# The prognostic value of DLCO and pulmonary blood flow in patients with pulmonary hypertension

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#### **Abstract**

**Background:** Cardiac output is a prognostic marker in patients with pulmonary hypertension. Pulmonary blood flow as a surrogate for cardiac output can be measured non-invasively by inert gas rebreathing. We hypothesized that pulmonary blood flow can predict outcome in patients with pulmonary hypertension.

**Methods:** From January 2009 to January 2012, we measured pulmonary blood flow by inert gas rebreathing in outpatients with pulmonary hypertension. Patients with pulmonary hypertension confirmed by right heart catheterization and a valid inert gas rebreathing maneuver were followed until January 2016. The investigated outcome was all-cause mortality.

**Results:** We included 259 patients (mean age  $65 \pm 13$  years, 53% female) with pulmonary hypertension and classified into groups I (n = 103), 2 (n = 26), 3 (n = 80), and 4 (n = 50) according to the current pulmonary hypertension classification system. The median time between pulmonary hypertension diagnosis and inert gas rebreathing was 9 (IQR 0; 36) months. During a median follow-up time of 51 (IQR 20; 68) months, 109 patients (42%) died. Parameters significantly associated with survival (in order of decreasing statistical strength) were diffusion capacity of the lung for carbon monoxide (DLCO), 6-minute walk distance (6-MWD), age, NTpro-BNP, WHO functional class, group 3 pulmonary hypertension, and tricuspid annular plane systolic excursion (TAPSE), while baseline hemodynamics and pulmonary blood flow were not. In multivariable Cox regression analysis, DLCO, age, 6-MWD, and TAPSE remained significant and independent predictors of the outcome. DLCO as the strongest parameter also significantly predicted survival in aetiological subgroups except for group 4.

**Conclusions:** DLCO is a strong and independent predictor for survival in patients with pulmonary hypertension of different aetiologies, while pulmonary blood flow measured by inert gas rebreathing is not.

#### **Keywords**

DLCO, inert-gas rebreathing, prognosis, pulmonary blood flow, pulmonary hypertension

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# Introduction

Pulmonary hypertension (PH) is characterized by increased blood pressure in the pulmonary arteries and can be classified, according to aetiology, into five groups. Pulmonary arterial hypertension (PAH), group 1 of the current PH classification system, is a rare disease with a limited prognosis even if it improved since "targeted" medication is available. PH due to left heart disease (group 2), lung disease (group 3), or chronic thromboembolic PH (group 4) is much more frequent than PAH and also associated with increased mortality rates. 3

Different parameters have been used separately or in combination to predict the prognosis of PH patients.<sup>2,4</sup> Clinical parameters like the World Health Organization Functional Class (WHO-FC) can predict survival both at diagnosis and follow-up (on specific treatment).<sup>5</sup> Imaging of the heart by echocardiography including the contractile

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reserve during exercise has been shown to be an independent prognostic marker in patients with severe PH.<sup>6</sup> Prognostic value has also been identified for some parameters of cardiac magnetic resonance imaging.<sup>7</sup> The 6-minute walking distance (6-MWD) provides prognostic information, and adding peripheral oxygen saturation measurements and heart rate response might improve its prognostic relevance.<sup>8–10</sup> Also, several variables determined by cardiopulmonary exercise testing provide prognostic information. 11 Levels of natriuretic peptides (BNP, NTpro-BNP) provide prognostic information at the time of diagnosis and during follow-up assessments.<sup>12</sup> Haemodynamics assessed by right heart catheter (RHC) provides important prognostic information, both at the time of diagnosis and during follow-up. Right atrial pressure, cardiac output (CO), and mixed venous oxygen saturation are the most robust indicators of right ventricular function and prognosis, whereas mean pulmonary arterial pressure provides little prognostic information. 13 Even if RHC is a safe procedure in experienced hands, <sup>14</sup> it remains a time-consuming and invasive method precluding its use as a frequent follow-up examination in PH patients. Therefore, other methods to measure CO have been developed. The inert gas rebreathing (IGR) method is a non-invasive technique of CO assessment with good agreement compared to the thermodilution and the Fick method in RHC examinations, which can be easily performed in most patients. 15,16

The aim of the present study was to assess the prognostic value of a single CO measurement at rest by the IGR method in a sample of consecutive outpatients with different aetiologies of PH.

