REVIEW ARTICLE

Baroreflex Sensitivity: Measurement and Clinical Implications

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Alterations of the baroreceptor-heart rate reflex (baroreflex sensitivity, BRS) contribute to the reciprocal reduction of parasympathetic activity and increase of sympathetic activity that accompany the development and progression of cardiovascular diseases. Therefore, the measurement of the baroreflex is a source of valuable information in the clinical management of cardiac disease patients, particularly in risk stratification. This article briefly recalls the pathophysiological background of baroreflex control, and reviews the most relevant methods that have been developed so far for the measurement of BRS. They include three "classic" methods: (i) the use of vasoactive drugs, particularly the α -adrenoreceptor agonist phenylephrine, (ii) the Valsalva maneuver, which produces a natural challenge for the baroreceptors by voluntarily increasing intrathoracic and abdominal pressure through straining, and (iii) the neck chamber technique, which allows a selective activation/deactivation of carotid baroreceptors by application of a negative/positive pressure to the neck region. Two more recent methods based on the analysis of spontaneous oscillations of systolic arterial pressure and RR interval are also reviewed: (i) the sequence method, which analyzes the relationship between increasing/decreasing ramps of blood pressure and related increasing/decreasing changes in RR interval through linear regression, and (ii) spectral methods, which assess the relationship (in terms of gain) between specific oscillatory components of the two signals. The limitations of the coherence criterion for the computation of spectral BRS are discussed, and recent proposals for overcoming them are presented. Most relevant clinical applications of BRS measurement are finally reviewed with particular reference to patients with myocardial infarction and heart failure. Ann Noninvasive Electrocardiol 2008;13(2):191-207

baroreceptors; baroreflex sensitivity; autonomic nervous system

The evaluation of baroreflex sensitivity (BRS) is an established tool for the assessment of autonomic control of the cardiovascular system. Besides the well-acknowledged physiological role in the maintenance of circulatory homeostasis, evidence has been accumulated that changes in the characteristics of baroreflex function reflect alterations in autonomic control of the cardiovascular system.¹ Thus, measuring the baroreflex has been shown to be a source of valuable information in the clinical management, particularly in prognostic evaluation and assessment of treatment effect, in a variety of cardiac diseases. Although several methods have been developed to study baroreflex function in humans, most of these techniques are of limited value for a daily practice in the clinical setting.

This article will briefly address the pathophysiological background of baroreflex control, will focus on the advantages and limitations of the different methodologies in the quantification of baroreflex activity, and will discuss the main clinical findings and the most relevant implications of the analysis of BRS for risk stratification in patients after myocardial infarction and in those with heart failure.

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PATHOPHYSIOLOGICAL BACK GROUND

The arterial baroreceptor reflex system plays a dominant role in preventing short-term wide fluctuations of arterial blood pressure, as repeatedly shown in several experimental data demonstrating that, in many animal species, arterial baroreceptor denervation results in an increase of the variability of blood pressure but without a long-term change in its absolute level.² Arterial baroreceptors provide the central nervous system with a continuous stream of information on changes in blood pressure (which are sensed by the stretch receptors in the wall of the carotid sinuses and aortic arch), on the basis of which efferent autonomic neural activity is dynamically modulated. Activation of arterial baroreceptors by a rise in systemic arterial pressure leads to an increase of the discharge of vagal cardioinhibitory neurons and a decrease in the discharge of sympathetic neurons both to the heart and peripheral blood vessels. This results in bradycardia, decreased cardiac contractility and decreased peripheral vascular resistance, and venous return.^{3,4} Conversely, a decrease in systemic arterial pressure causes the deactivation of baroreceptors with subsequent enhancement of sympathetic activity and vagal inhibition, leading to tachycardia and increase of cardiac contractility, vascular resistance, and venous return.

There are significant differences in the time delay of the response mediated by parasympathetic and sympathetic efferents. Following a rapid rise in arterial pressure, parasympathetic activation produces an immediate reaction (between 200 and 600 ms).^{5–7} On the contrary, the reaction to cardiac and vasomotor sympathetic activation occurs with a 2– 3 seconds delay and reaches maximal effect more slowly.¹ An even more sluggish response has been observed in the baroreflex control of venous return.⁸ Therefore, the ability of the baroreflex to control heart rate on a beat-to-beat basis is exerted through vagal but not sympathetic activity.

Many central neural structures as well as humoral, behavioral, and environmental factors are also involved in the regulation of the cardiovascular system and contribute to the functioning of the baroreflex. Respiration continuously interacts with baroreflex modulation of heart rate: inspiration decreases while expiration increases baroreceptor stimulation of vagal motoneurons, a phenomenon known as respiratory gate.⁹ In physiological conditions and with normal levels of arterial pressure, baroreceptors are constantly active and exert a continuous inhibition on sympathetic efferent activity. Studies in humans support a major role for carotid as compared to other baroreceptor areas, as shown by carotid sinus denervation, which results in an increase in arterial pressure and its variability insufficiently buffered by other reflex mechanisms on the long term.¹⁰

Cardiovascular diseases are often accompanied by an impairment of baroreflex mechanisms, with a reduction of inhibitory activity and an imbalance in the physiological sympathetic-vagal outflow to the heart, thus resulting in a chronic adrenergic activation. Indeed, a reduction in baroreflex control of heart rate has been reported in hypertension, coronary artery disease, myocardial infarction, and heart failure.¹ Sustained baroreflex-mediated increase in sympathetic activity may contribute to increased end-organ damage and to the progression of the underlying disease, and a blunted baroreflex gain is predictive of increased cardiovascular risk in postmyocardial infarction and heart failure patients.

