Tanguturi VK, Bhambhani V, Picard MH, Armstrong K, Wasfy JH. Echocardiographic Surveillance of Valvular Heart Disease in Different Sociodemographic Groups. JACC Cardiovasc Imaging. 2018.
- Byrd BF 3rd, Abraham TP, Buxton DB, et al. A Summary of the American Society of Echocardiography Foundation Value-Based Healthcare: Summit 2014: The Role of Cardiovascular Ultrasound in the New Paradigm. J Am Soc Echocardiogr. 2015;28(7):755–769. [PubMed: 26140937]
- Douglas PS, DeCara JM, Devereux RB, et al. Echocardiographic Imaging in Clinical Trials: American Society of Echocardiography Standards for Echocardiography Core Laboratories: endorsed by the American College of Cardiology Foundation. J Am Soc Echocardiogr. 2009;22(7):755–765. [PubMed: 19560654]
- 15. Lang RM, Badano LP, Mor-Avi V, et al. Recommendations for Cardiac Chamber Quantification by Echocardiography in Adults: an Update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging. J Am Soc Echocardiogr. 2015;28(1):1–39. [PubMed: 25559473]
- Rietzschel E-R, De Buyzere ML, Bekaert S, et al. Rationale, Design, Methods and Baseline characteristics of the Asklepios Study. European Journal of Cardiovascular Prevention & Rehabilitation. 2007;14(2):179–191. [PubMed: 17446795]
- 17. Kuznetsova T, Herbots L, Lopez B, et al. Prevalence of Left Ventricular Diastolic Dysfunction in a General Population. Circulation: Heart Failure. 2009;2(2):105–112. [PubMed: 19808325]
- Friedman GD, Cutter GR, Donahue RP, et al. CARDIA: Study Design, Recruitment, and Some Characteristics of the Examined Subjects. Journal of Clinical Epidemiology. 1988;41(11):1105– 1116. [PubMed: 3204420]
- Muraru D, Badano LP, Peluso D, et al. Comprehensive Analysis of Left Ventricular Geometry and Function by Three-dimensional echocardiography in Healthy Adults. J Am Soc Echocardiogr. 2013;26(6):618–628. [PubMed: 23611056]
- Lancellotti P, Badano LP, Lang RM, et al. Normal Reference Ranges for Echocardiography: Rationale, Study design, and Methodology (NORRE Study). European Heart Journal: Cardiovascular Imaging. 2013;14(4):303–308. [PubMed: 23376837]
- Kou S, Caballero L, Dulgheru R, et al. Echocardiographic Reference ranges for Normal Cardiac Chamber Size: results from the NORRE study. European Heart Journal: Cardiovascular Imaging. 2014;15(6):680–690. [PubMed: 24451180]
- Asch FM, Banchs J, Price R, et al. Need for a Global Definition of Normative Echo Values; Rationale and Design of the World Alliance of Societies of Echocardiography Normal Values Study (WASE). J Am Soc Echocardiogr. 2019 1;32(1):157–162. [PubMed: 30459124]
- Nkomo VT, Gardin JM, Skelton TN, Gottdiener JS, Scott CG, Enriquez-Sarano M. Burden of valvular heart diseases: a population-based study. Lancet. 2006;368(9540):1005–1011. [PubMed: 16980116]
- 24. Iung B, Baron G, Butchart EG, et al. A Prospective Survey of Patients with Valvular Heart Disease in Europe: The Euro Heart Survey on Valvular Heart Disease. European Heart Journal. 2003;24(13):1231–1243. [PubMed: 12831818]
- McNamara RL, Spatz ES, Kelley TA, et al. Standardized Outcome Measurement for Patients With Coronary Artery Disease: Consensus From the International Consortium for Health Outcomes Measurement (ICHOM). J Am Heart Assoc. 2015;4(5).
- 26. Blumenthal DM, Strom JB, Valsdottir LR, Howard SE, Wagle NW, Ho KL, Horn DM, OKeefe SM, Wasfy JH, Metlay JP, Yeh RW. Patient-Reported Outcomes in Cardiology: Comparison of

Two Programs to Assess Angina Burden in Coronary Artery Disease. Circulation: Cardiovascular Quality and Outcomes. 2018;11(11):1–4.