## **Methods**

#### Study design

The present analysis is a single-center study of patients with European descent and known or suspected PH. From January 2009 to January 2012, pulmonary blood flow (PBF) as a surrogate of CO was measured as part of the routine assessment in consecutive outpatients. Patients with PH confirmed by RHC and a valid PBF measurement were followed until January 2016. The investigated outcome was all-cause mortality.

Data were collected prospectively in patients participating in an observational clinical study after written informed consent and retrospectively in the remaining patients. The protocol of the observational study, the data protection strategy, and the retrospective data collection and follow-up of patients were approved by the Ethics Committee of the University of Regensburg and were in accordance with the Declaration of Helsinki.

# Study population

The PH outpatient clinic of the University Medical Center Regensburg is a local referral center for patients with known and suspected PH, following mainly patients with precapillary PH (groups 1, 3, and 4 according to the PH classification system) or PH due to left heart disease (group 2) with significant precapillary involvement. Regular outpatient visits are performed every three to six months in stable patients. At every visit, blood tests including measurement of NTpro-BNP, a pulmonary function test including assessment of the diffusion capacity of the lung for carbon monoxide (DLCO), capillary blood gas analysis, and 6-MWT are conducted. In addition, WHO-FC, current medication, and adverse events are recorded in patient's medical records. An electrocardiogram and an echocardiography are performed at least every second visit, while RHC is performed only if deemed helpful for clinical decision making (e.g. if clinical worsening is not clearly attributable to worsening of PH, or before an escalation of targeted PAH medication). In addition, IGR using the Innocor<sup>TM</sup> device was routinely performed at every outpatient visit from January 2009 to January 2012.

All patients with PH confirmed by RHC and a valid PBF measurement were eligible for participation. In case of more than one valid PBF measurement, only the first one was used. PH was defined by a mean pulmonary artery pressure of ≥25 mmHg at rest on RHC. Patients were allocated to groups 1 to 4 of the current PH classification system after thorough differential diagnosis according to current guidelines.<sup>4</sup> Results of examinations mentioned were extracted from the patient's medical records at the time of IGR, while the latest RHC measurement before the IGR was used for description.

# Right heart catheter

All patients underwent RHC at rest with determination of right atrial pressure, pulmonary arterial pressures, and pulmonary arterial wedge pressure because of suspected PH. CO was determined by thermodilution. Mixed venous oxygen saturation was determined from pulmonary artery blood samples. Pulmonary vascular resistance, cardiac index, and diastolic pressure gradient were calculated. All RHC were performed by the same physician.

# Pulmonary function tests, DLCO, and PBF measurements

Spirometry and body plethysmography were used to determine total lung capacity, forced vital capacity (FVC), forced expiratory volume in the first second (FEV1), and their ratio. DLCO was measured by the single-breath technique without correction for haemoglobin values or lung volumes. PBF was measured by IGR using the Innocor<sup>TM</sup> device (Innovision ApS, Glamsbjerg, Denmark) as previously described. <sup>15</sup> All measurements were carried out by trained personnel in a standardized fashion.

## Assessment of outcome

All-cause mortality from the time of PBF measurement was assessed in January 2016 according to medical reports or

information from the family physician. If patients did not return for planned follow-up visits, they were contacted by phone. Patients were censored at last contact, lung transplantation, or pulmonary endarterectomy.

# Statistical analysis

Descriptive data are presented as mean ( $\pm$ SD) for normally distributed variables and as median and interquartile range for not normally distributed variables. Continuous variables of baseline characteristics were compared by the t-test and categorical variables by the Chi-square test. Correlation was tested by the two-sided Pearson test. Univariate Cox regression analysis was used to analyze the prediction of PBF and other parameters on all-cause mortality. Significant risk factors from the univariable analysis, such as DLCO, 6-MWD, age, NTpro-BNP, WHO-FC, PH group, and echocardiographic tricuspid annular plane systolic excursion (TAPSE), were included as covariates in the multivariate Cox regression. Results are given as hazard ratio estimates with 95% confidence interval and Chi-square values. p-Values of <0.05 were considered significant. Survival curves are shown in Kaplan-Meier plots; logrank test was

Table 1. Clinical parameters of the 259 analyzed patients.