METHODOLOGY OF BAROREFLEX ASSESSMENT

In humans several techniques have been used to measure baroreflex gain. Quantification of BRS has been obtained by measuring the change in heart rate in response to changes in blood pressure induced by injection of vasoactive drugs that have minimal effect on the sinus node. However, the need for intravenous cannulation and the use of a drug limits the applicability of these techniques. Noninvasive alternatives are mainly represented by the Valsalva maneuver, the neck chamber technique (which provides a selective manipulation of carotid baroreceptors), and the analysis of spontaneous variations of blood pressure and RR interval.

Pharmacological Methods

Among the pharmacological perturbations, vasoconstrictor drugs have been the most widely used in the clinical setting. Smyth et al.¹¹ first measured the bradycardia produced in humans by an intravenous bolus of a pressor drug. The use of angiotensin as a pressor agent was subsequently replaced by the use of phenylephrine, a pure α -adrenoreceptor agonist, devoid of direct effects on cardiac contractility and the central nervous system.

The administration of the drug is performed in standardized laboratory conditions, including a quiet temperature-controlled environment, during a continuous and simultaneous recording of ECG and beat-to-beat arterial pressure. Graded bolus injections of phenylephrine, beginning with 1-2 μ g/kg in normal subjects and increasing with increments of 25–50 μ g, are administered until systolic arterial pressure increases >15 mmHg and <40 mmHg (typically between 20 and 30 mmHg). In patients with chronic heart failure, dosages up to $10 \,\mu$ g/kg have been safely administered.¹² It is commonly assumed that, given the rapidity of vagal response, the relationship between systolic pressure and RR interval changes is linear. Thus, consecutive systolic pressure values and corresponding RR intervals with one-beat delay are fitted by a linear regression in the interval between the beginning and end of systolic pressure increase. The quantitative measure of the sensitivity of the baroreflex is provided by the slope of the fitted line, and is commonly expressed as the change in RR interval in milliseconds per millimeter of mercury change in systolic pressure.

The strength of the linear association between systolic arterial pressure and RR interval is assessed by Pearson's correlation coefficient r. The value of r is dependent on the sensitivity of the reflex and on the ratio between the variance of the noise and the variance of the pressure stimulus (i.e., the noise-tosignal ratio). Assuming the latter two quantities are fairly constant among individuals of the same population, the correlation coefficient decreases nonlinearly as the sensitivity decreases; that is, the lower the measured slope, the lower the correlation coefficient will tend to be. Moreover, the lower the slope, the more likely the correlation coefficient will be nonsignificant. Therefore, low or very low correlation coefficients and statistical nonsignificance are an unavoidable consequence of a severely impaired BRS. It should be stressed that the statistical significance of the correlation coefficient depends also critically on the number of points (beats) included in the analysis; this is because reducing the number of points will increase the uncertainty of the estimated r and therefore will make statistical significance more difficult to reach.

Similarly to the other methods of estimation of BRS, measurements obtained by the phenylephrine test in the same individuals under identical experimental conditions are subject to random variations

from test to test. Part of this variability is simply due to sampling variability of the estimated slope, as this is nothing but a statistics computed on a sample of systolic pressure and RR interval pairs. Another source of variability derives from test-totest changes in the rate of bolus injection and in the related steepness of arterial pressure increase, and from the (subjective) choice of the analysis window. Finally, an important part of variability is likely due to an intrinsic lability of the reflex itself, because autonomic control is under the influence of such factors as mood, alertness, and mental activity, which are very difficult to control for in any study. Changes in respiration may also contribute to changes in the reflex. Accordingly, three or more administrations of phenylephrine are performed at 5-10 minutes intervals and the mean slope is taken as measurement of BRS.

Several experimental studies have consistently suggested that the RR interval prolongation reflexly elicited by a bolus injection of phenylephrine is mainly mediated by increased cardiac parasympathetic outflow.¹ Figures 1 and 2 display the baroreceptor-heart rate reflex in a normal and pathological subject: a steep slope is regarded as the result of effective vagal reflexes (Fig. 1), whereas a flat slope may be due to an abnormal vagal response or to the inability of vagal reflexes to counterbalance an ongoing sympathetic activation (Fig. 2).

Using the phenylephrine method, average values of about 15 ms/mmHg have been reported in normal subjects (Table 1). Age and blood pressure have been demonstrated to be the most important correlates of BRS. Although the exact mechanism is not known, loss of arterial distensibility is generally regarded to be the main mechanism responsible for reduction of BRS in older subjects. Baroreflex dysfunction in postmyocardial infarction patients has been quantified by a mean value of 7 ms/mmHg (Table 2) and it is largely more pronounced in patients with heart failure (Table 3). In the more advanced stages of the disease, values close to 0 ms/mmHg are often observed, thus describing a major derangement in reflex neural circulatory regulation. Paradoxical responses to baroreceptor stimulation, characterized by tachycardia and negative estimates of the baroreflex gain, have also been described, frequently associated with severe mitral regurgitation.¹²

While vasoconstrictor drugs mainly explore the vagal component of the baroreceptor control of heart rate, the excitation of the sinus node that accompanies a reduction in arterial pressure caused



