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#### The Mitochondrion as an Emerging Therapeutic Target in Cancer

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#### Abstract

Mitochondria have emerged as important pharmacological targets due to their key role in cellular proliferation and death. In tumor tissues, mitochondria can switch metabolic phenotypes to meet the challenges of high energy demand and macromolecular synthesis. Furthermore, mitochondria can engage in crosstalk with the tumor microenvironment, and signals from cancer-associated fibroblasts can impinge on mitochondria. Cancer cells can also acquire a hybrid phenotype in which both glycolysis and OXPHOS can be utilized. This hybrid phenotype can facilitate metabolic plasticity of cancer cells more specifically in metastasis and therapy-resistance. In light of the metabolic heterogeneity and plasticity of cancer cells that had until recently remained unappreciated, strategies targeting cancer metabolic dependency appear promising to develop novel and effective cancer therapeutics.

#### Mitochondria and Cancer

Abnormal mitochondrial morphology, functions, and dynamics have long been associated with malignant transformation. Otto Warburg in the 1920s was one of the first to observe this phenomenon where cancer cells utilize glycolysis, even under oxygen rich conditions, and produce excess lactate, a process defined as "aerobic glycolysis", which is often referred to as the "Warburg effect" [1, 2]. This finding suggested that mitochondrial dysfunction is the hallmark of cancer tumorigenesis and became the foundation for the visual detection of cancer via the development of positron emission tomography (PET) imaging, both as a staging tool and to evaluate response to therapy. Another observation that supported this viewpoint was the production of high levels of mitochondrial ROS. Enhanced ROS leads to the activation of cellular signaling cascades and oncogene activation that result in cell proliferation and genomic instability [3, 4]. However, this view was challenged when it was demonstrated that mitochondria are not wholly dysfunctional in cancer and in fact, produce similar levels of intermediates of the tricarboxylic acid (TCA) cycle and fatty acid oxidation as non-cancerous cells [5-7]. Even while aerobic glycolysis is increased in an oxygen-rich

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setting, oxidative phosphorylation (**OXPHOS**, **see Glossary**) still continues. Rather, in response to oncogenic signals, their metabolic signaling is upregulated, thus producing increased TCA cycle intermediates that promote and meet the metabolic demands of cancer growth and proliferation. Additionally, studies have demonstrated that **ATP** produced by tumor cells via glycolysis comprises only a small proportion of the total ATP, and tumor cells are vulnerable to the inhibition of OXPHOS [8, 9]. Thus, these observations suggested that mitochondrial dysfunction is not necessary for tumorigenesis, and mitochondria perhaps even fluctuate between differing metabolic phenotypes [10].

Indeed, it is now well documented that in highly aggressive tumors, mitochondrial energy pathways are reprogrammed to meet the challenges of high energy demand, with better utilization of available fuels and macromolecular synthesis for rapid cell division and migration [11-13]. Furthermore, recent evidence indicates that mitochondrial energy reprogramming also regulates oncogenic pathways via mitochondria-to-nucleus retrograde signaling and post-translational modification of the encoded oncoproteins. This signaling facilitates communication between mitochondria and the nucleus [14-16], and can be triggered by alterations in mitochondrial DNA copy numbers, mutations, defects in respiratory chain complexes, as well as changes in mitochondrial ROS levels [17]. In fact, it has been demonstrated that retrograde signaling can even alter nuclear gene expressions for metabolic reprogramming in response to these altered mitochondrial activities [13], Consistent with these observations, a recent report showed that, in breast cancer cells, the small GTPase Arf6-based pathway promotes the localization of ILK to focal adhesions to block RhoT1-TRAK2 association, which controls mitochondrial retrograde trafficking [18]. Furthermore, blocking the RhoT1-TRAK1 machinery impaired cell invasion, but not cell migration. Interestingly, in non-invasive cells or those that are weakly invasive, the TRAK proteins are undetectable. Taken together, these observations have uncovered a novel association between cell movement and mitochondrial dynamics, which is specific to invasion and is necessary for avoiding detrimental ROS production [18].

Mitochondria can also engage in crosstalk with the tumor microenvironment. For example, signals from cancer-associated fibroblasts can impinge on mitochondria to utilize OXPHOS [19], a process referred to as the 'reverse Warburg effect' [12]. Indeed, emerging evidence shows that cancer cells can also acquire a hybrid glycolysis/OXPHOS phenotype in which both glycolysis and OXPHOS can be utilized for energy production and biosynthesis of macromolecules [20]. Furthermore, the hybrid glycolysis/OXPHOS phenotype is thought to facilitate metabolic plasticity of cancer cells more specifically in metastasis and therapy-resistance. Moreover, cancer cells can switch their metabolic phenotypes in response to external stimuli for better survival [13]. Thus, in light of the metabolic heterogeneity and plasticity of cancer cells that had until recently remained unappreciated, strategies targeting cancer metabolic dependency appear promising to develop novel and effective cancer therapeutics. Here, we review recent trends in targeting mitochondria in cancer. More specifically, we provide a clinical perspective and focus on new drugs that target the mitochondria and are currently under investigation for different types of cancers (Table 1 and Table 2). As described in Figure 1, they include drugs that target the metabolic functions

of mitochondria, modulate mitochondrial dynamics, morphogenesis (e.g. fission/fusion dynamics), mitogenesis (e.g. cell death), and evasion of apoptosis.