- 27. Yeh RW, Valsdottir LR, Yeh MW, Shen C, Kramer DB, Strom JB, Secemsky EA, Healy JL, Domeier RM, Kazi DS, Nallamothu BK. Parachute Use to Prevent Death and Major Trauma when Jumping from Aircraft: Randomized Controlled Trial. BMJ. 2018;363:k5094. [PubMed: 30545967]
- Guimaraes PO, Krishnamoorthy A, Kaltenbach LA, et al. Accuracy of Medical Claims for Identifying Cardiovascular and Bleeding Events After Myocardial Infarction : A Secondary Analysis of the TRANSLATE-ACS Study. JAMA Cardiology. 2017;2(7):750–757. [PubMed: 28538984]
- Hlatky MA, Ray RM, Burwen DR, et al. Use of Medicare Data to Identify Coronary Heart Disease Outcomes In the Women's Health Initiative (WHI). Circulation Cardiovascular Quality and Outcomes. 2014;7(1):157–162. [PubMed: 24399330]
- Kumamaru H, Judd SE, Curtis JR, et al. Validity of Claims-based Stroke Algorithms in Contemporary Medicare Data: Reasons for Geographic and Racial Differences in Stroke (REGARDS) study Linked with Medicare Claims. Circ Cardiovasc Qual Outcomes. 2014;7(4): 611–619. [PubMed: 24963021]
- Psaty BM, Delaney JA, Arnold AM, et al. Study of Cardiovascular Health Outcomes in the Era of Claims Data: The Cardiovascular Health Study. Circulation. 2016;133(2):156–164. [PubMed: 26538580]
- 32. Madani A, Arnaout R, Mofrad M, Arnaout R. Fast and Accurate View Classification of Echocardiograms using Deep Learning. NPJ Digital Medicine. 2018;1(1):6. [PubMed: 30828647]
- Zhang J, Gajjala S, Agrawal P, et al. Fully Automated Echocardiogram Interpretation in Clinical Practice. Circulation. 2018;138(16):1623–1635. [PubMed: 30354459]
- Picard MH, Adams D, Bierig SM, et al. American Society of Echocardiography Recommendations for Quality Echocardiography Laboratory Operations. J Am Soc Echocardiogr. 2011;24(1):1–10. [PubMed: 21172594]
- 35. Farsalinos K, Daraban A, Ünlü S, D Thomas J, Badano L, Voigt J-U. Head-to-Head Comparison of Global Longitudinal Strain Measurements among Nine Different Vendors: The EACVI/ASE Inter-Vendor Comparison Study. J Am Soc Echocardiogr. 2015 10;28(10):1171–1181. [PubMed: 26209911]
- 36. Yeh RW, Czarny MJ, Normand SL, et al. Evaluating the Generalizability of a Large Streamlined Cardiovascular Trial: Comparing Hospitals and Patients in the Dual Antiplatelet Therapy Study Versus the National Cardiovascular Data Registry. Circ Cardiovasc Qual Outcomes. 2015;8(1):96– 102. [PubMed: 25399847]
- Yeh RW, Secemsky EA, Kereiakes DJ, et al. Development and Validation of a Prediction Rule for Benefit and Harm of Dual Antiplatelet Therapy Beyond 1 Year After Percutaneous Coronary Intervention. JAMA. 2016;315(16):1735–1749. [PubMed: 27022822]
- Khosa F, Warraich H, Khan A, et al. Prevalence of Non-cardiac Pathology on Clinical Transthoracic Echocardiography. J Am Soc Echocardiogr. 2012;25(5):553–557. [PubMed: 22406164]
- Charlson ME, Pompei P, Ales KL, MacKenzie CR. A New Method of Classifying Prognostic Comorbidity in Longitudinal Studies: Development and Validation. Journal of Chronic Diseases. 1987;40(5):373–383. [PubMed: 3558716]
- 40. Elixhauser A, Steiner C, Harris DR, Coffey RM. Comorbidity Measures for Use with Administrative Data. Med Care. 1998;36(1):8–27. [PubMed: 9431328]
- 41. Strange G, Celermajer DS, Marwick T, et al. The National Echocardiography Database Australia (NEDA): Rationale and Methodology. American Heart Journal. 2018;204:186–189. [PubMed: 30098706]
- 42. ImageGuideRegistry. https://imageguideregistry.org. Published 2019. Accessed May 6, 2019.
- 43. Pfaff E, Lee A, Bradford R, Pae J, Potter C, Knoepp P, Thompson K, Roumie CL, Crenshaw D, Servis R, DeWalt DA. Recruiting for a pragmatic trial using the electronic health record and patient portal: successes and lessons learned. J Am Med Inform Assoc. 2019;26(1):44–49. [PubMed: 30445631]