Figure 1. Example of a normal BRS. On the left, beat-to-beat changes in systolic arterial pressure (SAP) (dotted line) and in RR intervals (solid line) with respect to baseline value are reported. Analysis is performed from the beginning to the end of the increase in SAP with the attendant changes in RR interval (points included between vertical marks). These points are used for calculation of the regression line (on the right). The increase in SAP, >20 mmHg, is associated with an increase in RR interval of about 400 ms. The calculated slope is 21.8 ms/ mmHg increase in SAP. Such a slope identifies a baroreceptor response characterized by a prevailing increase in vagal efferent neural traffic to the sinoatrial node.

by the administration of vasodilators is partly mediated through sympathetic mechanisms.¹ Therefore these drugs have been used to obtain information on the sympathetic limb of heart rate control. The injection of 100–200 mcg of nitroglycerin determines an immediate and progressive fall in systolic arterial pressure of about 20 mmHg over the following 8–15 beats.³⁵ Baroreflex slopes obtained by vasodilators are lower than those obtained by increasing arterial pressure to a similar extent, suggesting that the two responses are not mirror images;³⁶ yet a direct effect of the vasodilator drug on pacemaker cells cannot be excluded.³⁷ The lack of selectivity in the response has been claimed as one of the major limitations of the use of vasoactive drugs. Indeed, the pressure stimulus causes a simultaneous activation of multiple reflexogenic areas, particularly cardiopulmonary receptors, which may interfere with or even counteract the arterial baroreceptor reflex. Moreover, vasoactive drugs may directly affect the transduction properties of baroreceptors, the central nervous system part of the reflex arc and the response of the sinus node.¹

Early studies on the baroreflex were carried out monitoring blood pressure through cannulation of



Figure 2. Example of a poor BRS. Detailed description as in Figure 2. The increase in SAP is accompanied by a limited change in RR interval and the calculated slope (lower than 3 ms/mmHg) identifies a response characterized by weak vagal reflexes or the inability of vagal reflexes to counterbalance increased sympathetic activity.

		Table 1. BF	S Values in Norm	ial Subjects			
Authors	Subjects	Phenylephrine Method (see Ref. 11)	Transfer Function Method (see Ref. 14)	Alpha Coefficient (LF) (see Ref. 52)	Alpha Coefficient (HF) (see Ref. 52)	Sequence Method (see Ref. 50)	Valsalva maneuver- (see Ref. 39)
Eckberg DL et al. ¹³	12 Age: 31 years (no SD available)	16.0 ± 1.8¥					
Robbe HWJ et al. ¹⁴	3U available) 8 subjects Δαe: 77_78 vears†	18.1 ± 8.9	16.2 ± 7.3				
Laitinen T et al. ¹⁵	Age: zz-zo years 117 subjects Age: 23–39 years [†] Age: 40–59 years [†]	$19.5 \pm 1.4 \pm 10.7 \pm 1.2 \pm$					
Davies LC et al. ¹⁶	Age: 60–77 years [†] 18 subjects Age: 32 ± 13 years	$6.0 \pm 0.6 $ 19.8 \pm 11.5		15.4 ± 5.0	25.1 ± 8.3	22.5 ± 8.4	
Pinna GD et al. ¹⁷	19 subjects Age: 45 + 4 vears	12.8 (11.5–15.7)‡	7.4 (5.8–10.8)‡*				
Raczak G et al. ¹⁸	Age: 20 ± 2 years	16 ± 8.8					
Huikuri et al. **	5 / 4 subjects 188 men, age: 50 ± 6 years, 186 women, age: 50 ± 6 vears						10.5 ± 4.6
Parati G et al ⁵⁰ Tank J et al ⁵⁹	10 subjects Age: 42 ± 4 years 36 subjects Age: 50–59 years [↑]			7 ± 4	11 ± 7	$7.6 \pm 2 \text{ (up)}$ $6.4 \pm 1.5 \text{ (down)}$ $8 \pm 5 ^{(b)}$ $10 \pm 6 ^{(c)}$	8 ± 4.6
kardos A et al. 😳	I I 54 subjects Age: 18–29 years⁺ Age: 30–39 years†					13.7 (12.7; 14.7)§ (up) 13.9 (12.9; 14.7)§ (down) 9.8 (9.1; 10.4)§ (up)	
	Age: 40-49 years [†]					10.8 (10.2; 11.6)§ (down) 7.1 (6.8; 7.5)§ (up) 8.2 (7.8; 8.6)§ (down)	
Malanovsky V at al 61	Age: 50–60 years ¹ 111 subjects		つ1 5 十 10 7 ^(a)			(du) {(/ ، 6; 9، 5) ورام) (down) 7.0 (6.4; 7.6) (down)	
Gerritsen J et al. ⁶² Okazaki K et al. ⁶³	Age: 39 ± 10 years 191 subjects Age: 63 ± 7 years 10 subjects Age: 71 ± 3 years		$\begin{array}{c} 6.2 \left(5.6; 6.8 \right) \\ 8.5 \left(7.9; 9.2 \right) \\ 5.2 \pm 3.3^{*} \\ 4.6 \pm 2.2^{*(b)} \end{array}$	6.6 (6.0; 7.3)§	8.6 (7.7; 9.6)§		

Continued.