#### Mitochondrial Metabolism as Cancer Therapeutic Target

Mitochondrial dysfunction varies depending on the initial conditions of tumor origin and often involves the inhibition of mitochondrial respiration [21]. Tumors are able to compensate for this by utilizing alternate methods of bio-energetics to meet metabolic demands of the cell, and thereby fuel proliferation [22, 23]. This is achieved by deregulated signaling pathways, activation of oncogenes as well as loss of tumor suppressors. Growing evidence has characterized the activity of oxidative phosphorylation in multiple tumor types [24]. For example, melanoma has been shown to have two subpopulations of cells with high and low levels of PGC1a. Melanoma cells with high PGC1a expression are OXPHOS-dependent and resistant to oxidative stress, through high mitochondrial oxidative metabolism and efficient ROS detoxification. Meanwhile, melanoma cells with low levels of PGC1a have lower survival and proliferation potential, but are more capable of forming metastases [25]. Other High-OXPHOS tumors are characterized by upregulation of genes encoding respiratory chain components, together with increased mitochondrial respiration and enhanced antioxidant defense [26].

Aerobic glycolysis circumvents mitochondrial oxidative phosphorylation (OxPhos) facilitating an increased rate of glucose hydrolysis that enables cancer cells to successfully compete with normal cells for glucose uptake in order to maintain uninterrupted growth [27]. Cancer cells use glucose and other glycolytic intermediates as precursors for the synthesis of amino acids, nucleotides, lipids and other building blocks and molecules for new cells. The study of cancer cell glycolysis continues to expand, revealing further associations between a metabolic switch in cancer cells, mutations in mitochondrial metabolic enzymes, and altered mitochondrial function [28, 29]. The upregulation of glycolysis is mostly due to the increased expression of enzymes and transporters involved in glucose uptake, lactate production, and lactate secretion. The growing list of mitochondrial enzymes includes glucose transporters (GLUT1-4), hexokinase 2 (HK2), glyceraldehyde-3-phosphate dehydrogenase (GAPDH), 6-phosphofructo-1-kinase (PFK1), aldolase (ALDO), triose-phosphate isomerase (TPI), phosphoglycerate kinase 1 (PGK1), phosphoglycerate mutase (PGM), enolase 1 (ENO1), pyruvate kinase (PKM2), lactate dehydrogenase (LDHA), and monocarboxylate transporters (MCTs).

The TCA cycle intermediates are utilized as biosynthetic precursors for macromolecules necessary for DNA synthesis and proliferation, including lipids, nucleotides, and proteins. Both glucose, with the production of pyruvate via glycolysis in the cytosol, and glutamine, in its deamination to alpha-ketoglutarate, provide continued replenishment of TCA cycle intermediates in what are termed "**anaplerotic reactions**" [30]. Pyruvate is transported to the mitochondrial matrix and irreversibly converted to acetyl-CoA by pyruvate dehydrogenase (PDH). Alpha-ketoglutarate is decarboxylated by alpha-ketoglutarate dehydrogenase (KDGH) into succinate and NADH, both of which serve to inhibit this enzyme. Citrate, one of the TCA cycle intermediates, is shuttled and converted to acetyl-CoA in the cytosol by ATP citrate lyase. Acetyl-CoA is irreversibly converted by acetyl-

CoA carboxylase to malonyl-CoA, which is involved in fatty acid synthesis. Activation of the PI3K pathway, which has been well-characterized in its role in activating tumorigenesis [31], leads to downstream activation of AKT and mTOR pathways, both of which promote lipid synthesis as well through the activation of sterol regulatory element-binding protein transcription factors (SREBP), which stimulate the transcription of the gene for acetyl-CoA carboxylase. In addition to its role in lipid synthesis, in animal models, AKT has been shown to be activated by insulin-like growth factor (IGF-1) and translocate to the mitochondria where it binds to mitochondrial hexokinase II enzyme, which catalyzes the first step in glycolysis [32]. Thus, developing therapeutics that disrupt these mitochondrial metabolic pathways may be an effective approach for cancer therapy, and in Box 1 we have identified a few of these drugs that are currently under investigation in clinical trials.

#### Mitochondria and Genomic Instability

Although most cancer cells have functional mitochondria, some cancers, as Warburg postulated, have been shown to have dysfunctional mitochondria, particularly those cancers associated with mutations of mitochondrial enzymes, such as isocitrate dehydrogenase (IDH) and succinate dehydrogenase. IDH enzymes catalyze the conversion of isocitrate to alpha ketoglutarate (a-KG) in the TCA cycle, reducing NAD/NADP+ to NADH/NADPH and  $CO_2$  [33]. There are three enzymes that catalyze this same reaction but differ in their cell localization and substrate: IDH1 is located in the cytoplasm and peroxisomes, IDH2 and IDH3 are located in the mitochondria. IDH1 and IDH2 use NADP+ while IDH3 uses NAD+ as its substrate [34]. This energy production is critical for exchanging electrons and metabolites between the mitochondria and cytosol. In cancer, mutations are frequently found in IDH1 and IDH2, most commonly in low-grade gliomas, cartilaginous tumors, angioimmunoblastic T-cell lymphoma, and cholangiocarcinoma [35]. Mutations in these metabolic genes not only lead to a loss of their normal function described above, but also result in the production of 2-hydroxyglutarate (2-HG), an oncometabolite, which acts as a competitor of  $\alpha$ -KG and has been shown to alter histone methylation and gene expression [36-38]. This hypermethylation results in chromosome instability and gene silencing, promoting tumorigenesis [39, 40]. Elevated levels of 2-HG have been detected in patients with IDH mutated acute myeloid leukemia (AML) and gliomas, and 2-HG is currently being explored as a potential biomarker [41]. IDH1 mutated AML cells demonstrated increased oxidative phosphorylation activity, which is associated with chemoresistance, and thus has been used as a predictor of treatment response. In vitro and in vivo studies have shown that IDH1 mutated AML cells are more sensitive to Bcl-2 inhibitors which can induce hypoxia versus cytarabine, an anti-metabolite [42].