259
$65\pm13$
138 (53%)
4/40/49/7
$\textbf{344} \pm \textbf{113}$
$\textbf{1620} \pm \textbf{1940}$
$\textbf{18.3} \pm \textbf{4.9}$
$49 \pm 22$
$\textbf{42} \pm \textbf{11}$
$\textbf{4.9} \pm \textbf{1.5}$
$\textbf{4.3} \pm \textbf{1.3}$
9 [0; 36]
157
129
69
13
1
108
43/6
47
193 (76%)

Note: Results are provided as mean  $\pm$  standard deviation for normally distributed and as median (interquartile range) for non-normally distributed variables. CCB: calcium channel blocker; DLCO: diffusion capacity of the lung for carbon monoxide; PA: pulmonary artery; PBF: pulmonary blood flow; PH: pulmonary hypertension; TAPSE: tricuspid annular plane systolic excursion; WHO-FC: World Health Organization functional class.

used to compare estimates of the hazard functions. A Forest plot was used to visualize hazard ratios of survival for DLCO in different aetiological groups and in the total sample. Data were analyzed using the SPSS statistical software package (SPSS 23.0, IBM SPSS Statistics, Armonk, New York, USA).

#### Results

#### Patient characteristics

Two hundred fifty-nine consecutive patients (mean age  $65\pm13$  years, 53% female) were included from January 2009 to January 2012. Of these, 103 (39.8%), 26 (10.0%), 80 (30.9%), and 50 (19.3%) were allocated to groups 1, 2, 3, and 4 according to the current PH classification system, respectively. Patients were predominantly in WHO-FC II or III, and 157 (60.6%) were on targeted PH medication (phosphodiesterase-5-inhibitors, endothelin-receptor-antagonists, prostacyclin analogues, or riociguat). Median time between the diagnostic RHC and PBF measurement was nine (IOR 0; 36) months (Table 1). PH groups were significantly different according to age (oldest in group 2), 6-MWD (shortest in group 3), DLCO (lowest in group 3), PBF (lowest in group 1), mean pulmonary artery pressure (highest in group 1), pulmonary artery wedge pressure (highest in group 2), and pulmonary vascular resistance (highest in group 1). NTpro-BNP, TAPSE, and CO (RHC) were similar between groups (Table 2).

# Outcome

During a median follow-up time of 51 (IQR 20; 86) months, 109 patients (42%) died. Patients with PH groups 2 and 3 had the worst prognosis (Fig. 1). The deceased patients were significantly older, had a shorter 6-MWD, higher NTpro-BNP, lower TAPSE, and worse DLCO (Table 3). Parameters significantly associated with survival (in order of decreasing statistical strength) were DLCO ( $\chi^2 = 46.9$ , p < 0.001), 6-MWD, age, NTpro-BNP, WHO-FC, group 3 PH, and TAPSE (Table 4). PBF (measured by IGR) and CO (measured by RHC) were significantly correlated (r = 0.475, p < 0.001). PBF as well as CO, pulmonary arterial mean pressure, and right atrial pressure were no predictors of survival in the total sample, neither in continuous nor in dichotomous analyses (every p > 0.05).

In a multivariable Cox regression model including the significant predictors of mortality, DLCO, 6-MWD, age, and TAPSE remained significant and independent predictors for the outcome (Table 4).

If DLCO was adjusted for possible restrictive or obstructive lung disease (as ratio of DLCO and FVC or FEV1, respectively), it was still a significant predictor of survival (HR 0.179 (95% CI 0.051; 0.629),  $\chi^2 = 56.7$ , p = 0.007 for FEV1 and HR 0.235 (95% CI 0.0.68; 0.805),  $\chi^2 = 54.4$ , p = 0.021 for FVC, respectively). Dichotomization of DLCO by its median (49% of predicted) led to a clear

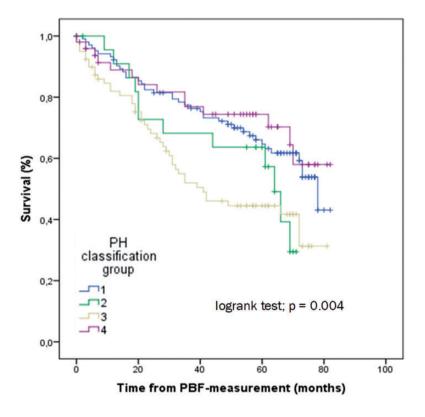
Table 2. Clinical and hemodynamic parameters of the 259 analyzed patients differentiated by aetiology.