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Authors	Subjects	Phenylephrine Method (see Ref. 11)	Transfer Function Method (see Ref. 14)	Alpha Coefficient (LF) (see Ref. 52)	Alpha Coefficient (HF) (see Ref. 52)	Sequence Method (see Ref. 50)	Valsalva maneuver- (see Ref. 39)
Lucini D et al. ⁶⁴ Pinna GD et al. ⁶⁵	1 32 subjects Age: 42 ± 1 yr 31 subjects Age: 53 (46–62) [‡] (40–69) [†]		5.4 (3.9–6.8) [‡] 4.5 (3.6–7.2) [‡] (c)	23±2			
Data are expressed : †Range. ¥Mean ± S.E.M. †Median (lower quart §Geometric mean (95 *Modified transfer ft *Paced breathing at 1 ^b Paced b	is mean ± SD unless other le – upper quartile). % confidence interval). nction method (mean tran breaths/min. 2 breaths/min. 2 breaths/min. 2 breaths/min.	wise stated. BRS measu sfer function modulus in increasing systolic bloor	tements are given as n the overall LF band, s	ns/mmHg. ee ref. 17). down – RRS slor	ne calculated from	nnoaressively decre	assing svetolic

Table 1. Continued

the radial or brachial artery. More recently, the availability of noninvasive monitors based on the volume-clamp method (Finapres) has allowed to overcome this limitation, making the assessment of the baroreflex much easier. It has been shown on a large series of postmyocardial infarction patients that BRS measurements obtained by the phenylephrine method using a simultaneous invasive and noninvasive monitoring of arterial pressure are strongly correlated and have no systematic bias (constant offset).38 Moreover, the two methods provide equivalent prognostic information and are equally effective in identifying high-risk patients.38

Valsalva Maneuver

The Valsalva maneuver represents a natural challenge for the baroreceptors as autonomic reflexes are produced by voluntary abrupt transient elevations in intrathoracic and intraabdominal pressures provoked by straining. Indeed, in phase 2, tachycardia and vasoconstriction are reflexly mediated by baroreceptor deactivation, which follows the decline in venous return, while in phase 4 the sustained overshoot of blood pressure, by activating on sinoaortic baroreceptors, leads to bradycardia. It is the analysis of systolic blood pressure and heart rate during phase 4 that is mostly used to quantify BRS.^{23,39-43}

The maneuver is carried out by performing a forced expiration against a closed glottis or obstruction, for instance, a plastic pipe connected to a manometer. The recommended expiratory force, measured by the pressure increase in the manometer, amounts to 35-60 mmHg (most frequently 40 mmHg), according to the chosen protocol. The method is widely variable in that straining may be initiated after a maximal inspiration, after a "full" inspiration, or at the end of a normal inspiration. The duration of straining varies from 10 to 40 seconds (typically 15 seconds). The maneuver is generally performed in the supine position with recording of ECG and beat-to-beat arterial pressure, and is repeated three times at 5-minute intervals. To quantify BRS, a linear regression analysis is performed between systolic blood pressure and RR interval changes during the whole phase 4 or during the overshoot part.

Several studies comparing the baroreflex slopes derived from the Valsalva maneuver with those obtained by the phenylephrine method have shown a

up = BRS slope calculated blood pressure sequences.

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		Phenylephrine method	Transfer function method	Alpha coefficient (I F) (see	Alpha coefficient (HF) (see	Sequence method	Valsalva Maneuver Ísee
Authors	Subjects	(see Ref. 11)	(see Ref. 14)	Ref. 52)	Ref. 52)	(see Ref. 50)	Ref. 39)
La Rovere MT et al. ¹⁹	78 patients, age: 51 土 8 years, 7. weeks after MI	7.8 ± 4.9					
Schwartz PJ et al. ²⁰	35 patients, age: 53± 7 years, 18 ± 6 dave after MI	8.2 ± 3.7					
Bigger JT et al. ²¹	4 days aller wir 32 patients, age: 52 ± 10, LVEF: 5ス + 12%	8.1 ± 5.5					
Farrell TG et al. ²²	122 patients, age: 56 ± 9 years, 7 10 days after MI	7.4 ± 4.7					
Airaksinen KEJ et al. ²³	64 patients, (36 within 10 days of MI, 22 with stable angina, 6 healthy	8.7 ± 5.5					6.9 ± 3.5 (Ov)
La Rovere MT et al ²⁴	persons) 1284 patients, age: 57 ± 10, 16 ± 9 days after MI, 1VFE: ∧0 ± 10%	7.2 ± 4.6					
Maestri R et al. ²⁵	51 patients, age: 52 ± 9 years, 52 ± 9 with LVEF	11.6 ± 5.8	9.1 ± 4.7	12.8 ± 6.4	1 3.4 ± 9.4		
	>40% (n = 26) patients with LVEF < 40% (n = 25)	6.5 ± 3.2	7.9 ± 4.1	10.7 ± 5.5	4 9 4 6 4		
Pitzalis MV et al. ²⁶	52 patients, age: 52 ± 9 years, 11 ± 5 days after MI patients, LVEF:	9.8 ± 6.1		10.3 ± 7.2 (n = 36)	12.2 ± 11 (n = 46)	10.8 ± 8.3 (up) 10.2 ± 7.0 (down)	
Klingenheben T et al. ²⁷	47 ± 10% 411 patients, age: 58 ± 11 years, LVEF: 48 ± 12%	6.1 ± 4.9					
							Continued.

