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Brain arterial dilatation modifies the association between extracranial pulsatile hemodynamics and brain perivascular spaces: the Northern Manhattan Study

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Abstract

Pulsatile hemodynamics are associated with brain small perivascular spaces (SPVS). It is unknown whether the stiffness of intermediary arteries connecting the aorta and brain modifies this association. Participants from the Northern Manhattan Study were assessed for SPVS (defined as 3mm T1 voids) and white matter hyperintensity volume (WMH) using MRI. Middle (MCA) and anterior cerebral arterial (ACA) diameters (measured on time-of-flight MRA) and CCA strain (assessed by ultrasound) were used as surrogates of stiffness. Brachial and aortic pulse pressure (PP) and aortic augmentation index (Aix, assessed by applanation tonometry) were used as markers of pulsatility. We tested whether stiffness in intermediary arteries modifies the association between extracranial pulsatility with SPVS and WMH. We found that among 941 participants (mean age 71 ± 9 years, 60% women, 66% Hispanic), the right MCA/ACA diameter was associated with right anterior SPVS $(B=0.177, P=0.002)$. Brachial PP was associated with right anterior

CONFLICT OF INTEREST:

Jose Gutierrez, MD, MPH : Dr Gutierrez receives compensation for serving as an expert witness in medical litigation. Noam Alperin, PhD: Shareholder in Alperin Noninvasive Diagnostics, Inc

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Clinton B Wright, MD, MS: Dr. Wright receives royalties from UpToDate.com for two chapters on vascular dementia. Mitchell SV Elkind, MD, MS: Dr. Elkind receives compensation for providing consultative services for Biotelemetry/Cardionet, BMS-Pfizer Partnership, Boehringer-Ingelheim, and Sanofi-Regeneron Partnership; receives compensation for serving as an expert witness in litigation for BMS-Sanofi (Plavix), Merck/Organon (Nuvaring), and Hi-Tech Pharmaceuticals (dimethylamylamine); serves on the National, Founders Affiliate, and New York City chapter boards of the American Heart Association/American Stroke Association; and receives royalties from UptoDate for chapters related to stroke. No other author report conflict of interests rlated to this work.

SPVS ($B=0.003$, $P=0.02$), and the effect size was bigger with right MCA/ACA diameter in the upper tertile $(P=0.001$ for the interaction). The association between right CCA strain and ipsilateral SPVS was modified by MCA/ACA diameter, with the largest effect size in those with ipsilateral MCA/ACA diameter in the upper tertile (P=0.001 for the interaction). Similar doseeffects and statistical interactions were replicated using aortic AIx or aortic PP. We found no evidence of effect modification between pulsatile measures and WMH by stiffness measures. In summary, pulsatile hemodynamics relate to brain SPVS, and the association is the strongest among individuals with dilated brain arteries.

Keywords

perivascular spaces; brain arterial dilatation; dolichoectasia; stiffness; pulse pressure

INTRODUCTION:

Arterial stiffness is inherent to aging, and it has been associated with increased risk of cardiac and cerebrovascular events.¹ The aorta exhibits the earliest pathological correlates of stiffness and subsequently, it progresses centrifugally.^{2–4} As aortic arterial stiffness progresses, the pulse wave velocity increases.⁵ The pulsatility that reaches end organs as the pulse wave propagates through the vascular system depends on the diameter and geometrical configuration of the large arteries distal to the aorta, $6, 7$ their residual elasticity, 8 and the resistance to flow (determined primarily by the arteriolar density of the organ supplied).^{9, 10} Organs with low resistance to flow, such as the brain or the kidneys, may be especially susceptible to aberrant pulsatile hemodynamics. In fact, there is a growing body of literature relating surrogates of arterial stiffness with imaging biomarkers of cerebrovascular disease such as dilated perivascular spaces (PVS), and white matter hyperintensities $(WMH)^{11, 12}$ as well as to stroke, dementia and amyloid deposition.^{13–15} We have previously reported that systemic pulsatile hemodynamics are associated with PVS and not WMH.Dilatation of the PVS sourrounding brain capillaries an arterioles may reflect increase endothelial hemodynamic stress and inflammation,¹⁶ whereas WMH are more typically associated with brain blood barrier dysruption and hypoperfusion.^{17, 18}