	All patients	Group I	Group 2	Group 3	Group 4	$p^{a}$
Number	259	103	26	80	50	_
Age (years)	$65\pm13$	$60\pm13$	$70\pm13$	$68 \pm 10$	$67 \pm 12$	< 0.00 l
6-minute-walk distance (m)	$\textbf{344} \pm \textbf{II3}$	$\textbf{375} \pm \textbf{112}$	$\textbf{325} \pm \textbf{113}$	$\textbf{302} \pm \textbf{86}$	$\textbf{332} \pm \textbf{128}$	0.002
Ntpro-BNP (pg/ml)	$\textbf{1620} \pm \textbf{1940}$	$\textbf{1447} \pm \textbf{1825}$	$\textbf{1661} \pm \textbf{1236}$	$\text{I63I} \pm 2026$	$\textbf{1948} \pm \textbf{2292}$	0.555
TAPSE (mm)	$\textbf{18.3} \pm \textbf{4.9}$	$\textbf{18.4} \pm \textbf{4.6}$	$\textbf{17.4} \pm \textbf{4.9}$	$\textbf{18.3} \pm \textbf{4.9}$	$\textbf{18.3} \pm \textbf{5.5}$	0.859
DLCO (% pred)	$\textbf{49} \pm \textbf{22}$	$\textbf{57} \pm \textbf{19}$	$54 \pm 19$	$\textbf{33} \pm \textbf{18}$	$56 \pm 18$	< 0.00 l
Mean PAP Dx (mmHg)	$\textbf{42} \pm \textbf{II}$	$\textbf{46} \pm \textbf{12}$	$39 \pm 10$	$\textbf{37} \pm \textbf{9}$	$\textbf{42} \pm \textbf{9}$	< 0.00 l
PAWP Dx (mmHg)	$10\pm6$	$9\pm4$	$20\pm 5$	$9\pm 5$	$9\pm4$	< 0.00 l
PVR Dx (WU)	$\textbf{7.3} \pm \textbf{4.3}$	$\textbf{8.8} \pm \textbf{5.0}$	$\textbf{4.1} \pm \textbf{2.5}$	$\textbf{6.2} \pm \textbf{3.2}$	$\textbf{7.8} \pm \textbf{3.6}$	< 0.00 l
CO Dx (I/min)	$\textbf{4.9} \pm \textbf{1.5}$	$\textbf{4.8} \pm \textbf{1.6}$	$\textbf{5.2} \pm \textbf{1.7}$	$\textbf{5.0} \pm \textbf{1.4}$	$\textbf{4.9.} \pm \textbf{1.5}$	0.608
Innocor-PBF (I/min)	$\textbf{4.3} \pm \textbf{1.3}$	$\textbf{4.1} \pm \textbf{1.3}$	$\textbf{4.6.} \pm \textbf{1.4}$	$\textbf{4.2} \pm \textbf{1.3}$	$\textbf{4.4} \pm \textbf{1.2}$	0.372
Innocor-PBF (I/min/m²)	$2.3 \pm 0.6$	$\textbf{2.2} \pm \textbf{0.6}$	$2.6 \pm 0.8$	$\textbf{2.3} \pm \textbf{0.6}$	$\textbf{2.4} \pm \textbf{0.6}$	0.033

Note: Results are provided as mean  $\pm$  standard deviation.

CO: cardiac output; DLCO: diffusion capacity of the lung for carbon monoxide; Dx: diagnosis; PAP: pulmonary arterial pressure; PAWP: pulmonary arterial wedge pressure; PBF: pulmonary blood flow; PVR: pulmonary vascular resistance; TAPSE: tricuspid annular plane systolic excursion; WU: Wood unit.

<sup>&</sup>lt;sup>a</sup>Difference between the groups (t-test for continuous variables).



**Fig. 1.** Survival according to pulmonary hypertension aetiological groups. PH: pulmonary hypertension; PBF: pulmonary blood flow.

differentiation between mortality rates right from the beginning of follow-up (HR 0.267 (95% CI 0.177; 0.404), p < 0.001; Fig. 2). After three years of follow-up, 87% of patients with DLCO above the median were alive vs. only 52% of those below the median.

# Prognostic factors in different PH groups

DLCO as the strongest parameter also significantly predicted survival in subgroups of patients according to the PH classification system except for group 4 (HR 0.968)

Table 3. Clinical and hemodynamic parameters of the 259 analyzed subjects differentiated by survival status.