Table 2. BRS Values in Patients after Myocardial Infarction

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Authors	Subjects	Phenylephrine method (see Ref. 11)	Transfer function method (see Ref. 14)	Alpha coefficient (LF) (see Ref. 52)	Alpha coefficient (HF) (see Ref. 52)	Sequence method (see Ref. 50)	Valsalva Maneuver (see Ref. 39)
Mimura J et al. ²⁸	30 patients, age: 56 ± 10 years,	8.9±3					
	2 weeks after MI, LVEF: 50 \pm 5%						
Raczak G et al. ⁴³	63 patients, age: 53 ± 12 vears.	7.8 ± 5.9					8.1 ± 5.7 (Ov)
	LVEF: 46 ± 12%						5.2 ± 3.8 (IV)
Data are expressed *Modified transfer fu up = BRS slope calc	as mean ± SD unless otherw inction method (mean transi ulated from progressively ir	ise stated. BRS measure for function modulus in increasing systolic blooc	rements are given as the overall LF band, pressure sequence	see Ref.17). se Ref.17). s; down = BRS s	slope calculated	from progressively c	lecreasing systolic

correlation ranging from 0.27 to 0.91.23,39-42 However, the findings of individual authors are difficult to compare because of methodological differences in the choice of the analysis window. Most of these studies, moreover, have been carried out in healthy or hypertensive subjects and their applicability to patients with left ventricular dysfunction is highly questionable. In a series of 104 patients with previous myocardial infarction and different degrees of left ventricular dysfunction we have shown that BRS cannot be computed using the whole phase 4 or its overshoot part in 26% and 39% of the patients, respectively.⁴³ For both indices a much higher percentage of noncomputable Valsalva maneuver slopes was found in the group of patients with left ventricular ejection fraction <40% and in subjects who had a markedly depressed BRS according to the phenylephrine method. Therefore, notwithstanding its apparent advantages and simplicity, the Valsalva maneuver seems to be of limited clinical applicability in patients with advanced heart disease.

Reference values for the Valsalva maneuver in normal subjects, in patients after myocardial infarction and in patients with heart failure are given in Tables 1–3.

Neck Chamber

At variance with pharmacological manipulations and Valsalva maneuver, the mechanical manipulation provided by the neck chamber technique allows a selective activation or deactivation of carotid baroreceptors by application of a measurable positive or negative pneumatic pressure to the neck region. An increase in neck chamber pressure is sensed by baroreceptors as a decrease in arterial pressure and elicits a reflex response mediated by vagal withdrawal and sympathetic stimulation to the heart and vasculature, resulting in an increase of blood pressure and heart rate. Conversely, a decrease in neck chamber pressure results in reflex reduction of blood pressure and heart rate. Neck suction is easier to use and better tolerated by the subjects.⁴⁶ The negative pressure is applied in separate steps and ranges in magnitude from -7 to -40 mmHg. The maximum lengthening in RR interval observed over the three beats following the neck suction application generally represents the reflex response, and the slope of the regression of RR intervals on neck pressure values is taken as the carotid BRS. This method, although less invasive

baroreflex slope determined from the overshoot part of phase IV; IV = baroreflex slope determined from the whole of phase IV.

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pressure sequences;

blood

		Table 3. BRS Values	s in Patients wi	th Heart failure			
Authors	Subjects	Phenylephrine method (see Ref. 11) (;	Transfer function method see Ref. 14)	Alpha coefficient (LF) (see Ref. 52)	Alpha coefficient (HF) (see Ref. 52)	Sequence method (see Ref. 50)	Valsalva Maneuver (see Ref. 39)
Ellenbogen KA et al. ²⁹	11 patients, age: 50 土 3 years, いたE、16 上 つん	2.0 ± 0.3					
Vardas PE et al. ³⁰	23 patients, age: 62 ± 7 years,	2.9 ± 2.0					
Yoshikawa T. et al ³¹	LVEF: 33 ± 3% 146 patients, age: 59 ± 13 years, LVEF: 26 ± 9%, NYHA II: 26, 57, NYHA III: 36,	4.3 ± 3.7 (n = 30)					
Mortara A et al. ¹²	282 patients: 4 282 patients, age: 52 ± 9 years, LVEF: 23 ± 6%, NYHA: 24 ± 06	5.9 ± 4.0					
Davies LC et al. ¹⁶	31 subjects, age: 62 ± 12 years (25 ± 83)t LVEF: 77 ± 10%	4.4 ± 4.4	-	5.6 ± 4.1	7.1 ± 7.0	7.7 ± 6.3	
Colombo R et al. ³²	49 patients, age: 54 ± 10 years, LVEF: 22 ± 7%, NYHA I: 11, NYHA II: 23, NVHA II: 15	$\begin{array}{l} 5.5 \pm 0.6 \\ (n=41) \\ 4.8 \pm 0.6 \\ (n=32)^{(a)} \end{array}$		$\begin{array}{l} 10.5 \pm 1.2 \\ (n=22) \\ 8.7 \pm 1.2 \\ (n=20)^{(a)} \end{array}$	$\begin{array}{l} 7.4 \pm 0.8 \\ (n = 34) \\ 7.2 \pm 0.8 \\ (n = 47)^{(a)} \end{array}$		
Hoffmann J et al. ³³	160 pts, Age 48 ± 12 years, LVEF 31 ± 10% (15–45%), NYHA I- 26; NYHA II- 88: NYHA III- 46	7.5 ± 5.0					
Wolfram G et al. ³⁴	263 patients LVEF: 263 ± 10%, NYHA I: 366, NYHA II: 160, NVHA II: 67	7.9 ± 5.5 (major arrhythmic events)					
Rostagno C et al. ⁴⁵	52 patients NYHA I: 13, LVEF: 50 ± 9%, NYHA II: 20, LVEF: 39 ± 13%, NYHA III: 19, LVEF: 29 ± 8%,						$\begin{array}{c} 5.1\pm2.5;\\ 2.1\pm2.3;\\ 2.1\pm1.9\end{array}$