Preclinical studies showed efficacy of IDH-targeted therapy in patients with relapsed or refractory IDH2 mutated AML, and subsequently AG-22 (enasidenib), an IDH2 inhibitor, was developed and is now FDA approved for these patients harboring an IDH2 mutation [43]. In an evaluation of non-responders, the investigators found that mutations in the RAS pathway were correlated with a decreased response, and response did not necessarily correlate with a decrease in 2-HG levels as described above. Patients who did respond had repaired hematopoietic differentiation of their leukemia cells into mature neutrophils, with studies showing about 12% of patients having the potentially lethal manifestation of this

response, differentiation syndrome [44]. Another approved IDH inhibitor is AG-120, ivosidenib, which targets IDH1 mutations. In animal studies, AG-120 demonstrated brain penetration, and is currently being evaluated in clinical trials for patients with AML and solid tumors, thus far with favorable results [, , ]. AG-881 inhibits both IDH1 and IDH2 and has been shown to penetrate the brain, and a phase 1 study was conducted for patients with IDH-mutant solid malignancies, including gliomas. Approximately two and a half years after the start of the trial, about 22% of patients remained on therapy [] [45].

#### Mitochondrial Dynamics in Cancer

Mitochondrial morphology is mitigated by fission and fusion dynamics, which are most commonly regulated by Dynamin-related protein 1 (DRP1) [fission] and Mitofusin-2 (MFN2) [fusion] proteins. The disruption of these dynamics has a direct effect on the morphology of the mitochondrial network within the cell and can be associated with dysregulated cellular functions [46], as observed in cancer cells [47]. DRP1 is a cytoplasmic protein but translocates to the mitochondria and links to fission 1 (FIS1) and mitochondrial fission factor (MFF) proteins, thereby constricting the outer mitochondria membrane and completing mitochondrial fission [48, 49]. DRP1 functions as the dysregulated protector of dysfunctional mitochondria by preserving an elongated mitochondrial network which prevents mitophagy, autophagy of defective or damaged mitochondria, after nutrient deprivation [50]. While fission dynamics occur even in healthy cells to remove damaged mitochondria from the network through mitophagy, the excess of this dynamic observed in cancer cells has been shown to be associated with OXPHOS defects, nutrient excess, cellular dysfunction, and mitochondrial dysfunction, which are all thought to contribute to the high proliferation and invasiveness in some cancer cells [51]. The nuclear encoded mitochondrial gene MFN2 is a GTPase that is a component of the outer mitochondrial membrane and regulates the fusion of one mitochondrion to another [52, 53]. MFN2 and mitofusin 1 (MFN1) promote mitochondrial elongation to create an elongated network of mitochondria within cells [54]. Fusion of individual mitochondrion to the elongated network of interconnected organelles is a crucial step to meet the bioenergetic demands of the cell. Increased fission and decreased fusion have been shown to attenuate cell mitosis and increase cell proliferation, which have been linked with the fragmented mitochondrial network phenotype and thus can be attributed to high levels of dysfunctional mitochondria in cancer cells [55]. In multiple evaluations of lung cancer cell lines, it was determined that mitochondria in cancer cells, as compared to primary lung cells, were highly fragmented with increased levels of DRP1 and reduced levels of MFN2, wherein DRP1 upregulation and increased expression have been linked to response to hypoxic conditions, less metabolically active mitochondria, and increased mitochondrial biogenesis [55-57].

Several preclinical studies have attempted to restore the functional mitochondrial network observed in healthy cells by targeting fission regulating proteins and exploit this unique mitochondrial morphology as a biomarker of therapeutic efficacy [58, 59]. Minnelide induces mitochondrial impairment through the regulation of SIRT3 [60], a major mitochondrial deacetylase that regulates respiration and ATP production [61]. Sirtuins are a family of nicotinamide adenine dinucleotide (NAD)-dependent deacetylases comprised of seven family members (SIRT1-SIRT7) in humans, and among these three of the members

(SIRT3, SIRT4, and SIRT5) are exclusively localized to the mitochondria [62]. These deacetylases have been emerging as important factors in age-related diseases, genomic stability, angiogenesis, metabolism and **anoikis.** SIRT3 is an active mitochondrial deacetylase that deacetylates and activates complex I of the mitochondrial electron transport chain [61]. It has also been implicated in energy metabolism, nutrient metabolism, glycolysis, oxidative stress, and importantly mitochondrial biogenesis and dynamics. SIRT3 expression and proteins levels have been shown to be regulated by PGC1a alongside ERRa under oxidative stress conditions [63, 64]. Peroxisome proliferator-activated receptor-gamma coactivator (PCG1a) is the master regulator of mitogenesis and actively modulates mitochondrial homeostasis through autophagy and mitophagy [65].