	Survived	Died	$p^{a}$
Number	150	109	_
Age (years)	$62\pm14$	$69\pm10$	< 0.001
6-minute walk distance	$\textbf{373} \pm \textbf{115}$	$\textbf{297} \pm \textbf{92}$	< 0.001
NT pro-BNP (pg/ml)	$\textbf{1250} \pm \textbf{1792}$	$\textbf{2121} \pm \textbf{2027}$	0.001
TAPSE (mm)	$\textbf{19.0} \pm \textbf{4.9}$	$\textbf{17.2} \pm \textbf{4.7}$	0.008
DLCO (% predicted)	$55 \pm 21$	$41\pm20$	< 0.001
Mean PAP at Dx (mmHg)	$\textbf{42} \pm \textbf{11}$	$\textbf{42} \pm \textbf{11}$	0.946
PAWP Dx (mmHg)	$10\pm 6$	$10\pm 5$	0.565
PVR Dx (WU)	$\textbf{7.2} \pm \textbf{4.3}$	$\textbf{7.5} \pm \textbf{4.3}$	0.620
CO Dx (I/min)	$\textbf{5.0} \pm \textbf{1.6}$	$\textbf{4.7} \pm \textbf{1.4}$	0.098
Innocor-PBF (I/min)	$4.4\pm1.4$	$4.1\pm1.3$	0.108
Innocor-PBF (I/min/m <sup>2</sup> )	$\textbf{2.3} \pm \textbf{0.6}$	$\textbf{2.3} \pm \textbf{0.6}$	0.556
Time between Dx of PH and PBF measurement	8 [0; 42]	9 [0; 29]	0.020

Note: Results are provided as mean  $\pm$  standard deviation.

CO: cardiac output; DLCO: diffusion capacity of the lung for carbon monoxide; Dx: diagnosis; PAP: pulmonary arterial pressure; PAWP: pulmonary arterial wedge pressure; PBF: pulmonary blood flow; PH: pulmonary hypertension; PVR: pulmonary vascular resistance; TAPSE: tricuspid annular plane systolic excursion; WU: Wood unit.

Table 4. Hazard ratios for mortality in 259 subjects in univariable and multivariable Cox regression analyses.

	Univariable analysis			Multivariable analysis	
	HR (95% CI)	$\chi^2$	Р	HR (95% CI)	Р
DLCO (% predicted)	0.966 (0.956; 0.976)	46.9	<0.001	0.975 (0.959; 0.991)	0.002
6-minute walk distance	0.994 (0.992; 0.006)	33.0	< 0.00 l	0.996 (0.992; 0.999)	0.014
Age (years)	1.050 (1.030; 1.070)	26.5	< 0.00 l	1.043 (1.009; 1.078)	0.012
NTpro-BNP (pg/ml)	1.000 (1.000; 1.000)	20.0	< 0.00 l	1.000 (1.000; 1.000)	0.410
WHO-FC I/II vs. III/IV	0.498 (0.334; 0.742)	12.2	0.001	1.574 (0.807; 3.072)	0.183
PH group 3 vs. 1/2/4	1.899 (1.290; 2.794)	10.9	0.001	1.262 (0.673; 2.364)	0.468
TAPSE (mm)	0.937 (0.895; 0.981)	7.7	0.005	0.920 (0.859; 0.986)	0.018
PAH-medication y/n	0.762 (0.511; 1.137)	1.8	0.183		
Innocor-PBF (I/min)	0.910 (0.786; 1.055)	1.6	0.212		
Innocor-PBF (I/min/m²)	0.956 (0.710; 1.286)	0.1	0.765		

Note: Shown are the hazard ratios by univariable and multivariable Cox regression analyses and 95% confidence intervals (95% CI). The variables used in the multivariable regression model were the significant variables from the univariable regression model: DLCO, 6-minute walk distance, age, NTpro-BNP, WHO-FC I/II vs. III/IV, PH group 3 vs. I/2/4, and TAPSE.