Brain arterial dilatation is an imaging biomarker of vascular risk.^{19, 20} Extreme brain arterial luminal dilatation, usually defined as > 2 standard deviations above the mean arterial diameter of a given artery, is associated with stroke and vascular death.^{21, 22} Pathologically, progressive luminal dilatation of the brain arteries relates to non-atherosclerotic aging, and it is accompanied by elastin loss, disruption of the internal elastic lamina, relatively thickning of the media and the arterial wall.²³ Consequently, brain arterial aging is likely to cause arterial stiffness by decreasing the elastic modulus of the arterial wall. It is unlikely, however, that brain arterial aging occurs independent of arterial changes in the systemic circulation. In this context, only rarely do the brain arteries exhibit changes not noted in the more proximal large arteries.^{24, 25} Consequently, brain arterial dilatation may be a marker of widespread systemic arterial stiffness. In this same context, the same inference may be applicable to common carotid artery (CCA) stiffness. Therefore, we hypothesize that widespread arterial stiffness, as evidenced by greater CCA strain and/or brain arterial

dilatation, may magnify the effects of pulsatile hemodynamics in the brain parenchyma by facilitating pulse wave propagation.

METHODS:

We used data from the Northern Manhattan Study (NOMAS) cohort to test our hypothesis. NOMAS represents a multiethnic stroke-free and population-based study that has followed participants since 1993.²⁶ In 2003, surviving participants who remained stroke free were invited to undergo a brain MRI, which also included time-of-flight MRA. Age, sex, and ethnicity were captured by self-report. Hypertension, diabetes and hypercholesterolemia were determined by either self-report and/or evidence of medication use related to these vascular risk factors, and/or objective evidence of increased blood pressure (systolic blood pressure [SBP]> 140 or diastolic blood pressure [DBP]> 90), fasting glucose $\frac{126 \text{ mg}}{d}$, or total cholesterol 240 mg/dl.¹¹ Cardiac disease was defined by self-report of prior coronary artery disease, angina, myocardial infarction or bypass surgery. Smoking referred to current smoking at the time of the MRI.

We used a pocket aneroid sphygmomanometer for manual brachial blood pressure (BP) measurements during the MRI visit. The brachial BP was taken twice $(>1$ hour apart), first within the first hour of arrival to the Neurological Institute at Columbia University Medical Center and second after 10 minutes of rest. We averaged both brachial BP measurements to obtain the MRI visit average brachial DBP and SBP. Brachial pulse pressure (PP) was calculated by subtracting the DBP from SBP and brachial mean arterial pressure (MAP) was calculated as $(SBP + (2*DBP))/3$.

MRI measurements:

The brain MRI was acquired in a 1.5-T MRI system (Philips Medical Systems). The timeof-flight MRA was acquired with a field of view 15 cm, 1 mm effective slice thickness, acquisition matrix interpolated to 256×228 matrix, flip angle 25 degrees, TR 20 ms and TE 2.7 ms and the FLAIR images with a multisection turbo spin-echo mode with an FOV of 250 mm, rectangular FOV of 80%, acquisition matrix of 192×133 scaled to 256×256 in reconstruction, 3-mm section thickness with no gap, a TE of 144 ms, a TR of 5500 ms, an inversion recovery delay of 1900 ms, and a flip angle of 90°.

Brain arterial diameters were obtained using in-house software with automated vessel centerline tracking which yielded the luminal diameter, with good to excellent reliability.²¹ Briefly, we measured the diameter of brain large arteries proximal to the circle of Willis. Using the Blom method, 27 we transformed the anterior (ACA) and middle cerebral arteries (MCA) diameters to a normal distribution because of their naturally different size, to then sum and average both diameters. We used the transformed ACA/MCA mean diameter continuously and categorized arbitrarily into tertiles, with a higher tertile or a larger diameter interpreted as indicative of greater brain arterial stiffness.