Cancer cells utilize PGC1a as an enhancer of oxidative phosphorylation, mitochondrial biogenesis, and the oxygen consumption rate [66]. The self-sustaining signal transduction mechanisms associated with mitochondrial biogenesis are precipitated by metabolic reprogramming that relies on oxidative phosphorylation to supply the energy required for cancer biosynthesis [66]. SIRT3 is further interconnected with PGC1a through the activation of the AMPK signaling pathway, which increases PGC1a gene-expression [67]. Minnelide is a water-soluble prodrug of triptolide, a potent heatshock protein (HSP) 70 inhibitor, which releases triptolide into the blood stream to slow tumor growth. It has also been suggested that triptolide inhibits transcription in A549 cancer cells, resulting in the disruption of mitochondrial functions including increasing ROS, decreasing the mitochondrial membrane potential, and activating caspase-3 [68]. In preclinical studies, Minnelide was shown to be a promising cancer therapeutic in lung cancer [69], pancreatic cancer [70], liver cancer [71], and breast cancer [72] models. It is currently being evaluated in phase I and II studies with relapsed or refractory AML, refractory pancreatic cancer, and other advanced solid tumors [, , ]. To further enhance the preclinical models of mitochondrial therapeutics it will be vital to understand the relationship between mitochondrial morphology and dynamics using novel fractal mathematical measures as described in Box 2.

#### Targeting Mitochondrial Evasion of Apoptosis

Apoptosis is a distinct form of programmed cell death that is characterized by specific morphological and biochemical changes and is essential for homeostasis of vital processes including development, cell turnover, and the functioning of the immune system. Mitochondria are known to have an important role in tumor priming and chemotherapy effectiveness [73, 74] and there are several apoptotic pathways: the extrinsic death receptor pathway, the perforin/granzyme pathway, and the intrinsic BCL-2 regulated mitochondrial caspase dependent pathway [75]. In the mitochondrial apoptotic pathway, various stimuli initiate changes in the mitochondrial inner membrane ultimately leading to the activation of BH3-only containing proteins and the creation of a mitochondrial permeability transition (MPT) pore. A hallmark of apoptosis is the breakdown of the mitochondrial network, involving both fission and fusion dynamics as previously described. During apoptosis, the pro-apoptotic BCL-2 family proteins, BAX and BAK, translocate to the outer membrane and cluster in foci with DRP1 and MFN2 fission and fusion proteins [76]. Subsequently, BAX and BAK interact with the pro-apoptotic BH3-only proteins, leading to the triggering

apoptotic event: mitochondrial outer membrane permeabilization (MOMP), after which mainly cytochrome c and other proteins, including SMAC (second mitochondria-derived activator of caspase), are released into the cytosol and activate caspases. MOMP can also result in cell death independently of caspase activation [77]. Evidence suggests that the BH3-only proteins interrupt the anti-apoptotic BLC-2 protein's inhibition of the BAX and BAK pro-apoptotic proteins, allowing for fission and the release of cytochrome c [78]. Additionally, it has also been suggested that the BH3-only proteins directly activate the pro-apoptotic BAX and BAK proteins [79]. The anti-apoptotic BCL-2 proteins can also bind to the BH3 proteins, preventing the interaction of BH3 proteins with BAX and BAK, or by binding BAX and BAK directly, thus inhibiting MOMP and apoptosis. To overcome this potential inhibition of apoptosis, BH3 mimetics have been developed to bind directly to and inhibit the anti-apoptotic BCL-2 proteins [74].

ME-344 is a synthetic, active metabolite of NV-128, which is a flavonoid that inhibits mTOR by downregulating the AKT/mTOR pathway, and functions independently of caspases. NV-128 was shown to activate the AMPK-mTOR pathway as well as the extracellular signal-regulated kinase-Bax pathway to induce death in both epithelial ovarian cells and subsequently in ovarian cancer stem cells [80]. In pre-clinical studies, ME-344 was shown to have more activity than NV-128 [80], and also demonstrated to reduce the activity of mitochondrial complexes I and III in 143B osteosarcoma, HeLa and HEK293T human embryonic kidney cells [81], thus resulting in a decrease in mitochondrial ATP production and ROS production [80]. One current area of interest is the combination of ME-344 and bevacizumab. Preclinical data in breast and lung cancer xenograft models demonstrate that anti-angiogenic therapies result in increased tumor hypoxia and a decrease in aerobic glycolysis, and that these therapies synergistically inhibit tumor growth when combined with ME-344 [82]. ONC201, originally identified as inducer of TNF-related apoptosis inducing ligand (TRAIL) [83], was more recently shown to have effects on mitochondrial respiration [84], similar to oligomycin, a known inhibitor of oxidative phosphorylation [85]. This effect may possibly occur through AMP-dependent kinase (AMPK) and the indirect disruption of mitochondrial ATP production and depletion of mtDNA [84]. Phase I studies in patients with advanced solid tumors have thus far shown promising results [, ].

ABT-737 is a BH3 mimetic that has been developed for clinical use as a cancer therapy. However, it has limited bioavailability and, since it does not inhibit several of the prosurvival proteins, specifically Mcl-1, tumors overexpressing these proteins are resistant to the drug. Navitoclax (ABT-263) has also been tested but has a dose limiting toxicity of thrombocytopenia [86]. Thus, venetoclax (ABT-199) was developed as a BH3 mimetic that spares Bcl-X<sub>L</sub>, described above, to avoid this toxicity [87]. Venetoclax is currently FDA approved as a single agent and in combination with rituximab for patients with chronic lymphocytic leukemia or small lymphocytic leukemia with or without a 17p deletion who have received at least one prior therapy as well as for use in combination with low-dose cytarabine, azacitidine, or decitabine for treatment in newly-diagnosed acute myeloid leukemia in patients who are 75 years of age or older or are not candidates for standard induction chemotherapy.