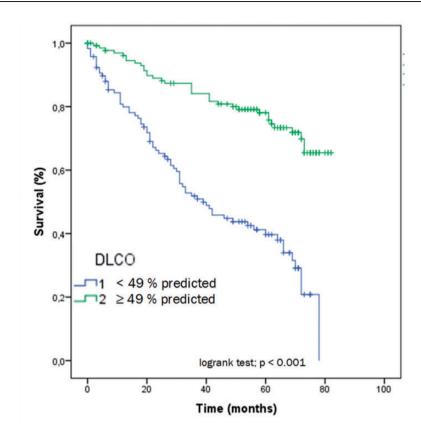
DLCO: diffusion capacity of the lung for carbon monoxide; HR: hazard ratio; PAH: pulmonary arterial hypertension; PBF: pulmonary blood flow; PH: pulmonary hypertension; TAPSE: tricuspid annular plane systolic excursion; WHO-FC: World Health Organization functional class.

(95% CI 0.936; 1.001), p = 0.059) (Fig. 3). PBF was a significant predictor of survival only in patients of PH group 3 (HR 0.753 (95% CI 0.598; 0.949), p = 0.016). CO showed a significant predictive value only in patients of group 4 (HR 0.477 (95% CI 0.274; 0.832), p = 0.009), mean pulmonary arterial pressure in groups 2 and 3 (HR 1.067 (95% CI 1.003; 1.135), p = 0.040 and HR 1.032 (95% CI 1.002; 1.064), p = 0.039, respectively), and right atrial pressure only in group 4 (HR 1.090 (HR 1.005; 1.182), p = 0.036).

# **Discussion**

The present analysis yielded the novel finding that DLCO was strongly associated with survival in patients with PH across different aetiologies during a mean follow-up of 44 months, while PBF measured by IGR was not. This outcome was independent from other risk factors significantly associated with survival like 6-MWD, age, NTpro-BNP, WHO-FC (I/II vs. III/IV), PH aetiology

<sup>&</sup>lt;sup>a</sup>Difference between the groups (t-test for continuous variables).



**Fig. 2.** Survival according to diffusion capacity of the lung for carbon monoxide above vs. below median (49% of the predicted). DLCO: diffusion capacity of the lung for carbon monoxide.

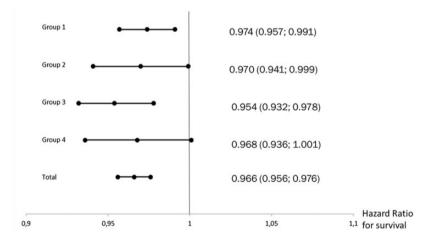


Fig. 3. Forest plot showing hazard ratios of survival for diffusion capacity of the lung for carbon monoxide in different aetiological groups and in the total sample.

(group 3 vs. 1/2/4), and TAPSE. Patients with a DLCO below the median (49% of predicted value) had a HR of 3.74 (95% CI 2.45; 5.65) (p < 0.001) for all-cause mortality.

# Prognostic value of hemodynamic parameters

The invasively measured hemodynamic parameters right atrial pressure and CO have shown to be robust indicators

of right ventricular function and prognosis in patients with idiopathic PAH at both diagnosis and follow-up. <sup>13</sup> Both parameters had no significant prognostic value in the present analysis, neither in the entire group nor in the largest subgroup of patients with PAH. This may be explained by the fact that we assessed survival from the time of PBF measurement and not from the diagnostic RHC. Therefore, subsequent targeted therapy could have

influenced this otherwise valid association at least in patients with PAH.

Use of non-invasive IGR is well established and validated for assessing CO in the absence of a significant intrapulmonary shunt. 15 Farina et al. could show that CO can be reliably measured by IGR in patients with PH.<sup>17</sup> However, we were not able to confirm our hypothesis that a single IGR measurement in outpatients with PH of different aetiologies is prognostically relevant. In patients with PH due to left heart and lung diseases and chronic thromboembolic PH (CTEPH), this could be an expected finding as an association between CO and prognosis in these PH groups is not concisely shown in the literature. However, in patients with PAH, this finding is unexpected and may be due to technical reasons of our measurement. The Innocor<sup>TM</sup> device measures arterial oxygen saturation by pulsoxymetry to correct the measured PBF for possible intrapulmonary shunts, i.e. the CO is calculated from the PBF plus estimated shunt flow. However, Farina et al. have shown that the algorithm used within the Innocor<sup>TM</sup> device is inaccurate in patients with PAH and hypoxemia.<sup>17</sup> Therefore, we decided to only measure the PBF as surrogate for CO. However, due to the fact that PBF is measured by rebreathing, only the blood flow in ventilated areas (ventilation/perfusion matched) is captured which leads to a systemic underestimation of CO.<sup>18</sup> As inhomogeneous ventilation/perfusion may vary considerably even among PAH patients (and maybe due to pulmonary comorbidities), this could also have influenced the missing association between PBF and survival in our patients.