Brain small PVS (SPVS) were rated using a semi-quantitative scale that separately considers 14 anatomical regions of the brain with a score of 0 to 2 per region (possible score range: 0– 28). Small PVS were rated combining T1 and FLAIR images, and were defined as T1

hypodensities of < 3 mm in effective diameter with no associated FLAIR hyperintensity. This method has good inter- and intra-rater reliabilities.²⁸ To relate the transformed ACA/MCA mean diameter with the ipsilateral SPVS score, we included only SPVS in the anterior basal ganglia and the frontal and parietal lobes (possible score range of 0–6), given that these areas are predominantly supplied by the combination of the ACA and the MCA blood flow. We also noted the presence or absence of the anterior communicating artery (acomm), which when present, communicates both ACAs.

Volumetric WMH distribution across 14 brain regions (brainstem, cerebellum, and bilateral frontal, occipital, temporal, and parietal lobes, and bilateral anterior and posterior periventricular white matter, defined as within 1 cm of the lateral ventricles) was determined separately for each hemisphere. The brain atlas was individually projected on to each FLAIR image using the inverse transform calculated in the first step. The volume voxels overlapping with the total WMH mask from the second step provided regional WMH volumes. For the purpose on this analysis, we only used the WMH volume downstream from the ACA/MCA arterial territory, i.e. frontal lobe (subcortical and periventricular as well as subcortical parietal lobe excluding the posterior wall of the lateral ventricles and posterior caps, as these may relate to posterior cerebral arteries.

Common carotid artery measurements:

We performed a high-resolution carotid ultrasound using a GE LOGIQ 700 system (GE Healthcare, Milwaukee, WI) equipped with a multifrequency 9/13-MHz linear array transducer. We collected doppler measurements only on the right common carotid (CCA). The right CCA was imaged in transverse (short axis) and longitudinal planes (anterior, lateral, and posterior views) with standardized scanning and reading protocols, as previously described.12 A real-time digital clip of the CCA was recorded for 10 seconds. We measured the CCA intraluminal systolic and diastolic diameters off-line with IMAGE-Pro analysis software. We traced the best-visualized intima-media boundaries from up to 10 cardiac cycles on the M mode ultrasound and computed the systolic (SDIAM) and diastolic (DDIAM) intraluminal CCA diameters that were then averaged. We defined CCA strain as equal to (SDIAM-DDIAM)/DDIAM.12 We used CCA strain as a surrogate measure of carotid stiffness.

Aortic measurements:

Pulse wave analysis of the radial artery by applanation tonometry was performed using a commercially available device (SphygmoCor, Pulse Wave Analysis System, AtCor Medical) in a subsample of the NOMAS cohort.²⁹ Briefly, central SBP, DBP, MAP and PP were calculated from the radial pulse wave by a validated generalized transfer function.³⁰ The aortic augmented pressure from the reflected wave was measured as the difference between central SBP and the pressure at the onset of the reflected wave from the lower body. The aortic augmentation index (AIx), an index of wave reflection, was calculated as the ratio between the augmented pressure and central PP expressed as percent.

Statistical analyses:

We used *chi*-squared and student's t tests to assess differences between the sample used for this analysis and the whole NOMAS MRI sample. The outcome measures for this analysis were the lateralized SPVS score and WMH. First, we evaluated the association between brain arterial diameters with ipsilateral SPVS score and WMH and used the contralateral SPVS score and WMH as control, stratified by the presence or absence of the acomm. This stratification is justified by the previously noted interrelationship between brain arterial diameters on the contralateral hemisphere depending on whether an acomm was present or not.31 All subsequent models were restricted to right-sided anterior SPVS score, WMH partial volume, transformed MCA/ACA mean diameter and CCA strain. The main exposures were brachial and aortic PP and aortic AIx, and the two possible effect modifiers were brain arterial diameters and right CCA strain. We used Pearson's correlation to assess the unadjusted correlation between exposures and effect modifiers. We used general linear models with an identity link to assess the relationship between exposure (brachial and aortic PP and aortic AIx) and outcome (right anterior SPVS and right WMH partial volume) as well as to stratify analyses by effect modifiers (tertiles of right transformed MCA/ACA mean diameter and right CCA strain). A P value < 0.05 was considered statistically significant. The analyses were carried out with SAS version 9.4 (SAS Institute, Cary, NC).