SMAC, one of the proteins released from MOMP, promotes apoptosis by activating caspases [88, 89] and has been shown to sensitize tumor cells by enabling cytokines, such as TNFa, thereby activating the extrinsic apoptosis pathway while simultaneously turning off the canonical NF-kB survival pathway that leads to cancer cell death [90]. Preclinical data showed sensitivity of some lung cancer cell lines to single-agent SMAC mimetics [91], and several studies showed synergistic effects when used in combination [92, 93]. Birinapant and LCL 161 are two SMAC mimetic therapies that have been evaluated in trials, with results suggesting that therapeutic efficacy is improved when used in combination with chemotherapy [, , ]. Other apoptotic targets such as inhibitor of apoptosis proteins (IAPs) that are currently under consideration in clinical trials are described in Box 3.

#### **Concluding Remarks**

Altered mitochondrial function, which originates primarily due to defects from within the organelle or in response to extraneous factors such as stress, plays a critical role in human disease. In the past couple of decades there has been an explosion in interest in targeting this subcellular organelle for various indications, particularly cancer [94, 95]. Furthermore, recent progress in metabolic research has unequivocally demonstrated that altered metabolism in cancer is not merely a secondary effect due to the signaling regulation for growth and proliferation but that it can also be a primary cause for tumor growth, metastasis, and stem-like properties [13, 17, 22, 96]. Nonetheless, to date, there are only a few drugs approved by the FDA that specifically target mitochondria. However, given the vast and rapid progress, and promising results from clinical testing, we can be hopeful of seeing breakthroughs in mitochondrial medicine in the near future. Mitochondria-targeting ligandconjugated anticancer agents, and mitochondria-targeting nanocarrier system for anticancer drug delivery [97], among other recent developments such as the 'omics' technologies [98], herald novel and exciting opportunities for new therapeutics (see Clinician's Corner). As we enter this new era of mitochondrial medicine, these discoveries will continue to shed light on unresolved mitochondrial questions (see Outstanding Questions), paving the way for improved outcomes for cancer patients.

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#### Glossary:

#### Adenosine triphosphate (ATP)

A nucleotide that stores and transfers energy for cellular metabolism

#### **Anaplerotic Reactions**

Reactions that form intermediates of a metabolic pathway

#### Anoikis

Apoptosis induced by the detachment of cells from the extracellular matrix

#### B-cell lymphoma-2 (BCL-2) protein family

The BCL-2 gene translocation is found frequently in B-cell lymphomas, and its family of proteins has now been well-characterized as a major regulator of mitochondrial permeability and apoptosis [99]. The BCL-2 family of proteins have both pro- and anti-apoptotic activities and play a crucial role in committing a cell to apoptosis. There are four characterized protein domains, with the BCL-2 protein itself containing all four (BH1, BH2, BH3, and BH4), which distinctively includes the BH4 domain and has been characterized as anti-apoptotic. There are three characterized classes of BCL-2 family proteins, the anti-apoptotic group including the BCL-2 protein itself, the pro-apoptotic group including the BAX and BAK proteins, and a third pro-apoptotic group that uniquely only contains the BH3 domain.

#### Oncometabolite

Small-molecule components of normal cell metabolism whose accumulation leads to signaling and metabolic dysregulation resulting in carcinogenesis.

#### **Oxidative phosphorylation (OXPHOS)**

The final pathway in cellular respiration in which protein complexes located in the inner mitochondrial membrane perform redox reactions, during which electrons are transferred across the membrane ultimately leading to the production of ATP.

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#### Box 1.

#### Mitochondrial metabolism targets in clinical trials.

#### **CPI-613**

CPI-613 is a first-in-class lipoate analog that has been shown to inhibit two major mitochondrial enzyme complexes in the TCA cycle, α-ketoglutarate dehydrogenase (KGDH) and pyruvate dehydrogenase (PDH). Lipoate is a co-factor for PDH and other mitochondrial enzymes. CPI-613 selectively inhibits KGDH in tumor cells resulting in ROS generation [100]. CPI-613 has also been demonstrated to inactivate PDH selectively in tumor cells by inducing phosphorylation [101]. CPI-613 is well tolerated overall [102], and has demonstrated promising response rates when used in combination with chemotherapy in patients with solid tumor malignancies [] [103-105].

#### Dichloroacetate

Dichloroacetate (DCA) is a novel metabolic modulator that has shown in pre-clinical studies to trigger apoptosis of human lung, breast, and brain cancer cells [106]. DCA modulates mitochondrial metabolism by inhibiting pyruvate dehydrogenase kinase (PDK) and activating pyruvate dehydrogenase (PDH), which results in increased delivery of pyruvate to the mitochondria allowing for normalized glucose oxidation to occur [106]. Initial findings identified DCA's anti-cancer activity in several cancer types to be through inhibition of aerobic glycolysis and activation of mitochondrial potassium ion channels [106]. However, single-agent clinical studies found that DCA, while well-tolerated, was cytostatic possibly because in-vitro studies utilized higher concentrations of DCA than could be tolerated in humans [, ] [107].