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Authors	Subjects	Phenylephrine method (see Ref. 11)	Transfer function method (see Ref. 14)	Alpha coefficient (LF) (see Ref. 52)	Alpha coefficient (HF) (see Ref. 52)	Sequence method (see Ref. 50)	Valsalva Maneuver (see Ref. 39)	
Pinna GD et al. ⁵⁵	228 patients, age: 52 ± 9 (26–68) [†] LVEF: 29 ± 8% NYHA I: 23, NYHA II: 115, NYHA III: 82, NYHA IV: 8			5.5 (1.7–6.6) [‡] *				
Data are expressed Range. ^t Median (lower quar [*] Modified transfer ¹ ¹ Paced breathing at	as mean ± SD unless otherwis tile-upper quartile). 16 breaths/min.	se stated. BRS measu r function modulus in	rements are given as the overall LF band,	: ms/mmHg. see Ref. 52).				

than drug injection, is used only in research laboratories for particular pathophysiological investigations.^{47,48}

Analysis of Spontaneous Oscillations in Blood Pressure and RR Interval

Based on the evidence that baroreceptors are not only activated by abrupt changes in arterial pressure, but also by its small variations continuously occurring during daily life, more recent computer-based techniques have allowed to assess the baroreceptor-heart rate reflex by analyzing spontaneous beat-to-beat fluctuations of arterial pressure and heart rate. These techniques are inherently simple, noninvasive and low cost, and allow a detailed assessment of the interaction between baroreflex function and the daily life modulation of cardiovascular parameters.49 Two basic approaches have been proposed so far: one based on "time domain" and the other on "frequency domain" measurements. For clinical purposes, a short-term (<10 minutes) supine resting recording during spontaneous and/or paced breathing is usually carried out.

The Sequence Method

up = BRS slope calculated from progressively increasing systolic blood pressure sequences; down = BRS slope calculated from progressively decreasing systolic blood pressure sequences.

The sequence method, described by Parati et al.,⁵⁰ is based on the identification of three or more consecutive beats in which progressive increases/decreases in systolic blood pressure are followed by progressive lengthening/shortening in RR interval. The threshold values for including beatto-beat systolic blood pressure and RR interval changes in a sequence are set at 1 mmHg and 6 ms, respectively. Similar to the procedure followed for the bolus injection of vasoactive drugs or for the Valsalva maneuver, the sensitivity of the reflex is obtained by computing the slope of the regression line relating changes in systolic pressure to changes in RR interval. All computed slopes are finally averaged to obtain the BRS.

The advantages of this method are twofold: (i) computations are automatic and standardized, which virtually eliminates intra- and inter-subject measurement variability, and (ii) distinct measurements are obtained for increasing and decreasing arterial pressure values, thus allowing to take into account the well-known asymmetry of baroreceptor response.



Figure 3. Behavior of the coherence between systolic arterial pressure and the RR interval as function of the gain of the baroreflex (modified from reference 17).

The baroreflex nature of these spontaneous RR interval-systolic pressure sequences was demonstrated by showing that in cats the number of sequences markedly dropped (-89%) after the surgical opening of the baroreflex loop by sinoaortic denervation.⁵¹

Spectral Methods

Evaluation of BRS by spectral methods is based on the concept that each spontaneous oscillation in blood pressure elicits an oscillation at the same frequency in RR interval by the effect of arterial baroreflex activity. Two main oscillations are usually considered: one centered around 0.1 Hz, within the low-frequency (LF) band (0.04 \div 0.15 Hz), and the other associated with respiratory activity within the high-frequency (HF) band (0.15 \div 0.40 Hz). Therefore, these methods allow a clear definition of the oscillatory components that contribute to BRS measurement.

There are some differences in the computational algorithms of spectral indexes of BRS. According to Pagani et al.,⁵² two BRS measurements are computed—one in the LF and the other in the HF band—as the square root of the ratio between RR interval and systolic pressure spectral components (autoregressive method). Measurements are retained only if the coherence between the two signals is >0.5. These two indices are usually referred to as α -LF and α -HF, respectively.

According to the transfer function method originally proposed by Robbe et al.,¹⁴ BRS is computed as the average value of the transfer function modulus (i.e., the gain) between systolic pressure and RR interval in the frequency range 0.07–0.14 Hz, considering only those points where the coherence is ≥ 0.5 . This threshold was arbitrarily chosen to guarantee reliable transfer function estimates.