RESULTS:

Sample description:

The sample included 941 NOMAS participants (mean age 71 ± 9 years, 60% women, 66% Hispanic) with concomitant (i.e. within one year) carotid ultrasound and brain MRA while only 434 participants had also concomitant aortic measures. There were no significant differences between the NOMAS participants included in this study and the rest of the MRI cohort, with the exception of dyslipidemia (Table 1).

Correlation between exposure variables:

Brachial PP correlated with aortic PP (R=0.53, P<0.001) and brachial MAP (R=0.42, P<0.001) and to a lesser extent with aortic MAP (R=0.16, P=0.001) or Aix (R=0.21, P=0.001). Aortic PP correlated with Aix (R=0.48, P<0.001) and aortic MAP (R=0.46, P<0.001) and to a lesser extent with brachial MAP (R=0.29, P=0.001). Aortic AIx collated better with aortic MAP (R=0.27, P=0.001) than with brachial MAP (R=0.09, P=0.02).

Transformed MCA/ACA mean diameter correlations with SPVS:

The transformed right MCA/ACA mean diameter was associated with ipsilateral SPVS score (B=0.177, P=0.002, Table 2) and this association persisted after adjusting for demographic and vascular risk factors (B=0.207, P<0.001, Figure 1). A similar association was noted between the transformed left MCA/ACA mean diameter with ipsilateral SPVS score but it did not reach statistical significance (B=0.056, P=0.31). The presence of an acomm modified the relationship between anterior brain arterial diameters and the contralateral SPVS score. The right MCA/ACA mean diameter related to the left SPVS score only in the presence of an acomm (B=0.309, P=0.001) whereas the transformed left MCA/ACA mean diameter

related positively to the right anterior SPVS score in the presence of acomm (B=0.132, P=0.08) but negatively in the absence of acomm (B=−0.018, P=0.82). The transformed right MCA/ACA mean diameter associated negatively with ipsilateral WMH volume (B=−0.259 \pm 0.104, P=0.002) but this association no longer persisted after adjusting for demographic and vascular risk factors. There were no other significant association between brain arterial diameters with ipsilateral or contralateral WMH volumes in adjusted models.

Effect modification of the association between pulsatile hemodynamics with SPVS score and WMH by ipsilateral brain arterial diameters and carotid stiffness:

Brachial PP was associated with right anterior SPVS score $(B=0.007, P=0.04)$ independent of demographic and clinical factors described in table 1. The associations of brachial PP with the right anterior SPVS score was greatest among participants with a transformed right MCA/ACA mean diameter in the upper tertile compared with those in the mid or lower tertiles (P=0.001 for the trend). An additional effect modification was noted between brachial PP and right anterior SPVS score with right CCA strain. The joint effect of brachial PP and transformed right MCA/ACA mean diameter on the right anterior SPVS score was higher among participants with carotid stiffness in the mid or high tertile compared with the lower tertile (P<0.001 for the trend). Conversely, the effects of carotid stiffness were only significant among participants with a transformed average right MCA/ACA diameter in the upper tertile $(B=0.001, P=0.001)$ compared with the mid or the lowest tertile $(P=0.001$ for the trend). Substituting brachial PP with aortic AIx or aortic PP in the subsample with available aortic measures reproduced the effect modification of brain arterial diameters with the ipsilateral downstream SPVS score (table 3).

Using the adjusted model from table 3 or 4, brachial $(B=0.036\pm0.009, P<0.001)$ or aortic MAP (0.026±0.012, P=0.04) but not brachial PP (B=−0.010±0.007, P=0.12) or aortic PP (−0.003±0.010, P=0.74) were associated with right WMH volume. Aix was associated with WMH volume $(0.040\pm0.016, P=0.01)$, however. Using the same models as for SPVS we did not find effect modifications by carotid strain or brain arterial diameter in the association between any of three measures of pulsatile hemodynamics with WMH volume.