#### Box 2.

#### Mathematic modeling of mitochondrial dynamics in cancer.

Mitochondrial networks can be visually characterized by their tubular connections that retain fractal, or self-similar, patterns. Recent evidence suggests that the morphological structure of the mitochondria network is closely related to the fission/fusion dynamics occurring within the cell as well as changes in response to chemotherapeutic treatment [108, 109]. These networks are often elongated, fragmented, and reticulated fractal constructs that show self-similarity at different scales. Fractals are characterized by three properties: self-similarity, scaling, and a fractional (non-integer) dimension [110]. Fractal measurements were pioneered by Benoit Mandelbrot, who coined the term fractal, and was able to measure the complex irregular curved shapes of the coast of Great Britain using fractal dimension (FD) [111]. FD is a measure of the complexity of the shape, where higher values correspond to more complex patterns. After this discovery lacunarity (LC) has also been introduced to measure how fractal objects fill that space, where higher values correspond with spatial complexity [110]. The complex and fractal geometry of mitochondria and their dynamics requires novel methods of quantification. There are many quantification methods coming to fruition [112, 113] and one such method is the use of these fractal measurements, FD and LC, to help understand therapeutic efficacy of novel drugs and their effect on mitochondrial dynamics in cancer [110, 114]. Fractal measures have already been implemented in various diseases including COPD [115], colorectal adenocarcinoma [116], breast cancer [117], and others [118, 119]. While these studies focused on tissue and radiological scans, more recent progress has been made in evaluating the fractal network of mitochondria [120]. One such study was able to correlate sensitivity to metformin with low mitochondrial FD and high LC in malignant mesothelioma [121]. The use of these and other mitochondrial quantification methods, such as MitoGraph [112], alongside the metabolic profile of tumors may offer tools to understand the hyperplasticity, morphology, dynamics, and sensitivity to mitochondrial therapeutics.

#### Box 3.

#### Evasion of apoptosis and mitochondrial targets.

Inhibitor of apoptosis proteins (IAPs) are endogenous proteins that regulate both the extrinsic death receptor pathway and the intrinsic mitochondrial pathway through diverse mechanisms, including the inhibition of caspase activation [122], and are regulated by SMAC. Overexpression of IAPs is one of the mechanisms by which cancer is thought to evade apoptosis. Debio 1143 (formerly AT-406 and SM-406) is another SMAC mimetic that targets cIAP1, cIAP2, and XIAP [123]. In ovarian cancer, the overexpression of IAPs, specifically cIAP1 and XIAP, has been identified as a mechanism of resistance to platinum chemotherapy, and targeting these proteins enhances apoptosis in platinum-resistant cell lines and inhibits tumor growth in mice xenografts [124]. While single agent Debio 1143 was not cytotoxic, it increased sensitivity to carboplatin in carboplatin-resistance cell lines. Like Birinapant and LCL 161, it has also shown synergy with chemotherapies in other solid tumor and hematologic malignancies and additionally has demonstrated that it enhances the activity of anti-PD-L1 therapy [125]. Additionally, in preclinical studies, Debio 1143 showed a synergistic effect with radiation in head and neck squamous cell carcinoma cell lines in a dose-dependent manner [126].

Mitochondria are being studied to explore their role in activating the innate immune system, which is partly triggered in response to metabolic dysregulation including ROS production [51, 127]. SMAC mimetics also stimulate a pro-inflammatory state, as mentioned above, through promoting cytokine release and, by inducing necrosis, triggering an immune response [128]. A preclinical study of human peripheral blood mononuclear cells showed that Debio 1143, when used with anti-PD-L1 therapy, enhanced the immune response in a concentration-dependent manner [125]. Clinical trials evaluating this combination are ongoing, including a phase I study of Debio 1143 in combination with avelumab, a PD-L1 antibody, for patients with advanced solid tumor malignancies [].

#### Box 4.

#### **Clinician's Corner**

- In highly aggressive tumors, mitochondrial energy pathways are reprogrammed to meet the challenges of high energy demand through a streamlined utilization of fuels and macromolecular synthesis that drives rapid cell division and migration.
- Mitochondria exhibit high levels of metabolic plasticity where cancer cells can even acquire a hybrid glycolysis and OXPHOS phenotype to produce energy and create biomass, which may be associated with metastasis and therapy-resistance.
- Mitochondrial inhibitors in preclinical studies are often dosed at concentrations that are too lethal for patients and monotherapy for many of the inhibitors has not shown dramatic benefit in clinical trials. Therefore, it will be vital to utilize mitochondrial inhibitors in combination with other therapies to accentuate response.
- Recent discoveries in mitochondrial metabolism have demonstrated that altered metabolism in cancer is not only a secondary effect of signaling regulation, but can also be a primary cause for tumor initiation and proliferation.
- IDH inhibitors have been approved in relapsed or refractory IDH2 mutated AML and preliminary results in solid tumors especially in gliomas show promise in clinical trials.
- Mitochondrial metabolism and mitochondrial inhibitors such as SMAC mimetics and IAPs have been shown to have a role in the immune microenvironment and early preclinical data show that when they are used in combination with immunotherapy they enhance immune response.

- What are the mechanisms of mitochondrial hyperplasticity that allow cancer cells to switch metabolic phenotypes?
- What regulates mitochondrial crosstalk within the tumor microenvironment?
- How does mitochondrial motility influence cancer progression?
- What is the role of the mitochondria in driving tumor heterogeneity and resistance?
- Can mitochondrial inhibitors be utilized synergistically with other inhibitors to improve efficacy?

#### Highlights

- Mitochondria display a dynamic and heterogenous phenotype, facilitating metabolic heterogeneity and plasticity of cancer cells. The altered metabolic functions, dynamics of mitochondria, and evasion of apoptosis in cancer cells provide a target for novel cancer therapeutics.
- The mitochondrial network has distinct morphological features that appear to be interrelated with mitochondrial dynamics. Fractal measurements to evaluate the mitochondrial network alongside the metabolic profile of tumors may provide insight into the sensitivity to mitochondrial therapeutics.
- Although there are a few FDA-approved cancer-directed therapies that specifically target mitochondria, numerous therapies have been evaluated in clinical trials that target the mitochondrial metabolism and evasion of apoptosis with promising results in a variety of cancer types.