- 44. Strange G, Stewart S, Celermajer DS, Prior D, Scalia GM, Marwick TH, Gabbay E, Ilton M, Joseph M, Codde J, Playford D; NEDA Contributing Sites. Threshold of Pulmonary Hypertension Associated with Increased Mortality. J Am Coll Cardiol. 2019 Jun 4;73(21):2660–2672. [PubMed: 31146810]
- 45. Strange G, Celermajer DS, Marwick T, et al. The National Echocardiography Database Australia (NEDA): Rationale and methodology. Am Heart J. 2018;204:186–189. [PubMed: 30098706]
- 46. The National Echocardiographic Database of Australia. https://www.neda.net.au. Published 2019. Accessed May 6, 2019.
- 47. Weber GM. Federated queries of clinical data repositories: Scaling to a national network. J Biomed Inform. 2015;55:231–236. [PubMed: 25957825]

#### Table 1:

#### Sources of Outcome and Echocardiographic Data

	Outcomes Data	Echocardiographic Data	
Data Source	Advantages/Disadvantages	Advantages/Disadvantages	
Patient self-report Electronic Health Records	<ul> <li>Well-validated and reliable questionnaires available.</li> <li>Difficult to capture in practice.</li> <li>Detailed patient information (e.g. diagnoses, testing, treatments).</li> </ul>	<ul> <li>Patient self-report of imaging data is not validated and likely biased by recall.</li> <li>Predominant source of large aggregated imaging data</li> </ul>	
	<ul> <li>Outcomes may be incompletely recorded or captured.</li> <li>Challenging to extract information.</li> <li>Privacy concerns involving data access and sharing across sites.</li> </ul>	<ul> <li>Site-variation in acquisition and recording of data</li> <li>Frequently includes non-structured data</li> <li>Variables may require mapping across site</li> <li>Interoperability and privacy concerns limit sharing across sites</li> <li>Frequent missing data</li> </ul>	
Clinical Trials	<ul> <li>Gold standard for evaluation of efficacy.</li> <li>Detailed, adjudicated outcomes.</li> <li>May lack generalizability and expensive to conduct.</li> </ul>	<ul> <li>Imaging data often adjudicated at central core labs</li> <li>May lack generalizability and expensive to conduct</li> <li>Limited number of subjects with echocardiograms and limited data obtained from images</li> </ul>	
Registries or Cohort Studies	<ul> <li>May enroll generalizable, "real-world" populations.</li> <li>Relies on site participation, complete and accurate data entry, and inclusion of generalizable populations.</li> </ul>	<ul> <li>Large echocardiographic databases (e.g. ImageGuideEcho registry) in development</li> <li>May enroll generalizable, "real-world" populations.</li> <li>Relies on site participation, complete and accurate data entry, and inclusion of generalizable populations.</li> <li>Variables collected may differ by site</li> </ul>	
Administrative Billing Claims	<ul> <li>Capture of outcomes across-sites.</li> <li>Cost and billing data included.</li> <li>Few repositories of multi-payor claims.</li> <li>Subject to coding errors and incomplete capture of number and severity of comorbidities.</li> </ul>	<ul> <li>Claims for echocardiograms contain cost and billing data.</li> <li>Limited information on imaging variables</li> <li>Few repositories of multi-payor claims.</li> <li>Subject to coding errors and incomplete capture of number and severity of comorbidities.</li> </ul>	
Mobile or Wearable Technology	<ul> <li>Provides near-continuous or continuous physiologic information.</li> <li>Few metrics are validated against clinical outcomes.</li> <li>Proprietary control limits access to data.</li> </ul>	None currently available for echocardiography	
National Health/ Vital Status Repositories	Source of death information across sites (e.g. National Death Index or Social Security Death Master File)	None currently available for echocardiography	

	Outcomes Data	Echocardiographic Data
Data Source	Advantages/Disadvantages	Advantages/Disadvantages
	Comprehensiveness and data quality varies	

#### Table 2:

#### Domains of Potential Confounding Variables in Outcomes Research

Domain	Examples of Variables in Each Domain
Demographics	Age, sex, race, country of origin
Clinical comorbidities	Myocardial infarction, diabetes mellitus, atrial fibrillation, hypertension
Imaging variables	Left ventricular systolic function and chamber dimensions, degree of hypertrophy, estimated pulmonary artery systolic pressure
Socioeconomic	Income, education, occupation, presence of health insurance, neighborhood of residence
Functional	Frailty, disability, completion of ADLs/IADLs, frequent falls, need for assistive durable medical equipment
Health behaviors	Smoking, diet, physical activity, illicit drug use
Acuity of underlying condition	Principal diagnosis, physiologic stability
Perceptions	Health-related quality of life and overall health status, cultural and religious beliefs, preferences around treatment