DISCUSSION:

There is a strong theoretical argument that the status of the intermediary arteries between the aorta and the brain may modify the effects of central arterial stiffness with cerebrovascular disease. In this analysis, we provide evidence that brain arterial dilation, a marker of brain arterial stiffness, is associated with brain SPVS and not with WMH. Furthermore, brachial or aortic PP and aortic AIx association with brains SPVS is modified by the status of the intermediary arteries, confirming out initial hypothesis. We did not find an association between brachial or aortic PP with WMH volume independent of the association with MAP, a finding consistent with what we previously reported.¹¹ We did find association between Aix and WMH volume, but there was no effect modification by stiffness in the intermediary arteries (table 4). These findings support the hypothesis that measures of stiffness in the intermediary arteries connecting the aorta to the brain magnify the effects of pulsatile hemodynamics as it relates to SPVS and not WMH volume. The evidence provided here also

supports the argument that SPVS and WMH, although both traditionally referred as imaging biomarkers of small artery disease, may have distinct physiopathology. Dilated brain arteries are associated with downstream arteriolar dilatation, 32 which in addition to the decreased impedance to flow may expossse brain capillaries to systemic hemodynamics from which they should be protected in physiological circumstances via cerebral autoregulation.³³

Brain SPVS are biomarkers of vascular risk and death, and in particular of myocardial infarction.28 The strong association between SPVS and brachial or aortic PP as compared with brachial or aortic MAP,¹¹ the association of SPVS with myocardial infarction,²⁸ and the association between SPVS with brain arterial dilatation presented here suggest that brain SPVS may represent end-organ damage related to arterial stiffness. Although other studies have found an association between measures of arterial stiffness and WMH,¹⁵ it is not clear whether the effects of arterial stiffness would remain significant after adjusting for MAP. As we have demonstrated before, non-atherosclerotic brain arterial aging consists of brain arterial dilatation and elastin loss, and it relates to Alzheimer dementia independent of brain large artery atherosclerosis and brain infarctions.²³ Brain arterial dilatation in the anterior circulation relates to carotid intima-media thickness and carotid plaques, both of which presumably decrease compliance of the carotid artery.31 Because brain SPVS have been related to Alzheimer dementia independent of amyloid deposition,³⁴ and central measures of arterial stiffness have been related to poorer cognitive performance and dementia,13 brain PVS and their underlying pathophysiology most likely related to arterial stiffness may represent a vascular contribution to Alzheimer dementia. There is conflicting data on whether the contribution may be amyloid mediated or not, 15 , 34 but it is plausible that strategies to curb arterial stiffness or maintain arterial elasticity may alter cerebrovascular health and consequently modify its contribution to Alzheimer dementia.

Atherosclerosis is perhaps the most studied arterial disease, but as we have pointed out in brain arteries, more than half of the brain arteries in individuals over the age of 80 have no pathological evidence of cholesterol deposition, either loosely arranged or concentrated in a necrotic core.35 The idea that atherosclerosis is not the only arterial phenotype that relates to cerebrovascular health is also supported by studies of non-cerebral arteries. For example, the prevalence of moderate carotid stenosis is approximately 4 % in the general population and the prevalence of severe stenosis is even lower.³⁶ Although aortic plaques are overall more prevalent in 50 year or older adults than carotid plaques (38% of the women and 41% of the men), atherosclerotic plaques in the thoracic aorta are much less prevalent $(-6%)$ and all together, the majority of old adults do not have atherosclerotic plaques.^{37, 38} Absence of atherosclerosis does not indicate absence of arterial disease, however. For example, in the cervical carotid, intima media thickness results from diffuse intima thickening, 39 which may be the result of compensatory process to chronic blood flow and not necessarily related to carotid plaques and or atherosclerosis.^{40, 41} Diffuse intima thickening and elastin loss accompanies non-atherosclerotic aging of brain arteries and the aorta.^{2, 23} As a counterargument to the idea that non-atherosclerotic arterial aging is distinct from atherosclerosis, it may be argued that intima thickening is a predisposing lesion to develop atherosclerosis and that a given arterial segment with intima thickening will eventually develop atherosclerosis. It is fair to say, however, that loss of elastic properties of the artery

and wall thickening suffice to explain increased arterial stiffness, $5, 42, 43$ and the end-organ damage related to stiffness may precede any possible future development of atherosclerosis.