### Figure 1. Mitochondrial Therapeutic Targets Undergoing Evaluation in Trials and Their Proposed Mechanism of Action.

This figure is a schematic of a mitochondrion, including a generalized representation of the highlighted therapeutic targets and each of their proposed mechanism of action. The mechanisms of action and selected clinical outcomes are more fully discussed in this review, and selected clinical trials evaluating these therapies are summarized in Table 1 and Table 2. A) CPI-613 inhibits two major mitochondrial enzyme complexes in the TCA cycle, (KGDH) and pyruvate dehydrogenase (PDH).B) DCA (Dichloroacetate) inhibits pyruvate dehydrogenase kinase (PDK), thus activating pyruvate dehydrogenase (PDH) leading to glucose oxidation. C)ME-344 directly targets complex I of OXPHOS. D) Venetoclax is a

BH3 mimetic that inhibits BCL2, an anti-apoptotic protein. E) Birinapant, LCL 161, and Debio 1143 are SMAC mimetics which bind to inhibitors of apoptosis which, in turn, enable tumor cell apoptosis. F) ONC201's mechanism of action is not fully understood, but it has been shown to deplete mitochondrial DNA and disrupt ATP production. G) Minnelide is a water-soluble prodrug of triptolide, a potent heatshock protein (HSP) 70 inhibitor, which releases triptolide into the blood stream to slow tumor growth. H) IACS 10759 is a selective inhibitor of OXPHOS.

Abbreviations: BAK BCL2-antagonist/killer, BAX BCL2-associated X protein, BCL2 B-cell lymphoma 2, BH3 Bcl-2 Homology 3, DCA Dichloroacetate, Delta psi m mitochondrial membrane potential, DRP1 Dynamin-related protein 1, KDGH α-ketoglutarate dehydrogenase, mtDNA Mitochondrial DNA, OPA1 Optic atrophy 1, PDH Pyruvate dehydrogenase, SIRT3 Sirtuin-3 mitochondrial NAD-dependent deacetylase, SMAC Second mitochondria-derived activator of caspase, XIAP X-linked inhibitor of apoptosis.

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Table 1.

Selected completed clinical trials in adult patients.

ber	[129]	[105]				[104]	[130]		[131]	[132]	[133]	[134]	[135]	[45]	[136]	
NCT Num	=	-									=					
Outcome	ORR 48% (n=26), pts >60 years of age CR/CRi 46% (12/26), poor-risk cytogenetics CR/CRi 48% (11/23)	16.6% CR, 50% PR, 11% SD, 22% PD	Unreported	Unreported	Unreported	No treatment responses, median OS 4.3 months	Well tolerated, 35% SD	Unreported	Well tolerated	ORR 38.5%, with 20.2% CR	73% SD in patients in dose escalation cohort and 88% in expansion cohort	6% of patients with PR, and 56% SD; 6-month PFS 40%	ORR 41.6%	22% of patients remained on therapy after about 2.5 years	42% response rate	
Regimen (single agent unless	specified) CPI-613 + cytarabine + mitoxantrone	CPI-613 + mFOLFIRINOX													Venetoclax + ibrutinib	
Trial phase/Tumor type	1/relapse/refractory AML	1/newly diagnosed metastatic pancreatic adenocarcinoma	1/locally advanced or metastatic pancreatic cancer	1/recurrent small cell lung cancer	1/2/advanced or metastatic cholangiocarcinoma	2/relapsed/refractory SCLC	1/refractory or metastatic solid tumors	1/recurrent head and neck cancers	2/WHO grade III-IV gliomas	1/2 relapsed/refractory IDH2 mutated AML	l/glioma	1/cholangiocarcinoma	1/IDH1 mutated AML	1/IDH mutant solid malignancies	II/mantle cell lymphoma	
Target or Mechanism	Inhibits KGDH and PDH	•					Inhibits PDK and activates PDH			IDH inhibitor					BCL-2 inhibitor	
Agent	CPI-613						Dichloroacetate (DCA)			AG-22 (enasidenib)	AG-120			AG-881	ABT-199 (venetoclax)	
	Targeting mitochondrial metabolism														Targeting apoptotic pathways	

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Regimen (single

Trial phase/Tumor type

Target or Mechanism

Agent

agent unless

conatumumab

cancer, primary peritoneal cancer, or fallopian tube cancer

1/relapsed epithelial ovarian

Mimics SMAC

Birinapant

2/relapsed/refractory epithelial

ovarian cancer

1/advanced or metastatic solid

Debio 1143

tumors and lymphoma 1/poor-risk AML

specified) Birinapant +

Outcome	NCT Number	Reference	Ro
 18 pts enrolled; well-tolerated; 1 pt with PR and 4 pts with SD; median time on study 1.9 months	0	[138]	th et al.
SD in 2/11 pts; closed after 11 patients enrolled as clinical benefit unlikely	0	[139]	
 5/31 patients with SD for a median duration of 93 days	0	[140]	
38% CR	0	[141]	
No responses with single-agent; with combination, median PFS 10 months in 5 of 25 patients (1 pt with CR, 3 pts with PR)	0	[142]	

dehydrogenase, PDK pyruvate dehydrogenase kinase, PFS progression-free survival, PR partial response, Pt(s) patient(s), ROS reactive oxygen species, SD stable disease, TNF tumor necrosis factor, Abbreviations: ATP adenosine triphosphate, CR complete response, CRi Complete response with incomplete hematologic recovery, KDGH alpha-ketoglutarate dehydrogenase, PDH pyruvate TRAIL TNF-related apoptosis inducing ligand, ORR overall response rate

[146]

8/10 pts with stable disease; Suspended due to lack of funding [147]