The use of the coherence criterion of the Robbe method¹⁴ has recently been criticized on the grounds that checking the coherence does not per se guarantee reliable BRS measurements.53 Moreover, it often precludes the measurement in pathological subjects, because the coherence tends to zero as the baroreflex becomes severely depressed (Fig. 3).¹⁷ Furthermore, the number of points included in the computation of the average transfer function may greatly change from subject to subject, thus reducing the reliability of the measurements. According to these criticisms, new criteria for the computation of BRS aimed at overcoming the limitations of the coherence criterion have recently been evaluated.⁵⁴ Among them, the simple average of the transfer function over the whole LF band, regardless of coherence values, has shown to provide the best trade-off between measurability and accuracy.^{17,54} A representative example of the computation of BRS using this method is depicted in Figure 4. Recent studies have demonstrated the capability of this method to detect the impairment of baroreflex function in patients with structural cardiovascular disease and its clinical and prognostic relevance.17,55

Although no experimental data are currently available, a recent modeling study suggests that BRS measured by the transfer function method reflects almost exclusively the vagal control of heart rate, thus sharing this property with the phenyle-phrine technique.^{56,57}

Several investigators have suggested that spectral estimates of baroreflex gain are reliable alternatives to the phenylephrine test.^{14,52,58} Their results, however, have been derived only from small groups of normal subjects or hypertensive patients and have been based on simple correlation analysis. Using a more appropriate methodology, other investigators have assessed the agreement between spectral baroreflex measurements and those obtained by the phenylephrine technique in postmyocardial infarction and heart failure patients.^{25,26,32} These studies consistently show that, despite a substantial linear association, random differences between the two measurements can be quite large. This is not surprising, since the two techniques address two different facets of the dynamics of the baroreflex: spectral methods focus on the response to small oscillatory perturbations of arterial blood pressure around the set point, whereas the phenylephrine



Figure 4. Representative example of computation of BRS according to the modified transfer function method in a post-MI patient. From the top: (a) systolic arterial pressure (SAP) time series; (b) RR time series; (c) coherence function between SAP and HP; (d) modulus of the transfer function (gain function) between SAP and RR (solid line) with 95% confidence interval (dashed-dotted lines). The bold region of the gain function represents its portion spanning the entire LF band $(0.04 \div 0.15 \text{ Hz})$, which is averaged to compute BRS (dashed segment).

method focuses on the strength of the response to a larger and unidirectional ramp increase in arterial pressure. Moreover, the phenylephrine response, being also the result of the stimulation of other receptor areas besides arterial baroreceptors, provides information on the whole capability of the system to evoke a reflex increase in vagal activity, whereas spectral measurements would not involve such interfering "side-effects." This would be particularly relevant in patients with left ventricular dysfunction and/or mitral regurgitation, due to the acute increase in afterload brought about by vasoconstriction.

A major limitation of spectral techniques, as shown in the example of Figure 5, is their reduced measurability in patients with severe ectopic activity.⁵⁵ This is due to the need of having a sufficiently long stationary record (\geq 3 minutes), in order to obtain baroreflex estimates with acceptable accuracy.⁵³ Another potential problem may arise in pathological subjects with depressed blood pressure variability, such as, for instance, heart failure patients. In these cases the noise-to-signal ratio tends to become high and the reliability of BRS estimates decreases.^{17,52}

Reference values for the noninvasive determination of baroreflex sensitivity by time and frequency domain analysis in normal subjects, in patients after myocardial infarction and in patients with heart failure are given in Tables 1–3.



Figure 5. Representative example of the dramatic effect that a single isolated ectopic beat and its correction may have in the measurement of BRS according to the modified transfer function method. Tracings (a) show systolic arterial pressure (SAP) and RR interval time series with a ventricular premature complex at the beginning of the recording. Measurement of BRS on these signals gives 1.2 ms/mmHg, while excluding the ectopic beat from the computation gives 6.2 ms/mmHg. Tracings (b) show the same signals after correction of the ectopic beat by linear interpolation. Despite the apparent negligible effect on the fluctuation pattern of the two signals, BRS becomes 4.7 ms/mmHg, that is -24% compared to the measurement obtained without the ectopic beat (from ref. 55).

CLINICAL IMPLICATIONS OF BRS

The first hint that the analysis of BRS might provide prognostic information came from experimental observations in a canine model in which acute myocardial ischemia occurred during submaximal exercise at a site distant from a previous myocardial infarction.^{66–68} The critical findings were that: (a) 30 days after the acute myocardial infarction, the baroreflex control of heart rate was significantly reduced in more than 70% of the animals, whereas in almost 20% there was no change and (b) the occurrence of lethal arrhythmias was much more frequent in individuals at the lower end of the normal distribution of BRS and the risk of developing ventricular fibrillation was inversely related to BRS.

These experimental studies led to ATRAMI (Autonomic Tone and Reflexes After Myocardial Infarction),²⁴ whose results have defined the clinical implications of the analysis of BRS in risk stratification of patients with a previous myocardial infarction. This study enrolled almost 1300 patients under 80 years and showed that impaired vagal reflexes, expressed by a depressed BRS (<3 ms/mmHg), was a significant predictor of total cardiac mortality with a relative risk of 2.8 (95% CI 1.40-6.16), independently of well-established risk factors such as depressed left ventricular function and the number of ectopic beats/hour. Half of total cardiac mortality was due to sudden (presumably arrhythmic) death. The combination of a depressed left ventricular function together with a depressed BRS significantly increased the predictive power of either parameter alone. Due to the known age dependency, the risk associated with a markedly depressed BRS was higher in patients younger than 65 years of age compared to older subjects. The wide scatter of BRS in this large post-MI population, not well explained by age and extent of myocardial damage, suggested that the autonomic balance might be influenced not only by environmental by also by genetic factors.⁶⁹ Few human studies have addressed the issue of whether baroreflex function is influenced by genetic factors. A study in twins confirmed heritability of BRS⁷⁰, while in a population-based study, a common genetic polymorphism in the promoter and in the coding region of the aldosterone-synthase gene was found to influence BRS.⁷¹ Very recent data have raised the intriguing concept that genetically mediated higher than normal BRS values might be associated with greater propensity for life-threatening arrhythmias in long QT syndrome patients with mutation affecting the I_{Ks} current.⁷²