The results of these analyses should be considered in the setting of their limitations. It is less certain whether this result would be applicable to other populations. We do not have carotidfemoral pulse wave velocity, considered the gold standard for central arterial stiffness. As the ejection fraction decreases with age so does the aortic AIx while the pulse wave velocity increases exponentially after age 60.⁵ Based on this, the aortic AIx may be a less reliable biomarker of arterial stiffness in populations over 60 years, which is a limitation of this study. The fact that we were able to demonstrate aortic AIx as an effect modifier of the association between brain PVS and brain arterial diameters suggests that with an even more precise measure of central arterial stiffness such as carotid-femoral pulse wave velocity, the association may be even stronger.

PERSPECTIVES:

In summary, we confirmed the hypothesis that brain arterial dilatation is associated with brain SPVS. Greater brain arterial dilatation and/or carotid stiffness magnify the association between pulsatile hemodynamics with brain SPVS. Discovering ways to modify arterial stiffness or preserve arterial elasticity may help offset the effects of systemic arterial disease in the brain parenchyma and possibly in its function.

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Figure 1: Correlation between dilatation of brain arteries and ipsilateral downstream perivascular spaces.

In panel A, the right anterior and middle cerebral arteries seem dilated, possibly in relationship to compensatory changes given the absence of a contralateral first segment of the anterior cerebral artery. The brain MRI shows evidence of dilated perivascular spaces in the basal ganglia, more demarcated in the right caudate and anterior putamen regions, both which are perfused through penetrating arteries arising from the ipsilateral first segments of the anterior and middle cerebral arteries. On the contralateral basal ganglia, there are dilated perivascular spaces which appear smaller and less well demarcated.

Table 1:

Description of the population studied

Abbreviations: N, number; SD, standard deviation; mm, millimeters; MCA, middle cerebral artery; ACA, anterior cerebral artery.

Table 2:

Relationship between brain arterial diameters and imaging biomarkers of cerebrovascular disease.

Analytic note: Models adjusted for age, sex, ethnicity, diabetes, hypertension, use of antihypertensives at the time of the brain MRI, hypercholesterolemia, smoking and prior cardiac disease.

Abbreviations: SPVS, small perivascular spaces; WMH, white matter hyperintensity; SD, standard deviation; ACA, anterior cerebral artery; MCA, middle cerebral artery; Acomm, anterior communicating artery.

Table 3:

Association between pulsatile systemic hemodynamics, right sided brain arterial diameters and right anterior **small perivascular spaces score**

Analytic notes:

-The predicted variable is right anterior small perivascular spaces score

-Models adjusted for age, sex, ethnicity, diabetes, mean arterial pressure (brachial or aortic, to match the pulse pressure measure location), antihypertensive medications, hypercholesterolemia, smoking and prior cardiac disease.

-For models including carotid Doppler data, we also adjusted for time between carotid Doppler and brain MRI.

-For models including aortic measures, we also adjusted for time between echocardiogram measures, and brain MRI.

-For models including aortic augmentation index, we also adjusted for heart rate at the time of the measurements.

Abbreviations: SPVS, small perivascular spaces; SD, standard deviation; ACA, anterior cerebral artery; MCA, middle cerebral artery.

 \bar{P} value < 0.05

Table 4:

Association between pulsatile systemic hemodynamics, right sided brain arterial diameters and right anterior small perivascular spaces score

Analytic notes:

-The predicted variable is right anterior small perivascular spaces score

-Models adjusted for age, sex, ethnicity, diabetes, mean arterial pressure (brachial or aortic, to match the pulse pressure measure location), antihypertensive medications, hypercholesterolemia, smoking and prior cardiac disease.

-For models including carotid Doppler data, we also adjusted for time between carotid Doppler and brain MRI.

-For models including aortic measures, we adjusted for the time between echocardiogram measures, and brain MRI.

-For models including aortic augmentation index, we also adjusted for heart rate at the time of the measurements.

Abbreviations: SPVS, small perivascular spaces; SD, standard deviation; ACA, anterior cerebral artery; MCA, middle cerebral artery.