Recruiting; preliminary data with 1

pt with prostate ca with stable disease at 6 months and 2 pts with

prostate cancer after 4 cycles

[145]

dose reduction or treatment breaks

observed with progression after

Well tolerated, disease control

[143]

1 of 30 pts with PR for >1 year, 4 pts with SD

LCL-161 +/cyclophosphamide

2/relapsed/refractory multiple myeloma

LCL 161

1/refractory solid tumors

Decreases mitochondrial ATP production and ROS

**ME-344** 

Debio 1143 + cytarabine + daunorubicin [144]

53.7%, 21 of 41 pts with SD; Terminated due to lack of efficacy

ORR 2.4%, clinical benefit rate

ME-344 + topotecan

1/previously treated, locally advanced or metastatic small cell lung, ovarian, and cervical cancers

1/refractory GI malignancies

Regulation of Sirt3, increase ROS, active

Minnelide

caspase-3

ONC201

1/advanced solid tumors

1/advanced solid tumors

Induces transcription of TNF-related apoptosis by inducing TRAIL ligand

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# Table 2.

Selected ongoing clinical trials in adult patients.

	Agent	Trial phase/lumor type	Regimen (single agent unless specified)	NCT Number
Targeting mitochondrial metabolism	CPI-613	1/metastatic, unresectable colorectal cancer	CPI-613 + fluorouracil	0
		1/untreated locally advanced or metastatic pancreatic cancer	CPI-613 + gemcitabine + nab-paclitaxel	0
		1/relapsed/refractory T-cell non-Hodgkin lymphoma or Hodgkin lymphoma	CPI-613 + bendamustine hydrochloride	[]
		2/locally advanced pancreatic cancer	CPI-613 + FOLFIRINOX	[]
		2/relapsed/refractory AML or granulocytic sarcoma	CPI-613 + high dose cytarabine + mitoxantrone	0
		2/relapsed/refractory Burkitt Lymphoma/Leukemia or High-grade B-cell lymphoma with high-risk translocations		0
		3/ patients years with relapsed/refractory AML	CPI-613 + high dose cytarabine + mitoxantrone	0
		3/metastatic pancreatic adenocarcinoma	CPI-613 + mFOLIRINOX vs FOLFIRINOX	[]
Targeting apoptotic pathways	BI 891065	1/advanced or metastatic malignancies	BI 891065 + BI 754091	[]
	Birinapant	1/locally recurrent head and neck squamous cell carcinoma	Birinapant + IMMRT	[]
		1/2 relapsed or refractory solid tumors	Birinapant + Pembrolizumab	[]
	Debio 1143	1/with advanced solid tumor malignancies, including metastatic non-small cell lung cancer refractory to platinum-containing doublet therapy	Debio 1143 + avelumab	0
	IACS 01759	1/relapsed/refractory AML		[]
		l/advanced, metastatic, or unresectable solid tumor malignancies		[]
	IDH inhibitors	1/IDH1 mutated myeloid neoplasms after SCT	Ivosidenib	[]
		1/brain neoplasms	PEPIDH1M vaccine + temozolomide	0
		1/2 advanced hematologic malignancies, AML	AG-120 (Ivosidenib) + venetoclax	[]
		1/IDH mutant glioma, chondrosarcoma, glioma	AG-120 (Ivosidenib)	0
		1/AML and CML after SCT	Enasidenib	0
		I/glioma	AG-881	[]
		2/relapsed/refractory AML with IDH2 mutation	Enasidenib	[]
		2/IDH2 recurrent AML	Enasidenib + CPX-351	[]
		2/AML and CML	Enasidenib + azacitidine	[]
		3/newly diagnosed IDH1 mutated AML	AG-120 (Ivosidenib) + Azacitidine	0

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Agent	it	Trial phase/Tumor type	Regimen (single agent unless specified)	NCT Number
		3/IDH2 mutated AML	AG-221 + azacitidine + cytarabine	0
TCT	161	l/relapsed/refractory multiple myeloma	CIM 112 vs PDR001 + CJM122 vs CJM112 + LCL 161	[]
		1/advanced or metastatic solid tumors	PDR001 + LCL 161 vs PDR001 + everolimus vs PDR001 + panobinostat + PDR001 + QBM076 vs PDR001 + HDM201	0
		1/2 relapsed/refractory SCLC and ovarian cancers	LCL 161 + topotecan	0
ME-3	344	0/treatment-naïve HER2+ breast ca	Me-344 + bevacizumab	0
Minne	elide	1/relapsed/refractory AML		0
		1/advanced solid tumors		0
		2/refractory pancreatic cancer		0
ONC	201	1/advanced solid tumors	Continuation trial for patients who previously received benefit from ONC201	[]
		1/advanced solid tumors and multiple myeloma		0
		1/2 metastatic colorectal cancer, MSS	ONC201 + nivolumab	[]
		1/2 relapsed/refractory multiple myeloma	ONC201 + ixazomib + dexamethasone	0
		1/2 relapsed/refractory multiple myeloma		[]
		1/2 relapsed/refractory Non-Hodgkin's lymphoma		[]
		1/2 relapsed/refractory acute leukemias and HR-MDS	ONC201 + cytarabine	0
		2/recurrent or metastatic type II endometrial cancer		0
		2/recurrent or metastatic breast cancer and advanced endometrial carcinoma		[]
		2/recurrent or metastatic endometrial cancer		[]
		2/recurrent or metastatic neuroendocrine tumors		[]
		2/metastatic triple negative breast cancer	ONC201 + methionine restricted diet	[]
		2/recurrent GBM, H3 K27M glioma, and midline glioma		[]

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