Prophylactic implantable cardioverter defibrillator (ICD) therapy has been shown to improve overall survival in patients with reduced left ventricular ejection fraction resulting from both coronary as well noncoronary disease (MADIT-I, MUSST, MADIT-II, SCD-HeFT).^{73–76} However, the identification of patients at risk solely based on ejection fraction still remains a controversial issue,⁷⁷ supporting the need for a further attempt to improve the identification process. It has been suggested that the identification of patients less likely to benefit from ICD implantation is a reasonable way to provide substantial cost-savings with small losses in population life expectancy.

The information provided by autonomic markers may well integrate in the decisional process for ICD implantation. Indeed, among postinfarction patients with depressed left ventricular ejection fraction and without nonsustained spontaneous ventricular tachycardia (who could be considered at low risk, following MADIT-I/MUSST), the presence or absence of an impaired baroreflex gain could identify two subgroups at significantly different 2-year cardiac mortality: 18% vs 4.6% (P = 0.01).⁷⁸ Compared to the MADIT-I/MUSST strategy, the analysis of BRS would extend the number of implanted ICDs to the patients with a markedly depressed autonomic balance; conversely, compared to the MADIT-II strategy (which suggests to implant the device in all subjects with

depressed left ventricular function), this approach based on the assessment of BRS, by not treating the patients with well-preserved autonomic balance, could significantly reduce the number of implanted defibrillators that remain inactive. In the ATRAMI population, we have analyzed the clinical value of BRS in MADIT-II like patients.⁷⁹ Among the 70 patients with a left ventricular ejection fraction <30%, 28 had also a depressed BRS. Of the 19 patients who died of cardiac causes in the subsequent 2 years, 11 had shown a reduced left ventricular function and 8 a markedly depressed BRS (<3 msec/mmHg) at baseline, while no major arrhythmia or sudden death occurred among patients with a well-preserved BRS (>6 ms/mmHg) (accounting for about 20% of the population).

The prognostic implication of BRS has also been addressed in patients with chronic heart failure. In a series of 282 patients, BRS assessed by the phenylephrine method showed to be an independent predictor of cardiac death or urgent transplantation after adjustment for known noninvasive risk factors such as NYHA class, LVEF, baseline RR interval and maximum oxygen consumption during exercise (hazard ratio (HR): 2.0, 95%CI 1.06-3.48).¹² Recently, the prognostic value of BRS obtained noninvasively by the modified transfer function method has been assessed in a cohort of 317 mild-to-moderate clinically stable heart failure patients.⁵⁵ In the 228 subjects with a measurable index, a depressed BRS (<3.1 ms/mmHg) was significantly associated with a higher risk of cardiac death (HR: 3.2, 95%CI: 1.7-6.0, P = 0.0003) (Fig. 6).



Figure 6. Kaplan-Meier survival curves according to dichotomized baroreflex sensitivity obtained noninvasively by the modified transfer function method (from reference 55).



Figure 7. Kaplan-Meier survival curves according to the risk index obtained combining the information on the value of BRS obtained by the modified transfer function method and the information on missing measurement due to severe ectopic activity. Patients at high risk are those with a depressed BRS (≤3.1 ms/mmHg) or a missing BRS due to ectopic beats. Patients at low risk are those with a preserved BRS (>3.1 ms/mmHg) (from reference 55).

Moreover, the predictive discrimination ability of BRS was higher than or superimposable to the other clinical predictors considered in the study. Patients with a missing measurement (due to severe ectopic activity) had a high event rate (36%). Combining this information with the prognostic information of a measurable baroreflex, a new risk index could be obtained in almost all patients, which carried predictive information independent of most common clinical and functional indicators (HR: 2.5, 1.3–4.6 (P = 0.004), Figure 7).

CONCLUSIONS

The arterial baroreflex is an important determinant of the neural regulation of the cardiovascular system. A quantitative description of baroreflex gain, that is, BRS, may provide a useful synthetic index of neural regulation at the sinus atrial node. This information has clinical and prognostic value in a variety of cardiovascular diseases, including myocardial infarction and heart failure. Since first measured by an intravenous bolus of a pressor drug, many different methods have been devised to examine arterial baroreceptor responses. The availability of several techniques for BRS assessment deserves reference values and the awareness that all the existing methods are not free from limitations. Although the largest body of evidence supporting the prognostic value of BRS is from studies using the phenylephrine method, recent studies emphasize that the quantification of "spontaneous" BRS might become highly relevant in the clinical setting, being applicable to wide populations. The use of spontaneous BRS might open the way to longitudinal studies addressing the impact of BRS in predicting cardiovascular events in healthy subjects and to the use of BRS as one of the standard clinical measurements.

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