In the present analysis, PBF predicted mortality only in patients with underlying lung disease. This cannot be explained by the mechanism discussed above (underestimation of CO by PBF) and may just reflect the severity of the underlying lung disease. Further studies evaluating the impact of serial PBF measurements on the prognosis of PH are warranted.

# DLCO as prognostic parameter in PH

In the present analysis, among other parameters significantly associated with survival (6-MWD, age, NTpro-BNP, WHO functional class, group 3 PH, and TAPSE), DLCO was the most powerful one. Some studies reported on DLCO as a prognostic marker for patients with PH before. In idiopathic PAH, DLCO is usually normal or only mildly impaired, 19 but patients with a low DLCO (<45% of predicted value) have a particularly high mortality.20 Szturmowicz et al. found an increased mortality in idiopathic PAH patients with an DLCO <55% predicted.<sup>21</sup> Kang et al. described a low DLCO as poor prognostic factor in patients with PAH associated with connective tissue disease. 22 Hoeper et al. found a significantly worse survival rate in patients with PH due to heart failure with preserved ejection fraction and DLCO <45% predicted.<sup>23</sup> Similar findings have been reported in patients with chronic lung disease and PH. 24-26 Suda et al. described a decreased DLCO to be associated with poor outcome in 91 medically treated CTEPH patients.<sup>27</sup> In the present analysis, the DLCO was at no specified time during treatment a significant predictor for survival in the entire sample of PH patients and in the different aetiological groups except for CTEPH. This may be explained by the small sample size of this subgroup, as the HR is similar compared to the other PH groups (HR 0.968; CI 95% (0.936; 1.001), p=0.059).

DLCO is influenced by many factors like the alveolar capillary volume, the permeability of the alveolar membrane. the lung perfusion, the haemoglobin concentration, smoking habits, and potential intrapulmonary or intracardiac shunts. Regarding this broad spectrum of factors influencing DLCO, it is conceivable that its strong prognostic capability is not only reflecting one single pathomechanism. An impaired DLCO may therefore reflect a number of disorders like parenchymal lung diseases, obstructive or restrictive ventilatory abnormalities, heart failure, anemia, smoking, and others, which are known to have an impact on prognosis and might exist as underlying cause or comorbidities in PH patients. Like DLCO, also the 6-MWD has a number of possible influencing factors and does not only reflect cardiac function. Interestingly, this parameter was the second most powerful prognostic parameter in our present analysis. 6-MWD has proven its prognostic value despite of – or just because of – the quantity of influencing factors (e.g. age, cardiac function, ventilatory, orthopedic, frailty, and others) before.<sup>28</sup>

The strengths of the present study are the large sample size and the thorough phenotyping of a sample of outpatients with PH of different aetiologies, which reflects the spectrum of PH patients currently seen at referral centers and allowed adjusted analysis for many known prognostic factors in PH. In addition, the long follow-up has to be mentioned as an advantage. The following limitations warrant discussion: First, PBF was not measured simultaneously with CO by RHC, but nine months later in median. Although PBF and CO correlated well, there might be a relevant change of CO during this time interval due to disease progression or targeted medication. However, neither invasively measured hemodynamics at diagnosis nor PBF during follow-up were associated with survival in the present study. Second, the data derive from a single center, which may have created bias. However, this ensured a homogeneous exploration of patients in a well-experienced PH center, and all RHCs were done by the same physician, which minimizes interobserver variability.

In summary, our findings show that DLCO is strongly and independently associated with survival in a large sample of patients with PH of different aetiologies. Therefore, DLCO should be considered in the risk assessment of patients with PH and evaluated regarding its potential as therapeutic target.

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#### **Authors' contribution**

TJL was responsible for the conception, hypotheses delineation, and design of the study. All authors were involved in the statistical data analysis. TJL and SS were writing the article and revised it prior to submission. All authors read and approved the final version of the article.

#### **Conflict of interest**

The author(s) declare that there is no conflict of interest.

## Ethical approval

The protocol of the observational study, the data protection strategy, and the retrospective data collection and follow-up of patients were approved by the Ethics Committee of the University of Regensburg and were in accordance with the Declaration of Helsinki.

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#### Guarantor

SS is the guarantor of this work and, as such, had full access to all data of the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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